

## $(S_3, S_6)$ -Amalgams VI.

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### Introduction.

In this, the penultimate part of [LPR1], we analyse Case 3 (as described in [Section 12; [LPR1]). Our main result, Theorem 14.1, asserts that Case 3 does not occur. Since  $\text{core}_{G_\alpha} V_\beta$  is so small, the core argument is, at times, especially deadly. Consequently we often end up in situations, where the subgroups we are interested in have trivial action on all the non-central  $G_\delta$ -chief factors in  $Q_\delta$  for many vertices  $\delta \in O(S_3)$ . This is especially unfortunate since, as Lemma 15.1 shows,  $U_\delta$  (for  $\delta \in O(S_3)$ ) possesses at least four non-central  $G_\delta$ -chief factors. In fact the proof of Theorem 14.1 hinges upon overcoming just this type of situation. A significant step in dealing with this problem is made in Lemmas 16.3 and 16.4. It follows from these lemmas that there exists a critical pair  $(\alpha, \alpha')$  and  $\rho \in \mathcal{A}(\alpha') \setminus \{\alpha' - 1\}$  for which  $F_\alpha \cap Q_{\alpha'} \not\leq Q_\rho$ . The group  $F_\gamma$  and its accomplice  $H_\delta$  (where  $\gamma \in O(S_3)$  and  $\delta \in O(S_6)$ ) are defined in Section 14. These groups are “small” enough so as they fix many vertices yet are “large” from the point of view of the non-central chief factors they contain. Before the groups  $F_\gamma$  and  $H_\delta$  can be successfully deployed we need to restrict the structure of the critical pairs in  $\Gamma$ . This we do in Section 15. Section 14, apart from defining  $F_\gamma$  and  $H_\delta$ , is concerned with eliminating the possibility  $b = 3$ .

Finally, as before we continue the section numbering in [LPR1] and note that this paper only refers to results and notation contained in sections 1, 2 and 12.

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**14.  $b = 3$  and the groups  $T_{\delta\gamma}$ .**

Throughout this paper the following hypothesis is assumed to hold.

**HYPOTHESIS 14.0.** (i) *For each  $(\alpha, \alpha') \in \mathcal{C}$  we have  $\alpha \in O(S_3)$  and  $[Z_\alpha, Z_{\alpha'}] = 1$ ; and*

$$(ii) \text{ core}_{G_\alpha} V_\beta = V_\beta \cap V_{\alpha-1} = Z_\alpha.$$

Our objective is to show that

**THEOREM 14.1.** *Hypothesis 14.0 cannot hold.*

Before tackling the case  $b = 3$  we give some notation and define the groups  $T_{\delta\gamma}$ .

For  $\delta \in O(S_6)$  we set

$$L_\delta := O^2(G_\delta),$$

$$Y_\delta := C_{V_\delta}(L_\delta) \quad \text{and}$$

$$C_\delta := C_{Q_\delta}(V_\delta).$$

We recall from Theorem 12.1 that for  $\delta \in O(S_6)$ ,  $\eta(G_\delta, V_\delta) = 1$  and  $V_\delta/Z_\delta$  is a quotient of  $\begin{pmatrix} 4 \\ 1 \end{pmatrix} \oplus 1$ . Let  $\gamma \in \mathcal{A}(\delta)$ . If  $V_\delta/Z_\delta \cong 4$ , then we define

$$T_{\delta\gamma} := [V_\delta, Q_\gamma, Q_\gamma];$$

otherwise we define  $T_{\delta\gamma}$  to be a normal fours subgroup of  $G_{\delta\gamma}$  with

$$Z_\delta < T_{\delta\gamma} \leq Y_\delta.$$

Next we describe two groups which play a crucial role later in this section and in Section 16.

$$F_\gamma := \langle T_{\delta\gamma}^{G_\gamma} \rangle$$

$$H_\delta := \langle F_\gamma^{G_\delta} \rangle$$

The groups  $F_\gamma$  and  $H_\delta$  are similar to the  $F_\alpha$  and  $H_\beta$  defined in Section 12. (Indeed if  $|Y_\delta| = 2^2$ , then they are the same.) We will need a result analogous to Lemma 12.5.

LEMMA 14.2. *Let  $(\alpha, \alpha') \in \mathcal{C}$ . Then*

- (i)  $\eta(G_\alpha, F_\alpha) = 2$  with  $Z_\alpha = [F_\alpha, Q_\alpha]$  and  $|F_\alpha/Z_\alpha| \in \{2^2, 2^3\}$ ; and
- (ii)  $V_\beta \not\cong V_\beta F_\alpha \not\cong H_\beta$  and  $\eta(G_\beta, H_\beta/V_\beta) \geq 1$ .

PROOF. First we observe that if  $V_\beta/Z_\beta \cong 4$ , then  $Z_\alpha \leq T_{\beta\alpha}$ . While, if  $V_\beta/Z_\beta \not\cong 4$ , then  $Z_\alpha \cap T_{\beta\alpha} = Z_\beta$  by Lemma 1.1(ii). Hence  $T_{\beta\alpha}Z_\alpha \cong E(2^3)$  in either case. Since  $\Omega_1(Z(Q_\alpha)) = Z_\alpha$  by Lemma 12.2(i) and  $V_\beta \leq Q_\alpha$ ,  $1 \neq [T_{\beta\alpha}, Q_\alpha] \leq Z_\alpha$  and hence  $[F_\alpha, Q_\alpha] = Z_\alpha$ . Because  $Z_\alpha = \text{core}_{G_\alpha} V_\beta$  we clearly have  $F_\alpha \not\cong T_{\beta\alpha}Z_\alpha$  and now (i) follows.

Since  $[V_\beta, Q_\beta] = Z_\beta$ , (i) implies that  $V_\beta \not\cong V_\beta F_\alpha \leq H_\beta$ . Also, from (i) and Lemma 12.2(ii),  $2^2 \leq |[F_\alpha, Q_\beta]| \leq 2^3$ . Now suppose that  $\eta(G_\beta, H_\beta/V_\beta) = 0$ . Then  $H_\beta = V_\beta F_\alpha$ . Hence  $K_\beta := [H_\beta, Q_\beta] = [V_\beta, Q_\beta][F_\alpha, Q_\beta] = [F_\alpha, Q_\beta] \trianglelefteq G_\beta$ . Since  $|K_\beta| \leq 2^3$ ,  $[K_\beta, L_\beta] = 1$  and so  $Z_\alpha \cap K_\beta = Z_\beta$ . Therefore  $[F_\alpha, Q_\alpha \cap Q_\beta] \leq [F_\alpha, Q_\alpha] \cap K_\beta = Z_\alpha \cap K_\beta = Z_\beta$ . Hence

$$[H_\beta, Q_\alpha \cap Q_\beta] = [V_\beta, Q_\alpha \cap Q_\beta][F_\alpha, Q_\alpha \cap Q_\beta] \leq Z_\beta$$

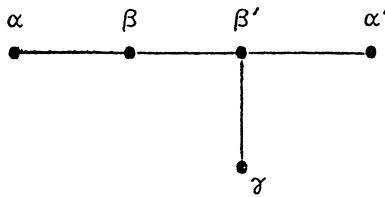
and so  $Q_\alpha \cap Q_\beta \leq C_{Q_\beta}(H_\beta/Z_\beta)$ . Since  $|K_\beta| \geq 2^2$ , we deduce that  $Q_\alpha \cap Q_\beta = C_{Q_\beta}(H_\beta/Z_\beta) \trianglelefteq G_\beta$ , contradicting Lemma 12.4(i). This proves (ii).

And now to the main business of this section.

THEOREM 14.3.  $b \geq 5$ .

We suppose the theorem is false and, in the following lemmas, seek a contradiction. So, by Lemma 11.1(iii),  $b = 3$ .

For  $(\alpha, \alpha') \in \mathcal{C}$  we label vertices as indicated.



So  $\Delta(\beta') = \{\beta, \gamma, \alpha'\}$ .

LEMMA 14.4. *Let  $(\alpha, \alpha') \in \mathcal{C}$ .*

- (i)  $V_\beta/Z_\beta \cong 4$  or  $\begin{pmatrix} 4 \\ 1 \end{pmatrix}$ .
- (ii)  $R := [V_\beta, V_{\alpha'}] = Z_\gamma$ .
- (iii) *There exists  $\rho \in \Delta(\alpha') \setminus \{\beta'\}$  such that  $(\rho, \beta) \in \mathcal{C}$ .*

(iv)  $Z_\alpha Q_{\alpha'}/Q_{\alpha'} = V_\beta Q_{\alpha'}/Q_{\alpha'}$  is the central transvection of  $G_{\beta'\alpha'}/Q_{\alpha'}$  on  $V_{\alpha'}/Z_{\alpha'}$  and  $Z_\rho Q_\beta/Q_\beta = V_{\alpha'} Q_\beta/Q_\beta$  is the central transvection of  $G_{\beta\beta'}/Q_\beta$  on  $V_\beta/Z_\beta$ .

(v)  $C_{V_\beta}(V_{\alpha'}) = [V_\beta, Q_{\beta'}] = V_\beta \cap Q_{\alpha'} \leq_2 V_\beta$  and

$$C_{V_{\alpha'}}(V_\beta) = [V_{\alpha'}, Q_{\beta'}] = V_{\alpha'} \cap Q_\beta \leq_2 V_{\alpha'}.$$

(vi) There exists  $\delta \in \mathcal{A}(\beta)$  such that  $(\delta, \alpha') \in \mathcal{C}$  and

$$\langle G_{\delta\beta}, V_{\alpha'} \rangle = G_\beta.$$

PROOF. First we note that

$$1 \neq [Z_\alpha, V_{\alpha'}] \leq R := [V_\beta, V_{\alpha'}] \leq V_\beta \cap V_{\alpha'} = Z_{\beta'}.$$

Since  $R$  is  $G_{\beta'\gamma}$ -invariant,  $Z_\gamma \leq R$  whence  $V_{\alpha'} \not\leq Q_\beta$  and so there exists  $\rho \in \mathcal{A}(\alpha') \setminus \{\beta'\}$  such that  $(\rho, \beta) \in \mathcal{C}$ . Also we have  $Z_{\beta'} R = Z_\beta R \leq [V_\beta, G_\beta]$  and therefore  $V_\beta = [V_\beta, G_\beta]$ . So (i) holds. Further,  $RY_{\alpha'}/Y_{\alpha'} = Z_{\beta'} Y_{\alpha'}/Y_{\alpha'} = C_{V_{\alpha'}/Y_{\alpha'}}(G_{\beta'\alpha'})$  together with Proposition 2.5(iii) yields that  $Z_\alpha Q_{\alpha'}/Q_{\alpha'} = V_\beta Q_{\alpha'}/Q_{\alpha'}$  is the central transvection of  $G_{\beta'\alpha'}/Q_{\alpha'}$  on  $V_{\alpha'}/Z_{\alpha'}$  proving the first part of (iv). The second part of (iv) follows similarly.

Because  $Q_{\beta'} Q_\beta = G_{\beta\beta'}$  and  $V_\beta \cap Q_{\alpha'}$  is  $Q_{\beta'}$ -invariant with, by (iv),  $Z_\beta \leq V_\beta \cap Q_{\alpha'} \leq_2 V_\beta$  we infer that  $V_\beta \cap Q_{\alpha'} = [V_\beta, G_{\beta\beta'}]$ . Then, by (iv),  $[V_\beta \cap Q_{\alpha'}, V_{\alpha'}] \leq Z_\beta \cap Z_{\alpha'} = 1$  and thus  $C_{V_\beta}(V_{\alpha'}) = V_\beta \cap Q_{\alpha'} = [V_\beta, Q_{\beta'}]$  with a symmetric statement with  $\beta$  and  $\alpha'$  interchanged, so we have (v). From (v)  $V_{\alpha'}$  acts upon  $V_\beta$  as an involution and a transvection and so  $|R| = 2$ . Thus  $R = Z_\gamma$ .

Since  $V_{\alpha'} \not\leq Q_\beta$ , by Proposition 2.8(viii) we may choose  $\delta \in \mathcal{A}(\beta)$  such that  $\langle G_{\delta\beta}, V_{\alpha'} \rangle = G_\beta$ . If  $Z_\delta \leq Q_{\alpha'}$ , then  $Z_\delta \leq V_\beta \cap Q_{\alpha'}$  and so  $[Z_\delta, V_{\alpha'}] = 1$  by (iv). But then  $Z_\delta \triangleleft G_\beta$ , a contradiction. Hence  $(\delta, \alpha') \in \mathcal{C}$ , as required.

