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## IMMERSED SURFACES WITH CONSTANT CURVATURE NEAR INFINITY

David D. Bleecker

### 1. Introduction. The Theorem

In Tilla Klotz Milnor's articles [3], [4] and [5], the following conjecture of John Milnor is discussed. Throughout the present work, we assume  $M$  is a connected, complete surface,  $C^4$  immersed in Euclidean space ( $E^3$ ). Consider the following statements:

A. The Gaussian curvature  $K$  of  $M$  is locally constant outside a compact subset of  $M$ .

B. The sum of the squares of the principal curvatures of  $M$  is bounded away from zero on  $M$ .

C.  $M$  has no umbilic points.

D.  $K$  changes sign on  $M$  or  $K \equiv 0$  on  $M$ .

E.  $\int_M K \, dM = 0$ .

CONJECTURE (John Milnor): B and C imply D.

THEOREM: A, B, and C imply E.

Note that E implies D. In fact, by Cohn-Vossen's Theorem ([1] and [2]), namely that  $\int_M K \, dM \leq 2\pi X(M)$ , D implies  $X(M) \geq 0$  and  $M$  is homeomorphic to a plane, cylinder or mobius strip if  $M$  is noncompact. We need not concern ourselves with the case where  $M$  is compact, since both the Conjecture and Theorem are well known in that case. We also have the following:

COROLLARY: *If  $X(M) < 0$ , then A and B imply  $M$  has an umbilic point.*

PROOF: By Cohn-Vossen's Theorem  $\int_M K \, dM < 0$ . However, A, B and C imply  $\int_M K \, dM = 0$ . Hence C is false.  $\square$

Other corollaries are obtained analogously. We also see that the Theorem is a generalization of Hilbert's Theorem that  $K \neq c$  for  $c < 0$ . Unfortunately, while the conclusion of the Theorem is stronger than that of Milnor's Conjecture, so is the hypothesis.

## 2. Lemmas

Because of hypothesis C, it is natural to consider the line fields obtained from the principal directions on  $M$ . A technical difficulty is encountered if these are not orientable (i.e., they are not generated by a global vector field). Hence we prove

LEMMA 1: *Given a line field  $L$  on  $M$ , there is a double covering  $p: \bar{M} \rightarrow M$ , such that  $\bar{L}$  defined by  $p_*(\bar{L}) = L$  is orientable.*

PROOF: Let  $\bar{M} = \{X \in TM: X \in L \text{ and } \|X\| = 1\}$ . If  $\pi: TM \rightarrow M$  is the tangent bundle projection, then  $\pi|_{\bar{M}}: \bar{M} \rightarrow M$  is a double covering. The evenly covered neighborhoods are just open sets over which  $TM$  is trivial. Now  $\bar{L}$  on  $\bar{M}$  is generated by the vector field  $\bar{X}$  defined by  $(\pi|_{\bar{M}})_*(\bar{X}_X) = X$ .  $\square$

First we may assume that  $M$  is orientable, for if the Theorem is true for orientable  $M$ , then for a nonorientable  $M$  with (orientable) Riemannian double cover  $\tilde{M}$ ,  $\int_{\tilde{M}} K \, d\tilde{M} = 0$  implies  $\int_M K \, dM = 0$ . So henceforth,  $M$  is orientable, and we have well-defined principal curvature functions  $K_1$  and  $K_2$  relative to a choice of unit normal. Let  $L_1$  and  $L_2$  be the line fields given by the principal directions associated to  $K_1$  and  $K_2$ , respectively.

In Lemmas 2 through 9 we assume that  $M$  is finitely connected. Then it is well known that  $M$  is homeomorphic to  $M_0 - \{p_1, \dots, p_n\}$  where  $M_0$  is a compact surface. Let  $\xi: PM_0 \rightarrow M_0$  be the circle bundle obtained from the unit circle bundle of  $M_0$  (relative to some Riemannian metric on  $M_0$ ) by identifying each unit vector with its antipode. We can define the index of  $L_1$  about  $p_i$ , denoted  $L_1(p_i)$  in a way analogous to defining indices of vector fields about singularities (or zeros). Thus we obtain  $\sum_{i=1}^n L_1(p_i) = 2X(M_0)$ , the factor of 2 coming from the antipodal identification. Note that clearly  $L_1(p_i) = L_2(p_i)$ . We will prove  $L_1(p_i) \geq 2$ ,  $1 \leq i \leq n$ , using A and B, thus obtaining  $2n \leq$

$\sum_{i=1}^n L_1(p_i) = 2X(M_0)$  or  $0 \leq X(M_0) - n = X(M)$  and then we need only consider  $M$  which are homeomorphic to the plane if the cylinder.

