

A NON-LINEAR-THRESHOLD-ACCEPTING FUNCTION BASED ALGORITHM FOR THE SOLUTION OF ECONOMIC DISPATCH PROBLEM

NABIL NAHAS¹, MOHAMED NOOMANE DARGHOOTH^{1,*} AND MOHAMMED ABOUHEAF^{2,3}

Abstract. This article introduces a novel heuristic algorithm based on Non-Linear Threshold Accepting Function to solve the challenging non-convex economic dispatch problem. Economic dispatch is a power system management tool; it is used to allocate the total power generation to the generating units to meet the active load demand. The power systems are highly nonlinear due to the physical and operational constraints. The complexity of the resulting non-convex objective cost function led to inabilities to solve the problem by using analytical approaches, especially in the case of large-scale problems. Optimization techniques based on heuristics are used to overcome these difficulties. The Non-Linear Threshold Accepting Algorithm has demonstrated efficiency in solving various instances of static and dynamic allocation and scheduling problems but has never been applied to solve the economic dispatch problem. Existing benchmark systems are used to evaluate the performance of the proposed heuristic. Additional random instances with different sizes are generated to compare the adopted heuristic to the Harmony Search and the Whale Optimization Algorithms. The obtained results showed the superiority of the proposed algorithm in finding, for all considered instances, a high-quality solution in minimum computational time.

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1. INTRODUCTION

The decrease in the non-renewable energies reserves, along with the increase in the power consumption, has motivated researchers to focus on the Economic Dispatch (ED) problem. The ED problem ensures economical based allocation of the active load demand to a number of committed or online generating units while sustaining the units' physical and economic constraints [13,26]. The high non-linearity of the power systems and the massive amount of calculations needed to efficiently allocate the generating sources have made the ED problem hard to solve. The nonlinear physical constraints (spinning reserve, transmission losses, ramp rate limits, multiple fuel options, etc.) result in non-convex cost functions. The conventional approaches used to solve the ED problem are

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¹ Systems Engineering Department, King Fahd University of Petroleum & Minerals, 31261 Dhahran, Saudi Arabia.

² School of Electrical Engineering and Computer Science, Ottawa University, K1N 6N5 Ottawa, Canada.

³ College of Energy Engineering, Aswan University, Aswan, Egypt.

*Corresponding author: darghouth@kfupm.edu.sa

based on Lagrangian frameworks. Kirchmayer [26] developed a solution for the ED problem based on Lagrangian and partial derivatives functions. Ramanathan [40] proposed a methodology involving emission constraints using a partially closed form technique. Madrigal and Quintana [32] proposed a mathematical approach based on duality theorems to solve the ED problem.

In practice, the ED problem is classified as a non-convex optimization problem. It has been shown that the ED problem is NP-hard even for small-size instances. Due to their robustness and feasibility, several heuristic and meta-heuristic methods are applied to solve different NP-hard optimization problems. Basically, they are inspired from biological, physical phenomena and laws of natural evaluation. The most popular algorithms are: Genetic Algorithm (GA) [20], Biogeography-Based Optimizer (BBO) [43], Simulated Annealing (SA) [27], Gravitational Local Search (GLSA) [48], Curved Space Optimization (CSO) [33], Harmony Search (HS) [12], Tabu Search (TS) [15,16], Bee Collecting Pollen Algorithm (BCPA) [30], Ant Colony Optimization [8], Particle swarm optimization (PSO) [22]. Their application to challenging engineering optimization problems becomes more and more popular, especially for large-scale instances. The majority of them showed promising solving capabilities by providing a timely manner high-quality solution.

The application of meta-heuristic algorithms to solve the ED problem was successful in terms of quality and effectiveness of the obtained solution [3,7,9,14,19,31,44]. Chen and Chang [6] suggested a lambda-based genetic algorithm to solve the ED problem for large-scale power systems. They developed a new encoding technique by considering the number of bots of each chromosome entirely independent of the number of units. Su and Lin [45] presented a new Hopfield-based approach to solve the ED problem, where the computational procedure involved a series of adjustments of the weighting factor associated with the transmission line losses. Lin *et al.* [29] developed an Improved Tabu Search (ITS) algorithm to solve the non-convex ED problem. They applied parallelism to reduce the effect of the initial conditions on the convergence rate. Gaing [10] used a Particle swarm optimization (PSO) method to solve the ED problem in power systems. Vasebi *et al.* [46] considered the combined heat and power ED problem and introduced a solving method based on a Harmony Search Algorithm (HSA). Abouheaf *et al.* [1] used an Adaptive Learning (Q-Learning) approach to solve the non-convex ED problem, where eligibility traces are used to speed up the convergence rate. Artificial Bee Colony (ABC) metaheuristic has been used in [2] to solve the ED problem with valve-point effects. The authors tested the performance of generalized forms of the algorithm on real-world industrial applications. The obtained results showed that the ability of the ABC algorithm to successfully solve the tackled problem. Khoa *et al.* [24] proposed an extension of the original single-particle mean-variance mapping optimization (MVMO) technique to solve the ED problem. The difference lies in the introduction of an initial search process with a set of particles characterized by two parameters: the scaling factor and the variable increment parameter in order to enhance the mapping procedure.

Recently, Nazari-Heris *et al.* [39] used a new meta-heuristic optimization technique called the “Whale Optimization Algorithm (WOA)” to solve the non-convex combined heat and power economic dispatch (CHPED) problem. The authors evaluated the effectiveness of the implemented method to optimally solve the problem through a set of three test systems with different combinations of power and heat units. The obtained results demonstrated the capability of the WOA to provide better solutions compared to existing solving techniques such as real-coded genetic algorithm with improved Mühlenbein mutation (RCGAIMM) [17], Group Search Optimization (GSO) and improved GSO (IGSO) [18], Greedy Particle Swarm Optimization (GPSO) and particle swarm optimization with time-varying acceleration coefficients (TVAC-PSO) [34], gray wolf optimization (GWO), and teaching-learning-based optimization (TLBO) [41].

Herein, Non-Linear Threshold Accepting Algorithm (NTAA) is proposed to solve the challenging non-convex ED problem under different operation constraints. The NTAA was recently developed by Nahas and Nourelfath [37]. It has demonstrated a higher level of efficiency in solving different bus instances of NP-hard problems for large non-linear systems. The algorithm provided excellent performance in solving a variety of difficult combinational optimization problems such as the quadratic assignment problem, dynamic discrete facility layout problems, flow-shop scheduling, and berth allocation [37,38].

A preliminary work was developed by Nahas *et al.* [36] to solve the ED problem under power balance and generation limit (capacity) constraints. The results have been compared to the Hybrid Stochastic Search

Algorithm (HSS) [4], Tabu Search algorithm (TS) [23] and Hybrid PSO-SQP [47]. The proposed approach outperformed the considered algorithms in terms of computational time and solution quality. These findings motivated us to investigate the efficiency of the NTAA to solve the non-convex ED problem compared to competitive solving techniques, especially in challenging cases of randomly large-sized instances. This will be validated against the standard benchmark systems available in the literature.

In this article, three metaheuristics (NTAA, HSA, and WOA) are developed to solve the non-convex ED problem. The performance of NTAA has been evaluated by conducting new experiments alongside the one that was introduced in [36]. The proposed NTAA is compared to the best solutions obtained by the HSA and WOA through four sets of twenty examples randomly generated (a total of 80 cases). Each set corresponds to a different number of committed units (*i.e.*, $N = 13, 20, 40$ and 60). A two-phase procedure is developed to randomly generate feasible initial solutions. In addition, the proposed algorithm is applied to two sets of numerical experiments based on existing benchmarks and compared to some solving techniques used in the literature.

The article is organized as follows. Section 2, presents the formulation of the non-convex ED problem. Section 3 introduces the proposed NTAA. The mathematical setup of the considered competitive algorithms namely HSA and WOA is provided in Section 4. A complexity analysis on the NTAA as well as HSA and WOA is performed. Section 5, evaluates the performance of the proposed algorithms using standard benchmarks and randomly generated instances with different sizes. Concluding remarks with future research extensions are presented in Section 6.

