

## CLIQUE-CONNECTING FOREST AND STABLE SET POLYTOPES

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**Abstract.** Let  $G = (V, E)$  be a simple undirected graph. A forest  $F \subseteq E$  of  $G$  is said to be *clique-connecting* if each tree of  $F$  spans a clique of  $G$ . This paper addresses the clique-connecting forest polytope. First we give a formulation and a polynomial time separation algorithm. Then we show that the nontrivial nondegenerate facets of the stable set polytope are facets of the clique-connecting polytope. Finally we introduce a family of rank inequalities which are facets, and which generalize the clique inequalities.

**Keywords.** Graph, polytope, separation, facet.

**Mathematics Subject Classification.** 05C15, 90C09.

### 1. INTRODUCTION

Let  $G = (V, E)$  be a simple undirected graph (without loop or multiple edge). A *stable set* of  $G$  is a subset of pairwise nonadjacent vertices, the *stable set polytope* of  $G$  is the convex-hull of the characteristics vectors (in  $\{0, 1\}^V$ ) of the stable sets of  $G$ . This polytope has been extensively studied in literature (see *e.g.* [11]).

A subset of edges  $F \subseteq E$  is called a *forest* of  $G$  if the number  $k$  of the connected components of the partial subgraph  $(V, F)$  of  $G$  satisfies  $|F| + k = |V|$ . (Note that some components of  $(V, F)$  may be isolated vertices.) In other words,  $F$  is a forest of  $G$  if and only if  $|F(U)| \leq |U| - 1$  for every nonempty subset of vertices  $U \subseteq V$  (where  $F(U)$  denotes the subset of the edges of  $F$  with both vertices in  $U$ ). Given a weight vector  $c \in \mathbb{Z}^E$  associated to the edges of  $G$ , several well-known greedy

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algorithms (*e.g.* Kruskal, Prim, see [11]) find a forest  $F$  maximizing its weight  $c(F) = \sum_{e \in F} c_e$  in polynomial time. The *forest polytope* of  $G$  is the convex-hull of the characteristic vectors (in  $\{0, 1\}^E$ ) of the forests of  $G$ . Edmonds [5] showed that for any graph  $G$ , its forest polytope is described by the following system of linear inequalities:

$$0 \leq x_e \leq 1 \text{ for } e \in E, \quad (1)$$

$$x(E(U)) \leq |U| - 1 \text{ for nonempty } U \subseteq V. \quad (2)$$

Optimizing over (1)–(2) is, given a vector  $c \in \mathbb{Q}^E$ , to determine  $\max cx$  over  $x \in \mathbb{Q}^E$  satisfying (1)–(2). Separating over (1)–(2) is, given a vector  $\bar{x} \in \mathbb{Q}^E$ , to decide if  $\bar{x}$  satisfies (1)–(2) or to find a constraint violated by  $\bar{x}$ . The greedy algorithms together with the result by Edmonds show that we can optimize over (1)–(2) in polynomial time (see *e.g.* [11]). A consequence of the optimization-separation theorem by [6] is that separating over (1)–(2) can be done in polynomial time. Independently, Picard and Queyranne [9] and Padberg and Wolsey [8] gave a polynomial combinatorial algorithm separating (1)–(2).

A *matching* of  $G$  is a subset of pairwise disjoint edges. After giving a combinatorial algorithm finding a matching optimizing any linear function, Edmonds [4] showed that the matching polytope is described by the following system of linear inequalities:

$$x_e \geq 0 \text{ for } e \in E, \quad (3)$$

$$x(\delta(v)) \leq 1 \text{ for } v \in V, \quad (4)$$

$$2x(E(U)) \leq |U| - 1 \text{ for odd cardinality } U \subseteq V. \quad (5)$$

(Where, as usual,  $\delta(v)$  is the set of the edges of  $G$  incident with the vertex  $v$ .)

A *clique* of  $G$  is a subset of vertices any two of which are adjacent. Determining the minimum number of cliques in a partition of  $V$  into cliques of  $G$  is NP-hard, see *e.g.* [11] (it is equivalent to the graph coloring problem). A forest  $F \subseteq E$  of  $G = (V, E)$  is said to be *clique-connecting* if each tree of  $F$  spans a clique of  $G$ , that is, if each connected component of the partial subgraph  $(V, F)$  of  $G$  induces a complete subgraph of  $G$ . Clique-connecting stars are considered in [1] for the representative formulation of graph coloring. It is also used, with additional restrictions, in [2] to show a one-to-one correspondence between the colorings of  $G$  and the stable sets of  $\tilde{G}$ , where  $\tilde{G}$  is a partial subgraph of the line graph of the complementary  $\overline{G}$  of  $G$ .