LEMMA 2: A, B and C imply  $L_1(p_i) \geq 2$ .

PROOF: Since  $i$  is fixed, drop the index  $i$ . Let  $\beta$  be a simple, closed noncontractible piecewise smooth curve in the punctured disk  $T$  about  $p$  (the point at infinity) with non-smooth corners  $v_1, \dots, v_m$  (in cyclic order) so that between consecutive corners  $\beta$  is an integral curve of  $L_1$  or  $L_2$ . We assume that  $m$ , the number of corners, is minimal subject to these conditions on  $\beta$ . Now  $\beta$  bounds a punctured disk about  $p$ , say  $D$ . We call  $v_K$  positive if the exterior angle of the polygon  $D$  at  $v_K$  is positive (i.e.,  $\pi/2$ ) and otherwise  $v_K$  is called negative. Let  $P$  be the number of positive corners and  $N$  the number of negative corners ( $N = m - P$ ). One can check that  $L_1(p) = 2 + (N - P)/2$ . Thus  $L_1(p) \geq 2$  if  $N \geq P$ . Assume  $P > N$ . Now if  $N = 0$  then  $P$  is even, since  $L_1(p)$  is an integer. Thus  $(P, N) = (2, 0)$  is a possibility, but in all other cases  $P + N \geq 4$ . Let us handle the case  $(P, N) = (0, 2)$  later. Now  $P + N \geq 4$  and  $P > N$  implies that there is a string of corners, say  $v_1, \dots, v_4$  such that  $v_2$  and  $v_3$  are positive. Thus, we have the situation in Figure 1.

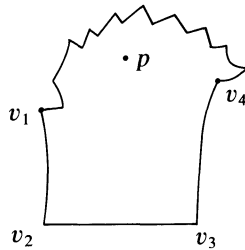


Fig. 1.

Cover the compact segment  $v_2v_3$  with a finite number of coordinate rectangles whose coordinate curves are lines of curvature. For a sufficiently small  $\epsilon$ , the lines parallel to  $v_2v_3$  and issuing from points on  $v_1v_2$  within  $\epsilon$  of  $v_2$  run through all the rectangles and meet  $v_3v_4$ . We therefore obtain a coordinate rectangle with base  $v_2v_3$ , by taking  $v_2$  to correspond to the origin and  $v_2v_3$  to correspond to part of the positive  $x$ -axis in such a way that  $v_2v_3$  is parameterized by the variable  $x$  with unit speed and the subsegment of  $v_2v_1$  of length  $\epsilon$  starting at  $v_2$

corresponding to part of the positive  $y$ -axis with  $y$  parameterizing the subsegment with unit speed. (Here it should be noted that in general not all the coordinate curves are parameterized by arclength since this would imply  $K \equiv 0$ .) We call such a coordinate rectangle (with base  $v_2v_3$  and left and right sides being subsegments of  $v_1v_2$  and  $v_3v_4$  respectively) a *special coordinate system* (scs). Let  $R$  be the maximal scs, (i.e.,  $R$  is the union of all the scs's). We shall prove that  $v_1$  or  $v_4$  is a top corner of  $R$ . Thus  $D - \bar{R}$  will be a region bounded by a curve  $\beta'$  of fewer sides than  $\beta$ , contradicting the minimality assumption on  $\beta$ .

