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## Minimal Abelian Automorphism Groups of Finite Groups.

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ABSTRACT - We determine the smallest odd-order Abelian group which occurs as the automorphism group of a finite group.

### 1. Introduction.

Finite (non-cyclic) groups whose automorphism group is Abelian were first studied extensively by G. A. Miller, who wrote down in [8] a group of order 64 whose automorphism group is Abelian of order 128. Following the author of [3], I term a finite group  $G$  «miller» if  $\text{Aut } G$  is Abelian. Since  $\text{Inn } G$  is a normal subgroup of  $\text{Aut } G$  and  $\text{Inn } G \cong G/Z(G)$ , a miller group is nilpotent of class at most 2. Hence, in any attempt to characterize miller groups one can confine one's attention to  $p$ -groups. By a well-known result (see [2]), the only Abelian miller groups are the cyclic groups. In the non-Abelian case, the smallest miller 2-group is well-known to be the example constructed in [8]. In the odd prime case, the question of the smallest miller  $p$ -group took much longer to resolve. It was tackled by Earnley [3] and finally settled recently by Morigi [9]. She constructed a group of order  $p^7$  whose automorphism group is Abelian of order  $p^{12}$ , where  $p$  is any odd prime, and showed that no smaller miller  $p$ -groups existed.

In this paper I propose to answer the natural question running alongside the issue of minimal miller groups-namely, «What is the or-

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der of a smallest Abelian group which occurs as the automorphism group of a finite, non-cyclic,  $p$ -group?». For  $p = 2$ , the answer is G. A. Miller's group [8] of order 128. This is borne out by the classification, in [5], of all the groups whose orders divide 128, and which can occur as the automorphism group of a finite group. For  $p$  odd, it is natural to conjecture that the smallest Abelian group with the desired property is the one of order  $p^{12}$  in Morigi's paper. I shall prove that this is indeed the case.

## 2. Notation and terminology.

Most of the notation used is standard. All groups considered are finite.

Cent  $G$  will denote the group of central automorphisms of a group  $G$ .

A purely non-Abelian group (PN-group) is one with no Abelian direct factor.

$d(G)$  will denote the number of elements in a minimal generating system for  $G$ .

$G_n = \langle x \in G \mid x^n = 1 \rangle$  where  $n \in N$ .

Similarly,  $G^n = \langle x^n \mid x \in G \rangle$ .

$\mathbf{Z}_p$  denotes the field of integers mod  $p$ . An elementary Abelian  $p$ -group  $G$  of rank  $n$  will be considered as a vector space of dimension  $n$  over  $\mathbf{Z}_p$ . For a fixed basis  $\{x_1, \dots, x_n\}$  of such a group, we shall associate to each  $\alpha \in \text{Aut } G$  a matrix  $A = (a_{ij})$  with entries in  $\mathbf{Z}_p$  such that  $(x_i)\alpha = \sum_{j=1}^n a_{ij}x_j$ .

The following piece of terminology is non-standard: I shall call two groups  $G$  and  $H$  *hypomorphic* if and only if

$$G' \cong H'; \quad Z(G) \cong Z(H); \quad G/G' \cong H/H'; \quad G/Z(G) \cong H/Z(H).$$

The set of all groups hypomorphic with  $G$  I shall term a *hypomorphism class*.

## 3. Statement of theorem and preliminary analysis.

It is our purpose to prove the following

**MAIN THEOREM 3.1.** *Let  $G$  be a finite non-cyclic  $p$ -group,  $p$  odd, for which  $\text{Aut } G$  is Abelian. Then  $p^{12}$  divides  $|\text{Aut } G|$ .*

Henceforth, then,  $p$  denotes an odd prime,  $G$  a finite  $p$ -group.

If  $\text{Aut } G$  is Abelian then  $\text{Aut } G = \text{Cent } G$  (see [3], 2.2), and  $G$  is a PN-group ([3], 2.3). Consequently,  $\text{Aut } G$  is a  $p$ -group ([3], 2.4). Thus, if  $G$  is to contradict the theorem,  $\text{Aut } G$  must have order  $p^n$  for some  $n \leq 11$ . By Morigi's result,  $|G| \geq p^7$ . On the other hand  $|G|$  divides  $|\text{Aut } G|$  when  $G$  is miller. Thus  $p^7 \leq |G| \leq p^{11}$ .

Our first result allows us to eliminate  $|G| = p^{11}$ , and may be of independent interest. One may observe that the result is just a slight improvement upon a special case of that of Faudree [4], that the order of every finite  $p$ -group of class 2 divides that of its automorphism group. It is not surprising, therefore, that the proof follows precisely the approach of Faudree. The notation for the proof is taken entirely from [4], and henceforth I will assume the familiarity of the reader with that paper.