In view of Lemma 14.4(vi) we may, and shall, assume that  $(\alpha, \alpha')$  is a fixed critical pair for which  $\langle G_{\alpha\beta}, V_{\alpha'} \rangle = G_\beta$ . Also we set  $J_\beta = \langle [U_\alpha, Q_\alpha]^{G_\beta} \rangle$ .

LEMMA 14.5.

- (i)  $[U_\alpha, Q_\alpha] \leq Z(U_\alpha)$ .
- (ii)  $V_\beta \leq H_\beta \leq J_\beta \leq C_\beta \leq G_{\alpha'}$  and  $H_{\alpha'} \leq C_{\alpha'} \leq G_\beta$ .
- (iii)  $[F_\alpha, V_{\alpha'} \cap Q_\beta] = Z_\beta$ .

PROOF. From Lemma 14.4(v)  $V_\beta \cap Q_{\alpha'} = [V_\beta, Q_{\beta'}] = C_{V_\beta}(V_{\alpha'})$ , and  $Q_\beta \not\leq Q_{\beta'}$  by Lemma 12.2(ii). Therefore, since  $[V_\beta, Q_{\beta'}]$  is  $Q_\beta$ -invariant we get  $V_\beta \cap Q_{\alpha'} = C_{V_\beta}(V_{\alpha'})$  and thus  $V_\beta \cap Q_{\alpha'} \leq Z(U_{\beta'})$ . This in turn

implies that

$$Z(U_{\beta'}) \geq \langle [V_\beta, Q_{\beta'}]^{G_{\beta'}} \rangle = [U_{\beta'}, Q_{\beta'}],$$

from which (i) follows.

Appealing to Lemma 14.4(i) and Proposition 2.5(i) gives  $T_{\beta\alpha} \leq [V_\beta, Q_\alpha]$  and hence  $F_\alpha \leq [U_\alpha, Q_\alpha]$ . Consequently  $H_\beta = \langle F_\alpha^{G_\beta} \rangle \leq \langle [U_\alpha, Q_\alpha]^{G_\beta} \rangle = J_\beta$ . By part (i)  $[U_\alpha, Q_\alpha] \leq C_\beta$  whence  $J_\beta \leq C_\beta$ . Evidently  $C_\beta \leq Q_{\beta'} \leq G_{\alpha'}$  and it is also clear that we have  $H_{\alpha'} \leq C_{\alpha'} \leq G_\beta$ .

Now we prove (iii). By Lemma 14.4(v)  $V_{\alpha'} \cap Q_\beta$  centralizes  $V_\beta$  and so  $V_{\alpha'} \cap Q_\beta \leq Q_\alpha$ . Hence  $[F_\alpha, V_{\alpha'} \cap Q_\beta] \leq [F_\alpha, Q_\alpha] = Z_\alpha$  by Lemma 14.2(i). Since  $F_\alpha \leq G_{\alpha'}$  by (ii), we then get  $[F_\alpha, V_{\alpha'} \cap Q_\beta] \leq Z_\alpha \cap V_{\alpha'} = Z_\beta$ . If  $[F_\alpha, V_{\alpha'} \cap Q_\beta] = 1$ , then  $F_\alpha$  centralizes a hyperplane of  $V_{\alpha'}$  and so  $F_\alpha Q_{\alpha'} = Z_\alpha Q_{\alpha'} = V_\beta Q_{\alpha'}$ . Hence, using Lemma 14.4(ii),

$$[F_\alpha, V_{\alpha'}] \leq Z_{\alpha'} [V_\beta, V_{\alpha'}] = Z_{\alpha'} Z_\gamma = Z_{\beta'} \leq V_\beta.$$

But then  $V_\beta F_\alpha$  is normalized by  $\langle G_{\alpha\beta}, V_{\alpha'} \rangle = G_\beta$  by the choice of the critical pair, against Lemma 14.2(ii). This shows that  $[F_\alpha, V_{\alpha'} \cap Q_\beta] = Z_\beta$ .

LEMMA 14.6.  $V_\beta/Z_\beta \cong 4$ . In particular,  $T_{\beta\alpha} = [V_\beta, Q_\alpha, Q_\alpha]$  and  $F_\alpha = [U_\alpha, Q_\alpha, Q_\alpha]$ .

PROOF. Assume that  $V_\beta/Z_\beta \cong \begin{pmatrix} 4 \\ 1 \end{pmatrix}$ , and set  $\Delta(\alpha) = \{\lambda, \mu, \beta\}$ . Then by definition  $T_{\beta\alpha} = Y_\beta \cong E(2^2)$  and  $F_\alpha = \langle Y_\lambda, Y_\mu, Y_\beta \rangle$ . Put  $X = V_{\alpha'} \cap Q_\beta$ . By Lemma 14.4(v)  $X$  centralizes  $V_\beta$  and so  $X \leq Q_\alpha \leq G_\lambda$ . Therefore  $[X, Y_\lambda] \leq Z_\lambda$ . Since  $[X, F_\alpha] = Z_\beta$  by Lemma 14.5(iii),  $[X, Y_\lambda] \leq Z_\lambda \cap Z_\beta = 1$ . Likewise  $[X, Y_\mu] = 1$  and thus  $[X, F_\alpha] = 1$ , contrary to  $[X, F_\alpha] = Z_\beta$ . Hence  $V_\beta/Z_\beta \not\cong \begin{pmatrix} 4 \\ 1 \end{pmatrix}$  and now the lemma follows from Lemma 14.4(i) and the definition of  $T_{\beta\alpha}$  and  $F_\alpha$ .

LEMMA 14.7. Put  $\bar{G}_{\alpha'} = G_{\alpha'}/Q_{\alpha'}$ . Then

- (i)  $[J_\beta, J_\beta] \leq Z_\beta$ ; and
- (ii)  $\bar{J}_\beta = \bar{C}_\beta$  is the non-quadratic  $E(2^3)$ -subgroup of  $\bar{G}_{\beta'\alpha'}$  on  $V_{\alpha'}/Z_{\alpha'}$ .

PROOF. Put  $\Delta(\alpha) = \{\lambda, \mu, \beta\}$ ,  $\bar{G}_{\alpha'} = G_{\alpha'}/Q_{\alpha'}$  and  $X = V_{\alpha'} \cap Q_\beta$ . From Lemma 14.5(iii)  $[F_\alpha, X] = Z_\beta$ . Now  $T_{\beta\alpha} \leq V_\beta$  and  $[V_\beta, X] = 1$  means we can assume, without loss of generality, that

$$(14.7.1) \quad [T_{\lambda\alpha}, X] = Z_\beta \neq Z_\lambda.$$

From this and Lemma 14.6 we immediately deduce

(14.7.2)  $X \not\leq Q_\lambda$  and  $X$  does not centralize  $T_{\lambda\alpha}/Z_\lambda = [V_\lambda, Q_\alpha, Q_\alpha]/Z_\lambda$ ; in particular,  $XQ_\lambda/Q_\lambda$  is not contained in the  $E(2^3)$ -subgroup of  $G_{\lambda\alpha}/Q_\lambda$  acting quadratically on  $V_\lambda/Z_\lambda$ .

By Lemmas 14.4(v) and 14.5(ii) we have  $J_\beta \leq C_\beta \leq Q_\alpha \leq G_\lambda$  and  $J_\beta \geq [U_{\beta'}, Q_{\beta'}] \geq [V_{\alpha'}, Q_{\beta'}] = X$ . Combining these observations with (14.7.2) and Lemma 11.1(vii) yields

(14.7.3)  $\bar{J}_\beta$  is not contained in the  $E(2^3)$ -subgroup of  $\bar{G}_{\beta'\alpha'}$  acting quadratically on  $V_{\alpha'}/Z_{\alpha'}$ .

Since  $G_{\beta'\alpha'}$  is a 2-group,  $\overline{\Phi(C_\beta)} = \Phi(\bar{C}_\beta) \leq \Phi(\bar{G}_{\beta'\alpha'})$ . By Lemma 14.4(v)  $\Phi(\bar{G}_{\beta'\alpha'}) \cap \bar{V}_\beta = 1$  and so  $\Phi(C_\beta) \cap V_\beta \leq Q_{\alpha'}$ . Because  $V_\beta/Z_\beta$  is a  $G_\beta$ -chief factor we deduce that

$$(14.7.4) \quad \Phi(C_\beta) \cap V_\beta \leq Z_\beta$$

Clearly  $\Phi(C_\beta) \cap \Phi(C_{\alpha'})$  is normalized by  $G_{\beta'\gamma'}$ . If  $\Phi(C_\beta) \cap \Phi(C_{\alpha'}) \neq 1$ , then  $\Phi(C_\beta) \cap \Phi(C_{\alpha'}) \geq \Omega_1(Z(G_{\beta'\gamma'})) = Z_\gamma$  and hence  $Z_\gamma \leq \Phi(C_\beta) \cap V_\beta$  which contradicts (14.7.4). Therefore we have

$$(14.7.5) \quad [\Phi(C_\beta), \Phi(C_{\alpha'})] = \Phi(C_\beta) \cap \Phi(C_{\alpha'}) = 1.$$

Next, we assume that  $\eta(G_\beta, \Phi(C_\beta)) \neq 0$ . Then  $\eta(G_{\alpha'}, \Phi(C_{\alpha'})) \geq 1$  and thus  $\Phi(C_\beta) \leq Q_{\alpha'}$  by (14.7.5). Hence  $[\Phi(C_\beta), V_{\alpha'}] \leq Z_{\alpha'}$ . Since  $V_{\alpha'} \not\leq Q_\beta$  by Lemma 14.4(iii), we then get  $[\Phi(C_\beta), V_{\alpha'}] = Z_{\alpha'}$  whence  $Z_{\alpha'} \leq \Phi(C_\beta) \cap V_\beta$ , again contradicting (14.7.4). So we have shown that

$$(14.7.6) \quad \eta(G_\beta, \Phi(C_\beta)) = 0.$$

Now we suppose that  $\Phi(C_\beta) \not\leq Q_{\alpha'}$ . Then  $\overline{\Phi(C_\beta)} = Z(\bar{G}_{\beta'\alpha'}) \cap O^2(\bar{G}_{\alpha'}) \cong \cong E(2)$ . Thus

$$Z_{\alpha'}[\Phi(C_\beta), V_{\alpha'}] = [V_{\alpha'}, Q_{\beta'}, Q_{\beta'}].$$

Clearly,  $K_\beta := \Phi(C_\beta)V_\beta \trianglelefteq G_\beta$  with, by (14.7.6),  $\eta(G_\beta, K_\beta/V_\beta) = 0$ . Therefore  $V_\beta[\Phi(C_\beta), V_{\alpha'}] = V_\beta[V_{\alpha'}, Q_{\beta'}, Q_{\beta'}]$  is normalized by  $L_\beta$  and hence by  $Q := [L_\beta, Q_\beta]$ . Appealing to Lemma 12.4(i) we conclude that

$$\begin{aligned} V_\beta[V_{\alpha'}, Q_{\beta'}, Q_{\beta'}] &= V_\beta[V_\gamma, Q_{\beta'}, Q_{\beta'}] = V_\beta[U_{\beta'}, Q_{\beta'}, Q_{\beta'}] \\ &= V_\beta F_{\beta'} \trianglelefteq \langle L_\beta, G_{\beta\beta'} \rangle = G_\beta. \end{aligned}$$

Thus  $H_\beta = V_\beta F_{\beta'} = V_\beta F_{\alpha'}$  which is impossible by Lemma 14.2(ii), and so

$$(14.7.7) \quad \Phi(C_\beta) \leq Q_{\alpha'}.$$

Now part (ii) is a direct consequence of Lemma 14.5(ii), (14.7.3), (14.7.7) and the fact that  $\bar{J}_\beta$  and  $\bar{C}_\beta$  are normal subgroups of  $\bar{Q}_{\beta'} = \bar{G}_{\beta'x'}$  containing the central transvection  $\bar{Z}_\alpha$ .

From part (ii) we infer that  $[J_\beta, [V_{x'}, Q_{\beta'}]] \leq Z_{\beta'}$  and hence  $[J_\beta, [U_{\beta'}, Q_{\beta'}]] \leq Z_{\beta'}$ . Since  $J_\beta = \langle [U_{\beta'}, Q_{\beta'}]^{G_\beta} \rangle$ , we then obtain

$$[J_\beta, J_\beta] = \langle [J_\beta, [U_{\beta'} Q_{\beta'}]]^{G_\beta} \rangle \leq \langle Z_{\beta'}^{G_\beta} \rangle = V_\beta.$$

Noting that  $[J_\beta, J_\beta] \leq [C_\beta, C_\beta] \leq \Phi(C_\beta)$  an application of (14.7.4) yields that  $[J_\beta, J_\beta] \leq Z_\beta$ , so completing the proof of the lemma.