Let  $\ell(y_0)$  be the length of the coordinate curve of  $R$  given by  $y = y_0$ . If we assume  $\ell(y)$  is bounded (this will be proved in Lemma 3), then we can prove that either  $v_1$  or  $v_4$  is a top corner of  $R$ : Let  $b = \sup\{y : (0, y) \in R\}$ . Let the sequence  $(b_i)$  be chosen such that  $b_i \geq 0$ ,  $b_i \uparrow b$  and  $\ell(b_i) \rightarrow \delta \in \mathbf{R}$  (recall we are assuming  $\ell(y)$  is bounded). Let  $(0, b)$  denote the point on  $v_1v_2$  which is a distance  $b$  from  $v_2$ , and identify the points of  $R$  with their coordinates. Define a unit vector field on  $M$  equal to  $\partial/\partial x$  on  $v_2v_3$  generating the line field which is tangent to  $v_2v_3$  (we may have to lift the whole situation to  $\bar{M}$  of Lemma 1). Now since  $M$  is complete, this vector field defines a flow  $\phi: M \times \mathbf{R} \rightarrow M$ . Now  $\phi$  is continuous and since  $(b_i, 0) \rightarrow (b, 0)$  and  $\ell(b_i) \rightarrow \delta$ , we have  $\lim_{i \rightarrow \infty} (\ell(0), b_i) = \lim_{i \rightarrow \infty} \phi((0, b_i), \ell(b_i)) = \phi(\lim_{i \rightarrow \infty} (0, b_i), \lim_{i \rightarrow \infty} \ell(b_i)) = \phi((0, b), \delta)$ . Now  $\lim_{i \rightarrow \infty} (\ell(0), b_i)$  is a point on  $v_3v_4$  and  $\phi((0, b), \delta)$  is the endpoint of the line of curvature of length  $\delta$  issuing from  $(b, 0)$  into  $D$ . By covering this curve by a finite number of small rectangles as before, we get an extension of  $R$  (a contradiction) unless  $(b, 0) = v_2$  or  $\phi((0, b), \delta) = v_4$  (i.e.,  $v_1$  or  $v_4$  are corners of  $R$ ). In either case the curve  $\gamma(t) = \phi((0, b), t)$   $0 \leq t \leq \delta$  bypasses  $v_2$  and  $v_3$  and introduces at most one new corner, contradicting the minimality of  $\beta$ . Thus assuming  $\ell(y)$  is bounded, our original assumption that  $(P, N) \neq (2, 0)$  and  $P > N$  is false. Before proving  $\ell(y)$  is bounded, let us handle the case  $(P, N) = (2, 0)$ . Here  $L_1(p)$  is 3. It is clear that for  $L_1$  to be orientable on  $T$  we must have  $L_1(p)$  even. Thus,  $L_1$  is not orientable on  $T$ . Let  $\bar{T}$  be the two fold cover of  $T$  as in Lemma 1. Then taking  $v_1$  to be the beginning (and end) of the loop  $\beta$ , we can lift  $\beta + \beta$  to a simple closed noncontractible curve  $\bar{\beta}$  (easily verified). Now  $\bar{\beta}$  has four positive vertices which by the preceding argument must be vertices of a coordinate rectangle bounded by  $\bar{\beta}$  which is impossible since  $\bar{\beta}$  is noncontractible. The next lemma completes the proof.  $\square$

LEMMA 3: *The function  $\ell(y)$  in the proof of Lemma 2 is bounded.*

PROOF: First note that  $\alpha$  (the constant Gaussian curvature of  $M$  in  $T$ ) is not positive, since if  $q \in D$  is a point of distance greater than  $\pi\alpha^{-1/2}$  from  $\beta$ , then by a familiar result there is no length minimizing geodesic from  $q$  to  $\beta$ , contradicting the completeness of  $M$ . Now in the coordinate rectangle  $R$  the metric of  $M$  is given by  $E dx^2 + G dy^2$ . Let  $K_1$  be the principal curvature for the  $y = \text{constant}$  curves, etc. Then, the Codazzi-Mainardi equations of embedding and Gauss equation are:

$$\frac{\partial K_1}{\partial y} = \frac{1}{2E} \frac{\partial E}{\partial y} (K_2 - K_1)$$

$$\frac{\partial K_2}{\partial x} = \frac{1}{2G} \frac{\partial G}{\partial x} (K_1 - K_2)$$

$$K_1 K_2 = K = \alpha \leq 0.$$

If  $\alpha \neq 0$ , then these equations yield the explicit expressions for  $E$  and  $G$ :

$$E = C(x)(K_1^2 - \alpha)^{-1} \quad G = D(y)(K_2^2 - \alpha)^{-1}$$

where  $C(x) = (K_1^2(x, 0) - \alpha)$  and  $D(y) = (K_2^2(0, y) - \alpha)$  are chosen in order that  $E(x, 0) = G(0, y) = 1$ .

