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# Existence of Positive Solutions of the Semilinear Dirichlet Problem with Critical Growth for the $n$ -Laplacian

ADIMURTHI

## 1. - Introduction

Let  $\Omega$  be a bounded open set in  $\mathbb{R}^n$  with smooth boundary. We are looking for a solution of the following problem:

Let  $1 < p \leq n$ , find  $u \in W_0^{1,p}(\Omega) \setminus \{0\}$  such that

$$(1.1) \quad \begin{aligned} \Delta_p u &= f(x, u)|u|^{p-2} && \text{in } \Omega \\ u &\geq 0, \end{aligned}$$

where  $\Delta_p u = \operatorname{div}(|\nabla u|^{p-2} \nabla u)$  is the  $p$ -Laplacian and  $f : \bar{\Omega} \times \mathbb{R} \rightarrow \mathbb{R}$  is a  $C^1$ -function with  $f(x, 0) = 0$ ,  $f(x, t) \geq 0$  for  $t \geq 0$  and of critical growth.

For  $p = 2$  and  $n \geq 3$ , Brézis-Nirenberg [4] have studied the existence and non-existence of solution of (1.1) when  $f$  has critical growth of the form  $u^{(n+2)/(n-2)} + \lambda u$ . A generalization of this result, on the same lines, for the  $p$ -Laplacian with  $p \leq n$  and  $p^2 \leq n$ , has been studied by Garcia Azorero-Peral Alonso [7]. When  $p = n$ , in view of the Trudinger [13] imbedding, a critical growth function  $f(x, u)$  behaves like  $\exp(b|u|^{n/(n-1)})$  for some  $b > 0$ . In this context, when  $p = n = 2$  and  $\Omega$  is a ball in  $\mathbb{R}^2$ , existence of a solution of (1.1) has been studied by Adimurthi [1], Atkinson-Peletier [2]. The method used by Atkinson-Peletier is a shooting method and hence cannot be generalized to solve (1.1) in an arbitrary domain. Whereas in Adimurthi [1], (1.1) is solved via variational method which is in the spirit of Brézis-Nirenberg [4] and, based on this method, we prove the following main result in this paper.

Let  $f(x, t) = h(x, t) \exp(b|t|^{n/(n-1)})$  be a function of critical growth and  $F(x, t)$  be its primitive (see definition (2.1)). For  $u \in W_0^{1,n}(\Omega)$ , let

$$(1.2) \quad J(u) = \frac{1}{n} \int_{\Omega} |\nabla u|^n dx - \int_{\Omega} F(x, u) dx$$

$$(1.3) \quad \lambda_1(u) = \inf \left\{ \int_{\Omega} |\nabla u|^n \, dx; \ u \in W_0^{1,n}(\Omega), \ \int_{\Omega} |u|^n \, dx = 1 \right\}$$

$$(1.4) \quad \alpha_n = n\omega_n^{1/(n-1)}, \text{ where } \omega_n = \text{Volume of } S^{n-1}.$$

**THEOREM** *Let  $f(x, t) = h(x, t) \exp(bt|t|^{n/(n-1)})$  be a function of critical growth on  $\Omega$ . Then*

1)  $J : W_0^{1,n}(\Omega) \rightarrow \mathbb{R}$  satisfies the Palais-Smale Condition on the interval  $\left(-\infty, \frac{1}{n} \left(\frac{\alpha_n}{b}\right)^{n-1}\right)$ ;

2) Let  $f'(x, t) = \frac{\partial}{\partial t} f(x, t)$  and further assume that

$$(1.5) \quad \sup_{x \in \Omega} f'(x, 0) < \lambda_1(\Omega)$$

$$(1.6) \quad \overline{\lim}_{t \rightarrow \infty} \inf_{x \in \Omega} h(x, t)t^{n-1} = \infty,$$

then there exists some  $u_0 \in W_0^{1,n}(\Omega) \setminus \{0\}$  such that

$$(1.7) \quad \begin{aligned} \Delta_n u_0 &= f(x, u_0)u_0^{n-2} && \text{in } \Omega \\ u_0 &\geq 0 \\ u_0 &= 0 && \text{on } \partial\Omega. \end{aligned}$$

The method adopted to solve (1.7) in Brézis-Nirenberg [4] does not work because of the critical growth is of exponential type. Here we adapt the method of artificial constraint due to Nehari [11]. The main idea of the proof is as follows:

Define

$$(1.8) \quad \frac{a(\Omega, f)^n}{n} = \inf \left\{ J(u); \ \int_{\Omega} |\nabla u|^n \, dx = \int_{\Omega} f(x, u)u^{n-1} \, dx, \ u \neq 0 \right\},$$

then the minimizer of (1.8) is a solution of (1.7).

It has to be noted that  $\alpha_n$  is the best constant appearing in Moser’s [10] result about the Trudinger’s imbedding of  $W_0^{1,n}(\Omega)$ . In view of this, one expects that  $J$  should satisfy the Palais-Smale Condition on  $\left(-\infty, \frac{1}{n} \left(\frac{\alpha_n}{b}\right)^{n-1}\right)$ . Therefore, in order to get a minimizer of (1.8), the question remains to show that

$$(1.9) \quad a(\Omega, f)^n < \left(\frac{\alpha_n}{b}\right)^{n-1}$$

and this has been achieved by showing the following relation

$$(1.10) \quad \sup_{\int_{\Omega} |\nabla w|^n dx \leq 1} \int_{\Omega} f(x, a(\Omega, f)w)w^{n-1} dx \leq a(\Omega, f).$$

In the forthcoming paper (jointly with Yadava), we discuss the bifurcation and multiplicity results for (1.7) when  $n = 2$ .

### 2. - Preliminaries

Let  $\Omega$  be a bounded domain with smooth boundary. In view of the Trudinger-Moser [13,10] imbedding, we have the following definition of functions of critical growth.

