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MARIA CONTESSA

FABIO ZANOLIN

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## On a question of Josef Novák about convergence spaces.

MARIA CONTESSA and FABIO ZANOLIN (\*)

**SUMMARY:** In this paper we construct an example which answers to a question posed by Josef Novák about the validity of a statement in a convergence space.

**SOMMARIO:** Viene costruito un esempio che risponde ad una domanda di Josef Novák relativamente alla validità di una proposizione per spazi di convergenza.

### 1. Introduction.

In a convergence (sequential) space  $(L, \lambda)$ , Novák (see [5]) considered the following statement:

(+) If  $A_n \subseteq L$  and  $z \in L - \cup \lambda A_n$  is a point each neighbourhood of which contains points of  $A_n$  for nearly all  $n$ , then there is a sequence of  $x_n \in A_n$  converging to  $z$ .

He asked if there exists a convergence space such that its convergence is the star convergence and that (+) is not true. (Problem 1.b).

In this paper we give an example which solves the above question in the affirmative and we add some considerations about cross properties in convergence spaces.

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(\*) Authors' address: Maria Contessa, Istituto Matematico della Università di Roma; Fabio Zanolin, Istituto Matematico dell'Università di Trieste.

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This work is selfcontained. The notations and terms are summarized in § 2, there we give the tools necessary to the understanding of the text.

## 2. Preliminary.

*Convergence structure*, according Mario Dolcher, (see [2]), is a pair  $(L, \lambda)$ , where  $L$  is a non void set and  $\lambda$  is a law which associates to each point  $x$  of  $L$ , a set  $\mathfrak{J}_x$  of sequences of points of  $L$ ,  $\lambda$  satisfying to suitable axioms. If  $S = (s_n)_n \in \mathfrak{J}_x$ , we will write  $S \rightarrow x$  and read: «  $S$  converges to  $x$  ».

The axioms required by Dolcher for  $\lambda$  are the following:

- 1)  $(x) \rightarrow x$ , for every  $x$  in  $L$  (where  $(x)$  is the constant sequence  $x, x, \dots, x, \dots$ ).
  - 2) If a sequence  $S$  converges to  $x$ , then every subsequence  $S'$  of  $S$ , converges to  $x$ .
  - 3) If a sequence  $S$  does not converge to  $x$ , then there exists a subsequence  $S'$  of  $S$ , no subsequences of which converge to  $x$ .
- (Novák call such a structure, a multivalued convergence space, in [4]). Moreover, if the convergence is onevalued, (that is with uniqueness of limit) so that axiom

$$0) \quad S \rightarrow x, S \rightarrow y \Rightarrow x = y,$$

holds,  $\lambda$  turns be a *star convergence* on  $L$ , in the sense of Novák.

If  $\lambda$  is a convergence in a some sense and  $A$  is a subset of  $L$ , by  $\lambda A$  (or  $\hat{A}$ , according to Dolcher [2]), we will denote the set of all the limit points of sequences in  $A$ . If  $\lambda$  satisfies axioms 1) and 2), then  $\lambda$  can be thought like a closure operator (in the sense of Čech [1]), then a subset  $U$  of  $L$  is said to be a neighbourhood ( $\lambda$ -neighbourhood) of a point  $x$ , if  $x \in L - \lambda(L - U)$ . In other words, ([5])  $U$  is a  $\lambda$ -neighbourhood of  $x$  if and only if  $(x_n)_n \rightarrow x$  implies that  $x_n \in U$  for nearly all  $n$ . The pair  $(L, \lambda)$  is also said to be a *convergence space* (see [4], [5]).

We remark that a convergence  $\lambda$  on  $L$ , given by the system  $(\mathfrak{J}_x)_{x \in L}$  satisfying the axioms from 1) to 3), can be determinated

by a smaller system  $(\mathfrak{B}_x)_{x \in L}$ , where  $\mathfrak{B}_x \subseteq \mathfrak{I}_x \forall x$ ;  $(\mathfrak{B}_x)_x$  is called by Dolcher *convergence base for  $\lambda$* .

