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## Integral Functionals Determined by Their Minima.

GIANNI DAL MASO - LUCIANO MODICA (\*)

### Introduction.

In this paper we study the following problem in Calculus of Variations: determine an integral functional

$$F(u, A) = \int_A f(x, Du(x)) dx$$

by the knowledge of the minima of the Dirichlet's problems for  $F$  with linear boundary values, that is by knowing the numbers

$$m(p, A) = \min_u \{F(u, A) : u(x) = p \cdot x, \quad \forall x \in \partial A\}$$

for every  $p \in \mathbb{R}^n$  and for every bounded open subset  $A$  of  $\mathbb{R}^n$ .

Namely, we show that the integrand  $f(x, p)$  can be calculated by a differentiation process of the set function  $A \rightarrow m(p, A)$  along a family  $(A_\varrho)_{\varrho > 0}$  of open subsets of  $\mathbb{R}^n$  which shrinks nicely to  $x$  as  $\varrho \rightarrow 0^+$ . According to W. Rudin ([13], ch. 8), a family  $(A_\varrho)$  is said to shrink to  $x$  nicely as  $\varrho \rightarrow 0^+$  if for every  $\varrho > 0$

$$A_\varrho \subseteq B(x, \varrho) = \{y \in \mathbb{R}^n : |y - x| < \varrho\}, \quad |A_\varrho| \geq c|B(x, \varrho)|$$

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where  $c > 0$  is a suitable real constant independent of  $\varrho$  and  $|\cdot|$  denotes the Lebesgue measure in  $\mathbf{R}^n$ .

The main result we prove is the following.

**THEOREM I.** *Suppose that the function  $f: \mathbf{R}^n \times \mathbf{R}^n \rightarrow \mathbf{R}$  satisfies the following hypotheses:*

- (i)  $f(x, p)$  is measurable in  $x$  and convex in  $p$ ;
- (ii)  $\varphi_1(p) \leq f(x, p) \leq \varphi_2(p) \quad \forall (x, p) \in \mathbf{R}^n \times \mathbf{R}^n$ ,  
where  $\varphi_1, \varphi_2: \mathbf{R}^n \rightarrow \mathbf{R}$  are convex functions and
- (iii)  $\lim_{|p| \rightarrow +\infty} \frac{\varphi_1(p)}{|p|} = +\infty$ .

Then, denoting

$$m(p, A) = \inf_A \left\{ \int f(y, Du(y)) dy : u \in C^\infty(\mathbf{R}^n), u(y) = p \cdot y \quad \forall y \in \partial A \right\},$$

there exists a measurable subset  $N \subseteq \mathbf{R}^n$  with  $|N| = 0$  such that

$$(*) \quad f(x, p) = \lim_{\varrho \rightarrow 0^+} \frac{m(p, A_\varrho)}{|A_\varrho|}$$

for every  $p \in \mathbf{R}^n$ ,  $x \in \mathbf{R}^n \setminus N$  and for every family  $(A_\varrho)_{\varrho > 0}$  of open subsets of  $\mathbf{R}^n$  which shrinks to  $x$  nicely as  $\varrho \rightarrow 0^+$ .

Some comments. (a) The superlinearity hypothesis (iii) can be dropped if  $f(x, p)$  depends only on  $p$  for large  $|p|$  (see remark 1.3). (b) In the vector case (when  $u(x)$  is a vector in  $\mathbf{R}^m$  and  $f$  is defined on  $\mathbf{R}^n \times \mathbf{R}^{nm}$ ) the same thesis (\*) holds by assuming  $f$  quasi-convex but by strengthening (ii) to

$$(ii)' \quad c_1 |p|^\alpha \leq f(x, p) \leq c_2 (1 + |p|^\alpha)$$

with  $0 < c_1 \leq c_2$  and  $\alpha > 1$  (see theorem II). The proof in this case relies on a recent approximation result for quasi-convex functions due to P. Marcellini [10]. (c) The case of non-negative integrands  $f$  depending not only on  $x$  and  $Du$  but also on  $u$  is more delicate. As an example, we treat here the case of uniform continuity in  $u$  and  $f(x, u, 0) = 0$  for every  $x \in \mathbf{R}^n$ ,  $u \in \mathbf{R}$  (see theorem III).

