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# Multiplicity-2 Structures on Castelnuovo Surfaces.

K. HULEK - C. OKONEK - A. VAN DE VEN

## 0. - Introduction.

In this paper we study « nice » multiplicity-2 structures  $\tilde{Y}$  on smooth surfaces  $Y \subset \mathbf{P}_4 = \mathbf{P}_4(\mathbf{C})$ . Every multiplicity-2 structures in this sense is given by a quotient  $N_{Y/\mathbf{P}_4}^* \rightarrow \omega_Y(l)$  and vice versa. The existence of such a quotient for given  $l$  imposes rather strong topological conditions on  $Y$ . Under suitable conditions the non-reduced structure  $\tilde{Y}$  leads to a rank-2 vector bundle  $E$  on  $\mathbf{P}_4$  with a section  $s$ , such that  $\tilde{Y} = \{s = 0\}$  (compare [7]).

Here we are interested in the case where  $E$  splits, in other words, where  $\tilde{Y}$  is a complete intersection. We are particularly interested in the case where  $Y$  is a Castelnuovo surface. These surfaces can be characterized by the fact that, for given degree  $d$ , their geometric genus is maximal (at least if  $d \geq 6$ ). If  $d$  is even, then  $Y$  is a complete intersection [3], so we only consider Castelnuovo surfaces of odd degree  $d = 2b + 1$ . Our main result (Theorem 13 below) is a precise description of those Castelnuovo surfaces  $Y$  which admit multiplicity-2 structures in our sense; then  $\tilde{Y}$  is a complete intersection of type  $(2, 2b + 1)$ . Many such surfaces exist.

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## 1. - Multiplicity-2 structures.

Let  $Y \subset \mathbf{P}_4$  be a smooth surface with ideal sheaf  $I_Y$ . We consider certain non-reduced structures  $\tilde{Y}$  on  $Y$ , i.e. ideals  $I_{\tilde{Y}} \subset I_Y$ , with the following properties:

- 1)  $\tilde{Y}$  is a locally complete intersection,

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2)  $\tilde{Y}$  has multiplicity 2, i.e. for every point  $P \in Y$  and a general plane  $E$  through  $P$  the local intersection multiplicity

$$i(P; \tilde{Y}, E) = \dim_{\mathbb{C}} \mathcal{O}_{P/I(\tilde{Y} \cap E)} = 2 .$$

DEFINITION. A non-reduced structure  $\tilde{Y}$  on  $Y$  with properties (1) and (2) will be called a *multiplicity-2 structure* on  $Y$ .

LEMMA 1. If  $Y$  and  $\tilde{Y}$  are as above then near a point  $P \in Y$  there are local coordinates  $x_0, \dots, x_3$  such that  $I_Y = (x_0, x_1)$  and  $I_{\tilde{Y}} = (x_0, x_1^2)$ .

PROOF. Let  $E$  be a general plane through  $P$ . Then we can find local coordinates  $x_0, \dots, x_3$  such that  $Y = \{x_0 = x_1 = 0\}$  and  $E = \{x_2 = x_3 = 0\}$ . Now look at the ideal  $I_{\tilde{Y}} \subseteq I_Y = (x_0, x_1)$ . It is generated by two functions say  $I_{\tilde{Y}} = (f, g)$ . We can write

$$f = x_0 f_0 + x_1 f_1, \quad g = x_0 g_0 + x_1 g_1 .$$

Because of (2) it follows that at least one of the functions  $f_0, f_1, g_0, g_1$  is a unit at  $P$ . We may assume  $f_0(P) \neq 0$  and introducing  $x_0 f_0 + x_1 f_1$  as a new local coordinate we find that  $I_{\tilde{Y}}$  is generated by functions of the form

$$f = x_0, \quad g = x_1 g_1$$

where  $g_1 = g_1(x_1, x_2, x_3)$ . Now  $g \in I_Y$  since otherwise  $\tilde{Y}$  would be generically reduced which contradicts (2). Hence we have  $g = x_1^2 g_2$  with  $g_2 = g_2(x_1, x_2, x_3)$ . It again follows from (2) that  $g_2$  is a local unit and hence we are done.

Next we observe that  $I_Y^2 \subseteq I_{\tilde{Y}}$  and that we have an exact sequence

$$\begin{array}{ccccccc} 0 & \longrightarrow & I_{\tilde{Y}}/I_{\tilde{Y}}^2 & \longrightarrow & I_Y/I_Y^2 & \longrightarrow & I_Y/I_{\tilde{Y}} & \longrightarrow & 0 \\ & & \parallel & & \parallel & & \parallel & & \\ 0 & \longrightarrow & M^* & \longrightarrow & N_{Y/P_1}^* & \longrightarrow & L^* & \longrightarrow & 0 \end{array}$$

which can be interpreted as a sequence of vector bundles on  $Y$ . In particular  $\tilde{Y}$  defines a quotient  $N_{Y/P_1}^* \rightarrow L^*$ . Conversely every such quotient defines a non-reduced structure  $\tilde{Y}$  by setting

$$I_{\tilde{Y}} := \ker (I_Y \rightarrow I_Y/I_Y^2 = N_{Y/P_1}^* \rightarrow L^*) .$$

Clearly  $\tilde{Y}$  fulfills conditions (1) and (2). Hence we can state

LEMMA 2. *To define a multiplicity-2 structure  $\tilde{Y}$  on  $Y$  is equivalent to defining a subbundle  $L \subseteq N_{Y/\mathbb{P}^4}$ .*

Since  $\tilde{Y}$  is a locally complete intersection it has a dualising sheaf  $\omega_{\tilde{Y}}$  which is given by

$$\omega_{\tilde{Y}} = \text{Ext}_{\mathcal{O}_{\mathbb{P}^4}}^2(\mathcal{O}_{\tilde{Y}}, \omega_{\mathbb{P}^4}) = \Lambda^2 N_{\tilde{Y}/\mathbb{P}^4} \otimes \omega_{\mathbb{P}^4}.$$

From now on we assume the following additional property:

$$(3) \quad \omega_{\tilde{Y}} = \mathcal{O}_{\tilde{Y}}(-l) \quad \text{for some } l \in \mathbb{Z}.$$

LEMMA 3. *If (3) holds then*

$$(3') \quad L^* = \omega_Y(l).$$

PROOF. We have an exact sequence

$$\begin{array}{ccccccc} 0 & \longrightarrow & I_Y/I_{\tilde{Y}} & \longrightarrow & \mathcal{O}_{\tilde{Y}} & \longrightarrow & \mathcal{O}_Y \longrightarrow 0 \\ & & \parallel & & & & \\ & & L^* & & & & \end{array}$$

Applying  $\text{Ext}_{\mathcal{O}_{\mathbb{P}^4}}^2(-, \omega_{\mathbb{P}^4})$  we get

$$0 \rightarrow \omega_Y \rightarrow \omega_{\tilde{Y}} \rightarrow L \otimes \omega_Y \rightarrow 0.$$

Tensoring with  $\mathcal{O}_{\mathbb{P}^4}(l)$  we get

$$\begin{array}{ccccccc} 0 & \longrightarrow & \omega_Y(l) & \longrightarrow & \omega_{\tilde{Y}}(l) & \longrightarrow & L \otimes \omega_Y(l) \longrightarrow 0 \\ & & & & \parallel & & \\ & & & & \mathcal{O}_{\tilde{Y}} & & \end{array}$$

Restricting this sequence to  $Y$  the second morphism gives us an isomorphism

$$\mathcal{O}_Y = L \otimes \omega_Y(l)$$

which implies  $L^* \cong \omega_Y(l)$ .