2. PROBLEM FORMULATION

In this section, the non-convex ED optimization problem is briefly described. The objective of the ED problem is to minimize a total power generation related cost function under multiple constraints. Generally, these constraints are related to intrinsic specifications of each generating unit and the different operation constraints (capacity, line maximum power flow, generation ramp limits, prohibited operation zones, spinning reserve, etc). In the sequel, constraints like power balance, generation limit (capacity), and valve-point loading effect are considered. The objective of the ED problem is to minimize a generation cost function such that

$$\min C_T = \min \left(\sum_{k=1}^N C_k(P_k) \right), \quad (2.1)$$

where C_k is the generation cost of each unit k , C_T is the total cost function, P_k is the power generated by the k th unit, and N is the total number of committed units.

The non-convex cost function $C_k(P_k)$ which describes the valve point loading effect is given by

$$C_k(P_k) = a_k + b_k P_k + c_k P_k^2 + |e_k \sin(f_k \sin(P_{k(\min)} - P_k))|, \quad (2.2)$$

where a_k, b_k, c_k, e_k and f_k are the fuel cost coefficients of each generation unit k and $P_{k(\min)}$ is the minimum power generation capacity for unit k .

(i) *Generation capacity constraint:*

Each unit k has an output varying within the maximum and minimum generation capacities (P_k^{\max} and P_k^{\min}) such that

$$P_k^{\min} \leq P_k \leq P_k^{\max}, \quad k \in N. \quad (2.3)$$

(ii) *Generation-demand equality constraint:*

This constraint implies a balance between the total generated power $\sum_{k=1}^N (P_k)$ and the total active load demand P_A plus the transmission losses P_L such that

$$\sum_{k=1}^N (P_k) = P_A + P_L. \quad (2.4)$$

The transmission losses P_L is given in terms of the *Kron's loss formula* such that

$$P_L = \sum_{i=1}^N \sum_{j=1}^N P_i B_{ij} P_j + \sum_{j=1}^N B_{oj} P_j + B_{oo}. \quad (2.5)$$

where B_{ij} , B_{oj} , and B_{oo} are the transmission loss coefficients.

3. THE NON-LINEAR THRESHOLD ACCEPTING ALGORITHM (NTAA)

The Non-Linear Threshold Accepting Algorithm (NTAA) was initially developed by Nahas and Nourelfath [37]. The idea behind the algorithm is to apply an accepting mechanism based on the transfer function of a low-pass RC -filter. An RC -filter is a passive filter made up of a resistor R in series with a capacitor C (see Fig. 1). The low-pass filter has a cut-off frequency $f_o = 1/(2\pi RC)$. The transfer function of the RC filter is given by

$$G(\omega) = \frac{V_{\text{out}}(\omega)}{V_{\text{in}}(\omega)}, |G(\omega)| = 1/\left(1 + \left(\frac{\omega}{\omega_0}\right)^2\right)^{1/2}, \quad (3.1)$$

where V_{out} is the output signal, V_{in} is the input signal, $\omega = 2\pi f$, and $\omega_0 = 2\pi f_0$.

Thus a flowchart inspired by this concept is introduced in Figure 2.

In NTAA, the solution is represented by a vector V of N elements. Each element $V(k)$, represents the quantity of power generated by the k th unit. To obtain a feasible solution, two main phases are proposed. In the first phase, an initial solution is randomly generated. In the case of an infeasible solution, a second phase is applied to find a feasible solution.

Steps for generating feasible initial solutions:

- Step 1.** Calculate the difference $diff$ between the total of the quantity of power generated by all units (*i.e.*, $\sum_{i=1}^N M(i)$) and the total load demand (*i.e.*, $P_A + P_L$).
- Step 2.** If $diff$ is positive, distribute the quantity $diff$ equally among all units N' (the units satisfy (2.3)).
- Step 3.** If $N' < N$, go to *Step 1*.
- Step 4.** If $diff$ is negative, remove the quantity $|diff|$ equally from all units N' (the units satisfy (2.3)).
- Step 5.** If $N' < N$, go to *Step 1*.
- Step 6.** End.

Detailed steps for both cases (*i.e.* $diff > 0$ and $diff < 0$) are illustrated in Figures 3 and 4.

The neighboring solution is obtained by applying a single move toward the actual solution S , as illustrated by the following steps

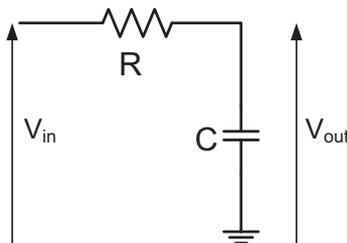


FIGURE 1. RC -filter.

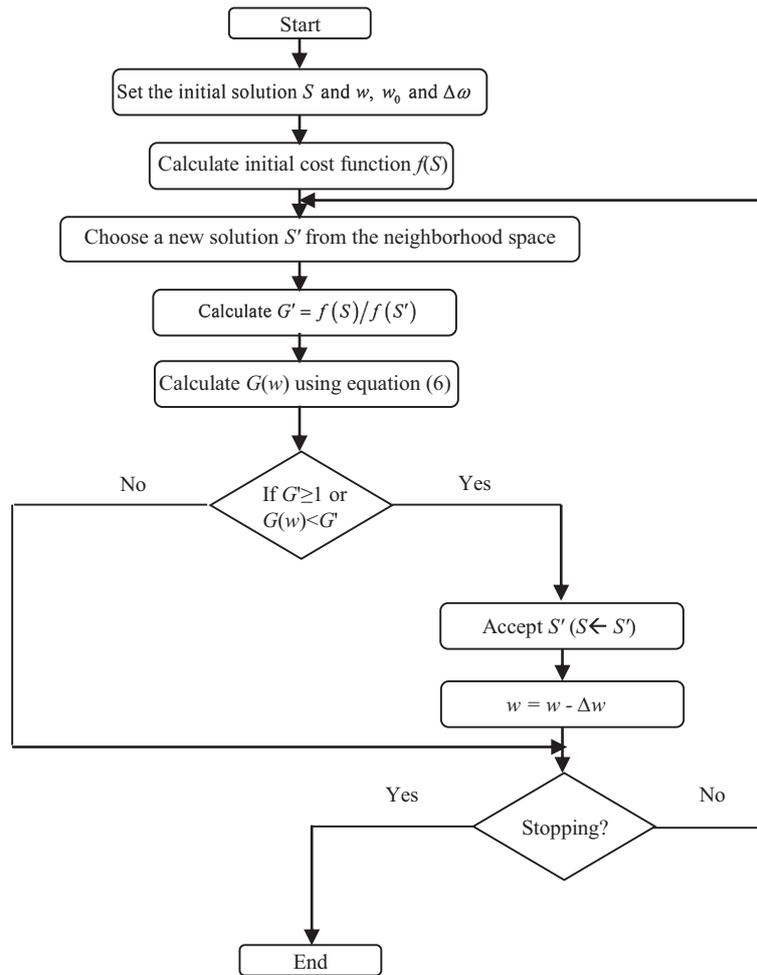


FIGURE 2. Non-linear Threshold algorithm for minimization problems.

Steps for finding the neighboring solution:

Step 1. Choose randomly two generating units g_1 and g_2 .

Step 2. Calculate the difference between the upper limit of the generation capacity for unit g_1 and the actual quantity of power generated g_1 (i.e., $diff = (P_i^{\max} - M(i))$).

Step 3. Set $A = \min(diff, M(g_2))$.

Step 4. Generate randomly a value G between 0 and A .

Step 5. Set $M(g_1) = M(g_1) + G$ and $M(g_2) = M(g_2) - G$.