LEMMA 3.2. *Let  $G$  be a miller  $p$ -group,  $p$  odd. Then  $|G|$  properly divides  $|\text{Aut } G|$  (<sup>1</sup>).*

PROOF. Let  $G$  be a counterexample.  $\text{Aut } G$  is a  $p$ -group. Following [4],  $\text{Aut } G$  has a subgroup  $T$  whose order is given by

$$(1) \quad |T| = \prod_{\mu=a}^f \left( \llbracket k_\mu / m_1 \rrbracket \times \prod_{j=1}^n \min \{ k_\mu, m_j \} \right).$$

Since  $k_a \geq k_b \geq m_1$ , it follows that

$$(2) \quad |T| = |G/G'| \left( \prod_{j=2}^n m_j \right)^2 \prod_{\mu=c}^f \prod_{j=2}^n \min \{ k_\mu, m_j \}.$$

Then Faudree constructs a subgroup  $U$  of  $\text{Aut } G$  and shows that  $(UT : T) \geq m_1/m_2$ , in all cases. Thus,  $|\text{Aut } G|_p \geq |G|$  unless  $n \leq 2$ . But if  $n = 2$ , we still get  $|UT| > |G|$  unless  $d(G) = 2$ , which implies that  $G'$  is cyclic i.e.: that  $n = 1$ .

Hence we can assume that  $G'$  is cyclic, and  $|T| = |G/G'|$  in this case. We consider the same automorphisms  $\sigma_1, \sigma_2, \tau_1$  and  $\tau_2$  as did Faudree, and distinguish 3 possible relationships between the quantities  $t_a$  and  $t_b$ , namely

$$(3) \quad \text{I) } t_b = r t_a (r \geq 1), \quad \text{II) } t_a = r t_b (r \geq l), \quad \text{III) } t_a = r t_b (1 < r < l).$$

(<sup>1</sup>) The author has been able to prove this result also for  $p = 2$ . The proof is omitted, as it would be irrelevant to the purpose of this paper.

Suppose I) holds. Replace  $b$  by  $a^{-br}b$  to get  $t_b = m_1$ . Thus  $\tau_1$  has order  $\llbracket m_1^2/k_b \rrbracket \pmod{\text{Cent } G}$ , so  $\text{Aut } G \text{ Abelian} \Rightarrow k_b \geq m_1^2$ . But then  $\sigma_1$  has order  $k_b/m_1 \pmod{T}$ , so  $|\text{Aut } G|_p \geq |G|$ , with equality possible if and only if  $k_b = m_1^2$ . A similar analysis shows that we must have  $\sigma_1$  having order  $m_1 \pmod{T}$ , and  $k_a = k_b, t_a = 1$ . Consequently,  $\langle \sigma_1, \sigma_2, T \rangle$  is a  $p$ -group of order  $m_1 |G|$ —a contradiction!

Suppose II) holds. Replace  $a$  by  $b^{-rl}a$  to get  $t_a = m_1$ . Then  $\tau_2$  must lie in  $\text{Cent } G$  so  $k_a \geq m_1^2$ . Then  $|\langle \sigma, \sigma_2, T \rangle|$  will be strictly divisible by  $|G|$  unless  $k_b = m_1$ , in which case  $\sigma_1 \in T$  and  $|\langle \sigma_2, T \rangle| = |G|$ . Since  $t_a = m_1$ , it is clear that  $\text{Cent } G$  properly contains  $\langle \sigma_2, T \rangle$  unless  $d(G) = 2$ . In this case, a non-central automorphism fixing  $\langle G', b \rangle$  elementwise is easily constructed, using Lemma 3.7 below.

Finally, suppose III) applies.  $\tau_1 \in \text{Cent } G$  so  $k_b \geq m_1^2$ . Then, as with I), we easily deduce that  $|\langle \sigma_1, \sigma_2, T \rangle|$  is strictly divisible by  $|G|$ .

This completes the proof of the lemma.

Hence, we can assume that if  $G$  contradicts the theorem, then  $p^7 \leq |G| \leq p^{10}$ . My approach will be to eliminate all possible hypomorphism classes of groups one-by-one. For most of these, straightforward applications of well-known results suffice, and no complete proofs will be given. Some individual classes cause greater difficulty and will be dealt with in more detail. I will require a long sequence of results from the literature. First, I note an immediate corollary of equation (1) above.

**LEMMA 3.3.** *Let  $G$  be a counterexample to the main theorem. Then  $d(G') \leq 3$ .*

This follows straight from equation (1). Lemmas 3.4-3.8 are all well-known results:

**LEMMA 3.4 [10].** *Let  $G$  be a PN-group, for any prime  $p$ . Then*

$$(4) \quad |\text{Cent } G| = \prod_{i=1}^k |Z_{p^i}|^{r_i}$$

where  $p^k$  is the exponent of  $G/G'$  and, in a cyclic decomposition of  $G/G'$ , there occur  $r_i$  factors of order  $p^i$ .

