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A Remark on Abhyankar's Space Lines.

PIER CARLO CRAIGHERO (*)

SUNTO - In questa nota si prova la rettificabilità della seconda delle linee di Abhyankar, $C_6: (t + t^6, t^5, t^4)$; inoltre si costruisce un esempio di linea di tipo (6, 5, 4) che risulta elementarmente rettificabile.

SUMMARY - In this paper the rectifiability of the second of Abhyankar's lines, $C_6: (t + t^6, t^5, t^4)$, is proved; moreover an example is given of a (6, 5, 4)-line which is tamely rectifiable.

0. Introduction.

The notations will be as in [2] and [3]. In [2] the rectifiability of the first of Abhyankar's lines, $C_5: (t + t^5, t^4, t^3)$, was proved; the automorphism $\Phi_5: A_k^3 \rightarrow A_k^3$, such that $\Phi_5(C_5)$ is a straight line, was explicitly found: it turns out to be the product of an automorphism Ψ of « Nagata's type », and of a tame automorphism A (an automorphism of A_k^3 , $\Psi: (F, G, H)$, is called of « Nagata's type » if the forms of maximum degree of F, G, H , are such that no one of them is a polynomial in the other two, and moreover every two of them are not the power of a same form; an automorphism A of A_k^3 is called tame if it is the product of linear and triangular automorphisms, and it is called elementary in [2]).

About at the same time when the author got the result published in [2], C_5 was independently recognized rectifiable also by A. Sathaye,

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as himself communicated at Oberwolfach: however up to now nothing was known about $C_n: (t + t^n, t^{n-1}, t^{n-2})$, $n > 5$. Since the interest on the subject seems to spread (see for example [5]), I thought it opportune to publish these new results.

In § 1 the rectifiability of $C_6: (t + t^6, t^5, t^4)$ is proved; the automorphism Φ_6 , which rectifies it, is in the same condition as Φ_5 , and should not be tame, by the same argument.

In § 2 an example is given of a $(6, 5, 4)$ -line C'_6 , which is actually tamely rectifiable: this shows that the fact of finding, for rectification of the line C_6 , only an automorphism such as Φ_6 , non-tame by Nagata's conjecture (see [6], Part 2, pp. 41-52), is not due only to the particular kind of the triplet $(n, n-1, n-2)$ of the degrees of the polynomials defining C_6 .

In § 3 a definition of wild line is given, in such a way that C_6 (and also C_5) is wild, whereas C'_6 is not wild: perhaps this could be the correct approach, pointing to the reasonably probable fact that, in order to rectify a wild line, as C_6 (and C_5), an automorphism which, according to Nagata's conjecture, is « wild » (= non-tame) is necessary.

1. We want to show that $C_6: (t + t^6, t^5, t^4)$ is a rectifiable line. Let us consider

$$x(t) = t + t^6, \quad y(t) = t^5, \quad z(t) = t^4.$$

We find

$$\begin{aligned} t &= x(t) - t^6; & t^6 &= x(t)^2 z(t) - 2t^{11} - t^{16}; \\ t^{11} &= x(t)^3 z(t)^2 - 3t^{16} - 3t^{21} - 2t^{26}; & t^{16} &= z(t)^4; \\ t^{21} &= y(t) z(t)^4; & t^{26} &= y(t)^2 z(t)^4. \end{aligned}$$

From all this it follows

$$\begin{aligned} (*) \quad t &= x(t) - t^6 = x(t) - [x(t)^2 z(t) - 2t^{11} - t^{16}] = \\ &= x(t) - x(t)^2 z(t) + 2t^{11} + t^{16} = \\ &= x(t) - x(t)^2 z(t) + 2 [x(t)^3 z(t)^2 - 3t^{16} - 3t^{21} - t^{26}] + t^{16} = \\ &= x(t) - x(t)^2 z(t) + 2x(t)^3 z(t)^2 - 5t^{16} - 6t^{21} - 2t^{26} = \\ &= x(t) - x(t)^2 z(t) + 2x(t)^3 z(t)^2 - 5z(t)^4 - 6y(t) z(t)^4 - 2y(t)^2 z(t)^4. \end{aligned}$$