An application of theorem I is a useful and meaningful characterization of the  $\Gamma$ -convergence of a sequence of equicoercive functionals: see theorem IV. This theorem is an important step for applying Ergodic Theory in nonlinear stochastic homogenization (see G. Dal Maso - L. Modica [3]).

A particular case of theorem I was obtained by E. De Giorgi and S. Spagnolo [5] when  $f$  is a quadratic form, i.e.

$$f(x, p) = \sum_{i,j=1}^n a_{ij}(x) p_i p_j.$$

Their proof relies on Meyers' estimate of the summability exponent for the gradients of the solutions to the Euler equation of the corresponding integral functional  $F$ . Recently, M. Giaquinta and E. Giusti [9] have found an analogous estimate for the gradients of the minima of integral functionals (even non-differentiable). Nevertheless, we have preferred to employ an elementary and direct method for proving theorem I.

One may also consider the problem of determining an integral functional  $F$  by the knowledge of the values of other variational problems for  $F$ , for instance by knowing the numbers

$$(1) \quad m(\lambda, w, A) = \inf \left\{ F(u, A) + \lambda \int_A |u - w|^2 dx : u \in C^\infty(A) \right\}$$

for every bounded open subset  $A$  of  $\mathbb{R}^n$ ,  $\lambda > 0$ ,  $w \in L^2(A)$  or the numbers

$$(2) \quad m(\varphi, A) = \inf \left\{ F(u, A) + \int_A \varphi u dx : u \in C_0^\infty(A) \right\}$$

for every bounded open subset  $A$  of  $\mathbb{R}^n$  and  $\varphi \in L^2(A)$ .

In both cases suitable reformulations of theorem I continue to hold. The first case (1) has been studied in many papers about  $\Gamma$ -convergence (see, for example, E. De Giorgi - T. Franzoni [4], L. Carbone - C. Sbordone [1], G. Dal Maso - L. Modica [2]), the second case (2) is related to Fenchel's duality for convex functions (see, for example, I. Ekeland - R. Teman [6], R. T. Rockafellar [12]).

We thank the referee for some useful advice.

### 1. Proof of Theorem 1.

Let us begin by a particular case of theorem I.

1.1. *Proposition.* Let  $f: \mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R}$  be a function such that  $f(x, p)$  is measurable in  $x$ , convex in  $p$ , and bounded from below. If there exists a real constant  $R$  so that  $f(x, p)$  does not depend on  $x$  for  $|p| \geq R$ , then the thesis (\*) of theorem I holds.

PROOF. Let us fix  $x \in \mathbb{R}^n$ . A straightforward application of Jensen's inequality gives that

$$\begin{aligned} \inf_{A_\varrho} \left\{ \int_{A_\varrho} f(x, Du(y)) dy : u \in C^\infty(\mathbb{R}^n), u(y) = p \cdot y \quad \forall y \in \partial A_\varrho \right\} = \\ = \int_{A_\varrho} f(x, p) dy = |A_\varrho| f(x, p) \quad \forall p \in \mathbb{R}^n, \varrho > 0 \end{aligned}$$

so we easily obtain

$$\begin{aligned} \left| f(x, p) - \frac{m(p, A_\varrho)}{|A_\varrho|} \right| \leq \frac{1}{|A_\varrho|} \sup_{u \in C^\infty(\mathbb{R}^n)} \left| \int_{A_\varrho} [f(x, Du(y)) - f(y, Du(y))] dy \right| \leq \\ \leq \frac{1}{|A_\varrho|} \int_{A_\varrho} \sup_{q \in \mathbb{R}^n} |f(x, q) - f(y, q)| dy. \end{aligned}$$

If we define

$$\omega(x, y, p) = |f(x, p) - f(y, p)| \quad (x, y, p \in \mathbb{R}^n),$$

$$\varphi(x, y) = \sup_{p \in \mathbb{R}^n} \omega(x, y, p) \quad (x, y \in \mathbb{R}^n),$$

it remains to prove that there exists a measurable subset  $N \subseteq \mathbb{R}^n$  with  $|N| = 0$  such that

$$\lim_{\varrho \rightarrow 0^+} \frac{1}{|A_\varrho|} \int_{A_\varrho} \varphi(x, y) dy = 0$$

for every  $x \in \mathbb{R}^n \setminus N$  and  $(A_\varrho)$  which shrinks to  $x$  nicely as  $\varrho \rightarrow 0^+$ .

