

FLIPS OF MODULI OF STABLE TORSION FREE SHEAVES WITH $c_1 = 1$ ON \mathbb{P}^2

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FLIPS OF MODULI OF STABLE TORSION FREE SHEAVES WITH

 $c_1 = 1$ ON \mathbb{P}^2

ву Руо Онкама

Dedicated to Takao Fujita on the occasion of his 60th birthday

ABSTRACT. — We study flips of moduli schemes of stable torsion free sheaves E with $c_1(E)=1$ on \mathbb{P}^2 via wall-crossing phenomena of Bridgeland stability conditions. They are described as stratified Grassmann bundles by a variation of stability of modules over certain finite dimensional algebra.

Résumé (Flips de modules de faisceaux stables et sans torsion avec $c_1=1$ sur \mathbb{P}^2) Nous étudions des flips de schémas de modules de faisceaux stables et sans torsion E avec $c_1(E)=1$ sur \mathbb{P}^2 à travers des phénomènes de traversée de mur des conditions de stabilité de Bridgeland. Ils sont décrits en tant que fibrés grassmanniens par une variation de stabilité de modules au-dessus d'une certaine algèbre de dimension finie.

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1. Introduction

1.1. Background. — We denote by $M_{\mathbb{P}^2}(r, c_1, n)$ the moduli scheme of semistable torsion free sheaves E on \mathbb{P}^2 with the Chern class $c(E) = (r, c_1, n) \in H^{2*}(\mathbb{P}^2, \mathbb{Z})$. In this paper we treat the case where $c_1 = 1$. Then semistability and stability for E coincide. When $n \geq r \geq 2$, or r = 1 and $r \geq 2$, the Picard number of $M_{\mathbb{P}^2}(r, 1, n)$ is equal to 2 and we have two birational morphisms from $M_{\mathbb{P}^2}(r, 1, n)$, which are described below.

One is defined by J. Li [12] for general cases. We denote by $M_{\mathbb{P}^2}(r, 1, n)_0$ the open subset of $M_{\mathbb{P}^2}(r, 1, n)$ consisting of stable vector bundles. The Uhlenbeck compactification $\overline{M}_{\mathbb{P}^2}(r, 1, n)$ of $M_{\mathbb{P}^2}(r, 1, n)_0$ is described set-theoretically by

$$\overline{M}_{\mathbb{P}^2}(r,1,n) = \bigsqcup_{i>0} \left(M_{\mathbb{P}^2}(r,1,n-i)_0 \times S^i(\mathbb{P}^2) \right).$$

The map $\pi \colon M_{\mathbb{P}^2}(r,1,n) \to \overline{M}_{\mathbb{P}^2}(r,1,n), E \mapsto \pi(E)$ is defined by

$$\pi(E) = (E^{**}, \operatorname{Supp}(E^{**}/E)) \in M_{\mathbb{P}^2}(r, 1, n - i)_0 \times S^i(\mathbb{P}^2),$$

where E^{**} is the double dual of E and i is the length of E^{**}/E .

In the case where r=1, this morphism is called the Hilbert-Chow morphism $\pi\colon (\mathbb{P}^2)^{[n]}\to S^n(\mathbb{P}^2)$. In the case where $r\geq 2$, this map is also birational since it is an isomorphism on $M_{\mathbb{P}^2}(r,1,n)_0$ to its image. It is shown that the codimension of the complement of $M_{\mathbb{P}^2}(r,1,n)_0$ is equal to 1 when we have $M_{\mathbb{P}^2}(r,1,n-1)\neq\emptyset$ (cf. [13, Proposition 3.23]). Hence this map is a divisorial contraction.

The other birational morphism is defined by Yoshioka. In his paper [17] on moduli of torsion free sheaves on rational surfaces, he studied the following morphism:

$$\psi \colon M_{\mathbb{P}^2}(r,1,n) \to M_{\mathbb{P}^2}(n+1,1,n).$$

For any $E \in M_{\mathbb{P}^2}(r,1,n)$, $\psi(E)$ is defined by the exact sequence

$$(1) 0 \to \operatorname{Ext}^1_{\mathbb{P}^2}(E, \mathcal{O}_{\mathbb{P}^2})^* \otimes \mathcal{O}_{\mathbb{P}^2} \to \psi(E) \to E \to 0,$$

which is called the universal extension, where $\operatorname{Ext}^1_{\mathbb{P}^2}(E, \mathcal{O}_{\mathbb{P}^2})^*$ is the dual vector space of $\operatorname{Ext}^1_{\mathbb{P}^2}(E, \mathcal{O}_{\mathbb{P}^2})$. Here we have $\operatorname{Hom}_{\mathbb{P}^2}(E, \mathcal{O}_{\mathbb{P}^2}) = \operatorname{Ext}^2_{\mathbb{P}^2}(E, \mathcal{O}_{\mathbb{P}^2}) = 0$ and $(n+1,1,n) \in H^{2*}(\mathbb{P}^2,\mathbb{Z})$ is the Chern class of $[E] - \chi(E, \mathcal{O}_{\mathbb{P}^2})[\mathcal{O}_{\mathbb{P}^2}] = [E] + \dim \operatorname{Ext}^1_{\mathbb{P}^2}(E, \mathcal{O}_{\mathbb{P}^2})[\mathcal{O}_{\mathbb{P}^2}] \in K(\mathbb{P}^2)$, where

$$\chi(E, \mathcal{O}_{\mathbb{P}^2}) = \sum_i (-1)^i \dim_{\mathbb{C}} \operatorname{Ext}^i_{\mathbb{P}^2}(E, \mathcal{O}_{\mathbb{P}^2}).$$

Furthermore, the moduli space $M_{\mathbb{P}^2}(r,1,n)$ has a stratification

$$M_{\mathbb{P}^2}(r,1,n) = igsqcup_{i=0}^r M^i_{\mathbb{P}^2}(r,1,n),$$

where $M_{\mathbb{P}^2}^i(r,1,n) := \{E \in M_{\mathbb{P}^2}(r,1,n) \mid \dim_{\mathbb{C}} \operatorname{Hom}_{\mathbb{P}^2}(\mathcal{O}_{\mathbb{P}^2},E) = i\}$ is called the *Brill-Noether locus*. The following theorem is shown in [17].

THEOREM 1.1 ([17, Theorem 5.8]). — (i) There exists an isomorphism

$$M_{\mathbb{P}^2}^i(r,1,n) \cong \psi^{-1} \left(M_{\mathbb{P}^2}^{n-r+i+1}(n+1,1,n) \right).$$

(ii) The restriction of ψ to each stratum $M^i_{\mathbb{P}^2}(r,1,n)$ is a $\operatorname{Gr}(n-r+i+1,i)$ -bundle over the stratum $M^{n-r+i+1}_{\mathbb{P}^2}(n+1,1,n)$.

By the above theorem, if n > r+2, ψ is a birational morphism to the image im ψ , and it is a flipping contraction. By the theory of birational geometry [3], we have the diagram called flip:

(2)
$$M_{+}(r,1,n) \leftarrow -----M_{\mathbb{P}^{2}}(r,1,n)$$

$$\downarrow^{\psi_{+}}$$

$$\downarrow^{\psi_{+}}$$

$$\downarrow^{\psi_{+}}$$

The purpose of this note is to describe spaces $M_+(r,1,n)$, im ψ and the morphism ψ_+ in the above diagram in terms of moduli spaces. We follow ideas in [15]. We consider $M_{\mathbb{P}^2}(r,1,n)$ as a moduli scheme of semistable modules over the finite dimensional algebra

$$B := \operatorname{End}_{\mathbb{P}^2} \left(\mathcal{O}_{\mathbb{P}^2}(1) \oplus \Omega_{\mathbb{P}^2}(3) \oplus \mathcal{O}_{\mathbb{P}^2}(2) \right)$$

via Bridgeland stability conditions on $D^b(\mathbb{P}^2)$. This enables us to study the wall-crossing phenomena of the moduli scheme as the stability changes by using the result of [15].

1.2. Main results. — We introduce the exceptional collection

$$\mathfrak{E}:=\left(\,\mathcal{O}_{\mathbb{P}^2}(1),\Omega^1_{\mathbb{P}^2}(3),\,\mathcal{O}_{\mathbb{P}^2}(2)\right)$$

on \mathbb{P}^2 and put $\mathcal{E} := \mathcal{O}_{\mathbb{P}^2}(1) \oplus \Omega^1_{\mathbb{P}^2}(3) \oplus \mathcal{O}_{\mathbb{P}^2}(2)$ and $B := \operatorname{End}_{\mathbb{P}^2}(\mathcal{E})$. We denote abelian categories of coherent sheaves on \mathbb{P}^2 and finitely generated right B-modules by $\operatorname{Coh}(\mathbb{P}^2)$ and $\operatorname{mod}-B$, respectively. Then by Bondal's Theorem [4], the functor $\Phi := \mathbf{R} \operatorname{Hom}_{\mathbb{P}^2}(\mathcal{E}, -)$ gives an equivalence

$$\Phi \colon D^b(\mathbb{P}^2) \cong D^b(B),$$

where $D^b(\mathbb{P}^2)$ and $D^b(B)$ are bounded derived categories of $\operatorname{Coh}(\mathbb{P}^2)$ and mod-B, respectively. The equivalence Φ also induces an isomorphism $\varphi \colon K(\mathbb{P}^2) \cong K(B)$ between Grothendieck groups of $\operatorname{Coh}(\mathbb{P}^2)$ and $\operatorname{mod-}B$.

For a class α in K(B), we put

$$\alpha^{\perp} := \{ \theta \in \operatorname{Hom}_{\mathbb{Z}}(K(B), \mathbb{R}) \mid \theta(\alpha) = 0 \}.$$

Any $\theta \in \alpha^{\perp}$ defines a *stability condition* of *B*-modules *E* with $[E] = \alpha$ (cf. §2.2). We denote by $M_B(\alpha, \theta)$ the moduli space of θ -semistable *B*-modules *E* with $[E] = \alpha$. In particular, we take a class

$$\alpha_r = \alpha_{r,n} := \varphi (n \mathcal{O}_{\mathbb{P}^2}(-1)[2] + (2n+r-1) \mathcal{O}_{\mathbb{P}^2}[1] + (n-1) \mathcal{O}_{\mathbb{P}^2}(1))$$

in K(B) so that the Chern class $c\left(\varphi^{-1}(\alpha_r)\right) = -(r,1,n) \in H^{2*}(\mathbb{P}^2,\mathbb{Z})$. Here we omit the subscript "n" (although α_r depends on n), since we almost always fix n in this paper.

There exists a wall-and-chamber structure on α_r^{\perp} (cf. §2.2). When n is large enough, in §3 we find two chambers \mathcal{C}_{-} , \mathcal{C}_{+} and a wall $W_0 \subset \alpha_r^{\perp}$ between them such that the following propositions hold. We put

$$M_{-}(\alpha_r) := M_B(\alpha_r, \theta_-), \quad M_{+}(\alpha_r) := M_B(\alpha_r, \theta_+), \quad M_0(\alpha_r) := M_B(\alpha_r, \theta_0)$$

for any $\theta_- \in \mathcal{C}_-$, $\theta_+ \in \mathcal{C}_+$ and $\theta_0 \in C_0$. By using the Bridgeland stability, we get the following theorem as a variation of Le Potier's result [10].

PROPOSITION 1.2 ([15, Main Theorem 1.3 (iii)]). — We have an isomorphism $M_{\mathbb{P}^2}(r,1,n) \cong M_{-}(\alpha_r), \ E \mapsto \Phi(E[1]).$

We automatically get the following diagram.

(3)
$$M_{+}(\alpha_{r}) \qquad M_{-}(\alpha_{r})$$

$$M_{0}(\alpha_{r})$$

By analyzing this diagram, we see that diagrams (2) and (3) coincide up to isomorphism. In particular, we get the following proposition.

Proposition 1.3. — We have isomorphisms.

- (i) $M_0(\alpha_r) \cong \operatorname{im} \psi$.
- (ii) $M_{+}(\alpha_r) \cong M_{+}(r, 1, n) \text{ if } n > r + 2.$

Proofs of Proposition 1.3 (i) and (ii) are given in §3.2 and §3.5, respectively. Using the *B*-module $S_0 := \Phi(\mathcal{O}_{\mathbb{P}^2}[1])$, we define the *Brill-Noether loci*

$$M_{-}^{i}(\alpha_{r}) = \{ E \in M_{-}(\alpha_{r}) \mid \dim_{\mathbb{C}} \operatorname{Hom}_{B}(S_{0}, E) = i \},$$

 $M_{+}^{i}(\alpha_{r}) = \{ E \in M_{+}(\alpha_{r}) \mid \dim_{\mathbb{C}} \operatorname{Hom}_{B}(E, S_{0}) = i \}$

similar to the ones in Yoshioka's theory. Our situation is analogous to [14] and we have our main theorem.