**DEFINITION 2.1.** Let  $h : \overline{\Omega} \times \mathbb{R} \rightarrow \mathbb{R}$  be a  $C^1$ -function and  $b > 0$ . Let  $f(x, t) = h(x, t) \exp(b|t|^{n/(n-1)})$ . We say that  $f$  is a function of critical growth on  $\Omega$  if the following holds:

There exist constants  $M > 0, \sigma \in [0, 1)$  such that, for every  $\epsilon > 0$ , and for every  $(x, t) \in \overline{\Omega} \times (0, \infty)$ ,

- (H<sub>1</sub>)  $f(x, 0) = 0, f(x, t) > 0, f(x, t)t^{n-1} = f(x, -t)(-t)^{n-1};$
- (H<sub>2</sub>)  $f'(x, t) > \frac{f(x, t)}{t},$  where  $f'(x, t) = \frac{\partial f}{\partial t}(x, t);$
- (H<sub>3</sub>)  $F(x, t) \leq M(1 + f(x, t)t^{n-2+\sigma}),$  where

$$F(x, t) = \int_0^t f(x, s)s^{n-2} ds$$

is the primitive of  $f$ ;

$$(H_4) \quad \limsup_{t \rightarrow \infty} \sup_{x \in \overline{\Omega}} h(x, t) \exp(-\epsilon t^{n/(n-1)}) = 0,$$

$$\liminf_{t \rightarrow \infty} \inf_{x \in \overline{\Omega}} h(x, t) \exp(\epsilon t^{n/(n-1)}) = \infty.$$

Let  $A(\Omega)$  denote the set of all functions of critical growth on  $\Omega$ .

**EXAMPLES.** In view of (H<sub>1</sub>), it is enough to define  $f$  on  $\overline{\Omega} \times (0, \infty)$ .

- 1) For  $m \geq 1, b > 0, \beta \geq 0$  and  $0 \leq \alpha < \frac{n}{n-1}, f(x, t) = t^m \exp(\beta t^\alpha) \exp(bt^{n/(n-1)})$  is in  $A(\Omega)$ .
- 2)  $f(x, t) = t^2 e^{-t} \exp(t^{n/(n-1)})$  is in  $A(\Omega)$ .
- 3) Let  $f(x, t) = h(x, t) \exp(bt^{n/(n-1)})$ , satisfying (H<sub>1</sub>) and (H<sub>4</sub>).

Further assume that  $h'(x, t) \geq \frac{h(x, t)}{t}$  for  $(x, t) \in \bar{\Omega} \times (0, \infty)$ . Then  $f$  is in  $A(\Omega)$ .

For

$$\frac{f'(x, t)}{f(x, t)} = \frac{h'(x, t)}{h(x, t)} + \frac{nb}{n-1} t^{1/(n-1)} > \frac{1}{t}$$

and hence  $f$  satisfy  $(H_2)$ .

Let  $\epsilon > 0$ , and  $\sigma = \frac{1}{n-1}$

$$\begin{aligned} F(x, t) - F(x, \epsilon) &= \frac{n-1}{nb} \int_{\epsilon}^t h(x, s) s^{n-1-\sigma} \frac{d}{ds} \exp\left(bt^{n/(n-1)}\right) ds \\ &\leq \frac{n-1}{nb} [f(x, t)t^{n-2-\sigma} - f(x, \epsilon)\epsilon^{n-2-\sigma}]. \end{aligned}$$

This implies that there exists a constant  $M > 0$  such that  $F(x, t) \leq M[1 + f(x, t)t^{n-2-\sigma}]$  for  $(x, t) \in \bar{\Omega} \times (0, \infty)$ . This shows that  $f$  satisfy  $(H_3)$  and hence  $f \in A(\Omega)$ .

Let  $W_0^{1,n}(\Omega)$  be the usual Sobolev space and  $f(x, t) = h(x, t) \exp(bt^{n/(n-1)})$  be in  $A(\Omega)$ . For  $u \in W_0^{1,n}(\Omega)$ , define

$$(2.1) \quad \|u\|^n = \int_{\Omega} |\nabla u|^n dx$$

$$(2.2) \quad J(u) = \frac{1}{n} \|u\|^n - \int_{\Omega} F(x, u) dx$$

$$(2.3) \quad I(u) = \frac{1}{n} \int_{\Omega} f(x, u) u^{n-1} dx - \int_{\Omega} F(x, u) dx$$

$$(2.4) \quad \partial B(\Omega, f) = \left\{ u \in W_0^{1,n}(\Omega) \setminus \{0\}; \|u\|^n = \int_{\Omega} f(x, u) u^{n-1} dx \right\}$$

$$(2.5) \quad \frac{a(\Omega, f)^n}{n} = \inf \{ J(u); u \in \partial B(\Omega, f) \}$$

$$(2.6) \quad \lambda_1(\Omega) \doteq \inf \left\{ \|u\|^n; \int_{\Omega} |u|^n dx = 1 \right\}$$

$$\alpha_n = n\omega_n^{1/(n-1)}, \text{ where } \omega_n = \text{Volume of } S^{n-1}.$$

DEFINITION OF MOSER FUNCTIONS. Let  $x_0 \in \Omega$  and  $R \leq d(x_0, \partial\Omega)$ , where  $d$  denotes the distance from  $x_0$  to  $\partial\Omega$ . For  $0 < \ell < R$ , define

$$m_{\ell,R}(x, x_0) = \frac{1}{\omega_n^{1/n}} \begin{cases} \left(\log \frac{R}{\ell}\right)^{1-\frac{1}{n}} & \text{if } 0 \leq |x - x_0| \leq \ell \\ \frac{\log \frac{R}{r}}{\left(\log \frac{R}{\ell}\right)^{1/n}} & \text{if } \ell \leq r = |x - x_0| \leq R \\ 0 & \text{if } |x - x_0| \geq R. \end{cases}$$

Then it is easy to see that  $m_{\ell,R} \in W_0^{1,n}(\Omega)$  and  $\|m_{\ell,R}\| = 1$ .

For the proof of our theorem, we need the following two results whose proof is found in Moser [10] and P.L. Lions [9] respectively.

THEOREM 2.1 (Moser). 1) Let  $u \in W_0^{1,n}(\Omega)$ , and  $p < \infty$ , then  $\exp(|u|^{n/(n-1)}) \in L^p(\Omega)$ .

$$2) \left(\frac{\alpha_n}{b}\right)^{n-1} = \max \left\{ c^n; \sup_{\|w\| \leq 1} \int \exp(bc^{n/(n-1)}|w|^{n/(n-1)}) dx < \infty \right\}.$$

THEOREM 2.2 (P.L. Lions). Let  $\{u_k; \|u_k\| = 1\}$  be a sequence in  $W_0^{1,n}(\Omega)$  converging weakly to a non-zero function  $u$ . Then, for every  $p < (1 - \|u\|^n)^{-1/(n-1)}$ ,

$$\sup_k \int_{\Omega} \exp(p\alpha_n|u_k|^{n/(n-1)}) dx < \infty.$$

### 3. - Proof of the Theorem

We need a few lemmas to prove the theorem. The proof of the following lemma is given in the appendix.