4. COMPETITIVE ALGORITHMS

4.1. The Harmony Search Algorithm (HSA)

In the sequel, the Harmony Search Algorithm (HSA) is used to validate the performance of the proposed algorithm. The HSA has been developed by Geem *et al.* [12]. It was successfully used to solve the ED problem in the case of combined power and heat generating units by Vasebi *et al.* [46]. The obtained results reveal that

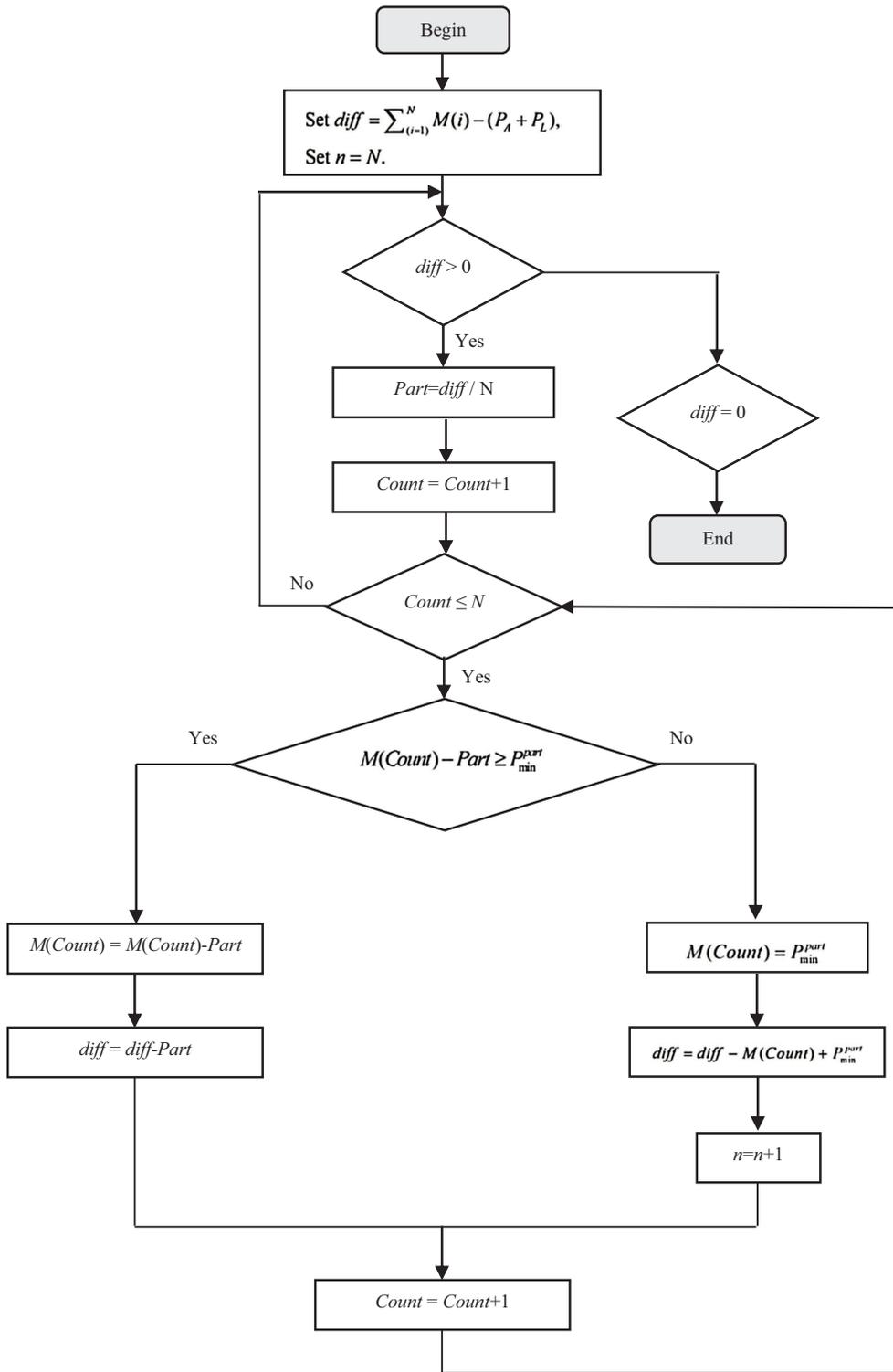


FIGURE 3. Generation of feasible solutions in case of $\sum_{(i=1)}^N M(i) - (P_A + P_L) > 0$.

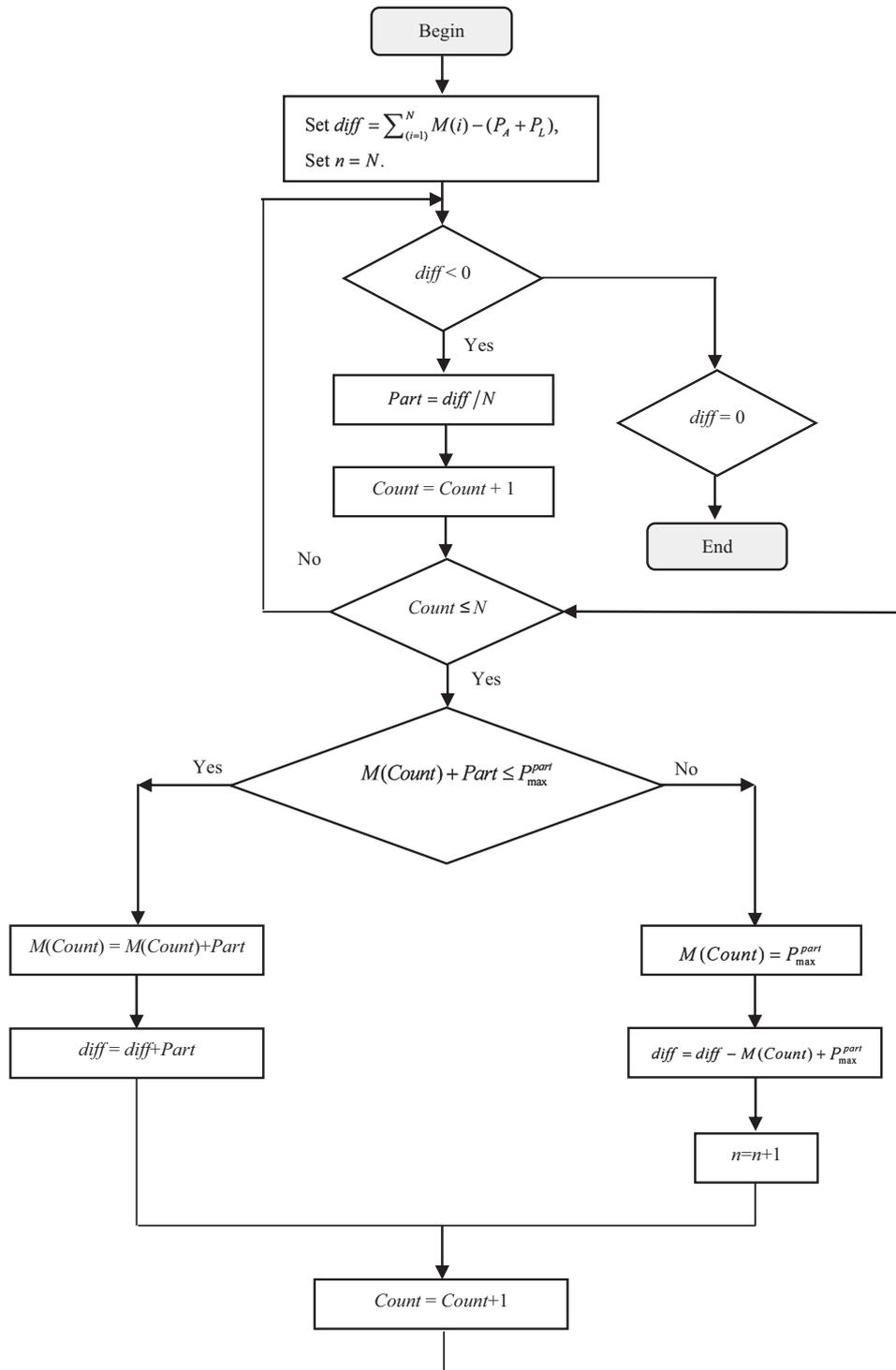


FIGURE 4. Generation of feasible solutions in case of $\sum_{(i=1)}^N M(i) - (P_A + P_L) < 0$.