REMARKS:

(i) The converse implication (3)'  $\Rightarrow$  (3) is more difficult. It holds for  $l \geq 0$  and if  $H^1(\omega_Y(l)) = 0$  (see [7]). The latter is automatically satisfied for  $l > 0$  by Kodaira's vanishing theorem.

(ii) If there exists a quotient  $N_{Y/\mathbb{P}_4}^* \rightarrow \omega_Y(l)$ , then  $c_2(N_{Y/\mathbb{P}_4} \otimes \omega_Y(l)) = 0$ . This is equivalent to

$$d^2 + d(l^2 + 5l) + (3l + 5)HK + 2K^2 = 0$$

where  $d$  is the degree of  $Y$ .

(iii) There are only a few surfaces which admit a quotient  $N_{Y/\mathbb{P}_4}^* \rightarrow \omega_Y(l)$  for  $l \geq 0$ . They are the complete intersections of type  $(a, b)$  with  $2a = b < 5$ , the cubic ruled surface and the quintic elliptic scroll (see [7]).

2. - Locally free resolutions.

Let  $Y \subseteq \mathbb{P}_4$  be a smooth surface and assume that its ideal sheaf  $I_Y$  has a locally free resolution

$$(4) \quad 0 \rightarrow E_1 \rightarrow E_0 \rightarrow I_Y \rightarrow 0.$$

Dualising this sequence and tensoring it with  $\mathcal{O}_{\mathbb{P}_4}(l-5)$  we get a resolution for the twisted canonical bundle  $\omega_Y(l)$  which reads as follows

$$(5) \quad 0 \rightarrow \mathcal{O}_{\mathbb{P}_4}(l-5) \rightarrow E_0^*(l-5) \rightarrow E_1^*(l-5) \rightarrow \omega_Y(l) \rightarrow 0.$$

We are interested in epimorphisms  $I_Y \rightarrow \omega_Y(l)$ . Every such epimorphism defines a quotient  $N_{Y/\mathbb{P}_4}^* \rightarrow \omega_Y(l)$ .

LEMMA 4. *If there is an epimorphism  $\Gamma: E_0 \rightarrow E_1^*(l-5)$  such that the diagram*

$$\begin{array}{ccc} E_1 & \xrightarrow{s} & E_0 \\ \downarrow \Gamma^*(l-5) & & \downarrow \Gamma \\ E_0^*(l-5) & \xrightarrow{s^*(l-5)} & E_1^*(l-5) \end{array}$$

*commutes, then  $\Gamma$  induces an epimorphism  $\gamma: I_Y \rightarrow \omega_Y(l)$ .*

PROOF. Let  $c_1 := c_1(E_1)$  and  $r := \text{rank } E_1$ . From (4) and (5) we get the following « standard diagram »:

$$\begin{array}{ccccccc}
 & & & 0 & & 0 & \\
 & & & \downarrow & & \downarrow & \\
 & 0 & \longrightarrow & \mathcal{O}_{\mathbb{P}^4}(2c_1 + r(5-l)) & \xrightarrow{\sigma} & I_{\tilde{Y}} & \longrightarrow I_{\tilde{Y}/X} \longrightarrow 0 \\
 & \downarrow & & \downarrow & & \downarrow & \\
 0 & \longrightarrow & E_1 & \xrightarrow{s} & E_0 & \xrightarrow{\gamma} & I_Y \longrightarrow 0 \\
 & \downarrow & \Gamma^*(l-5) & \downarrow & \Gamma & \downarrow & \\
 0 & \longrightarrow & \mathcal{O}_{\mathbb{P}^4}(l-5) & \longrightarrow & E_0^*(l-5) & \xrightarrow{s^*(l-5)} & E_1^*(l-5) \longrightarrow \omega_Y(l) \longrightarrow 0 \\
 & \downarrow & & \downarrow & & \downarrow & \\
 & \mathcal{O}_{\mathbb{P}^4}(-2c_1 + (r+1)(l-5)) & & 0 & & 0 & \\
 & \downarrow & & & & & \\
 & 0 & & & & & 
 \end{array}$$

(6)

Here  $X$  is the hypersurface defined by the equation  $g$ .

REMARK. Assume that  $H$  is defined by (4) and that there is an epimorphism  $\gamma: I_Y \rightarrow \omega_Y(l)$ . Let  $F := \text{Im}(s^*(l-5))$ . If

$$h^1(E_0^* \otimes F) = h^1(E_1^*(l-5)) = 0$$

then  $\gamma$  can be lifted to give a commutative diagram

$$\begin{array}{ccc}
 E_1 & \xrightarrow{s} & E_0 \\
 \downarrow \Gamma' & & \downarrow \Gamma \\
 E_0^*(l-5) & \xrightarrow{s^*(l-5)} & E_1^*(l-5)
 \end{array}$$

such that  $\gamma$  is induced by  $\Gamma$ . Note that if  $\Gamma$  is generically surjective then  $\ker \Gamma \subseteq \ker \gamma$  is invertible. This follows from [4, Prop. 1.1 and Prop. 1.9].

We now want to consider surfaces with a special resolution, namely

$$(7) \quad 0 \longrightarrow \mathcal{O}_{\mathbb{P}^4}^r \xrightarrow{s^{(b+ar)}} \mathcal{O}_{\mathbb{P}^4}(a)^r \oplus \mathcal{O}_{\mathbb{P}^4}(b) \longrightarrow I_Y(b+ar) \longrightarrow 0$$

where  $r \geq 1$  and  $1 < a \leq b$ . If  $r = 1$  then  $Y$  is a complete intersection of type  $(a, b)$ . If  $r > 1$  then  $Y$  is in liaison with a surface  $Y'$  defined by a resolution

$$0 \longrightarrow \mathcal{O}_{\mathbf{P}^4}^{r-1} \xrightarrow{s'(ar)} \mathcal{O}_{\mathbf{P}^4}(a)^r \longrightarrow I_{Y'}(ar) \longrightarrow 0.$$

The union  $Y \cup Y'$  is a complete intersection of type  $(ar, b + a(r + 1))$ . (See [11]).