This paper addresses the *clique-connecting forest polytope* of  $G$ , that is the convex-hull of the incidence vectors of the clique-connecting forests of  $G$ . A first motivation for studying that polytope is that it is a “coloring polytope”, in the sense that optimizing  $1^T x$  over it is equivalent to determining the chromatic number [2]. A second motivation for studying the clique-connecting forest polytope is that it lays between two well described polytopes. Indeed, it is obvious that the forest polytope of  $G$  contains the clique-connecting forest polytope

of  $G$ . Furthermore, since any matching of  $G$  is a clique-connecting forest of  $G$ , the clique-connecting forest polytope contains the matching polytope.

In this paper, we give a formulation for the clique-connecting forest polytope. That is, we define a polyhedron the integer vectors of which are precisely the characteristic vectors of the clique-connecting forests. The number of linear inequalities defining the polyhedron may be exponential with respect to the size of  $G$ , but we give a polynomial time combinatorial algorithm separating over them. Consequently, by [6], there is a polynomial time algorithm optimizing over the polyhedron.

Then we study the facial structure of the polytope. We show that every non-trivial nondegenerate facet of the stable set polytope corresponds to a facet of the clique-connecting forest polytope. Furthermore, we give a set of rank facets of the clique-connecting forest polytope which cannot be deduced from facets of the stable set polytope. These facets, called the *K-complete set inequalities*, are associated with each (not necessarily maximal) clique  $K$  and they generalize the clique facets.

## 2. FORMULATION AND SEPARATION

Let  $G = (V, E)$  be a graph. For each edge subset  $F \subseteq E$  the characteristic vector of  $F$  is the vector  $x \in \{0, 1\}^E$  such that for each  $e \in E$ , then  $x_e = 1$  if  $e \in F$ , and  $x_e = 0$  if  $e \notin F$ . We claim that an integer vector  $x \in \mathbb{Z}^E$  is the characteristic vector of a clique-connecting forest of  $G$  if and only if  $x$  satisfies:

$$0 \leq x_e \leq 1 \quad \text{for each } e \in E, \tag{6}$$

$$x(E(U)) \leq |U| - \begin{cases} 1 & \text{if } U \text{ is a clique of } G \\ 2 & \text{otherwise} \end{cases} \quad \text{for nonempty } U \subseteq V. \tag{7}$$

To see sufficiency, first observe that if  $x$  satisfies (6)–(7) then it satisfies (1)–(2). Hence, since  $x$  is integer, it is the characteristic vector of a forest  $F$  of  $G$ . Now if  $U$  is the vertex set of a connected component of  $F$ , then  $x(E(U)) = |U| - 1$ . Since  $x(E(U)) \leq |U| - 2$  for each subset  $U \subseteq V$  which is **not** a clique of  $G$ , hence  $U$  is a clique of  $G$ . It follows that  $F$  is clique-connecting. To see necessity, let  $x$  be the characteristic vector of a clique-connecting forest  $F$  of  $G$ . Clearly,  $x$  is integer and satisfies (1)–(2). Given a subset  $U \subseteq V$  which is not a clique of  $G$ , then no tree of  $F$  spans  $U$ . It follows that  $|F(U)| \leq |U| - 2$ , and hence  $x$  satisfies (6)–(7).

Now let us consider the polyhedron defined by the vectors of  $\mathbb{R}^E$  satisfying (6)–(7). We claim that one can optimize over that polyhedron in a polynomial time. By [6] we only need to solve in polynomial time the separation problem which can be stated as follows:

**Separation problem.** Given  $\bar{x} \in \mathbb{Q}_+^E$ , decide if  $\bar{x}$  satisfies (6)–(7), and if not, find an inequality violated by  $\bar{x}$ .

One can adapt the proof in [8,9] for the separation problem associated with (1)–(2) in order to prove that separating over (6)–(7) can be done in a polynomial time.

The proof of [8,9] is based on Theorem 2.1 below. For the sake of completeness we give a proof (similar to that by Schrijver in [11], Vol. B, p. 880). For any graph  $G = (V, E)$  and  $U \subseteq V$ , let  $\delta_G(U)$  be the cut composed by the edges of  $G$  incident with one vertex in  $U$  and the other vertex in  $V \setminus U$  outside.