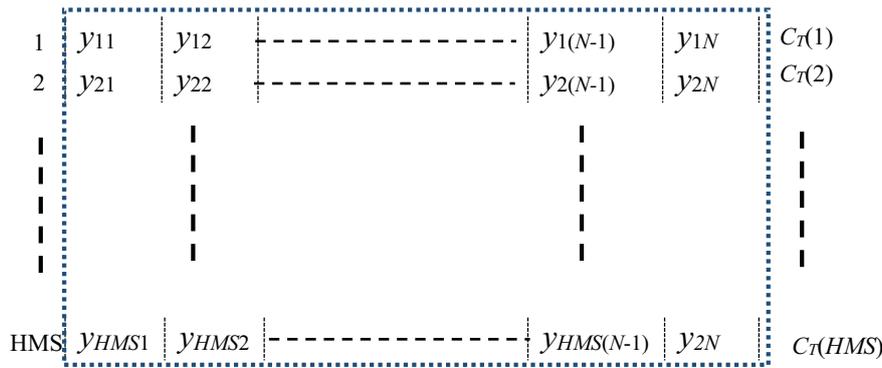


FIGURE 5. General structure of the Harmony memory (HM).

the HSA is able to produce better solutions compared to conventional methods. This makes it a good reference candidate to evaluate the efficiency of a newly proposed algorithm.

Harmony Search Algorithm:

- Step 1.** Initialization of input parameters: Number of generated solutions (HMS), a probability (HMCR), adjusting rate (PAR), and the maximum number of iterations ($Iter_{max}$).
- Step 2.** Initialization of HMS solutions: HMS solutions are randomly generated, and for each solution s ($s = 1, \dots, HMS$), the objective function f_i is evaluated. Figure 5 shows the general structure of the matrix HM containing a set of solutions.
- Step 3.** Construction of a new solution from the population: A new solution $s = (s_1, \dots, s_n)$ is generated from the population with a probability HMCR. Each variable s_i ($i = 1, \dots, n$) is randomly selected from the vector $(y_{1i}, \dots, y_{HMSi})$ of HM.
- Step 4.** If the new solution is feasible and better than the worst solution, include the new solution in HM and exclude the worst solution from HM.
- Step 5.** If stopping criteria are not satisfied, go to *step 2*.

To escape local optima and improve the solutions obtained, the value of each element is modified, with a probability of a control parameter (PAR).

4.1.1. Solution representation

In the proposed algorithm, each row of the matrix HM is composed of N variables. The value of a variable k ($k = 1, \dots, N$) corresponds to the power generated by the k th unit. Figure 5 shows the structure of the HM matrix for the ED problem. Each variable y_{ij} ($i = 1, \dots, HMS; j = 1, \dots, N$) can take any value between p_j^{min} and p_j^{max} .

4.1.2. New solution construction

A new solution $S = \{s_1, s_2, \dots, s_N\}$ is generated as follows:

- The power s_i generated by unit i ($i = 1, \dots, N$), is selected from the column i of HM with a probability HMCR and randomly with a probability of $(1-HMCR)$:
- The new obtained solution is examined to determine whether it should be pitch-adjusted. This action is performed with the PAR rate using the following steps:

Step 1. Choose randomly two generating units g_1 and g_2 .

Step 2. Calculate the difference between the upper limit of the generation capacity for unit g_1 and the actual quantity of power generated g_1 (*i.e.*, $diff = (P_i^{max} - M(i))$).

Step 3. Set $A = \min(\text{diff}, M(g_2))$.

Step 4. Generate randomly a value G between 0 and A .

Step 5. Set $M(g_1) = M(g_1) + G$ and $M(g_2) = M(g_2) - G$.

- If the obtained solution is infeasible, the same procedure proposed for the NTAA (Sect. 3), is applied to overcome this issue.

4.2. The Whale Optimization Algorithm (WOA)

Initially, the Whale Optimization Algorithm (WOA) has been developed by Mirjalili and Lewis [35]. The proposed meta-heuristic optimization technique is inspired by the social behavior of humpback whales based on the bubble-net hunting strategy. The demonstrated efficiency of the WOA in solving large size non-convex mathematical optimization problems compared to existing state-of-the-art meta-heuristic methods encouraged several researchers to apply this algorithm to different optimization problems. The tackled optimization problems considered standard benchmark functions like unimodal, multimodal, fixed-dimension multimodal, and composite functions, as well as classical constrained engineering problems. This ensured the competitiveness behavior of the WOA against the other meta-heuristic methods.

Whale Optimization Algorithm:

The algorithm uses three operators to simulate the search for prey, encircle of the prey, and bubble-net foraging behavior of humpback whales. The procedure begins with the initialization of a set of random solutions. The positions of each search agent toward a randomly chosen search agent or the best solution obtained are updated at each iteration. This behavior is depicted by the following equations:

$$\vec{D} = \left| \vec{C} \cdot \vec{X}^*(t) - \vec{X}(t) \right|, \quad (4.1)$$

$$\vec{X}(t+1) = \vec{X}^*(t) - \vec{A} \cdot \vec{D}, \quad (4.2)$$

where t represents the current iteration. \vec{A} and \vec{C} stand for the coefficient vectors, \vec{X} represents the position vector, and \vec{X}^* its optimal value obtained so far.

The vectors \vec{A} and \vec{C} are obtained as follows:

$$\vec{A} = 2\vec{a} \cdot \vec{r} - \vec{a}, \quad (4.3)$$

$$\vec{C} = 2 \cdot \vec{r}, \quad (4.4)$$

where \vec{a} is linearly decreased from 2 to 0 throughout the different phases of exploration and exploitation. \vec{r} represents a random vector belonging to the interval $[0, 1]$.

The bubble-net behavior of the humpback whales which represents the exploitation phase is modeled using two approaches, namely shrinking encircling mechanism and the spiral updating position mechanism. The behavior of the first mechanism is achieved by decreasing the value of \vec{a} introduced in equation (4.3). For a 2D space, the update of the spiral position is obtained by computing the distance between the whale located at (X, Y) and the prey located at (X^*, Y^*) . Thus, a spiral equation is then created between the position of whale and prey to mimic the helix-shaped movement of humpback whales as follows:

$$\vec{X}(t+1) = \vec{D}' \cdot e^{bl} \cdot \cos(2\pi l) + \vec{X}^*(t), \quad (4.5)$$

where $\vec{D}' = \left| \vec{X}^*(t) - \vec{X}(t) \right|$ represents the distance between the current and the best solution obtained so far, b stands for a constant introduced to define the shape of the logarithmic spiral, and l is a random variable in $[-1, 1]$.

The search for the prey in the exploration phase is based on the same approach. Random values of \vec{A} greater than 1 or less than -1 are considered to expand the searching space by forcing the search agent to move far away from a reference whale to perform a global search. Thus, the position of the search agent is updated according

to a randomly chosen search agent instead of the best search agent found so far. This mechanism is described by the following equations:

$$\vec{D} = \left| \vec{C} \cdot \vec{X}_{rand} - \vec{X} \right|, \quad (4.6)$$

$$\vec{X}(t+1) = \vec{X}_{rand} - \vec{A} \cdot \vec{D}. \quad (4.7)$$

It's assumed that there is a random probability p ($p \in [0, 1]$) which governs the choice between the shrinking encircling mechanism and the spiral model mechanism to update the position of whales during the optimization stage. Therefore, the vector $\vec{X}(t+1)$ is expressed as follows:

$$\vec{X}(t+1) = \begin{cases} \vec{X}^*(t) - \vec{A} \cdot \vec{D} & \text{if } p < 0.5, \\ \vec{D}' \cdot e^{bl} \cdot \cos(2\pi l) + \vec{X}^*(t) & \text{if } p \geq 0.5. \end{cases} \quad (4.8)$$

The algorithm can be summarized according to the following pseudo-code [35]:

Step 1. Initialize the whales population $X_i (i = 1, 2, \dots, n)$

Step 2. Calculate the fitness of each search agent

Step 3. Set X^* = the best search agent

Step 4. *while* ($t < Iter_{max}$ maximum number of iterations)

for each search agent

 Update a , A , C , l , and p

if ($p < 0.5$)

if ($|A| < 1$)

 Update the position of the current search agent using the equation (4.1)

else if ($|A| \geq 1$)

 Select a random search agent (X_{rand})

 Update the position of the current search agent by the equation (4.7)

end if

else if ($p \geq 0.5$) Update the position of the current search by the equation (4.5)

end if

end for

 Check if any search agent goes beyond the search space and amend it

 Calculate the fitness of each search agent

 Update X^* if there is a better solution

 Set $t=t+1$

end while

Step 5. return X^*

A detailed description of the generic version of the WOA and the expression of all sub-components is provided in [35].