THEOREM 1.4. — Assume $n \ge r+2$. Then for each i, the following statements hold.

- (i) The images $f_+(M_+^i(\alpha_r))$ and $f_-(M_-^i(\alpha_r))$ coincide in $M_0(\alpha_r)$. We put $M_0^i(\alpha_r) := f_+(M_+^i(\alpha_r)) = f_-(M_-^i(\alpha_r)).$
- (ii) We have isomorphisms $M_0^i(\alpha_r) \cong M_-^0(\alpha_{r-i}) \cong M_+^0(\alpha_{r-i})$.
- (iii) We have isomorphisms $M^i_+(\alpha_r) \cong f^{-1}_+(M^i_0(\alpha_r))$.
- (iv) The restriction of f_+ to each stratum $M^i_+(\alpha_r) \to M^i_0(\alpha_r)$ is a Gr(n-r+i-2,i)-bundle over $M^i_0(\alpha_r)$.

Note that $M_+(\alpha_r) \neq \emptyset$ if and only if $n \geq r+2$. When n=r+2, we have

(4)
$$M_{-}^{0}(\alpha_{r}) = M_{+}^{0}(\alpha_{r}) = M_{0}^{0}(\alpha_{r}) = \emptyset.$$

By Theorem 1.4 (iv), we see that $M_+(\alpha_r) = M_0(\alpha_r)$, and that $f_-: M_-(\alpha_r) \to M_0(\alpha_r)$ is a morphism to the lower dimensional moduli space

$$M_0(\alpha_r) = \bigsqcup_{i>0} M_0^i(\alpha_r) \cong \bigsqcup_{i>0} M_-^0(\alpha_{r-i}).$$

A proof of Theorem 1.4 (i) is given in §3.4 and the rest of the theorem is proven in §3.7. We also show a new proof of Theorem 1.1 in terms of *B*-modules via the isomorphism $M_{\mathbb{P}^2}(r,1,n) \cong M_{-}(\alpha_r)$ in §3.7. The equalities in (4) are proven in Proposition 3.6 (ii).

By these descriptions, we see that $M_+(r, 1, n)$ is smooth and in §3.8 we get the recursion formula (21) for Hodge polynomials of moduli spaces

$$e(M_{\mathbb{P}^2}(r,1,n)) - e(M_+(r,1,n)) =$$

$$\sum_{i=1}^{r} \left(e \left(\operatorname{Gr}(n-r+i+1,i) \right) - e \left(\operatorname{Gr}(n-r+i-2,i) \right) \right) e \left(M_{\mathbb{P}^{2}}^{0}(r-i,1,n) \right).$$

Here $e(Y) := \sum_{p,q} e^{p,q}(Y) x^p y^q$ denotes the virtual Hodge polynomial for any variety Y (cf. [6]). Using this formula we can compute the Hodge polynomials of $M_+(r,1,n)$ from those of $M_{\mathbb{P}^2}(r,1,n)$ when $r \leq 2$.

We further expect that by repeating this procedure we get a minimal model program of $M_{\mathbb{P}^2}(r, 1, n)$. In fact for each $i \in \mathbb{Z}$ we take

$$\mathcal{E}_i := \mathcal{O}_{\mathbb{P}^2}(i) \oplus \Omega^1_{\mathbb{P}^2}(i+2) \oplus \mathcal{O}_{\mathbb{P}^2}(i+1),$$

 $\Phi_i := \mathbf{R} \operatorname{Hom}_{\mathbb{P}^2}(\mathcal{E}_i, -) \colon D^b(\mathbb{P}^2) \cong D^b(B)$, and the induced isomorphism $\varphi_i \colon K(\mathbb{P}^2) \cong K(B)$. We put $\alpha'_r := \varphi^{-1}(\alpha_r) \in K(\mathbb{P}^2)$. Then by [15, Proposition 5.4], for each $i \in \mathbb{Z}$ there exists a region

$$P_i \subset (\alpha'_r)^{\perp} := \{ \theta' \in \operatorname{Hom}_{\mathbb{Z}}(K(\mathbb{P}^2), \mathbb{R}) \mid \theta'(\alpha'_r) = 0 \}$$

such that for any $\theta' \in P_i$ we have an isomorphism

$$\Phi_i \circ \Phi_{i-1}^{-1} \colon M_B(\varphi_{i-1}(\alpha_r'), \theta' \circ \varphi_{i-1}^{-1}) \cong M_B(\varphi_i(\alpha_r'), \theta' \circ \varphi_i^{-1}).$$

In this paper we study a variation of $M_B(\varphi_1(\alpha'_r), \theta' \circ \varphi_1^{-1})$ when θ' changes from P_2 to P_1 . Since the *dimension vector* of $\varphi_i(\alpha'_r)$ (cf. §2.1) decreases when i decreases, eventually this procedure terminates for some i. We hope that this minimal model program will allow us to compute the Hodge polynomials of $M_{\mathbb{P}^2}(r, 1, n)$ for arbitrary r.

The paper is organized as follows: In §2 we introduce a description of the Picard group of $M_{\mathbb{P}^2}(r,1,n)$ in terms of θ -stability conditions of right B-modules. In §3 we study the wall-crossing phenomena of moduli of θ -semistable right B-modules. This is described by stratified Grassmann bundles, giving a proof of Theorem 1.4. In Appendix A, we give a proof of Proposition 3.11 by using the Bridgeland stability and Yoshioka's results in [17].

Notation. We fix the following notation in the paper:

For a matrix A, we denote by tA the transpose of A. For a \mathbb{C} -vector space V, we denote by V^* the dual vector space $\operatorname{Hom}_{\mathbb{C}}(V,\mathbb{C})$ of V and we also denote by $\operatorname{Gr}(V,i)$ the Grassmann manifold of i-dimensional subspaces of V. When $V=\mathbb{C}^k$, we put $\operatorname{Gr}(k,i):=\operatorname{Gr}(V,i)$.

We consider the polynomial ring $\mathbb{C}[x_0, x_1, x_2]$ and the tensor product

$$V \otimes \mathbb{C}[x_0, x_1, x_2]$$

with a vector space V. For any monomial $m \in \mathbb{C}[x_0, x_1, x_2]$, we put

$$V \otimes m := \{v \otimes m \in V \otimes \mathbb{C}[x_0, x_1, x_2] \mid v \in V\}.$$

We denote by x_i the *i*th embedding

$$V \to (V \otimes x_0) \oplus (V \otimes x_1) \oplus (V \otimes x_2)$$

and by x_i^* the *i*th projection

$$(V \otimes x_0) \oplus (V \otimes x_1) \oplus (V \otimes x_2) \rightarrow V$$

for i = 0, 1, 2. We also use the similar notation for vector bundles.

For any path algebra of a quiver with relations, we identify modules over the algebra with representations of the corresponding quiver with relations.

2. Picard group of $M_{\mathbb{P}^2}(r,1,n)$

We introduce an explicit description of the Picard group of $M_{\mathbb{P}^2}(r, 1, n)$ in terms of B-modules.

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2.1. Finite dimensional algebra B. — The finite dimensional algebra

$$B = \operatorname{End}_{\mathbb{P}^2} \left(\mathcal{O}_{\mathbb{P}^2}(1) \oplus \Omega_{\mathbb{P}^2}(3) \oplus \mathcal{O}_{\mathbb{P}^2}(2) \right)$$

is written as the path algebra of the following quiver with relations (Q, J), where Q is defined as

$$Q := \begin{tabular}{c} v_{-1} & \xrightarrow{\gamma_i} \begin{tabular}{c} v_0 & \xrightarrow{\delta_j} \begin{tabular}{c} v_1 \\ \bullet \end{tabular} & (i,j=0,1,2) \end{tabular}$$

and J is generated by the relations

(5)
$$\gamma_i \delta_i + \gamma_j \delta_i = 0 \quad (i, j = 0, 1, 2).$$

We identify derived categories $D^b(\mathbb{P}^2)$ and $D^b(B)$, and groups $K(\mathbb{P}^2)$ and K(B) via the equivalence

$$\Phi = \mathbf{R} \operatorname{Hom}_{\mathbb{P}^2}(\mathcal{O}_{\mathbb{P}^2}(1) \oplus \Omega_{\mathbb{P}^2}(3) \oplus \mathcal{O}_{\mathbb{P}^2}(2), -) \colon D^b(\mathbb{P}^2) \cong D^b(B)$$

and the induced isomorphism $\varphi \colon K(\mathbb{P}^2) \cong K(B)$, respectively. For example, the same symbol S_i denotes both $\mathcal{O}_{\mathbb{P}^2}(i)[1-i]$ and the simple B-module $\mathbb{C}v_i = \Phi(\mathcal{O}_{\mathbb{P}^2}(i)[1-i])$ for each i=-1,0,1.

Then we have

$$K(B) = \mathbb{Z}[S_{-1}] \oplus \mathbb{Z}[S_0] \oplus \mathbb{Z}[S_1].$$

For $\alpha_{-1}, \alpha_0, \alpha_1 \in \mathbb{Z}$, by

(6)
$$\alpha = \begin{pmatrix} \alpha_{-1} \\ \alpha_0 \\ \alpha_1 \end{pmatrix} \in K(B)$$

we denote

$$\alpha = \alpha_{-1}[S_{-1}] + \alpha_0[S_0] + \alpha_1[S_1] \in K(B).$$

For $\theta^{-1}, \theta^0, \theta^1 \in \mathbb{R}$, by

(7)
$$\theta = (\theta^{-1}, \theta^0, \theta^1) \in \operatorname{Hom}_{\mathbb{Z}}(K(B), \mathbb{R}),$$

we denote

$$\theta = \theta^{-1}[S_{-1}]^* + \theta^0[S_0]^* + \theta^1[S_1]^* \in \text{Hom}_{\mathbb{Z}}(K(B), \mathbb{R}),$$

where $\{[S_{-1}]^*, [S_0]^*, [S_1]^*\}$ is the dual basis of $\{[S_{-1}], [S_0], [S_1]\}$. The vector in (6) is called the *dimension vector* of α .

2.2. Moduli of semistable *B*-modules. — For any $\alpha \in K(B)$ and $\theta \in \alpha^{\perp} \otimes \mathbb{R} \subset \operatorname{Hom}_{\mathbb{Z}}(K(B),\mathbb{R})$, we define θ -stability as follows: Here

$$\alpha^{\perp} = \{ \theta \in \operatorname{Hom}_{\mathbb{Z}}(K(B), \mathbb{Z}) \mid \theta(\alpha) = 0 \}.$$

DEFINITION 2.1. — A right *B*-module *E* with $[E] = \alpha$ in K(B) is said to be θ -semistable if for any non-zero proper submodule $F \subset E$, the inequality $\theta(F) \geq \theta(E) = 0$ holds. If the inequality is always strict, then *E* is said to be θ -stable.

By $M_B(\alpha, \theta)$ we denote the moduli scheme of θ -semistable B-modules E with $[E] = \alpha$. We define a wall-and-chamber structure on $\alpha^{\perp} \otimes \mathbb{R}$ as follows: A wall is a ray $W = \mathbb{R}_{\geq 0} \theta^W$ in $\alpha^{\perp} \otimes \mathbb{R}$ such that there exists a θ^W -semistable B-module E having a proper submodule $F \subset E$ with $[F] \notin \mathbb{Q}_{>0} \alpha$ in K(B) and $\theta^W(F) = 0$. A chamber is a connected component of $(\alpha^{\perp} \otimes \mathbb{R}) \setminus \bigcup W$, where W runs over the set of all walls in $\alpha^{\perp} \otimes \mathbb{R}$. For any chamber $\mathcal{C} \subset \alpha^{\perp} \otimes \mathbb{R}$, the moduli space $M_B(\alpha, \theta)$ does not depend on the choice of $\theta \in \mathcal{C}$.

Here we assume that $\alpha \in K(B)$ is indivisible and θ is not on any wall in α^{\perp} . Then there exists a universal family \mathcal{U} of B-modules on $M_B(\alpha, \theta)$

$$(8) \qquad \mathcal{U}:=\left(\mathcal{U}_{-1} \xrightarrow{\qquad \gamma_{i}^{*} \qquad} \mathcal{U}_{0} \xrightarrow{\qquad \delta_{j}^{*} \qquad} \mathcal{U}_{1}\right) \quad (i,j=0,1,2),$$

where \mathcal{U}_{-1} , \mathcal{U}_0 and \mathcal{U}_1 are vector bundles corresponding to vertices v_{-1}, v_0, v_1 and $\gamma_i^* \colon \mathcal{U}_{-1} \to \mathcal{U}_0$, $\delta_j^* \colon \mathcal{U}_0 \to \mathcal{U}_1$ are morphisms corresponding to arrows γ_i , δ_j .