LEMMA 3.1. Let  $f \in A(\Omega)$ . Then we have

1) If  $u \in W_0^{1,n}(\Omega)$ , then  $f(x, u) \in L^p(\Omega)$  for all  $p \geq 0$ .

$$2) \left(\frac{\alpha_n}{b}\right)^{n-1} = \sup \left\{ c^n; \sup_{\|w\| \leq 1} \int f(x, cw)w^{n-1} dx < \infty \right\}.$$

3) Let  $\{u_k\}$  and  $\{v_k\}$  be bounded sequences in  $W_0^{1,n}(\Omega)$  converging weakly and for almost every  $x$  in  $\Omega$  to  $u$  and  $v$  respectively. Further assume that

$$\overline{\lim}_k \|u_k\|^n < \left(\frac{\alpha_n}{b}\right)^{n-1}.$$

Then, for every integer  $\ell \geq 0$ ,

$$\lim_{k \rightarrow \infty} \int_{\Omega} \frac{f(x, u_k)}{u_k} v_k^{\ell} dx = \int_{\Omega} \frac{f(x, u)}{u} v^{\ell} dx.$$

4) Let  $\{u_k\}$  be a sequence in  $W_0^{1,n}(\Omega)$  converging weakly and for almost every  $x$  in  $\Omega$  to  $u$ , such that

$$\sup_k \int_{\Omega} f(x, u_k) u_k^{n-1} dx < \infty.$$

Then, for any  $0 \leq \tau < 1$ ,

$$\lim_{k \rightarrow \infty} \int_{\Omega} f(x, |u_k|) |u_k|^{n-2+\tau} dx = \int_{\Omega} f(x, |u|) |u|^{n-2+\tau} dx$$

$$\lim_{k \rightarrow \infty} \int_{\Omega} F(x, u_k) dx = \int_{\Omega} F(x, u) dx.$$

5)  $I(u) \geq 0$  for all  $u$  and  $I(u) = 0$  iff  $u \equiv 0$ . Further, there exists a constant  $M_1 > 0$  such that, for all  $u \in W_0^{1,n}(\Omega)$ ,

$$\int_{\Omega} f(x, u) u^{n-1} dx \leq M_1(1 + I(u)).$$

LEMMA 3.2. Let  $f = h \exp(b|t|^{n/(n-1)}) \in A(\Omega)$  and define

$$h_0(t) = \inf_{x \in \Omega} h(x, t), \quad M_0 = \sup_{t \geq 0} h_0(t) t^{n-1}, \quad R_0 = \sup_{x \in \bar{\Omega}} d(x, \partial\Omega),$$

and

$$k_0 = \begin{cases} \left(\frac{n}{R_0}\right)^{n/(n-1)} M_0^{-1/(n-1)} & \text{if } M_0 < \infty \\ 0 & \text{if } M_0 = \infty. \end{cases}$$

Let  $a \geq 0$  be such that

$$\sup_{\|w\| \leq 1} \int_{\Omega} f(x, aw) w^{n-1} dx \leq a.$$

If  $\frac{k_0}{b} < 1$ , then  $a^n < \left(\frac{\alpha_n}{b}\right)^{n-1}$ .

PROOF. From 2) of lemma 3.1, we have  $a^n \leq \left(\frac{\alpha_n}{b}\right)^{n-1}$ . Suppose  $a^n = \left(\frac{\alpha_n}{b}\right)^{n-1}$ . Let  $x_0 \in \Omega$  such that  $d(x_0, \partial\Omega) = R_0$  and  $0 < \ell < R_0$ . Let

$$m_{\ell}(x) = m_{\ell, R_0}(x, x_0).$$

be the Moser functions and

$$t = a\omega_n^{-1/n} \left( \log \frac{R_0}{\ell} \right)^{(n-1)/n},$$

then from (3.1) we have

$$\begin{aligned} a &\geq \int_{\Omega} f(x, am_{\ell})m_{\ell}^{n-1} dx \\ &\geq \int_{B(x_0, \ell)} h_0(am_{\ell})m_{\ell}^{n-1} \exp\left(ba^{n/(n-1)}m_{\ell}^{n/(n-1)}\right) dx \\ &= \frac{h_0(t)t^{n-1}\omega_n R_0^n}{na^{n-1}}. \end{aligned}$$

This implies that

$$\left(\frac{\alpha_n}{b}\right)^{n-1} = a^n \geq \frac{h_0(t)t^{n-1}\omega_n R_0^n}{n}.$$

That is, for all  $t \in (0, \infty)$ ,

$$b \leq \left(\frac{n}{R_0}\right)^{n/(n-1)} (h_0(t)t^{n-1})^{-1/(n-1)}$$

and hence

$$b \leq \left(\frac{n}{R_0}\right)^{n/(n-1)} \inf_{t \geq 0} (h_0(t)t^{n-1})^{-1/(n-1)} \leq k_0$$

which contradicts the hypothesis  $b > k_0$ . Hence  $a^n < \left(\frac{\alpha_n}{b}\right)^{n-1}$  and this proves the lemma.

