

# *Astérisque*

OFER GABBER

**Appendice B, Facsimilé : Princeton - Transparents  
de l'exposé d'Ofer Gabber, fait le 17 octobre 2005 à la  
conférence en l'honneur de Pierre Deligne ([Gabber, 2005b])**

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## **APPENDICE B**

### **FACSIMILÉ : PRINCETON**

Transparents de l'exposé d'Ofer Gabber, fait le 17 octobre 2005 à la conférence en l'honneur de Pierre Deligne ([Gabber, 2005b]).



- 1 -

Finiteness theorems for  
étale cohomology of excellent  
schemes

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The goal is to prove

Theorem 0.1 Let  $f: X \rightarrow Y$  be a morphism of finite type between quasi-excellent noetherian  $\mathbb{Z}[1/n]$  schemes and  $F$  a constructible sheaf of  $\mathbb{Z}/n$ -modules on  $X_{\text{ét}}$ . Then the  $R^i f_* F$  are constructible and 0 for  $i \gg 0$ .

We also prove other expected properties (affine cohomological dimension, existence of dualizing complexes). We use a weak form of resolution of singularities.

Epp's theorem

Popescu's theorem

Absolute cohomological purity

We give a proof of ACP without using AKTEC (Thomason)

In SGA4 XIX Artin proves Th. 0.1 <sup>equiv. char.</sup> for <sub>1</sub> excellent schemes assuming resolution. One idea (partial algebraization) comes from the proof of affine coh. dim. in loc. cit.

# 1. Weak local uniformization

## 1.1 Weak version

Let  $X$  be a quasi excellent scheme,  $Z \subset X$  nowhere dense closed subset. Then there are

$$\begin{array}{lcl}
 \text{regular} & X_i & \xrightarrow{\text{gen. finite}} X \\
 \cup & \square & \cup \\
 \text{N.C.D.} & Z_i & \xrightarrow{\quad} Z
 \end{array}$$

covering family for the  $h$ -topology.

For a  $\mathcal{S}$  <sup>(scheme)</sup>, the  $h$ -topology on the category of schemes locally of finite pres. over  $\mathcal{S}$  is the topology generated by proper surjective maps and Zariski open coverings.

1.2 Suppose  $X$  is integral  $K = R(X)$   
(qc, sep)

$$\text{ZRS}(X) = \{ \text{valuation rings of } K \text{ dominating some } \mathcal{O}_{X,2} \} = \varprojlim_{X' \rightarrow X \text{ proper birational}} X'$$

$$\text{ZRS}_{\bar{K}}(X) = \varprojlim X'$$

$X'$  integral, projective gen. finite over  $X$   
 $R(X) \subset R(X') \subset \bar{K}$ , Galois group acts on  $X'$ .  
 normal

Weak version  $\Leftrightarrow$  every  $v \in \text{ZRS}_{\bar{k}}(X)$  dominates a good local model  $\Leftrightarrow \exists$  proj. <sup>squir.</sup> model  $X'$  and an open cover  $U_i$  of  $X'$  s.t.  $U_i \rightarrow X$  factorizes through a good local model.