Since  $f(x, p)$  depends only on  $p$  for  $|p| \geq R$  and is convex in  $p$ , we have that

$$f(x, p) \leq \max_{|q|=R+1} f(x, q) = M, \quad \forall x \in \mathbf{R}^n, p \in \mathbf{R}^n: |p| \leq R + 1,$$

with  $M$  independent of  $x$ . On the other hand  $f$  is bounded from below, so it follows that all the functions  $f(x, p)$  are Lipschitz continuous in  $p$ , uniformly with respect to  $x \in \mathbf{R}^n$ , on the ball  $|p| \leq R$ . If we observe that  $\omega(x, y, p) = 0$  for every  $p \in \mathbf{R}^n$  such that  $|p| \geq R$ , we may infer that

$$|\omega(x, y, p) - \omega(x, y, q)| \leq K|p - q| \quad \forall x, y, p, q \in \mathbf{R}^n$$

for a suitable real constant  $K$ .

Now, let us choose a countable dense subset  $D$  of  $\mathbf{R}^n$  and let us construct, by Lebesgue's differentiation theorem (see, for instance, [13], th. 8.8) a measurable subset  $N$  of  $\mathbf{R}^n$  with  $|N| = 0$  such that

$$\lim_{\varrho \rightarrow 0^+} \frac{1}{|A_\varrho|} \int_{A_\varrho} \omega(x, y, p) dy = 0$$

for every  $x \in \mathbf{R}^n \setminus N$ ,  $p \in D$  and  $(A_\varrho)$  which shrinks to  $x$  nicely as  $\varrho \rightarrow 0^+$ .

For every  $\varepsilon > 0$  there exists a finite number  $p_1, \dots, p_m$  of elements of  $D$  such that

$$\inf_{1 \leq i \leq m} |p - p_i| < \varepsilon, \quad \forall p \in \mathbf{R}^n: |p| \leq R,$$

so we have that

$$\varphi(x, y) \leq \sum_{i=1}^m \omega(x, y, p_i) + K\varepsilon, \quad \forall x, y \in \mathbf{R}^n,$$

and we may conclude that

$$\limsup_{\varrho \rightarrow 0^+} \frac{1}{|A_\varrho|} \int_{A_\varrho} \varphi(x, y) dy \leq K\varepsilon.$$

for every  $x \in \mathbf{R}^n \setminus N$  and  $(A_\varrho)$  which shrinks to  $x$  nicely as  $\varrho \rightarrow 0^+$ . By taking  $\varepsilon \rightarrow 0^+$ , proposition 1.1 is proved.

The general case of theorem I will be obtained by the following approximation lemma.

1.2 LEMMA. *If  $f$  satisfies the hypotheses of theorem I, then there exists an increasing sequence  $(f_h)$  of functions such that  $f = \sup f_h$  and each function  $f_h$  fulfils the assumptions of proposition 1.1.* <sup>h</sup>

PROOF. For every  $h \in \mathbb{N}$  we define

$$\tilde{f}_h(x, p) = \inf_{z \in \mathbb{R}^n} [f(x, z) + h|z - p|], \quad ((x, p) \in \mathbb{R}^n \times \mathbb{R}^n).$$

The sequence  $(\tilde{f}_h)$  is the usual approximation from below of  $f$  by Lipschitz continuous functions. In fact  $\tilde{f}_h(x, p)$  is Lipschitz continuous in  $p$  (with Lipschitz constant  $h$ ),  $\tilde{f}_h < \tilde{f}_{h+1} < f$  for every  $h \in \mathbb{N}$  and it is easy to prove, by remarking that  $f(x, p)$  is convex (hence continuous) in  $p$ , that  $\sup_h \tilde{f}_h = f$ . The same remark proves that

$$\inf_{z \in \mathbb{R}^n} [f(x, z) + h|z - p|] = \inf_{z \in \mathbb{Q}^n} [f(x, z) + h|z - p|],$$

so  $\tilde{f}_h(x, p)$  is measurable in  $x$ . Finally, a direct calculation shows that  $\tilde{f}_h(x, p)$  is convex in  $p$ .