2.3. Deformations of B-modules. — We take $\alpha \in K(B)$ defined by (6). For any B-module E with $[E] = \alpha$, by choosing a basis of Ev_{-1} , Ev_0 and Ev_1 , we identify E with a representation of the quiver (Q, J) with relations as follows: We consider E as a collection of \mathbb{C} -linear maps

(9)
$$E = (\mathbb{C}^{\alpha_{-1}} \stackrel{C_i}{\to} \mathbb{C}^{\alpha_0} \stackrel{D_j}{\to} \mathbb{C}^{\alpha_1}) \quad (i, j = 0, 1, 2),$$

such that they satisfy the relations

$$D_j C_i + D_i C_j = 0$$
 $(i, j = 0, 1, 2),$

where $C_i \in \operatorname{Hom}_{\mathbb{C}}(\mathbb{C}^{\alpha_{-1}}, \mathbb{C}^{\alpha_0})$ and $D_j \in \operatorname{Hom}_{\mathbb{C}}(\mathbb{C}^{\alpha_0}, \mathbb{C}^{\alpha_1})$ correspond to actions of γ_i and δ_j , respectively. The pull back of the heart mod-B of the standard t-structure of $D^b(B)$ by Φ is the full subcategory

$$\mathcal{A} := \langle \mathcal{O}_{\mathbb{P}^2}(-1)[2], \mathcal{O}_{\mathbb{P}^2}[1], \mathcal{O}_{\mathbb{P}^2}(1) \rangle$$

of $D^b(\mathbb{P}^2)$. The complex of coherent sheaves on \mathbb{P}^2

$$\mathcal{O}_{\mathbb{P}^2}(-1)^{\oplus \alpha_{-1}} \xrightarrow{\sum_i C_i x_i} \mathcal{O}_{\mathbb{P}^2}^{\oplus \alpha_0} \xrightarrow{\sum_i D_j x_j} \mathcal{O}_{\mathbb{P}^2}(1)^{\oplus \alpha_1}$$

corresponds to E in (9) via the equivalence Φ , where x_0, x_1, x_2 are homogeneous coordinates of \mathbb{P}^2 .

By [15, Lemma 4.6 (1)], $\operatorname{Ext}_B^2(E,E)$ is isomorphic to the cokernel of the map

(10)
$$d: \left(\bigoplus_{i} \operatorname{Hom}_{\mathbb{C}}(\mathbb{C}^{\alpha_{-1}}, \mathbb{C}^{\alpha_{0}}) \otimes x_{i}\right) \bigoplus \left(\bigoplus_{j} \operatorname{Hom}_{\mathbb{C}}(\mathbb{C}^{\alpha_{0}}, \mathbb{C}^{\alpha_{1}}) \otimes x_{j}\right)$$

$$\to \bigoplus_{i \leq j} \operatorname{Hom}_{\mathbb{C}}(\mathbb{C}^{\alpha_{-1}}, \mathbb{C}^{\alpha_{1}}) \otimes x_{i}x_{j},$$

defined by

$$\left(\sum_{i} \xi_{i} \otimes x_{i}, \sum_{j} \eta_{j} \otimes x_{j}\right) \mapsto \sum_{i,j} (D_{j} \xi_{i} + \eta_{j} C_{i}) \otimes x_{i} x_{j}$$

for $\xi_i \in \operatorname{Hom}_{\mathbb{C}}(\mathbb{C}^{\alpha_{-1}}, \mathbb{C}^{\alpha_0})$ and $\eta_j \in \operatorname{Hom}_{\mathbb{C}}(\mathbb{C}^{\alpha_0}, \mathbb{C}^{\alpha_1})$ for i, j = 0, 1, 2.

We study the deformation functor $\mathcal{D}_E \colon (\operatorname{Artin}/\mathbb{C}) \to (\operatorname{Sets})$. For any Artin local \mathbb{C} -ring R, the set $\mathcal{D}_E(R)$ consists of right $R \otimes B$ -modules E^R such that E^R is flat as a R-module and $E^R \equiv E$ modulo the maximal ideal m_R of R. This means that E^R is a collection of R-linear maps

$$E^{R} = (R^{\alpha_{-1}} \stackrel{C_{i}^{R}}{\to} R^{\alpha_{0}} \stackrel{D_{j}^{R}}{\to} R^{\alpha_{1}}) \quad (i, j = 0, 1, 2)$$

such that they satisfy the relations $D_j^R C_i^R + D_i^R C_j^R = 0$, and

$$C_i^R \equiv C_i, \quad D_j^R \equiv D_j$$

modulo m_R for each i, j = 0, 1, 2. We show the following lemma.

Lemma 2.2. — The deformation functor \mathcal{D}_E has an obstruction theory with values in $\operatorname{Ext}^2_B(E,E)$.

Proof. — For any small extension

$$0 \to \mathfrak{a} \to R' \to R \to 0$$

with $m_{R'}\mathfrak{a}=0$ and $E^R=(R^{\alpha_{-1}}\overset{C^R_i}{\overset{i}{\to}}R^{\alpha_0}\overset{D^R_j}{\overset{j}{\to}}R^{\alpha_1})\in \mathscr{D}_E(R)$, we write

$$C_i^R = C_i + \xi_i, \quad D_i^R = D_j + \eta_j$$

for $\xi_i \in \operatorname{Hom}_{\mathbb{C}}(\mathbb{C}^{\alpha_{-1}}, \mathbb{C}^{\alpha_0}) \otimes m_R$ and $\eta_j \in \operatorname{Hom}_{\mathbb{C}}(\mathbb{C}^{\alpha_0}, \mathbb{C}^{\alpha_1}) \otimes m_R$. By the isomorphism $m_R \cong m_{R'}/\mathfrak{a}$, we take lifts

$$\xi_i' \in \operatorname{Hom}_{\mathbb{C}}(\mathbb{C}^{\alpha_{-1}}, \mathbb{C}^{\alpha_0}) \otimes m_{R'}, \quad \eta_j' \in \operatorname{Hom}_{\mathbb{C}}(\mathbb{C}^{\alpha_0}, \mathbb{C}^{\alpha_1}) \otimes m_{R'}$$

of ξ_i, η_j , respectively and put

$$C_i^{R'} := C_j + \xi_i', \quad D_i^{R'} := D_j + \eta_i'.$$

Since $D_j^{R'}C_i^{R'}+D_i^{R'}C_j^{R'}\equiv D_j^RC_i^R+D_i^RC_j^R=0$ modulo $\mathfrak a$, we have an element

$$\sum_{i,j} D_j^{R'} C_i^{R'} \otimes x_i x_j \in \bigoplus_{i \le j} \operatorname{Hom}_{\mathbb{C}}(\mathbb{C}^{\alpha_{-1}}, \mathbb{C}^{\alpha_1}) \otimes x_i x_j \otimes \mathfrak{a}.$$

By the image of this element in the cokernel of (10) tensored by \mathfrak{a} , we define an element $\mathfrak{o}(E^R)$ in $\operatorname{Ext}^2_R(E,E)\otimes\mathfrak{a}$. This gives a well-defined map

$$\mathfrak{o} \colon \mathscr{D}_E(R) \to \operatorname{Ext}_B^2(E, E) \otimes \mathfrak{a},$$

and we easily see that E^R lifts to $\mathcal{D}_E(R')$ if and only if $\mathfrak{o}(E^R) = 0$.

2.4. Chambers $\mathcal{C}_{\mathbb{P}^2}$ and the Picard group of $M_{\mathbb{P}^2}(r,1,n)$. — We take

(11)
$$\alpha_r = \begin{pmatrix} n \\ 2n+r-1 \\ n-1 \end{pmatrix} \in K(B)$$

in the notation in (6) so that the Chern class

$$c(\alpha_r) = -(r, 1, n) \in H^{2*}(\mathbb{P}^2, \mathbb{Z}).$$

In this subsection, we assume that r>0 and $M_{\mathbb{P}^2}(r,1,n)$ is not the empty set. Then there exists a chamber $\mathcal{C}_{\mathbb{P}^2}\subset\alpha_r^\perp\otimes\mathbb{R}$ such that $\Phi(\cdot[1])$ induces an isomorphism

(12)
$$M_{\mathbb{P}^2}(r,1,n) \cong M_B(\alpha_r,\theta)$$

for any $\theta \in \mathcal{C}_{\mathbb{P}^2}$ by [15, Theorem 5.1 and Proposition 5.4].

The chamber $\mathcal{C}_{\mathbb{P}^2}$ is characterized as follows: We put

$$\theta_{\mathbb{P}^2} := (-r - 1, 1, -1 + r), \quad \theta_0 := (-n + 1, 0, n) \in \text{Hom}_{\mathbb{Z}}(K(B), \mathbb{R})$$

in the notation in (7). By [15, Lemma 6.2], we have

(13)
$$\mathbb{R}_{>0}\theta_0 + \mathbb{R}_{>0}\theta_{\mathbb{P}^2} \subset \mathcal{C}_{\mathbb{P}^2}.$$

In the case where r=1 and $n\geq 2$, by [15, Lemma 6.3 (2)] we see that an equality holds in (13). In the case where $r\geq 2$, we will describe the chamber in §3.5.

Since α_r is indivisible by definition (11), for any $\theta \in \mathcal{C}_{\mathbb{P}^2}$ we have a universal family \mathscr{U} on $M_B(\alpha_r, \theta)$ as in (8). We define a homomorphism from α_r^{\perp} to $\operatorname{Pic}(M_B(\alpha_r, \theta))$ by

$$\rho(\mathbf{m}) = m_{-1} \det(\mathcal{U}_{-1}) + m_0 \det(\mathcal{U}_0) + m_1 \det(\mathcal{U}_1),$$

for $\mathbf{m}=(m_{-1},m_0,m_1)\in\alpha_r^{\perp}$ in the notation in (7). By (12) this gives a homomorphism

$$\rho \colon \alpha_r^{\perp} \to \operatorname{Pic}\left(M_{\mathbb{P}^2}(r,1,n)\right).$$

We have the following proposition, which is a particular case of [7, Theorems D,F].

PROPOSITION 2.3. — We assume that $n \geq r$. Then, the above map $\rho \colon \alpha_r^{\perp} \to \operatorname{Pic}(M_{\mathbb{P}^2}(r,1,n))$ is an isomorphism. Furthermore $\rho(-3\theta_{\mathbb{P}^2})$ is the class of the canonical bundle of $M_{\mathbb{P}^2}(r,1,n)$.

3. Proof of Theorem 1.4

In the following we assume that $r \ge 0$ and $n \ge 1$ except §3.5. As in §1.2 and the previous section, we take

$$\alpha_r = \alpha_{r,n} := \begin{pmatrix} n \\ 2n+r-1 \\ n-1 \end{pmatrix} \in K(B)$$

in the notation in (6) so that the Chern class $c(\alpha_r) = -(r, 1, n)$ in $H^{2*}(\mathbb{P}^2, \mathbb{Z})$. Similarly in the notation in (7) we put

$$\theta_0 := \left(-n+1,0,n\right) \in \alpha_r^{\perp}$$

so that we have $\theta_0(S_0) = 0$, and define a subset $W_0 := \mathbb{R}_{\geq 0} \theta_0$ of α_r^{\perp} . For $\varepsilon > 0$, we define $\theta_-, \theta_+ \in \alpha^{\perp}$ by

$$\theta_{-} := \theta_{0} - \varepsilon \left(2n - 1 + r, -n, 0\right), \quad \theta_{+} := \theta_{0} + \varepsilon \left(2n - 1 + r, -n, 0\right) \in \alpha^{\perp}$$

so that we have $\theta_{-}(S_0) > 0$ and $\theta_{+}(S_0) < 0$. We take ε small enough such that θ_{-}, θ_{+} lie on no wall, and subsets

$$\mathbb{R}_{>0}\theta_- + \mathbb{R}_{\geq 0}\theta_0, \quad \mathbb{R}_{>0}\theta_+ + \mathbb{R}_{\geq 0}\theta_0$$

are contained in chambers \mathcal{C}_{-} and \mathcal{C}_{+} , respectively. We put

$$M_-(\alpha_r):=M_B(\alpha_r,\theta_-),\quad M_+(\alpha_r):=M_B(\alpha_r,\theta_+),\quad M_0(\alpha_r):=M_B(\alpha_r,\theta_0).$$

When r > 0 and n is large enough, we show in §3.5 that W_0 is a wall and \mathcal{C}_- and \mathcal{C}_+ are different chambers. Since $\theta_- \in \mathcal{C}_{\mathbb{P}^2}$ by (13), we have $\mathcal{C}_- = \mathcal{C}_{\mathbb{P}^2}$ and the isomorphism $M_{\mathbb{P}^2}(r, 1, n) \cong M_-(\alpha_r)$ in (12).