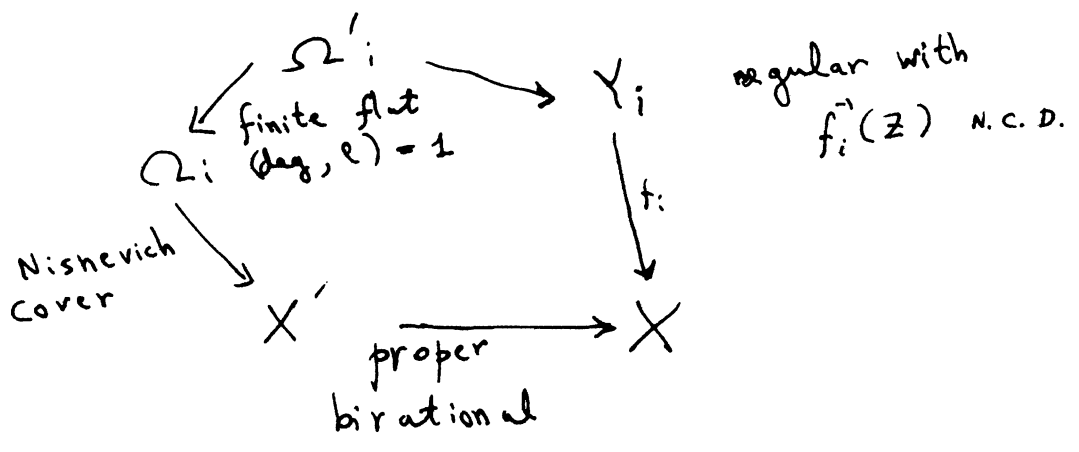
1.3 For  $v \in \text{ZRS}_{\bar{k}}(X)$  define the inertia and decomposition group. These are  $\varprojlim$  of inertia and dec groups for p.e. models. The latter are upper semi continuous for the Zariski resp. constructible topology.

Strong form of Theorem:  $\forall V \in \text{ZRS}_{\bar{k}}$   $\ell$  invertible on  $X \quad \S$  an  $\ell$ -Sylow of  $D = \text{dec. gp of } V, \quad V^S$  dominates a good local model.

$\Leftrightarrow \exists$  p.e.  $X'$ ,  $U_i \subset X'$  open,  $H_i \subset \text{Gal}(X'/X)$  acts on  $U_i$ , s.t.  $U_i/H_i$  dominates a good local model and

$\forall x \in X' \exists i (x \in U_i \text{ and } H_i \supset l\text{-Sylow of } \mathbb{D}(x))$

$\Leftrightarrow$  there is the following



Similarly when the condition is restricted to valuations center at  $x \in X$ .

Thm for  $(X, x) \Leftrightarrow$  Thm for  $(X^h, x)$

$\Leftrightarrow$  Thm for  $(X^\wedge, x)$

$X^h = \text{Spec of henselization of local ring}$   
 $X^\wedge = \text{completion}$

## 2. Approximation.

Let  $R$  be an excellent henselian local ring. Given an algebro geometric datum of finite presentation over  $\hat{R}$  it comes from a datum over a f.g. subalgebra  $R_1$  of  $\hat{R}$ . By Popescu's thm ( $\hat{R} = \varinjlim$  smooth  $R$  algebras)  $R_1 \rightarrow \hat{R}$  can be approximated by  $R_1 \rightarrow R$ . Want to preserve properties of schemes and morphisms.

2.1 Let  $I \subset A$  be an ideal,  $M, M'$   $A$ -modules. An  $(I, c)$  isomorphism  $M \underset{(I, c)}{\cong} M'$  is an isomorphism

$$\bigoplus_{n \in \mathbb{Z}} I^n M / I^{n+c} M \longrightarrow \bigoplus_{n \in \mathbb{Z}} I^n M' / I^{n+c} M'$$

over  $\bigoplus_{n \in \mathbb{Z}} I^n$ .

Similarly for algebras.

2.2 (Artin-Rees) A noetherian,  $(*) M' \xrightarrow{f} M \xrightarrow{g} M''$   
an exact sequence of f.g.  $A$ -modules,  $I$  an ideal.

Then for  $n \gg 0$  if  $M'_1 \xrightarrow{f_1} M_1 \xrightarrow{g_1} M''_1$

is  $(I, n)$ -isomorphic to  $(*)$  &  $g_1, f_1 = 0$

then it is exact and all kernels, cokernels  
and images are  $(I, n-c)$ -isomorphic to  
those of  $(*)$ .

Given  $M$  with a resolution  $F_2 \rightarrow F_1 \rightarrow F_0 \rightarrow M \rightarrow 0$   
by f.g. free-modules, if we approximate the  
matrix entries of  $d$  s.t.  $dd=0$  holds we get  
an approximation of  $M$ . Conversely, approx. of  $M$   
 $\Rightarrow$  approx. of truncated resolutions.