Then, we define for  $h \in \mathbb{N}$  and for  $(x, p) \in \mathbb{R}^n \times \mathbb{R}^n$

$$f_h(x, p) = \max \{ \varphi_1(p), \tilde{f}_h(x, p) \}.$$

It is obvious that  $f_h(x, p)$  is measurable in  $x$  and convex in  $p$ . Since

$$\tilde{f}_h(x, p) \leq \varphi_2(0) + h|p| \quad \forall (x, p) \in \mathbb{R}^n \times \mathbb{R}^n$$

the superlinearity hypothesis (iii) gives that there exist  $c \in \mathbb{R}$  and  $R_h > 0$  such that

$$c \leq \varphi_1(p) \leq f_h(x, p) \quad \forall (x, p) \in \mathbb{R}^n \times \mathbb{R}^n$$

$$f_h(x, p) = \varphi_1(p) \quad \forall (x, p) \in \mathbb{R}^n \times \mathbb{R}^n : |p| \geq R_h.$$

This concludes the proof of lemma 1.2.

Now, let us prove theorem I.

*Proof of theorem I.* First, we prove that there exists a measurable set  $N' \subseteq \mathbb{R}^n$  with  $|N'| = 0$  such that

$$(3) \quad \lim_{\varrho \rightarrow 0^+} \frac{1}{|A_\varrho|} \int_{A_\varrho} |f(y, p) - f(x, p)| dy = 0$$

for every  $x \in \mathbb{R}^n \setminus N'$ ,  $p \in \mathbb{R}^n$  and  $(A_\varrho)$  which shrinks to  $x$  nicely as  $\varrho \rightarrow 0^+$ . Let  $D$  be a countable dense subset of  $\mathbb{R}^n$ . By Lebesgue's differentiation theorem (see, for instance, [13], th. 8.8), there exists a measurable set  $N' \subseteq \mathbb{R}^n$  with  $|N'| = 0$  such that (3) holds for every  $x \in \mathbb{R}^n \setminus N'$ ,  $p \in D$  and  $(A_\varrho)$  which shrinks to  $x$  nicely as  $\varrho \rightarrow 0^+$ . Since  $f(x, p)$  is locally Lipschitz continuous in  $p$  uniformly with respect to  $x$  (by convexity and (ii)), it is easy to see that (3) holds for every  $p \in \mathbb{R}^n$ .

Now, we have at once

$$\frac{1}{|A_\varrho|} \int_{A_\varrho} f(y, p) dy \geq \frac{m(p, A_\varrho)}{|A_\varrho|}$$

so by (3)

$$f(x, p) \geq \limsup_{\varrho \rightarrow 0^+} \frac{m(p, A_\varrho)}{|A_\varrho|}$$

for every  $x \in \mathbb{R}^n \setminus N'$ ,  $p \in \mathbb{R}^n$  and  $(A_\varrho)$  which shrinks to  $x$  nicely as  $\varrho \rightarrow 0^+$ .

For the converse inequality, let  $(f_h)$  be the sequence given by lemma 1.2,  $m_h(p, A_\varrho)$  be the corresponding minima and  $N_h$  be the measurable subsets of  $\mathbb{R}^n$  with  $|N_h| = 0$  given by proposition 1.1 for  $f_h$ . Define  $N'' = \bigcup_{h=1}^{+\infty} N_h$ . Since  $f \geq f_h$  for every  $h \in \mathbb{N}$ , we have that

$$f_h(x, p) = \lim_{\varrho \rightarrow 0^+} \frac{m_h(p, A_\varrho)}{|A_\varrho|} \leq \liminf_{\varrho \rightarrow 0^+} \frac{m(p, A_\varrho)}{|A_\varrho|}$$

and, by taking the limit as  $h \rightarrow +\infty$ , we obtain

$$f(x, p) \leq \liminf_{\varrho \rightarrow 0^+} \frac{m(p, A_\varrho)}{|A_\varrho|}$$

for every  $x \in \mathbb{R}^n \setminus N''$ ,  $p \in \mathbb{R}^n$  and  $(A_\varrho)$  which shrinks to  $x$  nicely as  $\varrho \rightarrow 0^+$ . Then, theorem I is proved by choosing  $N = N' \cup N''$ .