Let  $C_0 = \max\{C(x) : 0 \leq x \leq \ell(0)\}$ . We compute a bound for  $\ell(y)$  as follows:

$$\ell(y) = \int_0^{\ell(0)} \sqrt{E(x, y)} dx \leq \int_0^{\ell(0)} \sqrt{C_0} [K_1^2 - \alpha]^{-1/2} dx \leq \ell(0) [C_0 / -\alpha]^{1/2}.$$

If  $\alpha = 0$  and  $K_1 \neq 0$ , then we have  $E = C(x)K_1^{-2}$  and  $\ell(y) \leq \ell(0)[C_0/b]^{1/2}$  since  $K_1^2 + K_2^2 = K_1^2 > b > 0$ . If  $\alpha = 0$  and  $K_1 = 0$ , then  $E \equiv 1$ , and  $\ell(y) \equiv \ell(0)$ . In any case  $\ell(y)$  is bounded. This concludes the proofs of Lemmas 2 and 3.  $\square$

By the remarks preceding the statement of Lemma 2, we now have that A, B, and C imply  $X(M) \geq 0$  and hence  $M$  is homeomorphic to  $\mathbb{R}^2$ , or a cylinder.

Going back to the proofs of Lemmas 2 and 3, one might wonder whether  $\beta$  can have any positive corners at all. In fact we have

LEMMA 4: *The curve  $\beta$  defined in the proof of Lemma 2 has no positive corners unless  $N = P = 1$ .*

PROOF: We know from the proof of Lemma 2, that  $N \geq P$  and no two positive corners occur consecutively. Thus if there is a positive corner, it is flanked by two negative corners or  $N = P = 1$ . In the first case we have the following situation illustrated in Figure 2.

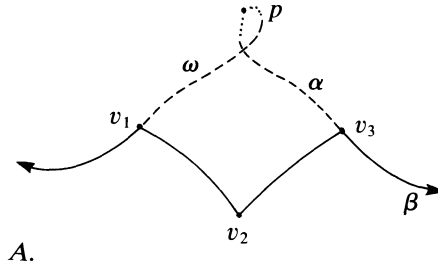


Fig. 2.

The integral curve  $\omega$  (dashed) is the extension of the side of  $\beta$  containing  $v_1$  as shown. We prove that  $\omega$  stays in  $D$ . If  $\omega$  leaves  $D$ ,  $\bar{\omega}$  (the part of  $\omega$  between  $v_1$  and the next time it meets  $\beta$ ) will divide  $D$  into two subregions, one of which “contains”  $p$  say  $D'$ . Now  $D'$  has no more sides than  $D$ . In fact  $D'$  will have fewer sides than  $D$  if the end of  $\bar{\omega}$  does not lie on  $v_1v_2$  (the end of  $\bar{\omega}$  cannot lie on the remaining side of  $\beta$  containing  $v_1$ , since then  $\omega$  would have a self intersection whence  $\omega$  would be a closed orbit and would have to circle  $p$  and give a contradiction to  $\beta$  minimality). Now the end of  $\bar{\omega}$  cannot lie on  $v_1v_2$ , say at  $q$ , since  $qv_1 + \bar{\omega}$  would circle  $p$  because integral curves of  $L_1$  and  $L_2$  cannot intersect twice in a simply connected region (readily verified). Now  $qv_1 + \bar{\omega}$  has only two corners and  $\beta$  has at least two corners by assumption. Thus  $qv_1 + \bar{\omega}$  is minimal and has two positive corners at  $v_1$  and  $q$  which is impossible. Thus  $D'$  has fewer sides than  $D$  which is a contradiction and so  $\omega$  stays in  $D$ . The same reasoning applies to  $\alpha$  shown in Figure 2. Consider special coordinate systems with base  $v_1v_2$  and sides being subsegments of  $\alpha$  and  $\omega$ . Using the same reasoning as in Lemmas 2 and 3 and the fact that  $\omega$  has infinite length, we get a maximal scs,  $R$ , with  $v_3$  as an upper corner. However the top edge of  $R$  is  $\alpha$ , so  $\alpha$  intersects  $\omega$  and  $D - R$  is bounded by a curve with fewer sides than  $\beta$ .  $\square$

