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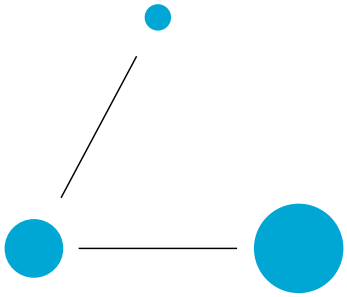

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MEASURE OF MAXIMAL ENTROPY FOR FINITE HORIZON SINAI BILLIARD FLOWS

MESURE D'ENTROPIE MAXIMALE DES FLOTS BILLARDS DE SINAI À HORIZON FINI

Abstract. — Using recent work of Carrand on equilibrium states for the billiard map, and adapting techniques from Baladi and Demers, we construct the unique measure of maximal entropy (MME) for two-dimensional finite horizon Sinai (dispersive) billiard flows Φ^1 (and show it is Bernoulli), assuming the bound $h_{\mathrm{top}}(\Phi^1)\tau_{\min} > s_0 \log 2$, where $s_0 \in (0,1)$ quantifies the recurrence to singularities. This bound holds in many examples (it is expected to hold generically).

Résumé. — En combinant un travail récent de Carrand sur les états d'équilibre de l'application billard avec des techniques dues à Baladi et Demers, nous construisons l'unique mesure d'entropie maximale des flots billards de Sinai (dispersifs) à horizon fini en dimension deux (nous montrons aussi que cette mesure est Bernoulli) sous l'hypothèse $h_{\mathrm{top}}(\Phi^1)\tau_{\min} > s_0 \log 2$, où $s_0 \in (0,1)$ mesure le taux de récurrence aux singularités. Cette hypothèse est vérifiée dans de nombreux exemples (on s'attend à ce qu'elle soit génériquement satisfaite).

Keywords: Sinai billiard flow, finite horizon, measure of maximal entropy, equilibrium state.
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1. Introduction and Main Result

1.1. Background

Let Φ^t be a continuous flow on a compact manifold. The topological entropy of the flow, $h_{\mathrm{top}}(\Phi^1)$, is the supremum, over ergodic probability measures ν invariant under the (continuous) time-one map Φ^1 of the Kolmogorov entropy $h_\nu(\Phi^1)$. If a measure realising the supremum exists, it is called a measure of maximal entropy (MME) for the flow.

For geodesic flows, the study of the MME has a rich history. In the case of strictly negative curvature, the flow is Anosov, i.e. smooth and uniformly hyperbolic, and the pioneering works of Bowen [Bow74] and Margulis [Mar69, Mar04] half a century ago established existence, uniqueness, and mixing of the MME, leading to remarkable consequences, in particular on the structure (counting and equidistribution) of periodic orbits. For more general continuous flows, it became apparent [Bow72a, Bow72b, BW72] that (flow) expansivity implies existence of the MME, and combined [Fra77] with the (Bowen) specification property, also gives uniqueness.

Starting with the groundbreaking work of Knieper [Kni98], most developments in the past 25 years have concerned smooth geodesic flows for which the hyperbolicity or compactness assumption are relaxed. In recent years, Climenhaga and Thompson [CT21] have revisited the Bowen specification approach, which has allowed them to obtain several striking [BCFT18, CKW21] results.

Sinai billiard flows, our object of study, are natural dynamical systems which are uniformly hyperbolic, but not differentiable (we refer to [CM06] for a full-fledged introduction to mathematical billiards): A Sinai billiard table Q on the two-torus $\mathbb{T}^2$ is a set $Q = \mathbb{T}^2 \setminus \cup_i \mathcal{O}_i$, for finitely many pairwise disjoint convex closed domains $\mathcal{O}_i$ with C^3 boundaries having strictly positive curvature $\mathcal{K}$. The billiard flow Φ^t, $t \in \mathbb{R}$, is the motion of a point particle traveling in Q at unit speed and undergoing specular reflections[(1)] at the boundary of the scatterers $\mathcal{O}_i$. The associated billiard map $T : M \to M$, on the compact metric set $M = \partial Q \times [-\frac{\pi}{2}, \frac{\pi}{2}]$, is the first collision map on the boundary of Q. Grazing collisions cause discontinuities in the map T, but the flow is continuous (after identification of the incoming and outgoing angles). The map is expansive [BD20], but this property is not automatically[(2)] inherited by the flow, since neither the map nor the return time is continuous. In particular, it is not obvious that the flow satisfies a condition (such as asymptotic h-expansiveness [Mis73]) sufficient for the upper-semi continuity of the Kolmogorov entropy (see [Car22, App. A–B]), and there does not appear to exist an unconditional proof of the existence — let alone uniqueness — of a MME for the billiard flow.

The purpose of the present paper is to furnish mild conditions guaranteeing existence, uniqueness, and mixing (in fact, the Bernoulli property) of the MME for Sinai billiards. This can be viewed as a first step towards the much harder open problem of establishing equidistribution results for Sinai billiards.

(1) At a tangential collision, the reflection does not change the direction of the particle.

(2) See [BW72] for a definition of expansiveness for the flow. See [Bow72a, Ex. 1.6] for a weaker sufficient condition for existence.

Our results are stated precisely in § 1.2, after furnishing the necessary notation. In particular, Corollary 1.5 of Theorem 1.4 guarantees existence, uniqueness and Bernoullicity of the MME for all finite horizon Sinai billiard flows Φ^t such that

$$h_{\text{top}}\left(\Phi^1\right)\tau_{\min} > s_0 \log 2\,, \tag{1.1}$$

where $\tau_{\min}$ is the minimum time between collisions, and $s_0 \in (0,1)$ quantifies the recurrence rate to singularities. The sufficient condition for the existence, uniqueness and Bernoullicity of the MME for billiard maps obtained in [BD20] is

$$h_* > s_0 \log 2\,, \tag{1.2}$$

where $h_* > 0$ is a combinatorial definition of the topological entropy of the map (see (1.5)). We show below (see the last claim of Lemma 1.3) that (1.1) implies (1.2). [BD20, Section 2.4] describes two billiard classes (periodic Lorentz gas with disks of radius 1 centered in a triangular lattice, and periodic Lorentz gas with two scatterers of different radii on the unit square lattice) where (1.2) can be checked for many parameters. In [Car22, Remark 5.6], the author checks (1.1) for an open subset of these parameters. No example is known where (1.2) or (1.1) can be shown *not* to hold.

Our proof is based on previous work of Carrand [Car22] (Chapter 3 of his thesis [Car23], itself relying on [BD20]) and on [BD22]. These three papers use the[3] technique of transfer operators acting on anisotropic spaces, which was first introduced to billiards by Demers–Zhang [DZ11], and recently applied to construct the measure of maximal entropy of the billiard map [BD20].

1.2. Results

To state our main results, Theorem 1.4 and[4] Corollary 1.5, we introduce some basic notation. For $x \in M$, let $\tau(x)$ denote the flow time (return time) from x to $T(x)$, let $\mathcal{K}_{\min} = \inf \mathcal{K} > 0$, and set

$$\tau_{\min} = \inf \tau > 0\,,\ \tau_{\max} = \sup \tau\,,\ \Lambda = 1 + 2\tau_{\min}\mathcal{K}_{\min}\,.$$

Throughout, we assume finite horizon, that is: there are no trajectories making only tangential collisions. Finite horizon implies $\tau_{\max} < \infty$.

Set

$$P(-t\tau) = \sup_{\mu\,:\,T\text{-invariant ergodic probability measure}} \left\{ h_\mu(T) - t\int \tau d\mu \right\}\,,\ t \geqslant 0\,.$$

The real number $P(-t\tau)$ is called the pressure of the potential $-t\tau$, and a probability measure μ_t realising $P(-t\tau)$ is called an equilibrium measure for $-t\tau$. For simplicity, we just[5] write $P(t)$ instead of $P(-t\tau)$.

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[3] To our knowledge, the Climenhaga–Thompson specification approach has not been implemented yet for Sinai billiards.

[4] The condition (1.7) there is discussed in Lemma 1.3.

[5] In [BD22] we studied $P(-t\log J^u T)) = \sup_\mu\{h_\mu(T) - t\int \log J^u T\, d\mu\}$, for $J^u T$ the unstable Jacobian of T. There is no risk of confusion since we only consider $P(-t\tau)$ in the present paper.

Viewing Φ as the suspension of T under τ, Abramov's formula says that any ergodic probability measure ν invariant under the time-one map Φ^1 satisfies

$$\nu = \frac{\mu}{\int \tau d\mu} \otimes Leb\,, \tag{1.3}$$

where μ is an ergodic T-invariant probability measure, and, in addition,

$$h_\nu(\Phi^1) = \frac{h_\mu(T)}{\int \tau d\mu}\,. \tag{1.4}$$

In the coordinates $x = (r, \varphi)$, where r is arclength along $\partial\mathcal{O}_i$ and φ is the post-collision angle with the normal to $\partial\mathcal{O}_i$, let $\mathcal{S}_0 = \{(r,\varphi) \in M : \varphi = \pm\frac{\pi}{2}\}$ denote the set of tangential collisions on M. Then for any $n \in \mathbb{Z}_*$, the set $\mathcal{S}_n = \cup_{i=0}^{-n} T^i \mathcal{S}_0$ is the singularity set of T^n. Following [BD20], define $\mathcal{M}_0^n$ to be the set of maximal connected components of $M \setminus \mathcal{S}_n$ for $n \geqslant 1$, and set

$$h_* = \lim_{n\to\infty} \frac{1}{n} \log \#\mathcal{M}_0^n \tag{1.5}$$

(existence of the limit is easy [BD20]). Then, for fixed $\varphi < \pi/2$ close to $\pi/2$ and large $n \in \mathbb{N}$, define $s_0(\varphi, n) \in (0, 1]$ to be the smallest number such that any orbit of length equal to n has at most $s_0 n$ collisions whose angles with the normal are larger than φ in absolute value. If there exist φ and n such that $s_0 = s_0(\varphi, n)$ satisfies

$$h_* > s_0 \log 2\,, \tag{1.6}$$

then [BD20] proves that $P(0) = h_*$, and there is a unique equilibrium measure $\mu_* = \mu_0$ for $t = 0$, which is the unique MME of T. As already mentioned, there are many billiards [BD20, § 2.4] satisfying (1.6), and in fact we do not know any billiard which violates it. Moreover, Demers and Korepanov showed [DK24] that a conjecture of Bálint and Tóth [BT08], if true, implies that, for generic finite horizon configurations of scatterers, one can choose φ and n to make s_0 arbitrarily small.