Recall that in a finite Abelian  $p$ -group  $A$ , the height of an element  $x$  is given by

$$(5) \quad \text{height}_A(x) = n \text{ if } x \text{ lies in } A^{p^n} \text{ but not in } A^{p^{n+1}}.$$

We now have

LEMMA 3.5 [1]. Let  $G$  be a class 2  $p$ -group with  $G/G' = \prod_{i=1}^n \langle G' x_i \rangle$ . Define

$$(6) \quad K(G) = \langle x \in G \mid \text{height}_{G/G'}(G' x) \geq b \rangle$$

where  $p^b$  is the exponent of  $G'$ . Also define

$$(7) \quad R(G) = \langle z \in Z(G) \mid |z| \leq p^d \rangle$$

where  $p^d = \min(\exp Z, \exp G/G')$ . Then  $\text{Cent } G$  is Abelian if and only if  $R(G) = K(G)$  and either

(i)  $d = b$  or

(ii)  $d > b$  and  $R/G' = \langle G' x_1^{p^b} \rangle$  where  $x_1$  is chosen from among  $x_1, \dots, x_n$  such that  $|x_1^{p^b}| = p^d$ . In particular,  $R/G'$  is cyclic.

LEMMA 3.6. Let  $G$  be a finite  $p$ -group. Then  $\text{Aut } G$  is not Abelian if any of the following holds:

(i)  $Z(G)$  is cyclic [3], 2.6,

(ii)  $d(G/Z) = 2$  [3], 4.1,

(iii)  $\exp G = p$  [3], 3.3,

(iv)  $d(G) = 3$  and either  $G$  is special or  $|G'| = p$ . [3], 4.4.

LEMMA 3.7 [6]. Let  $N$  be a normal subgroup of a finite group  $G$  such that  $G/N$  is cyclic of order  $n$ . Write  $G/N = \langle Ng \rangle$ . Let  $x \in Z(N)$  such that  $g^n = (gx)^n$ . Then the map  $\alpha: G \rightarrow G$  given by

$$(8) \quad na = n \quad \forall n \in N, \quad ga = gx$$

can be extended to an automorphism of  $G$ .

LEMMA 3.8 [7]. Suppose the finite group  $G$  splits over an Abelian normal subgroup  $A$ . Then  $G$  has an automorphism of order 2 which inverts  $A$  elementwise.

#### 4. Proof of main theorem.

Let  $G$  be a counterexample. We already know that  $p^7 \leq |G| \leq p^{10}$ . Now most of the hypomorphism classes of groups of these orders can be eliminated by using Lemmas 3.2-3.8 above. Obviously, the number of classes involved is far too large for detailed proofs to be given here. Details may be obtained from the author if required.

The analysis revealed a small number of classes, or collections of similar classes, which were not amenable to such straightforward treatment. I now give a list of these:

*Class I.*  $G' \cong C_p \times C_p$ ;  $Z(G) \cong C_{p^n} \times C_p$  for some  $n \geq 2$ ;  $G/G' \cong C_{p^n} \times C_p \times C_p \times C_p$ ;  $G/Z \cong C_p \times C_p \times C_p \times C_p$ .

*Class II.*  $G' \cong C_p \times C_p$ ;  $Z(G) \cong C_{p^n} \times C_p \times C_p$  for some  $n \geq 2$ ;  $G/G' \cong C_{p^{n+1}} \times C_p \times C_p$ ;  $G/Z \cong C_p \times C_p \times C_p$ .

*Class III.*  $G' \cong C_p \times C_p \times C_p$ ;  $Z(G) \cong C_{p^n} \times C_p \times C_p$  for some  $n \geq 2$ ,  $G/G' \cong C_{p^n} \times C_p \times C_p$ ;  $G/Z \cong C_p \times C_p \times C_p$ .

*Class IV.*  $G' \cong C_p \times C_p$ ;  $Z(G) \cong C_{p^n} \times C_p$  for some  $n \geq 1$ ;  $G/G' \cong C_{p^n} \times C_p \times C_p \times C_p \times C_p$ ;  $G/Z \cong C_p \times C_p \times C_p \times C_p \times C_p$ .

I shall eliminate the classes individually in a series of four lemmas. Each of the groups listed will be shown to have a non-central automorphism. My principal tool will be the following powerful criterion, due to Earnley [3], 3.2, for groups with homocyclic central quotient - a property possessed by all the groups above—to possess a non-central automorphism.

LEMMA 4.1. Consider the extension  $1 \rightarrow Z \rightarrow G \rightarrow G/Z \rightarrow 1$  where  $G$  is a  $p$ -group and  $G/Z$  is a direct product of  $n(n \geq 2)$  copies of  $C_{p^t}$  for some fixed  $t$ . Let  $T: G/Z \rightarrow Z/Z^{p^t}$  be the homomorphism given by  $(Zx)T = Z^{p^t}x^{p^t}$ . Also let  $[, ]: G/Z \times G/Z \rightarrow Z$  be given by  $(Zx, Zy)[, ] = [x, y]$ . Now let  $\alpha$  be in  $\text{Aut}(G/Z)$  and  $\beta$  be in  $\text{Aut} Z$ .