Precisely  $(\mathfrak{B}_x)_x$  must be a system such that  $(\mathfrak{I}_x)_x$  is the smallest system which contains  $(\mathfrak{B}_x)_x$  and which satisfies the prescribed axioms. If we introduce the following operations  $\delta$  and  $\xi$  acting on sets of sequences :

- ( $\delta$ )  $S \in \delta\mathfrak{C}$  iff  $\exists R \in \mathfrak{C}$  and  $S$  is subsequence of  $R$ .
- ( $\xi$ )  $S \in \xi\mathfrak{C}$  iff for every subsequence  $S'$  of  $S$ , exists a subsequence  $S''$  of  $S'$  such that  $S'' \in \mathfrak{C}$ ,

then we immediately observe that  $\delta$  and  $\xi$  are idempotent and  $\xi(\delta\mathfrak{C})$  is the smallest set of sequences which contains  $\mathfrak{C}$  and which is closed with respect to  $\delta$  and  $\xi$ . Since  $\delta$  and  $\xi$  replace axioms 2) and 3), we have that  $\mathfrak{I}_x = \xi(\delta\mathfrak{B}_x)$ , provided that  $(x) \in \mathfrak{B}_x$  for every  $x$ . So, when we will give a convergence (in the sense of Dolcher) structure on a set  $L$ , it will suffice to assign to each point  $x$ , a set  $\mathfrak{B}_x$  to which belong  $(x)$  and the other sequences that we would like to converge to  $x$ , and then will consider the convergence that is generated (through  $\delta$  and  $\xi$ ) by the system  $(\mathfrak{B}_x)_x$ . Notice that convergence is onevalued iff for  $x \neq y$ , is  $\delta\mathfrak{B}_x \cap \delta\mathfrak{B}_y = \emptyset$ .

### 3. Exhibition of the example.

The aim of this chapter is to give an example of a convergence space with star convergence where statement (+) does not hold. For this purpose, it is necessary a previous lemma.

LEMMA. Let  $N$  be a countable set, then there exists a set  $\mathfrak{F}^*$  of countable <sup>(1)</sup> subsets of  $N$ , such that :

- i)  $\mathfrak{F}^*$  has the power of the continuum.
- ii)  $F_1, F_2 \in \mathfrak{F}^* \Rightarrow F_1 \cap F_2$  is a finite set <sup>(2)</sup>.
- iii) For each countable subset  $G$  of  $N$ , there exists an  $F \in \mathfrak{F}^*$ , such that  $F \cap G$  is countable.

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(1) In this lemma, a set is said to be countable if it is infinite and countable.

(2) For conditions i) and ii), cfr. [3] (Ex. 6Q pag. 97).

PROOF. Let  $\mathcal{P} = \{\mathcal{F} : \mathcal{F} \text{ satisfies property i) and ii) of the Lemma}\}$ .  $\mathcal{P} \neq \emptyset$ , in fact (see Gillmann-Jerison [3], Ex. 6Q p. 97) exists a set  $\xi$  which satisfies i) and ii). ( $\xi$  is obtained by one to one correspondence with a set of sequences of rational numbers such that each irrational number is the limit of exactly one of these sequences). Now, is easy to prove, using Zorn's Lemma, that  $\mathcal{P}$  possesses an element  $\mathcal{F}^*$ , which is maximal in  $\mathcal{P}$  with respect to the inclusion order.

We have only to show that  $\mathcal{F}^*$  satisfies iii). If this does not happen, then there exists a countable subset  $H$  of  $N$  such that  $H \cap F$  is finite for every  $F$  which belongs to  $\mathcal{F}^*$ ; so, it is  $\mathcal{F}^* \cup \{H\} \in \mathcal{P}$ .