4.2.1. Solution representation

As in HSA, the solutions of the n agents are represented by a matrix M . Each row of the matrix M is composed of N variables and each variable y_{ij} ($i = 1, \dots, n; j = 1, \dots, N$) can take any value between p_j^{\min} and p_j^{\max} .

4.2.2. Dealing with non-feasible solutions

After updating the position of the current search, the obtained solution may become infeasible. To deal with the infeasibility issue, the procedure previously proposed in NTAA is applied (Figs. 3 and 4).

5. COMPLEXITY ANALYSIS

Each solving algorithm can be said to exhibit a *growth rate* on the order of a mathematical function relating the input size to the number of fundamental steps represented by the basic operations executed in order to reach an optimal solution. Big O notation is a commonly used measure able to characterize an algorithm complexity in the asymptotic sense. It helps in to estimate the complexity function for randomly large input. In what follows, a complexity analysis is performed to estimate the computational complexity of the proposed algorithm (NTAA) compared to other competitive algorithms namely: WOA and SA.

5.1. Non-Linear Threshold Accepting Algorithm

According to the proposed NTAA, three basic operations are executed to reach an optimal solution:

- (1) Solution initialization: $O(N)$
- (2) Procedure to generate a neighbor solution: $O(1)$
- (3) Procedure to deal with the infeasibility issue: $O(N)$

Procedures 2 and 3 are executed Iter_{\max} iterations. Therefore, the total complexity of NTAA is $(N + \text{Iter}_{\max} \times (1 + N))$ or $O(N \times \text{Iter}_{\max})$.

5.2. Harmony Search Algorithm

In Harmony Search Algorithm (HSA), the basic operations are:

- (1) Harmony population initialization: $O(\text{HMS} \times N)$.
- (2) Procedure to generate a neighbor solution: $O(N)$.
- (3) Procedure to deal with the infeasibility issue: $O(N)$.

Procedures 2 and 3 are executed Iter_{\max} iterations. Therefore, the total complexity of HSA is $(\text{HMS} \times N + \text{Iter}_{\max} \times (N + N))$ or $O(N(\text{HMS} + \text{Iter}_{\max}))$ which can be simplified to $O(N \times \text{Iter}_{\max})$ because Iter_{\max} is much greater than HMS.

5.3. Whale Optimization Algorithm

Four basic operations are mainly used to reach an optimal solution in Whale Optimization Algorithm (WOA):

- (1) Whale population initialization: $O(n \times N)$.
- (2) Procedure to update the whale parameters and the position of the agents: $O(n)$.
- (3) Procedure to deal with the infeasibility issue: $O(n \times N)$.
- (4) Procedure to calculate the objective function: $O(n \times N)$.

Procedures 2–4 are executed Iter_{\max} iterations. Therefore, the total complexity of WOA is $(n \times N + \text{Iter}_{\max}(n + n \times N + n \times N)) = (n \times N + n \times \text{Iter}_{\max}(1 + 2N))$ or $O(n \times N \times \text{Iter}_{\max})$.

According to the performed complexity analysis, we can conclude that both NTAA and HSA are much faster algorithms in term of executed iteration to reach an optimal solution than the WOA.

6. NUMERICAL EXPERIMENTS AND DISCUSSION

The algorithms were implemented using MATLAB software on a PC with a 2GHz processor. In all the numerical experiments, the maximum number of solutions evaluated is fixed to 10^6 .

6.1. Standard case studies

The performance of the proposed algorithms (NTAA, HS, and WOA) is evaluated using two benchmark cases. Preliminary numerical experiments have been conducted to tune the NTAA, HAS, and WOA parameters. After parameters fine-tuning, we found that the most appropriate values are: $1/\omega_0 = 0.25$, $\omega = 800$ and $\Delta\omega = 4 \times 10^{-6}$ for NTAA, $\text{HMS} = 100$, $\text{HMCR} = 0.97$, $\text{PAR} = 0.3$ for HAS, and $n = 10$ for the WOA.

TABLE 1. Parameters for the 13-unit system.

Unit	P_{\min}	P_{\max}	a	b	c	e	f
1	0	680	0.00028	8.1	550	300	0.035
2	0	360	0.00056	8.1	309	200	0.042
3	0	360	0.00056	8.1	307	200	0.042
4	60	180	0.00324	7.74	240	150	0.063
5	60	180	0.00324	7.74	240	150	0.063
6	60	180	0.00324	7.74	240	150	0.063
7	60	180	0.00324	7.74	240	150	0.063
8	60	180	0.00324	7.74	240	150	0.063
9	60	180	0.00324	7.74	240	150	0.063
10	40	120	0.00284	8.6	126	100	0.084
11	40	120	0.00284	8.6	126	100	0.084
12	55	120	0.00284	8.6	126	100	0.084
13	55	120	0.00284	8.6	126	100	0.084

TABLE 2. Comparison of NTAA and HS algorithm with the solutions found in the literature (Case 1).

Unit	HSS	TS	PSO-SQP	WOA	HS	NTAA
1	628.23	628.319	628.3205	628.318	628.318	628.3184
2	299.22	299.1993	299.0524	299.185	299.188	299.1978
3	299.17	331.8975	298.9681	299.184	299.199	299.1991
4	159.12	159.7305	159.4680	159.713	159.733	159.7330
5	159.95	159.7331	159.1429	159.720	159.733	159.7328
6	158.85	159.7306	159.2724	159.730	159.733	159.7328
7	157.26	159.7334	159.5371	159.729	159.733	159.7330
8	159.93	159.7308	158.8522	159.730	159.733	159.7329
9	159.86	159.7316	159.7845	159.726	159.733	159.7331
10	110.78	40.0028	110.9618	77.393	77.353	77.3991
11	75	77.3994	75	77.085	77.304	77.3994
12	60	92.3932	60	90.678	92.304	87.6911
13	92.62	92.3986	91.6401	89.802	97.933	92.3969
Minimum	24 275.71	24 313	24 261.05	24 170.79	24 170.08	24 169.92
Average	–	–	–	24 222.89	24 175.30	24 179.65
Std. Dev.	–	–	–	55.12	13.77	18.95

Case 1: 13-Unit System

In this example, 13 thermal generating units are considered, where the total load demand is 2520 MW. Table 1 shows the parameters of all considered units. The performance of the proposed algorithms (*i.e.* NTAA, HSA and WOA) is compared to those of the Hybrid Stochastic Search Algorithm (HSS) [4], the Tabu Search algorithm (TS) [23], and Hybrid PSO-SQP [47]. Table 2 shows the obtained results after 100 trials. The comparison between the results obtained by HSS, TS, and PSO-SQP and NTAA, HSA and WOA, clearly shows that HS, NTAA, and WOA outperform HSS, TS, and PSO-SQP. We can also notice that the results obtained by WOA and HSA are very close. However, the best solution has been obtained by the proposed NTAA. The convergence curves in Figure 6 show that the NTAA performs better than the HSA. According to the considered example, the convergence is faster, and the quality of the obtained solution is better.