Then  $G$  has an automorphism inducing  $\alpha$  on  $G/Z$  and  $\beta$  on  $Z$  if and only if the following two diagrams commute:

$$\begin{array}{ccc}
 G/Z \times G/Z & \xrightarrow{[,]} & Z \\
 \alpha \times \alpha \downarrow & & \downarrow \beta \\
 G/Z \times G/Z & \xrightarrow{[,]} & Z
 \end{array}
 \qquad
 \begin{array}{ccc}
 G/Z & \xrightarrow{T} & Z/Z^{p^t} \\
 \alpha \downarrow & & \downarrow \bar{\beta} \\
 G/Z & \xrightarrow{T} & Z/Z^{p^t}
 \end{array}$$

where  $(Z^{p^t}z)\bar{\beta} = Z^{p^t}(z\beta)$ .

We now begin the process of elimination.

LEMMA 4.2. Let  $G$  be a member of Class I. Then  $G$  has a non-central automorphism.

PROOF. Let  $G$  be a counterexample. Write

$$(9) \quad G/G' = \langle G' a \rangle \times \langle G' b \rangle \times \langle G' c \rangle \times \langle G' d \rangle$$

where  $a^{p^n}, b^p, c^p$  and  $d^p$  are all in  $G'$ . Cent  $G$  is Abelian so, by Lemma 3.5,  $a$  can be chosen to have order  $p^{n+1}$  and so that  $Z(G) = \langle a^p, G' \rangle$ . Clearly,  $|G_p Z/Z| \geq p^2$ . First suppose that  $a$  may also be chosen so that  $C_G(a) \setminus Z$  meets  $G_p$ . Then  $[a, b] = b^p = 1$  WLOG. We claim that  $c$  and  $d$  can be chosen to commute. Choose both arbitrarily to begin with.  $[c, d] \neq 1$  by assumption. But  $C_G(b) \cap \langle c, d \rangle \subseteq Z = \phi$  as otherwise  $C_G(b)$  would be a maximal subgroup of  $G$  and a non-central automorphism of  $G$  could be constructed by Lemma 3.7. Thus  $G' = \langle [b, d], [c, d] \rangle$  and our claim follows easily. Indeed, we can also assume that  $c^p = 1$  WLOG. But if we could also choose  $d$  of order  $p$ , then  $G$  would split over the normal Abelian subgroup  $\langle Z, a, b \rangle$  and have a (non-central) automorphism of order 2, by Lemma 3.8. So we can take it that  $G' = \langle a^{p^n} \rangle \times \langle d^p \rangle$ . Set  $a^{p^n} = z_1$  and  $d^p = z_2$  for convenience. There exist  $i, j, k, l, m, n$  in  $\mathbf{Z}_p$  such that

$$(10) \quad [a, c] = z_1^i z_2^j, \quad [b, c] = z_1^k z_2^l, \quad [b, d] = z_1^m z_2^n.$$

Consider the matrices

$$(11) \quad M = \begin{pmatrix} 1 & 0 & \gamma & \delta \\ 0 & 1 & \varepsilon & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad N = \begin{pmatrix} 1 & 0 \\ \delta & 1 \end{pmatrix},$$

with entries in  $\mathbf{Z}_p$ . Let  $\alpha$  and  $\beta$  be the automorphisms of  $G/Z$  and  $Z$  associated with  $M$  and  $N$ , and with respect to the bases  $\{Za, Zb, Zc, Zd\}$  and  $\{z_1, z_2\}$  of  $G/Z$  and  $G'$  respectively. Then one may verify that, by Lemma 4.1, there exists an automorphism of  $G$  inducing  $\alpha$  and  $\beta$  provided that

$$(12) \quad \begin{pmatrix} k & m \\ l & n \end{pmatrix} \begin{pmatrix} \gamma \\ \delta \end{pmatrix} = \begin{pmatrix} i\varepsilon \\ j\varepsilon \end{pmatrix}.$$

But  $(i, j) \neq (0, 0)$  as otherwise  $C_G(c)$  would be maximal in  $G$  and a non-central automorphism of  $G$  could be constructed using Lemma 3.7.

Similarly,  $C_G(b)$  is not maximal in  $G$ , so  $\det \begin{pmatrix} k & m \\ l & n \end{pmatrix} \neq 0$ . So choose  $\varepsilon \neq 0$

and a (unique) solution  $(\gamma, \delta)$  to equation (12), and hence a non-central automorphism of  $G$ , is guaranteed to exist.



We may therefore assume that  $a$  cannot be chosen so that  $C_G(a)\backslash Z$  meets  $G_p$ . Thus we may choose  $a$  and  $b$  so that  $[a, b] = 1$  and  $G' = \langle a^{p^n} \rangle \times \langle b^p \rangle$ . Consequently, we can choose  $c$  and  $d$  both to have order  $p$ . It follows that  $C_G(c)$  and  $C_G(d)$  must both be contained in  $N = \langle Z, b, c, d \rangle$ . From this we easily deduce that either  $[c, d] = 1$  or  $Z(N)$  properly contains  $Z(G)$ . In the former case,  $G$  splits over the Abelian normal subgroup  $\langle Z, a, b \rangle$  and has an automorphism of order 2. In the latter case, a non-central automorphism is easily constructed using 3.7.

This completes the proof of Lemma 4.2.