LEMMA 5: *Let the punctured disk  $T$  (in  $M$ ) about  $p$  (a point at infinity) have constant negative curvature  $\alpha$ . Then  $T$  has finite area.*

PROOF: It is convenient to introduce coordinate systems with the lines  $x = \text{constant}$  and  $y = \text{constant}$  being asymptotic lines. These systems are the classical Tchebycheff nets. If the curvature is constant the metric in a suitable coordinate system of this type is  $dx^2 + 2 \cos \rho(x, y) dx dy + dy^2$  where  $\rho(x, y)$  is a function ranging between 0 and  $\pi$ , and is in fact the angle between the asymptotic directions. The Gaussian curvature  $\alpha$  is given by  $-\partial^2 \rho / \partial x \partial y = \alpha \sin \rho$ . Hence the total curvature of a rectangle satisfies:

$$\begin{aligned} 0 &\geq \int_a^b \int_c^d \alpha \sin \rho \, dx \, dy = \int_c^d \int_a^b -\partial^2 \rho / \partial x \partial y \, dx \, dy \\ &= \rho(b, c) + \rho(a, d) - \rho(b, d) - \rho(a, c) > -2\pi. \end{aligned}$$

This says any rectangle in asymptotic coordinates has total curvature less than  $2\pi$  in magnitude. Taking limits, an asymptotic half-plane or quarter-plane has finite total curvature, and since  $K = \alpha$  is constant, finite area. Now, we can find a simple closed noncontractible piecewise smooth curve  $\beta$  in  $T$  such that each smooth piece of  $\beta$  is an asymptotic segment. Let us assume  $\beta$  has a minimal number of corners. Again it is possible to prove that there do not exist consecutive positive corners as in the proofs of Lemmas 2 and 3. In fact here  $\ell(y)$  is constant. The only difficulty in making the proofs go through in this case is that the unit vector field generating the flow  $\varphi$  on  $M$  does not exist in general since the asymptotic lines are not global if  $M$  has points of non-negative curvature. However, inside  $T$  (or  $\bar{T}$  of Lemma 1) there is no difficulty and we simply extend the vector field to the rest of  $M$  and note that the integral curves of the vector field are asymptotic lines while they are in  $T$ , which is really all we need. Now we cover the open region  $D$ , bounded by  $\beta$ , by a finite number of closed asymptotic half or quarter planes in the following fashion: Each side of  $\beta$  is flanked by two negative corners or a negative and a positive. In the former case we take as an element of our cover, the half-plane with the side contained in the boundary of the half-plane and extending into  $D$ . In the latter case, we take the quarter-plane with corner at the positive vertex and extending into  $D$ . Thus we have a finite set  $U$  of plane sections—one for each side of  $\beta$ . We wish to show  $U$  is a cover of  $D$ . Now  $(\cup U) \cap D$  is closed since  $U$  consists of a finite number of closed sets, and  $(\cup U) \cap D$  is open since  $(\cup U) \cap D = (\cup U') \cap D$ , where  $U'$  consists of the plane sections in  $U$  without their boundaries, since we note that each bounding ray or line of a plane section once it enters  $D$  is contained in the interior of a flanking section. Thus,  $(\cup U) \cap D$  is open and closed in  $D$ , and hence



$D$  connected implies  $\cup U = D$ . Since each element of  $U$  has a finite area,  $D$  has finite area and  $T$  has finite area.  $\square$

**COROLLARY:** *If  $M$  is finitely connected and has locally constant negative curvature outside a compact set, then  $\int_M K \, dM = 2\pi X(M)$ .*

**PROOF:** We have shown that  $M$  must have finite area, and Huber [2] has shown that the Gauss-Bonnet formula holds in this case.  $\square$

Thus we have the Theorem in the case where  $M$  is homeomorphic to a cylinder with locally constant *negative* curvature outside a compact. We must prove the following Lemma 5, to handle the case of  $M$  homeomorphic to  $\mathbb{R}^2$ .