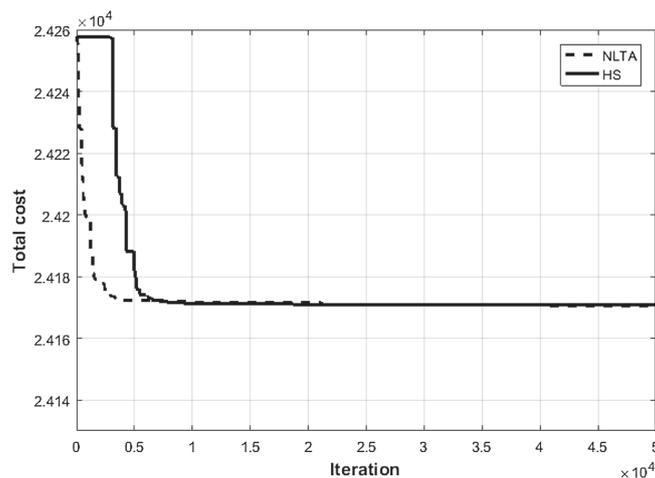


FIGURE 6. Evolution of the solution with NTAA and HS algorithms (Case 1).

TABLE 3. Comparison of NTAA and HS algorithm with the solutions found in literature (Case 2).

Algorithm	Best solution	Average	Std. Dev.
PSO	12 1664.43	12 2209.31	–
BFA	12 1415.65	–	–
BOO	12 1426.95	12 1503.33	–
NTAA	12 1413.75	12 1469.36	70.4
HS	12 1414.88	12 1483.80	33.9
WOA	12 1571.83	12 2044.29	240.3

Case 2: 40-Unit System

The second study case considers 40 generating units [42]. The total active load demand for this system is 10 500 MW. The proposed algorithms (*i.e.*, NTAA, HSA, and WOA) are compared to the best solutions found by Particle Swarm Optimization (PSO) [42], the Bacterial Foraging Algorithm (BFA) [21] and the Biogeography-Based Optimization algorithm (BBO) [5]. Table 3 shows the obtained results.

From Table 3, it can be seen that the NTAA produced a better solution compared to the other considered algorithms, followed by the HSA. The best result obtained by NTAA is summarized in Table 4. The average computation time, for this case, did not exceed 50s. Figure 7 shows the convergence curves of the proposed algorithms. We can notice that the quality of the solution obtained by NTAA is better than that obtained by HSA.

6.2. NTAA robustness

This experiment is performed to illustrate the robustness of the proposed NTAA. The proposed algorithm is compared to HSA as well as WOA through four sets of 20 examples (a total of 80 cases). Each set corresponds to a different number of committed units ($N = 13, 20, 40$ and 60). All parameters (P_{\min} , P_{\max} , a , b , c , e , f , and

TABLE 4. Best solution obtained by NTAA over 10 runs (Case 2).

Unit	NTAA	Unit	NTAA
1	110.7998	21	523.2790
2	110.7995	22	523.2788
3	97.3990	23	523.2793
4	179.7331	24	523.2793
5	87.7998	25	523.2798
6	140	26	523.2793
7	259.6017	27	10
8	284.5995	28	10
9	284.6013	29	10
10	130	30	87.8004
11	94	31	190
12	94	32	190.000
13	214.7584	33	190.000
14	394.2779	34	164.7997
15	394.2784	35	200
16	394.2768	36	194.4996
17	489.2793	37	110
18	489.2793	38	110.0000
19	511.2792	39	110.0000
20	511.2783	40	511.2789
Total cost	121 413.75		

TABLE 5. Comparison results for problems with 13 committed units.

Instance	HS			WOA			NTAA		
	Min	Av.	St.d	Min	Av.	St.d	Min	Av.	St.d
1	32 216.062	32 240.861	20.48	32 222.214	32 318.744	69.01	32 215.932	32 280.036	53.89
2	34 085.599	34 105.600	26.04	34 126.305	34 218.205	69.73	34 085.454	34 106.520	16.54
3	37 032.205	37 055.417	21.07	37 036.440	37 152.315	68.60	37 031.971	37 098.185	55.16
4	31 507.342	31 576.875	45.01	31 534.506	31 717.227	86.25	31 506.965	31 570.919	34.11
5	33 885.891	33 937.916	29.87	33 920.459	34 067.576	77.57	33 882.671	33 930.294	42.72
6	33 585.326	33 725.930	54.66	33 566.050	33 747.124	107.56	33 563.734	33 691.745	70.33
7	35 653.719	35 733.491	47.06	35 664.163	35 782.651	94.42	35 653.701	35 746.374	65.00
8	33 771.904	33 792.004	14.86	33 773.446	33 870.902	60.03	33 770.253	33 810.096	33.49
9	29 692.912	29 700.657	18.35	29 700.234	29 762.663	60.68	29 692.925	29 720.941	34.21
10	37 142.930	37 174.506	22.72	37 175.518	37 253.228	69.88	37 142.940	37 171.454	23.18
11	38 052.169	38 141.256	48.86	38 109.711	38 256.039	79.91	38 051.841	38 105.273	32.79
12	35 741.037	35 786.237	12.64	35 742.77	35 872.422	64.03	35 724.320	35 764.920	32.95
13	34 699.252	34 749.099	24.67	34 701.168	34 769.335	54.37	34 699.251	34 739.126	42.43
14	42 053.991	42 204.351	47.42	42 034.707	42 171.083	83.50	42 028.734	42 142.381	86.03
15	40 750.728	40 792.093	29.14	40 753.368	40 802.524	48.85	40 750.724	40 789.428	26.31
16	32 538.823	32 544.599	6.23	32 546.223	32 615.121	61.88	32 538.812	32 543.562	12.22
17	35 403.220	35 449.594	41.43	35 404.636	35 458.187	62.69	35 403.199	35 457.131	44.87
18	34 979.423	35 043.818	33.37	34 989.152	35 092.073	60.62	34 977.694	35 064.520	44.06
19	33 676.422	33 735.926	34.35	33 734.397	33 844.468	69.22	33 676.315	33 731.791	38.44
20	34 553.319	34 637.113	75.41	34 557.813	34 723.575	82.43	34 553.185	34 611.916	52.10

TABLE 6. Comparison results for problems with 20 committed units.

Instance	HS			WOA			NTAA		
	Min	Av.	St.d	Min	Av.	St.d	Min	Av.	St.d
1	45 473.011	45 503.337	26.56	45 499.608	45 754.211	154.44	45 472.836	45 543.077	65.72
2	49 511.680	49 543.244	31.17	49 553.955	49 801.125	146.86	49 511.572	49 573.065	37.60
3	57 282.831	57 345.406	39.75	57 346.864	57 759.515	198.40	57 252.888	57 329.119	37.50
4	43 277.430	43 310.682	27.03	43 360.282	43 644.268	166.10	43 277.204	43 361.473	58.59
5	49 131.112	49 191.592	41.09	49 203.960	49 450.225	128.33	49 120.941	49 218.672	38.98
6	49 417.372	49 530.158	61.67	49 479.994	49 755.209	154.85	49 431.689	49 518.966	67.22
7	54 193.538	54 235.769	30.14	54 240.489	54 437.684	123.48	54 198.412	54 279.546	44.38
8	51 232.627	51 318.536	45.82	51 427.483	51 682.483	157.67	51 230.563	51 336.025	76.90
9	52 110.510	52 152.694	26.49	52 180.063	52 424.941	126.96	52 110.233	52 185.188	49.79
10	54 781.641	54 836.466	45.39	54 816.188	55 068.671	133.21	54 789.448	54 868.559	52.02
11	53 305.322	53 348.746	33.96	53 351.579	53 604.357	131.85	53 304.523	53 332.752	29.39
12	62 171.398	62 213.611	27.09	62 200.047	62 356.244	94.41	62 177.109	62 238.499	34.66
13	50 835.607	50 891.855	40.78	50 855.701	51 118.152	141.61	50 818.873	50 872.010	43.05
14	53 533.481	53 629.965	56.31	53 646.199	53 965.166	158.70	53 533.273	53 629.845	61.80
15	52 383.154	52 423.492	25.61	52 402.618	52 631.916	120.59	52 382.855	52 445.461	44.52
16	51 553.786	51 603.981	24.75	51 615.427	51 749.652	83.10	51 548.332	51 610.167	29.28
17	51 158.069	51 200.629	26.50	51 355.482	51 588.751	122.14	51 153.623	51 196.123	31.44
18	48 954.456	49 045.394	45.46	49 072.248	49 299.351	117.59	48 954.144	49 042.548	65.46
19	44 669.189	44 769.800	56.04	44 797.844	45 086.064	134.70	44 650.508	44 739.364	55.93
20	62 327.838	62 373.095	24.19	62 369.485	62 726.552	156.14	62 319.761	62 371.124	27.92

TABLE 7. Comparison results for problems with 40 committed units.