We continue immediately to

**LEMMA 4.3.** *Let  $G$  be a member of Class II. Then  $G$  has a non-central automorphism.*

**PROOF.** Let  $G$  be a counterexample. Write

$$(13) \quad G/G' = \langle G' a \rangle \times \langle G' b \rangle \times \langle G' c \rangle$$

where  $a^{p^{n+1}}$ ,  $b^p$  and  $c^p$  are all in  $G'$ . Cent  $G$  is Abelian so, by 3.5, we must have  $|a| = p^{n+1}$  and  $Z(G) = G' \times \langle a^p \rangle$ . If  $b$  and  $c$  could be chosen to commute, then  $A = \langle G', b, c \rangle$  would be Abelian with  $G/A \cong C_{p^{n+1}}$ , and so  $G$  would have a non-central automorphism by 3.7. It follows that  $[a, b] = 1$  WLOG. If  $b$  could be chosen to have order  $p$ , then a non-central automorphism fixing  $B = \langle Z, a, b \rangle$  elementwise could be constructed using 3.7. If  $c$  could be chosen of order  $p$ , then  $G$  would split over  $B$  and have an automorphism of order 2, by 3.8. Thus we can take it that  $G' = \langle b^p \rangle \times \langle c^p \rangle$ . Set  $z_1 = b^p$ ,  $z_2 = c^p$  and  $z_3 = a^p$ . There exist  $i, j, k, l$  in  $\mathbf{Z}_p$  such that

$$(14) \quad [a, c] = z_1^i z_2^j, \quad [b, c] = z_1^k z_2^l.$$

Let  $\alpha$  be the map on  $G/Z$  associated with the matrix

$$\begin{pmatrix} \gamma & \delta & 0 \\ 0 & 1 & 0 \\ 0 & \varepsilon & \phi \end{pmatrix}$$

relative to the basis  $\{Za, Zb, Zc\}$ . Let  $\beta: Z \rightarrow Z$  be the map defined by

$$(15) \quad z_1\beta = z_1, \quad z_2\beta = z_1^\varepsilon z_2^\phi, \quad z_3\beta = z_1^\delta z_3^\gamma.$$

One may verify that the conditions of Lemma 4.1 are satisfied pro-

vided the following equations hold:

$$(16) \quad \begin{pmatrix} i & k \\ j & l \end{pmatrix} \begin{pmatrix} \gamma \\ \delta \end{pmatrix} = \begin{pmatrix} \frac{i}{\phi} + j \frac{\varepsilon}{\phi} \\ j \end{pmatrix},$$

$$(17) \quad k\phi = k + l\varepsilon.$$

Since  $\det \begin{pmatrix} i & k \\ j & l \end{pmatrix} \neq 0$ , one can readily check that a solution  $(\gamma, \delta, \varepsilon, \phi) \neq (1, 0, 0, 1)$  to these equations exists in all cases. Furthermore we can choose our solution to satisfy  $\gamma \neq 0$  and  $\phi \neq 0$ , thus guaranteeing that  $\alpha$  and  $\beta$  define (non-trivial) automorphisms of  $G/Z$  and  $Z$  respectively, and hence the existence of a non-central automorphism of  $G$ .

This completes the proof of Lemma 4.3.

Next we have

LEMMA 4.4. *Let  $G$  be a member of Class III. Then  $G$  has a non-central automorphism.*

PROOF. Let  $G$  be a counterexample. Write

$$(18) \quad G/G' = \langle G' a \rangle \times \langle G' b \rangle \times \langle G' c \rangle$$

where  $a^{p^n}, b^p$  and  $c^p$  are all in  $G'$ . Cent  $G$  is Abelian so we must, by 3.5, have  $|a| = p^{n+1}$  WLOG. Let  $Z = \langle z_1, z_2, z_3 \rangle$  with  $z_3 = a^p$  and  $G' = \langle z_1, z_2, z_3^{p^{n-1}} \rangle$ . WLOG, there exist  $i, j, k, l$  in  $\mathbf{Z}_p$  such that

$$(19) \quad b^p = z_1^i z_2^j, \quad c^p = z_1^k z_2^l.$$

I distinguish two cases, according to whether  $[a, G] \cap \langle z_3 \rangle$  is trivial or not.

So first suppose that  $[a, G] \cap \langle z_3 \rangle = \{1\}$ . Then there is no loss of generality in assuming that  $[a, b] = z_1, [a, c] = z_2$  and  $[b, c] = z_3^{p^{n-1}}$ . Let  $\alpha$  be the automorphism of  $G/Z$  associated with the matrix

$$\begin{pmatrix} 1 & \gamma & \delta \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

relative to the basis  $\{Za, Zb, Zc\}$ . Let  $\beta$  be the automorphism of  $Z$  defined by

$$(20) \quad z_1 \beta = z_1 z_3^{-\delta p^{n-1}}, \quad z_2 \beta = z_2 z_3^{\gamma p^{n-1}}, \quad z_3 \beta = z_1^\varepsilon z_2^\phi z_3.$$

One verifies easily that the conditions imposed by Lemma 4.1 reduce to the matrix equation

$$(21) \quad \begin{pmatrix} i & k \\ j & l \end{pmatrix} \begin{pmatrix} \gamma \\ \delta \end{pmatrix} = \begin{pmatrix} \varepsilon \\ \phi \end{pmatrix}.$$

But  $\beta$  is an automorphism of  $Z$  for any choice of  $\varepsilon$  and  $\phi$ . Hence a solution  $(\gamma, \delta, \varepsilon, \phi) \neq (0, 0, 0, 0)$  to equation (21) is guaranteed, and  $G$  has a non-central automorphism.