Using Abramov's formula, Carrand showed the following:

Proposition 1.1 ([Car22, Lemma 2.5 and its proof, Corollary 2.6]). — *The function $t \mapsto P(t)$ is continuous and strictly decreasing on $(-\infty, \infty)$, with $-\lim_{t\to\pm\infty} P(t) = \pm\infty$. The real number $t = h_{top}(\Phi^1) > 0$ is the unique t such that $P(t) = 0$. In addition, the set of equilibrium measures of T for $-h_{top}(\Phi^1)\tau$ is in bijection with the set of MMEs of the flow via* (1.3).

Denote $\Sigma_n\tau := \sum_{k=0}^{n-1} \tau \circ T^k$ (to avoid confusion with $\mathcal{S}_n$ and the notation S_n^δ below). We next state Carrand's main results (see also Proposition 3.1 below).

Theorem 1.2 ([Car22, Theorem 2.1, Theorem 1.2]). —

(a) *The following*[6] *limits exist:*

$$P_*(t) = \lim_{n\to\infty} \frac{1}{n} \log Q_n(t)\,,\ \textit{with } Q_n(t) = \sum_{A\in\mathcal{M}_0^n} \left|e^{-t\Sigma_n\tau}\right|_{C^0(A)}\,,\ \forall\, t \geqslant 0\,.$$

Moreover, $P_*(t) > P_*(s) \geqslant P(s)$ for all $0 \leqslant t < s$, and[7] *$t \mapsto P_*(t)$ is convex.*

[6] By [BD20] we always have $P_*(0) = h_* \geqslant P(0)$.

[7] The fact that $P_*(t)$ is strictly decreasing is immediate, see (3.11). Convexity follows from the Hölder inequality as in [BD22, Proposition 2.6].

(b) *If $t \geqslant 0$ is such that*

$$P_*(t) + t\tau_{\min} > s_0 \log 2\,, \tag{1.7}$$

and

$$\log \Lambda > t(\tau_{\max} - \tau_{\min})\,, \tag{1.8}$$

then there is a unique equilibrium measure μ_t for $-t\tau$. This measure charges all open sets, is Bernoulli, and $P_*(t) = P(t)$. Finally, μ_t is T-adapted,[8] *that is*

$$\int |\log d(x, \mathcal{S}_{\pm 1})|\, d\mu_t < \infty\,. \tag{1.9}$$

The work of Lima and Matheus [LM18] shows the usefulness of the T-adapted property.

In view of Proposition 1.1 and Theorem 1.2, to establish existence and uniqueness of the MME of the finite horizon flow Φ, it *suffices* to check (1.7) and (1.8) for $t = h_{\mathrm{top}}(\Phi^1) > 0$. We next discuss these conditions. The first one is very mild:

Lemma 1.3. — *The bound* (1.7) *holds $t = h_{top}(\Phi^1)$ if*

$$h_{top}\left(\Phi^1\right) \tau_{\min} > s_0 \log 2\,. \tag{1.10}$$

The bound (1.10) *holds if*

$$h_* \frac{\tau_{\min}}{\tau_{\max}} > s_0 \log 2\,. \tag{1.11}$$

If (1.7) *holds for some $t' \geqslant 0$ then it holds for all $t \in [0, t']$. In particular, if* (1.7) *holds at $t = h_{top}(\Phi^1)$ then* (1.6) *holds since $P_*(0) = h_*$.*

It is not hard to find [Car22, Remark 5.6] billiards satisfying (1.10). The idea there is to compare a computable lower bound for the left-hand-side of (1.10) with an upper bound for the right-hand-side. In the examples from [BD20, § 2.4], this comparison is sufficient to check that (1.10) holds, as long as $\tau_{\min}$ is large enough.

Proof. — The first claim follows from Proposition 1.1 and the bound $P_*(t) \geqslant P(t)$ for all $t \geqslant 0$. The second claim holds because (1.4) implies

$$h_{\mathrm{top}}\left(\Phi^1\right) \geqslant \frac{h_*}{\int \tau d\mu_*} \geqslant \frac{h_*}{\tau_{\max}}.$$

Finally, the first claim of Lemma 4.1 below implies that $t \mapsto P_*(t) + t\tau_{\min}$ is nonincreasing. □

Obviously, for any finite horizon billiard, there exists $\widetilde{t} > 0$ such that (1.8) holds for all $t \in [0, \widetilde{t}]$. However, we do[9] not know any billiard such that (1.8) can be verified for $t = h_{\mathrm{top}}(\Phi^1)$, that is, $\log \Lambda > h_{\mathrm{top}}(\Phi^1)(\tau_{\max} - \tau_{\min})$. Fortunately, it turns out that (1.8) is not *necessary:* Assuming only finite horizon and (1.7) at $t = h_{\mathrm{top}}(\Phi^1)$, we will extend the conclusion of Theorem 1.2 to $t = h_{\mathrm{top}}(\Phi^1)$ by adapting the

[8] To establish (1.9), Carrand shows that the μ_t measure of the ϵ-neighbourhood of $\mathcal{S}_{\pm 1}$ is bounded by $C_t |\log \epsilon|^{-\gamma}$ for some $\gamma > 1$ and $C_t < \infty$.

[9] Note that (1.4) implies $h_{\mathrm{top}}(\Phi^1)(\tau_{\max} - \tau_{\min}) \leqslant h_*(\tau_{\max}/\tau_{\min} - 1)$.

bootstrapping argument in [BD22, Lemma 3.10] (used there to cross the value $x = 1$ at which the pressure for $-x \log J^u T$ vanishes). This is our main result:

THEOREM 1.4. — *Let T be a finite horizon Sinai billiard map such that* (1.7) *holds at $h_{top}(\Phi^1)$. Then for all $t \in [0, h_{top}(\Phi^1)]$, we have $P_*(t) = P(t)$, and there exists a unique T-invariant probability measure μ_t realising $P(t)$. This measure charges all nonempty open sets, is Bernoulli and T-adapted.*

Our proof furnishes $t_\infty > h_{\rm top}(\Phi^1)$ such that the key Small Singular Pressure properties (3.1), (3.2), and (3.3) hold for all $t \in [0, t_\infty]$. Note that if (1.7) holds at some $t_2 \in (h_{\rm top}(\Phi^1), t_\infty]$, the conclusion of Theorem 1.4 holds for all $t \in [0, t_2]$.

Theorem 1.2 and Proposition 1.1 of Carrand, combined with Theorem 1.4 and the proof of [Car22, Propositions 7.1 and 7.2] for Bernoullicity of the flow, give:

COROLLARY 1.5. — *Let T be a finite horizon Sinai billiard map such that* (1.7) *holds at $t = h_{top}(\Phi^1)$. Then*

$$\nu_* := \frac{\mu_{h_{top}(\Phi^1)}}{\int \tau \, d\mu_{h_{top}(\Phi^1)}} \otimes Leb$$

is the unique measure of maximal entropy of the billiard flow. This measure is Bernoulli, it charges all nonempty open sets, and it is flow adapted, that is[10]

$$\int_\Omega \left| \log d_\Omega(x, \mathcal{S}_0^\pm) \right| \, d\nu_* < \infty \,, \quad \Omega = Q \times \mathbb{S}^1 \,, \tag{1.12}$$

where d_Ω is the Euclidean metric, $\mathcal{S}_0^- = \{\Phi_{-s}(z) : z \in \mathcal{S}_0 \,,\, s \leqslant \tau(T^{-1}z)\}$, and $\mathcal{S}_0^+ = \{\Phi_s(z) : z \in \mathcal{S}_0 \,,\, s \leqslant \tau(z)\}$.

Contrary to [BD22], homogeneity layers are not used for our potentials $-t\tau$. They are not needed because τ is piecewise Hölder and thus e^τ satisfies piecewise bounded distortion. The results of Carrand [Car22] that we build upon are based on bounds for transfer operators acting on Banach spaces of distributions defined with the logarithmic modulus of continuity of [BD20]. We could not find a Banach norm giving a spectral gap (there is no analogue of [BD22, Lemmas 3.3 and 3.4] for $\varsigma \neq 0$, see [Car22, Lemma 3.1] for $\gamma \neq 0$ where $(\log |W| / \log |W_i|)^\gamma$ replaces $(|W_i|/|W|)^\varsigma$). We thus do not have exponential mixing for $(T, \mu_{h_{\rm top}(\Phi^1)})$. (Even if we had, it would not immediately imply exponential mixing for (Φ^1, ν_*).)

The paper is organised as follows: Section 2 is devoted to recalling notation from [BD20] and to two basic lemmas on cone stable curves iterated by the billiard map.