LEMMA 3.3. (Compactness Lemma). *Let  $f$  be in  $A(\Omega)$  and  $\{u_k\}$  be a sequence in  $W_0^{1,n}(\Omega)$  converging weakly and for almost every  $x$  in  $\Omega$  to a non-zero function  $u$ . Further, assume that*

- (i) *There exists  $C \in \left(0, \frac{1}{n} \left(\frac{\alpha_n}{b}\right)^{n-1}\right]$  such that  $\lim_{k \rightarrow \infty} J(u_k) = C$ ;*
- (ii)  $\|u\|^n \geq \int_{\Omega} f(x, u)u^{n-1} dx$ ;
- (iii)  $\sup_k \int_{\Omega} f(x, u_k)u_k^{n-1} dx < \infty$ ;

then

$$\lim_{k \rightarrow \infty} \int_{\Omega} f(x, u_k)u_k^{n-1} dx = \int_{\Omega} f(x, u)u^{n-1} dx.$$



PROOF. From 5) of lemma 3.1,  $I(u) > 0$ . Therefore, from (ii) we have  $J(u) \geq I(u) > 0$  and  $J(u) \leq \lim_{k \rightarrow \infty} J(u_k) = C$ . Hence we can choose an  $\epsilon > 0$  such that

$$(3.2) \quad (C - J(u)) (1 + \epsilon)^{n-1} < \frac{1}{n} \left( \frac{\alpha_n}{b} \right)^{n-1}.$$

Let  $\beta = \int_{\Omega} F(x, u) dx$ . Then, from (iii) and 4) of lemma 3.1, we have

$$(3.3) \quad \lim_{k \rightarrow \infty} \|u_k\|^n = n \lim_{k \rightarrow \infty} \left\{ J(u_k) + \int_{\Omega} F(x, u_k) dx \right\} \\ = n(C + \beta).$$

From (3.2) and (3.3) we can choose a  $k_0 > 0$  such that, for all  $k \geq k_0$ ,

$$(3.4) \quad (1 + \epsilon)^{n-1} \left( \frac{b}{\alpha_n} \right)^{n-1} \|u_k\|^n < \frac{C + \beta}{C - J(u)} = \left( 1 - \frac{\|u\|^n}{n(C + \beta)} \right)^{-1}.$$

Now choose  $p$  such that

$$(3.5) \quad (1 + \epsilon)^{n-1} \left( \frac{b}{\alpha_n} \right)^{n-1} \|u_k\|^n \leq p^{n-1} < \frac{C + \beta}{C - J(u)}.$$

Applying theorem 2.2 to the sequence  $\frac{u_k}{\|u_k\|}$  and using (3.3) and (3.5), we have

$$(3.6) \quad \sup_k \int_{\Omega} \exp \left[ p \alpha_n \left( \frac{u_k}{\|u_k\|} \right)^{n/(n-1)} \right] dx < \infty.$$

From (3.5) and (3.6), we have

$$(3.7) \quad \sup_k \int_{\Omega} \exp \left( (1 + \epsilon)^{n-1} b |u_k|^{n/(n-1)} \right) dx \\ \leq \sup_k \int_{\Omega} \exp \left[ p \alpha_n \left( \frac{u_k}{\|u_k\|} \right)^{n/(n-1)} \right] dx < \infty.$$

Let

$$M_1 = \sup_{(x,t) \in \Omega \times \mathbb{R}} |h(x, t) t^{n-1}| \exp \left( -\epsilon \frac{b}{2} |t|^{n/(n-1)} \right)$$

and  $N > 0$ . Then from (3.7) we have

$$\begin{aligned}
 (3.8) \quad \int_{|u_k| \geq N} f(x, u_k) u_k^{n-1} dx &= \int_{|u_k| \geq N} h(x, u_k) u_k^{n-1} \exp\left(b|u_k|^{n/(n-1)}\right) dx \\
 &\leq M_1 \int_{|u_k| \geq N} \exp\left(-\epsilon \frac{b}{2} |u_k|^{n/(n-1)}\right) \exp\left[(1 + \epsilon)b|u_k|^{n/(n-1)}\right] dx \\
 &= O\left(\exp\left(-\epsilon \frac{b}{2} N^{n/(n-1)}\right)\right).
 \end{aligned}$$

Hence

$$\int_{\Omega} f(x, u_k) u_k^{n-1} dx = \int_{|u_k| \leq N} f(x, u_k) u_k^{n-1} dx + O\left(\exp\left(-\epsilon \frac{b}{2} N^{n/(n-1)}\right)\right).$$

Now letting  $k \rightarrow \infty$ , and  $N \rightarrow \infty$  in the above equation, we obtain

$$\lim_{k \rightarrow \infty} \int_{\Omega} f(x, u_k) u_k^{n-1} dx = \int_{\Omega} f(x, u) u^{n-1} dx.$$

This proves the lemma.

LEMMA 3.4. Let  $f \in A(\Omega)$  and assume that

(i)  $\overline{\lim}_{t \rightarrow \infty} h_0(t) t^{n-1} = \infty$ ,

where  $h_0(t) = \inf_{x \in \overline{\Omega}} h(x, t)$ ;

(ii)  $\sup_{x \in \overline{\Omega}} f'(x, 0) < \lambda_1(\Omega)$ ;

then

$$0 < a(\Omega, f)^n < \left(\frac{\alpha_n}{b}\right)^{n-1}.$$

PROOF. The lemma is proved in several steps.

STEP 1.  $a(\Omega, f) > 0$ .

Suppose  $a(\Omega, f) = 0$ . Then there exists a sequence  $\{u_k\}$  in  $\partial B(\Omega, f)$  such that  $J(u_k) \rightarrow 0$  as  $k \rightarrow \infty$ . Since  $J(u_k) = I(u_k)$ , hence from 5) of lemma 3.1

$$(3.9) \quad \sup_k \int_{\Omega} f(x, u_k) u_k^{n-1} dx < \infty$$

$$(3.10) \quad \sup_k \|u_k\|^n < \infty.$$

Then, by extracting a subsequence, we can assume that  $\{u_k\}$  converges weakly and for almost every  $x$  in  $\Omega$  to a function  $u$ . Now by Fatou's lemma,

$$0 \leq I(u) \leq \liminf_{k \rightarrow \infty} I(u_k) = \liminf_{k \rightarrow \infty} J(u_k) = 0.$$

Hence  $u \equiv 0$ . From (3.9) and 4) of lemma 3.1, we have

$$(3.12) \quad \lim_{k \rightarrow \infty} \|u_k\|^n = n \lim_{k \rightarrow \infty} \left\{ J(u_k) + \int_{\Omega} F(x, u_k) dx \right\} = 0.$$

Let  $v_k = \frac{u_k}{\|u_k\|}$  and converging weakly to  $v$ . Using  $u_k \in \partial B(\Omega, f)$ , (3.12), 3) of lemma 3.1 and (ii), we have

$$\begin{aligned} 1 &= \lim_{k \rightarrow \infty} \int_{\Omega} \frac{f(x, u_k)}{u_k} v_k^n dx \\ &= \int_{\Omega} f'(x, 0) v^n dx < \lambda_1(\Omega) \int_{\Omega} v^n dx \leq 1, \end{aligned}$$

which is a contradiction. This prove step 1.