1.3 REMARK. The coerciveness hypothesis (iii) in theorem I is crucial for the approximation lemma 1.2. A particular non-coercive case has been studied by N. Fusco and G. Moscarriello [8], who consider non-negative quadratic forms

$$f(x, p) = \sum_{i,j=1}^n a_{ij}(x) p_i p_j$$

and obtain the formula (\*) with limsup instead of lim. However, if  $f(x, p)$  does not depend on  $x$  for large  $|p|$ , theorem 1 holds without any coerciveness hypothesis, as proposition 1.1 shows.

Theorem I can be generalized as follows.

THEOREM II. *Suppose that the function  $f: \mathbb{R}^n \times \mathbb{R}^{mn} \rightarrow \mathbb{R}$  satisfies the following hypotheses:*

- (i)  $f(x, p)$  is measurable in  $x$  and quasi-convex in  $p$  (in the Morrey's [11] sense).
- (ii)  $c_1 |p|^\alpha \leq f(x, p) \leq c_2 (1 + |p|^\alpha) \forall (x, p) \in \mathbb{R}^n \times \mathbb{R}^{mn}$  with  $0 < c_1 \leq c_2$ ,  $\alpha > 1$  real constants.

Then the thesis (\*) of theorem I holds ( $u$  is a  $m$ -vector function,  $p$  is identified with a  $m \times n$  matrix).

PROOF. The proof of theorem I can be repeated only substituting lemma 1.2 by theorem 1.2 of P. Marcellini [10].

THEOREM III. *Suppose that  $f: \mathbb{R}^n \times \mathbb{R} \times \mathbb{R}^n \rightarrow \mathbb{R}$  satisfies the following hypotheses:*

- (i)  $f(x, s, p)$  is measurable in  $x$ , continuous in  $s$ , convex in  $p$ ;
- (ii)  $0 \leq \varphi_1(p) \leq f(x, s, p) \leq \varphi_2(p) \forall (x, s, p) \in \mathbb{R}^n \times \mathbb{R} \times \mathbb{R}^n$  where  $\varphi_1, \varphi_2: \mathbb{R}^n \rightarrow \mathbb{R}$  are convex functions and

$$\lim_{|p| \rightarrow +\infty} \frac{\varphi_1(p)}{|p|} = +\infty;$$

- (iii)  $|f(x, s_1, p) - f(x, s_2, p)| \leq (1 + f(x, s_1, p)) \omega(|s_1 - s_2|) \forall x \in \mathbb{R}^n, s_1, s_2 \in \mathbb{R}, p \in \mathbb{R}^n$  where  $\omega: \mathbb{R}_+ \rightarrow \mathbb{R}$  is a function such that  $\lim_{t \rightarrow 0^+} \omega(t) = 0$ ;

- (iv)  $f(x, s, 0) = 0 \forall (x, s) \in \mathbb{R}^n \times \mathbb{R}$ .

Then, letting  $W^{1,\infty}(\mathbb{R}^n)$  be the space of the Lipschitz continuous functions on  $\mathbb{R}^n$  and denoting

$$(4) \quad m(x, s, p, A) = \\ = \inf_{u \in W^{1,\infty}(\mathbb{R}^n)} \left\{ \int_A f(y, u(y), Du(y)) dy : u(y) = s + p \cdot (y - x) \quad \forall y \in \partial A \right\},$$

there exists a measurable subset  $N$  of  $\mathbb{R}^n$  with  $|N| = 0$  such that

$$f(x, s, p) = \lim_{\varrho \rightarrow 0^+} \frac{m(x, s, p, A_\varrho)}{|A_\varrho|}$$

for every  $x \in \mathbb{R}^n \setminus N$ ,  $s \in \mathbb{R}$ ,  $p \in \mathbb{R}^n$  and for every family  $(A_\varrho)_{\varrho > 0}$  of open subsets of  $\mathbb{R}^n$  which shrinks to  $x$  nicely as  $\varrho \rightarrow 0^+$ .