LEMMA 14.8. *Put  $V_{\beta^*} = V_\beta[H_\beta, Q_\beta]$ . Then the following statements hold:*

- (i)  $\eta(G_\beta, C_\beta/V_\beta) = \eta(G_\beta, H_\beta/V_\beta) = 1$  and the only non-central  $G_\beta$ -chief factor within  $C_\beta/V_\beta$  is not isomorphic to  $V_\beta/Z_\beta$ , as a  $G_\beta/Q_\beta$ -module;
- (ii)  $J_\beta = H_\beta = [H_\beta, G_\beta]$  and  $H_\beta/V_{\beta^*} \cong 4$  or  $\begin{pmatrix} 4 \\ 1 \end{pmatrix}$ ; and
- (iii)  $V_{\beta^*} = V_\beta[F_{\beta'}, Q_\beta]$  and  $V_{\beta^*} \cap C_{x'} = [V_\beta, Q_{\beta'}][F_{\beta'}, Q_\beta]$  with  $[V_{\beta^*} : V_\beta] \leq 2$  and  $[V_{\beta^*} : V_{\beta^*} \cap C_{x'}] = 2$ .

PROOF. Because  $C_{x'} \leq G_\beta$

$$[C_{x'}, J_\beta, J_\beta] \leq [J_\beta, J_\beta] \leq Z_\beta \leq V_{x'},$$

by Lemma 14.7(i). Therefore

(14.8.1)  $J_\beta$  acts quadratically on  $C_{x'}/V_{x'}$  and so (by Lemma 14.7(ii)) the non-central  $G_{x'}$ -chief factors within  $C_{x'}/V_{x'}$  are not isomorphic to  $V_{x'}/Z_{x'}$  as  $G_{x'}/Q_{x'}$ -modules.

Using Lemma 14.7(ii) we see that

$$E(2^3) \cong [C_\beta, V_{x'}] \leq [V_{x'}, Q_{\beta'}] = V_{x'} \cap Q_\beta \cong E(2^4).$$

Now  $E(2^2) \cong Z_{\beta'} \leq V_{x'} \cap Q_\beta$  and so  $|[C_\beta/V_\beta, V_{x'}]| \leq 2^2$ . Then Lemmas 14.2(ii), 14.4(iv) and (14.8.1) force

$$(14.8.2) \quad \eta(G_\beta, C_\beta/V_\beta) = 1.$$

Now set  $H_{\beta^*} = [H_\beta, G_\beta]$ , and note that  $\eta(G_\beta, H_{\beta^*}) = 2$  and  $H_{\beta^*} \geq V_\beta$ . Also, by (14.8.1),  $|[H_{\beta^*}/V_\beta, V_{x'}]| = 2^2$  and thus  $[V_{x'}, Q_{\beta'}] = V_{x'} \cap Q_\beta \leq H_{\beta^*}$ . Employing Lemma 11.1(vii) gives  $H_{\beta^*} \geq [U_{\beta'}, Q_{\beta'}]$ . Since  $J_\beta = \langle [U_{\beta'}, Q_{\beta'}]^{G_\beta} \rangle \geq H_\beta \geq H_{\beta^*}$  we obtain

$$(14.8.3) \quad J_\beta = H_\beta = [H_\beta, G_\beta].$$

If  $\eta(G_\beta, V_\beta^*/V_\beta) \neq 0$ , then  $\eta(G_\beta, H_\beta/V_\beta^*) = 0$  and so  $H_\beta = V_\beta[H_\beta, Q_\beta]F_\alpha$  which, commuting sufficiently often with  $Q_\beta$ , forces the untenable  $H_\beta = V_\beta F_\alpha$ . Thus  $\eta(G_\beta, V_\beta^*) = \eta(G_\beta, V_\beta) = \eta(G_\beta, H_\beta/V_\beta^*) = 1$ . Now  $[F_\alpha : (F_\alpha \cap V_\beta)[F_\alpha, Q_\beta]] \leq 2$  means that we have  $|F_\alpha V_\beta^*/V_\beta^*| = 2$  with  $H_\beta/V_\beta^* = \langle (F_\alpha V_\beta^*/V_\beta^*)^{G_\beta} \rangle$ . Since  $H_\beta = [H_\beta, G_\beta]$  and  $\eta(G_\beta, H_\beta/V_\beta^*) = 1$  we easily see that  $H_\beta/V_\beta^* \cong 4$  or  $\begin{pmatrix} 4 \\ 1 \end{pmatrix}$ .

From  $\eta(G_\beta, V_\beta^*/V_\beta) = 0$ , we clearly have  $V_\beta^* = V_\beta[F_\alpha, Q_\beta] = V_\beta[F_{\beta'}, Q_\beta]$  with  $[V_\beta^* : V_\beta] \leq 2$ ,

$$V_\beta^* \cap C_{\alpha'} = (V_\beta \cap C_{\alpha'})[F_{\beta'}, Q_\beta] = [V_\beta, Q_{\beta'}][F_{\beta'}, Q_\beta]$$

and  $[V_\beta^* : V_\beta^* \cap C_{\alpha'}] = 2$ . This completes the proof of the lemma.

We are now in a position to complete the proof of Theorem 14.3. Using Lemma 14.6 we see that

$$(14.3.1) \quad Q_\beta/C_\beta \cong (V_\beta/Z_\beta)^* \cong V_\beta/Z_\beta.$$

So we have  $(Q_{\beta'} \cap Q_{\alpha'})/C_{\alpha'} \cong E(2^3)$ . Since, by Lemmas 14.7(ii) and 14.8(ii),  $H_\beta Q_{\alpha'}/Q_{\alpha'}$  is the non-quadratic  $E(2^3)$ -subgroup of  $G_{\beta\alpha'}/Q_{\alpha'}$  on  $V_{\alpha'}/Z_{\alpha'}$ , (14.3.1) implies

(14.3.2)  $[(Q_{\beta'} \cap Q_{\alpha'})/C_{\alpha'}, H_\beta] \cong E(2)$ ; in particular  $[H_\beta \cap Q_{\alpha'} : H_\beta \cap C_{\alpha'}] \geq 2$  and therefore  $[H_\beta : H_\beta \cap C_{\alpha'}] \geq 2^4$ .

We now observe from Lemma 14.8(iii) that  $V_\beta^* \cap H_{\alpha'} = V_\beta^* \cap C_{\alpha'}$  with  $[V_\beta^* : V_\beta^* \cap H_{\alpha'}] = 2$ . Combining Lemmas 14.4(iii), (vi), 14.7(ii) and 14.8(ii) with Proposition 2.5(ii) gives

$$[H_\beta/V_\beta^* : [H_\beta/V_\beta^*, H_{\alpha'}]] = 2^2,$$

and consequently

$$[H_\beta : H_\beta \cap H_{\alpha'}] \leq [H_\beta : [H_\beta, H_{\alpha'}](V_\beta^* \cap H_{\alpha'})] \leq 2^3.$$

Since  $H_\beta \cap H_{\alpha'} \leq H_\beta \cap C_{\alpha'}$ , this clearly contradicts (14.3.2), so proving Theorem 14.3.

## 15. The structure of critical pairs.

The main result in this section is Theorem 15.7.

From Theorem 14.3 we have  $b \geq 5$ , and so  $U_\alpha$  is elementary abelian. Our first result shows that there is an abundance of non-central chief factors in  $U_\alpha$ .



LEMMA 15.1. *Let  $(\alpha, \alpha') \in \mathcal{C}$ .*

- (i)  $\eta(G_\alpha, U_\alpha) \geq 4$ .
- (ii) If  $\eta(G_\alpha, U_\alpha) = 4$ , then  $[U_\alpha, Q_\alpha; 3] = [V_\beta, Q_\alpha; 3] = Z_\alpha$  and  $V_\beta/Z_\beta \cong 4$  or  $\begin{pmatrix} 4 \\ 1 \end{pmatrix}$ .

PROOF. Put  $V_\beta^{(i)} = [V_\beta, Q_\alpha; i]$  and  $U_\alpha^{(i)} = [U_\alpha, Q_\alpha; i]$  for  $i \in \mathbb{N} \cup \{0\}$ ; let  $n \in \mathbb{N}$  be such that  $V_\beta^{(n)} \neq 1$  and  $V_\beta^{(n+1)} = 1$ . By Lemma 12.2(i)  $\Omega_1(Z(Q_\alpha)) = Z_\alpha$  and since  $V_\beta^{(n)}$  is a  $G_{\alpha\beta}$ -invariant subgroup of  $\Omega_1(Z(Q_\alpha))$ , Theorem 12.1 and Proposition 2.5(i) imply

$$(15.1.1) \quad n \geq 3 \text{ and } Z_\beta \leq V_\beta^{(n)} \leq Z_\alpha = U_\alpha^{(n)}.$$

Now suppose that  $\eta(G_\alpha, U_\alpha^{(j)}/U_\alpha^{(j+1)}) = 0$  for some  $j \in \{0, 1, \dots, n-1\}$ . Then  $U_\alpha^{(j)} = U_\alpha^{(j+1)}V_\beta^{(j)}$  and hence  $U_\alpha^{(j+1)} = U_\alpha^{(j+2)}V_\beta^{(j+1)}$ . So  $U_\alpha^{(j)} = U_\alpha^{(j+2)}V_\beta^{(j)}$  and consequently  $U_\alpha^{(j)} = V_\beta^{(j)} \leq \text{core}_{G_\alpha} V_\beta = Z_\alpha$ . This implies  $U_\alpha^{(n-1)} \leq Z_\alpha$  whence  $U_\alpha^{(n)} = 1$ , a contradiction. Thus we have

$$(15.1.2) \quad \eta(G_\alpha, U_\alpha^{(j)}/U_\alpha^{(j+1)}) \geq 1 \text{ for } j \in \{0, 1, \dots, n-1\}.$$

Clearly (15.1.1) and (15.1.2) give (i). Now assume that  $\eta(G_\alpha, U_\alpha) = 4$ . Then, by (15.1.1) and (15.1.2),  $Z_\beta \leq V_\beta^{(3)} \leq U_\alpha^{(3)} = Z_\alpha$ . Since  $V_\beta^{(3)} \not\leq Z_\beta$ ,  $V_\beta^{(3)} = U_\alpha^{(3)} = Z_\alpha$  which establishes (ii).

LEMMA 15.2. *For  $(\alpha, \alpha') \in \mathcal{C}$ ,  $V_{\alpha'} \not\leq G_\alpha$ ; in particular  $V_{\alpha'} \not\leq Q_\beta$ .*

PROOF. Let  $(\alpha, \alpha') \in \mathcal{C}$ , put  $\Delta(\alpha) = \{\lambda, \mu, \beta\}$  and assume by way of contradiction that  $V_{\alpha'} \leq G_\alpha$ . Since  $Z_\alpha \leq G_{\alpha'}$  and  $Z_\alpha \not\leq Q_{\alpha'}$ , we have

$$(15.2.1) \quad V_{\alpha'} \leq G_{\alpha\beta} \text{ with } [Z_\alpha, V_{\alpha'}] = Z_\beta \neq Z_{\alpha'}.$$

Note that there exists  $\rho \in \Delta(\alpha')$  such that  $Z_\rho \not\leq Q_\alpha$ ; moreover  $Z_\rho$  acts as an involution on  $U_\alpha$ .

$$(15.2.2) \quad U_\alpha \not\leq G_{\alpha'}; \text{ in particular } U_\alpha \not\leq Q_{\alpha'-1}.$$

Suppose that  $U_\alpha \leq G_{\alpha'}$  holds. Then, since  $U_\alpha$  is abelian and  $V_{\alpha'} \leq G_\alpha$ ,  $U_\alpha$  acts quadratically on  $V_{\alpha'}$ . Since  $\eta(G_\alpha, U_\alpha) \geq 4$  by Lemma 15.1(i) and  $[U_\alpha \cap Q_{\alpha'} : U_\alpha \cap Q_{\alpha'} \cap Q_\rho] \leq 2$ , we see that  $U_\alpha Q_{\alpha'}/Q_{\alpha'} \cong E(2^3)$  with  $\eta(G_\alpha, U_\alpha) = 4$ . Also, from  $|[U_\alpha, V_{\alpha'}]| \geq 2^4$ , Lemma 15.1(ii) and Proposition 2.5(ii) force  $V_{\alpha'}/Z_{\alpha'} \cong \begin{pmatrix} 4 \\ 1 \end{pmatrix}$  with  $[U_\alpha, V_{\alpha'}] \cong E(2^4)$ .