Section 3 contains key ingredients from [Car22] as well as the crucial new definition (3.5), as we explain next: To show Theorem 1.2, Carrand introduced a key technical condition of Small Singular Pressure (SSP). The pressure $P_*(t)$ is a thermodynamic limit corresponding to sums (for the weight $\exp(-t\tau)$ arising from Abramov's formula) over stable curves iterated (in the past), and cut by billiard singularities. As usual for hyperbolic systems with singularities, for fixed $t > 0$, we must see that the contraction coming from the weight $\exp(-t\tau) \leqslant \exp(-t\tau_{\min})$ beats the

[10] Note that (1.12) implies that $\log \|D\Phi_t\|$ is integrable for each $t \in [-\tau_{\min}, \tau_{\min}]$ so that, by subadditivity, it is integrable for each $t \in \mathbb{R}$.

growth due to summing over bits fragmented by the singularities. (This is necessary to get good bounds on the iterated transfer operators associated to the map T and the weight $\exp(-t\tau)$. These bounds are needed to construct maximal eigenvectors for this operator and its dual on suitable Banach spaces of distributions.) Condition SSP for a parameter $t > 0$ essentially says that there exists a scale $\delta_t > 0$ such that, at all large times, the contribution of those thermodynamic sums which correspond to curves which have become shorter than $\delta_t/3$ is at most a controlled fraction of the sum over all curves. In § 3.1, we first recall the SSP conditions (3.1), (3.2), and (3.3) from [Car22], and we then state Carrand's conditional Theorem 3.1. This theorem says that, if SSP holds at t, then there is a unique equilibrium measure for the potential $-t\tau$, and it thus reduces Theorem 1.4 to showing SSP for some $t \geqslant h_{\mathrm{top}}(\Phi^1)$. We set up the bootstrap mechanism by introducing in (3.5) the supremum $t_\infty > 0$ of parameters satisfying SSP (this is the new idea). The first key lemma, Lemma 3.5, inspired by [BD22, Lemma 3.10] exploits the Hölder inequality to estimate weighted thermodynamic sums for t by using the pressure $P_*(u)$ and its one-sided derivative $P'_{*,-}(u)$, for $0 < u \leqslant t < t_\infty$. It is stated and proved in § 3.2.

The actual bootstrapping argument is carried out in § 4. Lemma 4.1 embodies our version of "pressure gap" (inspired by [BD22, Definition 3.9]). This lemma constructs a "pivot" $t_* < t_\infty$ and its associated parameter $s_*(t_*) > t_\infty$. (The pivot is chosen in such a way that the first key lemma can be exploited at $u = t_*$.) Lemma 4.3, the second key lemma (inspired by [BD22, Lemma 3.11]), says that, if $P_*(t_*) \geqslant 0$, then SSP holds in the interval $[t_*, s_*(t_*))$. (The proof uses the first key lemma, taking advantage of the choice of the pivot.) Finally, Theorem 1.4 is proved in § 4.3. We assume for a contradiction that $t_\infty < h_{\mathrm{top}}(\Phi^1)$. Since $t_* < t_\infty$, this implies, by results from [Car22] recalled in Proposition 1.1 and Theorem 1.2 (a), that the pressure $P_*(t_*)$ is nonnegative. The second key lemma can thus be applied and gives the desired contradiction since $s_*(t_*) > t_\infty$.

2. Notations. n-Step Expansion. Growth Lemma

We recall here some facts about hyperbolicity and complexity of finite horizon Sinai billiards. There exist continuous families of stable and unstable cones, $\mathcal{C}^s$ and $\mathcal{C}^u$, which can be taken constant in M, and a constant $C_1 \in (0, 1)$ such that,

$$\left\|DT^n(x)v\right\| \geqslant C_1\Lambda^n\|v\|\,,\ \forall\, v \in \mathcal{C}^u\,, \quad \left\|DT^{-n}(x)v\right\| \geqslant C_1\Lambda^n\|v\|\,,\ \forall\, v \in \mathcal{C}^s\,, \tag{2.1}$$

where, as before, $\Lambda = 1 + 2\tau_{\min}\mathcal{K}_{\min}$ is the minimum hyperbolicity constant.

A fundamental fact about this class of billiards is the linear bound on the growth in complexity due to Bunimovich [Che01, Lemma 5.2],

(2.2) There exists $K \geqslant 1$ such that for all $n \geqslant 0$, the number of curves in $\mathcal{S}_{\pm n}$ that intersect at a single point is at most Kn.

The parameter $\gamma > 1$ defining the Banach space norms in [Car22] is chosen so that $h_* > s_0\gamma\log 2$, which is possible due to (1.6). Next, choosing m so large that,

$$\tfrac{1}{m}\log(Km + 1) < h_* - s_0\gamma\log 2\,,$$

we take $\delta_0 = \delta_0(m) \in (0, 1/C_1)$ so that any stable curve of length at most δ_0 can be cut by $\mathcal{S}_{-\ell}$ into at most $K\ell + 1$ connected components for all $0 \leqslant \ell \leqslant 2m$.

Let $\widehat{\mathcal{W}}^s$ be, as in [BD20, § 5], the set of (cone-stable) curves whose tangent vectors lie in the stable cone for T, with length at most δ_0 and curvature bounded above by a constant $C_{\mathcal{K}}$ depending only on the table (homogeneity layers are not used). The constant $C_{\mathcal{K}}$ is chosen large enough that $T^{-1}\widehat{\mathcal{W}}^s \subset \widehat{\mathcal{W}}^s$, up to subdivision of curves. For $n \geqslant 1$, $\delta \in (0, \delta_0]$, and $W \in \widehat{\mathcal{W}}^s$, let $\mathcal{G}_n^\delta(W)$, $L_n^\delta(W)$, $S_n^\delta(W)$, and $\mathcal{I}_n^\delta(W)$ be as in [BD20, § 5]: Set $\mathcal{G}_0^\delta(W) = W$ and define $\mathcal{G}_n^\delta(W)$ for $n \geqslant 1$ to be the set of smooth components of $T^{-1}W'$ for $W' \in \mathcal{G}_{n-1}^\delta(W)$, with elements longer than δ subdivided to have length between $\delta/2$ and δ. More precisely, if a smooth component U has length $\ell\delta + \rho$ with $\ell \geqslant 1$ and $0 \leqslant \rho < \delta$, we decompose U into:

- either $\ell \geqslant 2$ pieces of length δ, if $\rho = 0$,
- or $\ell \geqslant 1$ piece(s) of length δ and one piece of length ρ, placed at one of the edges of U, if $\rho \geqslant \delta/2$,
- or $\ell - 1 \geqslant 0$ piece(s) of length δ, one piece of length $\delta/2$ (at one tip) and one piece of length $\rho + \delta/2$ (at the other tip), if $\rho \in (0, \delta/2)$.

Let $L_n^\delta(W)$ denote the set of curves in $\mathcal{G}_n^\delta(W)$ that have length at least $\delta/3$ and let $S_n^\delta(W) = \mathcal{G}_n^\delta(W) \setminus L_n^\delta(W)$. For $0 \leqslant k < n$, we say that $U \in \mathcal{G}_k^\delta(W)$ is an ancestor of $V \in \mathcal{G}_n^\delta(W)$ if $T^{n-k}V \subseteq U$, and we define $\mathcal{I}_n^\delta(W)$ to be those curves in $\mathcal{G}_n^\delta(W)$ that have no ancestors of length at least $\delta/3$ (aside from perhaps W itself).

Finally, let $\delta_1 < \delta_0$ and $n_1 \geqslant m$ be chosen so that [BD20, eq. (5.6)] holds: For any stable curve W with $|W| \geqslant \delta_1/3$ and $n \geqslant n_1$,

$$\#L_n^{\delta_1}(W) \geqslant \tfrac{2}{3}\#\mathcal{G}_n^{\delta_1}(W)\,. \tag{2.3}$$

Up to replacing δ_1 by a smaller constant, we may and shall only consider values of δ of the form

$$\delta = \delta_0/2^N\,, \quad N \geqslant 0\,. \tag{2.4}$$

The convention (2.4) is used (only) to allow us to ensure that[11] for all $W \in \widehat{\mathcal{W}}^s$,

$$\forall\, n \geqslant 1\,,\ \text{if } \delta'' < \delta' \text{ then } \ \forall\ U'' \in L_n^{\delta''}(W)\,,\ \exists!\, U' \in \mathcal{G}_n^{\delta'}(W) \text{ with } U'' \subset U'\,. \tag{2.5}$$

(To prove (2.5) using (2.4), use induction on N, selecting the short tips in a compatible way when dividing δ by two.) Property (2.5) is used only in the proof of Lemma 4.3 below.