**Theorem 2.1** (Rhys [10]). *Given a graph  $G = (V, E)$ , two vectors  $x \in \mathbb{Q}_+^E$  and  $y \in \mathbb{Q}^V$ , and a subset  $S \subseteq V$ , we can find in a strongly polynomial time a set  $U$  with  $S \subseteq U \subseteq V$  minimizing  $x(\delta_G(U)) + y(U)$ .*

*Proof.* We transform the graph  $G$  into a graph  $H$  by the following operations: First we extend the graph by two new vertices  $s$  and  $t$ , by new edges  $\{s, v\}$  for each  $v \in V$  with  $y_v > 0$ , and by new edges  $\{v, t\}$  for each  $v \in V$  with  $y_v < 0$ , so we obtain a new graph with edge set  $\tilde{E}$ ; Then we replace the vertices in  $S \cup \{s\}$  by the vertex  $s$ , that is, we contract  $S \cup \{s\}$  (we can assume that the loops are deleted). For each  $e \in E$  define the capacity  $c_e$  of  $e$  by  $c_e := x_e$ . For each  $\{s, v\} \in \tilde{E} \setminus E$  define the capacity  $c_e$  of  $e$  by  $c_e := y_v$ . For each  $\{v, t\} \in \tilde{E} \setminus E$  define the capacity  $c_e$  of  $e$  by  $c_e := |y_v|$ . Then one has for any  $U \subseteq V \setminus S$ :

$$\begin{aligned} c(\delta_H(U \cup \{t\})) &= x(\delta_G(U)) + \sum_{v \in U: y_v > 0} y_v + \sum_{v \in V \setminus U: y_v < 0} |y_v| \\ &= x(\delta_G(U)) + y(U) + \sum_{v \in V: y_v < 0} |y_v|. \end{aligned}$$

Since  $\sum_{v \in V: y_v < 0} |y_v|$  is a constant, it follows that minimizing  $x(\delta_G(U)) + y(U)$  reduces to finding a minimum-capacity cut separating  $s$  and  $t$  in  $H$ ; which can be done in a strongly polynomial time with a max-flow algorithm (see e.g. [11]).  $\square$

For the sake of completeness we give a proof (similar to that by Schrijver in [11], Vol. B, p. 881) of the corollary below.

**Corollary 2.2** [8,9]. *Given a graph  $G = (V, E)$  and a vector  $x \in \mathbb{Q}_+^E$ , we can decide if  $x$  satisfies (1)–(2) (that is, if  $x$  belongs to the forest polytope of  $G$ ), and if not, find the most violated inequality among (1)–(2), in strongly polynomial time.*

*Proof.* We can assume that  $x$  satisfies (1). Define  $y_v := 2 - x(\delta(\{v\}))$  for  $v \in V$ . Then  $2(x(E(U)) - |U|) = -x(\delta(U)) - y(U)$ . So any set  $U \subseteq V$ , such that  $U$  contains a given vertex  $u$ , minimizing  $x(\delta(U)) + y(U)$ , maximizes  $x(E(U)) - |U|$ . By Theorem 2.1 (with  $S = \{u\}$ ), we can find such a  $U$  in polynomial time. Hence, by finding  $|V|$  such sets, one for each  $u \in V$ , we can assume that  $U$  is a nonempty subset of  $V$  maximizing  $x(E(U)) - |U|$ . If  $x(E(U)) - |U| \leq -1$ , then  $x$  satisfies (2), and otherwise  $U$  gives a most violated inequality.  $\square$

Now we can state Corollary 2.3 below, which implies that optimizing over (6)–(7) is polynomial.

**Corollary 2.3.** *The separation problem for (6)–(7) can be solved in polynomial time.*

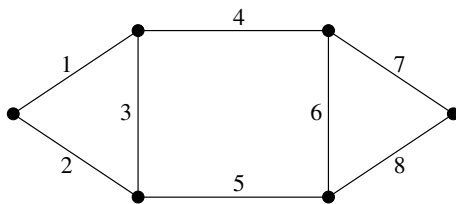


FIGURE 1. A graph  $G = (V, E)$  with  $E = \{1, 2, 3, 4, 5, 6, 7\}$ .