Since  $Z_\rho$  interchanges  $\lambda$  and  $\mu$ ,  $Z_\rho$  normalizes  $V := V_\lambda V_\mu$ . Since  $V_\lambda \cap V_\mu = Z_\alpha$  and  $V_{\alpha'} \cong E(2^6)$ ,  $\bar{V} := V/Z_\alpha = \bar{V}_\lambda \times \bar{V}_\mu$  with  $\bar{V}_\lambda \cong E(2^4) \cong \bar{V}_\mu$

and hence  $[\bar{V}, Z_\rho] \cong E(2^4)$ . Now  $[Z_\alpha, Z_\rho] = Z_\beta$  and  $V \leq U_\alpha$  yields

$$E(2^5) \leq [V, Z_\rho] \leq [U_\alpha, V_{\alpha'}],$$

contrary to  $[U_\alpha, V_{\alpha'}] \cong E(2^4)$ . So we have (15.2.2).

Because  $b \geq 5$  and  $V_\lambda \cong_{Z_\rho} V_\mu$ ,  $[V_\lambda, V_{\alpha'-2}] = [V_\mu, V_{\alpha'-2}] \leq V_\lambda \cap V_\mu = Z_\alpha$  and so  $[U_\alpha, V_{\alpha'-2}] \leq Z_\alpha$ . From (15.2.2) and  $[U_\alpha, V_{\alpha'-2}] \leq C_{Z_\alpha}(V_{\alpha'}) = Z_\beta$  we obtain

$$(15.2.3) \quad [U_\alpha, V_{\alpha'-2}] = Z_\beta.$$

$$(15.2.4) \quad U_\alpha \not\leq Q_{\alpha'-2}$$

Assume  $U_\alpha \leq Q_{\alpha'-2}$  holds. Since  $U_\alpha \not\leq Q_{\alpha'-1}$  by (15.2.2) and  $Z_{\alpha'-1} = Z_{\alpha'-2}Z_{\alpha'}$ ,  $[U_\alpha, Z_{\alpha'}] \neq 1$ . Hence  $[U_\alpha \cap Q_{\alpha'-1} \cap Q_{\alpha'}, V_{\alpha'}] = 1$  and so  $U_\alpha \cap Q_{\alpha'-1} \cap Q_{\alpha'} \leq C_{U_\alpha}(Z_\rho)$ . Now  $[U_\alpha : U_\alpha \cap Q_{\alpha'-1}] \leq 2$  and  $\eta(G_\alpha, U_\alpha) \geq 4$  forces  $(U_\alpha \cap Q_{\alpha'-1})Q_{\alpha'}/Q_{\alpha'}$  to be the quadratic  $E(2^3)$ -subgroup of  $G_{\alpha'-1\alpha'}/Q_{\alpha'}$  on  $V_{\alpha'}/Z_{\alpha'}$  and  $[U_\alpha : U_\alpha \cap Q_{\alpha'-1}] = 2$ . Also we note that  $[U_\alpha \cap Q_{\alpha'-1}, V_{\alpha'}]Z_{\alpha'}$  has index  $2^2$  in  $V_{\alpha'}$  and that  $[U_\alpha \cap Q_{\alpha'-1}, V_{\alpha'}] \leq U_\alpha$ . So  $U_\alpha$  centralizes  $[U_\alpha \cap Q_{\alpha'-1}, V_{\alpha'}]$  and  $U_\alpha \not\leq Q_{\alpha'-1}$  whence, using the core argument,  $[U_\alpha \cap Q_{\alpha'-1}, V_{\alpha'}] \leq \text{core}_{G_{\alpha'-1}} V_{\alpha'} = Z_{\alpha'-1}$ . But this is impossible since  $(U_\alpha \cap Q_{\alpha'-1})Q_{\alpha'}/Q_{\alpha'}$  in the quadratic  $E(2^3)$ -subgroup of  $G_{\alpha'-1\alpha'}/Q_{\alpha'}$  on  $V_{\alpha'}/Z_{\alpha'}$ . Therefore  $U_\alpha \not\leq Q_{\alpha'-2}$ .

$$(15.2.5) \quad [Z_\alpha, V_{\alpha'}] = [U_\alpha, V_{\alpha'-2}] = Z_\beta \leq V_{\alpha'-2} \cap V_{\alpha'} = Z_{\alpha'-1} \text{ and } Z_{\alpha'-1} = Z_{\alpha'-2}Z_\beta.$$

By (15.2.3) and (15.2.4)  $Z_\beta \neq Z_{\alpha'-2}$ . Now (15.2.5) follows from (15.2.1) and (15.2.3).

Put  $\tilde{V}_{\alpha'-2} = V_{\alpha'-2}/Y_{\alpha'-2}$  and  $P_{\alpha'-2} = \langle U_\alpha, G_{\alpha'-2\alpha'-1} \rangle$ . Since  $Z_{\alpha'-1} = Z_{\alpha'-2}Z_\beta$ ,  $P_{\alpha'-2}$  centralizes  $Z_{\alpha'-1}$  and, as  $U_\alpha \not\leq G_{\alpha'-2\alpha'-1}$  by (15.2.2),  $\bar{P}_{\alpha'-2} := P_{\alpha'-2}/Q_{\alpha'-2} \cong S_4 \times Z_2$  with  $\tilde{V}_{\alpha'-2}|_{\bar{P}_{\alpha'-2}} \cong \begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix}$ . However, by (15.2.5),  $[U_\alpha, \tilde{V}_{\alpha'-2}] = \tilde{Z}_\beta = \tilde{Z}_{\alpha'-1} = C_{\tilde{V}_{\alpha'-2}}(P_{\alpha'-2})$  which implies  $U_\alpha \leq O_2(P_{\alpha'-2}) \leq G_{\alpha'-2\alpha'-1}$ . This contradiction completes the proof of Lemma 15.2.

LEMMA 15.3. *Let  $(\alpha, \alpha') \in \mathcal{C}$  and  $R = [V_\beta, V_{\alpha'}]$ . If  $\eta(G_\beta, W_\beta) = 2$  or  $\eta(G_\beta, [W_\beta, Q_\beta]V_\beta/V_\beta) = 0$ , then the following hold:*

- (i)  $R \leq Z_{\alpha+2} \cap Z_{\alpha'-1}$  with  $RZ_\beta = Z_{\alpha+2}$  and  $RZ_{\alpha'} = Z_{\alpha'-1}$ . If, moreover,  $b = 5$ , then  $R = Z_{\alpha+3} = Z_{\alpha'-2}$ ;
- (ii)  $V_\beta = [V_\beta, G_\beta]$  and so  $V_\beta/Z_\beta \cong 4$  or  $\begin{pmatrix} 4 \\ 1 \end{pmatrix}$ ;
- (iii)  $V_\beta Q_{\alpha'}/Q_{\alpha'}$  is the central transvection of  $G_{\alpha'-1\alpha'}/Q_{\alpha'}$  acting on

$V_{\alpha'}/Z_{\alpha'}$  with a symmetric statement holding for  $V_{\alpha'}$  with the roles of  $\beta$  and  $\alpha'$  interchanged; and

(iv) either  $W_{\beta} \leq Q_{\alpha'-2}$  or  $W_{\beta}Q_{\alpha'-2}/Q_{\alpha'-2}$  is the central transvection of  $G_{\alpha'-3\alpha'-2}/Q_{\alpha'-2}$  on  $V_{\alpha'-2}/Z_{\alpha'-2}$ , and so  $[W_{\beta} : W_{\beta} \cap Q_{\alpha'-2}] \leq 2$ .

PROOF. Put  $W_{\beta}^{(1)} = [W_{\beta}, Q_{\beta}]$  and  $W_{\beta}^* = W_{\beta}^{(1)}V_{\beta}$ . Note that  $\eta(G_{\beta}, W_{\beta}/W_{\beta}^*) \neq 0$  else  $W_{\beta} = W_{\beta}^{(1)}U_{\alpha}$  which produces the absurd  $W_{\beta} = U_{\alpha}$ . So we have  $\eta(G_{\beta}, W_{\beta}^*/V_{\beta}) = 0$ . Appealing to Lemma 12.7 gives  $R \leq V_{\alpha'-2} \cap V_{\alpha'} = Z_{\alpha'-1}$ . Since  $V_{\alpha'} \not\leq Q_{\beta}$  by Lemma 15.2, there exists  $\rho \in \mathcal{A}(\alpha')$  such that  $(\rho, \beta) \in \mathcal{C}$ , and likewise we deduce  $R \leq V_{\beta} \cap V_{\alpha+3} = Z_{\alpha+2}$ . This proves (i)

Since  $Z_{\alpha+2} = RZ_{\beta} \leq [V_{\beta}, G_{\beta}]$ , the statements in (ii) and (iii) follow readily while (iv) is an easy consequence of (iii).

LEMMA 15.4. *Let  $(\alpha, \alpha') \in \mathcal{C}$ . If  $Z_{\alpha'} \leq V_{\beta}$ , then  $|V_{\alpha'}Q_{\beta}/Q_{\beta}| = 2$ .*

PROOF. Let  $(\alpha, \alpha') \in \mathcal{C}$  be such that  $Z_{\alpha'} \leq V_{\beta}$  and assume that  $|V_{\alpha'}Q_{\beta}/Q_{\beta}| \neq 2$ . So, by Lemma 15.2,  $|V_{\alpha'}Q_{\beta}/Q_{\beta}| \geq 2^2$ . Also Lemma 15.3 implies that

$$(15.4.1) \quad \eta(G_{\beta}, W_{\beta}) \geq 3.$$

Clearly we have  $W_{\beta} \leq G_{\alpha'-2}$ . Since  $b \geq 5$ ,  $Z_{\alpha'} \leq V_{\beta} \leq Z(W_{\beta})$  and so  $W_{\beta}$  centralizes  $Z_{\alpha'-1} = Z_{\alpha'-2}Z_{\alpha'}$ . Thus  $W_{\beta} \leq C_{G_{\alpha'-2}}(Z_{\alpha'-1}Y_{\alpha'-2}/Y_{\alpha'-2})$  and therefore  $X := W_{\beta} \cap G_{\alpha'-2\alpha'-1}$  has index at most 2 in  $W_{\beta}$  by the parabolic argument (Lemma 3.10). Note that  $X \leq C_{G_{\alpha'-1}}(Z_{\alpha'-1}) = Q_{\alpha'-1} \leq G_{\alpha'}$ , and set  $X^* = (X \cap Q_{\alpha'})V_{\beta}$ . Then  $[X : X^*] \leq 2^3$  and

$$[X^*, V_{\alpha'}] = [X \cap Q_{\alpha'}, V_{\alpha'}]R \leq Z_{\alpha'}R \leq V_{\beta}.$$

Hence, putting  $\tilde{W}_{\beta} = W_{\beta}/V_{\beta}$ , we have  $\tilde{X}^* \leq C_{\tilde{W}_{\beta}}(V_{\alpha'})$ . Using (15.4.1) we then get

$$\begin{aligned} 2^4 &\leq [\tilde{W}_{\beta} : C_{\tilde{W}_{\beta}}(V_{\alpha'})] \leq [\tilde{W}_{\beta} : \tilde{X}^*] = [W_{\beta} : X^*] \\ &= [W_{\beta} : X][X : X^*] \leq 2^4 \end{aligned}$$

and consequently  $[W_{\beta} : X] = 2$  and  $[X : X^*] = 2^3$ . In particular

$$(15.4.2) \quad G_{\alpha'-1\alpha'} = Q_{\alpha'}X.$$

Since  $[X, V_{\alpha'}] \leq X \cap V_{\alpha'} \leq Q_{\beta} \cap V_{\alpha'}$  and  $[V_{\alpha'} : Q_{\beta} \cap V_{\alpha'}] \geq 2^2$ , (15.4.2) together with Proposition 2.5(i) implies that

$$(15.4.3) \quad [X, V_{\alpha'}] = X \cap V_{\alpha'} = Q_{\beta} \cap V_{\alpha'} \leq_4 V_{\alpha'} \text{ with } V_{\alpha'}/Z_{\alpha'} \cong 1 \oplus 4 \text{ or } 1 \oplus \begin{pmatrix} 4 \\ 1 \end{pmatrix}$$

It now follows from (15.4.3) that  $Z_{\alpha'-1} = Z_{\alpha'-2}Z_{\alpha'} \leq Q_{\beta} \cap V_{\alpha'} = [X, V_{\alpha'}] \leq [V_{\alpha'}, G_{\alpha'}]$  whence  $V_{\alpha'} = [V_{\alpha'}, G_{\alpha'}]$ , which contradicts the structure of  $V_{\alpha'}$  given in (15.4.3). Thus we infer that  $|V_{\alpha'}Q_{\beta}/Q_{\beta}| = 2$  must hold.