**LEMMA 6:** *If  $M$  is homeomorphic to the plane and has constant negative curvature outside a compact set, then  $M$  has an umbilic point.*

**PROOF:** There is only one point  $p$  at infinity, and so the index of  $L_1$  about  $p$  is four. Thus  $N - P = 4$  by the formula before Lemma 2. Now the index of an asymptotic line field  $A$  defined in  $T$  about  $p$  is also four, since  $A$  is homotopic to  $L_1$ . Now Lemma 4 is also valid if the line fields on  $T$  are the asymptotic line fields (the proof goes through easily). Hence  $N = 4$ ,  $P = 0$ . Consider the compact region  $R \subset \mathbb{R}^2$  bounded by a minimal, four-sided curve  $\beta$  with sides being asymptotic line segments. By the previous corollary, we know  $\int_M K \, dM = 2\pi$ . Since  $K$  is negative outside  $R$ , we then have  $\int_R K \, dM > 2\pi$ . Let us assume that  $R$  is chosen large enough so that there is a neighborhood  $U$  of  $\beta$  in which the curvature is a negative constant. Let  $A_1$  and  $A_2$  be the asymptotic line fields in  $U$  and let  $\rho$ , ( $0 < \rho < \pi$ ), be the positive oriented angle from  $A_1$  to  $A_2$ . Let  $\beta_1, \dots, \beta_4$  be the oriented sides of  $\beta$  (i.e.,  $\beta = \beta_1 + \dots + \beta_4$  where we parameterize  $\beta$  so that  $R$  lies to the left as we traverse  $\beta$ ). Suppose that  $A_1$  is tangent to  $\beta_1$ . If we select a Tchebycheff net in  $U$  about some point of  $\beta_1$  with  $\beta_1$  being the  $y = 0$  curve parameterized with unit speed by  $x$ , the metric takes the form  $dx^2 + 2 \cos \rho \, dx \, dy + dy^2$ , and after some computation we find that the geodesic curvature of  $\beta_1$  is  $-\partial \rho(x, 0) / \partial x$  inside the net. Since this can be done at each point of  $\beta_1$  we get that the geodesic curvature function along  $\beta_1$  is  $k_r(s) = -d\rho(\beta_1(s)) / ds$  where “ $s$ ” denotes arclength. Thus the total geodesic curvature of  $\beta_1$  is  $\rho(x_1) - \rho(x_2)$  where  $x_1$  is the initial point of  $\beta_1$  and  $x_2$  is the end point of  $\beta_1$ . The geodesic curvature along  $\beta_2$  is given by

$d\rho(\beta_2(s))/ds$ . Here, there is no minus sign since the positive oriented angle from  $L_2$  to  $L_1$  is  $\pi - \rho$ . Thus the total geodesic curvature of  $\beta_2$  is  $\rho(x_3) - \rho(x_2)$ , and we find that:

$$\sum_{i=1}^4 \int_{\beta_i} k_g \, ds = 2(\rho(x_1) + \rho(x_3) - \rho(x_2) - \rho(x_4))$$

where  $x_1, \dots, x_4$  are corners of  $\beta$  as shown in Figure 3.

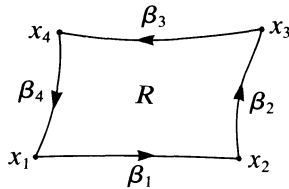


Fig. 3.

The sum of the exterior angles of  $\beta$  is

$$\sum_{i=1}^4 \epsilon_i = \rho(x_2) + \rho(x_4) - \rho(x_3) - \rho(x_1) + 2\pi.$$

Let us define  $\delta = \rho(x_2) + \rho(x_4) - \rho(x_3) - \rho(x_1)$ . Then:

$$\sum_{i=1}^4 \int_{\beta_i} k_g \, ds = -2\delta \quad \text{and} \quad \sum_{i=1}^4 \epsilon_i = \delta + 2\pi.$$

Hence by the Gauss-Bonnet formula  $\int_R K \, dM - 2\delta + \delta + 2\pi = 2\pi$  and so  $\delta = \int_R K \, dM > 2\pi$  and  $\sum_{i=1}^4 \epsilon_i > 4\pi$ , but  $\epsilon_i < \pi$  so  $\sum_{i=1}^4 \epsilon_i < 4\pi$ , a contradiction.  $\square$

We now consider the case where  $T$  about  $p$  (at infinity) has curvature 0.