*Proof.* By Corollary 2.2, we can assume that  $x$  satisfies (1)–(2). Hence we only need to separate

$$x(E(U)) \leq |U| - 2 \quad \text{for each subset } U \subseteq V \text{ which is not a clique of } G. \quad (8)$$

Let  $\mathcal{S}$  be the set of the stable sets of  $G$  with cardinality 2. Note that the cardinality of  $\mathcal{S}$  is polynomial. As in Corollary 2.2, we define  $y_v := 2 - x(\delta(\{v\}))$  for  $v \in V$ . So, for any  $S \in \mathcal{S}$ , any set  $U$  with  $S \subseteq U \subseteq V$  minimizing  $x(\delta(U)) + y(U)$  maximizes  $x(E(U)) - |U|$ . By Theorem 2.1, we can find such a  $U$  in polynomial time. By finding  $|\mathcal{S}|$  such sets, one for each  $S \in \mathcal{S}$ , we can assume that  $U$  is a nonempty subset of  $V$  maximizing  $x(E(U)) - |U|$ . If  $x(E(U)) - |U| \leq -2$ , then  $x$  satisfies (8), and otherwise  $U$  gives a violated inequality.  $\square$

### 3. FACETS

In this section we focus on the vectors of the polyhedron (6)–(7) which are not in the clique-connecting polytope of  $G$ . Our aim is to find new *valid* inequalities, that is, cutting some of these vectors out of the polyhedron but keeping the vectors of the polytope in the polyhedron.

First we remark that if each component of  $G$  is a complete graph, then the clique-connecting forests of  $G$  are the forests of  $G$ , so (6)–(7) is equal to (1)–(2) and it describes the clique-connecting forest polytope of  $G$ . Furthermore, we remark that if  $G$  has no triangle, then the clique-connecting forests of  $G$  are the matchings of  $G$  and so the polytope is described by (3)–(5). Finally, we note that the clique-connecting polytope is full-dimensional, since it contains the matching polytope.

Now let us consider for instance the graph of Figure 1.

Assume that the edges 4 and 5 have a weight  $c_4 = c_5 = 3$ , and that the other edges have a weight 2. It is easily seen that the maximum of  $cx$  over  $x$  in the clique-connecting forest polytope is 8 (the maximum is obtained by a forest with 4 edges with weight 2). However the maximum of  $cx$  over  $x$  satisfying (6)–(7) is strictly greater since the vector  $\bar{x}$  with  $\bar{x}_e = 1/2$  for each  $e \in E$  satisfies (6)–(7) and it gives  $c\bar{x} = 9$ . Now let us give a description of the clique-connecting forest polytope of the graph of Figure 1. It has 18 facets each of which is defined by one

of the 18 linear inequalities below:

$$\begin{array}{rcccccccc}
x_1, & x_2, & x_3, & x_4, & x_5, & x_6, & x_7, & x_8 & \geq & 0 \\
x_1 & & & +x_4 & & & & & & \leq & 1 \\
& x_2 & & & +x_5 & & & & & \leq & 1 \\
& & x_3 & +x_4 & & & & & & \leq & 1 \\
& & x_3 & & +x_5 & & & & & \leq & 1 \\
& & & x_4 & & +x_6 & & & & \leq & 1 \\
& & & x_4 & & & +x_7 & & & \leq & 1 \\
& & & & x_5 & +x_6 & & & & \leq & 1 \\
& & & & x_5 & & & +x_8 & \leq & 1 \\
x_1 + x_2 + x_3 + x_4 + x_5 & & & & & & & & & \leq & 2 \\
& & & x_4 + x_5 + x_6 + x_7 + x_8 & & & & & & \leq & 2.
\end{array}$$

(To see this first note that the 18 inequalities are valid and that they imply (6)–(7). So, one must check that each vector satisfying at least 8 linearly independant inequalities with equality among the 18 is integer.) Of course the vector  $\bar{x}$  with components 1/2 does not belong to the polytope. Indeed, it violates the last two constraints with right-hand-side 2. (The other constraints are of type (6)–(7).) One can already remark that the inequalities of type  $x_e \geq 0$ , namely the nonnegativity constraints, always define facets. Indeed, these are already facets of the forest polytope and of the matching polytope. To make it even clearer, the vector  $x = 0$  together with the vectors  $x_f$  with all components 0 except  $\bar{x}_f = 1$  for  $f \in E \setminus \{e\}$  form  $|E|$  affinely independant vectors in the clique-connecting forest polytope such that  $x_e = 0$ .