The map  $s(b + ar): \mathcal{O}_{\mathbf{P}^4}^r \rightarrow \mathcal{O}_{\mathbf{P}^4}(a)^r \oplus \mathcal{O}_{\mathbf{P}^4}(b)$  is given by an  $(r + 1) \times r$  matrix

$$s(b + ar) = \begin{pmatrix} A \\ f_1 \dots f_r \end{pmatrix}$$

where  $A$  is an  $r \times r$  matrix with entries  $a_{ij} \in H^0(\mathcal{O}_{\mathbf{P}^4}(a))$  and  $f_i \in H^0(\mathcal{O}_{\mathbf{P}^4}(b))$ .

PROPOSITION 5. *If  $A$  is symmetric then there exists a multiplicity-2 structure  $\tilde{Y}$  on  $Y$  such that  $\tilde{Y}$  is a complete intersection of type  $(ar, 2b + a(r - 1))$ .*

PROOF. Let  $l := 5 - 2b - a(2r - 1)$ . Since  $A$  is symmetric we get a commutative diagram:

$$\begin{array}{ccccccc}
 & & & & 0 & & 0 \\
 & & & & \downarrow & & \downarrow \\
 & & & & \mathcal{O}_{\mathbf{P}^4}(-ar) & \xrightarrow{s} & I_{\tilde{Y}} \rightarrow Q \rightarrow \\
 & & & & \downarrow & & \downarrow \\
 & & 0 & \longrightarrow & \mathcal{O}_{\mathbf{P}^4}(-b-ar)^r & \xrightarrow{g} & \mathcal{O}_{\mathbf{P}^4}(-b-a(r-1))^r \oplus \mathcal{O}_{\mathbf{P}^4}(-ar) \longrightarrow I_Y \longrightarrow ( \\
 & & \downarrow & & \downarrow & & \downarrow \\
 (6') & & \Gamma^{*(l-5)} & & \Gamma & & \\
 0 & \longrightarrow & \mathcal{O}_{\mathbf{P}^4}(-2b-a(2r-1)) & \longrightarrow & \mathcal{O}_{\mathbf{P}^4}(-b-ar)^r \oplus \mathcal{O}_{\mathbf{P}^4}(-2b-a(r-1)) & \xrightarrow{s^*(l-5)} & \mathcal{O}_{\mathbf{P}^4}(-b-a(r-1))^r \longrightarrow \omega_Y(l) \longrightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & \mathcal{O}_{\mathbf{P}^4}(-2b-a(r-1)) & & 0 & & 0 \\
 & & \downarrow & & & & \\
 & & 0 & & & & 
 \end{array}$$

Here  $\Gamma$  is the projection map. By diagram chasing one sees that

$$Q = \mathcal{O}_{(\omega)_0}(-2b - a(r - 1))$$

where  $g = \det(A)$ . Hence  $\tilde{Y}$  is a complete intersection of  $\det A$  with a hypersurface of degree  $2b + a(r - 1)$ .

REMARK. Since  $H^1(\omega_X(l)) = 0$  for all  $l$  it follows from [7], that there exists a vector bundle  $E$  together with a section  $s \in H^0(E)$  such that  $\tilde{Y} = \{s = 0\}$ . Using the sequence

$$0 \longrightarrow \mathcal{O}_{\mathbb{P}_4} \xrightarrow{s} E \longrightarrow I_{\tilde{Y}}(2b + a(2r - 1)) \longrightarrow 0$$

and the section  $g \in H^0(I_{\tilde{Y}}(ar))$  one finds a section  $t: \mathcal{O}_{\mathbb{P}_4} \rightarrow E(-2b - a(r - 1))$ . Since  $c_2(E(-2b - a(r - 1))) = 0$  this defines a subbundle  $\mathcal{O}_{\mathbb{P}_4}(2b + a(r - 1)) \subseteq E$  which must necessarily split off.

We want to give explicit equations for the complete intersection  $\tilde{Y}$  (Compare [13], [14]).

PROPOSITION 6. *The complete intersection  $\tilde{Y}$  is given by the equations*

$$g = \det A, \quad h = \det \tilde{A}$$

where

$$\tilde{A} = \begin{pmatrix} A & f_1 \\ & \vdots \\ & f_r \\ f_1 \dots f_r & 0 \end{pmatrix}$$

PROOF. We first want to show the equality of sets:

$$|(g)_0 \cup (h)_0| = Y.$$

The surface  $Y$  is the set of all points  $x \in \mathbb{P}_4$  where

$$(8) \quad \text{rank} \begin{pmatrix} A \\ f_1 \dots f_r \end{pmatrix} < r.$$

Since  $A$  is symmetric it is at any given point equivalent to a diagonal matrix. We can, therefore, write

$$\tilde{A} = \begin{pmatrix} 1 & & & & f_1 \\ & \dots & & & \cdot \\ & & 1 & & \cdot \\ & & & & \cdot \\ & & & 0 & \cdot \\ & & & & \cdot \\ & & & & \cdot \\ & & & & 0 & f_r \\ f_1 & \dots & \dots & \dots & f_r & 0 \end{pmatrix}$$

From this description it is obvious that (8) is equivalent to  $g = h = 0$ .

We have already seen that  $g \in H^0(I_{\tilde{Y}}(ar))$ . Next we want to show that  $h \in H^0(I_r(2b + a(r-1)))$ . To see this note that the map

$$\beta: \mathcal{O}_{\mathbf{P}^r}(b)^r \oplus \mathcal{O}_{\mathbf{P}^r}(2b - a) \rightarrow I_Y(2b + a(r - 1))$$

is given by

$$(\det A_1, \quad -\det A_2, \quad \dots, \quad \pm \det A_r, \quad \pm \det A)$$

where  $A_i$  is the  $r \times r$  matrix which one gets from the matrix

$$\begin{pmatrix} A \\ f_1 \dots f_r \end{pmatrix}$$

by deleting the  $i$ -th row. Hence

$$h = \det \tilde{A} = \sum_{i=1}^r (-1)^{i+1} f_i \det A_i = \beta(f_1 \dots f_r, 0).$$

Since

$$(f_1, \dots, f_r) = s^*(l - 5 + 2b + a(r - 1)) (0, \dots, 0, 1)$$

it follows that  $h \in H^0(I_{\tilde{Y}}(2b + a(r - 1)))$ . Hence  $g$  and  $h$  define a complete intersection  $\tilde{\tilde{Y}}$  of degree  $ar(2b + a(r - 1))$  with  $\tilde{Y} \subseteq \tilde{\tilde{Y}}$ . Since both varieties have the same degree it follows that  $\tilde{Y} = \tilde{\tilde{Y}}$ .