STEP 2. For every  $u \in W_0^{1,n}(\Omega) \setminus \{0\}$ , there exists a constant  $\gamma > 0$  such that  $\gamma u \in \partial B(\Omega, f)$ . Moreover, if

$$(3.13) \quad \|u\|^n \leq \int_{\Omega} f(x, u) u^{n-1} dx,$$

then  $\gamma \leq 1$  and  $\gamma = 1$  iff  $u \in \partial B(\Omega, f)$ .

For  $\gamma > 0$ , define

$$\psi(\gamma) = \frac{1}{\gamma} \int_{\Omega} f(x, \gamma u) u^{n-1} dx.$$

Then, from 3) of lemma 3.1 and (ii), we have

$$\begin{aligned} \lim_{\gamma \rightarrow 0} \psi(\gamma) &= \int_{\Omega} f'(x, 0) u^n dx < \|u\|^n, \\ \lim_{\gamma \rightarrow \infty} \psi(\gamma) &= \infty. \end{aligned}$$

Hence there exists  $\gamma > 0$  such that  $\psi(\gamma) = \|u\|^n$ ; this implies that  $\gamma u \in \partial B(\Omega, f)$ . From  $(H_1)$  and  $(H_2)$ , it follows that  $\frac{f(x, tu)}{t} u^{n-1}$  is an

increasing function for  $t > 0$ . Hence, if  $u$  satisfies (3.13), it follows that  $\gamma \leq 1$  and  $\gamma = 1$  iff  $u \in \partial B(\Omega, f)$ . This proves step 2.

STEP 3.  $a(\Omega, f)^n < \left(\frac{\alpha_n}{b}\right)^{n-1}$ .

Let  $w \in W_0^{1,n}(\Omega)$  such that  $\|w\| = 1$ . From step 2, we can choose a  $\gamma > 0$  such that  $\gamma w \in \partial B(\Omega, f)$ . Hence

$$\frac{a(\Omega, f)^n}{n} \leq J(\gamma w) \leq \frac{\gamma^n}{n} \|w\|^n = \frac{\gamma^n}{n};$$

this implies that  $a(\Omega, f) \leq \gamma$ . Using again the fact that  $\frac{f(x, tw)}{t} w^{n-1}$  is an increasing function of  $t$  in  $(0, \infty)$  and  $\gamma w \in \partial B(\Omega, f)$ , we have

$$\int_{\Omega} \frac{f(x, a(\Omega, f)w)}{a(\Omega, f)} w^{n-1} dx \leq \int_{\Omega} \frac{f(x, \gamma w)}{\gamma} w^{n-1} dx = 1.$$

This implies that

$$(3.14) \quad \sup_{\|w\| \leq 1} \int_{\Omega} f(x, a(\Omega, f)w) w^{n-1} dx \leq a(\Omega, f).$$

Now from (i), (3.14) and lemma 3.2 we have  $a(\Omega, f)^n < \left(\frac{\alpha_n}{b}\right)^{n-1}$ . This proves the lemma.

LEMMA 3.5. Let  $f \in A(\Omega)$  and  $u_0 \in \partial B(\Omega, f)$  such that  $J'(u_0) \neq 0$  ( $J'(u)$  denote the derivative of  $J$  at  $u$ ). Then

$$J(u_0) > \inf\{J(u); u \in \partial B(\Omega, f)\}.$$

PROOF. Choose  $h_0 \in W_0^{1,n}(\Omega)$  such that  $\langle J'(u_0), h_0 \rangle = 1$  and, for  $\alpha, t \in \mathbb{R}$ , define  $\sigma_t(\alpha) = \alpha u_0 - t h_0$ . Then

$$\lim_{\substack{t \rightarrow 0 \\ \alpha \rightarrow 1}} \frac{d}{dt} J(\sigma_t(\alpha)) = -\langle J'(u_0), h_0 \rangle = -1$$

and hence we can choose  $\epsilon > 0, \delta > 0$  such that, for all  $\alpha \in [1 - \epsilon, 1 + \epsilon]$  and  $0 < t \leq \delta$ ,

$$(3.15) \quad J(\sigma_t(\alpha)) < J(\sigma_0(\alpha)) = J(\alpha u_0).$$

Let

$$\rho_t(\alpha) = \|\sigma_t(\alpha)\|^n - \int_{\Omega} f(x, \sigma_t(\alpha)) \sigma_t(\alpha)^{n-1} dx.$$

Since  $\frac{f(x, \alpha u_0)}{\alpha} u_0^{n-1}$  is an increasing function of  $\alpha$  and using  $u_0 \in \partial B(\Omega, f)$ , by shrinking  $\epsilon$  and  $\delta$  if necessary, we have, for  $0 < t \leq \delta$ ,  $\rho_t(1-\epsilon) > 0$  and  $\rho_t(1+\epsilon) < 0$ . Hence there exists  $\alpha_t$  such that  $\rho_t(\alpha_t) = 0$ . Therefore  $\sigma_t(\alpha_t)$  is in  $\partial B(\Omega, f)$ . Hence from (3.15) we have

$$\begin{aligned} \inf\{J(u); u \in \partial B(\Omega, f)\} &\leq J(\sigma_t(\alpha_t)) \\ &< J(\alpha_t u_0) \leq \sup_{t \in \mathbb{R}} J(tu_0) = J(u_0). \end{aligned}$$

This proves the lemma.

PROOF OF THE THEOREM.

1) *Palais-Smale Condition.* Let  $C \in \left(-\infty, \frac{1}{n} \left(\frac{\alpha_n}{b}\right)^{n-1}\right)$  and  $\{u_k\}$  be a sequence such that

$$(3.16) \quad \begin{aligned} \lim_{k \rightarrow \infty} J(u_k) &= C \\ \lim_{k \rightarrow \infty} J'(u_k) &= 0. \end{aligned}$$

Let  $h \in W_0^{1,n}(\Omega)$ , then we have

$$(3.18) \quad \langle J'(u_k), h \rangle = \int_{\Omega} |\nabla u_k|^{n-2} \nabla u_k \cdot \nabla h \, dx - \int_{\Omega} f(x, u_k) u_k^{n-2} h \, dx.$$

Hence we have

$$(3.19) \quad J(u_k) - \frac{1}{n} \langle J'(u_k), u_k \rangle = I(u_k).$$

CLAIM 1.

$$(3.20) \quad \sup_k \|u_k\| + \sup_k \int_{\Omega} f(x, u_k) u_k^{n-1} \, dx < \infty.$$

Since  $\{J(u_k)\}$  and  $\{J'(u_k)\}$  are bounded and hence from (3.19),  $I(u_k) = O(\|u_k\|)$ . Now from 5) of lemma 3.1, we have  $\int_{\Omega} f(x, u_k) u_k^{n-1} \, dx = O(\|u_k\|)$ .