Now secondly suppose that  $[a, G] \cap \langle z_3 \rangle$  is non-trivial. In this case, there is no loss of generality in assuming that  $[a, b] = z_3^{p^{n-1}}$ ,  $[a, c] = z_2$  and  $[b, c] = z_1$ . Let  $\alpha$  be the automorphism of  $G/Z$  associated with the matrix

$$\begin{pmatrix} \alpha & \beta & 0 \\ 0 & 1 & 0 \\ 0 & \gamma & \alpha^{-1} \end{pmatrix}, \quad \alpha \neq 0$$

relative to the basis  $\{Za, Zb, Zc\}$ . Let  $\beta$  be the automorphism of  $Z$  defined by

$$z_1\beta = z_1^{\alpha^{-1}}; \quad z_2\beta = z_1^{\beta\alpha^{-1}} z_2 z_3^{\alpha\gamma p^{n-1}}; \quad z_3\beta = z_1^{i\beta} z_2^{j\beta} z_3^{\alpha}.$$

One easily verifies that the conditions imposed by Lemma 4.1 reduce to the following 3 equations in the 3 unknowns  $\alpha, \beta, \gamma$

$$i\alpha^{-1} + j\beta\alpha^{-1} = i; \quad l\beta\alpha^{-1} = i\gamma; \quad l = j\gamma + l\alpha^{-1}.$$

Notice that the first two imply the third when  $\beta \neq 0$ . But there obviously exists a solution  $(\alpha, \beta, \gamma)$  to the first two equations for which  $\alpha \neq 0, \beta \neq 0$ . Hence  $G$  has a non-central automorphism.

This completes the proof of Lemma 4.4.

I now turn to the final and most complicated case.

**LEMMA 4.5.** *Let  $G$  be a member of Class IV. Then  $G$  has a non-central automorphism.*

**PROOF.** Clearly,  $(G : G_p Z) \leq p^2$ , and  $(G : C_G(x)) \leq p^2$  for all  $x \in G$ . The case in which  $(G : G_p Z) = (G : C_G(x)) = p^2$  for all  $x \in G$  is that which causes the most difficulty, and we assume this to be the case in what follows, until otherwise indicated. Write

$$(22) \quad G/Z = \langle Za \rangle \times \langle Zb \rangle \times \langle Zc \rangle \times \langle Zd \rangle \times \langle Ze \rangle$$

where  $G_p = \langle G', b, c, d \rangle$ . Cent  $G$  is Abelian so, by 3.5,  $a$  can be chosen so that  $|a| = p^{n+1}$  and  $Z = \langle a^p, G' \rangle$ . We must have  $Z/Z^p = \langle Z^p a^p \rangle \times \langle Z^p e^p \rangle$ , but will find it necessary not to assume that  $e^p \in G'$ . The following two assertions are easily verified:

(i)  $G$  has no Abelian subgroup of index  $p^2$ .

(ii) Let  $x_1 \in G \setminus Z$ . Let  $x_2 \in C_G(x_1) \setminus \langle Z, x_1 \rangle$ . Let  $x_3 \in C_G(x_2) \setminus C_G(x_1)$ . Then  $C_G(x_3) \not\subset \langle C_G(x_1), x_3 \rangle$ —otherwise stated,  $\langle C_G(x_1), C_G(x_2), C_G(x_3) \rangle = G$ .

We divide the analysis into 2 parts, according to whether  $Z(G_p) \setminus Z$  is empty or not (the non-central automorphism we finally construct will be slightly different in the two cases).

So first suppose that  $Z(G_p) \subset Z$ . It is easy to see that for some  $g$  not in  $G_p Z$ ,  $|(C_G(g)G_p)/G'| = p^2$ . We claim that  $a$  has this property WLOG. Suppose not. Then if  $g$  has the property we must have  $g^p \in G'$ . Let  $[g, b] = [g, c] = 1$ . Then  $[b, c] \neq 1$  by assertion (i) so  $[b, d] = 1$  WLOG. By assertion (ii),  $a$  can be chosen so that  $[c, a] = 1$ . Let  $x \in C_G(d) \setminus Z \langle a, c, g \rangle$ . Clearly  $x$  exists. But  $x$  cannot be chosen to lie in  $\langle c, g \rangle$  by assertion (ii). Therefore, we can replace  $a$  by  $x$  and we have  $[a, c] = [a, d] = 1$ , thus proving our claim. By similar reasoning it is easy to deduce that, for an appropriate choice of  $a, b, c, d$  and  $e$ , the following commutation relations hold:

$$(23) \quad [a, b] = [a, c] = [b, d] = [c, e] = [d, e] = 1.$$

Let  $G' = \langle z_1 \rangle \times \langle z_2 \rangle$  where  $z_1 = a^{p^n}$ . Now there exist  $i, j, k, l, m, n, q, r, s, t$  in  $\mathbf{Z}_p$  such that

$$(24) \quad \begin{cases} [a, d] = z_1^i z_2^j, & [a, e] = z_1^k z_2^l, & [b, c] = z_1^m z_2^n, \\ [b, e] = z_1^q z_2^r, & [c, d] = z_1^s z_2^t. \end{cases}$$