Now we observe that it results

$$(**) \quad y(t)^2 = x(t)z(t) - y(t).$$

From (*) and (**) we get

$$\begin{aligned} t = x(t) - x(t)^2z(t) + 2x(t)^3z(t)^2 - 5z(t)^4 - 6y(t)z(t)^4 - \\ - 2[x(t)z(t) - y(t)]z(t)^4 = - 5z(t)^4 + x(t)[1 - 2z(t)^5] - \\ - x(t)^2z(t) + 2x(t)^3z(t)^2 - 4z(t)^4y(t). \end{aligned}$$

Now the surface of 5-th order

$$\mathcal{F} = \{-5Z^4 + X(1 - 2Z^5) - X^2Z + 2X^3Z^2 - 4Z^4Y = 0\}$$

is a linear plane, that is a monoidal surface of the kind

$$\{f(X, Z) - g(X, Z)Y = 0\}$$

isomorphic to a plane: indeed it satisfies the conditions of Lemma 4 in [7] (of course after interchanging Y and Z in that statement, then making $u = Z$ and $v = X$). Moreover, always from [7], it follows that \mathcal{F} is equivalent to a plane (see the Theorem, § 1, [7]), and by consequence we have that C_6 is a rectifiable line, applying Prop. 3, in [2].

REMARK 1. Proceeding as above for C_6 , we find, in the case of the line $C_7: (t + t^7, t^6, t^5)$,

$$(*') \quad t = F(x, y, z)$$

with

$$\begin{aligned} F(X, Y, Z) = X - X^2Z + 2X^3Z^2 - 5X^4Z^3 + 14Z^5 + 28YZ^5 + \\ + 20Y^2Z^5 + 5Y^3Z^5 \end{aligned}$$

where we write shortly x, y, z , in place of $x(t) = t + t^7$, $y(t) = t^6$, $z(t) = t^5$; now, starting again from (**), which holds for every Abhyankar's line $C: (t + t^n, t^{n-1}, t^{n-2})$, we can substitute twice $y(t)^2$ in (*'),

but we get a monoidal surface which is no longer a linear plane: this shows that C_7 is somewhat a crucial case in the problem of rectifying Abhyankar's lines.

Still in degree 5, there are also two other lines, namely

$$C'_5: (t^5, t + t^4, t^3) \quad \text{and} \quad C''_5: (t^5, t^4, t + t^3)$$

which are particularly difficult to rectify. If these two quintics were rectifiable, then it would not be hazardous to conjecture that every quintic line is rectifiable.

2. The automorphism Φ_6 that rectifies C_6 can be calculated following Corollary 2 in [7], which leads to the construction of an automorphism Ψ' , such that $\Psi'(C_6)$ is a plane, then by multiplying Ψ' by an obvious tame automorphism Λ' : Ψ' turns out to be of Nagata's type, as Ψ in the case of C_5 . Being $\Lambda' \circ \Psi' = \Phi_6$, also Φ_6 , as Φ_5 , should not be tame, according to Nagata's conjecture (see Introduction for reference). In order to show that the difficulty of finding a possible tame automorphism that can rectify C_6 is not due only to the particular triplet of the degrees of the polynomials defining C_6 , that is a triplet of three consecutive integers, here we give an example of a (6, 5, 4)-line which is actually tamely rectifiable.

Let us consider the following curve

$$C'_6: (x_1(t), y_1(t), z_1(t))$$

where we have

$$\begin{aligned} x_1(t) &= -24t + 14t^3 + 12t^4 + 6t^5 + t^6, \\ y_1(t) &= 289t - 960t^2 - 872t^3 - 432t^4 - 72t^5, \\ z_1(t) &= 4t + 4t^2 + 4t^3 + t^4, \end{aligned}$$

together with the following triangular automorphisms of A

$$\Omega: \begin{pmatrix} X \\ Y + 12X + X^2 + 24Z^2 - Z^3 \\ Z \end{pmatrix},$$

$$H_1: \begin{pmatrix} X + 24Y - 14Y^3 - 12Y^4 - 6Y^5 - Y^6 \\ Y \\ Z \end{pmatrix},$$

$$H_2: \begin{pmatrix} X \\ Y \\ Z - 4Y - 4Y^2 - 4Y^3 - Y^4 \end{pmatrix}.$$

We find

$$H_2 \circ H_1 \circ \Omega(C'_6) = (0, t, 0)$$

so that C_6 is a tamely rectifiable line.