Now from  $(H_3)$  it follows that

$$\int_{\Omega} F(x, u_k) \, dx = O(\|u_k\|)$$

and, by using the boundedness of  $J(u_k)$ , we obtain  $\|u_k\|^n = O(\|u_k\|)$ . This implies (3.20) and hence the claim.

By extracting a subsequence, we can assume that

$$(3.21) \quad u_k \rightarrow u_0 \text{ weakly and for almost all } x \text{ in } \Omega.$$

CASE (I).  $C \leq 0$ .

From Fatou's lemma and 5) of lemma 3.1, we have

$$\begin{aligned} 0 \leq I(u_0) &\leq \liminf_{k \rightarrow \infty} I(u_k) \\ &= \liminf_{k \rightarrow \infty} \left\{ J(u_k) - \frac{1}{n} \langle J'(u_k), u_k \rangle \right\} \\ &= C. \end{aligned}$$

Hence  $u_0 \equiv 0$ . If  $C < 0$ , no Palais-Smale sequence exists. If  $C = 0$ , then from (3.20) and 4) of lemma 3.1 we have

$$\lim_{k \rightarrow \infty} \|u_k\|^n = n \lim_{k \rightarrow \infty} \left\{ J(u_k) + \int_{\Omega} F(x, u_k) \, dx \right\} = 0.$$

This proves that  $u_k \rightarrow 0$  strongly.

CASE (II).  $C \in \left( 0, \frac{1}{n} \left( \frac{\alpha_n}{b} \right)^{n-1} \right)$ .

CLAIM 2.  $u_0 \not\equiv 0$  and  $u_0 \in \partial B(\Omega, f)$ .

Suppose  $u_0 \equiv 0$ . Then, from (3.20) and 4) of lemma 3.1, we have

$$(3.22) \quad \begin{aligned} \lim_{k \rightarrow \infty} \|u_k\|^n &= n \lim_{k \rightarrow \infty} \left\{ J(u_k) + \int_{\Omega} F(x, u_k) \, dx \right\} \\ &= nC < \left( \frac{\alpha_n}{b} \right)^{n-1}. \end{aligned}$$

Hence, from 3) of lemma 3.1 and (3.22), we have

$$\lim_{k \rightarrow \infty} \int_{\Omega} f(x, u_k) u_k^{n-1} \, dx = \int_{\Omega} f(x, u_0) u_0^{n-1} \, dx = 0.$$

This implies that  $\lim_{k \rightarrow \infty} I(u_k) = 0$  and hence from (3.19)

$$0 < C = \lim_{k \rightarrow \infty} J(u_k) = \lim_{k \rightarrow \infty} \left\{ I(u_k) + \frac{1}{n} \langle J'(u_k), u_k \rangle \right\} = 0$$

which is a contradiction. Hence  $u_0 \neq 0$ . From (3.20) and 4) of lemma 3.1, taking  $h \in C_0^\infty(\Omega)$  and letting  $k \rightarrow \infty$  in (3.19), we obtain

$$\int_{\Omega} |\nabla u_0|^{n-2} \nabla u_0 \cdot \nabla h \, dx = \int_{\Omega} f(x, u_0) u_0^{n-2} h \, dx.$$

By density, the above equation holds for all  $h \in W_0^{1,n}(\Omega)$ . Hence, by taking  $h = u_0$ , we obtain

$$(3.23) \quad \|u_0\|^n = \int_{\Omega} f(x, u_0) u_0^{n-1} \, dx.$$

Hence  $u_0 \in \partial B(\Omega, f)$  and this proves the claim.

Now from (3.20) and claim 2,  $\{u_k, u_0\}$  satisfy all the hypotheses of the compactness lemma 3.3. Hence we have

$$\begin{aligned} \|u_0\|^n &\leq \liminf_{k \rightarrow \infty} \|u_k\|^n \\ &= n \lim_{k \rightarrow \infty} \left\{ J(u_k) + \int_{\Omega} F(x, u_k) \, dx \right\} \\ &= n \lim_{k \rightarrow \infty} \left\{ I(u_k) + \frac{1}{n} \langle J'(u_k), u_k \rangle + \int_{\Omega} F(x, u_k) \, dx \right\} \\ &= \lim_{k \rightarrow \infty} \left\{ \int_{\Omega} f(x, u_k) u_k^{n-1} \, dx + \langle J'(u_k), u_k \rangle \right\} \\ &= \int_{\Omega} f(x, u_0) u_0^{n-1} \, dx = \|u_0\|^n. \end{aligned}$$

This implies that  $u_k$  converges to  $u_0$  strongly. This proves the Palais-Smale condition.

2) *Existence of Positive Solution.* Since the critical points of  $J$  are the solutions of the equation (1.7) and  $J(u) = J(|u|)$  for all  $u$  in  $\partial B(\Omega, f)$  and hence in view of lemma 3.5, it is enough to prove that there exists  $u_0 \neq 0$  such that

$$(3.24) \quad \frac{a(\Omega, f)^n}{n} = J(u_0).$$

Let  $u_k \in \partial B(\Omega, f)$  such that

$$\lim_{k \rightarrow \infty} J(u_k) = \frac{a(\Omega, f)^n}{n}.$$

Since  $J(u_k) = I(u_k)$ , and hence by 5) of lemma 3.1

$$(3.25) \quad \sup_k \int_{\Omega} f(x, u_k) u_k^{n-1} dx < \infty,$$

$$(3.26) \quad \sup_k \|u_k\| < \infty.$$

Hence we can extract a subsequence such that

$$u_k \rightarrow u_0 \text{ weakly and for almost all } x \text{ in } \Omega.$$

CLAIM 3.  $u_0 \neq 0$  and

$$(3.28) \quad \|u_0\|^n \leq \int_{\Omega} f(x, u_0) u_0^{n-1} dx.$$

Suppose  $u_0 \equiv 0$ , then from (3.25) and 4) of lemma 3.1

$$(3.29) \quad \begin{aligned} \lim_{k \rightarrow \infty} \|u_k\|^n &= n \lim_{k \rightarrow \infty} \left\{ J(u_k) + \int_{\Omega} F(x, u_k) dx \right\} \\ &= a(\Omega, f)^n. \end{aligned}$$