For $t \geqslant 0$, we introduce the following shorthand notation,

$$S_n^\delta(W,t) := \sum_{W_i \in S_n^\delta(W)} \left|e^{-t\Sigma_n\tau}\right|_{C^0(W_i)}\,,\ \mathcal{G}_n^\delta(W,t) := \sum_{W_i \in \mathcal{G}_n^\delta(W)} \left|e^{-t\Sigma_n\tau}\right|_{C^0(W_i)}\,,$$

[11] An alternative way to guarantee (2.5) for a fixed length scale δ' is to define $\mathcal{G}_n^{\delta'}(W)$ as usual and treat it as the canonical partition of $T^{-n}W$. Then for any $\delta'' < \delta'/2$ one can define $\mathcal{G}_n^{\delta''}(W)$ as a refinement of $\mathcal{G}_n^{\delta'}(W)$, guaranteeing (2.5). This is done implicitly in the proof of [BD22, Lemma 3.11] and could be applied in our Lemma 4.3 below by taking $\delta' = \delta_{t_*}$ of that lemma. We do not adopt this approach since the canonical scale would not be chosen until nearly the end of our proof.

and

$$L_n^\delta(W,t) := \mathcal{G}_n^\delta(W,t) - S_n^\delta(W,t)\,,\ \mathcal{I}_n^\delta(W,t) := \sum_{W_i \in \mathcal{I}_n^\delta(W)} \left| e^{-t\Sigma_n \tau} \right|_{C^0(W_i)}\,.$$

The lemma below replaces the usual one-step expansion (see [BD22, Lemma 3.1]):

Lemma 2.1 (n-Step Expansion). — *For any $t_0 > 0$ and $\theta_0 \in (e^{-\tau_{\min}}, e^{-\tau_{\min}/2})$ there exist a finite $n_0(t_0,\theta_0) \geqslant 2$ and $\bar\delta_0 = \frac{\delta_0}{2^N} > 0$ such that*

$$S_{n_0}^{\bar\delta_0}(W,t) \leqslant \mathcal{G}_{n_0}^{\delta_0}(W,t) < \theta_0^{n_0 t}\,,\quad \forall\, W \in \widehat{\mathcal{W}}^s \quad \text{with}\ \ |W| \leqslant \bar\delta_0\,,\quad \forall\, t \geqslant t_0\,. \tag{2.6}$$

See also [Car22, Lemma 3.1 (a)].

Proof. — Clearly, $\sup -t\tau \leqslant -t\tau_{\min} < 0$ if $t > 0$. For any $n_0 \geqslant 1$, there exists $\bar\delta_0(n_0) = \frac{\delta_0}{2^N}$ such that any $W \in \widehat{\mathcal{W}}^s$ with $|W| < \bar\delta_0$ is such that $T^{-n_0}(W)$ has at most $(Kn_0 + 1)$ connected components [Che01, Lemma 5.2]. In addition using [CM06, Ex. 4.50] as in [BD20, Proof of Lemma 5.1], we have $|T^{-j}W| \leqslant C'|W|^{2^{-s_0 j}}$ for a uniform $C' > 0$ and all $j \geqslant 1$ (see also [Car22, Lemma 3.1]). Up to taking smaller $\bar\delta_0$, depending on δ_0 (and n_0), we can assume that $|T^{-j}W| \leqslant \delta_0$ for all $0 \leqslant j \leqslant n_0$. Then, for $|W| \leqslant \bar\delta_0$, there can be no additional subdivisions of $T^{-n_0}(W)$ due to pieces growing longer than δ_0, so that

$$\mathcal{G}_{n_0}^{\delta_0}(W,t) \leqslant (Kn_0+1)e^{-tn_0\tau_{\min}}\,. \tag{2.7}$$

The same bound applies to $S_{n_0}^{\bar\delta_0}(W,t)$, since any element of $S_{n_0}^{\bar\delta_0}(W)$ must be created by a genuine cut by a singularity, not an additional subdivision due to pieces growing longer than $\bar\delta_0$. For any fixed $t_0 > 0$ and $\theta_0 \in (e^{-\tau_{\min}}, e^{-\tau_{\min}/2})$, we can find $n_0 = n_0(t_0,\theta_0) \geqslant 2$ such that $(Kn_0+1)^{1/n_0} \leqslant \theta_0^{t_0} e^{\tau_{\min} t_0}$. Since $\theta_0^{t_0} e^{\tau_{\min} t_0} \leqslant \theta_0^t e^{\tau_{\min} t}$ for all $t \geqslant t_0$, it follows that (2.6) holds for $\bar\delta_0 = \bar\delta_0(n_0,\delta_0)$. □

Lemma 2.1 implies the following analogue[(12)] of [BD22, Lemmas 3.3–3.4, $\zeta = 0$]:

Lemma 2.2 (Growth Lemma). — *Fix $\theta_0 \in (e^{-\tau_{\min}}, e^{-\tau_{\min}/2})$ and $t_0 > 0$. Suppose $\delta \leqslant \delta_0$ and $m_1(\delta) \geqslant n_0(t_0,\theta_0)$ are such that any $W \in \widehat{\mathcal{W}}^s$ with $|W| \leqslant \delta$ has the property that $W \setminus \mathcal{S}_{-j}$ comprises at most $Kj+1$ connected components for all $1 \leqslant j \leqslant 2m_1$. Then for any $t \geqslant t_0$ and each $W \in \widehat{\mathcal{W}}^s$ with $|W| \leqslant \delta$, we have*

$$\mathcal{I}_n^\delta(W,t) \leqslant \theta_0^{nt}\,,\ \forall\, n \geqslant m_1\,, \tag{2.8}$$

$$\mathcal{I}_n^\delta(W,t) \leqslant Km_1\theta_0^{nt}\,,\ \forall\, n < m_1\,, \tag{2.9}$$

and, setting $L_0 = \pi\sqrt{1+\mathcal{K}_{\min}^{-2}}$,

$$\mathcal{G}_n^\delta(W,t) \leqslant \frac{2L_0}{C_1\delta}\,Q_n(t)\,,\forall\, n \geqslant 1\,. \tag{2.10}$$

Proof. — Let $n_0(t_0,\theta_0)$ and $\bar\delta_0(n_0,\delta_0)$ be given by Lemma 2.1. By choice of n_0, if $\varepsilon = \tau_{\min} + \log\theta_0 > 0$, then $(Kn_0+1)^{1/n_0} \leqslant e^{\varepsilon t_0}$. Remark that $(Kn+1)^{1/n}$ decreases to 1 for $n \geqslant 2$ since $K \geqslant 1$. Thus $(Kn+1)^{1/n} \leqslant e^{\varepsilon t_0}$ for all $n \geqslant n_0$. With this observation, for δ and m_1 as in the statement of the lemma, if $n < m_1$ then (2.9)

(12) See [Car22, Lemma 3.1 (b)] for the replacement for [BD22, Lemmas 3.3–3.4, $\zeta \neq 0$], using a logarithmic weight with $\gamma > 0$ as in [BD20].

follows immediately since each element of $\mathcal{I}_n^\delta(W)$ must terminate on an element of $\mathcal{S}_n$,

$$\mathcal{I}_n^\delta(W,t) \leqslant (Kn+1)e^{-tn\tau_{\min}} \leqslant Km_1\theta_0^{nt}\,.$$

On the other hand, for $n \geqslant m_1$, we write $n = qm_1 + \ell$, with $q \geqslant 1$ and $0 \leqslant \ell < m_1$. Then, since elements of $\mathcal{I}_n^\delta(W)$ have been short at each intermediate step, we use (2.7) once with $m_1 + \ell$ in place of n_0 and $q-1$ times with m_1 in place of n_0 to obtain,

$$\begin{aligned}\mathcal{I}_n^\delta(W,t) &\leqslant \sum_{V_j \in \mathcal{I}^\delta_{(q-1)m_1}(W)} \left|e^{-t\Sigma_{(q-1)m_1}\tau}\right|_{C^0(V_j)} \sum_{W_i \in \mathcal{I}^\delta_{m_1+\ell}(V_j)} \left|e^{-t\Sigma_{m_1+\ell}\tau}\right|_{C^0(W_i)} \\ &\leqslant (Km_1+1)^{q-1}(K(m_1+\ell)+1)e^{-tn\tau_{\min}} \leqslant e^{\varepsilon t_0 n - tn\tau_{\min}}\,,\end{aligned}$$

which implies (2.8) by choice of n_0 and ε.

Finally, to show (2.10), first note that each $W_i \in \mathcal{G}_n^\delta(W)$ is contained in a single element of $\mathcal{M}_0^n$, and that multiple $W_i \in \mathcal{G}_n^\delta(W)$ only belong to the same element of $\mathcal{M}_0^n$ as a result of artificial subdivisions at time n or at a previous step. Since $|T^{-n}V| \geqslant C_1\Lambda^n|V|$ for any stable curve $|V|$ (due to (2.1)), each such curve must have length at least $C_1\delta/2$. Thus there can be at most $2L_0/(C_1\delta)$ elements of $\mathcal{G}_n^\delta(W)$ in one element of $\mathcal{M}_0^n$, where $L_0 = \pi\sqrt{1+\mathcal{K}_{\min}^{-2}}$ is the maximum length of a stable curve in $\mathcal{M}_0^n$ using [BD20, § 3]. Note also that

$$\left|e^{-t\Sigma_n\tau}\right|_{C^0(W_i)} \leqslant \left|e^{-t\Sigma_n\tau}\right|_{C^0(A)}$$

whenever $W_i \subset A \in \mathcal{M}_0^n$. This gives the required bound. □

3. Preparations

3.1. Small Singular Pressure. Two Bounds from [Car22]

Recall that n_1 and δ_1 were defined by (2.3). We say that Small Singular Pressure #1 (SSP.1) holds at[13] $t \geqslant 0$ if

$$\begin{aligned}&\text{there exist } \delta_t = \delta = \frac{\delta_0}{2^{N_t}} \in (0,\delta_1] \text{ and a finite } n_t = n_t \geqslant n_1 \\ &\text{such that } \quad \frac{S_n^{\delta_t}(W,t)}{\mathcal{G}_n^{\delta_t}(W,t)} \leqslant 1/4\,,\ \forall\, n \geqslant n_t\,,\ \forall\, W \in \widehat{\mathcal{W}}^s \text{ with } |W| \geqslant \delta_t/3\,,\end{aligned} \tag{3.1}$$

and, in addition,

$$\sum_{n \geqslant n_t} \sup_{\substack{W \in \widehat{\mathcal{W}}^s \\ |W| \geqslant \delta_t/3}} \frac{e^{-nt\tau_{\min}}}{L_n^{\delta_t}(W,t)} < \infty \tag{3.2}$$

together with its "time-reversal," obtained by replacing T with its inverse T^{-1}, $\widehat{\mathcal{W}}^s$ by $\widehat{\mathcal{W}}^u$, and replacing τ with $\tau \circ T^{-1}$ (that is, replacing $\Sigma_n\tau$ with $\sum_{i=1}^n \tau \circ T^{-i} = (\Sigma_n\tau)\circ T^{-n}$), both hold.