2.3. A noetherian excellent  $B = A/J$ ,  $B' = A/J'$   
is  $(I, n)$  close to  $B$ . Then  $B$  reduced near  $V(I)$   
 $\Rightarrow B'$  reduced near  $V(I)$ . Same for normal.

The assertion for "reduced" is shown by  
blowing up  $I$ . When  $I = (f)$  use

Lemma.  $B$  reduced Japanese,  $h \in B$  non zero divisor  
 $\exists n \quad (x^2 \in h^n B \Rightarrow x \in hB)$ .

For the above type of approximation,  $\forall x \in \text{Spec}(A/I+J)$   
 $\dim B_x = \dim B'_x$ ,  $B_x$  regular  $\Leftrightarrow B'_x$  regular.

### 3. Log regular schemes and quotients.

A locally noetherian fs log scheme  $X$  is  
log regular iff  $\forall x \in X$  the completion is  
isomorphic to  $k[[x_1, \dots, x_n]][[M]]$  ( $M$   
a sharp toric monoid) in the equal-characteristic  
case, to  $I[[x_1, \dots, x_n]][[M]] / (f)$   
( $f \mapsto p \in I$ ,  $I$  Cohen ring) in the mixed  
characteristic case.

A canonical desingularization procedure for  
excellent schemes of char. 0 gives a canonical  
desingularization for toric varieties over  $\mathbb{Z}$   
and for log regular schemes.

A log regular scheme is normal and the log structure is determined by the locus of triviality of the log structure

$$j: U \hookrightarrow X \text{ (dense)} \quad M_X = \mathcal{O}_X \cap j_* \mathcal{O}_U^* \text{ (étale top)}$$

We say  $(X, X-U)$  is log regular.

3.1 Let  $X$  be a separated scheme equipped with an action of a finite group  $G$ . We say the action is tame iff  $\forall x \in X$  the order of the inertia group  $G_x$  is invertible in  $k(x)$ .

3.2 Lemma. Let  $G$  be a finite group acting on a noetherian ring  $A$  with  $|G|^{-1} \in A$ .

Then  $A^G$  is noetherian and  $A$  is finite over  $A^G$ .

3.3. Let  $G$  be a finite group generically freely acting on a <sup>sep</sup> log regular scheme  $(X, Z)$ . We say the action is very tame at  $x \in X$  iff  $G_x$  is of order prime to the char. exp.  $k(x)$ ,  $G_x$  acts trivially on  $\bar{M}_x$  and  $G_x$  acts trivially on the

connected stratum containing  $x$ .

[ Connected component of  $\{x \in X \mid \text{rk } \widehat{M}_x = i\}$  ]

Then  $G_x$  is abelian,  $\exists$  structure  
thm for completion, condition is open.

If action is very tame  $(X/G, Z/G)$  is  
log regular and action is free on  $X-Z$ .

$X-Z \rightarrow (X-Z)/G$  is a  $G$  torsor tamely  
ramified at maximal points of  $Z/G$ .

Conversely, if  $(X', Z')$  is log regular and  
 $V \rightarrow X'-Z'$  is a  $G$ -torsor tamely

ramified in cod. 1 then by FK purity it  
extends to a <sup>finite</sup> Kummer log étale map  
 $X \rightarrow X'$ ,  $X$  the normalization of  $X'$  in  $V$   
and  $G$  acts very tamely on  $(X, Z)$ .

3.4 Thm. Let  $G$  act generically freely  
and tamely on  $\underbrace{a}_{\text{sep, qc}}$  log regular  $(X, Z)$ .

Then there is a projective birational  
map  $X' \xrightarrow{p} X$ , s.t.

if  $Z' = p^{-1}(Z \cup \text{locus of non free action})$

Then  $(X', Z')$  is log regular,  $G$  acts very tamely on  $X'$ .

sketch of proof. Use canonical desing. of  $X$ .

WMA  $X$  regular,  $Z$  N.C.D.