In a general context, a valid inequality with 0-1 coefficients and integer right-and-side (up to multiplying by a scalar) is called a *rank inequality*. For instances, (2) for the forest polytope, and (4)–(5) for the matching polytope. A rank inequality with right-and-side 1 is called a *clique inequality*. A clique inequality is said to be *maximal* if it is not dominated by another clique inequality. Very often, *e.g.* the stable set polytope [7], the maximal clique inequalities define facets. In contrast, a rank inequality generally does not define a facet even if it is dominated by no other rank inequality. For instance for the clique-connecting forest polytope of the graph of Figure 1:  $\sum_{i=1}^{i=8} x_i \leq 4$ . Futhermore, of course, the rank inequalities does not describe the polytope in general, *e.g.* the wheel inequalities are non-rank facets of the stable set polytope [3].

In the following we enlight a strong link between the clique-connecting forest polytope and the stable set polytope.

For any vertex  $u$  of  $G$ , let  $N(u)$  be the set of the vertices in  $V$  adjacent with  $u$ . For any  $F \subseteq \delta(u)$ , we let  $N_F(u)$  denotes the set of the vertices in  $N(u)$  incident with an edge in  $F$ . For any  $U \subseteq V$ , let  $G_U$  be the subgraph of  $G$  induced by the vertices in  $U$ . As usual,  $\overline{G}$  is the complementary graph of  $G$ . It is not hard to see that this lemma holds:

**Lemma 3.1.** *For any vertex  $u$  of  $G$ ,  $F \subseteq \delta(u)$  is a clique-connecting forest of  $G$  if and only if  $N_F(u)$  is a clique of  $G_{N(u)}$ , that is, a stable set of  $\overline{G}_{N(u)}$ .*

Note now that, given a vertex  $u$ , there is a natural one-to-one correspondence between the vectors in  $\mathbb{R}^{\delta(u)}$  and the vectors in  $\mathbb{R}^{N(u)}$ . It follows from the above lemma that a vector  $x \in \mathbb{R}^E$  with  $x_e = 0$  for each  $e \in E \setminus \delta(u)$  is in the clique-connecting forest polytope of  $G$  if and only if its corresponding vector in  $\mathbb{R}^{N(u)}$  is in the stable set polytope of  $\overline{G}_{N(u)}$ . A facet of a polytope is called *trivial* if it has at most one nonzero coefficient. For instance the trivial facets of the stable set polytope are those of the form  $x_v \geq 0$  or, if  $v$  is isolated,  $x_v \leq 1$ . Suppose that  $G$  is composed of tree vertices  $u, v, w$  and two edges  $uv, vw$ . Then the facets of the clique-connecting forest polytope of  $G$  corresponds to the stable set polytope of  $\overline{G}_{N(v)}$  but not to the the facets of the stable set polytope of  $\overline{G}_{N(u)}$ . Indeed,  $x_v \leq 1$  is a trivial facet of this polytope but  $x_{uv} \leq 1$  is not a facet of the clique-connecting forest polytope. Suppose now that  $G$  is the graph of Figure 1. One can observe that each of the eight facets with two nonzero coefficients of the clique-connecting forest polytope is one of the two nontrivial facets of the stable set polytope of  $\overline{G}_{N(u)}$ , where  $u$  is one of the four degree 3 vertices.

Let us define one classe of facets:

**Definition 3.2.** Let  $G = (V, E)$  be a graph and let  $\sum_{u \in V} a_u x_u \leq \alpha$  be an inequality which defines a nontrivial facet of the stable set polytope of  $G$ . If there exists two nonadjacent vertices  $v, w$  of  $G$  such that every stable set  $S$  with  $\sum_{u \in S} a_u = \alpha$  contains either  $v$  or  $w$ , then the facet is called *degenerate*.

Note that classical nontrivial facets, namely the clique inequalities, the odd-cycle inequalities and the wheel inequalities, are nondegenerate. Actually the following problem is open:

**Open problem 1.** Is there a graph the stable set polytope of which has degenerate facets?

Theorem 3.4 below shows that for each vertex  $v$ , each nontrivial and nondegenerate facet of the stable set polytope of  $\overline{G}_{N(v)}$  is a facet of the clique-connecting forest polytope. In order to prove the theorem we need the following lemma.

**Lemma 3.3.** Let  $G = (V, E)$  be a graph and let  $\sum_{v \in V} a_v x_v \leq \alpha$  be an inequality which defines a nontrivial and nondegenerate facet of the stable set polytope of  $G$ . Then

- (i) For any vertex  $v$  of  $G$ , there exists a stable set of  $G$  whose incidence vector is in the facet, which does not contains  $v$ .
- (ii) For any nonadjacent vertices  $v$  and  $w$  of  $G$ , there exists a stable set of  $G$  which contains neither  $v$  nor  $w$ , and the incidence vector of which is in the facet.