### 3. - Castelnuovo surfaces.

We now consider surfaces with a special kind of resolution i.e. we consider resolutions of type (7) with  $r = 2, q = 1$ :

$$(9) \quad 0 \longrightarrow \mathcal{O}_{\mathbf{P}^r} \xrightarrow{s(b+2)} \mathcal{O}_{\mathbf{P}^r}(1)^2 \oplus \mathcal{O}_{\mathbf{P}^r}(b) \longrightarrow I_Y(b + 2) \longrightarrow 0.$$

LEMMA 7. *The numerical invariants of  $Y$  are*

$$d = 2b + 1, \quad \pi = 2 \binom{b}{2}, \quad p_g = 2 \binom{b}{3}, \quad q = 0,$$

$$K^2 = 2b^3 + 3(-3b^2 + 2b + 3), \quad HK = 2b^2 - 4b - 3,$$

$$c_2 = 2b^3 - 3b^2 + 2b + 3.$$

PROOF. This is a straightforward calculation using the resolution (9) and its dual.

In [3] Harris investigated so called *Castelnuovo varieties*. These are non-degenerate irreducible varieties  $V_d^k \subset \mathbb{P}_n$  of dimension  $k$  and degree  $d$  with  $d \geq k(n - k) + 2$  whose geometric genus  $p_g$  is maximal with respect to all varieties of this type. For surfaces in  $\mathbb{P}_4$  he showed that

$$p_g^{\max} = 2 \binom{M}{3} + \binom{M}{2} \varepsilon$$

where

$$M = \left\lfloor \frac{d-1}{2} \right\rfloor, \quad \varepsilon = d - 1 - 2M.$$

Here  $[x]$  denotes the greatest integer less than or equal to  $x$ . Harris showed that every *Castelnuovo surface* in  $\mathbb{P}_4$  of even degree  $2b \geq 6$  is the complete intersection of a hyperquadric with a hypersurface of degree  $b$ . Moreover every Castelnuovo surface of odd degree  $2b + 1 \geq 6$  is together with a plane a complete intersection of a hyperquadric and a hypersurface of degree  $b + 1$ .

PROPOSITION 8. *The Castelnuovo surfaces of odd degree  $\geq 6$  are just the surfaces defined by a resolution of type (9).*

PROOF. If  $Y$  is defined by (9) its geometric genus is  $p_g = 2 \binom{b}{3} = p_g^{\max}$ . If  $Y$  is a Castelnuovo surface then there is a plane  $E$  such that  $Y \cup E$  is a complete intersection of type  $(2, b + 1)$ . The plane  $E$  has the resolution

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^4}(-2) \rightarrow \mathcal{O}_{\mathbb{P}^4}(-1)^2 \rightarrow I_E \rightarrow 0.$$

Hence it follows from [10, Cor. 1.7] that  $Y$  has a resolution:

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^4}(-b-2)^2 \rightarrow \mathcal{O}_{\mathbb{P}^4}(-b-1)^2 \oplus \mathcal{O}_{\mathbb{P}^4}(-2) \rightarrow I_Y \rightarrow 0$$

which gives the desired result.

We call every surface  $Y$  with a resolution of type (9) a Castelnuovo surface.

REMARK. Okonek proved in [8], [9] that

(i) The only Castelnuovo surface of degree 3 is the cubic ruled surface, i.e.  $\mathbb{P}_2$  blown up in a point  $x_0$  and embedded by the linear system  $|2l - x_0|$ .

(ii) For  $d = 5$  the surface  $Y$  is a  $\mathbf{P}_2$  blown up in 8 points i.e.  $= \tilde{\mathbf{P}}_2(x_0, \dots, x_7)$  embedded by  $\left| 4l - 2x_0 - \sum_{i=1}^7 x_i \right|$ .

(iii) Every Castelnuovo surface of degree 7 (where  $p_\sigma^{\max} = 2$ ) is an elliptic surface over  $\mathbf{P}_1$  with Kodaira dimension  $\kappa = 1$ .

Let us now return to the resolution (9). The map  $s(b+2)$  is given by a matrix

$$\begin{pmatrix} A \\ f_1 & f_2 \end{pmatrix}$$

where the entries  $a_{ij}$  of the  $2 \times 2$  matrix  $A$  are linear forms and where  $f_i \in H^0(\mathcal{O}_{\mathbf{P}_4}(b))$ . In particular  $Y$  is contained in the hyperquadric  $Q_Y = \{\det A = 0\}$ . If the degree of  $Y$  is at least 5 then this is the only hyperquadric through  $Y$ . For the cubic ruled surface the  $f_i$  are also linear forms and  $Y$  is contained in a net of quadrics.

DEFINITION. A Castelnuovo surface  $Y$  is called *symmetric* if  $I_Y$  has a resolution (9) with symmetric matrix  $A$ .

PROPOSITION 9.  $Y$  is symmetric if and only if it is contained in a corank 2 hyperquadric  $Q_Y$ . This hyperquadric is unique.

PROOF. Clearly if  $A$  is symmetric then  $Q_Y = \{\det A = 0\}$  has corank 2. Now assume that  $Q_Y = \{\det A = 0\}$  has corank 2. Then there are coordinates  $x_i$  on  $\mathbf{P}_4$  such that  $A$  is equivalent to

$$A = \begin{pmatrix} x_0 & l \\ x_1 & x_2 \end{pmatrix}$$

where  $l = l(x_0, x_1, x_2)$  is a linear form. By elementary transformations  $A$  is equivalent to

$$A' = \begin{pmatrix} x'_0 & x'_1 \\ x_1 & x'_2 \end{pmatrix}.$$

The uniqueness is clear for  $d \geq 5$ . Every ruled cubic surface is projectively equivalent to the surface defined by the matrix

$$\begin{pmatrix} x_0 & x_1 \\ x_1 & x_2 \\ x_3 & x_4 \end{pmatrix}.$$

Hence the net of quadrics is spanned by

$$x_0 x_2 - x_1^2 = 0, \quad x_0 x_4 - x_1 x_3 = 0, \quad x_1 x_4 - x_2 x_3 = 0$$

and  $Q_Y$  is the only corank 2 quadric in this net.

Our next purpose is to show that there are many smooth symmetric Castelnuovo surfaces of given degree  $d = 2b + 1$ . This will follow from:

PROPOSITION 10. *Let  $Y$  be the Castelnuovo surface defined by*

$$\begin{pmatrix} x_0 & x_1 \\ x_1 & x_2 \\ f_1 & f_2 \end{pmatrix}$$

where  $f_1, f_2 \in H^0(\mathcal{O}_{\mathbf{P}^1}(b))$  depend only on  $x_3$  and  $x_4$ . Then  $Y$  is smooth if the complete intersection  $(f_1)_0 \cap (f_2)_0$  is smooth and does not intersect the line  $L_0 = \{x_0 = x_1 = x_2 = 0\}$ .