To ensure  $G_x$  act trivially on  $\bar{M}_x$  need to

blow up  $k$ -uple intersections of components of  $Z$

$k \geq 2$ . ( Blow up  $N$ -uple intersections, then proper transform of  $(N-1)$ -uple intersections etc. )  
(étale locally WMA simple NCD)

In a similar way blow up along  $X^H \forall H \neq \{1\}$  and increase  $Z$ . This leads to a situation

with abelian inertia groups and free action

on  $X-Z$ . Étale locally can increase

$Z$  s.t. action is very tame. This depends on

choosing eigenfunctions and is not unique. This

gives a log regular structure on  $X/G$  and

the canonical desingularization of  $X/G$  is

shown independent of local choices by lifting

to char. 0,  $Y \xrightarrow{p} X/G$ . Show

$(Y, p^{-1}(Z/G))$  is log regular. Normalize  $Y$  in  $X-Z$ .

3.5. If in 3.4  $(X, Z)$  is log smooth over a base  $S$  with a trivial  $G$  action then  $(X', Z')$  and its  $\eta$  by  $G$  are log smooth over  $S$

3.6. Let  $(X, Z)$  be log regular  $X' \xrightarrow{f} X$  a nodal curve smooth over  $X-Z$ ,  $D \subset X'$  divisor in smooth locus étale over  $X$ . Then  $(X', D \cup f^{-1}(Z))$  is log regular

#### 4. Absolute cohomological purity.

Recall (Azumino) That for a regular immersion  $Z \subset X$  of cod.  $c$  have a global fundamental class in  $H_Z^{2c}(X_{\text{ét}}, \Lambda(c))$   $\Lambda = \mathbb{Z}/n$ ,  $n$  invertible on  $X$ ,

All schemes below are assumed to have an ample line bundle.

$f: X \rightarrow Y$  relative complete intersection  $\Leftrightarrow$  factorizable  $X \xrightarrow[\text{imm.}]{\text{reg.}} M \xrightarrow{\text{lisse}} Y$   $\text{cod}(f) = \text{cod}_Y X - \dim(M/Y)$ .

Get a gysin map  $\Lambda_X \rightarrow f^! \Lambda_Y(c) [2c]$   
 $c = \text{cod}(f)$   
 satisfying transitivity.

$$\text{Tr} : Rf_! \Lambda_X \rightarrow \Lambda_Y(c) [2c].$$

For  $f$  flat coincides with SGA<sup>IV</sup> XVIII 2.9.

4.1. Suppose 
$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ & \searrow & \swarrow \\ & S & \end{array}$$

rel c.i.,  $\text{cod}(f) = 0$

Gysin for  $f$  gives  $f^* F \rightarrow f^! F \quad \forall F \in D^+$ .

Get  $f_! f^* F \rightarrow F$ . For  $f$  proper

$F \rightarrow f_* f^* F \rightarrow F$ , This is shown to

be multiplication by  $\text{deg}(f) = \chi(Rf_* \mathcal{O}_X)$ .

Let  $K_X = p^! \Lambda_S(c) [2c] \quad c = \text{cod}(p), K_Y = \dots$

$$f^*(\Lambda_Y \rightarrow K_Y) \rightarrow (\Lambda_X \rightarrow K_X) \rightarrow f^!(\Lambda_Y \rightarrow K_Y).$$

Commutes.

For  $f$  finite get  $(\Lambda_Y \rightarrow K_Y) \xrightarrow{f_*} (\Lambda_X \rightarrow K_X)$

$\Leftrightarrow$  = mult. by  $\text{deg}(f)$  on 2 terms.

Hence if  $f$  is finite of constant generic

degree prime to  $n$  and  $\Lambda_X \xrightarrow{\sim} K_X$  then

$$\Lambda_Y \xrightarrow{\sim} K_Y.$$

The problem of ACP in the mixed characteristic case is reduced to the case of schemes of finite type over a trait  $S$  (complete)

and for such  $X$ ,  $X$  is punctually pure

$$\text{iff } \Lambda_X \cong K_X.$$

By de Jong  $\exists$  Galois alteration

$$\begin{array}{ccc} G \hookrightarrow X' & \longrightarrow & X \\ \downarrow & & \downarrow \\ G \hookrightarrow T & \longrightarrow & S \end{array} \quad \begin{array}{l} R(X') \text{ normal} \\ /R(X), \text{ Gal} = G. \end{array}$$

s.t.  $X'$  has a  $G$ -invariant log regular structure, log lisse over  $T$  with canonical log structure. (By Kida we can even take  $X' \rightarrow T$  projective regular with semistable reduction.)