[13] Our formulation of (SSP) corresponds to the choice $\varepsilon = 1/4$ in the formulation of (SSP) in [Car22], and in the analogous condition appearing in [BD20, Corollary 5.3].

Assume that (3.1) and (3.2) hold at $t \geqslant 0$ for δ_t, and n_t. Then we say that Small Singular Pressure #2 (SSP.2) holds at t if[14]

(3.3) for any $W \in \widehat{\mathcal{W}}^s$ there exists $n_t^*(|W|, \delta_t) \in [n_t, \infty)$ such that

$$\frac{S_n^{\delta_t}(W,t)}{\mathcal{G}_n^{\delta_t}(W,t)} \leqslant \frac{1}{2}\,,\ \forall\, n \geqslant n_t^*(|W|,\delta_t)\,,$$

together with its time-reversal (in the sense defined above) both hold.

Note that the time-reversal of conditions (3.1), (3.2), and (3.3) involve stable curves for T^{-1}, that is, unstable curves for T. In view of the time reversibility of the billiard dynamics (see [CM06, § 2.14] for the precise involution ι), since $\tau \circ T^{-1} = \tau \circ \iota$, and $\tau \circ \iota$ is precisely the free flight time under T^{-1}, the conditions for T and τ are equivalent[15] with those for $T^{-1} = \iota T \iota$ and $\tau \circ T^{-1} = \tau \circ \iota$.

To establish Theorem 1.2, Carrand proved[16] the following consequence of SSP:

Proposition 3.1 ([Car22, Theorem 1.2]). — *Assume*[17] (1.7) *and that SSP.1 and SSP.2 hold*[18] *at $t > 0$. Then there is a unique equilibrium measure μ_t for $-t\tau$, this measure is T-adapted, charges nonempty open sets, and is Bernoulli. In addition, $P_*(t) = P(t)$.*

We state more facts from [Car22] and their consequences. Setting

$$t_C = \frac{\log \Lambda}{\tau_{\max} - \tau_{\min}} > 0\,, \tag{3.4}$$

[Car22, Lemmas 3.3 and 3.4 and Corollary 3.6] give that each $t \in [0, t_C)$ satisfies SSP (that is, (3.1), (3.2), and (3.3)) for $\delta_t > 0$, $n_t < \infty$, and $C_t < \infty$.

The key to our bootstrap argument is the following definition.

Definition 3.2 (Largest SSP Parameter). —

$$t_\infty := \sup\Big\{ t' \geqslant 0 \text{ such that } (3.1), (3.2), \text{ and } (3.3) \text{ hold for all } 0 \leqslant t \leqslant t' \Big\}\,. \tag{3.5}$$

We will use that δ_t and n_t exist for all $t < t_\infty$.

By the results of [Car22] recalled after (3.4), we already know that $t_\infty \geqslant t_C > 0$. We will bootstrap from this fact: If $P(t_\infty) < 0$, then $t_\infty > h_{\mathrm{top}}(\Phi^1)$, and Proposition 3.1 implies Theorem 1.4. Otherwise, Lemma 4.3 below will establish that any $0 \leqslant t < s_*$ satisfies (3.1), (3.2), and (3.3), where $s_* > t_\infty$ will be constructed in Lemma 4.1.

We conclude this section with two key bounds due to Carrand and a lemma which follows from them. Assume that (3.1) (3.2) hold for t, then by [Car22, Prop 3.7] there exists $c_{0,t} > 0$ such that

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[14] In the analogous condition of [BD20, Corollary 5.3], there exists a uniform C_t such that $n_t^*(|W|, \delta_t, 1/4) = C_t n_t \frac{|\log(|W|/\delta_t)|}{|\log 1/4|}$.

[15] This equivalence does not always hold in [Car22] where $t\tau$ is replaced by a more general g.

[16] In particular, Carrand shows that (3.1) and (3.2) imply the analogues [Car22, Propositions 3.7 and 3.10] of [BD22, Propositions 3.14 and 3.15] for the Banach norm of [BD20]. He does not get a spectral gap.

[17] See also Lemma 1.3.

[18] SSP.1 suffices to construct the invariant measure μ_t and check it is T-adapted. SSP.2 is used to show ergodicity, which gives that μ_t is an equilibrium state for $-t\tau$, as well as the other claims.

$$\mathcal{G}_n^{\delta_t}(W,t) \geqslant c_{0,t} e^{nP_*(t)}\,, \quad \forall\, n \geqslant 1\,, \forall\, W \in \widehat{\mathcal{W}}^s \text{ with } |W| \geqslant \delta_t/3\,, \tag{3.6}$$

and by [Car22, Proposition 3.10] there exists $c_{1,t} > 0$ such that

$$Q_n(t) \leqslant \frac{2}{c_{1,t}} e^{nP_*(t)}\,, \quad \forall\, n \geqslant 1\,, \tag{3.7}$$

Observe that (3.7) together with (2.10) give the upper bound (to be used in the proof of Lemma 3.5)

$$\mathcal{G}_n^{\delta}(W,t) \leqslant \frac{2L_0}{C_1\delta} Q_n(t) \leqslant \frac{4L_0}{C_1\delta c_{1,t}} e^{nP_*(t)}\,, \forall\, n \geqslant 1\,, \forall\, \delta \leqslant \delta_0\,. \tag{3.8}$$

Finally, (3.1) and (3.6) imply the following lower bound for any scale $\delta = \delta_0/2^N$.

Lemma 3.3. — *For all $t \in (0, t_\infty)$ and $\delta = \delta_0/2^N$, there exists $c_{0,t}(\delta) > 0$ such that*

$$\mathcal{G}_n^{\delta}(W,t) \geqslant c_{0,t}(\delta) e^{nP_*(t)}\,,\ \forall\, n \geqslant 1\,, \forall\, W \in \widehat{\mathcal{W}}^s \text{ with } |W| \geqslant \delta/3\,. \tag{3.9}$$

The time reversal of the statement holds for T^{-1}.

Proof. — First, assume $\delta < \delta_t$. Each element of $L_n^{\delta_t}(W)$ contains at least $\delta_t/(3\delta)$ elements of $\mathcal{G}_n^{\delta}(W)$. So if $|W| \geqslant \delta_t/3$, then (3.1) and bounded distortion for τ give

$$\mathcal{G}_n^{\delta}(W,t) \geqslant \frac{e^{-tC}\delta_t}{3\delta} L_n^{\delta_t}(W,t) \geqslant \frac{e^{-tC}\delta_t}{4\delta} \mathcal{G}_n^{\delta_t}(W,t) \geqslant \frac{e^{-tC}\delta_t c_{0,t}}{4\delta} e^{nP_*(t)}\,, \tag{3.10}$$

for all $n \geqslant n_t$, where we have used (3.6) in the last step.

Next, if $|W| \in [\delta/3, \delta_t/3)$, then there exists $n_W \leqslant C' \log(\delta_t/\delta)$ such that $T^{-n_W}(W)$ has a connected component V of length at least $\delta_t/3$. This is because while $T^{-n}W$ remains short, the number of components of $T^{-n}W$ is at most $Kn+1$ by (2.2) while $|T^{-n}W| \geqslant C_1\Lambda^n|W|$ according to (2.1). Thus setting $\bar{n} = \max\{n_W, n_t\}$, we apply (3.10) to V to estimate for $n \geqslant \bar{n}$.

$$\mathcal{G}_n^{\delta}(W,t) \geqslant \mathcal{G}_{n-\bar{n}}^{\delta}(V,t) e^{-\bar{n}\tau_{\max}} \geqslant e^{-\bar{n}(\tau_{\max}+P_*(t))} e^{-tC}\frac{\delta_t}{4\delta} c_{0,t} e^{nP_*(t)}\,,$$

which proves (3.9) by definition of $\bar{n}$. If $n < \bar{n}$, then trivially

$$\mathcal{G}_n^{\delta}(W,t) \geqslant e^{-n\tau_{\max}} \geqslant e^{-n|\tau_{\max}+P_*(t)|} e^{nP_*(t)} \geqslant e^{-\bar{n}|\tau_{\max}+P_*(t)|} e^{nP_*(t)}\,.$$

Finally, if $\delta \geqslant \delta_t$, then since each element of $\mathcal{G}_n^{\delta}(W)$ contains at most $3\delta/\delta_t$ elements of $L_n^{\delta_t}(W)$ and $S_n^{\delta_t}(W) \subset S_n^{\delta}(W)$, we have

$$\mathcal{G}_n^{\delta_t}(W,t) = S_n^{\delta_t}(W,t) + L_n^{\delta_t}(W,t) \leqslant S_n^{\delta}(W,t) + \frac{3\delta}{\delta_t}\mathcal{G}_n^{\delta}(W,t) \leqslant \left(1 + \frac{3\delta}{\delta_t}\right)\mathcal{G}_n^{\delta}(W,t)\,,$$

which gives the required lower bound on $\mathcal{G}_n^{\delta}(W,t)$, applying (3.6).