PROOF. Let us introduce the auxiliary function

$$m'(s, p, A) = \inf_{u \in W^{1,\infty}(\mathbb{R}^n)} \left\{ \int_A f(y, s, Du(y)) dy : u(y) = p \cdot y \quad \forall y \in \partial A \right\}$$

and note that

$$(5) \quad m'(s, p, A) = \\ = \inf_{u \in W^{1,\infty}(\mathbb{R}^n)} \left\{ \int_A f(y, s, Du(y)) dy : u(y) = s + p \cdot (y - x) \quad \forall y \in \partial A \right\}$$

for every  $x \in \mathbb{R}^n$ . Hypothesis (iv) assures that the functionals

$$u \rightarrow \int_A f(y, u(y), Du(y)) dy, \quad u \rightarrow \int_A f(y, s, Du(y)) dy$$

decrease by truncating the function  $u$ , hence the class of competing functions in the infima (4) and (5) can be restricted to the functions

such that

$$\begin{aligned} u(y) &= s + p \cdot (y - x) \quad \forall y \in \partial A \\ |u(y) - s| &\leq (\text{diam } A') |p| \end{aligned}$$

where  $A' = A \cup \{x\}$  (« maximum principle »).

Let us fix  $x \in \mathbb{R}^n$ . Then, by (ii) and (iii), we have that

$$(6) \quad |m(x, s, p, A_\varrho) - m'(s, p, A_\varrho)| \leq \omega(2\varrho|p|)(1 + \varphi_2(p)) |A_\varrho|$$

for every  $s \in \mathbb{R}$ ,  $p \in \mathbb{R}^n$ , and for every open set  $A_\varrho \subseteq B(x, \varrho)$ .

Let  $D$  be a countable dense subset of  $\mathbb{R}$ . By theorem I there exists a measurable subset  $N \subset \mathbb{R}^n$  with  $|N| = 0$  such that

$$(7) \quad \lim_{\varrho \rightarrow 0^+} \frac{m'(s, p, A_\varrho)}{|A_\varrho|} = f(x, s, p)$$

for every  $x \in \mathbb{R}^n - N$ ,  $s \in D$ ,  $p \in \mathbb{R}^n$ , and for every family  $(A_\varrho)$  which shrinks to  $x$  nicely as  $\varrho \rightarrow 0^+$ . Since, by (ii) and (iii), we have

$$|m'(s_1, p, A_\varrho) - m'(s_2, p, A_\varrho)| \leq \omega(|s_1 - s_2|)(1 + \varphi_2(p)) |A_\varrho|,$$

it is easy to prove that (7) holds for every  $s \in \mathbb{R}$ , and the thesis follows from (6).

1.4 REMARK. The same « freezing » technique of the previous proof could be extended also to the vector case. Indeed, the use of the maximum principle can be avoided by taking profit of a result by N. Fusco and J. Hutchinson ([7], lemma 4.1), but by assuming more regularity on  $f$ .

## 2. A characterization of $\Gamma$ -convergence.

Let us fix  $0 < c_1 < c_2$ ,  $\alpha > 1$  and let  $\mathcal{F} = \mathcal{F}(\alpha, c_1, c_2)$  be the set of all functionals  $F: L_{\text{loc}}^\alpha(\mathbb{R}^n) \times \mathcal{A}_0 \rightarrow \overline{\mathbb{R}}$  ( $\mathcal{A}_0$  denotes the family of the bounded open subsets of  $\mathbb{R}^n$ ) given by

$$F(u, A) = \begin{cases} \int_A f(x, Du(x)) dx & \text{if } u|_A \in W^{1,\alpha}(A), \\ +\infty & \text{otherwise,} \end{cases}$$

where  $f: \mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R}$  is any function such that  $f(x, p)$  is measurable in  $x$ , convex in  $p$  and

$$c_1|p|^\alpha \leq f(x, p) \leq c_2(1 + |p|^\alpha) \quad \forall (x, p) \in \mathbb{R}^n \times \mathbb{R}^n.$$

Of course,  $W^{1,\alpha}(A)$  denotes the usual first order Sobolev space with summability exponent  $\alpha$ .

A notion of convergence for sequences of real-extended functions defined on a topological space, the  $\Gamma$ -convergence (see E. De Giorgi - T. Franzoni [4]), is particularly useful when applied to the sequences in  $\mathcal{F}$ . We refer to G. Dal Maso - L. Modica [2] for a systematic and self-contained study of the  $\Gamma$ -convergence on  $\mathcal{F}$ .