$$n = l^i, \quad S_l \subset G \quad l\text{-syllow.}$$

can modify  $X'$  s.t.  $S_l$  action is very tame and  $\gamma = X'/S_l$  regular, and log lisse over  $T/S_l$ .

Then  $X'/S_l$  is étale locally of the form

$$\text{Spec } \mathcal{O}_{T/S_l} [x_1, \dots, x_n] / \left( \prod_{i=1}^n x_i^{e_i} - \pi \right) \quad \leftarrow \text{unif. of } T/S_l$$

Such schemes are p.p. by [Rap. Zink], [Ill]

NB. punctual purity for such schemes is equivalent to  $R^* j_* \Lambda = \Lambda^* R^1 j_* \Lambda$ ,  $j$  inclusion of general fiber. This is reduced to the case all  $e_i = 1$ .

Since  $Y \rightarrow X$  is of generic degree prime to  $l$ ,  $Y$  p.p.  $\Rightarrow X$  p.p.

5. Applying Spp.

Th. 5.1. Let  $R$  be a complete normal n.l.r.,  $I_i$  ( $i$  is finite set) ideals of  $R$ , (of dim.  $\geq 2$ )

Then  $\exists R' \supset R$  finite extension s.t.

$R'$  is normal and is the completion of a ring  $\sqrt[\text{reg.}]{R''}$  of finite type over a  $\sqrt[\text{reg.}]{\text{complete}}$  n.l.r. of dimension  $\dim(R) - 1$ , and  $I_i R'$  come from  $R''$ .

In the equal characteristic case can take  $R' = R$  and holds for  $\dim R = 1$ .

Th. 5.2. Let  $T \rightarrow R$  be an extension of complete DVR's. When the residue char. is  $p > 0$  assume that the

residue field  $k_T$  is perfect and that the maximal perfect subfield of  $k_R$  is algebraic over  $k_T$ . Then  $\exists$  finite extn  $T \subset T'$  s.t.  $(T' \otimes_{\mathbb{F}} R)_{\text{red}}^{\vee}$  has reduced special fibre over  $T'$ .

(Trivial in char. 0)

5.3. Consider the situation of Th. 5.1 in the case of mixed characteristic  $(0, p)$ . Let  $k_0$  be the maximal perfect subfield of  $k_R$ .

$$W = W(k_0) \longrightarrow R$$

$\exists W \rightarrow W'$  finite,  $R' = (R \otimes W')^{\vee}$  reduced fibre over max. ideal of  $W'$ . For every connected component

of  $R'$  choose a coefficient field  $k$  of the special fibre  $\bar{R}'$  over which  $\bar{R}'$  is analytically

separable:  $\exists k \left[ \begin{matrix} t_1, \dots, t_{d-1} \\ \text{gen. étale. finite} \end{matrix} \right] \longrightarrow \bar{R}'$

Extend  $k'$  to a Cohen ring  $I$  mapping to  $R'$ .  $I[[t_1, \dots, t_{d-1}]] \rightarrow R'$  finite, changing coordinates wma it is étale at pt  $(\pi, t_1, \dots, t_{d-2})$ , hence étale outside  $V(f)$  for  $f \in I[[t_1, \dots, t_{d-2}]][[t_{d-1}]]$  monic.

Using Elkik's approximation descend to  $I[[t_1, \dots, t_{d-2}]]\{t_{d-1}\}$  (henselian power series).

5.4. Prove weak form (1.1) by induction on the dimension.

6. First proof of Th. 0.1.

This proof gives that each  $R_i f_* F$  is constructible. For schemes of finite Krull dimension have bounds  $\rightarrow$  (cde open in  $\text{Spec}(R)$ ,  $R$  str. local  $\leq 2 \dim(R) - 1$ ), [Hu].