However when r=0, we show in §A.3 that W_0 is not a wall, and two chambers \mathcal{C}_- and \mathcal{C}_+ coincide and contain W_0 for any $n\geq 1$.

In any case, we automatically get the following diagram:

(14)
$$M_{+}(\alpha_{r}) \qquad M_{-}(\alpha_{r})$$

$$M_{0}(\alpha_{r})$$

When r > 0 and n > r + 2, we show that this diagram (14) coincides with the flip diagram (2) in §1.1 and we describe the diagram (14) by stratified Grassmann bundles. This gives a proof of Theorem 1.4.

3.1. Kronecker modules. — We consider the 3-Kronecker quiver, which has 2 vertices v_{-1}, v_1 and 3 arrows $\beta_0, \beta_1, \beta_2$ from v_1 to v_{-1}

$$\stackrel{v_{-1}}{\bullet} \longleftarrow \stackrel{\beta_i}{\longleftarrow} \stackrel{v_1}{\bullet} \quad (i=0,1,2).$$

and consider the path algebra T. Any right T-module G has a decomposition $G = Gv_{-1} \oplus Gv_1$ and actions of β_i define linear maps $Gv_{-1} \to Gv_1$ for i = 0, 1, 2. For $\theta_0 = (-n+1, 0, n) \in \mathbb{R}^3$, by abuse of notation we define $\theta_0(G) \in \mathbb{R}$ by

$$\theta_0(G) := (-n+1) \dim_{\mathbb{C}} Gv_{-1} + n \dim_{\mathbb{C}} Gv_1.$$

We denote by K(T) the Grothendieck group of the abelian category of finitely generated right T-modules, and take $\alpha_T := n[\mathbb{C}v_{-1}] + (n-1)[\mathbb{C}v_1] \in K(T)$.

DEFINITION 3.1. — A right T-module G with $[G] = \alpha_T \in K(T)$ is stable if and only if for any non-zero proper submodule G' of G we have $\theta_0(G') > 0$.

We denote by $M_T(\alpha_T)$ the moduli space of stable T-modules G with $[G] = \alpha_T$. For any B-module $E = \left(\mathbb{C}^n \xrightarrow{C_i} \mathbb{C}^{2n-1+r} \xrightarrow{D_j} \mathbb{C}^{n-1}\right)$, we define a T-module E_T by

$$E_T := \left(\mathbb{C}^n \stackrel{A_i}{\to} \mathbb{C}^{n-1} \right), \quad A_i := D_{i+2} C_{i+1}$$

for each $i \in \mathbb{Z}/3\mathbb{Z}$. By using the map $E \mapsto E_T$ and the simple B-module $S_0 = \mathbb{C}v_0$, we get the following criterion.

LEMMA 3.2. — For any B-module $E = \left(\mathbb{C}^n \xrightarrow{C_i} \mathbb{C}^{2n-1+r} \xrightarrow{D_j} \mathbb{C}^{n-1}\right)$, the following statements hold.

- (i) E is θ_0 -semistable if and only if E_T is stable.
- (ii) E is θ_0 -stable if and only if E_T is stable and

$$\operatorname{Hom}_B(E, S_0) = \operatorname{Hom}_B(S_0, E) = 0.$$

- (iii) The following conditions are equivalent.
 - (a_{-}) E is θ_{-} -stable.
 - (b_{-}) E is θ_{-} -semistable.
 - (c_{-}) E_{T} is stable and $\operatorname{Hom}_{B}(E, S_{0}) = 0$.
- (iv) The following conditions are equivalent.
 - (a_+) E is θ_+ -stable.
 - (b_+) E is θ_+ -semistable.
 - (c_+) E_T is stable and $\operatorname{Hom}_B(S_0, E) = 0$.

Proof. — (i) For every submodule $F \subset E$, we have the submodule F_T of E_T and $\theta_0(F) = \theta_0(F_T)$. Conversely for any submodule G' of E_T , we define a submodule F of E such that $F_T = G'$ as follows: We put

$$Fv_{-1} := G'v_{-1}, \quad Fv_1 := G'v_1 \quad Fv_0 := \sum_i C_i(Fv_{-1}) \subset Ev_0.$$

By the relations (5), we have a submodule $F := Fv_{-1} \oplus Fv_0 \oplus Fv_1$ of E and $\theta_0(F) = \theta_0(F_T) = \theta_0(G')$. This yields the claim.

(ii) For any non-zero proper submodule $F \subset E$, the equality $\theta_0(F) = 0$ holds if and only if the dimension vector of F is equal to

$$\begin{pmatrix} 0 \\ l \\ 0 \end{pmatrix} \text{ or } \begin{pmatrix} n \\ l \\ n-1 \end{pmatrix} \in K(B)$$

for some 0 < l < 2n + r - 1. Non-existence of such a F is equivalent to the equalities

$$\operatorname{Hom}_B(S_0, E) = \operatorname{Hom}_B(E, S_0) = 0.$$

(iii) $((a_{-}) \implies (b_{-}))$ It is trivial.

 $((b_{-}) \implies (c_{-}))$ We choose

$$\theta_- = \theta_0 - \varepsilon(2n - 1 + r, -n, 0) \in \alpha_r^{\perp}$$

for $\varepsilon > 0$ small enough. If E is θ_- -semistable, then for any submodule $F \subset E$ we have $\theta_0(F) \geq 0$, since by assumption we have $\theta_-(F) \geq 0$ for arbitrary small $\varepsilon > 0$. This implies that E is θ_0 -semistable and hence by (i), E_T is stable. Any non-zero element $\phi \in \operatorname{Hom}_B(E, S_0)$ breaks θ_- -semistability of E. Hence we also have $\operatorname{Hom}_B(E, S_0) = 0$.

 $((c_{-})\Longrightarrow (a_{-}))$ We assume that E_{T} is stable and $\operatorname{Hom}_{B}(E,S_{0})=0$. Hence for every non-zero proper submodule $F\subset E$, we have $\theta_{0}(F)=\theta_{0}(F_{T})\geq0$. If $\theta_{0}(F)=0$, then $\operatorname{Hom}_{B}(E,S_{0})=0$ implies that $F\cong S_{0}^{\oplus l}$ for some $0< l\leq 2n+r-1$. In this case we have $\theta_{-}(F)=\varepsilon nl>0$. If $\theta_{0}(F)>0$, then we also have $\theta_{-}(F)>0$ for ε small enough. Hence E is θ_{-} -stable.

(iv) It is similar to the proof of (iii).

3.2. Morphisms between moduli spaces. — By Lemma 3.2, we have morphisms

$$\pi_-^r \colon M_-(\alpha_r) \to M_T(\alpha_T), \quad \pi_+^r \colon M_+(\alpha_r) \to M_T(\alpha_T), \quad E \mapsto E_T.$$

For θ_0 -stability, we also see that the map $E \mapsto E_T$ is independent of representatives E of any S-equivalence class up to isomorphism of T-modules E_T . Hence we get a morphism

$$\pi_0^r \colon M_0(\alpha_r) \to M_T(\alpha_T), \quad E \mapsto E_T$$

and this map is set-theoretically injective.

LEMMA 3.3. — The morphism $\pi_0^r \colon M_0(\alpha_r) \to M_T(\alpha_T)$ is a closed embedding.

Proof. — The morphism π_0^r is induced from a homomorphism of graded rings of invariant sections, and therefore is an affine morphism. Since both $M_0(\alpha_r)$ and $M_T(\alpha_T)$ are projective, π_0^r is finite. Since π_0^r is set-theoretically injective, the claim holds.

Furthermore we easily see that when r = n+1 and r = n-2, the morphisms

$$\pi_{-}^{n+1} \colon M_{-}(\alpha_{n+1}) \to M_{T}(\alpha_{T}), \quad \pi_{+}^{n-2} \colon M_{+}(\alpha_{n-2}) \to M_{T}(\alpha_{T})$$

are isomorphisms. Inverse maps

$$E_{T} = \left(\mathbb{C}^{n} \stackrel{A_{i}}{\to} \mathbb{C}^{n-1}\right) \mapsto \left(\pi_{-}^{n+1}\right)^{-1} (E_{T}) = \left(\mathbb{C}^{n} \stackrel{C_{i}^{-}}{\to} \mathbb{C}^{3n} \stackrel{D_{j}^{-}}{\to} \mathbb{C}^{n-1}\right)$$

$$E_{T} = \left(\mathbb{C}^{n} \stackrel{A_{i}}{\to} \mathbb{C}^{n-1}\right) \mapsto \left(\pi_{+}^{n-2}\right)^{-1} (E_{T}) = \left(\mathbb{C}^{n} \stackrel{C_{i}^{+}}{\to} \mathbb{C}^{3n-3} \stackrel{D_{j}^{+}}{\to} \mathbb{C}^{n-1}\right)$$

are defined as follows:

For π_{-}^{n+1} , using an identification $\mathbb{C}^{3n} = \bigoplus_{j} (\mathbb{C}^n \otimes x_j)$, we put

$$C_{i}^{-} := x_{i} : \mathbb{C}^{n} \to \mathbb{C}^{3n},$$

$$D_{i}^{-} := A_{i+1} \circ x_{i+2}^{*} - A_{i+2} \circ x_{i+1}^{*} : \mathbb{C}^{3n} \to \mathbb{C}^{n-1},$$

such that we have equalities of matrices

$$(C_0^-, C_1^-, C_2^-) := I_{3n}, \quad \begin{pmatrix} D_0^- \\ D_1^- \\ D_2^- \end{pmatrix} := \begin{pmatrix} 0 & -A_2 & A_1 \\ A_2 & 0 & -A_0 \\ -A_1 & A_0 & 0 \end{pmatrix}.$$

Similarly, for π_+^{n-2} , using an identification $\mathbb{C}^{3n-3} = \bigoplus_j (\mathbb{C}^{n-1} \otimes x_j)$, we put

$$C_i^+ := x_{i+1} \circ A_{i+2} - x_{i+2} \circ A_{i+1} \colon \mathbb{C}^n \to \mathbb{C}^{3n-3},$$

$$D_i^+ := x_i^* \colon \mathbb{C}^{3n-3} \to \mathbb{C}^{n-1},$$

such that we have equalities of matrices

$$(C_0^+, C_1^+, C_2^+) := \begin{pmatrix} 0 & -A_2 & A_1 \\ A_2 & 0 & -A_0 \\ -A_1 & A_0 & 0 \end{pmatrix}, \quad \begin{pmatrix} D_0^+ \\ D_1^+ \\ D_2^+ \end{pmatrix} := I_{3n-3}.$$

Here indices run over $\mathbb{Z}/3\mathbb{Z}$, and I_{3n} and I_{3n-3} are unit matrices with sizes 3n and 3n-3, respectively.

Hence we get the diagram:

$$(15) \qquad M_{+}(\alpha_{r}) \qquad M_{-}(\alpha_{r})$$

$$g_{+} \qquad M_{0}(\alpha_{r}) \qquad g_{-}$$

$$\downarrow \pi_{0}^{r} \qquad \downarrow M_{+}(\alpha_{n-2}) \xrightarrow{\frac{\Xi}{\pi_{+}^{n-2}}} M_{T}(\alpha_{T}) \xleftarrow{\Xi} M_{-}(\alpha_{n+1})$$

where $g_- := (\pi_-^{n+1})^{-1} \circ \pi_0^r \circ f_-$ and $g_+ := (\pi_+^{n-2})^{-1} \circ \pi_0^r \circ f_+$.