*Proof.* (i) If every stable set whose incidence vector is in the facet contains the vertex  $v$ , then the facet is included in the hyperplan  $x_v = 1$ . Since the stable set polytope is full-dimensional, it follows that  $\sum_{v \in V} a_v x_v \leq \alpha$  is equivalent to the trivial valid inequality  $x_v \leq 1$ , leading to a contradiction.

(ii) Trivial since the facet is nondegenerate. □

Now we can prove Theorem 3.4.

**Theorem 3.4.** *Let  $u$  be a vertex of  $G$ , and let us denote each edge  $wv$  in  $\delta(u)$  by  $e_v$ . If an inequality  $\sum_{v \in N(u)} a_v x_v \leq \alpha$  defines a nontrivial and nondegenerate facet of the stable set polytope of  $\overline{G}_{N(u)}$ , then the corresponding inequality*

$$\sum_{e_v \in \delta(u)} a_v x_{e_v} \leq \alpha \quad (9)$$

*defines a facet of the clique-connecting forest polytope of  $G$ .*

*Proof.* By Lemma 3.1, (9) is valid for the clique-connecting forest polytope. We only need to show that there are  $|E|$  affinely independent vectors  $x$  in the clique-connecting forest polytope satisfying (9) with equality. There are already  $d := |\delta(u)|$  such vectors, since  $\sum_{v \in N(u)} a_v x_v \leq \alpha$  defines a facet of the stable set polytope of  $\overline{G}_{N(u)}$ , which is full-dimensional. We can take the  $d$  vectors in  $\{0, 1\}^{\delta(u)}$  and we let  $F_1, \dots, F_d \subseteq \delta(u)$  be the corresponding clique-connecting forests. Now let  $e \in E \setminus \delta(u)$ . We claim that there is some  $i \in \{1, \dots, d\}$  such that  $F_i \cup \{e\}$  is a clique-connecting forest. If the claim holds, it makes  $|E|$  affinely independent vectors satisfying (9) with equality and the proof is done. If  $e$  is incident with no vertex in  $N(u)$  the claim is clearly true. If  $e$  is incident with one vertex  $v$  in  $N(u)$ , by Lemma 3.3(i), we can assume that there is a  $F_i$  which does not contain  $e_v$ . Hence  $F_i \cup \{e\}$  is a clique-connecting forest. We can suppose now that  $e$  is incident with two vertices  $v$  and  $w$  in  $N(u)$ . By Lemma 3.3(ii), there is a  $F_i$  which contains neither  $e_v$  nor  $e_w$ . Hence  $F_i \cup \{e\}$  is a clique-connecting forest. Hence the claim holds, and then the theorem is true also.  $\square$

Our aim for the rest of the paper is to present a family of rank inequalities defining facets of the clique-connecting forest polytope and which are not facet of the stable set polytope of  $\overline{G}_{N(u)}$  for some vertex  $u$ . The *rank* of a subset of edges  $E'$  of  $G$ , denoted by  $r(E')$ , is equal to the maximum cardinality of a clique-connecting forest  $F$  of  $G$  such that  $F \subseteq E'$ . So, a rank inequality for the clique-connecting forest polytope of  $G$  is an inequality  $x(E') \leq r(E')$  for some  $E' \subseteq E$ . Let us identify briefly the most natural ones. First, it is not hard to see that a subset of edges  $E'$  of  $G$  has rank 1 if and only if it is an induced star of  $G$  (that is, there is a stable set  $\{v_1, \dots, v_{|E'|}\}$  of  $G$  and a vertex  $u$  such that  $E' = \{uv_i : i = 1, \dots, |E'|\}$ ). Second, for every  $v \in V$ , the rank of  $\delta(u)$  is equal to the maximum cardinality of a clique of  $G_{N(u)}$ . Finally, for every  $U \subseteq V$ , then  $r(E(U)) = |U| - \overline{\chi}(G_U)$ , where  $\overline{\chi}(G_U)$  is the minimum number of cliques in a clique partition of  $G_U$ . In the following we present a family of rank inequalities associated with edge subsets which are not necessarily of the form  $\delta(u)$  for some  $u \in V$  or of the form  $E(U)$  for some  $U \subseteq V$ . The form of these subsets is described in the definition below:

**Definition 3.5.** Let  $K \subseteq V$  be a clique of  $G$  with at least two vertices and let  $E(K)$  be the set of the edges of  $K$ . A subset  $Q \subseteq E$  containing  $E(K)$  is said to be a  *$K$ -complete set of  $G$*  if it is an inclusionwise maximal subset such that:

- (i) Every edge in  $Q$  is incident with a node in  $K$ .