The time reversed statement of the lemma follows immediately using the reversibility of the billiard, as explained earlier. □

3.2. First Key Lemma

We start with the following easy observation:

LEMMA 3.4. — *For all $t > 0$, the following limit exists and belongs to $[-\tau_{\max}, -\tau_{\min}]$:*

$$P'_{*,-}(t) := \lim_{s \uparrow t} \frac{P_*(t) - P_*(s)}{t - s} \,.$$

Proof. — Existence of the limit follows from the convexity of $P_*(t)$ which implies that left (and right) derivatives exist at every $t > 0$. Next, if $0 < s < t$, we have

$$\sum_{A \in \mathcal{M}_0^n} \left| e^{-t\Sigma_n \tau} \right|_{C^0(A)} \leqslant |e^{n(s-t)\tau_{\min}}| \sum_{A \in \mathcal{M}_0^n} \left| e^{-s\Sigma_n \tau} \right|_{C^0(A)} , \quad \forall\, n \geqslant 1 \,, \tag{3.11}$$

which implies $P'_{*,-}(t) \leqslant -\tau_{\min}$. A similar computation gives $P'_{*,-}(t) \geqslant -\tau_{\max}$. □

Our first key lemma in view of Lemma 4.3 below is the following adaptation of [BD22, Lemma 3.10]:

LEMMA 3.5 (Using the Hölder Inequality). — *For all $0 < u \leqslant t < t_\infty$ and $\kappa > 0$ there exists $\omega_\kappa = \omega_\kappa(u,t) > 0$ such that for all $W \in \widehat{\mathcal{W}}^s$ with $|W| \geqslant \delta_u/3$,*

$$\begin{aligned} \mathcal{G}_n^\delta(W,t) &\geqslant \frac{\omega_\kappa(u,t)}{\delta} \cdot e^{n\left(P_*(u) - \left(\left|P'_{*,-}(u)\right| + \kappa\right)(t-u)\right)} \,, \\ \forall\, \delta = \frac{\delta_0}{2^N} &\leqslant \delta_u, \quad \forall\, n \geqslant n_u \,. \end{aligned} \tag{3.12}$$

In addition, for each $\delta = \frac{\delta_0}{2^N} < \delta_0$ there exists $\omega_\kappa^* = \omega_\kappa^*(u,t,\delta) > 0$ such that for all $W \in \widehat{\mathcal{W}}^s$ with $|W| \geqslant \delta/3$,

$$\mathcal{G}_n^\delta(W,t) \geqslant \omega_\kappa^*(u,t,\delta) \cdot e^{n\left(P_*(u) - \left(\left|P'_{*,-}(u)\right| + \kappa\right)(t-u)\right)} \,, \quad \forall\, n \geqslant 1 \,. \tag{3.13}$$

Finally, the time reversals of (3.12) *and* (3.13) *also hold for the billiard map T^{-1}.*

The proof gives constants $\omega_\kappa(u,t)$ and $\omega_\kappa^*(u,t,\delta)$ which tend to zero as $t \to \infty$ (because the constant η in the proof tends to zero as $t \to \infty$).

Proof. — We start with (3.12) (for $t \geqslant u$). Recall from the proof of (3.10) that for $u \in (0, t_\infty)$ and $\delta < \delta_u$, if $|W| \geqslant \delta_u/3$ and $n \geqslant n_u$, then

$$\mathcal{G}_n^\delta(W,u) \geqslant e^{-uC} \frac{\delta_u}{4\delta} c_{0,u} e^{nP_*(u)} \,, \; \forall\, \delta < \delta_u \,, \tag{3.14}$$

since each $V_i \in L_n^{\delta_u}(W)$ contains at least $\delta_u/3\delta$ elements of $\mathcal{G}_n^\delta(W)$.

Now, for $s \in (0,u)$, taking $\eta(s,t,u) \in (0,1]$ such that $\eta t + (1-\eta)s = u$, the Hölder inequality gives $\sum_i a_i^u \leqslant (\sum_i a_i^t)^\eta (\sum_i a_i^s)^{1-\eta}$ for any positive numbers a_i. It follows that for all $\delta \leqslant \delta_u$, each $W \in \widehat{\mathcal{W}}^s$ with $|W| \geqslant \delta_u/3$ and any $n \geqslant n_u$,

$$\begin{aligned}\mathcal{G}_n^\delta(W,t) &\geqslant \frac{(\mathcal{G}_n^\delta(W,u))^{1/\eta}}{(\mathcal{G}_n^\delta(W,s))^{(1-\eta)/\eta}}\\ &\geqslant \left(e^{-uC}\frac{\delta_u}{4\delta}c_{0,u}e^{nP_*(u)}\right)^{1/\eta}\left(\frac{4L_0}{C_1\delta c_{1,s}}e^{nP_*(s)}\right)^{1-1/\eta} \qquad (3.15)\\ &= \frac{1}{\delta}\left(e^{-uC}\frac{\delta_u}{4}c_{0,u}\right)^{1/\eta}\left(\frac{4L_0}{C_1c_{1,s}}\right)^{1-1/\eta} e^{n(P_*(u)-P_*(s))\frac{1-\eta}{\eta}}e^{nP_*(u)}\,,\end{aligned}$$

where we used (3.14) with u for the lower bound in the numerator, and (3.8) for s for the upper bound in the denominator, recalling that $\{s,u\}\subset(0,t_\infty)$ and $\delta_u\leqslant\delta_1<\delta_0$.

Since $\eta(s,t,u)=(u-s)/(t-s)$, we have

$$(P_*(u)-P_*(s))\,\frac{1-\eta}{\eta}=\frac{t-u}{u-s}\,(P_*(u)-P_*(s))\,.$$

Fix $\kappa>0$ and choose $s=s(\kappa,u)\in(0,1)$ close enough to u (i.e. small enough $\eta_\kappa=\eta(s(\kappa,u),t,u)>0$) such that (since $0<s<u$ and $P'_{*,-}(u)<0$ for all $u>0$)

$$(P_*(s)-P_*(u))\,/(u-s)\leqslant\left|P'_{*,-}(u)\right|+\kappa\,. \qquad (3.16)$$

The bound (3.12) follows, setting, for $s=s(\kappa,u)$ (recall that η_κ depends on t),

$$\omega_\kappa(u,t)=\left(e^{-uC}\frac{\delta_u}{4}c_{0,u}\right)^{1/\eta_\kappa}\left(\frac{4L_0}{C_1c_{1,s}}\right)^{1-1/\eta_\kappa}.$$

For (3.13), we use that (3.8) for s and Lemma 3.3 for u imply that for any $\delta\in(0,\delta_u)$, for each $W\in\widehat{\mathcal{W}}^s$ with $|W|\geqslant\delta/3$, and all $n\geqslant1$,

$$\mathcal{G}_n^\delta(W,t)\geqslant\frac{\left(\mathcal{G}_n^\delta(W,u)\right)^{1/\eta}}{(\mathcal{G}_n^\delta(W,s))^{(1-\eta)/\eta}}\geqslant\left(c_{0,u}(\delta)\cdot e^{nP_*(u)}\right)^{1/\eta}\left(\frac{4L_0}{C_1\delta c_{1,s}}e^{nP_*(s)}\right)^{(\eta-1)/\eta}, \qquad (3.17)$$

where we used (3.9) for u. We conclude by taking $s=s(\kappa,u)\in(0,1)$ close enough to u such that (3.16) holds, setting (again, η_κ depends on t)

$$\omega_\kappa^*(u,t,\delta)=c_{0,u}(\delta)^{1/\eta_\kappa}(4L_0)^{1-1/\eta_\kappa}\,(C_1\delta c_{1,s})^{1/\eta_\kappa-1}\,.$$

□

4. Proof of Theorem 1.4

4.1. Choosing the Pivot t_*.

The next lemma is inspired by [BD22, Definition 3.9]. Recall $-\tau_{\max}\leqslant P'_{*,-}(t)\leqslant-\tau_{\min}$ from Lemma 3.4.

LEMMA 4.1 (Pressure Gap: Constructing the "Pivot" t_*). — *For any $t > 0$ and $\theta_0 \in (e^{-\tau_{\min}}, e^{-\tau_{\min}/2})$, defining*

$$s_*(t) := \frac{t\left|P'_{*,-}(t)\right|}{\left|P'_{*,-}(t)\right| + (\log\theta_0)/2}, \quad t \in (0, t_\infty)\,, \tag{4.1}$$

there exists $t_* \in (0, t_\infty)$ such that $s_* := s_*(t_*) > t_\infty$.

Remark 4.2. — The parameter $s_*(t_*) = s_*(t_*, \theta_0) > t_*$ is defined such that

$$\theta_0^{s_*/2} e^{\left|P'_-(t_*)\right|(s_*-t_*)} = 1\,. \tag{4.2}$$

The reason for this will become clear in the proof of Lemma 4.3. In particular, we shall use the value of θ_0 from Lemmas 2.1 and 2.2 for $t_* = t_*(\theta_0)$ and $s_*(t_*) = s_*(t_*, \theta_0)$ in Lemma 4.3. Note also that replacing $(\log\theta_0)/2$ by $a\log\theta_0$ in (4.1) and taking $\theta_0 \in (e^{-\tau_{\min}}, e^{-b\tau_{\min}})$, for $a, b \in (0,1)$, would replace $1/2$ by a in (4.2), (4.4), (4.5), (4.8) (and the line above it), (4.9), and (thrice) in the two lines after (4.14), and it would replace 4 by $(ab)^{-1}$ in (4.3), (4.6), and (4.7). Taking a and b close to 1, this would give a larger value for s_* (up to taking κ smaller in (4.14)). Since $e^{nb\tau_{\min}}$ is a rough bound on the n-step expansion of Lemma 2.1, and (more importantly) our argument is by contradiction, there is no reason to optimise here.