The crucial property of  $\Gamma$ -convergence is a general theorem on convergence of minima. In particular, we are interested here in the following proposition (see [2], prop. 1.18).

**PROPOSITION 2.1.** *Suppose that  $(F_h)$  is a sequence in  $\mathcal{F}$  which  $\Gamma$ -converges as  $h \rightarrow +\infty$  to  $F_\infty \in \mathcal{F}$ . Then, for every  $A \in \mathcal{A}_0$  and  $u_0 \in W^{1,\alpha}(A)$ , we have that*

$$\lim_{h \rightarrow +\infty} \min_u \{F_h(u, A) : u - u_0 \in W_0^{1,\alpha}(A)\} = \min_u \{F_\infty(u, A) : u - u_0 \in W_0^{1,\alpha}(A)\}.$$

In this section, our aim is to prove a converse of the previous proposition and so to obtain a characterization of  $\Gamma$ -convergence in  $\mathcal{F}$  by the convergence of the minima of Dirichlet problems.

**THEOREM IV.** *Let  $(F_h)$  be a sequence in  $\mathcal{F}$ , let  $D$  be a dense subset of  $\mathbb{R}^n$  and let  $\mathcal{B}$  be a family of bounded open subset of  $\mathbb{R}^n$  which contains, for any  $x \in \mathbb{R}^n$ , a subfamily which shrinks to  $x$  nicely. Suppose that*

$$\lim_{h \rightarrow \infty} \min_u \{F_h(u, B) : u - l_\xi \in W_0^{1,\alpha}(B)\},$$

where  $l_\xi(x) = \xi \cdot x$ , exists for every  $\xi \in D$  and  $B \in \mathcal{B}$ .

Then, there exists a functional  $F_\infty \in \mathcal{F}$  such that  $(F_h)$   $\Gamma$ -converges to  $F_\infty$  and

$$\lim_{h \rightarrow \infty} \min_u \{F_h(u, A) : u - l_p \in W_0^{1,\alpha}(A)\} = \min_u \{F_\infty(u, A) : u - l_p \in W_0^{1,\alpha}(A)\}$$

for every  $p \in \mathbb{R}^n$  and  $A \in \mathcal{A}_0$ .

PROOF. By proposition 2.1 it is enough to prove that  $(F_h)$   $\Gamma$ -converges to a functional  $F_\infty \in \mathcal{F}$ . It is possible (see [2], prop. 1.21 and cor. 1.22) to define a metric on  $\mathcal{F}$  in such a way that  $(\mathcal{F}, d)$  is a compact metric space and the convergence of a sequence in  $(\mathcal{F}, d)$  is equivalent to  $\Gamma$ -convergence. By taking profit of this result, it will suffice to prove that, if  $(F_{\sigma(h)})$  and  $(F_{\tau(h)})$  are two subsequences of  $(F_h)$  which  $\Gamma$ -converge respectively to  $F'_\infty \in \mathcal{F}$  and  $F''_\infty \in \mathcal{F}$ , then  $F'_\infty = F''_\infty$ . Indeed, by proposition 2.1 and by hypothesis

$$\min_u \{F'_\infty(u, B) : u - l_\xi \in W_0^{1,\alpha}(B)\} = \min_u \{F''_\infty(u, B) : u - l_\xi \in W_0^{1,\alpha}(B)\}.$$

for every  $\xi \in D$  and  $B \in \mathcal{B}$ , hence theorem I yields that there exists  $N \subseteq \mathbb{R}^n$  with  $|N| = 0$  such that

$$f'_\infty(x, \xi) = f''_\infty(x, \xi) \quad \forall x \in \mathbb{R}^n \setminus N, \forall \xi \in D$$

where  $f'_\infty$  and  $f''_\infty$  denote respectively the integrand of  $F'_\infty$  and  $F''_\infty$ . Finally,  $f'_\infty(x, p)$  and  $f''_\infty(x, p)$  are convex, hence continuous, in  $p$  so  $f'_\infty(x, p) = f''_\infty(x, p)$  for every  $x \in \mathbb{R}^n \setminus N$  and  $p \in \mathbb{R}^n$  and the thesis follows.

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