- (ii) If there exist two edges in  $Q \setminus E(K)$  incident to a same vertex in  $K$ , then the other extremities of the two edges are nonadjacent in  $G$ .
- (iii) For every edge in  $Q$  with one vertex  $v \notin K$ , there exists at least one vertex  $u \in K$  which is incident to no edge in  $Q \setminus E(K)$  and such that  $u$  and  $v$  are nonadjacent in  $G$ .

The *complete set inequalities* are

$$x(Q) \leq |K| - 1 \quad \text{for every clique } K \text{ with } |K| > 1 \text{ and every } K\text{-complete set } Q. \quad (10)$$

Notice that the  $K$ -clique-complete sets with  $|K| = 2$  are precisely the maximal induced stars. So, the complete set inequalities generalize the clique inequalities.

**Example 3.6.** For the clique-connecting forest polytope of the graph of Figure 1, the complete set inequalities are precisely the 10 facets with non-zero right-hand-side.

Proposition 3.7 below states the main property of  $K$ -complete sets, which asserts that the complete set inequalities are valid.

**Proposition 3.7.** *Let  $K$  be a clique with at least two vertices and let  $Q \subseteq E$  be a subset with  $E(K) \subseteq Q$ . Then  $r(Q) = |K| - 1$  if and only if  $Q$  satisfies (i)–(iii) of Definition 3.5.*

*Proof. Necessity.* Suppose  $r(Q) = |K| - 1$ . Assume that there is an edge  $e \in Q \setminus E(K)$  disjoint from  $K$ . Taking a tree  $T$  spanning  $K$ , we have a clique-connecting forest  $T \cup \{e\} \subseteq Q$ . So  $r(Q) > |K| - 1$ , which is impossible; hence  $Q$  satisfies (i). Suppose that there are two edges  $e, f \in Q \setminus E(K)$  incident to a same vertex  $w$  of  $K$  whose extremities are adjacent in  $G$ . Taking a tree  $T'$  spanning  $K \setminus \{w\}$ , we have a clique-connecting forest  $T' \cup \{e, f\} \subseteq Q$ . Again impossible, hence  $Q$  satisfies (ii). Now let  $e \in Q \setminus E(K)$  be incident to  $v \notin K$ . Let  $K'$  be the set of the vertices of  $K$  which are not adjacent with  $v$ . If every vertex of  $K'$  is incident with an edge in  $Q \setminus E(K)$ , then taking  $e$ , taking a tree spanning  $K \setminus K'$  and taking one edge in  $Q \setminus E(K)$  for each vertex in  $K'$ , one finds a clique-connecting forest with  $|K|$  edges. This is a contradiction, hence  $Q$  satisfies (iii).

*Sufficiency.* Suppose that  $Q$  satisfies the three properties of Definition 3.5. Let  $F$  be a clique-connecting forest of  $G$  such that  $F \subseteq Q$  with  $|F|$  maximum, so  $r(Q) = |F|$ . Since  $K$  is a clique, then  $|F| \geq |K| - 1$ . To show that  $|F| \leq |K| - 1$ , we assume that  $F$  has been chosen with  $|F \cap E(K)|$  maximum, and we only have to prove that  $F \setminus E(K)$  is empty. Suppose on the contrary that there is an edge  $vw \in F \setminus E(K)$ . By (i) we can assume that  $w \in K$  (and  $v \notin K$ ). By (iii) there is a vertex  $u \in K$  which is not adjacent with  $v$  and which is incident with no edge in  $Q \setminus E(K)$ . Since  $u$  and  $v$  are not adjacent, no path in  $F$  links  $u$  and  $w$ , and in particular  $uw \in E(K) \setminus F$ . Since  $|F \cap E(K)|$  is maximal, then  $(F \setminus \{vw\}) \cup \{uw\}$  is not a clique-connecting forest. It follows, since  $u$  is incident with no edge in  $F \setminus E(K)$ , that there is an edge  $wv' \in F \setminus E(K)$  (where  $v' \notin K$  is not adjacent with  $u$ ). But then  $v$  and  $v'$  are adjacent; this contradicts (ii).  $\square$

**Corollary 3.8.** *Let  $K$  be a clique with at least two vertices. Then  $Q$  is an inclusionwise maximal subset containing  $E(K)$  with rank  $|K| - 1$  if and only if it is a  $K$ -complete set.*

A consequence of the corollary is that no complete set inequalities is dominated by another rank inequality. Now we can state the main result of the section.