PROOF.  $Y$  is defined by the equations

$$x_0 x_2 - x_1^2, \quad x_0 f_2 - x_1 f_1, \quad x_1 f_2 - f_1 x_2.$$

We put  $\partial_i f_j := \partial f_j / \partial x_i$ : Since  $f_1$  and  $f_2$  only depend on  $x_3$  and  $x_4$  the Jacobian matrix is

$$J = \begin{pmatrix} x_2 & -2x_1 & x_0 & 0 & 0 \\ f_2 & -f_1 & 0 & x_0 \partial_3 f_2 - x_1 \partial_3 f_1 & x_0 \partial_4 f_2 - x_1 \partial_4 f_1 \\ 0 & f_2 & -f_1 & x_1 \partial_3 f_2 - x_2 \partial_3 f_1 & x_1 \partial_4 f_2 - x_2 \partial_4 f_1 \end{pmatrix}.$$

$Y$  is smooth if and only if  $\text{rank } J \geq 2$  for all points  $x \in Y$ . For a point  $x \in Y$  we have  $\text{rank } J \leq 1$  only in two cases, namely when

$$x_0 = x_1 = x_2 = f_1 = f_2 = 0$$

or when  $(x_0, x_1, x_2) \neq 0$  and

$$f_1 = f_2 = 0 \quad \text{and} \quad \begin{pmatrix} x_0 & x_1 \\ x_1 & x_2 \end{pmatrix} \begin{pmatrix} \text{grad } f_2 \\ -\text{grad } f_1 \end{pmatrix} = 0.$$

Since here the matrix  $\begin{pmatrix} x_0 & x_1 \\ x_1 & x_2 \end{pmatrix}$  has rank 1, this implies that  $\text{grad } f_1$  and  $\text{grad } f_2$  are linearly dependent and  $(f_1)_0 \cap (f_2)_0$  is singular at  $x$ .

#### 4. - The main theorem.

Dualising the resolution (9) we get the exact sequence

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^4}(-b-2) \rightarrow \mathcal{O}_{\mathbb{P}^4}(-1)^2 \oplus \mathcal{O}_{\mathbb{P}^4}(-b) \rightarrow \mathcal{O}_{\mathbb{P}^4} \rightarrow \omega_Y(3-b) \rightarrow 0.$$

This shows that  $\omega_Y(3-b)$  is generated by 2 sections and hence every Castelnuovo surface  $Y$  admits a fibration

$$\varphi := \Phi_{|K+(3-b)H|} Y \rightarrow \mathbb{P}^1.$$

By construction the class of the fibre  $F$  is

$$F \sim K + (3-b)H.$$

LEMMA 11. *The following two conditions are equivalent*

- (i) *There exists a line  $L_0$  on  $Y$  with  $L_0^2 = 1 - 2b$ .*
- (ii) *There is a line  $L_0$  on  $Y$  which is a  $b$ -section of  $\varphi$ .*

PROOF. Let  $L_0 \subset Y$  be a line. Since  $H \cdot L_0 = 1$  the condition  $F \cdot L_0 = b$  is equivalent to  $K \cdot L_0 = 2b - 3$ . But by the adjunction formula this is equivalent to  $L_0^2 = 1 - 2b$ .

Our main aim is to characterise those Castelnuovo surfaces  $Y$  which possess a multiplicity-2 structure  $\tilde{Y}$ , such that  $\tilde{Y}$  is a complete intersection.

We start with

PROPOSITION 12. *Let  $Y$  be a smooth Castelnuovo surface of odd degree  $2b + 1$ . If  $Y$  has a multiplicity-2 structure  $\tilde{Y}$  with induced canonical bundle  $\omega_{\tilde{Y}}$  then this structure is given by a quotient  $N_{\tilde{Y}/\mathbb{P}^4}^* \rightarrow \omega_Y(2-2b)$ . In this case  $\tilde{Y}$  is a complete intersection of type  $(2, 2b + 1)$ . The hyperquadric through  $\tilde{Y}$  is unique and is singular along a line  $L_0 \subset Y$ .*

PROOF. By lemmas 2 and 3 every multiplicity-2 structure with induced canonical bundle comes from a quotient  $N_{\tilde{Y}/\mathbb{P}^4}^* \rightarrow \omega_Y(l)$ . The integer  $l$  must fulfill the quadratic equation

$$d^2 + d(l^2 + 5l) + HK(3l + 5) + 2K^2 = 0.$$

Using lemma 7 this equation becomes

$$l^2(2b + 1) + l(6b^2 - 2b - 4) + 4(b^3 - b^2 - b + 1) = 0.$$

There are two solutions

$$l_- = 2 - 2b, \quad l_+ = \frac{2 - 2b^2}{1 + 2b}.$$

It is easy to check that  $l_+ \notin \mathbb{Z}$  unless  $b = 1$  in which case  $l_- = l_+ = 0$ .

One can now use the remark after lemma 4 to construct a diagram similar to (6'). The only difference is that  $\Gamma^*(l - 5)$  has to be replaced by some arbitrary map  $\Gamma'$ . Nevertheless it follows from this diagram that  $\tilde{Y}$  is a complete intersection of type  $(2, 2b + 1)$ . In particular  $\tilde{Y}$  is contained in a hyperquadric. This is clearly unique if  $d \geq 5$ . For the case  $d = 3$  see [7]. We now have to show that  $Q_Y$  has corank 2. Again we can restrict ourselves to the case  $d \geq 5$ . Let us assume that  $\text{corank } Q_Y \leq 1$ . Let  $C = Y \cap H$  be a general hyperplane section. Its genus is  $b(b - 1) > 0$  if  $b \geq 2$ . The curve  $C$  lies on the smooth quadric  $Q_H = Q_Y \cap H$ . On the other hand we have an exact sequence

$$\begin{array}{ccccccc}
 0 & \longrightarrow & M_H^* & \longrightarrow & N_{C/H}^* & \longrightarrow & \omega_Y(2 - 2b)|C \longrightarrow 0 \\
 & & & & & & \parallel \\
 & & & & & & L_H^*
 \end{array}$$

We claim that this sequence splits which gives a contradiction to [5, theorem 1]. To show the splitting it is enough to see that

$$h^1(M_H^* \otimes L_H) = h^0(M_H \otimes L_H^* \otimes \omega_C) = 0.$$

But this follows from

$$\begin{aligned}
 \deg(M_H \otimes L_H^* \otimes \omega_C) &= \deg M_H - \deg L_H + 2g(C) - 2 \\
 &= \deg N_{C/H}^* - 2 \deg L_H + 2g(C) - 2 = -2.
 \end{aligned}$$

Hence we have seen that  $\text{corank } Q_Y = 2$ . Let  $L_0$  be the singular line. Then  $L_0$  must lie on  $Y$ , otherwise projection from a general point of  $L_0$  would immediately give a contradiction to the fact that the degree of  $Y$  is odd.

Now we are ready to prove the main result of this paper.

**THEOREM 13.** *Let  $Y \subseteq \mathbb{P}_4$  be a smooth Castelnuovo surface of degree  $2b + 1$ . Then the following conditions are equivalent:*

- (i)  $Y$  is symmetric.
- (ii)  $Y$  is contained in a corank 2 quadric  $Q_Y$ .