— A contradiction with the maximality of  $\mathcal{F}^*$  in  $\mathcal{P}$ .

q.e.d.

Now we can present the preannounced example :

EXAMPLE: of a convergence space with star convergence where statement (+) does not hold.

Let  $L$  be the set  $\{a_{r,s} : r, s = 1, 2, \dots\} \cup \{z\}$ .

It can be thought like an infinite matrix whose horizontal rows are sequences  $A_r = (a_{r,s})_s$ , together a point  $z$ .

Let  $N$  be the set of natural numbers,  $\mathcal{R}$  a set of subsets of  $N$  which, according to the Lemma, fulfils the conditions from i) to iii),  $\mathcal{S}$  the set of all sequences of natural numbers.

Let  $f$  be a one to one correspondence from  $\mathcal{S}$  onto  $\mathcal{R}$  and we define a map  $g$  from  $\mathcal{R}$  into  $\mathcal{S}$  which associates to  $R = \{r_n\}_n$ , the sequence  $g(R) = (s_{r_n} + 1)_n$ , where  $(s_n)_n = f^{-1}(R)$ .

Now we can assign (by a convergence base) a convergence on the set  $L$ .

Let  $\lambda$  be the following convergence :

- ' ) The points  $a_{r,s}$  are all isolated points (i. e. converge to  $a_{r,s}$  only the sequences whose terms are nearly all equal to  $a_{r,s}$ ).
- " ) Converge to  $z$ , the constant sequence  $(z)$  and the sequences  $(a_{r_i, s_i})_i$  where  $(r_i)_i$  is an increasing sequence of natural numbers (indices

of row) such that  $\{r_i\}_i = R \in \mathfrak{R}$  and  $(s_i)_i = S$  is a sequence greater or equal than  $g(R)$  in the lexicographic order <sup>(3)</sup>.

Converge to  $z$  exactly those sequences which can be deduced by the precedings (by  $\delta$  and  $\xi$ ).

It is immediate to verify that convergence  $\lambda$  above defined is onevalued ; so

*(L,  $\lambda$ ) is a convergence space where  $\lambda$  is a star convergence.*

Observe that for each horizontal row  $A_r$ , is  $\lambda A_r = A_r$  (in fact, no row converges to  $z$  and the points of the matrix are all isolated). So, we have that  $z \in L - \cup \lambda A_r$ .

We prove now that *each neighbourhood of  $z$  contains points of  $A_r$  for nearly all indices  $r$ .*

PROOF. Let  $U(z)$  be a  $\lambda$ -neighbourhood of  $z$  such that there is an increasing sequence  $(r_i)_i$  of indices of row such that in  $U(z)$  there are not points of the row  $A_{r_i}$ . From the property iii) of the set  $\mathfrak{R}$  (see the Lemma) we know that there exists a sequence of row indices  $(\tilde{r}_i)_i = \tilde{R} \in \mathfrak{R}$  which has a subsequence in common with the sequence  $(r_i)_i$ . From " ) in the definition of  $\lambda$ , we can choose a suitable element  $a_{\tilde{r}_i, \tilde{s}_i}$  in the row  $A_{\tilde{r}_i}$ , in such a way to obtain a sequence  $(a_{\tilde{r}_i, \tilde{s}_i})_i$  which converges to  $z$ . Since every subsequence of the preceding one must converges to  $z$ , we conclude that there is a sequence of elements belonging to the rows  $A_{r_i}$ , for infinitely many  $i$ , which converges to  $z$ . Elements of this sequence are nearly all in  $U(z)$  and so we contradict the initial assumption.

q.e.d.