**Theorem 3.9.** *Let  $G$  be a graph. Each complete set inequality (10) defines a facet of the clique-connecting forest polytope of  $G$*

*Proof.* Let  $K$  be a clique of  $G$  with at least two vertices and  $Q$  a  $K$ -complete set. Since by Proposition 3.7,  $r(Q) = |K| - 1$ , then inequality (10) is valid. To see that (10) determines a facet, let  $\sum_{e \in E} a_e x_e = \beta$  be satisfied by all  $x$  in the clique-connecting forest polytope of  $G$  with  $x(Q) = |K| - 1$ . We only need to prove that  $\sum_{e \in E} a_e x_e = \beta$  is some multiple of  $x(Q) = |K| - 1$ . So  $a(F) := \sum_{e \in F} a_e = \beta$  for each clique-connecting forest  $F$  with  $|F \cap Q| = |K| - 1$ . If  $|K| = 2$ , there is a clique-connecting forest  $\{e\}$  where  $e$  is the unique edge in  $E(K)$ , then  $a_e = \beta$ . Otherwise let  $e_1, e_2$  be two distinct edges in  $E(K)$ . Since  $K$  is a clique, we can assume that there exists two trees spanning  $K$ , say  $T_1$  and  $T_2$ , such that  $T_1 \setminus T_2 = e_1$  and  $T_2 \setminus T_1 = e_2$ . Then  $a(T_1) - a(T_2) = a_{e_1} - a_{e_2} = \beta - \beta = 0$ . It follows that  $a_e = \beta / (|K| - 1)$  for every  $e \in E(K)$ . (If  $|K| = 2$  this still holds.) Now let  $e \in Q \setminus E(K)$ . Since every edge in  $Q$  is incident to at least one node in  $K$ , we can suppose that  $e = vw$  with  $w \in K$ . Let  $T$  be a spanning tree of  $K \setminus \{w\}$ . Since  $F = T \cup \{e\}$  is a clique-connecting forest such that  $|F \cap Q| = |K| - 1$ ,  $a(F) = a(T) + a_e = \beta$ . Since  $a(T) = (|K| - 2) \times \beta / (|K| - 1)$ , then  $a_e = \beta / (|K| - 1)$ . Also,  $a_f = 0$  for each  $f \in E \setminus Q$ . Indeed, since by Corollary 3.8,  $Q$  is maximal, then  $r(Q \cup \{f\}) = r(Q) + 1$ , and then there is a clique-connecting forest  $F$  such that  $F \setminus Q = \{f\}$  and  $|F \cap Q| = |K| - 1$ , and hence  $a(F) = \beta = a(F \setminus \{f\})$ . So  $a_f = 0$ . In conclusion,  $\sum_{e \in E} a_e x_e = \beta$  is some multiple of  $x(Q) = |K| - 1$ , and hence (10) define facets.  $\square$

As it is implied by the proposition below, unsurprisingly, one cannot separate the complete set inequalities.

**Proposition 3.10.** *It is NP-complete to separating the clique inequalities.*

*Proof.* Recall that  $x(Q) \leq 1$  is a clique inequality if and only if  $Q$  is a maximal induced star of  $G$ . Hence if we could separate the clique inequalities in polynomial time, we could decide if  $G$  contains an induced star with a weight  $> 1$  with respect to a weight function  $x$ . In particular we could find an induced star with maximum cardinality in polynomial time. Suppose that we want to find a stable set with maximum cardinality in a graph  $H$ . It suffices to finding an induced star with maximum cardinality in the graph obtained from  $H$  by adding a (universal) vertex adjacent to all the other vertices of  $H$ . Since finding a maximum cardinality stable set is NP-hard, it follows that separating the clique inequalities is NP-hard.  $\square$

#### 4. CONCLUSION

We have studied a coloring polytope, namely the clique-connecting forest polytope, and we have showed its link with the stable set polytope. In light of the 1-to-1 correspondence in [2], this is not too surprising to have a strong link between these two polytopes. An open problem raises naturally concerning the clique-connecting polytope: Does this polytope have other rank facets than the ones mentioned in the present paper? Also, concerning the stable set polytope, we raised one open problem: Can it have degenerate facet? Where a “degenerate facet” is a nontrivial one with a pair of nonadjacent vertices intersecting every stable set saturating the facet.

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