(iii) *There is a line  $L_0 \subseteq Y$  which is a  $b$ -section of the fibration  $\varphi: Y \rightarrow \mathbf{P}_1$ .*

(iv)  *$Y$  contains a projective line  $L_0$  with self-intersection  $L_0^2 = 1 - 2b$ .*

(v) *There exists a multiplicity-2 structure  $\tilde{Y}$  on  $Y$  such that  $\tilde{Y}$  is a complete intersection of type  $(2, 2b + 1)$ .*

PROOF. (i)  $\Leftrightarrow$  (ii) is proposition 9; (i)  $\Rightarrow$  (v) follows from proposition 5 and (v)  $\Rightarrow$  (ii) is proposition 12. (iii)  $\Leftrightarrow$  (iv) is nothing but lemma 11.

(ii)  $\Rightarrow$  (iii). Assume that  $Y$  is contained in the corank 2 quadric  $Q_Y$ . The singular line  $L_0$  must necessarily lie on  $Y$ . The quadric  $Q_Y$  defines a section in  $N_{Y/\mathbf{P}_1}^*(2)$  which vanishes along  $L_0$ . Hence we get a diagram

$$\begin{array}{ccccccc}
 & & \mathcal{O}_Y(L_0) & & & & \\
 & & \swarrow & \downarrow q & & & \\
 0 & \longrightarrow & M^*(2) & \longrightarrow & N_{Y/\mathbf{P}_1}^*(2) & \longrightarrow & \omega_Y(4 - 2b) \longrightarrow 0 .
 \end{array}$$

Since (ii)  $\Leftrightarrow$  (v) it follows that  $Q_Y \in H^0(I_{\tilde{Y}}(2))$  hence  $q$  factors through  $M^*(2)$ . Since  $q$  is injective outside  $L_0$  there must be an integer  $k \geq 1$  such that

$$\mathcal{O}_Y(kL_0) \cong M^*(2).$$

This implies

$$kL_0 \sim (-5H - K + 4H) - (K + (4 - 2b)H), \quad kL_0 \sim (2b - 5)H - 2K.$$

Since

$$((2b - 5)H - 2K) \cdot H = 1$$

it follows that  $k = 1$  and hence

$$L_0 \sim (2b - 5) \cdot H - 2K.$$

From this it is straightforward to compute

$$F \cdot L_0 = b.$$

(iii)  $\Rightarrow$  (ii). We assume that there is a line  $L_0 \subseteq Y$  which is a  $b$ -section of the fibration  $\varphi: Y \rightarrow \mathbf{P}_1$ . Since

$$H \cdot F = (K + (3 - b)H) \cdot H = b$$

the fibres  $F$  are curves of degree  $b$  which intersect the line  $L_0$  in  $b$  points. This implies (look at all hyperplanes through  $L_0$ ) that each fibre  $F$  is contained in a unique plane  $E$  through  $L_0$ . In this way we get an injective map

$$\psi: \mathbf{P}_1 \rightarrow \mathbf{P}_2^{L_0} = \{\text{planes } E \supset L_0\}.$$

Pulling back the universal bundle we get a threefold  $W$  which is a  $\mathbf{P}_2$ -bundle over  $\mathbf{P}_1$  and a map from  $W$  onto a threefold  $V$  which contains  $Y$ . Moreover there is a surface  $\bar{Y} \subset \tilde{V}$  which is mapped isomorphically onto  $Y$ . Let  $\tilde{W} \subset \tilde{V}$  be the inverse image of  $L_0$  in  $\tilde{V}$ . The fibres of  $\tilde{W} \rightarrow L_0$  are all isomorphic to a rational curve  $R$ . Since  $\tilde{V}$  is a  $\mathbf{P}_2$ -bundle over  $\mathbf{P}_1$  we have

$$\text{Pic } \tilde{V} = \mathbf{Z}H \oplus \mathbf{Z}\mathbf{P}_2.$$

Hence the class of  $\bar{Y}$  in  $\tilde{V}$  is of the form

$$\bar{Y} \sim m \cdot H + n \cdot \mathbf{P}_2.$$

Since the intersection of  $\bar{Y}$  with each plane  $\mathbf{P}_2$  is a curve of degree  $b$  we find  $m = b$ . Moreover, since  $\bar{Y}$  meets each curve  $R$  transversally in one point we find  $n = 1$ , i.e.

$$\bar{Y} \sim bH + \mathbf{P}_2.$$

Then

$$2b + 1 = \bar{Y}H^2 = (bH + \mathbf{P}_2)H^2 = bH^3 + 1.$$

This implies  $H^3 = 2$  and  $V$  must be a quadric. Clearly  $V$  is singular along  $L_0$ .

**5. - Castelnuovo surfaces of degree 5.**

According to Okonek [8] every Castelnuovo surface of degree 5 is a  $\mathbf{P}_2$  blown up in 8 points:

$$Y = \tilde{\mathbf{P}}_2(x_0, \dots, x_7)$$

embedded by the linear system  $\left| 4l - 2x_0 - \sum_{i=1}^7 x_i \right|$ . Let  $E_0, \dots, E_7$  be the exceptional curves on  $Y$ . Then  $E_0$  is a conic, whereas  $E_1, \dots, E_7$  are lines.

PROPOSITION 14. *The Castelnuovo surface  $Y$  is symmetric if and only if the points  $x_1, \dots, x_7$  lie on a smooth conic  $C$ .*

PROOF. We first note that if such a  $C$  exists it must necessarily be smooth. Otherwise at least 4 of the points  $x_1, \dots, x_7$  would lie on a line  $L$  and  $H \cdot L < 0$ . It then follows from

$$\left(4l - 2E_0 - \sum_{i=1}^7 E_i\right) \left(2l - \sum_{i=1}^7 E_i\right) = 1$$

that  $C$  does not pass through  $x_0$  and that it is mapped to a line  $L_0 \subseteq Y$ . Since  $L_0^2 = -3$  the surface  $Y$  is symmetric by theorem 13.

Now assume that  $Y$  is symmetric. The singular line  $L_0$  of  $Q_Y$  lies on  $Y$ . It intersects the lines  $E_1, \dots, E_7$  as can be seen by projecting from a general point of  $L_0$ . This also implies  $L_0 \neq E_i$  and  $L_0 \cdot E_i = 1$  for  $i = 1, \dots, 7$ . Let  $E_0$  be the exceptional conic. Since  $L_0 \cdot E_0 \leq 2$  and  $H \cdot L_0 = 1$  there are two possibilities:

$$L_0 \sim 3l - 2E_0 - \sum_{i=1}^7 E_i \quad \text{or} \quad L_0 \sim 2l - \sum_{i=1}^7 E_i.$$

In the first case  $L_0^2 = -2$  whereas in the second case  $L_0^2 = -3$ . We know, however, from the proof of theorem 13 that  $L_0^2 = -3$  and hence we are done.