Morphisms g_{-} and g_{+} are explicitly defined by the following universal extensions for each $E_{-} \in M_{-}(\alpha_{r})$ and $E_{+} \in M_{+}(\alpha_{r})$:

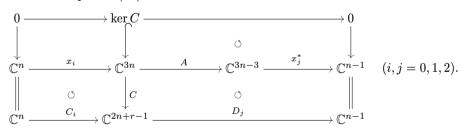
(16)
$$0 \to \operatorname{Ext}_{B}^{1}(E_{-}, S_{0})^{*} \otimes S_{0} \to q_{-}(E_{-}) \to E_{-} \to 0,$$

(17)
$$0 \to E_+ \to g_+(E_+) \to \operatorname{Ext}^1_B(S_0, E_+) \otimes S_0 \to 0.$$

To see (16), for any *B*-module $E_{-} = \left(\mathbb{C}^{n} \xrightarrow{C_{i}} \mathbb{C}^{2n-1+r} \xrightarrow{D_{j}} \mathbb{C}^{n-1}\right)$, we put

$$A = \begin{pmatrix} 0 & -A_2 & A_1 \\ A_2 & 0 & -A_0 \\ -A_1 & A_0 & 0 \end{pmatrix} = DC, \quad C = (C_0, C_1, C_2), \quad D = \begin{pmatrix} D_0 \\ D_1 \\ D_2 \end{pmatrix},$$

i.e, $A_i = D_{i+2}C_{i+1}$ for each $i \in \mathbb{Z}/3\mathbb{Z}$. Since $\operatorname{coker} C \cong \operatorname{Hom}_B(E_-, S_0) = 0$ by Lemma 3.2 (iii), the map C is surjective. Hence the following diagram gives the exact sequence (16)



Here the middle raw is $g_{-}(E_{-})$ using identifications $\mathbb{C}^{3n} = \bigoplus_{j} (\mathbb{C}^{n} \otimes x_{j})$ and $\mathbb{C}^{3n-3} = \bigoplus_{j} (\mathbb{C}^{n-1} \otimes x_{j})$, and the bottom law is E_{-} . A similar diagram gives the exact sequence (17).

Hence, via the isomorphism (12), the morphism g_{-} coincides with the Yoshioka's map ψ , which is defined by the exact sequence (1) similar to (16). By Lemma 3.3 and the diagram (15), we have

$$M_0(\alpha_r) \cong \operatorname{im} g_- \cong \operatorname{im} \psi.$$

This gives a proof of (i) in Proposition 1.3.

3.3. Brill-Noether locus. — We introduce the *Brill-Noether loci* $M^i_-(\alpha_r)$ and $M^i_+(\alpha_r)$ by using $S_0 = \Phi(\mathcal{O}_{\mathbb{P}^2}[1])$ as follows:

$$M_{-}^{i}(\alpha_{r}) := \{ E_{-} \in M_{-}(\alpha_{r}) \mid \dim_{\mathbb{C}} \operatorname{Hom}_{B}(S_{0}, E_{-}) = i \},$$

$$M_{+}^{i}(\alpha_{r}) := \{ E_{+} \in M_{+}(\alpha_{r}) \mid \dim_{\mathbb{C}} \operatorname{Hom}_{B}(E_{+}, S_{0}) = i \}.$$

When we replace "= i" by " $\geq i$ " in the right hand sides, the corresponding moduli spaces are denoted by the left hand side with "i" replaced by " $\geq i$ ".

We consider a universal family $\mathcal{U} = \left(\mathcal{U}_{-1} \stackrel{\gamma_i^*}{\to} \mathcal{U}_0 \stackrel{\delta_j^*}{\to} \mathcal{U}_1 \right)$ of *B*-modules on $M_{-}(\alpha_r)$. If we put

$$\delta^* := \sum_i x_i \circ \delta_i^* \colon \mathcal{U}_0 \to \bigoplus_i (\mathcal{U}_1 \otimes x_i),$$

then the zero locus of $\bigwedge^{\text{rk}} {}^{\mathcal{V}_0 - i + 1} \delta^*$ defines $M_-^{\geq i}(\alpha_r)$ as a closed subscheme of $M_-(\alpha_r)$. This is because we have

$$(\ker \delta^*)|_p \cong \operatorname{Hom}_B(S_0, \mathcal{U}|_p)$$

for any $p \in M_{-}(\alpha_r)$. Here we consider the fiber $\mathcal{U}|_p$ of the universal family over p as the θ_- -semistable B-module corresponding to the point $p \in M_{-}(\alpha_r)$.

Similarly, $M_{+}^{\geq i}(\alpha_r)$ is defined as a closed subscheme of $M_{+}(\alpha_r)$. The loci

$$M^i_-(\alpha_r)=M^{\geq i}_-(\alpha_r)\setminus M^{\geq i+1}_-(\alpha_r),\quad M^i_+(\alpha_r)=M^{\geq i}_+(\alpha_r)\setminus M^{\geq i+1}_+(\alpha_r)$$

are open subsets of $M_{-}^{\geq i}(\alpha_r)$ and $M_{+}^{\geq i}(\alpha_r)$, respectively.

3.4. Set-theoretical description of Grassmann bundles. — By Lemma 3.2, we have the following proposition.

Proposition 3.4. — (i) For any $E_{-} \in M_{-}^{i}(\alpha_{r})$, we put

$$E' := \operatorname{coker} (\operatorname{Hom}_B(S_0, E_-) \otimes S_0 \to E_-).$$

Then E' is θ_- -stable and $\operatorname{Hom}_B(S_0, E') = 0$, that is, $E' \in M^0_-(\alpha_{r-i})$. Hence E' is also θ_0 -stable.

(ii) Conversely, for any $E' \in M^0_-(\alpha_{r-i})$ and any i-dimensional vector subspace $V \subset \operatorname{Ext}^1_B(E', S_0)$, we obtain a B-module E_- by the canonical exact sequence

$$0 \to V^* \otimes S_0 \to E_- \to E' \to 0.$$

Then E_- is θ_- -stable and $\operatorname{Hom}_B(S_0, E_-) \cong V$, that is, $E_- \in M^i_-(\alpha_r)$.

(iii) For any $E_{+} \in M_{+}^{i}(\alpha_{r})$, we put

$$E' := \ker (E_+ \to \operatorname{Hom}_B(E, S_0)^* \otimes S_0).$$

Then E' is θ_+ -stable and $\operatorname{Hom}_B(E', S_0) = 0$, that is, $E' \in M^0_+(\alpha_{r-i})$. Hence E' is also θ_0 -stable.

(iv) Conversely, for any $E' \in M^0_+(\alpha_{r-i})$ and any i-dimensional vector subspace $V \subset \operatorname{Ext}^1_B(S_0, E')$, we obtain a B-module E_+ by the canonical exact sequence

$$0 \to E' \to E_+ \to V \otimes S_0 \to 0.$$

Then E_+ is θ_+ -stable and $\operatorname{Hom}_B(E_+, S_0) \cong V$, that is, $E_+ \in M^i_+(\alpha_r)$.

By Lemma 3.2, $M_{-}^{0}(\alpha_{r-i})$ is set-theoretically equal to $M_{+}^{0}(\alpha_{r-i})$. For any B-module E, we have $\operatorname{Ext}_{B}^{2}(S_{0}, E) = \operatorname{Ext}_{B}^{2}(E, S_{0}) = 0$ by [15, Lemma 4.6 (1)]. Hence by the Riemann-Roch formula, for any element $E' \in M_{-}^{0}(\alpha_{r-i}) = M_{+}^{0}(\alpha_{r-i})$, we have $\dim_{\mathbb{C}} \operatorname{Ext}_{B}^{1}(E', S_{0}) = n - r + i + 1$ and $\dim_{\mathbb{C}} \operatorname{Ext}_{B}^{1}(S_{0}, E') = n - r + i - 2$.

If $n-r-2 \ge 0$, then by the above lemma we have set-theoretical equalities

(18)
$$f_{-}(M_{-}^{i}(\alpha_{r})) = \left\{ S_{0}^{\oplus i} \oplus E' \mid E' \in M_{-}^{0}(\alpha_{r-i}) = M_{+}^{0}(\alpha_{r-i}) \right\} / \equiv_{S}$$
$$= f_{+}(M_{+}^{i}(\alpha_{r})),$$

where $\equiv_{\mathbf{S}}$ denotes the S-equivalence relation (cf. [15, §4.1]). This gives a proof of Theorem 1.4 (i). We put $M_0^i(\alpha_r) := f_-\left(M_-^i(\alpha_r)\right) = f_+\left(M_+^i(\alpha_r)\right)$ as in Theorem 1.4.

Fibers of the S-equivalence class of $S_0^{\oplus i} \oplus E'$ by f_- and f_+ are parameterized by $\operatorname{Gr}(\operatorname{Ext}^1_B(E',S_0),i)$ and $\operatorname{Gr}(\operatorname{Ext}^1_B(S_0,E'),i)$ for $E' \in M^0_-(\alpha_{r-i}) = M^0_+(\alpha_{r-i})$.

Lemma 3.5. — For any integer i > r, we have

$$M_{-}^{i}(\alpha_r) = M_{+}^{i}(\alpha_r) = \emptyset.$$

Proof. — By [17, Lemma 5.7], we have $M_{-}^{i}(\alpha_{r}) = \emptyset$ for any i > r. By (18) this implies $M_{+}^{i}(\alpha_{r}) = \emptyset$.

3.5. Description of the chamber $\mathcal{C}_{\mathbb{P}^2}$. — In this subsection, we assume that r>0 and $n\in\mathbb{Z}$ is arbitrary. In the following proposition, we use the symbol $\alpha_{r,n}={}^t(n,2n+r-1,n-1)\in K(B)$ instead of α_r to avoid confusion.

Proposition 3.6. — (i) $M_{\mathbb{P}^2}(r,1,n) \neq \emptyset$ if and only if $n \geq r-1$.

(ii) $M_{+}(\alpha_{r,n}) \neq \emptyset$ if and only if $n \geq r + 2$. When n = r + 2, we have $M_{-}^{0}(\alpha_{r,n}) = M_{+}^{0}(\alpha_{r,n}) = M_{0}^{0}(\alpha_{r,n}) = \emptyset$.

In the following, we assume $r \geq 2$.

(iii) $W_0 = \mathbb{R}_{\geq 0} \theta_0$ is a wall on $\alpha_{r,n}^{\perp} \otimes \mathbb{R}$ for $n \geq r - 1$.

(iv) $W_{\mathbb{P}^2} = \mathbb{R}_{\geq 0} \theta_{\mathbb{P}^2}$ is a wall on $\alpha_{r,n}^{\perp} \otimes \mathbb{R}$ for $n \geq r$.

Hence we have $\mathbb{R}_{>0}\theta_0 + \mathbb{R}_{>0}\theta_{\mathbb{P}^2} = \mathcal{C}_{\mathbb{P}^2}$ if $n \geq r$.

Proof. — (i) By the criterion for the existence of non-exceptional stable sheaves in [11, §16.4], we have our claim.

(ii) As in the introduction, we have the isomorphism

$$M_+(\alpha_{r,n}) \cong M_B(\varphi_0^{-1}(\alpha_r'), \theta' \circ \varphi_0^{-1})$$

for certain $\theta' \in (\alpha'_r)^{\perp}$. Since the dimension vector of $\varphi_0^{-1}(\alpha'_r)$ is equal to

(19)
$$\begin{pmatrix} n-1\\2n-3\\n-r-2 \end{pmatrix},$$

if $M_+(\alpha_{r,n}) \neq \emptyset$, it must be $n \geq r+2$. On the other hand, if $n \geq r+2$, by (i) and (18) we see that $M_+(\alpha_{r,n}) \neq \emptyset$. When n = r+2, we have $\dim_{\mathbb{C}} \operatorname{Hom}_B(S_0, E_-) = 2n-3 > 0$ by the dimension vector (19). Hence by (18) we have $M_-^0(\alpha_{r,n}) = M_+^0(\alpha_{r,n}) = M_0^0(\alpha_{r,n}) = \emptyset$.

(iii) We assume $n \geq r-1$. By (i), there exists an element E of $M_{-}(\alpha_{r-1,n}) \cong M_{\mathbb{P}^2}(r-1,1,n)$. By Lemma 3.2 (i), a B-module $E \oplus S_0$ is θ_0 -semistable and has a submodule S_0 with $\theta_0(S_0) = 0$. Hence $W_0 = \mathbb{R}_{\geq 0}\theta_0$ is a wall on $\alpha_{r,n}^{\perp} \otimes \mathbb{R}$. (iv) We assume $n \geq r$ and take an element \mathscr{F} of $M_{\mathbb{P}^2}(r,1,n-1)$. We consider the exact sequence

$$0 \to \mathcal{J}' \to \mathcal{J} \to \mathcal{O}_x \to 0$$

for the skyscraper sheaf \mathcal{O}_x at any point $x \in \mathbb{P}^2$. Then \mathcal{J}' is stable since $\mu(\mathcal{J}') = \mu(\mathcal{J})$. This gives elements $F := \Phi(\mathcal{J}[1])$, $F' := \Phi(\mathcal{J}'[1])$ of $M_{-}(\alpha_{r,n-1})$, $M_{-}(\alpha_{r,n})$ respectively, and an exact sequence of B-modules

$$0 \to \Phi(\mathcal{O}_x) \to F' \to F \to 0.$$

Since $\theta_{\mathbb{P}^2}(\mathcal{O}_x) = 0$, we see that $W_{\mathbb{P}^2} = \mathbb{R}_{\geq 0}\theta_{\mathbb{P}^2}$ is a wall on $\alpha_r^{\perp} \otimes \mathbb{R}$. These together with (13) imply the last assertion.