REMARK 2. It is very unlikely that there exists a $(5, 4, 3)$ -line which can be tamely rectified: such a line is surely wild (see Definition 2, in the following paragraph), and everything seems to indicate that a wild line C cannot even be tamely equivalent to a line of lower total degree, this being naturally defined as the sum of the degrees of those polynomials defining C which are not zero.

Let us recall that a proof that a $(5, 4, 3)$ -line is not tamely rectifiable would be an indirect proof of Nagata's conjecture on the existence of non-tame automorphisms of A_x^3 (see [4], § 2).

3. After the examples considered in § 1) and § 2), we think it natural to put the following definitions.

DEFINITION 1. Given any pair of polynomials $(P(t), Q(t))$, we shall denote by $\text{Sem}(P(t), Q(t))$ the semigroup of all the degrees of $F(P(t), Q(t))$, with $F(X, Y)$ varying in the set of all the polynomials in two variables such that $F(P(t), Q(t)) \neq 0$.

DEFINITION 2. Given a line $C: (x(t), y(t), z(t))$, we call C a wild line if no one of the polynomials $x(t), y(t), z(t)$, is a constant, and if, calling m, n, p , respectively the degrees of $x(t), y(t), z(t)$, we have

$$m \notin \text{Sem}(y(t), z(t)), \quad n \notin \text{Sem}(x(t), z(t)), \quad p \notin \text{Sem}(x(t), y(t)).$$

We recall that the generators of $\text{Sem}(P(t), Q(t))$ can be calculated making use of the procedure given in [1]; see also [4], § 4.

We have then the following

PROPOSITION. C_6 is a wild line; C'_6 is not a wild line.

PROOF. We find, for $C_6: (t + t^6, t^5, t^4)$,

$$\begin{aligned} 6 \notin \text{Sem}(t^5, t^4) &= \langle 4, 5 \rangle, & 5 \notin \text{Sem}(t + t^6, t^4) &= \langle 4, 6, 7 \rangle, \\ 4 \notin \text{Sem}(t + t^6, t^5) &= \langle 5, 6 \rangle, \end{aligned}$$

whence the statement about C_6 .

As for $C'_6: (x_1(t), y_1(t), z_1(t))$, with $x_1(t)$, etc., given above in § 2), let us consider the polynomial $F(X, Z) = 12X + X^2 + 24Z^2 - Z^3$: we find

$$\begin{aligned} \deg F(x_1(t), z_1(t)) &= \deg(12x_1(t) + x_1(t)^2 + 24z_1(t)^2 - z_1(t)^3) = \\ &= \deg(-288t + 960t^2 + 872t^3 + 432t^4 + 72t^5) = 5 \end{aligned}$$

so $\deg y_1(t) = 5 \in \text{Sem}(x_1(t), z_1(t))$, and C'_6 is not a wild line.

REMARK 2. Of course the fact that, according to Definition 2, C_6 is a wild line, could account for the other fact of finding only an automorphism as Φ_6 that can rectify it.

We also note that a line $C: (x(t), y(t), z(t))$ which is not wild, is clearly tamely equivalent to a line of lower total degree.

Finally we observe that what is remarkable about C'_6 is the following: generally speaking, a $(6, 5, 4)$ -line C , which is not wild, is tamely equivalent only to a $(5, 4, 3)$ -line, which in its turn is clearly wild, so that, for the rectification of C , we are confronted with a new difficulty; with C'_6 instead, we skip this impasse, coming to a tame rectification of it.

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