LEMMA 7: *If  $T$  about  $p$  has curvature 0, then B and C imply that the sides of  $\beta$  are geodesics.*

PROOF: Suppose  $K_1$  ( $K_1 > 0$ ) is the non-zero principle curvature, and  $L_1$  is the line field associated to  $K_1$ . Consider a coordinate system with  $L_1$  tangent to  $y = \text{constant}$  curves and  $L_2$  tangent to  $x = \text{constant}$

curves. In general, the metric will be given by  $E dx^2 + G dy^2$  where  $E = C(x)K_1^{-2}$  and  $G \equiv D(y)$  (see proof of Lemma 3). Let us choose  $C(x) \equiv D(x) \equiv 1$ . The Gauss equation says (where  $H = \sqrt{EG}$ )

$$0 = K_1 K_2 = K = \frac{-1}{2H} \left( \frac{\partial}{\partial x} \left( \frac{\partial G}{\partial x} \div H \right) + \frac{\partial}{\partial y} \left( \frac{\partial E}{\partial y} \div H \right) \right)$$

or

$$0 = \frac{\partial}{\partial y} \left( \frac{\partial(K_1^{-2})}{\partial y} \div K_1^{-1} \right)$$

or

$$2f(x) = \frac{\partial(K_1^{-2})}{\partial y} \cdot K_1 = \frac{\partial(K_1^{-1})^2}{\partial y} \cdot K_1 = 2K_1^{-1} \frac{\partial(K_1^{-1})}{\partial y} K_1 = 2 \frac{\partial(K_1^{-1})}{\partial y}.$$

Thus  $K_1^{-1} = f(x)y + g(x)$  or  $K_1 = (f(x)y + g(x))^{-1}$  for some functions  $f$  and  $g$ . Now consider a minimal curve  $\beta$  in  $T$ , and an integral curve  $\gamma$  of  $L_2$  entering  $D$  (the punctured disk bounded by  $\beta$ ) from a point on a side of  $\beta$  which is an integral curve of  $L_1$ . We prove  $\gamma$  cannot leave  $D$ . If  $\beta$  is a closed orbit of  $L_1$ , then if  $\gamma$  leaves  $D$ ,  $\gamma$  divides  $D$  into two components, one of which is simply connected, but integral curves of  $L_1$  and  $L_2$  cannot intersect twice in a simply connected set. Thus  $\gamma$  cannot leave  $D$  in this case. Since  $L_1(p)$  is an integer, if  $\beta$  is not a closed orbit,  $\beta$  must have at least two sides. Now if  $\gamma$  leaves  $D$  through the side where it ( $\gamma$ ) started, then either  $\gamma$  plus the asymptotic segment between the endpoints of  $\gamma$  forms a minimal  $\beta$  with two positive corners (a contradiction) or we have two integral curves intersecting twice in a simply connected set (a contradiction). Now  $\gamma$  cannot leave through any side of  $\beta$  adjacent to the side it enters since these are also integral curves of  $L_2$ . Thus  $\gamma$  bypasses at least two corners of  $\beta$  and hence  $\gamma$  divides  $D$  into  $D'$  and  $D''$ , both having boundaries with no more sides than  $D$ . One of these, say  $D'$ , "contains"  $p$ , and has a positive corner at the initial point of  $\gamma$ . Thus  $D'$  has only two corners by Lemma 4, and so  $\beta$  has two sides, but we have shown that  $\gamma$  cannot leave through either of these. So we have in general that  $\gamma$  cannot leave  $D$ .

From the relation  $K_1 = (f(x)y + g(x))^{-1}$  we get that the limit of  $K_1$  along  $\gamma$  (parameterized by  $y$ ) is 0 if  $f(x) \neq 0$ . However,  $K_1 > \sqrt{b} > 0$  by  $B$ , thus  $f(x) = 0$  on any side of  $\beta$  which is an integral curve of  $L_1$ . Thus  $K_1$  is constant on  $\gamma$ , and  $\partial K_1 / \partial y = 0$  along a side with  $L_1$  tangents. A simple calculation now reveals that the sides of  $\beta$  are geodesics.  $\square$

LEMMA 8: *If  $M$  has zero curvature outside a compact set, then B and C imply  $\int_M K \, dM = 0$ .*