**COROLLARY 15.5.** *If  $(\alpha, \alpha') \in \mathcal{C}$  and  $|V_{\beta}Q_{\alpha'}/Q_{\alpha'}| \geq 2^2$ , then  $|V_{\alpha'}Q_{\beta}/Q_{\beta}| \geq 2^2$ .*

**PROOF.** Suppose we have  $(\alpha, \alpha') \in \mathcal{C}$  with  $|V_{\beta}Q_{\alpha'}/Q_{\alpha'}| \geq 2^2$ . Then  $Z_{\beta} \not\leq V_{\alpha'}$  by Lemmas 15.2 and 15.4. Hence  $[V_{\alpha'} \cap Q_{\beta}, V_{\beta}] = 1$ . Since  $V_{\beta}$  cannot centralize a hyperplane of  $V_{\alpha'}$ ,  $[V_{\alpha'} : V_{\alpha'} \cap Q_{\beta}] \geq 2^2$  which proves the result.

**LEMMA 15.6.** *Let  $(\alpha, \alpha') \in \mathcal{C}$  and suppose that  $|V_{\beta}Q_{\alpha'}/Q_{\alpha'}| \geq 2^2$ , and hence by Corollary 15.5  $|V_{\alpha'}Q_{\beta}/Q_{\beta}| \geq 2^2$ . Then the following statements hold.*

- (i)  $V_{\beta}Q_{\alpha'}/Q_{\alpha'} \cong V_{\alpha'}Q_{\beta}/Q_{\beta} \cong E(2^2)$ ;
- (ii)  $W_{\beta} \cap G_{\alpha'-1} \leq G_{\alpha'}$ ;
- (iii)  $P_{\alpha'-2} := \langle W_{\beta}, Q_{\alpha'-1} \rangle \leq G_{\alpha'-2} = Q_{\alpha'-2}P_{\alpha'-2}$  and  $P_{\alpha'-2} \geq L_{\alpha'-2}$ ;
- (iv)  $b = 5$ ; and
- (v) if  $\widehat{G}_{\alpha'} = G_{\alpha'}/Q_{\alpha'}$ , then  $\widehat{W}_{\alpha'-2} \cong E(2^3)$  and  $W_{\alpha'-2}$  acts quadratically on  $V_{\alpha'}/Z_{\alpha'}$  with

$$\begin{pmatrix} 4 \\ 1 \end{pmatrix} \lesssim V_{\alpha'}/Z_{\alpha'} \lesssim \begin{pmatrix} 4 \\ 1 \end{pmatrix} \oplus 1.$$

**PROOF.** Put  $R = [V_{\beta}, V_{\alpha'}]$  and  $\widehat{G}_{\alpha'} = G_{\alpha'}/Q_{\alpha'}$ . By Lemmas 15.2 and 15.4  $Z_{\alpha'} \not\leq V_{\beta}$  and  $Z_{\beta} \not\leq V_{\alpha'}$ . Hence  $[V_{\beta}, Y_{\alpha'}] = [V_{\alpha'}, Y_{\beta}] = 1$ . Since  $[V_{\alpha'} : RY_{\alpha'}] = [V_{\beta} : RY_{\beta}] = 2^2$  we obtain (i). Noting that  $R \not\leq Z_{\alpha'-1}$  and  $[W_{\beta}, V_{\beta}] = 1$ , the core argument yields (ii).

Lemma 15.3 (iii) gives

$$(15.6.1) \quad \eta(G_{\beta}, W_{\beta}) \geq 3.$$

$$(15.6.2) \quad V_{\beta}Q_{\alpha'}/Q_{\alpha'} \leq (W_{\beta} \cap G_{\alpha'})Q_{\alpha'}/Q_{\alpha'} \lesssim E(2^3).$$

Since  $W_{\beta} \cap G_{\alpha'}$  centralizes  $RY_{\alpha'}/Y_{\alpha'} \cong E(2^2)$ , Proposition 2.5(vi) implies that  $(W_{\beta} \cap G_{\alpha'})Q_{\alpha'}/Q_{\alpha'}$  is elementary abelian, so giving (15.6.2).

We now establish part (iii). Suppose that  $Q_{\alpha'-2}P_{\alpha'-2} \neq G_{\alpha'-2}$ . Then  $\langle W_{\beta}, G_{\alpha'-2\alpha'-1} \rangle \neq G_{\alpha'-2}$  and so, by the parabolic argument,  $[W_{\beta} : W] \leq 2$  where  $W := W_{\beta} \cap G_{\alpha'-2\alpha'-1}$ . By (ii)  $W \leq G_{\alpha'}$ , and by (15.6.2)  $[W : V_{\beta}(W \cap Q_{\alpha'})] \leq 2$ . Clearly  $[V_{\beta}(W \cap Q_{\alpha'}), V_{\alpha'}] \leq RZ_{\alpha'}$ . Putting  $\overline{W}_{\beta} = W_{\beta}/V_{\beta}$  we have  $[\overline{W}_{\beta} : \overline{V}_{\beta}(W \cap Q_{\alpha'})] \leq 2^2$  and  $[[\overline{V}_{\beta}(W \cap Q_{\alpha'}), V_{\alpha'}]] \leq 2$ .

Since  $V_{\alpha'}Q_{\beta}/Q_{\beta} \cong E(2^2)$ , this gives  $\eta(G_{\beta}, \bar{W}_{\beta}) \leq 1$ , contradicting (15.6.1). This proves part (iii).

As a direct consequence of (iii), Proposition 2.5(ii) and Lemmas 12.7 and 15.2 we have

(15.6.3) (i)  $Z_{\alpha'}R$  is not normal in  $G_{\alpha'-1\alpha'}$  and  $Z_{\beta}R$  is not normal in  $G_{\beta\alpha+2}$ .

(ii) Either

(a)  $4 \leq V_{\alpha'}/Z_{\alpha'} \leq 4 \oplus 1$  and  $\widehat{V}_{\beta} \sim \langle s_1, t \rangle$  or

(b)  $\begin{pmatrix} 4 \\ 1 \end{pmatrix} \leq V_{\alpha'}/Z_{\alpha'} \leq \begin{pmatrix} 4 \\ 1 \end{pmatrix} \oplus 1$  and  $\widehat{V}_{\beta} \sim \langle s_1, t \rangle$  or  $\langle s_2, t \rangle$  (using the notation of Proposition 2.5).

Moving onto part (iv), we now assume  $b > 5$  and derive a contradiction. Set  $W_0 = W_{\beta} \cap Q_{\alpha'-2}$ . By (ii)  $W_0 \leq G_{\alpha'}$ . Since  $W_{\beta}$  is abelian we observe that  $[W_{\beta} : W_0] \leq 2^3 \geq [W_0 : W_0 \cap Q_{\alpha'}]$ . Now  $W_{\beta}$  being abelian and (iii) imply that  $Z_{\alpha'} \not\leq W_{\beta}$ . Hence  $[W_0 \cap Q_{\alpha'}, V_{\alpha'}] = 1$ , and so  $[W_{\beta} : C_{W_{\beta}}(V_{\alpha'})] \leq 2^6$ . Therefore, by (15.6.1),  $\eta(G_{\beta}, W_{\beta}) = 3$ ,  $[W_{\beta} : C_{W_{\beta}}(V_{\alpha'})] = 2^6$  and  $W_0Q_{\alpha'}/Q_{\alpha'} \cong E(2^3)$ .

(15.6.4) The non central  $G_{\beta}$  chief factors in  $W_{\beta}$  are isomorphic natural  $S_6$ -modules.

Observe that  $V_{\alpha'}$  acts quadratically upon  $W_{\beta}$  since  $[W_{\beta}, V_{\alpha'}] \leq W_{\alpha'-2}$ . By (15.6.3)(i)  $V_{\alpha'}Q_{\beta}/Q_{\beta} \not\leq Z(G_{\beta\alpha+2}/Q_{\beta})$  from which (15.6.4) follows.

Since  $W_0Q_{\alpha'}/Q_{\alpha'} \cong E(2^3)$  with  $W_0$  acting quadratically on  $V_{\alpha'}$ , we may choose  $t \in W_0$  so that  $V_{\beta}\langle t \rangle Q_{\alpha'} = W_0Q_{\alpha'}$  with  $t$  acting as a transvection on  $V_{\alpha'}/Y_{\alpha'}$ . Hence  $t$  acts as a transvection on  $V_{\alpha'}/Z_{\alpha'}$  and, recalling that  $Z_{\alpha'} \not\leq W_{\beta}$ , we see that  $C := C_{V_{\alpha'}}(t)$  has index 2 in  $V_{\alpha'}$ . Therefore  $C \not\leq Q_{\beta}$ . Now

$$[W_0, C] = [V_{\beta}\langle t \rangle(W_0 \cap Q_{\alpha'}), C] = [V_{\beta}, C] \leq V_{\beta}$$

and consequently  $[W_{\beta}/V_{\beta} : C_{W_{\beta}/V_{\beta}}(C)] \leq 2^3$ . Since  $\eta(G_{\beta}, W_{\beta}/V_{\beta}) = 2$ ,  $C$  must induce a transvection on at least one non-central  $G_{\beta}$ -chief factor within  $W_{\beta}/V_{\beta}$ . Appealing to (15.6.4) gives that  $C$  induces a transvection on  $V_{\beta}/Y_{\beta}$  and hence on  $V_{\beta}/Z_{\beta}$ . Because  $Z_{\beta} \not\leq V_{\alpha'}$ ,  $C$  induces a transvection on  $V_{\beta}$ . But then  $[W_0, C] = [V_{\beta}, C] \cong Z_2$  whereas  $[W_0, C/Y_{\alpha'}] \cong E(2^2)$ . From this untenable situation we deduce that  $b = 5$ .

Finally we consider part (v). By (15.6.3)  $\widehat{V}_{\beta} \not\leq \widehat{G}_{\alpha'-1\alpha'} = \widehat{Q}_{\alpha'-1}$ . So, since  $V_{\beta} \leq W_{\alpha'-2}$  (as  $b = 5$ ) and  $\widehat{W}_{\alpha'-2} \trianglelefteq \widehat{Q}_{\alpha'-1}$ ,  $\widehat{V}_{\beta} \not\leq \widehat{W}_{\alpha'-2}$ . Evidently we have  $[V_{\beta}, W_{\alpha'-2}] \leq V_{\beta}$  and consulting (15.6.3)(ii) we see that  $\widehat{V}_{\beta} \cap (\widehat{G}_{\alpha'-1\alpha'})' = 1$ .

So  $\widehat{W}_{\alpha'-2} \leq C_{\widehat{G}_{\alpha'-1\alpha'}}(\widehat{V}_\beta)$ . Hence  $\widehat{W}_{\alpha'-2} \cong E(2^3)$  by (15.6.3)(ii). Now if (v) is false, then (15.6.3)(i) must hold and therefore  $\widehat{W}_{\alpha'-2}$  is the non-quadratic  $E(2^3)$ -subgroup of  $\widehat{G}_{\alpha'-1\alpha'}$  (acting on  $V_{\alpha'}/Z_{\alpha'}$ ). Thus  $Y_{\alpha'}[V_{\alpha'}, W_{\alpha'-2}] = Y_{\alpha'}[V_{\alpha'}, G_{\alpha'-1\alpha'}] \leq V_{\alpha'}$ . Next we observe that  $[W_{\alpha'-2}, W_{\alpha'-2}] \leq Q_{\alpha'}$ . So, since  $G_{\alpha'-2}$  is transitive on vertices distance 2 away from  $\alpha' - 2$  and  $b = 5$ ,  $[W_{\alpha'-2}, W_{\alpha'-2}] \leq Q_\beta$  also. This then gives, since  $Y_{\alpha'} \leq Q_\beta$ , that

$$Y_{\alpha'} [V_{\alpha'}, W_{\alpha'-2}] \leq Y_{\alpha'} [W_{\alpha'-2}, W_{\alpha'-2}] \leq Q_\beta$$

Therefore  $[V_{\alpha'} : V_{\alpha'} \cap Q_\beta] = 2$ , against  $[V_{\alpha'} : V_{\alpha'} \cap Q_\beta] = 2^2$ . This establishes (v) and completes the proof of the lemma.