Proof. — To construct t_*, we first check that

$$s_*(t) > t \cdot \left(1 + \frac{\tau_{\min}}{4\tau_{\max}}\right), \quad \forall\, t \in (0, t_\infty)\,. \tag{4.3}$$

Indeed, since

$$\frac{1}{1 - \frac{|\log\theta_0|}{2|P'_{*,-}(t)|}} > 1 + \frac{|\log\theta_0|}{2|P'_{*,-}(t)|}\,, \tag{4.4}$$

the bound (4.3) follows from the fact that $\tau_{\min} \leqslant |P'_{*,-}(t)| \leqslant \tau_{\max}$ implies

$$\frac{|\log\theta_0|}{2\left|P'_{*,-}(t)\right|} \in \left[\frac{\tau_{\min}}{4\tau_{\max}}, \frac{1}{2}\right)\,. \tag{4.5}$$

Then, taking $t_* = t_\infty - \upsilon$ for $\upsilon \in (0, t_\infty)$, it suffices to pick $\upsilon > 0$ such that

$$\left(1 + \frac{\tau_{\min}}{4\tau_{\max}}\right)(t_\infty - \upsilon) > t_\infty\,. \tag{4.6}$$

Since $t_\infty \geqslant t_C = \log\Lambda/(\tau_{\max} - \tau_{\min})$ by (3.4), the bound (4.6) holds as soon as

$$\upsilon < \log\Lambda \cdot (\tau_{\max} - \tau_{\min})^{-1} \cdot \left(1 + 4\frac{\tau_{\max}}{\tau_{\min}}\right)^{-1}\,. \tag{4.7}$$

□

4.2. Second Key Lemma

The second key lemma is inspired by [BD22, Lemma 3.11] (the proof below requires a more involved decomposition of orbits):

LEMMA 4.3. — *Fix $\theta_0 \in (e^{-\tau_{\min}}, e^{-\tau_{\min}/2})$. Let $t_* < t_\infty$ and $s_*(t_*) > t_\infty$ be as in Lemma 4.1. If $P_*(t_*) \geqslant 0$ then the SSP conditions* (3.1), (3.2), *and* (3.3) *hold at all $t \in [t_*, s_*)$.*

The proof below uses (2.5) and thus the convention (2.4).

Proof of Lemma 4.3. — We first consider condition (3.1) of SSP.1.

By definition of s_* (recall that $\inf |P'_{*,-}(s)| > -\log\theta_0/2$)

$$\theta_0^{t'/2} e^{\left|P'_{*,-}(t_*)\right|(t'-t_*)} < 1\,, \quad \forall\, t_* \leqslant t' < s_*\,. \tag{4.8}$$

Thus for all $t' \in [t_*, s_*)$ there exists $\kappa_1 = \kappa(t_*, t') > 0$ such that

$$\bar\varepsilon := \sup_{t_* \leqslant t \leqslant t'} \left(\theta_0^{t/2} e^{\left(\left|P'_{*,-}(t_*)\right| + \kappa_1\right)(t-t_*)} \right) < 1\,. \tag{4.9}$$

For $m_1 \geqslant \max\{n_0(t_*,\theta_0), n_{t_*}\}$ to be chosen later depending on $\bar\varepsilon$, δ_{t_*}, and κ_1, pick $\delta_3(m_1) \in (0, \delta_{t_*}]$ (similarly to the choice of $\bar\delta_0$ in the proof of Lemma 2.1) so small that any stable curve of length at most δ_3 can be cut into at most $Kj+1$ connected components by $\mathcal{S}_{-j}$ for $0 \leqslant j \leqslant 2m_1$.

For $n \geqslant m_1$, write $n = \ell m_1 + r$, for some $0 \leqslant r < m_1$ and $\ell \geqslant 1$. Let $W \in \widehat{\mathcal{W}}^s$ with $|W| \geqslant \delta_3/3$. We group the curves $W_i \in S_n^{\delta_3}(W)$ with $|W_i| < \delta_3/3$, as in the proof of [BD22, Lemma 3.11], according to the largest $k \in \{0, \dots, \ell-1\}$ such that $T^{(\ell-k)m_1+r} W_i \subset V_j \in L^{\delta_3}_{km_1}(W)$ (such a k must exist since $|W| \geqslant \delta_3/3$ while $|W_i| < \delta_3/3$). Denote[(19)] by $\bar{\mathcal{I}}^{\delta_3}_{(\ell-k)m_1+r}(V_j)$ the set of $W_i \in \mathcal{G}_n^{\delta_3}(W)$ thus associated with $V_j \in L^{\delta_3}_{km_1}(W)$ (such elements are known to be small only at iterates $jm_1 + r$). For such W_i, $T^{(\ell-k')m_1+r}(W_i)$ is contained in an element of $\mathcal{G}^{\delta_3}_{m_1 k'}(W)$ shorter than $\delta_3/3$ for $k' < k$. So for $k > 0$, we may apply the inductive bound (2.8) since elements of $\bar{\mathcal{I}}^{\delta_3}_{(\ell-k)m_1+r}(V_j)$ can only be created by intersections with $\mathcal{S}_{-m_1}$ at the first $\ell - k - 1$ iterates and with $\mathcal{S}_{-m_1-r}$ at the last step. For $k = 0$, W itself may be longer than δ_3. Thus we first subdivide W into at most δ_0/δ_3 curves of length at most δ_3 and then apply (2.8) to each piece. This yields, for $t_* \leqslant t \leqslant t'$,

$$\begin{aligned} &S_n^{\delta_3}(W,t) \\ &\quad\leqslant \sum_{k=0}^{\ell-1} \sum_{V_j \in L^{\delta_3}_{km_1}(W)} \left| e^{-t\Sigma_{km_1}\tau} \right|_{C^0(V_j)} \sum_{W_i \in \bar{\mathcal{I}}^{\delta_3}_{(\ell-k)m_1+r}(V_j)} \left| e^{-t\Sigma_{(\ell-k)m_1+r}\tau} \right|_{C^0(W_i)} \\ &\quad\leqslant \frac{\delta_0}{\delta_3}\theta_0^{tn} + \sum_{k=1}^{\ell-1} \sum_{V_j \in L^{\delta_3}_{km_1}(W)} \left| e^{-t\Sigma_{km_1}\tau} \right|_{C^0(V_j)} \theta_0^{t((\ell-k)m_1+r)}\,. \end{aligned} \tag{4.10}$$

Next, recalling (2.5), for any $k \geqslant 1$, each $V_j \in L^{\delta_3}_{km_1}(W)$ is contained in an element $U_i \in \mathcal{G}^{\delta_{t_*}}_{km_1}(W)$. Since $|V_j| \geqslant \delta_3/3$, there are at most $3\delta_{t_*}/\delta_3$ different V_j corresponding to each fixed U_i. Then we group each $U_i \in \mathcal{G}^{\delta_{t_*}}_{km_1}(W)$ according to its most recent long ancestor $W_a \in L_j^{\delta_{t_*}}(W)$ for some $j \in [0, km_1]$. Note that $j = 0$ is possible if

[(19)] Note that $\bar{\mathcal{I}}^{\delta}_{(\ell-k)m_1+r}(V_j)$ was abusively denoted $\mathcal{I}^{\delta}_{(\ell-k)m_1+r}(V_j)$ in the proof of [BD20, Lemma 5.2], see footnote 23 there.

$|W| \geqslant \delta_{t_*}/3$. If $|W| < \delta_{t_*}/3$, and no such time j exists for U_i, then by convention we also associate the index $j = 0$ to such U_i. In either case, $U_i \in \mathcal{I}^{\delta_{t_*}}_{km_1}(W)$, and we may apply (2.8) after possibly subdividing W into at most δ_0/δ_{t_*} curves of length at most δ_{t_*}. Then, for $j \geqslant 1$, we apply (2.9) from Lemma 2.2 to each $\mathcal{I}^{\delta_{t_*}}_{km_1-j}(\cdot)$ (since $\delta_3 \leqslant \delta_{t_*}$, the constant $m_1(\delta_{t_*}) \leqslant m_1(\delta_3)$, so the bound holds with our chosen m_1, although it may not be optimal),

$$\begin{aligned} L^{\delta_3}_{km_1}(W,t) &\leqslant \frac{3\delta_{t_*}}{\delta_3}\left(\sum_{U_i \in \mathcal{I}^{\delta_{t_*}}_{km_1}(W)} \left|e^{-t\Sigma_{km_1}\tau}\right|_{C^0(U_i)}\right. \\ &\qquad \left. + \sum_{j=1}^{km_1} \sum_{W_a \in L^{\delta_{t_*}}_j(W)} \left|e^{-t\Sigma_j\tau}\right|_{C^0(W_a)} \sum_{U_i \in \mathcal{I}^{\delta_{t_*}}_{km_1-j}(W_a)} \left|e^{-t\Sigma_{km_1-j}\tau}\right|_{C^0(U_i)}\right) \\ &\leqslant \frac{3\delta_{t_*}}{\delta_3}\left(\frac{\delta_0}{\delta_{t_*}}\theta_0^{tkm_1} + \sum_{j=1}^{km_1} \sum_{W_a \in L^{\delta_{t_*}}_j(W)} \left|e^{-t\Sigma_j\tau}\right|_{C^0(W_a)} Km_1\theta_0^{t(km_1-j)}\right). \end{aligned}$$

Combining this estimate with (4.10) yields (summing over k for the $j = 0$ terms and adding the term corresponding to $k = 0$),

$$S^{\delta_3}_n(W,t) \leqslant \frac{3\delta_0}{\delta_3}\frac{n}{m_1}\theta_0^{tn} + \frac{3\delta_{t_*}}{\delta_3}\sum_{k=1}^{\ell-1}\sum_{j=1}^{km_1} Km_1\theta_0^{t(n-j)} L^{\delta_{t_*}}_j(W,t)\,. \tag{4.11}$$