Let  $\alpha : G/Z \rightarrow G/Z$  be the mapping associated with the matrix

$$\begin{pmatrix} \gamma & \delta & 0 & \varepsilon & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & \phi & \gamma & \lambda & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & \mu & 0 & \nu & \gamma \end{pmatrix}$$

relative to the basis  $\{Za, Zb, Zc, Zd, Ze\}$ . Let  $\beta : Z \rightarrow Z$  be the mapping defined by

$$(25) \quad a^p \beta = a^{\gamma p}, \quad z_2 \beta = z_2^\gamma$$

$\alpha$  and  $\beta$  define automorphisms of their respective groups provided  $\gamma \neq 0$ . The conditions imposed by Lemma 4.1 reduce, as may be verified by the reader, to the following set of equations:

$$(26) \quad \begin{pmatrix} i & -s \\ j & -t \end{pmatrix} \begin{pmatrix} \lambda \\ \varepsilon \end{pmatrix} = -\delta \begin{pmatrix} m \\ n \end{pmatrix},$$

$$(27) \quad \begin{pmatrix} q & -m \\ r & -n \end{pmatrix} \begin{pmatrix} \phi \\ \mu \end{pmatrix} = -\nu \begin{pmatrix} s \\ t \end{pmatrix},$$

$$(28) \quad \begin{pmatrix} i & q \\ j & r \end{pmatrix} \begin{pmatrix} \nu \\ \delta \end{pmatrix} = (1 - \alpha) \begin{pmatrix} k \\ l \end{pmatrix},$$

for the seven variables  $\gamma, \dots, \nu$ . If  $\det \begin{pmatrix} i & q \\ j & r \end{pmatrix} = 0$  set  $\gamma = 1$ . Otherwise, set  $\gamma = 2$ , say. In either case, a solution  $(\nu, \delta) \neq (0, 0)$  to (28) is guaranteed. Now  $C_G(d)$  is not maximal in  $G$ , so  $\det \begin{pmatrix} i & -s \\ j & -t \end{pmatrix} \neq 0$ . Thus when we substitute  $\delta$  into (26), the existence of a solution  $(\lambda, \varepsilon)$  is guaranteed. Similarly,  $C_G(b)$  is not maximal in  $G$ , so  $\det \begin{pmatrix} q & -m \\ r & -n \end{pmatrix} \neq 0$ , and when we substitute  $\nu$  into (29) the existence of a solution  $(\phi, \mu)$  is guaranteed.

Hence (26)-(28) have a solution according to which  $\alpha$  is a non-trivial automorphism of  $G/Z$ , and we conclude that  $G$  has a non-central automorphism in this case.

Secondly, suppose that  $Z(G_p) \not\subseteq Z$ .  $G_p$  is not Abelian, by assertion (i), so  $Z(G_p) = \langle G', b \rangle$  WLOG. A series of routine calculations lead us to conclude that  $a, c, d$  and  $e$  may be chosen so that the following commutation relations hold:

$$(29) \quad [a, c] = [a, e] = [b, c] = [b, d] = [d, e] = 1.$$

Let  $z_1$  and  $z_2$  be defined as before. Then there exist  $i, j, k, l, m, n, q, r, s, t$  in  $\mathbf{Z}_p$  such that

$$(30) \quad \begin{cases} [a, d] = z_1^i z_2^j, & [a, b] = z_1^k z_2^l, & [c, e] = z_1^m z_2^n, \\ [b, e] = z_1^q z_2^r, & [c, d] = z_1^s z_2^t. \end{cases}$$

Let  $\alpha$  and  $\beta$  represent exactly the same mappings of  $G/Z$  and  $Z$  re-

spectively as before. Once again  $\alpha$  and  $\beta$  define automorphisms of their respective groups provided  $\gamma \neq 0$ . This time, the conditions imposed by Lemma 4.1 reduce to the following, slightly different, set of equations:

$$(31) \quad \begin{pmatrix} i & -s \\ j & -t \end{pmatrix} \begin{pmatrix} \lambda \\ \varepsilon \end{pmatrix} = -\delta \begin{pmatrix} k \\ l \end{pmatrix},$$

$$(32) \quad \begin{pmatrix} k & q \\ l & r \end{pmatrix} \begin{pmatrix} \mu \\ \delta \end{pmatrix} = -\nu \begin{pmatrix} i \\ j \end{pmatrix},$$

$$(33) \quad \begin{pmatrix} q & s \\ r & t \end{pmatrix} \begin{pmatrix} \phi \\ \nu \end{pmatrix} = (1 - \gamma) \begin{pmatrix} m \\ n \end{pmatrix}.$$

Now one reasons in precisely the same manner as before, to conclude that  $G$  possesses a non-central automorphism.