**THEOREM 15.7.** *Let  $(\alpha, \alpha') \in \mathcal{C}$ . Then*

- (i)  $|V_\beta Q_{\alpha'}/Q_{\alpha'}| = |V_{\alpha'} Q_\beta/Q_\beta| = 2$ ;
- (ii)  $|[V_\beta, V_{\alpha'}]| = 2$  and  $[V_\beta, V_{\alpha'} \cap Q_\beta] = 1 = [V_{\alpha'}, V_\beta \cap Q_{\alpha'}]$ ; and
- (iii) *there exists  $\delta \in \mathcal{A}(\beta)$  such that  $(\delta, \alpha') \in \mathcal{C}$  and  $\langle G_{\delta\beta}, V_{\alpha'} \rangle = G_\beta$ .*

**PROOF.** (i) Assume by way of contradiction that the assertion is false. Then from Lemma 15.6 we have

(15.7.1)  $b = 5$ ;

(15.7.2) if  $\widehat{G}_{\alpha'} = G_{\alpha'}/Q_{\alpha'}$ , then  $\widehat{W}_{\alpha'-2} \cong E(2^3)$  and  $W_{\alpha'-2}$  acts quadratically on  $V_{\alpha'}/Z_{\alpha'}$  where

$$\begin{pmatrix} 4 \\ 1 \end{pmatrix} \leq V_{\alpha'}/Z_{\alpha'} \leq \begin{pmatrix} 4 \\ 1 \end{pmatrix} \oplus 1; \text{ and}$$

(15.7.3)  $P_{\alpha'-2} := \langle W_\beta, Q_{\alpha'-1} \rangle \leq G_{\alpha'-2} = Q_{\alpha'-2} P_{\alpha'-2}$ .

Put  $W_\beta^* = [W_\beta, W_\beta]V_\beta$  and  $R = [V_\beta, V_{\alpha'}]$ . Bringing together (15.7.1), (15.7.2) and Lemmas 11.1(vii), 15.6(ii) we obtain

(15.7.4)  $W_\beta Q_{\alpha'-2}/Q_{\alpha'-2} \cong W_{\alpha'} Q_{\alpha'-2}/Q_{\alpha'-2} \cong E(2^3)$  with both groups acting quadratically on  $V_{\alpha'-2}/Z_{\alpha'-2}$  and  $W_\beta^* \leq Q_{\alpha'-2} \cap W_\beta \leq G_{\alpha'}$ .

By (15.7.4) we have

$$Y_{\alpha+3} = Y_{\alpha'-2} \leq [W_\beta, V_{\alpha'-2}]Z_{\alpha+2} \leq [W_\beta, W_\beta]V_\beta = W_\beta^*.$$

Since  $G_\beta$  is transitive on vertices distance 2 from  $\beta$ ,  $\langle Y_\beta, Y_\gamma, Y_{\alpha+3} \rangle \leq W_\beta^*$ , where  $\mathcal{A}(\alpha + 2) = \{\beta, \gamma, \alpha + 3\}$ . Now (15.7.2) and the definition of  $F_{\alpha+2}$  implies that  $F_{\alpha+2} \leq W_\beta^*$ , whence  $H_\beta \leq W_\beta^*$ . Therefore  $\eta(G_\beta, W_\beta^*) \geq 2$  by Lemma 14.2(ii). Since  $W_\beta^*$  centralizes  $RY_{\alpha'}/Y_{\alpha'} \cong E(2^2)$ ,  $W_\beta^*$  acts quadratically on  $V_{\alpha'}/Y_{\alpha'}$ . Then  $V_{\alpha'}Q_\beta/Q_\beta \cong E(2^2)$  forces

(15.7.5)  $|W_\beta^* Q_{\alpha'} / Q_{\alpha'}| = 2^3$  with  $Z_{\alpha'} \leq [W_\beta^*, V_{\alpha'}] \cong E(2^4)$ ; hence  $Z_{\alpha'-1} = Z_{\alpha'-2} Z_{\alpha'} \leq W_\beta^*$ .

From (15.7.4) we have  $[[V_{\alpha'-2}, W_\beta], W_\beta] \leq Z_{\alpha'-2}$  and thus  $[[W_\beta, W_\beta], W_\beta] \leq V_\beta$ . So  $[W_\beta, W_\beta^*] \leq V_\beta$ . Hence, as  $Z_{\alpha'-1} \leq W_\beta^*$  by (15.7.5),

$$[Z_{\alpha'-1}, W_\beta] \leq [W_\beta^*, W_\beta] \cap V_{\alpha'-2} \leq V_\beta \cap V_{\alpha'-2} = Z_{\alpha+2}.$$

Therefore  $W_\beta$  normalizes the group  $X := Y_{\alpha'-2} Z_{\alpha+2} Z_{\alpha'-1}$ . Symmetrically we deduce that  $W_{\alpha'}$  also normalizes  $X$ . By (15.7.3)  $W_\beta Q_{\alpha'-2} \neq W_{\alpha'} Q_{\alpha'-2}$  and so Proposition 2.8(vii) yields that

(15.7.6)  $P := \langle W_\beta, Q_{\alpha'-2}, W_{\alpha'} \rangle = N_{G_{\alpha'-2}}(X)$  with  $|X/Y_{\alpha'-2}| \in \{2, 2^2\}$  and  $P/Q_{\alpha'-2} \cong S_4 \times \mathbb{Z}_2$ .

If  $|X/Y_{\alpha'-2}| = 2$ , then  $X = Y_{\alpha'-2} Z_{\alpha'-1}$  is normalized by  $P_{\alpha'-2}$  which is impossible by (15.7.3). So  $|X/Y_{\alpha'-2}| = 2^2$ . But then  $O_2(P/Q_{\alpha'-2})$  is the only  $E(2^3)$ -subgroup of  $P/Q_{\alpha'-2}$  which acts quadratically on  $V_{\alpha'-2}/Z_{\alpha'-2}$ , so (15.7.4) forces  $W_\beta Q_{\alpha'-2} = W_{\alpha'} Q_{\alpha'-2}$ , a contradiction. Therefore (i) holds.

(ii) In view of part (i) and Lemma 15.2 it is sufficient for us to show that for  $(\alpha, \alpha') \in \mathcal{C}$   $[V_\beta \cap Q_{\alpha'}, V_{\alpha'}] = 1$ . So we assume  $[V_\beta \cap Q_{\alpha'}, V_{\alpha'}] \neq 1$  and argue for a contradiction. Since  $V_\beta \not\leq Q_{\alpha'}$ , we have

$$(15.7.7) \quad Z_{\alpha'} = [V_\beta \cap Q_{\alpha'}, V_{\alpha'}] \leq V_\beta \text{ and } |[V_\beta, V_{\alpha'}]| \geq 2^2.$$

Clearly there exists  $\rho \in \mathcal{A}(\alpha')$  for which  $[V_\beta \cap Q_{\alpha'}, Z_\rho] = Z_{\alpha'}$ . Put  $\mathcal{A}(\rho) = \{\alpha', \sigma, \tau\}$  and  $V = V_\sigma V_\tau$ . Since  $V_\beta \cap Q_{\alpha'}$  interchanges  $\sigma$  and  $\tau$ ,  $V_\sigma \cap V_\tau = Z_\rho$  and  $Z_{\alpha'} \leq [V_\beta \cap Q_{\alpha'} V]$ , we deduce that

$$(15.7.8) \quad |[V_\beta \cap Q_{\alpha'}, V]| \geq |V_\sigma|/2.$$

$$(15.7.9) \quad U_\rho \not\leq G_\beta.$$

For  $U_\rho \leq G_\beta$  implies that  $V$  normalizes  $V_\beta \cap Q_{\alpha'}$  and so

$$|[V_\beta \cap Q_{\alpha'}, V]| \leq |V_\beta|/4 = |V_\sigma|/4,$$

contradicting (15.7.8). Hence  $U_\rho \not\leq G_\beta$ .

$$(15.7.10) \quad U_\rho \not\leq Q_{\alpha+3}$$

Assume  $U_\rho \leq Q_{\alpha+3}$  holds. Then  $U_\rho \leq G_{\alpha+2}$  and so  $U_\rho \not\leq Q_{\alpha+2}$  by (15.7.8). Since  $[V_\beta, V_{\alpha'}] \leq V_\beta \cap V_{\alpha'}$  and  $[U_\rho, V_{\alpha'}] = 1$ , the core argument forces

$$[V_\beta, V_{\alpha'}] \leq \text{core}_{G_{\alpha+2}} V_\beta = Z_{\alpha+2}.$$

Then (15.7.7) gives  $Z_{\alpha+2} = [V_\beta, V_{\alpha'}] \leq V_\alpha$ , which yields  $U_\rho \leq C_{G_{\alpha+2}}(Z_{\alpha+2}) = Q_{\alpha+2} \leq G_\beta$ , against (15.7.9). Therefore  $U_\rho \not\leq Q_{\alpha+3}$ , as asserted.

Since  $[V_{\alpha+3}, V_{\alpha'}] = 1 = [V_\beta, V_{\alpha+3}] = 1$ ,  $V_\beta \cap Q_{\alpha'}$  centralizes  $[V_{\alpha+3}, U_\rho] = [V_{\alpha+3}, V] = [V_{\alpha+3}, V_\sigma][V_{\alpha+3}, V_\tau]$ . From  $V_\beta \cap Q_{\alpha'}$  interchanging  $\sigma$  and  $\tau$  we get  $[V_{\alpha+3}, U_\rho] = [V_{\alpha+3}, V_\sigma] = [V_{\alpha+3}, V_\tau] \leq V_\sigma \cap V_\tau \cap C(V_\beta \cap Q_{\alpha'}) = C_{Z_\rho}(V_\beta \cap Q_{\alpha'}) = Z_{\alpha'}$ . Now (15.7.10) implies that

$$(15.7.11) \quad [V_{\alpha+3}, U_\rho] = Z_{\alpha'} \leq V_{\alpha+3} \text{ and } Z_{\alpha'} \neq Z_{\alpha+3}.$$

Combining (15.7.7) and (15.7.11) gives  $Z_{\alpha'} \leq V_\beta \cap V_{\alpha+3} = Z_{\alpha+2}$  and then

$$Z_{\alpha+2} = Z_{\alpha+3} Z_{\alpha'}. \text{ So } U_\rho \leq P_{\alpha+3} := C_{G_{\alpha+3}}(Z_{\alpha+2}) \text{ with } \tilde{V}_{\alpha+3}|_{P_{\alpha+3}} \cong \begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix}$$

(where  $\tilde{V}_{\alpha+3} = V_{\alpha+3}/Y_{\alpha+3}$ ). From (15.7.11)  $U_\rho \leq O_2(P_{\alpha+3}) \leq G_{\alpha+2\alpha+3}$  which then gives  $U_\rho \leq Q_{\alpha+2}$ , contradicting (15.7.9) and concluding the proof of (ii).

(iii) Since  $[V_\beta \cap Q_{\alpha'}, V_{\alpha'}] = 1$  by (ii), we may argue as in Lemma 14.4(vi).

### 16. Case 3 bites the dust.

Employing Theorem 15.7 we begin this section by determining, for a critical pair  $(\alpha, \alpha')$ , which vertices  $H_\beta$  fixes and the location of the commutator  $[F_\alpha, V_{\alpha'} \cap Q_\beta]$ .

LEMMA 16.1. *Let  $(\alpha, \alpha') \in \mathcal{C}$ . Then*

- (i)  $H_\beta \leq Q_{\alpha'-2} \cap Q_{\alpha'-1}$ ;
- (ii)  $[F_\alpha, V_{\alpha'} \cap Q_\beta] \leq Z_\beta$ ; and
- (iii)  $H_\beta$  is abelian.

PROOF. Assume first that  $H_\beta \not\leq Q_{\alpha'-2}$ . Then there exists  $\beta - 1 \in \mathcal{A}(\beta)$  such that  $F_{\beta-1} \not\leq Q_{\alpha'-2}$ . Putting  $\mathcal{A}(\beta - 1) = \{\beta, \sigma, \tau\}$  we have  $F_{\beta-1} = \langle T_{\beta\beta-1}, T_{\sigma\beta-1}, T_{\tau\beta-1} \rangle$ . Since  $T_{\beta\beta-1} \leq V_\beta \leq Q_{\alpha'-2}$ , we may assume without loss of generality that  $T_{\sigma\beta-1} \not\leq Q_{\alpha'-2}$  and hence  $V_\sigma \not\leq Q_{\alpha'-2}$ . So we may find  $\gamma \in \mathcal{A}(\sigma)$  such that  $(\gamma, \alpha' - 2) \in \mathcal{C}$ . By Theorem 15.7  $V_{\alpha'-2} Q_\sigma / Q_\sigma$  acts as a transvection upon  $V_\sigma / Z_\sigma$  with  $C_{V_\sigma}(V_{\alpha'-2}) = V_\sigma \cap Q_{\alpha'-2} \leq_2 V_\sigma$ . Hence  $V_\sigma = (V_\sigma \cap Q_{\alpha'-2}) T_{\sigma\beta-1}$  and consequently  $[V_\sigma, V_{\alpha'-2}] = [T_{\sigma\beta-1}, V_{\alpha'-2}]$ . If  $V_\beta / Z_\beta \not\cong E(2^4)$ , then  $[T_{\sigma\beta-1}, V_{\alpha'-2}] \leq Z_\sigma$ . While if  $V_\beta / Z_\beta \cong E(2^4)$ , then  $T_{\sigma\beta-1} / Z_\sigma = [V_\sigma, Q_{\beta-1}; 2] / Z_\sigma$  is centralized by all transvections of  $G_{\sigma\beta-1} / Q_\sigma$ .