For fixed $k \in \{1, \ldots, \ell-1\}$, and for each $1 \leqslant j \leqslant km_1$ such that $L^{\delta_{t_*}}_j(W) \neq \emptyset$, the lower bound (3.12) in Lemma 3.5 (for $u = t_*$) and the distortion constant $e^{-tC} \geqslant e^{-t'C}$ imply (note that $n - j \geqslant \ell m_1 + r - km_1 \geqslant r + m_1 \geqslant n_{t_*}$),

$$\begin{aligned} &\mathcal{G}^{\delta_3}_n(W,t) \\ &\qquad \geqslant \sum_{W_a \in L^{\delta_{t_*}}_j(W)} e^{-tC}\left|e^{-t\Sigma_j\tau}\right|_{C^0(W_a)} \sum_{W_i \in \mathcal{G}^{\delta_3}_{n-j}(W_a)} \left|e^{-t\Sigma_{n-j}\tau}\right|_{C^0(W_i)} \\ &\qquad \geqslant \frac{\omega_{\kappa_1}(t_*,t)}{\delta_3 e^{t'C}} e^{(n-j)\left(P_*(t_*)-\left(\left|P'_{*,-}(t_*)\right|+\kappa_1\right)(t-t_*)\right)} \sum_{W_a \in L^{\delta_{t_*}}_j(W)} \left|e^{-t\Sigma_j\tau}\right|_{C^0(W_a)}. \end{aligned} \tag{4.12}$$

Combining (4.11) with either (4.12) (for $j \geqslant 1$) or (3.13) from Lemma 3.5 (for $j = 0$ and $u = t_*$) and setting $\Delta = 3e^{t'C}\delta_{t_*}Km_1$, yields (using that $P_*(t_*) \geqslant 0$),

$$\begin{aligned} &\frac{S^{\delta_3}_n(W,t)}{\mathcal{G}^{\delta_3}_n(W,t)} \\ &\qquad \leqslant n\frac{\frac{3\delta_0}{\delta_3 m_1}\theta_0^{tn}}{\omega^*_{\kappa_1}(t_*,t,\delta_3)e^{n(P_*(t_*)-(|P'_{*,-}(t_*)|+\kappa_1)(t-t_*))}} \\ &\qquad\quad + \sum_{k=1}^{\ell-1}\sum_{j=1}^{km_1} \frac{\frac{3\delta_{t_*}}{\delta_3}Km_1\theta_0^{t(n-j)}L^{\delta_{t_*}}_j(W,t)}{\frac{\omega_{\kappa_1}(t_*,t)}{\delta_3 e^{t'C}}e^{(n-j)(P_*(t_*)-(|P'_{*,-}(t_*)|+\kappa_1)(t-t_*))}L^{\delta_{t_*}}_j(W,t)} \end{aligned} \tag{4.13}$$

$$\leqslant \frac{3\delta_0}{\delta_3\cdot\omega^*_{\kappa_1}(t_*,t,\delta_3)\cdot m_1} n(e^{-P_*(t_*)}\bar\varepsilon)^n + \frac{\Delta}{\omega_{\kappa_1}(t_*,t)}\sum_{k=1}^{\ell-1}\sum_{j=1}^{km_1}\left(e^{-P_*(t_*)}\bar\varepsilon\right)^{n-j}$$
$$\leqslant \frac{3\delta_0}{\delta_3\cdot\omega^*_{\kappa_1}(t_*,t,\delta_3)\cdot m_1} n\bar\varepsilon^n + \frac{\Delta}{\omega_{\kappa_1}(t_*,t)}\frac{1}{1-\bar\varepsilon}\sum_{k=1}^{\ell-1}\bar\varepsilon^{n-km_1}$$
$$\leqslant \frac{3\delta_0}{\delta_3\cdot\omega^*_{\kappa_1}(t_*,t,\delta_3)\cdot m_1} n\bar\varepsilon^n + \frac{3e^{t'C}\delta_{t_*}Km_1}{\omega_{\kappa_1}(t_*,t)}\frac{\bar\varepsilon^{m_1}}{(1-\bar\varepsilon)(1-\bar\varepsilon^{m_1})}\,.$$

To establish (3.1), choose first $m_1 \geqslant n_{t_*}$ such that the second term is less than $1/8$ setting $\delta_t := \delta_3(m_1)$, and then $n_t \geqslant m_1$ such that the first term is less than $1/8$, for $n \geqslant n_t$.

We next show (3.2). For $n \geqslant n_t$, we deduce from (3.1) and (3.13) (for small $\kappa > 0$) that, for all $W \in \widehat{\mathcal{W}}^s$ with $|W| \geqslant \delta_t/3$,

$$L_n^{\delta_t}(W,t) \geqslant \frac{3}{4}\mathcal{G}_n^{\delta_t}(W,t) \geqslant \frac{3}{4}\omega^*_\kappa(t_*,t,\delta_t)e^{nP_*(t_*)}e^{-n(t-t_*)\left(\left|P'_{*,-}(t_*)\right|+\kappa\right)}\,. \tag{4.14}$$

Since $e^{-|P'_{*,-}(t_*)|(t-t_*)} > \theta_0^{t/2} \geqslant e^{-t\tau_{\min}/2}$ by (4.8), while $P_*(t_*) \geqslant 0$, it suffices to take κ such that $(t-t_*)\kappa + \frac{t}{2}\tau_{\min} < t\tau_{\min}$ to complete the proof of (3.2).

It remains to consider SSP.2. We may assume $|W| < \delta_{t_*}/3$ since otherwise (3.1) from SSP.1 implies (3.3) with $n_t^* = n_t$. As observed in the proof of [BD20, Cor. 5.3], there exists $\bar C_2$ (depending only on the billiard table) such that the first iterate ℓ_0 at which $\mathcal{G}_{\ell_0}^{\delta_{t_*}}(W)$ contains at least one element of length more than $\delta_{t_*}/3$ satisfies

$$\ell_0 \leqslant n_2 = n_2(\delta_{t_*}) := \bar C_2\left|\log\left(|W|/\delta_{t_*}\right)\right|\,.$$

Since $|W| < \delta_{t_*}/3$, it suffices to consider the term corresponding to $j = 0$ (and $k = 0$) in (4.13) (the other one is bounded by $1/8$, for $n \geqslant m_1$ for m_1 chosen as above). For this purpose, for any $n = \ell m_1 + r \geqslant m_1$, the first term of (4.11) is replaced by

$$\frac{\delta_{t_*}}{3\delta_3}\theta_0^{tn} + \sum_{k=1}^{\ell-1}\frac{3\delta_{t_*}}{\delta_3}\theta_0^{tn} \leqslant \frac{3\delta_{t_*}n}{\delta_3 m_1}\theta_0^{tn}\,, \tag{4.15}$$

where we have applied (2.8) from Lemma 2.2. For any $n \geqslant \max\{n_2, m_1\}$, the bound (3.13) from Lemma 3.5 (for $u = t_*$) is replaced by

$$\mathcal{G}_n^{\delta_3}(W,t) \geqslant \omega^*_{\kappa_1}(t_*,t,\delta_3)\cdot e^{-tn_2\tau_{\max}}e^{(n-n_2)(P_*(t_*)-\left(\left|P'_{*,-}(t_*)\right|+\kappa_1\right)(t-t_*))}\,. \tag{4.16}$$

Dividing (4.15) by (4.16), the term corresponding to $j = 0$ in (4.13) is bounded by

$$\frac{3\delta_{t_*}\frac{n}{m_1}\theta_0^{tn}}{\delta_3\cdot\omega^*_{\kappa_1}(t_*,t,\delta_3)\cdot e^{-tn_2\tau_{\max}}e^{(n-n_2)\left(P_*(t_*)-\left(\left|P'_{*,-}(t_*)\right|+\kappa_1\right)(t-t_*)\right)}} \leqslant \frac{3\delta_{t_*}e^{tn_2\tau_{\max}}}{m_1\cdot\omega^*_{\kappa_1}(t_*,t,\delta_3)\cdot\delta_3}n\bar\varepsilon^{n-n_2}\,.$$

We conclude, since, if n_t^*/n_2 is large enough (depending on t, $\bar\varepsilon$, $\delta_3 = \delta_t$) then

$$n\left(\bar\varepsilon^{n/n_2}e^{t\tau_{\max}}\right)^{n_2} < \frac{1}{8}\cdot\frac{\bar\varepsilon^{n_2}\cdot m_1\cdot\delta_3\cdot\omega^*_{\kappa_1}(t_*,t,\delta_3)}{3\delta_{t_*}}\,,\quad \forall\, n \geqslant n_t^*\,.$$

4.3. Proof of Theorem 1.4

If $P(t_\infty) < 0$ then $t_\infty > h_{\mathrm{top}}(\Phi^1)$, using Proposition 1.1, and we are done by Proposition 3.1 and the definition of t_∞, since we assumed (1.7) at $h_{\mathrm{top}}(\Phi^1)$. Assume for a contradiction that $P(t_\infty) \geqslant 0$. Let $t_* < t_\infty$ and $s_*(t_*) > t_\infty$ be as in Lemma 4.1, and fix $t_\infty < t_2 < s_*$. Since $P_*(t_*) > P_*(t_\infty) \geqslant P(t_\infty)$ (by Theorem 1.2(a) applied to $s = t_\infty$ and $t = t_*$), our assumption that $P(t_\infty) \geqslant 0$ implies that $P_*(t_*) > 0$. Then Lemma 4.3 applied to t_* and $s_*(t_*)$ gives that the SSP conditions (3.1), (3.2), and (3.3) hold for all $t \in [0, t_2]$. Since $t_2 > t_\infty$, this is a contradiction, which concludes the proof of Theorem 1.4.

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