6.1. Let  $X \xrightarrow{\varepsilon} X$  be an  $h$ -hypercover.

Then  $F \xrightarrow{\sim} R\varepsilon_* \varepsilon^* F \quad \forall F \in D^+(X, \mathbb{Z}/h).$

If  $X \longrightarrow Y$

$f. \downarrow \quad \downarrow f$

$Y \xrightarrow{z} Y$

cartesian, rows  $h$ -hypercov.

$$Rf_* F = R\varepsilon_* (Rf_* F).$$

Analyzing the proof of this get also that this holds for pull-backs by an arbitrary  $T \rightarrow Y$ .

Reduce 0.1 to open immersions  $f_i$ , can choose hypercover s.t.  $\forall i \leq N \quad Y_i$  is regular and  $X_i \subset Y_i$  is for every connected component empty or complement of N.C.D.

Use generic constructibility (SGA 4 $\frac{1}{2}$ ).

7. Second proof of Th. 0.1.

(P<sub>c</sub>) For open immersion  $U \xrightarrow{j} X$ ,  $F \in D_c^b(U)$ ,  
 $\exists T \subset X$  of Cod.  $> c$  s.t.  $Rj_* F|_{X-T} \in D_c^b$ .

prove this inductively.

$c=0$  trivial

$c=1$  reduce to  $X$  normal and constant coefficients. Use ACP.

$\forall c$  (P<sub>c</sub>)  $\Rightarrow$  Theorem easy

Assume (P<sub>c-1</sub>) Let  $T_\alpha$  be an irr. comp. of cod.  $c$  of  $T$ . We allow to restrict to neighborhoods of the generic points of  $T$ .

Consider the diagram of page 3. Let

$T' \subset X'$  be the locus coming from (P<sub>c-1</sub>)

for  $X'$ . We may assume  $T' = \coprod T'_{\alpha\beta}$

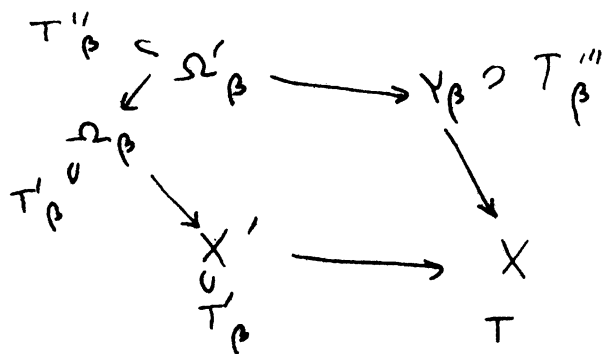
$T'_{\alpha\beta}$  irreducible finite over  $T_\alpha$ .  $\forall \beta \exists i$

$\Omega_i \rightarrow X'$  is an isomorphism over  $T'_{\alpha\beta}$ .

Given  $Z \subset Y \xrightarrow{p} X$   
 $p^{-1}(u) \xrightarrow{j_Y} Y$

Denote

$$Rq_* ((Rj_{Y*} F)|_Z) = \varphi(Z, Y)$$



Using inductive assumption

$$\varphi(T, X) \longrightarrow \bigoplus_{\beta} \varphi(T'_\beta, X')$$

has cone in  $D_c^b$ .

$$\varphi(T'_\beta, X') \xrightarrow{\sim} \varphi(T'_\beta, \Omega'_\beta) \xrightarrow{\quad} \varphi(T''_\beta, \Omega'_\beta)$$

has left inverse

$\varphi(T, X)$ .

$\varphi(T, X) \longrightarrow \varphi(T''_\beta, \Omega'_\beta)$  factorizes through an object in  $D_c^b$  since on  $Y_\beta$  have good open immersion (N.C.D)

8. Affine morphisms.

$f: X \rightarrow Y$  affine <sup>s.t.</sup>, assume  $\left\{ \begin{array}{l} Y \text{ universally catenarian and} \\ \exists \text{ dimension} \end{array} \right.$

function  $\delta$  on  $Y$  ( $\exists$  étale locally on a quasi excellent scheme), i.e.  $\delta(y') = \delta(y) + 1$  if  $y'$  is an immediate specialization of  $y$ .