Hence in either case we have

$$[V_\sigma, V_{\alpha'-2}] = [T_{\sigma\beta-1}, V_{\alpha'-2}] \leq Z_\sigma,$$

whence  $V_{\alpha'-2} \leq Q_\sigma$ , a contradiction. So we have shown that  $H_\beta \leq Q_{\alpha'-2}$ .

Assume next that  $H_\beta \not\leq Q_{\alpha'-1}$ . So  $H_\beta$  is transitive on  $\Delta(\alpha' - 1) \setminus \{\alpha' - 2\}$  and therefore, as  $H_\beta \leq Q_\beta$ ,  $Q_\beta V_{\alpha'} = Q_\beta U_{\alpha'-1}$ . Again, we may find  $\beta - 1 \in \Delta(\beta) \setminus \{\alpha + 2\}$  such that  $F_{\beta-1} \not\leq Q_{\alpha'-1}$  (note that  $F_{\alpha+2} \leq U_{\alpha+2} \leq Q_{\alpha'-1}$ ). Since  $\eta(G_{\alpha'-1}, U_{\alpha'-1}) \geq 4$  by Lemma 15.1 and  $U := U_{\alpha'-1} \cap Q_\beta \leq U_{\alpha'-1}$ , we infer that  $[F_{\beta-1}, U] \geq 2^3$ . On the other hand, by the  $G_{\beta-1}/Q_{\beta-1}$  module structure of  $F_{\beta-1}/Z_{\beta-1}$ , obviously  $[F_{\beta-1}, U] \leq 2^3$ , and so we have  $Z_{\beta-1} \leq [F_{\beta-1}, U] \cong E(2^3)$ . Thence  $Z_{\beta-1} \leq U_{\alpha'-1}$  and so  $[Z_{\beta-1}, U_{\alpha'-1}] = 1$ . Consequently  $U \leq Q_{\beta-1}$  and thus, by Lemma 14.2(i),

$$[F_{\beta-1}, U] \leq [F_{\beta-1}, Q_{\beta-1}] = Z_{\beta-1},$$

contrary to  $[F_{\beta-1}, U] = 2^3$ . Thus we conclude that  $H_\beta \leq Q_{\alpha'-1}$ , and we have proven (i).

For (ii) observe that from Theorem 15.7  $V_{\alpha'} \cap Q_\beta \leq Q_\alpha$  and hence  $[F_\alpha, V_{\alpha'} \cap Q_\beta] \leq [F_\alpha, Q_\alpha] = Z_\alpha$ . From (i) we have  $F_\alpha \leq H_\beta \leq G_{\alpha'}$  and therefore

$$[F_\alpha, V_{\alpha'} \cap Q_\beta] \leq V_{\alpha'} \cap Z_\alpha \leq Q_{\alpha'} \cap Z_\alpha = Z_\beta,$$

as required.

Because  $H_\beta \leq W_\beta \leq Q_\alpha$  we have, using Lemma 14.2(i),  $[F_\alpha, H_\beta] \leq Z_\alpha$  and hence  $[H_\beta, H_\beta] \leq V_\beta$ . Since, by Theorem 15.7,  $V_\beta Q_{\alpha'}/Q_{\alpha'}$  acts as a transvection of  $G_{\alpha'-1\alpha'}/Q_{\alpha'}$  on  $V_{\alpha'}/Z_{\alpha'}$ , it follows from part (i) that  $[H_\beta, H_\beta] \leq Q_{\alpha'}$ . Recalling that  $Y_\beta \leq C_{V_\beta}(V_{\alpha'}) \leq Q_{\alpha'}$  we see that  $[H_\beta, H_\beta] \leq Y_\beta$ . Now  $H_\beta \leq Q_{\alpha+3}$  (using part (i) if  $b = 5$ ). Let  $\gamma \in \Delta(\beta)$  and  $\delta \in \Delta(\gamma) \setminus \{\beta\}$ . Since  $G_\beta$  is transitive on vertices distance 2 from  $\beta$ ,  $H_\beta \leq Q_\delta$  and therefore

$$[T_{\delta\gamma}, H_\beta] \leq [V_\delta, Q_\delta] \cap Y_\beta = Z_\delta \cap Y_\beta = 1.$$

Hence

$$[H_\beta, H_\beta] = \langle [T_{\delta\gamma}, H_\beta]^{G_\beta} \rangle = 1,$$

which proves (iii).

LEMMA 16.2. *Let  $(\alpha, \alpha') \in \mathcal{C}$  and suppose that  $U_\alpha \leq G_{\alpha'}$ . Then  $[U_\alpha, H_\beta] = 1$ .*

PROOF. Set  $\Delta(\alpha) = \{\beta, \lambda, \mu\}$ . Again we have  $H_\beta \leq Q_{\alpha+3}$  and hence  $H_\beta \leq Q_\lambda \cap Q_\mu$ . Therefore

$$[H_\beta, U_\alpha] = [H_\beta, V_\lambda V_\mu] = [H_\beta, V_\lambda] [H_\beta, V_\mu] \leq Z_\lambda Z_\mu = Z_\alpha$$

By Lemma 16.1  $H_\beta \leq G_{\alpha'}$  and thus, as  $Z_\alpha Q_{\alpha'}/Q_{\alpha'} = V_\beta Q_{\alpha'}/Q_{\alpha'}$  acts as a transvection on  $V_{\alpha'}/Z_{\alpha'}$ ,  $[H_\beta, U_\alpha] \leq Q_{\alpha'}$ . Hence  $[H_\beta, U_\alpha] \leq Z_\alpha \cap Q_{\alpha'} = Z_\beta$ . This in turn implies that  $[H_\beta, V_\lambda] \leq Z_\lambda \cap Z_\beta = 1$  as well as  $[H_\beta, V_\mu] \leq Z_\mu \cap Z_\beta = 1$ , so giving  $[H_\beta, U_\alpha] = 1$ .

LEMMA 16.3. *There exists a critical pair  $(\alpha, \alpha')$  which satisfies the following two conditions:*

- (i)  $\langle G_{\alpha\beta}, V_{\alpha'} \rangle = G_\beta$  and
- (ii)  $[F_\alpha, V_{\alpha'} \cap Q_\beta] = 1$ .

PROOF. Suppose the lemma is false. So by Lemma 16.1(ii)  $[F_\delta, V_{\delta'} \cap Q_{\delta+1}] = Z_{\delta+1}$  for all  $(\delta, \delta') \in \mathcal{C}$  with  $\langle G_{\delta\delta+1}, V_{\delta'} \rangle = G_{\delta+1}$ . By Theorem 15.7(iii) we may select a critical pair  $(\alpha, \alpha')$  satisfying condition (i). So, since  $F_\alpha \leq H_\beta \leq G_{\alpha'}$ ,

$$Z_\beta = [F_\alpha, V_{\alpha'} \cap Q_\beta] \leq [F_\alpha, V_{\alpha'}] \leq V_{\alpha'}.$$

Now  $[T_{\beta\alpha}, V_{\alpha'} \cap Q_\beta] \leq [V_\beta, V_{\alpha'} \cap Q_\beta] = 1$  and so we have  $[T_{\lambda\alpha}, V_{\alpha'} \cap Q_\beta] = Z_\beta$  where  $\lambda \in \Delta(\alpha) \setminus \{\beta\}$ . Because  $T_{\lambda\alpha} \triangleleft G_{\lambda\alpha}$  and  $V_{\alpha'} \cap Q_\beta \leq Q_\alpha \leq G_\lambda$  we obtain  $Z_\alpha = Z_\lambda Z_\beta \leq T_{\lambda\alpha}$  and hence, by the definition of  $T_{\lambda\alpha}$ ,

$$(16.3.1) \quad V_\beta/Z_\beta \cong 4 \text{ and } T_{\lambda\alpha} = [V_\lambda, Q_\alpha; 2] \cong E(2^3).$$

From  $[T_{\lambda\alpha}, V_{\alpha'} \cap Q_\beta] = Z_\beta \neq Z_\lambda$  we also deduce that

(16.3.2)  $V_{\alpha'} \cap Q_\beta \not\leq Q_\lambda$  and  $V_{\alpha'} \cap Q_\beta$  does not centralize  $T_{\lambda\alpha}/Z_\lambda$ ; in particular,  $(V_{\alpha'} \cap Q_\beta)Q_\lambda/Q_\lambda$  and hence  $(Q_\alpha \cap Q_\beta)Q_\lambda/Q_\lambda$  is not contained in the  $E(2^3)$ -subgroup of  $G_{\lambda\alpha}/Q_\lambda$  acting quadratically on  $V_\lambda/Z_\lambda$ .

By Lemma 16.1(iii)  $H_\beta$  is abelian and hence  $H_\beta$  acts quadratically on  $V_{\alpha'}$ . Since  $E(2^2) \cong Z_\beta[V_\beta, V_{\alpha'}] \leq [H_\beta, V_{\alpha'}]$  and  $\eta(G_\beta, H_\beta/V_\beta) \geq 1$ , (16.3.1) implies that

$$(16.3.3) \quad E(2^3) \cong C_{V_{\alpha'}}(H_\beta) = [H_\beta, V_{\alpha'}] \geq Z_\beta [V_\beta, V_{\alpha'}] Z_{\alpha'-1} \cong E(2^2).$$

Furthermore,  $\eta(G_\beta, H_\beta) = 2$  and  $V_{\alpha'} Q_\beta/Q_\beta$  acts as a transvection on each of the two non-central  $G_\beta$  chief factors within  $H_\beta$  which must be isomorphic natural  $G_\beta/Q_\beta$ -modules.

(16.3.4)  $F_\alpha Q_{\alpha'} \not\cong V_\beta Q_{\alpha'}$  and, in particular,  $|(Q_{\alpha'-2} \cap Q_{\alpha'-1})Q_{\alpha'}/Q_{\alpha'}| \geq 2^3$

Suppose that  $F_\alpha Q_{\alpha'} = V_\beta Q_{\alpha'}$  holds. Then

$$[F_\alpha, V_{\alpha'}] = [F_\alpha \cap Q_{\alpha'}, V_{\alpha'}] [V_\beta, V_{\alpha'}] \leq Z_{\alpha'} [V_\beta, V_{\alpha'}] \cong E(2^2).$$

Since  $[V_\beta, V_{\alpha'}] \neq Z_\beta = [F_\alpha, V_{\alpha'} \cap Q_\beta]$  we get

$$Z_{\alpha'} \leq [F_\alpha, V_{\alpha'}] = Z_\beta [V_\beta, V_{\alpha'}] \leq V_\beta.$$

But then  $V_\beta F_\alpha \triangleleft \langle G_{\alpha\beta}, V_{\alpha'} \rangle = G_\beta$ , against Lemma 14.2(i). Therefore we must have  $F_\alpha Q_{\alpha'} \cong Z_\alpha Q_{\alpha'} = V_\beta Q_{\alpha'}$ . Hence  $|(Q_{\alpha'-2} \cap Q_{\alpha'-1})Q_{\alpha'}/Q_{\alpha'}| \geq 2^2$ . Combining (16.3.2) and Lemma 11.1(vii) with the fact that  $\langle s_1, t \rangle$  is not a normal subgroup of  $T$  (see Proposition 2.5(ii)) yields that  $|(Q_{\alpha'-2} \cap Q_{\alpha'-1})Q_{\alpha'}/Q_{\alpha'}| \geq 2^3$ , as required.

(16.3.5)  $[U_\alpha, Z_{\alpha'}] = 1$

From (16.3.3)

$$Z_{\alpha'} \leq [H_\beta, V_{\alpha'}] \leq H_\beta \leq W_\beta.$$

If  $b > 5$ , then (16.3.5) clearly holds, so we now assume  $b = 5$ . Since  $Z_\beta \leq V_{\alpha'}$ , we have

$$Z_\beta \leq V_\beta \cap V_{\alpha+3} \cap V_{\alpha'} = Z_{\alpha+2} \cap Z_{\alpha'-1}.$$

Because  $Z_\beta \neq Z_{\alpha+3} \leq Z_{\alpha+2} \cap Z_{\alpha'-1}$  we deduce that  $Z_{\alpha+2} = Z_{\alpha'-1}$ . Consequently  $Z_{\alpha'} \leq Z_{\alpha'-1} = Z_{\alpha+2} \leq V_\beta$ . Now  $[V_\beta, W_\beta] = 1$  implies that (16.3.5) holds when  $b = 5$ .