Instance	HS			WOA			NTAA		
	Min	Av.	St.d	Min	Av.	St.d	Min	Av.	St.d
1	103 038.128	103 097.892	36.8	103 554.713	104 240.923	313.23	103 038.029	103 098.660	44.60
2	107 434.866	107 485.488	41.8	107 815.070	108 452.458	261.11	107 435.029	107 505.950	65.92
3	108 059.740	108 112.711	45.0	108 535.722	109 156.526	245.32	108 040.848	108 079.570	23.71
4	101 351.642	101 434.918	43.2	102 178.417	102 743.000	289.54	101 353.142	101 455.018	52.88
5	108 403.825	108 453.166	32.0	108 842.095	109 442.285	257.23	108 370.841	108 420.091	27.37
6	105 492.029	105 581.421	47.6	105 978.722	106 482.030	224.26	105 504.982	105 587.389	54.75
7	98 667.364	98 763.686	45.2	98 998.880	99 530.833	227.23	98 682.492	98 765.851	45.11
8	95 597.641	95 639.505	31.2	96 315.384	96 845.372	248.05	95 604.106	95 653.439	29.30
9	111 884.242	111 963.128	56.0	112 288.193	112 867.094	291.26	111 894.751	112 076.946	87.98
10	98 752.567	98 802.292	29.9	99 341.181	99 979.992	275.03	98 751.708	98 812.583	35.32
11	104 198.503	104 244.967	27.9	104 571.692	105 301.470	340.46	104 191.976	104 241.968	24.55
12	96 638.322	96 689.019	25.9	97 024.417	97 714.530	267.22	96 644.666	96 696.078	34.54
13	96 670.137	96 783.109	53.2	97 227.180	97 775.241	255.76	96 668.997	96 768.486	58.40
14	95 636.854	95 775.240	67.9	96 406.231	96 974.135	323.54	95 621.900	95 746.512	75.21
15	99 873.937	99 936.546	38.3	100 513.994	101 291.268	344.05	99 881.778	99 942.525	40.17
16	106 547.428	106 629.736	51.2	107 249.980	107 720.768	273.47	106 546.276	106 621.348	47.35
17	112 189.605	112 327.625	62.0	112 954.597	113 479.016	287.13	112 155.060	112 261.186	68.27
18	91 438.024	91 575.935	63.2	92 424.343	92 935.283	311.69	91 394.134	91 526.157	72.32
19	101 367.193	101 430.029	39.3	101 803.939	102 357.738	242.52	101 356.928	101 407.572	31.43
20	115 587.727	115 683.169	58.9	115 837.214	116 463.020	275.06	115 579.941	115 651.357	41.63

TABLE 8. Comparison results for problems with 60 committed units.

Instance	HS			WOA			NTAA		
	Min	Av.	St.d	Min	Av.	St.d	Min	Av.	St.d
1	159 058.040	159 258.443	103.8	160 376.053	161 320.086	395.77	159 030.017	159 085.579	34.47
2	166 988.657	167 072.368	56.0	168 340.770	169 332.803	450.16	166 983.588	167 048.189	33.44
3	154 704.071	154 805.385	55.2	156 314.358	157 270.183	411.11	154 737.260	154 831.514	48.09
4	173 181.800	173 361.950	97.8	174 601.453	175 277.589	481.27	173 165.442	173 289.964	81.80
5	138 419.302	138 490.622	37.1	139 794.882	140 738.966	416.72	138 419.852	138 480.948	33.17
6	146 340.777	146 461.146	59.3	147 973.624	149 121.424	472.51	146 345.366	146 452.595	58.66
7	156 784.185	156 882.159	47.2	158 075.421	159 049.754	354.69	156 783.195	156 845.344	33.23
8	142 266.232	142 337.105	53.9	143 541.652	144 484.856	393.75	142 262.558	142 303.730	35.42
9	160 069.099	160 174.159	53.1	161 850.923	162 704.157	385.91	160 034.278	160 092.416	28.48
10	149 718.719	149 822.871	61.8	151 457.356	152 652.161	493.25	149 714.841	149 780.530	44.28
11	161 155.818	161 308.461	77.0	163 201.473	164 199.963	448.25	161 145.313	161 207.535	39.92
12	134 983.959	135 103.838	58.2	136 898.690	137 698.847	373.65	134 933.866	134 995.472	46.98
13	143 140.223	143 214.556	44.5	144 718.086	145 788.325	429.92	143 130.905	143 196.634	56.57
14	150 249.160	150 342.229	47.1	151 950.494	152 811.099	347.24	150 233.278	150 287.898	30.44
15	159 129.653	159 112.606	53.7	160 088.783	160 847.371	330.58	158 988.123	159 054.601	42.87
16	163 343.860	163 490.457	70.9	165 129.987	165 926.348	397.62	163 332.185	163 427.034	58.73
17	155 807.637	155 917.182	44.0	157 543.975	158 293.804	372.98	155 813.182	155 860.161	21.75
18	161 094.474	161 325.405	88.2	162 386.425	163 110.532	350.98	161 038.494	161 224.784	99.24
19	172 400.835	172 562.651	82.3	173 650.873	174 373.452	310.61	172 395.237	172 460.358	60.85
20	163 167.248	163 270.749	64.8	164 327.945	165 204.733	348.47	163 125.292	163 205.741	48.23

L) of these instances are randomly generated as follows:

$$\begin{aligned}
P_{\min}^i &\in [0, 60], & \forall i = 1, \dots, N \\
P_{\max}^i &\in [120, 600], & \forall i = 1, \dots, N \\
a_i &= 0.0002 + \text{rand}_3 \times 0.0002, & \forall i = 1, \dots, N \\
b_i &= 6 + \text{round}[\text{rand}_2 * 3], & \forall i = 1, \dots, N \\
c_i &= \text{round}[0.80 \times P_{\max}^i] + \text{round}[\text{rand}_1 \times (0.3 \times P_{\max}^i)], & \forall i = 1, \dots, N \\
e_i &= 100 + \text{round}[\text{rand}_3 \times 200], & \forall i = 1, \dots, N \\
f_i &= 0.03 + \text{rand}_4 \times 0.06, & \forall i = 1, \dots, N \\
L &\in \left[0.75 * \sum_{i=1}^N P_{\max}^i, 0.95 * \sum_{i=1}^N P_{\max}^i \right]
\end{aligned}$$

where round (.) returns the nearest integer to the real number and $\text{rand}_1, \text{rand}_2, \text{rand}_3,$ and rand_4 are random numbers generated from the uniform distribution on the interval $[0, 1]$.

We used the same parameters of test instances used in case 1. By running the algorithms without further tuning on the 80 test instances, we avoid any parameters over-fitting. The proposed algorithms are evaluated in terms of solution quality. For each instance, 100 trials are performed.

Tables 5–8 show the best, the average, and the standard deviation results obtained by the NTAA, the HSA, and the WOA. It can be observed that:

- For the 13-units system, the NTAA achieved the best results in 19 out of the 20 test cases.
- For the 20-units system, the NTAA achieved the best results in 15 out of the 20 test cases.
- For systems composed of 40 and 60 units, the NTAA outperformed the HSA and the WOA in 12 and 15 cases respectively.
- The computational time of performing the NTAA is low compared to that of performing the HSA. It did not exceed 15 s for large instances.