From lemma 3.4, we have  $0 < a(\Omega, f)^n < \left(\frac{\alpha_n}{b}\right)^{n-1}$ . Hence, from (3.29) and 3) of lemma 3.1, we have

$$\lim_{k \rightarrow \infty} \int_{\Omega} f(x, u_k) u_k^{n-1} dx = 0.$$

This implies that

$$0 < \frac{a(\Omega, f)^n}{n} = \lim_{k \rightarrow \infty} J(u_k) = \lim_{k \rightarrow \infty} I(u_k) = 0,$$

which is a contradiction. This proves  $u_0 \neq 0$ . Suppose (3.28) is false, then

$$(3.30) \quad \|u_0\|^n > \int_{\Omega} f(x, u_0) u_0^{n-1} dx.$$

Now from (3.25), (3.30) and  $0 < a(\Omega, f)^n < \left(\frac{\alpha_n}{b}\right)^{n-1}$ ,  $\{u_k, u_0\}$  satisfy all the hypotheses of lemma 3.3. Hence

$$\lim_{k \rightarrow \infty} \int_{\Omega} f(x, u_k) u_k^{n-1} dx = \int_{\Omega} f(x, u_0) u_0^{n-1} dx.$$



This implies that

$$\begin{aligned} \|u_0\|^n &\leq \liminf_{k \rightarrow \infty} \|u_k\|^n = \liminf_{k \rightarrow \infty} \int_{\Omega} f(x, u_k) u_k^{n-1} \, dx \\ &= \int_{\Omega} f(x, u_0) u_0^{n-1} \, dx. \end{aligned}$$

contradicting (3.30). This proves the claim.

Now from (3.28) and step 2 of lemma 3.4, there exists  $0 < \gamma \leq 1$  such that  $\gamma u_0 \in \partial B(\Omega, f)$ . Hence

$$\begin{aligned} \frac{a(\Omega, f)^n}{n} &\leq J(\gamma u_0) = I(\gamma u_0) \\ &\leq I(u_0) \leq \liminf_{k \rightarrow \infty} I(u_k) \\ &= \liminf_{k \rightarrow \infty} J(u_k) = \frac{a(\Omega, f)^n}{n}. \end{aligned}$$

This implies that  $\gamma = 1$  and  $u_0 \in \partial B(\Omega, f)$ . Hence  $J(u_0) = \frac{a(\Omega, f)^n}{n}$  and this proves the Theorem.

#### 4. Concluding Remarks

REMARK 4.1. (Regularity). From Di-Benedetto [6], Tolksdorf [12] and Gilbarg-Trudinger [8], any solution of (1.7) is in  $C^{1,\alpha}(\Omega)$  if  $n \geq 3$  and in  $C^{2,\alpha}(\bar{\Omega})$  if  $n = 2$ .

REMARK 4.2. Let  $f \in A(\Omega)$  and  $f'(x, 0) < \lambda_1(\Omega)$  for all  $x \in \bar{\Omega}$ . We prove the existence of a solution for (1.7) under the assumption that

$$(4.1) \quad \overline{\lim}_{t \rightarrow \infty} \inf_{x \in \bar{\Omega}} h(x, t) t^{n-1} = \infty.$$

The only place where it is used is to show that  $a(\Omega, f)^n < \left(\frac{\alpha_n}{b}\right)^{n-1}$ . But, from lemma 3.2, this inequality holds if

$$(4.2) \quad \frac{k_0}{b} < 1.$$

Hence the theorem is true under the less restrictive condition (4.2).

Now the question is what happens if  $\frac{k_0}{b} \geq 1$  or the condition (4.1) is not satisfied. In this regard, we have (jointly with Srikanth - Yadava) obtained a partial result, which states that there are functions  $f \in A(\Omega)$  such that

$$\liminf_{t \rightarrow \infty} \inf_{x \in \Omega} h(x, t)t^{n-1} = 0$$

for which no solution to problem (1.7) exists if  $\Omega$  is a ball of sufficiently small radius. In this context, we raise the following question:

*Open Problem.* Let  $\Omega$  be a ball and  $f \in A(\Omega)$  such that  $\sup_{x \in \bar{\Omega}} f'(x, 0) < \lambda_1(\Omega)$ .

Is (4.2) also a necessary condition to obtain a solution to the problem (1.7).

In the case  $n = 2$ , this question is related to the question of Brézis [3]: “where is the border line between the existence and non-existence of a solution of (1.7)?”.

REMARK 4.3. Let  $\beta \geq 0$ , then by using the norm

$$\left( \int_{\Omega} |\nabla u|^n dx + \beta \int_{\Omega} |u|^n dx \right)^{1/n}$$

in  $W_0^{1,n}(\Omega)$ , the Theorem still holds if we replace  $-\Delta_n u$  by  $-\Delta_n u + \beta|u|^{n-2}u$  in the equations (1.7).

Due to this and using a result of Cherrier [5], it is possible to extend the Theorem to compact Riemann surfaces.

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### 5. - Appendix

PROOF OF THE LEMMA 3.1.

1) Let  $f(x, t) = h(x, t) \exp(b|t|^{n/(n-1)}) \in A(\Omega)$ . From  $(H_4)$ , for every  $\epsilon > 0$ , there exists a  $C(\epsilon) > 0$  such that

$$|f(x, t)| \leq C(\epsilon) \exp((b + \epsilon)|t|^{n/(n-1)})$$

and hence, from theorem 2.1,  $f(x, u) \in L^p(\Omega)$  for every  $p < \infty$ .