We claim that  $U_\alpha \leq Q_{\alpha'-2}$ . For if  $U_\alpha \not\leq Q_{\alpha'-2}$  then there exists  $\alpha - 2 \in \mathcal{A}^{[2]}(\alpha)$  such that  $(\alpha - 2, \alpha' - 2) \in \mathcal{C}$ . Moreover, by Theorem 15.7(iii), we can also assume that  $\langle G_{\alpha-2\alpha-1}, V_{\alpha'-2} \rangle = G_{\alpha-1}$  (where  $\{\alpha - 1\} = = \mathcal{A}(\alpha) \cap \mathcal{A}(\alpha - 2)$ ). Hence, by our supposition,

$$Z_{\alpha-1} = [F_{\alpha-2}, V_{\alpha'-2} \cap Q_{\alpha-1}] \leq V_{\alpha'-2} \leq Q_{\alpha'}$$

whence  $Z_\alpha = Z_{\alpha-1}Z_\beta \leq Q_{\alpha'}$ . With this contradiction we have established the claim. Now, using (16.3.5), we deduce that  $U_\alpha \leq C_{G_{\alpha'-1}}(Z_{\alpha'-1}) = = Q_{\alpha'-1} \leq G_{\alpha'}$ . Applying Lemma 16.2 gives  $[U_\alpha, H_\beta] = 1$ . Therefore  $U_\alpha$  centralizes  $[H_\beta, V_{\alpha'}] \cong E(2^3)$  and so  $U_\alpha$  acts quadratically on  $V_{\alpha'}$ . Since  $F_\alpha \leq U_\alpha$ , (16.3.4) implies that  $|U_\alpha Q_{\alpha'}/Q_{\alpha'}| \geq 2^2$  so we see that

$$[U_\alpha, V_{\alpha'}] \leq C_{V_{\alpha'}}(U_\alpha) = [H_\beta, V_{\alpha'}].$$

Hence we obtain  $[U_\alpha, V_{\alpha'}] \leq [H_\beta, V_{\alpha'}] \leq H_\beta$ . Thus  $H_\beta U_\alpha \triangleleft \langle G_{\alpha\beta}, V_{\alpha'} \rangle = G_\beta$  from which we conclude that  $W_\beta = H_\beta U_\alpha$  and  $\eta(G_\beta, W_\beta) = \eta(G_\beta, H_\beta) = 2$ .

Since  $\eta(G_\beta, W_\beta/[W_\beta, Q_\beta]V_\beta) \neq 0$ , there exists a  $G_\beta$ -invariant subgroup  $E$  of  $W_\beta$  containing  $[W_\beta, Q_\beta]V_\beta$  such that  $W_\beta/E$  is a natural or the dual of an orthogonal  $G_\beta/Q_\beta$ -module. Appealing to [Proposition 3; LPR2] yields  $V_\lambda E/E \cong E(2^3)$  (where  $\lambda \in \mathcal{A}(\alpha) \setminus \{\beta\}$ ). Since  $[Q_\lambda, V_\lambda] = Z_\lambda \leq Z_\alpha \leq E$ ,  $(Q_\lambda \cap Q_\alpha)Q_\beta/Q_\beta$  centralizes the group  $V_\lambda E/E \cong E(2^3)$ . Using (16.3.4) and Lemma 11.1(vii)  $|(Q_\lambda \cap Q_\alpha)Q_\beta/Q_\beta| \geq 2^3$  and so it is the  $E(2^3)$ -quadratic subgroup of  $G_{\alpha\beta}/Q_\beta$  on  $V_\beta/Z_\beta$ . This contradicts (16.3.2) and concludes the proof of the lemma.

From now on  $(\alpha, \alpha')$  will be a critical pair satisfying conditions (i) and (ii) of Lemma 16.3.

LEMMA 16.4. (i)  $F_\alpha Q_{\alpha'} = Z_\alpha Q_\alpha = V_\beta Q_{\alpha'}$ . Moreover  $[F_\alpha, V_{\alpha'}] = Z_{\alpha'}[V_\beta, V_{\alpha'}] \cong E(2^2)$  with  $[F_\alpha \cap Q_{\alpha'}, V_{\alpha'}] = Z_{\alpha'} \not\leq V_\beta F_\alpha$ ; and  
(ii)  $H_{\alpha+3} \leq Q_\beta \cap Q_\alpha$ .

PROOF. Recall that  $F_\alpha \leq H_\beta \leq G_{\alpha'}$ . Since  $F_\alpha$  centralizes a hyperplane in  $V_{\alpha'}$  (by condition (ii) of Lemma 16.3) we obviously have  $F_\alpha Q_{\alpha'} = Z_\alpha Q_{\alpha'} = V_\beta Q_{\alpha'}$ . Now the other statements in (i) are easy consequences of the fact that  $H_\beta \not\cong V_\beta F_\alpha$ .

In proving (ii) we may assume  $b = 5$ . Suppose for the moment that  $U_\alpha \leq Q_{\alpha'-2}$  and let  $\lambda \in \mathcal{A}(\alpha) \setminus \{\beta\}$ . By Lemma 15.2 we cannot have  $(\tau, \lambda) \in \mathcal{C}$  for any  $\tau \in \mathcal{A}(\alpha' - 2)$ , and hence  $V_{\alpha'-2} \leq Q_\lambda$ . Because  $Z_\lambda \not\leq V_{\alpha'-2}$ ,  $[V_\lambda, V_{\alpha'-2}] = 1$  and therefore  $[U_\alpha, V_{\alpha'-2}] = 1$ . So  $U_\alpha \leq G_{\alpha'}$  and hence, using Lemma 16.2,  $[U_\alpha, H_\beta] = 1$ . Thus  $[H_{\alpha+3}, U_{\alpha+2}] = 1$ . In particular  $[H_{\alpha+3}, V_\beta] = 1$  which then yields  $H_{\alpha+3} \leq Q_\alpha \cap Q_\beta$ . Therefore (ii) holds when  $U_\alpha \leq Q_{\alpha'-2}$ . Now we consider the case  $U_\alpha \not\leq Q_{\alpha'-2}$ . Then there exists  $\alpha - 2 \in \mathcal{A}^{[2]}(\alpha)$  such that  $(\alpha - 2, \alpha' - 2) \in \mathcal{C}$ . Set  $\{\alpha - 1\} = \mathcal{A}(\alpha) \cap \mathcal{A}(\alpha - 2)$ . By Lemma 16.2 there exists  $\tau \in \mathcal{A}(\alpha' - 2)$  such that  $(\tau, \alpha - 1) \in \mathcal{C}$ . Applying Lemma 16.1(i) to this critical pair gives  $H_{\alpha'-2} \leq Q_\alpha \cap Q_\beta$ . This proves (ii) since  $\alpha' - 2 = \alpha + 3$ .

By Lemma 16.4(i) there exists  $\rho \in \mathcal{A}(\alpha') \setminus \{\alpha' - 1\}$  such that  $[F_\alpha \cap Q_{\alpha'}, Z_\rho] = Z_{\alpha'}$  and (hence)  $F_\alpha \cap Q_{\alpha'} \not\leq Q_\rho$ . Also we note that

LEMMA 16.5.  $(\rho, \beta) \in \mathcal{C}$ .

PROOF. If the lemma is false, then  $Z_\rho \leq Q_\beta \leq G_\alpha$  whence  $Z_{\alpha'} = [F_\alpha \cap Q_{\alpha'}, Z_\rho] \leq [F_\alpha, Z_\rho] \leq F_\alpha$ , contrary to Lemma 16.4(i).

LEMMA 16.6.  $\eta(G_\rho, U_\rho) \leq 3$ .

PROOF. Put  $\mathcal{A}(\rho) = \{\alpha', \sigma, \tau\}$ .

Assume for the moment that  $V_\sigma \not\leq Q_{\alpha+3}$ . Then there exists  $\sigma + 1 \in \mathcal{A}(\sigma)$  such that  $(\sigma + 1, \alpha + 3) \in \mathcal{C}$ . By Lemma 15.2 there exists  $\mu \in \mathcal{A}(\alpha + 3)$  for which  $(\mu, \sigma) \in \mathcal{C}$  and by Theorem 5.7(iii) we may choose  $\mu$  so as  $\langle G_{\mu\alpha+3}, V_\sigma \rangle = G_{\alpha+3}$ . Additionally assume that  $[F_\mu, V_\sigma \cap Q_{\alpha+3}] = 1$  (so condition (ii) of Lemma 16.3 holds for  $(\mu, \sigma)$ ). Applying Lemma 16.4(i) to  $(\mu, \sigma)$  gives  $[F_\mu \cap Q_\sigma, V_\sigma] = Z_\sigma$ . Since  $V_\sigma \leq G_{\alpha+3}$ , we then obtain

$$Z_\sigma = [F_\mu \cap Q_\sigma, V_\sigma] \leq [H_{\alpha+3}, V_\sigma] \leq H_{\alpha+3}.$$

By Lemma 16.1(i)  $H_{\alpha+3} \leq Q_\beta$  and thus  $Z_\rho = Z_{\alpha'}Z_\sigma \leq Q_\beta$ , contrary to  $(\rho, \beta) \in \mathcal{C}$  (Lemma 16.5). Therefore we must have  $[F_\mu, V_\sigma \cap Q_{\alpha+3}] \neq 1$  and recourse to Lemma 16.1(ii) gives  $[F_\mu, V_\sigma \cap Q_{\alpha+3}] = Z_{\alpha+3}$ . Since  $F_\mu \leq G_\sigma$  by Lemma 16.1(i), this gives  $Z_{\alpha+3} \leq V_\sigma$ . Clearly, as  $F_\alpha \leq U_\alpha$  and  $b \geq 5$ ,  $F_\alpha \cap Q_{\alpha'}$  centralizes  $Z_{\alpha+3}$  and therefore  $Z_{\alpha+3} \leq V_\sigma \cap V_\tau = Z_\rho$  by the core argument. Because  $Z_\rho \not\leq Q_\beta$  and  $Z_{\alpha'} \not\leq Q_\beta$  it follows that  $Z_{\alpha+3} = Z_{\alpha'}$ . But then  $Z_{\alpha'} \leq V_\beta$  which is ruled out by Lemma 16.4(i). Hence we deduce that  $V_\sigma \leq Q_{\alpha+3}$ . As a consequence of this Lemma 15.2 implies that  $(\alpha + 2, \sigma) \notin \mathcal{C}$  and so  $[Z_{\alpha+2}, V_\sigma] \leq Z_\sigma$ . In view of  $Z_\rho = Z_{\alpha'}Z_\sigma, Z_{\alpha'} \leq Q_\beta$  and  $(\rho, \beta) \in \mathcal{C}$ , we have  $[Z_{\alpha+2}, V_\sigma] = 1$ . Consequently  $V_\sigma \leq C_{G_{\alpha+2}}(Z_{\alpha+2}) = Q_{\alpha+2}$ . Similarly we obtain  $V_\tau \leq Q_{\alpha+2}$  and thus

$$(16.6.1) \quad U_\rho \leq G_\beta.$$

Since  $[V_\beta \cap Q_{\alpha'}, V_{\alpha'}] = 1$  and  $F_\alpha \cap Q_{\alpha'} \not\leq Q_\rho$ ,

$$\begin{aligned} [V_\beta \cap Q_{\alpha'}, U_\rho] &= [V_\beta \cap Q_{\alpha'}, V_\sigma][V_\beta \cap Q_{\alpha'}, V_\tau] \\ &= [V_\beta \cap Q_{\alpha'}, V_\sigma] \leq V_\beta \cap V_\sigma. \end{aligned}$$

The core argument and Lemma 16.5 give

$$[V_\beta \cap Q_{\alpha'}, U_\rho] \leq V_\beta \cap Z_\rho = Z_{\alpha'}.$$

Now  $Z_{\alpha'} \not\leq V_\beta$  by Lemma 16.4(i) forces  $[V_\beta \cap Q_{\alpha'}, U_\rho] = 1$ . Hence, by (16.6.1),  $|U_\rho Q_\beta / Q_\beta| \leq 2$ . So

$$(16.6.2) \quad [U_\rho : U_\rho \cap Q_\alpha] \leq 2^2.$$

Observe that

$$[F_\alpha \cap Q_{\alpha'}, U_\rho \cap Q_\alpha] \leq Z_\alpha \cap U_\rho \leq Z_\alpha \cap Q_{\alpha'} = Z_\beta.$$

Therefore for  $f \in F_\alpha \cap Q_{\alpha'}$ ,  $|[f, U_\rho]| \leq 2^3$  by (16.6.2). Since  $F_\alpha \cap Q_{\alpha'} \not\leq Q_\rho$ , we conclude that  $\eta(G_\rho, U_\rho) \leq 3$ .

Together Lemmas 15.1 and 16.6 are responsible for the demise of Case 3, and so Theorem 14.1 is proven.

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