At last, we prove that *there is no sequence of  $x_r \in A_r$  which converges to  $z$ .*

PROOF. If a sequence  $(a_{n, s_n})_n$  converges to  $z$ , it must converge to  $z$  together with every its subsequence  $(a_{r_i, s_{r_i}})_i$ , while the sequence

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<sup>(3)</sup> If  $S = (s_n)_n$  and  $S' = (s'_n)_n$  are sequences of natural numbers, we pose  $S \leq S'$  if and only if for each index  $n$ , it is  $s_n \leq s'_n$ . The order so obtained is called *lexicographic*.

$(a_{\bar{r}_i, \bar{s}_i})_i$  where  $(\bar{r}_i)_i = \bar{R} = f(S)$  and  $S = (s_n)_n$ , does not converge to  $z$ , thanks to the definition of  $\lambda$ . In fact, the sequence of column indices  $(\bar{s}_i)_i = S \circ f(S)$  is less (in the lexicographic order) than the sequence  $(\bar{s}_i + l)_i$  which is the least sequence of indices of column such that  $(a_{\bar{r}_i, o})_i$  converges to  $z$ . Neither the sequence  $(a_{\bar{r}_i, \bar{s}_i})_i$  converges to  $z$  as a sequence deduced by  $\delta$  and  $\xi$  from suitable other sequence of the base, converging to  $z$ . In fact, the assumption ii) on  $\mathcal{R}$  and the definition of the convergence  $\lambda$  exclude this eventuality.

q.e.d.

Our aim is so attained.

#### 4. - Cross sequences in convergence spaces.

In a convergence space  $(L, \lambda)$ , we say that a *matrix*  $((x_{r,s}))_{r,s}$  converges to a sequence  $(y_n)_n$  iff the  $r$ -th row  $(x_{r,s})_s$  of the matrix converges to the  $r$ -th term  $y_r$  of the sequence.

We say that *cross property* (respectively *subcross property*) holds in  $(L, \lambda)$  iff for each matrix  $((x_{r,s}))_{r,s}$ , for each sequence  $(y_r)_r$  and for each point  $z$ , such that the matrix converges to the sequence and this converges to the point, there exists a cross sequence  $(x_{r,s_r})_r$  (respectively a cross subsequence  $(x_{r_i, s_i})_i$ ) of the matrix, which converges to  $z$ .

*Weak cross property* (resp. *weak subcross property*) are defined in the same way only with the weaker assumption that  $(y_r)_r$  is the constant sequence  $(z)$ .

If we indicate with  $C$  and  $C'$  cross and subcross property, and with  $C_0$  and  $C'_0$  the corresponding weaker conditions, we have immediately the following inferences :

$$\begin{array}{ccc} C & \Rightarrow & C_0 \\ \Downarrow & & \Downarrow \\ C' & \Rightarrow & C'_0. \end{array}$$

By the comparison of the above four conditions, we notice that there exists an example (see [2], p. 87) of convergence space where  $C_0$  holds and  $C'$  does not hold, while at the present status of our know-

ledges, we do not know whether  $C'_0 \Rightarrow C_0$  (respl.  $C' \Rightarrow C$ ) is true or false. As a partial result, by a light modification of structure of the main example in the section 3, (modification only consists in imposing to each row of the matrix, to converge to  $z$ ), we can present a structure where a matrix exists such that each its row converges to a point  $z$ , but no cross sequence converges to  $z$ , while every submatrix (that is a matrix obtained by the preceding one, catching infinitely many points from infinitely many rows) possesses a cross subsequence converging to  $z$ .

A property related with the preceding is the idempotency of the closure operator  $\lambda$  (see section 2), called by Dolcher in [2], Hedrick's condition. It is easy to prove that a sufficient condition for  $\lambda\lambda = \lambda$ , is the validity of  $C'$  (see [2]), moreover it can be proved (see [6], theorem 2, pag. 74) that  $C'$  holds if and only if  $C'_0$  and Hedrick's condition are both satisfied (this result can be proved also if  $\lambda$  is not onevalued). We conclude remarking that in a topological space first countable, with the common notion of convergence of sequences, all four cross properties always are satisfied.

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