We have now dealt entirely with the case in which  $(G : G_p) = (G : C_G(x)) = p^2$  for all  $x \notin Z(G)$ . Next, we continue to assume that  $(G : G_p Z) = p^2$ , but also that there exists  $x$  such that  $(G : C_G(x)) = p$ . If  $x$  could be chosen to lie in  $G_p$ , then we could easily construct a non-central automorphism using 3.7. Keeping the same notation for  $G/G'$  as in equation (24), I claim that for an appropriate choice of  $a, b, c, d$  and  $e, e^p \in G', (G : C_G(a)) = p$  and the following commutation relations hold:

$$(34) \quad [a, b] = [a, c] = [b, c] = [d, e] = [a, e] = 1.$$

In what follows I am assuming that  $e^p \in G'$ . I prove the claim in a number of stages.

*Step 1.*  $Z(G_p) \subset Z(G)$ . Suppose the contrary.  $G_p$  is clearly non-Abelian, by 3.7, so let  $Z(G_p) = \langle G', b \rangle$ .  $x$  (as defined above) lies outside  $G_p$ . Then we can choose  $c$  and  $d$  so that  $C_G(x) = \langle Z, c, d, x, y \rangle$  for some  $y \notin G_p Z$ . Then  $C_G(g)$  is maximal in  $G$  for some  $g \in \langle c, d \rangle \setminus G'$ , and  $G$  has a non-central automorphism by 3.7—contradiction!

*Step 2.* Suppose  $C_G(x) \supset G_p$  i.e.: that  $x$  can be chosen so that  $[x, b] = [x, c] = [x, d] = 1$ . If  $x^p \in G'$  then  $G/\langle G_p, x \rangle \cong C_{p^n}$ , so  $G$  has a non-central automorphism, by 3.7, unless  $n = 1$ , in which case  $a$  and  $e$  are interchangeable. This means we can choose  $x$  for  $a$ . Now  $[b, c] = 1$  WLOG, whence  $\langle a, b, c \rangle$  is Abelian. There is some  $g$  in  $C_G(e) \setminus Z\langle a, b, c \rangle$ , but  $g$  cannot lie in  $\langle b, c \rangle$  by 3.7. Thus, we replace  $a$  by  $g$  to obtain  $[a, b] =$

$= [a, c] = [b, c] = [a, e] = 1$ . But now it is clear that  $d$  can also be chosen to commute with  $e$ , and the claim is established in this case.

*Step 3.* We must have  $C_G(x) \supset G_p$  for some choice of  $x$ . Suppose not. For a given  $x$  we can still choose  $b$  and  $c$  so that  $[x, b] = [x, c] = 1$ . If  $[b, c] = 1$ , proceed as in *Step 2*. Thus  $[b, d] = 1$  WLOG. Let  $y \in \in C_G(x) \setminus \langle G_p Z, x \rangle$ . Routine calculations show that  $y$  and  $c$  can be chosen to commute, whence  $A = \langle Z, x, c, y \rangle$  is a normal, Abelian, complemented subgroup of  $G$  and  $G$  has an automorphism of order 2 by 3.8—contradiction! Our claim regarding equation (36) is now established in full.

Now write  $G' = \langle z_1 \rangle \times \langle z_2 \rangle$  with  $z_1 = a^{p^n}$  and  $z_2 = e^p$ . There exist  $i, j, k, l$  in  $\mathbf{Z}_p$  such that

$$(35) \quad [b, e] = z_1^i z_2^j, \quad [c, e] = z_1^k z_2^l.$$

Let  $\alpha$  be the automorphism of  $G/Z$  associated with the matrix

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & \gamma & \delta & 1 & 0 \\ 0 & \varepsilon & \phi & 0 & 1 \end{pmatrix}$$

relative to the basis  $\{Za, Zb, Zc, Zd, Ze\}$ . Let  $\beta$  be the identity map on  $Z$ . The conditions imposed by Lemma 4.1 are readily checked to reduce to the matrix equation

$$(36) \quad \begin{pmatrix} i & k \\ j & l \end{pmatrix} \begin{pmatrix} \gamma \\ \delta \end{pmatrix} = \begin{pmatrix} \varepsilon \\ \phi \end{pmatrix}$$

for the four unknowns  $\gamma, \delta, \varepsilon, \phi$ . The above system is underdetermined, thus guaranteeing the existence of a non-trivial solution  $(\gamma, \delta, \varepsilon, \phi) \neq (0, 0, 0, 0)$  and consequently of a non-central automorphism of  $G$ .

We have now shown that Lemma 4.5 is true when  $(G : G_p Z) = p^2$ . One proceeds in exactly the same way as above when one assumes that  $(G : G_p Z) = p$  or that  $G = G_p Z$ . In fact, the argument simplifies in places but, in any event, I do not think it necessary to go into any further detail. Hence, the proof of Lemma 4.5, and consequently that of the main theorem, is complete.

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