REMARK. The number of moduli for Castelnuovo surfaces of degree 5 is

$$2 \neq \text{points blown up} - \dim PGL(3, \mathbf{C}) = 16 - 8 = 8.$$

The condition that  $x_1, \dots, x_7$  lie on a conic is 2-codimensional hence the symmetric Castelnuovo surfaces depend on 6 moduli.

## 6. - Castelnuovo manifolds.

We call a codimension 2 manifold  $Y \subset \mathbf{P}_{n+2}$  a *Castelnuovo manifold* of dimension  $n$  if  $Y$  has a resolution of type (9), i.e.

$$0 \rightarrow \mathcal{O}_{\mathbf{P}_{n+2}}^2 \rightarrow \mathcal{O}_{\mathbf{P}_{n+2}}(1)^2 \oplus \mathcal{O}_{\mathbf{P}_{n+2}}(b) \rightarrow I_Y(b+2) \rightarrow 0.$$

Here we want to point out the following remarkable fact.

PROPOSITION 15. *The only Castelnuovo manifold  $Y$  of dimension  $n \geq 3$  which admits a multiplicity-2 structure  $\tilde{Y}$  such that  $\tilde{Y}$  is a complete intersection in  $\mathbf{P}_n$  embedded linearly.*

PROOF. It is enough to prove this for Castelnuovo 3-folds  $Y \subset \mathbb{P}^3$ . Just as in lemma 2 we see that every multiplicity-2 structure comes from a subbundle  $L \subseteq N_{Y/\mathbb{P}^3}$ . If  $Y$  admits a multiplicity-2 structure  $\tilde{Y}$  which is a complete intersection, then  $\tilde{Y}$  must be the intersection of a hyperquadric  $Q$  with a hypersurface of degree  $2b + 1$ . The quadric  $Q$  must be of corank 3 and the singular plane  $V$  of  $Q$  must be contained in  $Y$ . This can be seen by taking hyperplane sections and applying proposition 12 and theorem 13. Our claim now follows from

LEMMA 16. *If  $Y \subseteq \mathbb{P}_3$  is a smooth threefold such that*

- (i)  *$Y$  contains a plane  $V$*
- (ii) *There exists a subbundle  $L \subseteq N_{Y/\mathbb{P}^3}|_V$  then  $Y$  is  $\mathbb{P}_3$  embedded linearly.*

PROOF. Let  $N_{V/Y} = \mathcal{O}_V(a)$ . From the sequence

$$0 \rightarrow N_{V/Y} \rightarrow N_{V/\mathbb{P}^3} \rightarrow N_{Y/\mathbb{P}^3}|_V \rightarrow 0$$

we find

$$c_1(N_{Y/\mathbb{P}^3}|_V) = 3 - a, \quad c_2(N_{Y/\mathbb{P}^3}|_V) = a^2 - 3a + 3.$$

Now suppose  $N_{Y/\mathbb{P}^3}|_V$  has a 1-subbundle  $\mathcal{O}_V(b)$ . Then

$$c_2((N_{Y/\mathbb{P}^3}|_V)(-b)) = b^2 - b(3 - a) + (a^2 - 3a + 3) = 0$$

and looking at this as a quadratic equation for  $b$ , this implies

$$(3 - a)^2 - 4(a^2 - 3a + 3) \geq 0$$

which implies  $a = 1$ . Since  $H^1(\mathcal{O}_Y) = 0$  by Barth's theorem ([1, Th. III]) we see that  $|V|$  is a linear system of planes on  $Y$  of (projective) dimension 3. Now choose two different points  $x, y \in Y$ . There is (at least) a 1-dimensional linear subsystem  $|V|^0 \subseteq |V|$  of planes which contain the line  $L$  spanned by  $x$  and  $y$ . Let  $V_1, V_2 \in |V|^0$  be two different planes containing  $L$ . They span a space  $\mathbb{P}_3$ . By construction  $\mathbb{P}_3$  is tangent to  $Y$  along  $L$ . Hence all planes in  $|V|^0$  are contained in this  $\mathbb{P}_3$ , i.e. their union equals this space. Hence  $\mathbb{P}_3 \subseteq Y$  and we are done.

### 7. - A remark on normal bundles.

In this section we want to say a few words about the normal bundle of Castelnuovo and Bordiga surfaces. We first consider a Castelnuovo surface  $Y \subseteq \mathbb{P}_4$  of odd degree.

When we speak of stability, we always mean stability with respect to the hyperplane section  $H$ .

**PROPOSITION 17.** *Let  $Y \subseteq \mathbb{P}_4$  be a smooth Castelnuovo surface of odd degree  $d$ . Then the following holds:*

- (i) *If  $Y$  is the cubic ruled surface then its normal bundle  $N_{Y/\mathbb{P}_4}$  is semi-stable but not stable.*
- (ii) *If  $d \geq 5$  then the normal bundle  $N_{Y/\mathbb{P}_4}$  is properly unstable.*
- (iii) *The normal bundle of  $Y$  is always indecomposable.*

**PROOF.** (i) If  $Y$  is the cubic ruled surface we have an epimorphism  $N_{Y/\mathbb{P}_4}^* \rightarrow \omega_Y$ . Since

$$c_1(N_{Y/\mathbb{P}_4} \otimes \omega_Y) \cdot H = (5H + 3K) \cdot H = 0$$

it follows that  $N_{Y/\mathbb{P}_4}$  cannot be stable. On the other hand the generic hyperplane section  $C$  of  $Y$  is a rational normal curve of degree 3. Since  $N_{C/\mathbb{P}_4} = \mathcal{O}_{\mathbb{P}_1}(5) \oplus \mathcal{O}_{\mathbb{P}_1}(5)$  is semi-stable, it follows that  $N_{Y/\mathbb{P}_4}$  must be semi-stable too.

(ii) Every Castelnuovo surface lies in a quadric, i.e. there is a section  $0 \neq s \in H^0(N_{Y/\mathbb{P}_4}^*(2))$ . Since

$$c_1(N_{Y/\mathbb{P}_4}^*(2)) \cdot H = -(H + K) \cdot H = 2 - 2\pi > 0$$

for  $d \geq 5$  the normal bundle  $N_{Y/\mathbb{P}_4}$  is properly unstable.