By this proposition and [15, Lemma 6.3 (2)], we have $\mathbb{R}_{>0}\theta_0 + \mathbb{R}_{>0}\theta_{\mathbb{P}^2} = \mathcal{C}_{\mathbb{P}^2}$ if r=1 and $n\geq 2$, or $r\geq 2$ and $n\geq r$. In these cases, \mathcal{C}_+ is different from $\mathcal{C}_- = \mathcal{C}_{\mathbb{P}^2}$ and it is adjacent to $\mathcal{C}_- = \mathcal{C}_{\mathbb{P}^2}$ with the common boundary W_0 . By the description of the canonical bundle of $M_-(\alpha_r)$ in Proposition 2.3, we see that if n>r+2, then the diagram (14) gives the flip of $M_-(\alpha_r)$. Hence we get an isomorphism $M_+(\alpha_r)\cong M_+(r,1,n)$ and a proof of (ii) in Proposition 1.3.

PROPOSITION 3.7. — The moduli schemes $M_{-}(\alpha_r)$ and $M_{+}(\alpha_r)$ are smooth.

Proof. — By Lemma 2.2, deformation functors of B-modules E have obstruction theories with values in $\operatorname{Ext}_B^2(E,E)$. Since $\operatorname{Ext}_B^2(E_-,E_-)=0$ for any $E_-\in M_-(\alpha_r)\cong M_{\mathbb{P}^2}(r,1,n)$, we see that $M_-(\alpha_r)$ is smooth (cf. [9, Corollary 4.5.2]). Furthermore, if E_+ is an element of $M_+(\alpha_r)$, then by Proposition 3.4 we have an exact sequence

$$0 \to E' \to E_+ \to \mathbb{C}^i \otimes S_0 \to 0$$

for some i and $E' \in M^0_-(\alpha_{r-i})$. Since $\operatorname{Ext}^2_B(S_0, E_+) = \operatorname{Ext}^2_B(E', E') = \operatorname{Ext}^2_B(E', S_0) = 0$, we also have $\operatorname{Ext}^2_B(E_+, E_+) = 0$. Thus $M_+(\alpha_r)$ is also smooth.

In the rest of this section we show that the diagram (14) is schemetheoretically described by stratified Grassmann bundles.

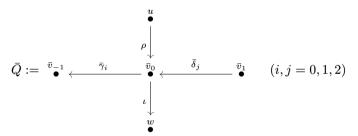
3.6. Coherent systems. — For $r \ge i \ge 0$ we define moduli of coherent systems $M_-(\alpha_r, i)$ and $M_+(\alpha_r, i)$:

$$M_{-}(\alpha_r, i) := \{ (E_-, V) \mid E_- \in M_{-}(\alpha_r), V \subset \operatorname{Hom}_B(S_0, E_-) \text{ with } \dim_{\mathbb{C}} V = i \},$$

 $M_{+}(\alpha_r, i) := \{ (E_+, V) \mid E_+ \in M_{+}(\alpha_r), V \subset \operatorname{Hom}_B(E_+, S_0) \text{ with } \dim_{\mathbb{C}} V = i \}.$

These moduli schemes are constructed as follows: We only show the construction of $M_{-}(\alpha_r, i)$ because the construction of $M_{+}(\alpha_r, i)$ is similar.

We introduce the quiver with relations (\bar{Q}, I) , where



and I is generated by the relations

$$\iota \bar{\delta}_i = \bar{\gamma}_i \bar{\delta}_i + \bar{\gamma}_i \bar{\delta}_i = \iota \rho = 0 \quad (i, j = 0, 1, 2).$$

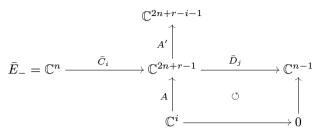
Let \bar{B} be the path algebra $\mathbb{C}\bar{Q}/I$ of the quiver with relations (\bar{Q}, I) . We have simple \bar{B} -modules $\mathbb{C}\bar{v}_{-1}$, $\mathbb{C}\bar{v}_0$, $\mathbb{C}\bar{v}_1$, $\mathbb{C}u$ and $\mathbb{C}w$. For each $\alpha_r \in K(B)$, we put

$$\bar{\alpha}_r := n[\mathbb{C}\bar{v}_{-1}] + (2n + r - 1)[\mathbb{C}\bar{v}_0] + (n - 1)[\mathbb{C}\bar{v}_1] + (2n + r - i - 1)[\mathbb{C}u] + i[\mathbb{C}w] \in K(\bar{B}).$$

For $\theta_-=(\theta_-^{-1},\theta_-^0,\theta_-^1)\in\alpha_r^\perp$ and $\varepsilon'>0$ small enough, we put

$$\bar{\theta}_-:=\theta_-^{-1}[\mathbb{C}\bar{v}_{-1}]^*+\theta_-^0[\mathbb{C}\bar{v}_0]^*+\theta_-^1[\mathbb{C}\bar{v}_1]^*+\frac{\varepsilon'}{2n+r-i-1}[\mathbb{C}u]^*-\frac{\varepsilon'}{i}[\mathbb{C}w]^*\in\bar{\alpha}_r^\perp.$$

For any right \bar{B} -module



we define a B-module

$$E_- := \left(\begin{array}{ccc} \mathbb{C}^n & & & \bar{C}_i & \\ & & & \end{array} \right) \mathbb{C}^{2n+r-1} & & & \bar{D}_j & \\ & & & \end{array} \right).$$

The following lemma is proved similarly as in Lemma 3.2 (iii).

LEMMA 3.8. — If we take ε' small enough, then \bar{E}_{-} is $\bar{\theta}_{-}$ -semistable if and only if E_{-} is θ_{-} -semistable, A is injective and A' is surjective.

Hence, if we denote by $M_{\bar{B}}(\bar{\alpha}_r, \bar{\theta}_-)$ the moduli of $\bar{\theta}_-$ -semistable \bar{B} -modules \bar{E}_- with $[\bar{E}_-] = \bar{\alpha}_r$, we get an isomorphism $M_{\bar{B}}(\bar{\alpha}_r, \bar{\theta}_-) \cong M_-(\alpha_r, i)$. We write $\bar{E}_- = (E_-, \mathbb{C}^i) \in M_-(\alpha_r, i)$ by abuse of notation.

We have morphisms

$$q_1: M_-(\alpha_r, i) \to M_-(\alpha_r), \quad \bar{E}_- = (E_-, \mathbb{C}^i) \mapsto E_-$$

and

$$q_2: M_{-}(\alpha_r, i) \to M_{-}(\alpha_{r-i}), \quad \bar{E}_- \mapsto q_2(\bar{E}_-),$$

where $q_2(\bar{E}_-)$ is defined by the canonical exact sequence

$$0 \to \mathbb{C}^i \otimes S_0 \to E_- \to q_2(\bar{E}_-) \to 0.$$

Similarly we have morphisms

$$q_1'\colon M_+(\alpha_r,i)\to M_+(\alpha_r),\quad q_2'\colon M_+(\alpha_r,i)\to M_+(\alpha_{r-i}).$$

If we take an element $\bar{E}_+ := (E_+, \mathbb{C}^i) \in M_+(\alpha_r, i)$, then q_1' and q_2' are defined by $q_1'(\bar{E}_+) = E_+$ and $q_2'(\bar{E}_+) := \ker \left(E_+ \to (\mathbb{C}^i)^* \otimes S_0\right)$.

PROPOSITION 3.9. — (i) The morphism $q_1: M_-(\alpha_r, i) \to M_-(\alpha_r)$ is a $\operatorname{Gr}(j,i)$ -bundle over each stratum $M_-^j(\alpha_r)$. In particular, we have an isomorphism

$$q_1: q_1^{-1}(M_-^i(\alpha_r)) \cong M_-^i(\alpha_r).$$

- (ii) The morphism $q_2: M_{-}(\alpha_r, i) \to M_{-}(\alpha_{r-i})$ is a Gr(n-r+i+1, i)-bundle. In particular, we have an isomorphism $q_2: M_{-}(\alpha_{n+1}, i) \cong M_{-}(\alpha_{n+1-i})$.
- (iii) For any $j \geq 0$, we have $q_1^{-1}(M_-^{i+j}(\alpha_r)) \cong q_2^{-1}(M_-^{j}(\alpha_{r-i}))$.

(iv) The morphism $q'_1: M_+(\alpha_r, i) \to M_+(\alpha_r)$ is a Gr(j, i)-bundle over each stratum $M^{j}_{+}(\alpha_r)$. In particular, we have an isomorphism

$$(q_1')^{-1}(M_+^j(\alpha_r)) \cong M_+^j(\alpha_r).$$

(v) The morphism $q_2': M_+(\alpha_r, i) \to M_+(\alpha_{r-i})$ is a Gr(n-r+i-2, i)-bundle. In particular, we have an isomorphism $q_2' \colon M_+(\alpha_{n-2}, i) \cong M_+(\alpha_{n-2-i})$. (vi) For any $j \geq 0$, we have ${q_1'}^{-1}(M_+^{i+j}(\alpha_r)) \cong {q_2'}^{-1}(M_+^{j}(\alpha_{r-i}))$.

Proof. — (i) The fiber of q_1 over $E_- \in M^j_-(\alpha_r)$ is parameterized by the Grassmann manifold $Gr(Hom_B(S_0, E_-), i)$ for all $j \geq i$. As in §3.3 we take a universal family \mathcal{U} of B-modules on $M_{-}(\alpha_r)$ and put

$$\delta^* := \sum_i x_i \circ \delta_i^* \colon \mathcal{U}_0 \to \bigoplus_i (\mathcal{U}_1 \otimes x_i).$$

Then for any point $p \in M_{-}(\alpha_r)$, we have $\operatorname{Hom}_B(S_0, \mathcal{U}|_p) \cong (\ker \delta^*)|_p$. Since $\ker \delta^*$ is locally free of rank j on $M_-^j(\alpha_r)$ (cf. [1, Chapter II]), we have a $\operatorname{Gr}(j,i)$ -bundle $\operatorname{Gr}(\ker \delta^*|_{M^j(\alpha_n)},i)$ on $M_-^j(\alpha_r)$.

On the other hand, by the definition of $M_{-}^{j}(\alpha_{r})$ (cf §3.3), we easily see that $M_{-}^{j}(\alpha_{r})$ represents the moduli functor parameterizing families of θ_- -semistable B-modules E_- with $[E_-] = \alpha_r$ and $\dim_{\mathbb{C}} \operatorname{Hom}_B(S_0, E_-) = j$. Hence $q_1^{-1}(M_-^j(\alpha_r))$ has the same universal property as $\operatorname{Gr}(\ker \delta^*|_{M^j(\alpha_r)}, i)$, and we have $q_1^{-1}(M_-^j(\alpha_r)) \cong \operatorname{Gr}(\ker \delta^*|_{M^j(\alpha_r)}, i)$.

(ii) The fiber of q_2 over $E' = q_2(\bar{E}_-)$ is parameterized by $Gr\left(\operatorname{Ext}^1_B(E', S_0), i\right)$. For the universal family $\mathscr{U}' = \left(\mathscr{U}_{-1}' \overset{\gamma'^*_i}{\to} \mathscr{U}_0' \overset{\delta'^*_j}{\to} \mathscr{U}_1' \right)$ of *B*-modules on $M_{-}(\alpha_{r-i})$, we put

$${\gamma'}^* := \sum_i {\gamma'}_i^* \circ x_i^* : \bigoplus_i (\mathcal{U}_{-1} \otimes x_i) \to \mathcal{U}_0.$$

Since we have $(\ker {\gamma'}^*|_{p'})^* \cong \operatorname{Ext}_B^1(\mathcal{V}'|_{p'}, S_0)$ for any $p' \in M_-(\alpha_{r-i})$, similarly as in (i) we get

$$M_{-}(\alpha_r, i) \cong \operatorname{Gr}\left((\ker {\gamma'}^*)^*, i\right).$$

(iii) Since spaces of both sides have the same universal property, our claim holds.

(iv), (v) and (vi) are proved similarly as in (i), (ii) and (iii).
$$\Box$$

COROLLARY 3.10. — $M_{-}^{i}(\alpha_{r})$ and $M_{+}^{i}(\alpha_{r})$ are smooth for any $i, r \geq 0$.