Want to prove  $\delta(R^i f_* F) \leq \delta(F) - i$

(cf. SGA4 XIX).

Reduce to

Th. 8.1  $Y = \text{Spec}(R)$  strictly local excellent  $\dim = d$ ,  $f \in R$ , then  $H^i(\text{Spec}_R[f^{-1}], \mathbb{Z}/n) = 0$   $\forall i > d$ .

Let  $Y' \rightarrow Y$  be a truncated

$h$  hypercover as in first proof of finiteness.

$U \rightarrow U$   $U \subset \bar{U}$  have log structure

$H^i(U) = H^i(\text{closed fibre of } \bar{U} \text{ with Kummer étale topology})$

By formally smooth base change w.m.a.  $R$  complete, quotient of  $\mathbb{I}[x_1, \dots, x_m]$ .

Approximate to a quotient of  $\mathbb{I}\{x_1, \dots, x_m\}$ .

## 9. Top Cohomology of the punctured spectrum

### 9.1 Transition maps.

Let  $\bar{y} \rightarrow \bar{x}$  be an immediate specialization of geometric points of a quasiexcellent scheme  $X$ . So  $\bar{y} \rightarrow X(\bar{x})$  is centered at  $y$  corresponding to a 1-dimensional  $C \subset X(\bar{x})$ ,  $C^\nu$  is a trait.

$F \in D^+(X, \mathbb{Z}/n)$ .

$$\begin{aligned} H_{\bar{y}}^i(F) &\rightarrow H^1(\text{Gal}(\bar{y}/y), H_{\bar{y}}^i(F)(1)) \rightarrow H_{\bar{y}}^{i+1}(F)(1) \\ &\rightarrow H^{i+1}(X(\bar{x}) - \{\bar{x}\}, F(1)) \rightarrow H_{\bar{y}}^{i+2}(F)(1) \end{aligned} \quad (\text{sign!})$$

divided by the degree of the (inseparable) residue field extension for  $C^\nu \rightarrow C$ .

9.2. Thm. For every strictly local  $\sqrt{\text{normal}}$  excellent  $X$   $d = \dim(X)$ ,  $n$  invertible on  $X$  have an isomorphism

$$H_S^{2d}(X, \mathbb{Z}/n(d)) \rightarrow \mathbb{Z}/n$$

compatible with transition maps

compatible with  $\text{trace}$  for finite  $X' \rightarrow X$  (up

to residue extension).

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For  $\dim(X) = 2$  let  $X' \xrightarrow{p} X$  be a  
desingularization  $p^{-1}(\mathbb{E})_{\text{red}} \stackrel{(\text{simple})}{N \subset D} \cup_i D_i$

$$j: U = X - \{\mathbb{E}\} \hookrightarrow X'$$

$$d_2: H^0(X'_\mathbb{E}, R^2 j_* \mathbb{Z}/n) \longrightarrow H^2(X'_\mathbb{E}, R^1 j_* \mathbb{Z}/n) \\ \cong \bigoplus \mathbb{1}_{D_i}(-1)$$

can compute  $d_2$ ,

$$\text{Coker}(d_2) = H^3(U).$$

For  $\dim(X) > 2$  let  $X'$  be the normalization  
of  $\text{bl}_q(X)$ ,  $q$  an  $\mathfrak{m}$ -primary ideal.

$$E_2^{p,q} = H^p(X'_\mathbb{E}, R^q j_* \Lambda)$$

concentrated in  $0 \leq p \leq 2d-2$ ,  $0 \leq q \leq d$

$p \leq 2(d-q)$  using  $\mathfrak{f}_q$ .

can avoid using this by showing that the limit  
of  $E_2^{p,q}$  over all  $X'$  vanishes outside  
this range.

$$H^{2d-4}(X'_\mathbb{E}, R^2 j_* \Lambda) \longrightarrow H^{2d-2}(X'_\mathbb{E}, R^1 j_* \Lambda) \longrightarrow H^{2d-1}(U, \Lambda) \longrightarrow 0.$$

Using the information from the 2 dimensional case show the image of  $d_2$  identifies the contributions of the irreducible components of  $X'_F$ .