(iii) If  $Y$  is not symmetric then the generic hyperplane section  $C = Y \cap H$  is a smooth curve lying on a smooth quadric  $Q$ . Since  $C$  is neither rational nor a hypersurface section of  $Q$  it follows from [5, Theorem 1] that  $N_{C/\mathbb{P}_4}$  and hence also  $N_{Y/\mathbb{P}_4}$  is indecomposable. Now let  $Y$  be symmetric and consider the sequence

$$(10) \quad 0 \rightarrow M^* \rightarrow N_{Y/\mathbb{P}_4}^* \rightarrow \omega_Y(2 - 2b) \rightarrow 0$$

We claim that  $N_{Y/\mathbb{P}_4}$  splits if and only if (10) splits. If  $Y$  is the cubic ruled surface this follows from looking at the rulings of  $Y$ . Let us now assume  $d \geq 5$ . For every smooth hypersurface section  $C$  we saw in the proof of proposition 12 that

$$N_{C/H}^* = M^*|_C \oplus \omega_Y(2 - 2b)|_C$$

and this is the only way  $N_{C/H}^*$  can decompose. Hence if  $N_{Y/P_4}^* = L_1 \oplus L_2$  we can assume that  $L_1|C \cong M^*|C$  and  $L_2|C \cong \omega_Y(2 - 2b)|C$ . Since  $q(Y) = 0 \neq \pi$  we can apply a result of A. Weil, (compare [12, prop. 0.9]) to conclude that  $L_1 \cong M^*$  and  $L_2 \cong \omega_Y(2 - 2b)$  and we are done. Hence it remains to show that (10) does not split. For this purpose we restrict (10) to the line  $L_0$  with  $L_0^2 = 1 - 2b$ . Then (10) becomes

$$(11) \quad 0 \rightarrow \mathcal{O}_{L_0}(-2b - 1) \rightarrow N_{Y/P_4}^*|L_0 \rightarrow \mathcal{O}_{L_0}(-1) \rightarrow 0.$$

If this sequence splits then

$$N_{Y/P_4}^*|L_0 = \mathcal{O}_{L_0}(1 + 2b) \oplus \mathcal{O}_{L_0}(1).$$

In particular we have a quotient  $N_{Y/P_4}^*(-1)|L_0 \rightarrow \mathcal{O}_{L_0}$  and we can argue as in [6] to conclude that there is a hyperplane  $H$  which contains all the tangent planes of  $Y$  along  $L_0$ . But this cannot be, since these tangent planes form the corank 2 quadric  $Q$  which contains  $Y$ .

Let us now turn to Bordiga surfaces [8]. These are rational surfaces  $Y \subset P_4$  of degree 6. They can be constructed by blowing up  $P_2$  in 10 points

$$Y = \tilde{P}_2(x_1, \dots, x_{10})$$

and embedding this surface with the linear system

$$\left| 4l - \sum_{i=1}^{10} x_i \right|.$$

These surfaces have a resolution

$$0 \rightarrow \mathcal{O}_{P_4}^3 \rightarrow \mathcal{O}_{P_4}(1)^4 \rightarrow I_Y(4) \rightarrow 0.$$

One checks easily that

$$K \cdot H = -2, \quad K^2 = -1.$$

LEMMA 18. *If  $Y$  has a multiplicity-2 structure  $\tilde{Y}$  which is a complete intersection, then  $\tilde{Y}$  is given by a quotient  $N_{Y/P_4}^* \rightarrow \omega_Y(-2)$ . In this case  $\tilde{Y}$  is a complete intersection of a cubic and a quartic hypersurface.*

PROOF. Every multiplicity-2 structure  $\tilde{Y}$  which is a complete intersection is given by a quotient  $N_{Y/\mathbb{P}_4}^* \rightarrow \omega_Y(l)$ . The condition

$$c_2(N_{Y/\mathbb{P}_4} \otimes \omega_Y(l)) = 0$$

reads

$$36 + 6(l^2 + 5l) - 2(3l + 5) - 2 = 0$$

or equivalently

$$(l + 2)^2 = 0 .$$

Hence  $l = -2$ . On the other hand if we have a quotient  $N_{Y/\mathbb{P}_4}^* \rightarrow \omega_Y(-2)$  it follows from the remark after lemma 4 and the proof of proposition 5 that  $\tilde{Y}$  is a complete intersection of type (3, 4).

PROPOSITION 19. *Let  $Y \subseteq \mathbb{P}_4$  be a smooth Bordiga surface. Then the following conditions are equivalent:*

- (i) *There exists a multiplicity-2 structure  $\tilde{Y}$  on  $Y$  such that  $\tilde{Y}$  is a complete intersection of type (3, 4).*
- (ii) *There exists a quotient  $N_{Y/\mathbb{P}_4}^* \rightarrow \omega_Y(-2)$ .*
- (iii)  *$N_{Y/\mathbb{P}_4}^*$  is not stable.*

PROOF. The equivalence (i)  $\Leftrightarrow$  (ii) is lemma 18. (ii)  $\Rightarrow$  (iii) follows since

$$c_1(N_{Y/\mathbb{P}_4} \otimes \omega_Y(-2)) \cdot H = (H + 3K) \cdot H = 0 .$$

To prove (iii)  $\Rightarrow$  (ii) we look at the normal bundle  $N_{C/\mathbb{P}_4}$  of smooth hyperplane sections  $C = Y \cap H$  of  $Y$ .  $C$  is a curve of degree 6 and genus 3. The normal bundle of such curves was investigated thoroughly by Ellia in [2]. Now assume that  $N_{Y/\mathbb{P}_4}^*$  is unstable. Then there is a map  $N_{Y/\mathbb{P}_4}^* \rightarrow L$  to a line bundle  $L$  which is surjective outside a finite number of points such that

$$c_1(N_{Y/\mathbb{P}_4} \otimes L) \cdot H < 0 .$$

If we restrict this map to a generic hyperplane section we get a quotient  $N_{C/H}^* \rightarrow L|C$  which makes  $N_{C/H}$  unstable. By [2, prop. 7] this implies that  $L|C = \omega_C(-3) = \omega_Y(-2)|C$ . Again we can use Weil's result [12, prop. 0.9] to conclude that  $L = \omega_Y(-2)$ . Since  $c_2(N_{Y/\mathbb{P}_4} \otimes \omega_Y(-2)) = 0$  it follows that the map  $N_{Y/\mathbb{P}_4}^* \rightarrow \omega_Y(-2)$  must indeed be surjective everywhere and we are done.

REMARK. Since  $N_{C/H}$  is always semi-stable [2], it follows that  $N_{Y/\mathbf{P}_4}$  must be semi-stable too.

We want to conclude with the following

COROLLARY 20. *The normal bundle of a Bordiga surface  $Y \subseteq \mathbf{P}_4$  is indecomposable.*

PROOF. Assume that  $N_{Y/\mathbf{P}_4}$  splits. Then the same is true for all hyperplane sections  $C = Y \cup H$ . If, however,  $C$  is smooth and  $N_{C/H}$  is decomposable then  $N_{C/H}^* = \omega_C(-3) \oplus \omega_C(-3)$  by 2, prop. 8]. Using once more Weil's result it follows that  $N_{Y/\mathbf{P}_4} = \omega_Y(-2) \oplus \omega_Y(-2)$ . But this is a contradiction, since

$$c_2(N_{Y/\mathbf{P}_4}^*) = 36 \neq 31 = c_2(\omega_Y(-2) \oplus \omega_Y(-2)).$$

REMARK. We don't know if there exist smooth Bordiga surfaces with the properties of Prop. 18.

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