2) From  $(H_4)$ , for every  $\epsilon > 0$ , there exist positive constants  $C_1(\epsilon)$  and  $C_2(\epsilon)$  such that

$$(5.1) \quad |f(x, t)t^{n-1}| \leq C_1(\epsilon) \exp(b(1 + \epsilon)|t|^{n/(n-1)})$$

$$(5.2) \quad |f(x, t)t^{n-1}| \geq C_2(\epsilon) \exp\left(b(1-\epsilon)|t|^{n/(n-1)}\right) \text{ for } |t| \geq 1.$$

Hence, if  $c > 0$  such that

$$\sup_{\|w\| \leq 1} \int_{\Omega} f(x, cw)w^{n-1} dx < \infty,$$

it implies that, for every  $\epsilon > 0$ ,

$$\sup_{\|w\| \leq 1} \int_{\Omega} \exp\left(b(1-\epsilon)c^{n/(n-1)}|w|^{n/(n-1)}\right) dx < \infty.$$

Therefore, from Theorem 2.1, we have

$$(1-\epsilon)^{n-1}c^n \leq \left(\frac{\alpha_n}{b}\right)^{n-1}.$$

This implies that

$$\sup \left\{ c^n; \sup_{\|w\| \leq 1} \int_{\Omega} f(x, cw)w^{n-1} dx < \infty \right\} \leq \left(\frac{\alpha_n}{b}\right)^{n-1}.$$

On the other hand, if  $c^n < \left(\frac{\alpha_n}{b}\right)^{n-1}$ , then by choosing  $\epsilon > 0$  such that  $(1+\epsilon)^{2n-1}c^n < \left(\frac{\alpha_n}{b}\right)^{n-1}$ , from Theorem 2.1 and from (5.1), we have

$$\begin{aligned} & \sup_{\|w\| \leq 1} \int_{\Omega} f(x, (1+\epsilon)cw)w^{n-1} dx \\ & \leq C_1(\epsilon) \sup_{\|w\| \leq 1} \int_{\Omega} \exp\left[b((1+\epsilon)c|w|)^{n/(n-1)}\right] dx < \infty \end{aligned}$$

this proves

$$\sup \left\{ c^n; \sup_{\|w\| \leq 1} \int_{\Omega} f(x, cw)w^{n-1} dx < \infty \right\} = \left(\frac{\alpha_n}{b}\right)^{n-1}.$$

3) Since  $\overline{\lim}_{k \rightarrow \infty} \|u_k\|^n < \left(\frac{\alpha_n}{b}\right)^{n-1}$ , from 2) we can choose a  $p > 1$  such that

$$c_1^p = \sup_k \int_{\Omega} |f(x, u_k)|^p dx < \infty.$$

Let  $\frac{1}{p} + \frac{1}{q} = 1$  and

$$c_2^q = \sup_k \int_{\Omega} |v_k|^{\ell q} dx.$$

Then, for any  $N > 0$  and by Holder's inequality,

$$\left| \int_{|u_k| > N} \frac{f(x, u_k)}{u_k} v_k^{\ell} dx \right| \leq \frac{1}{N} \int_{\Omega} |f(x, u_k)| |v_k^{\ell}| dx \leq \frac{c_1 c_2}{N}.$$

Hence

$$\int_{\Omega} \frac{f(x, u_k)}{u_k} v_k^{\ell} dx = \int_{|u_k| \leq N} \frac{f(x, u_k)}{u_k} v_k^{\ell} dx + O(1/N).$$

By dominated convergence theorem, letting  $k \rightarrow \infty$  and then  $N \rightarrow \infty$  in the above equation, it implies that

$$\lim_{k \rightarrow \infty} \int_{\Omega} \frac{f(x, u_k)}{u_k} v_k^{\ell} dx = \int_{\Omega} \frac{f(x, u)}{u} v^{\ell} dx.$$

4) Let  $N > 0$ , then

$$\begin{aligned} \int_{|u_k| > N} f(x, |u_k|) |u_k|^{n-2+\tau} dx &\leq \frac{1}{N^{1-\tau}} \int_{\Omega} f(x, |u_k|) |u_k|^{n-1} dx \\ &= \frac{1}{N^{1-\tau}} \int_{\Omega} f(x, u_k) u_k^{n-1} dx = O\left(\frac{1}{N^{1-\tau}}\right). \end{aligned}$$

Hence

$$\int_{\Omega} f(x, |u_k|) |u_k|^{n-2+\tau} dx = \int_{|u_k| \leq N} f(x, |u_k|) |u_k|^{n-2+\tau} dx + O\left(\frac{1}{N^{1-\tau}}\right).$$

By dominated convergence theorem, letting  $k \rightarrow \infty$  and  $N \rightarrow \infty$  in the above equation, we obtain

$$(5.3) \quad \lim_{k \rightarrow \infty} \int_{\Omega} f(x, |u_k|) |u_k|^{n-2+\tau} dx = \int_{\Omega} f(x, |u|) |u|^{n-2+\tau} dx.$$

Now from  $(H_3)$ ,

$$|F(x, t)| \leq M(1 + |f(x, t)| |t|^{n-2+\sigma})$$

for some  $\sigma \in [0, 1)$ . Hence, from (5.3) and the dominated convergence theorem,

$$\lim_{k \rightarrow \infty} \int_{\Omega} F(x, u_k) dx = \int_{\Omega} F(x, u) dx.$$

5) From  $(H_2)$  we have, for  $t > 0$ ,

$$(5.4) \quad \frac{\partial}{\partial t} [f(x, t)t^{n-1} - nF(x, t)] = \left[ f'(x, t) - \frac{f(x, t)}{t} \right] t^{n-1} > 0.$$

Therefore from  $(H_1)$  and (5.4),  $f(x, t)t^{n-1} - nF(x, t)$  is an even positive function and increasing for  $t > 0$ . This implies that  $I(u) \geq 0$  and  $I(u) = 0$  iff  $u \equiv 0$ . From  $(H_3)$  we have

$$\begin{aligned} nI(u) &= \int_{\Omega} [f(x, u)u^{n-1} - nF(x, u)] dx \\ &\geq \int_{\Omega} [f(x, u)u^{n-1} - nM(1 + |f(x, u)| |u|^{n-2+\sigma})] dx \\ &\geq C_1 + \frac{1}{2} \int_{|u| \geq C_2} f(x, u)u^{n-1} dx \end{aligned}$$

for some constants  $C_1$  and  $C_2 > 0$ . This implies that there exists a constant  $M_1 > 0$  such that

$$\int_{\Omega} f(x, u)u^{n-1} dx \leq M(1 + I(u)).$$

This proves the lemma 3.1.

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