PROOF: Let the punctured disks, with curvature zero, about the points at infinity by  $T_1, \dots, T_n$ . In each  $T_i$  we choose a minimal curve  $\beta_i$ . Now the  $\beta_i$  ( $i = 1, \dots, n$ ) bound a compact region  $R$  of  $M$ , outside of which the curvature is zero, thus  $\int_M K \, dM = \int_R K \, dM$ . The Gauss-Bonnet formula yields  $\int_R K \, dM + \Sigma_R = 2\pi X(M)$  where  $\Sigma_R$  is the sum of all the exterior angles of  $R$ . Note that the geodesic curvature term is zero by Lemma 7. However,  $\Sigma_R = \frac{1}{2}\pi(N - P)$  where  $N$  is the total number of negative corners of the punctured  $D_i$  bounded by the  $\beta_i$ , etc. Thus, as in the proof of Lemma 2,

$$\Sigma_R = \frac{1}{2}\pi(N - P) = \left( \sum_{i=1}^n L_i(p_i) - 2n \right) \pi = 2(X(M_0) - n)\pi = 2\pi X(M).$$

Hence,  $0 = \int_R K \, dM = \int_M K \, dM$ .  $\square$

LEMMA 9: *If  $M$  is finitely connected, then A, B, and C imply  $\int_M K \, dM = 0$ .*

PROOF: We know from the remark after the proof of Lemma 3, that  $M$  is homeomorphic to  $\mathbb{R}^2$  or a cylinder. Now  $\mathbb{R}^2$  has only one point at infinity, and so  $M$  has constant non-positive outside a compact set in this case, and the Corollary to Lemma 5, Lemma 6 and Lemma 8 imply Lemma 9 for  $M \approx \mathbb{R}^2$ , or  $M$  homeomorphic to a cylinder with negative or zero curvature outside a compact set, but not both.

Remaining is the case where  $M$  is homeomorphic to a cylinder where the curvature is zero in  $T_1$  and negative in  $T_2$ . We know  $L(p_1) \geq 2$  and  $L(p_2) \geq 2$ , by Lemma 2, and  $L(p_1) + L(p_2) = 4$ . Hence  $L(p_1) = L(p_2) = 2$ . Since  $T_2$  has finite area by Lemma 5, we can use the strong form of Huber's Theorem ([2]), that  $\int_M K \, dM = 2\pi X(M)$  for  $M$  of finite area, which says that we can find a sequence of simple non-contractible closed curves in  $T_2$  tending to  $p_2$  such that the total geodesic curvature and exterior angle contributions go to 0. Now in  $T_1$  of zero curvature, a minimal curve  $\beta$  has geodesic sides by Lemma 7, and the sum of the exterior angle contribution is  $\frac{1}{2}\pi(N - P)$  as in Lemma 8. However,  $L(p_1) = 2$  implies  $N - P = 0$ . Thus combining this result for  $T_1$  and Huber's result for  $T_2$ , we get  $\int_M K \, dA = 0$  for  $M$  homeomorphic to a cylinder.  $\square$

To conclude, we have the following to handle the case where  $M$  is infinitely connected.

LEMMA 10: *A and C imply that  $M$  is not infinitely connected.*

PROOF: We can exhaust  $M$  by an increasing sequence of compact subsurfaces  $S_n$  with a finite number, depending on  $n$ , of smooth closed bounding curves each bounding one component of  $M - S_n$ . Now  $n$  may be chosen large enough so that  $M - S_n$  has constant curvature in each component  $T_i$ . In each  $T_i$  we define a minimal curve  $\beta_i$  as before, only we require that  $\beta_i$  be homotopic to the boundary of  $T_i$ . In this case we can still prove that  $\beta_i$  cannot have consecutive positive corners (see proofs of Lemmas 2 and 3). Hence as in the proof of Lemma 5 we can show that if  $T_i$  has constant negative curvature, then the total curvature of  $T_i$  is finite. Now since each of the  $T_i$  (finite in number) has either negative or zero constant curvature, we get that  $M$  has finite total curvature. However, Huber [2] proves that for  $M$  infinitely connected  $\int_M K \, dM = -\infty$ .  $\square$

This completes the proof of the Theorem.

### 3. Conclusion

Now, although Milnor's conjecture in its full generality seems difficult enough to deal with, I would like to introduce the following stronger conjecture:

CONJECTURE: C and D imply that  $M$  can be exhausted by an increasing sequence of compact subsurfaces with boundaries such that the total curvatures of the subsurfaces tend to 0.

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