Proof. — The restriction of the morphism $q_1: M_-(\alpha_r, i) \to M_-(\alpha_r)$ gives an isomorphism

$$q_1^{-1}(M_-^i(\alpha_r)) \cong M_-^i(\alpha_r).$$

By Proposition 3.9 (iii) we have an isomorphism $M_{-}^{i}(\alpha_{r}) \cong q_{2}^{-1}(M_{-}^{0}(\alpha_{r-i}))$. Hence by Proposition 3.9 (ii), $M_{-}^{i}(\alpha_{r})$ is isomorphic to a Grassmann-bundle over $M_{-}^{0}(\alpha_{r-i})$. Since $M_{-}^{0}(\alpha_{r-i})$ is smooth by Proposition 3.7, we see that $M_{-}^{i}(\alpha_{r})$ is also smooth. Similarly $M_{+}^{i}(\alpha_{r})$ is shown to be smooth.

3.7. Stratified Grassmann bundle. — In this section, we show that morphisms $f_-: M_-(\alpha_r) \to M_0(\alpha_r)$ and $f_+: M_+(\alpha_r) \to M_0(\alpha_r)$ are described by stratified Grassmann bundles by using Proposition 3.9.

We consider the diagram:



By Proposition 3.9 (ii), q_2 is an isomorphism and we have a map

$$q_1 \circ q_2^{-1} \colon M_-(\alpha_r) \cong M_-(\alpha_{n+1}, n+1-r) \to M_-(\alpha_{n+1}),$$

which coincides with g_{-} by (16). This gives another proof of Theorem 1.1. Similarly the map

$$q_1' \circ {q_2'}^{-1} : M_+(\alpha_r) \cong M_+(\alpha_{n-2}, n-2-r) \to M_+(\alpha_{n-2})$$

coincides with the map g_+ by (17). For any $r \geq 0$, we have isomorphisms

$$M_{-}^{0}(\alpha_{r}) \cong M_{-}^{n+1-r}(\alpha_{n+1}), \quad M_{+}^{0}(\alpha_{r}) \cong M_{+}^{n-2-r}(\alpha_{n-2})$$

via g_{-} and g_{+} respectively. In particular, we have isomorphisms

$$M_{-}^{0}(\alpha_{r-i}) \cong M_{-}^{n-r+i+1}(\alpha_{n+1}), \quad M_{+}^{0}(\alpha_{r-i}) \cong M_{+}^{n-r+i-2}(\alpha_{n-2}).$$

Since dim $\operatorname{Ext}_B^1(E_-, S_0) = n - r + 1$ and dim $\operatorname{Ext}_B^1(S_0, E_+) = n - r - 2$ for $E_- \in M_-(\alpha_r)$ and $E_+ \in M_+(\alpha_r)$, the strata $M_-^{n-r+i+1}(\alpha_{n+1})$ and $M_+^{n-r+i-2}(\alpha_{n-2})$ coincide with the images $f_-(M_-^i(\alpha_r)) \cong f_+(M_+^i(\alpha_r))$ via the diagram (15). This gives a proof of (ii) in Theorem 1.4.

By Proposition 3.9 and the diagram (15), we also have proofs of (iii) and (iv) in Theorem 1.4.

3.8. Hodge polynomials of flips. — We assume that r > 0, $n \ge r + 2$, and study the difference between the Hodge polynomials of $M_{-}(\alpha_r)$ and $M_{+}(\alpha_r)$. To do this we use the virtual Hodge polynomial $e(Y) := \sum_{p,q} e^{p,q}(Y) x^p y^q$ for any variety Y (cf. [6]).

By Theorem 1.4 we get the diagram

$$(20) \qquad \qquad \bigsqcup M_{+}^{i}(\alpha_{r}) \qquad \qquad \bigsqcup M_{-}^{i}(\alpha_{r})$$

$$\qquad \qquad \qquad \bigsqcup M_{0}^{i}(\alpha_{r})$$

where restrictions of f_- and f_+ to $M_-^i(\alpha_r)$ and $M_+^i(\alpha_r)$ are a $\operatorname{Gr}(n-r+i+1,i)$ -bundle and a $\operatorname{Gr}(n-r+i-2,i)$ -bundle over $M_0^i(\alpha_r) \cong M_-^0(\alpha_{r-i}) \cong M_+^0(\alpha_{r-i})$, respectively. Hence we get the following equality.

(21)
$$e(M_{-}(\alpha_{r})) - e(M_{+}(\alpha_{r})) =$$

$$\sum_{i=1}^{r} \left(e(\operatorname{Gr}(n-r+i+1,i)) - e(\operatorname{Gr}(n-r+i-2,i)) \right) e(M_{-}^{0}(\alpha_{r-i})).$$

In the following we compute the Hodge polynomial of $M_{+}(\alpha_{r})$ from that of $M_{-}(\alpha_{r})$ in the case where r=1,2. In this case, we know the Hodge polynomial of $M_{-}(\alpha_{r}) \cong M_{\mathbb{P}^{2}}(r,1,n)$ from [8] and [16]. We need the following proposition.

PROPOSITION 3.11. — We have the following isomorphisms:

$$M_{-}(\alpha_0) \cong M_{+}(\alpha_0) \cong \mathbb{P}^2.$$

A proof of this proposition is given in Appendix A. From this proposition and (21), we get the following:

$$\cdots = M_+(1,1,1) = M_+(1,1,2) = \emptyset,$$

$$e\left(M_+(1,1,3)\right) = t^{12} + t^{10} + 3t^8 + 3t^6 + 3t^4 + t^2 + 1,$$

$$e\left(M_+(1,1,4)\right) = t^{16} + 2t^{14} + 5t^{12} + 8t^{10} + 10t^8 + 8t^6 + 5t^4 + 2t^2 + 1,$$

$$e\left(M_+(1,1,5)\right) = \cdots + 21t^{10} + 19t^8 + 11t^6 + 6t^4 + 2t^2 + 1,$$

$$e\left(M_+(1,1,n)\right) = e\left(M_{\mathbb{P}^2}(1,1,n)\right) - (t^{2n+4} + 2t^{2n+2} + 3t^{2n} + 2t^{2n-2} + t^{2n-4}),$$
 and

$$\cdots = M_{+}(2,1,1) = M_{+}(2,1,2) = M_{+}(2,1,3) = \emptyset,$$

$$e(M_{+}(2,1,4)) = \cdots + 12t^{12} + 10t^{10} + 8t^{8} + 5t^{6} + 3t^{4} + t^{2} + 1,$$

$$e(M_{+}(2,1,5)) = \cdots + 67t^{16} + 60t^{14} + 48t^{12} + 32t^{10} + 20t^{8} + 10t^{6} + 5t^{4} + 2t^{2} + 1,$$

where t = xy.

Appendix A

Proof of Proposition 3.11

We give a proof of Proposition 3.11 by using the Bridgeland stability and Yoshioka's results in [17].

A.1. Bridgeland stability. — We briefly introduce the concept of the Bridgeland stability. For details the reader can consult [5]. Let \mathcal{A} be an abelian category, $K(\mathcal{A})$ the Grothendieck group of \mathcal{A} .

DEFINITION A.1. — A stability function Z on \mathcal{R} is a group homomorphism from $K(\mathcal{R})$ to \mathbb{C} such that for any object $E \in \mathcal{R}$, if E is not equal to zero we have $Z(E) \in \mathbb{R}_{>0} \exp(\sqrt{-1}\pi\phi(E))$ with $0 < \phi(E) \le 1$.

The real number $\phi(E)$ is called the phase of E.

DEFINITION A.2. — A non-zero object $E \in \mathcal{R}$ is *semistable* with respect to Z if and only if for any proper subobject $0 \neq F \subsetneq E$ we have $\phi(F) \leq \phi(E)$. If the inequality is always strict we call E to be *stable* with respect to Z.

Let \mathcal{T} be a triangulated category and $K(\mathcal{T})$ the Grothendieck group of \mathcal{T} .

DEFINITION A.3. — A stability condition σ on \mathcal{T} is a pair $\sigma = (\mathcal{A}, Z)$, which consists of a full subcategory \mathcal{A} of \mathcal{T} and a group homomorphism $Z \colon K(\mathcal{T}) \to \mathbb{C}$ satisfying the following conditions:

- \mathcal{A} is the heart of a bounded t-structure of \mathcal{T} , which implies that \mathcal{A} is an abelian category and $K(\mathcal{A})$ is isomorphic to $K(\mathcal{T})$ by the inclusion $\mathcal{A} \subset \mathcal{T}$. Hence we always identify them.
- Z is a stability function on \mathcal{A} via the above identification $K(\mathcal{A}) = K(\mathcal{T})$.
- Z has the Harder-Narasimhan property.

We omit the definition of "the heart of a bounded t-structure" and "Harder-Narasimhan property" (see [5, $\S 2$ and $\S 3$]). We denote by $\operatorname{Stab}(\mathcal{T})$ the set of all stability conditions satisfying the technical condition called "local finiteness" (see [5, $\S 5$]).

DEFINITION A.4. — For a stability condition $\sigma = (\mathcal{R}, Z) \in \text{Stab}(\mathcal{T})$, an object $E \in \mathcal{T}$ is called σ -(semi)stable if and only if E belongs to \mathcal{R} up to shift functors $[n]: \mathcal{T} \to \mathcal{T}$ for $n \in \mathbb{Z}$, and it is (semi)stable with respect to Z.

In the following we only consider the case where $\mathcal{T}=D^b(\mathbb{P}^2)$ and we put $\operatorname{Stab}(\mathbb{P}^2):=\operatorname{Stab}(\mathcal{T})$. For $\alpha\in K(\mathbb{P}^2)$ and $\sigma=(\mathcal{R},Z)\in\operatorname{Stab}(\mathbb{P}^2)$, we define the moduli functor $\mathcal{M}_{D^b(\mathbb{P}^2)}(\alpha,\sigma)$ of σ -semistable objects $E\in\mathcal{R}$ with $[E]=\alpha\in K(\mathbb{P}^2)$ as follows: The moduli functor $\mathcal{M}_{D^b(\mathbb{P}^2)}(\alpha,\sigma)$ is a functor from $(\operatorname{Sch}/\mathbb{C})$ to (Set) . For a scheme S over \mathbb{C} it sends S to the set $\mathcal{M}_{D^b(\mathbb{P}^2)}(\alpha,\sigma)(S)$ of isomorphism classes of families $\mathcal{F}\in D^b(\mathbb{P}^2\times S)$ of σ -semistable objects with the class α in $K(\mathbb{P}^2)$. This means that for any \mathbb{C} -valued point $s\in S$, the fiber $\mathbf{L}\iota_s^*\mathcal{F}\in D^-(\mathbb{P}^2)$ belongs to the full subcategory $\mathcal{R}\subset D^b(\mathbb{P}^2)$ and is σ -semistable with $[\mathbf{L}\iota_s^*\mathcal{F}]=\alpha\in K(\mathbb{P}^2)$.

There exists a right action of $\widetilde{\operatorname{GL}}^+(2,\mathbb{R})$ on $\operatorname{Stab}(\mathbb{P}^2)$ and this action does not change semistable objects. Hence for any $\alpha \in K(\mathbb{P}^2)$, $\sigma \in \operatorname{Stab}(\mathbb{P}^2)$ and $g \in \widetilde{\operatorname{GL}}^+(2,\mathbb{R})$, there exists $n \in \mathbb{Z}$ such that the shift functor $[n] \colon D^b(\mathbb{P}^2) \cong D^b(\mathbb{P}^2)$ induces an isomorphism of functors

$$\mathcal{M}_{D^b(\mathbb{P}^2)}(\alpha, \sigma) \cong \mathcal{M}_{D^b(\mathbb{P}^2)}((-1)^n \alpha, \sigma g), \quad E \mapsto E[n].$$

A.2. Geometric stability. — Let H be the ample generator of $\operatorname{Pic}(\mathbb{P}^2)$ and $s,t\in\mathbb{R}$ with t>0. For any torsion free sheaf E on \mathbb{P}^2 , the slope of E is defined by $\mu_H(E):=\frac{c_1(E)}{\operatorname{rk}(E)}$ and defines μ_H -semistability (cf. [9, 1.6.9]). E has the Harder-Narasimhan filtration with μ_H -semistable factors. We denote the maximal value and the minimal value of slopes of μ_H -semistable factors of E by $\mu_{H-\max}(E)$ and $\mu_{H-\min}(E)$, respectively. Then we define a pair $\sigma_{(sH,tH)}=(\mathcal{N}_{(sH,tH)},Z_{(sH,tH)})$ as follows:

Definition A.5. — $[2, \S 2]$

- An object $E \in D^b(\mathbb{P}^2)$ belongs to the full subcategory $\mathcal{A}_{(sH,tH)}$ if and only if
 - $\mathcal{H}^i(E) = 0$ for all $i \neq 0, -1$,
 - $\mathcal{H}^0(E)$ is torsion or $\mu_{H-\min}(\mathcal{H}^0(E)_{fr}) > st$, where $\mathcal{H}^0(E)_{fr}$ is the free part of $\mathcal{H}^0(E)$ and
 - $\mathcal{H}^{-1}(E)$ is torsion free and $\mu_{H-\max}(\mathcal{H}^{-1}(E)) \leq st$.
- The group homomorphism $Z_{(sH,tH)}$ is defined by

$$Z_{(sH,tH)}(E) := -\int_{\mathbb{P}^2} \operatorname{ch}(E) \exp(-sH - \sqrt{-1}tH),$$

where $\operatorname{ch}(E) = (r(E), c_1(E), \operatorname{ch}_2(E)) \in H^{2*}(\mathbb{P}^2, \mathbb{Q}) \cong \mathbb{Q}^3$ is the Chern character of a object E in $D^b(\mathbb{P}^2)$.