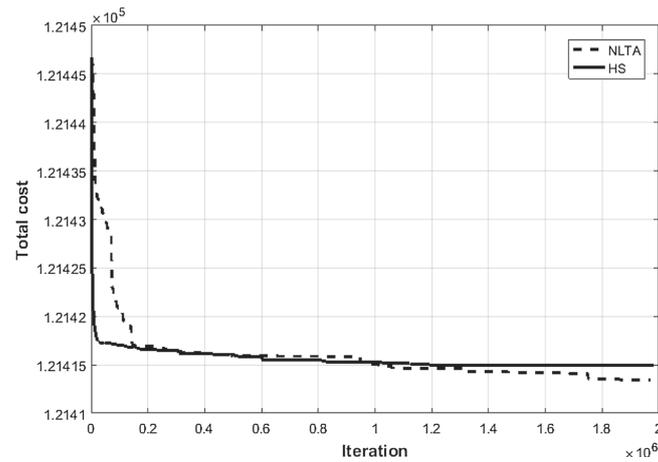


FIGURE 7. Evolution of the solution with NTAA and HS algorithms (Case 2).

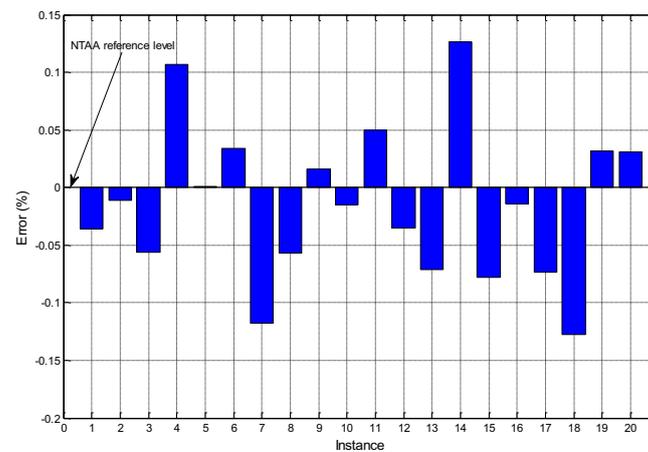


FIGURE 8. Comparing HS and NTAA algorithms for $N = 13$.

- For all the considered instances with different sizes, the WOA produced low-quality solutions compared to the NTAA and HSA.
- The standard deviation over 100 runs of the NTAA and HSA, is relatively low compared to WOA for all the test instances as shown in Tables 5–8. The average objective function values for each instance are very close to the best solutions, especially for the NTAA. This result demonstrates the robustness of the proposed NTAA.

It has been observed for these randomly generated instances that the NTAA outperforms the Harmony Search Algorithm (HSA) as well as the Whale Optimization Algorithm (WOA) in term of the mean value of the total cost. The HSA provided competitive results when compared to WOA.

Therefore, let's consider NTAA as a reference level and let us define the average percentage errors. The average percentage error is based on the relative difference between the reference level (NTAA) and the most

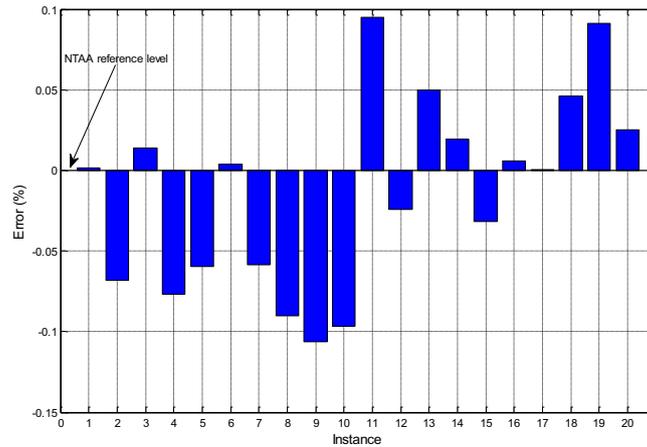


FIGURE 9. Comparing HS and NTAA algorithms for $N = 20$.

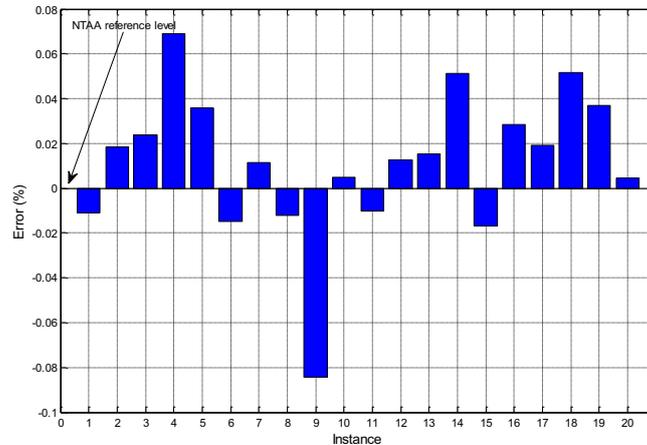


FIGURE 10. Comparing HS and NTAA algorithms for $N = 40$.

promising algorithm (HSA) and can be expressed as follows:

$$\text{Average error (\%)} = \frac{\text{Mean (Total cost HSA)} - \text{Mean (Total cost NTAA)}}{\text{Mean (Total cost NTAA)}} \times 100, \tag{6.1}$$

where $\text{Mean (Total cost NTAA)}$ is the mean total cost value obtained by the NTAA and $\text{Mean (Total cost HSA)}$ is the mean total cost value obtained by the HSA.

Figures 8–11 show the average errors obtained by the HSA relative to NTAA. We can notice that the NTAA outperforms the HSA, especially for large size instances ($N = 20$, $N = 40$, and $N = 60$).

7. CONCLUSION

In this article, a Non-Linear-Threshold-Accepting function based algorithm has been introduced to solve the non-convex economic dispatch problem subject to generation and active load demand constraints. The considered acceptance rule is inspired by the low-pass RC-filter frequently used to reduce the amplitude of signals with

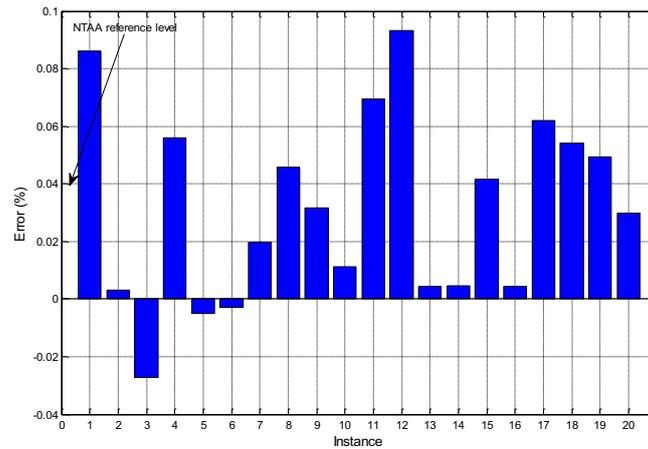


FIGURE 11. Comparing HS and NTAA algorithms for $N = 60$.

higher frequencies. A two-phase procedure is developed to randomly generate feasible initial solutions. The introduced Non-Linear Threshold Accepting Algorithm is applied to two sets of numerical experiments based on existing standard benchmarks. The obtained results are compared with several efficient solving techniques, namely Hybrid Stochastic Search, Tabu Search, and Hybrid PSO-SQP algorithms. Also, the proposed procedure is compared to the Harmony Search Algorithm and the Whale Optimization Algorithm for various sets of randomly generated instances with different numbers of committed units. The obtained results revealed the superiority of the proposed NTAA in finding, for all considered instances, a high-quality solution in lower computational time, outperforming other algorithms in the majority of the cases, even for large-sized instances problem.

Several future research extensions can be investigated. One extension is to explore the efficiency of the NTAA in solving the non-convex ED problem where multiple-fuel options are considered. Another extension is to investigate the robustness of the proposed algorithm in the case where the reliability of each power generation unit is an optimization factor.

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