Check compatibility with transition map for curves  $C \subset X$  whose proper transform is regular and meets  $(X'_F)_{\text{red}} = E$  transversally at a regular point.

For  $X$  regular every  $C$  becomes transversal on some  $X'$ .

### 10. Dualizing complexes.

10.1 Given any specialization  $\bar{y} \rightarrow \bar{x}$

$$\text{define } H^i_{\bar{y}}(F) \longrightarrow H^{i+2c}_{\bar{x}}(F \otimes \mathcal{O})$$

by decomposing to immediate specializations.

It is independent of the choice. (scheme p. exc.)

Assume  $X$  universally catenarian with a dimension function  $\delta$ .

Definition 10.2. A candidate dualizing (c.d.) complex on  $X$  is  $K \in D^+(X, \Lambda)$  equipped with  $R\Gamma_{\bar{x}}(K) \simeq \Lambda(\delta(x)) [2\delta(x)]$  compatible with transition maps.

10.3. If  $Y \xrightarrow{f} X$  is of finite type and  $K$  is c.d. on  $X$  then  $f^!K$  is c.d. on  $Y$  w.r.t.  $\delta(Y) = \delta(f(Y)) + \text{tr. deg.}(Y/f(Y))$ .

10.4. If  $Y \xrightarrow{f} X$  is flat with geometrically regular fibres and  $K$  is c.d. on  $X$  then  $f^*K$  is c.d. on  $Y$  w.r.t.

$$\delta(Y) = \delta(f(Y)) - \dim(\mathcal{O}_{f^{-1}(f(Y)), Y}).$$

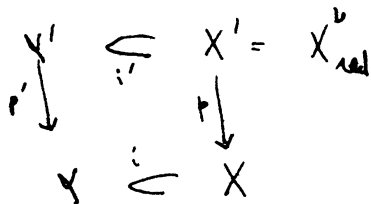
Th. 10.5. A c.d. complex exists and is unique up to a unique isomorphism.

$$\Lambda_{\bar{x}} \xrightarrow{\cong} \text{Iso } R\text{Hom}(K, K).$$

Remark.  $K$  is  $(-2s)$ -perverse  
 for  $X$  normal irreducible with generic point  
 $j: \eta \rightarrow X$ ,  $s(\eta) = 0$ ,  $K = \tau_{\leq \varphi} Rj_* \Lambda$

$$\varphi(x) = \max(0, 2 \dim(\mathcal{O}_{X,x}) - 2)$$

In the proof of 10.5 we may assume it  
 is known for schemes finite over proper closed  
 subschemes of  $X$ .



$X - Y$  = normal locus of  $X_{\text{red}}$ .

Have  $K_{Y'} = p'^! K_Y = i'^! K_{X'}$ .

$$p'_* K_{Y'} \longrightarrow K_Y \oplus p_* K_{X'}$$

show cone of this is c.d. for  $X$ .

10.6  $K \in D_{\text{ctf}}^b(X)$ , compatible with change of  $\Lambda$ ,  
 $D_K: D_c^b \rightarrow D_c^b$ . For a constructible sheaf  $F$   
 show  $F \cong \tau_{\leq 0} D_K D_K F$  by reducing to the  
 case of constant sheaves.

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10.7 show  $\mathcal{H}^r(\text{Cone}(F \rightarrow D_K D_K F)) = 0$   
by induction on  $(\dim X, r)$ .

The proof of [Th. Finitude] extends to

(biduality for excellent schemes of  $\dim \leq d$ )

$\Rightarrow$  (biduality for schemes of finite type over  
excellent schemes of  $\dim \leq d$ ).

Enough to embed  $F$  in a sheaf for which  
biduality is known. Use 5.1.