We have the following criterion due to Bridgeland.

PROPOSITION A.6. — cf. [15, Proposition 3.6] For $\sigma \in \operatorname{Stab}(\mathbb{P}^2)$, there exist $g \in \widetilde{\operatorname{GL}}^+(2,\mathbb{R})$ and $s,t \in \mathbb{R}$ with t > 0 such that $\sigma = \sigma_{(sH,tH)}g$ if and only if the following conditions (i) and (ii) hold:

- (i) For any closed point $x \in \mathbb{P}^2$, the skyscraper sheaf \mathcal{O}_x is σ -stable.
- (ii) For any object E of $D^b(\mathbb{P}^2)$, if Z(E)=0 then we have an inequality $c_1(E)^2-2r(E)\operatorname{ch}_2(E)<0$.

A.3. Proof of Proposition 3.11. — Here we identify derived categories $D^b(\mathbb{P}^2)$ and $D^b(B)$, and groups $K(\mathbb{P}^2)$ and K(B) via the equivalence

$$\Phi := \mathbf{R} \operatorname{Hom}_{\mathbb{P}^2}(\mathcal{E}, -) \colon D^b(\mathbb{P}^2) \cong D^b(B)$$

and the induced group homomorphism $\varphi \colon K(\mathbb{P}^2) \cong K(B)$, where

$$B := \operatorname{End}_{\mathbb{P}^2}(\mathcal{E}), \quad \mathcal{E} = \mathcal{O}_{\mathbb{P}^2}(1) \oplus \Omega^1_{\mathbb{P}^2}(3) \oplus \mathcal{O}_{\mathbb{P}^2}(2).$$

In the notation in (6) we take

$$\alpha_0 = \begin{pmatrix} n \\ 2n - 1 \\ n - 1 \end{pmatrix} \in K(B),$$

so that the Chern class $c(\alpha_0) = -(0,1,n)$ in $H^{2*}(\mathbb{P}^2,\mathbb{Z})$.

We define a family of stability conditions $\sigma^s = (\mathcal{R}, Z^s) \in \text{Stab}(\mathbb{P}^2)$ for $s \in \mathbb{R}$ with -1 < s < 1 as follows: We take the heart of a bounded t-structure

$$\mathcal{A} = \langle \mathcal{O}_{\mathbb{P}^2}(-1)[2], \mathcal{O}_{\mathbb{P}^2}[1], \mathcal{O}_{\mathbb{P}^2}(1) \rangle$$

of $D^b(\mathbb{P}^2)$. Then, by the equivalence Φ , we see that \mathscr{R} is equivalent to the abelian category mod-B of finitely generated B-modules. We define a group homomorphism $Z^s \colon K(\mathbb{P}^2) \to \mathbb{C}$ by

$$Z^{s}(\mathcal{O}_{\mathbb{P}^{2}}(-1)[2]) = \frac{-s-1}{2}, \quad Z^{s}(\mathcal{O}_{\mathbb{P}^{2}}[1]) = 1 + \sqrt{-1}, \quad Z^{s}(\mathcal{O}_{\mathbb{P}^{2}}(1)) = \frac{-s+1}{2}.$$

Then, by Proposition A.6, we see that there exists a family of elements $g^s \in \widetilde{\operatorname{GL}}^+(2,\mathbb{R})$ such that

(22)
$$\sigma_{(sH,tH)}g^s = \sigma^s,$$

where $t = \sqrt{1 - s^2}$.

Fixing $\alpha_0 \in K(B)$, we define a group homomorphism $\tilde{\theta}^s \colon K(B) \to \mathbb{C}$ by

$$\tilde{\theta}^{s}(\beta) = \det \begin{pmatrix} \operatorname{Re} Z^{s}(\beta) \operatorname{Re} Z^{s}(\alpha_{0}) \\ \operatorname{Im} Z^{s}(\beta) \operatorname{Im} Z^{s}(\alpha_{0}) \end{pmatrix}$$

for each $\beta \in K(B)$. Then $M_B(\alpha_0, \tilde{\theta}^s)$ corepresents the moduli functor $\mathcal{M}_{D^b(\mathbb{P}^2)}(\alpha_0, \sigma^s)$ by [15, Proposition 1.2]. Furthermore, by (22), we have an isomorphism

(23)
$$\mathcal{M}_{D^b(\mathbb{P}^2)}(-\alpha_0, \sigma_{(sH,tH)}) \cong \mathcal{M}_{D^b(\mathbb{P}^2)}(\alpha_0, \sigma^s), \quad E \mapsto E[1]$$

of moduli functors. In the following lemma, we consider the case where

$$s = 0, \quad t = \sqrt{1 - s^2} = 1.$$

Lemma A.7. — For an object $E \in \mathcal{R}_{(0,H)}$ with $[E] = -\alpha_0$, the following conditions are equivalent:

- (i) E is $\sigma_{(0,H)}$ -stable.
- (ii) E is $\sigma_{(0,H)}$ -semistable.
- (iii) E is isomorphic to $\mathcal{O}_L(1-n)$ for a line L on \mathbb{P}^2 .

Proof. — $((i) \Longrightarrow (ii))$ It is trivial.

 $((ii) \Longrightarrow (iii))$ We assume that $E \in \mathcal{R}_{(0,H)}$ is $\sigma_{(0,H)}$ -semistable and $\mathcal{H}^{-1}(E) \neq 0$. We put $F := \mathcal{H}^{-1}(E)[1]$ and $G := \mathcal{H}^{0}(E)$. Then an exact sequence in $\mathcal{R}_{(0,H)}$

$$0 \to F \to E \to G \to 0$$

implies that $0 \leq \operatorname{Im} Z_{(0,H)}(F) < \operatorname{Im} Z_{(0,H)}(E) = 1$. If we put $\operatorname{ch}(F) = -(r,c_1,\operatorname{ch}_2)$ with r > 0, then $\operatorname{Im} Z_{(0,H)}(F) = -c_1$ is an integer and must be equal to 0. Hence we see that $\phi(E) < \phi(F) = 1$, contradicting $\sigma_{(0,H)}$ -semistability of E. Thus E is a sheaf with $\operatorname{ch}(E) = (0,1,\frac{1}{2}-n)$. Any subsheaf of E with the support dimension 0 breaks the $\sigma_{(0,H)}$ -semistability of E. Hence we see that E is a pure sheaf. This shows that $E \cong \mathcal{O}_L(1-n)$ for a line E on \mathbb{P}^2 . ((iii) \Longrightarrow (i)) We show that $\mathcal{O}_L(1-n) \in \mathcal{R}_{(0,H)}$ is $\sigma_{(0,H)}$ -stable for any line $E \subset \mathbb{P}^2$. We take an exact sequence in $\mathcal{R}_{(0,H)}$

$$0 \to F \to \mathcal{O}_L(1-n) \to G \to 0.$$

Then we have a long exact sequence

$$0 \to \mathcal{OH}^{-1}(G) \to F \to \mathcal{O}_L(1-n) \to \mathcal{OH}^0(G) \to 0.$$

If the dimension of the support of $\mathcal{H}^0(G)$ is equal to 1, we have

$$\operatorname{rk}(F) = \operatorname{rk}(\mathcal{H}^{-1}(G)), \quad c_1(F) = c_1(\mathcal{H}^{-1}(G)).$$

If $\operatorname{rk}(F) \neq 0$, these equalities contradict the fact that $F, G \in \mathcal{R}_{(0,H)}$ implies inequalities $\mu_H(\mathcal{H}^{-1}(G)) \leq 0 < \mu_H(F)$. Hence F is a torsion sheaf and $\mathcal{H}^{-1}(G) = 0$. This implies F = 0 and $G = \mathcal{O}_L(1-n)$ since $\mathcal{O}_L(1-n)$ is a pure sheaf.

If the dimension of the support of $\mathcal{H}^0(G)$ is equal to 0, we have

$$rk(F) = rk(\mathcal{H}^{-1}(G)), \quad c_1(F) = c_1(\mathcal{H}^{-1}(G)) + 1.$$

If $rk(F) \neq 0$, then the inequalities

$$\mu_H(\mathcal{H}^{-1}(G)) \le 0 < \mu_H(F)$$

imply $c_1(F) = 1$ and $c_1(\mathcal{H}^{-1}(G)) = 0$. Hence we have $\operatorname{Im} Z_{(0,H)}(G) = 0$. If $\operatorname{rk}(F) = 0$, then $\mathcal{H}^{-1}(G) = 0$ and we also have $\operatorname{Im} Z_{(0,H)}(G) = 0$. Hence we have

$$\phi(G) = 1 > \phi(\mathcal{O}_L(1-n)).$$

Thus G does not break the $\sigma_{(0,H)}$ -stability of $\mathcal{O}_L(1-n)$.

By this lemma and the isomorphism (23) we have an isomorphism

$$M_B(\alpha_0, \tilde{\theta}^0) = \{ \Phi \left(\mathcal{O}_L(1-n)[1] \right) \mid L \subset \mathbb{P}^2 \colon \text{ line } \} \cong \mathbb{P}^2.$$

On the other hand, by [17, Theorem 5.8 and (5.29)], we have an isomorphism

$$\lambda \colon M_{\mathbb{P}^2}(0,1,n) = \{ \mathcal{O}_L(1-n) \mid L \subset \mathbb{P}^2 \colon \text{ line } \} \cong M_{\mathbb{P}^2}^{n+1}(n+1,1,n),$$
$$\mathcal{O}_L(1-n) \mapsto \lambda \left(\mathcal{O}_L(1-n) \right).$$

Here a semistable sheaf $\lambda (\mathcal{O}_L(1-n))$ is defined by the exact sequence

$$0 \to \mathbb{C}^{n+1} \otimes \mathcal{O}_{\mathbb{P}^2} \to \lambda \left(\mathcal{O}_L(1-n) \right) \to \mathcal{O}_L(1-n) \to 0,$$

where we have $\mathbb{C}^{n+1} \cong \operatorname{Hom}_{\mathbb{P}^2}(\mathcal{O}_{\mathbb{P}^2}, \lambda\left(\mathcal{O}_L(1-n)\right))$. By Proposition 3.4 (i), this implies that for any line L we have

$$\Phi(\mathcal{O}_L(1-n)[1]) \in M_-^0(\alpha_0) = M_+^0(\alpha_0).$$

Hence we get the following lemma.

Lemma A.8. — Every object in $M_B(\alpha_0, \tilde{\theta}^0)$ is both θ_- -stable and θ_+ -stable.

If we put $s_0:=-\frac{1}{2n-1}$, then we have $\tilde{\theta}^{s_0}\in W_0$ and $\tilde{\theta}^{s_0+\varepsilon}\in\mathcal{C}_-$, $\tilde{\theta}^{s_0-\varepsilon}\in\mathcal{C}_+$ for $\varepsilon>0$ small enough. By Lemma A.8, we see that there exists no wall on the line $\{\tilde{\theta}^s\mid s_0-\varepsilon\leq s\leq 0\}$. Thus we see that the ray W_0 is not a wall, and that $\tilde{\theta}^0$, \mathcal{C}_- , \mathcal{C}_+ and W_0 are contained in a single chamber. As a consequence we have an isomorphism

$$M_{-}(\alpha_0) = M_{+}(\alpha_0) = M_B(\alpha_0, \tilde{\theta}^0) \cong \mathbb{P}^2.$$

This completes the proof of Proposition 3.11.

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