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P. C. CRAIGHERO

R. GATTAZZO

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The Curve $\tilde{C}_4 = (\lambda^4, \lambda^3\mu, \lambda\mu^3, \mu^4) \subset \mathbf{P}_k^3$, is Not Set-Theoretic Complete Intersection of Two Quartic Surfaces.

P. C. CRAIGHERO - R. GATTAZZO (*)

RIASSUNTO - In questa nota si dimostra che la quartica di Cremona $\tilde{C}_4 = (\lambda^4, \lambda^3\mu, \lambda\mu^3, \mu^4) \subset \mathbf{P}_k^3$, ove k è un campo algebricamente chiuso di caratteristica $p \neq 2, 3$, non è sottoinsieme intersezione completa di due superficie di ordine quattro.

Introduction.

In a previous paper [1] it has been proved that the Cremona quartic curve $\tilde{C}_4 = (\lambda^4, \lambda^3\mu, \lambda\mu^3, \mu^4) \subset \mathbf{P}_k^3$, where k is an algebraically closed field of characteristic $p \neq 2, 3$, is not set-theoretic complete intersection of any pair of surfaces of degrees 3 and 4. This result has been generalized in [2], where it is proved that the same holds for any rational non singular quartic curve of \mathbf{P}_k^3 .

The method used in [2] is not however applicable in order to prove that \tilde{C}_4 is not set-theoretic complete intersection of two quartic surfaces, because it is based essentially on the fact that a cubic surface \tilde{F} on which \tilde{C}_4 were s.t.c.i., should have at least one singular point on \tilde{C}_4 , so that one can use the theory of monoid surfaces.

In order to prove our statement in this paper we have chosen a different approach: first, stating a result on flexes of plane quartics (see § 2) and exploiting some formulas (see § 1) by which one can step down deeply, but gradually, into the analysis of the contact

(*) Indirizzo degli A.A.: Istituto di Matematica applicata, via Belzoni 7, 35100 Padova. Lavoro eseguito nell'ambito del G.N.S.A.G.A. del C.N.R.

of hyperosculating surfaces, we prove that if there exist \mathcal{F} and $\tilde{\mathcal{G}}$ such that $\mathcal{F} \cdot \tilde{\mathcal{G}} = 4\tilde{\mathcal{C}}_4$, \mathcal{F} and $\tilde{\mathcal{G}}$ must have a double point on each of the two flexes of $\tilde{\mathcal{C}}_4$; then with the help of the above formulas and the properties of the resultant we can conclude our proof.

§ 1. In what follows the field k will be algebraically closed and of characteristic $p \neq 2, 3$. By surface or curve we shall always mean an algebraic reduced surface or curve. If V is an algebraic variety of \mathbf{A}_k^3 , we put $V^a = V \cap \mathbf{A}_k^3$, where \mathbf{A}_k^3 is the affine space canonically embedded in \mathbf{P}_k^3 by the map

$$(x, y, z) \rightarrow (1, x, y, z).$$

With \hat{V} we denote the projective closure in \mathbf{P}_k^3 of the affine variety V of \mathbf{A}_k^3 . $\pi_{\mathcal{F}}(P)$ will denote the tangent plane to a surface \mathcal{F} in its simple point P .

REMARK 1. Let \mathcal{F} and \mathcal{G} surfaces in \mathbf{A}_k^3 and $\mathcal{F} \cap \mathcal{G} = \mathcal{C}$, where \mathcal{C} is an irreducible curve and let $P \in \mathcal{C}$ a point which is non singular for \mathcal{F} and \mathcal{G} . If $\mathcal{F} \cdot \mathcal{G} = \mu\mathcal{C}$, with $\mu > 1$, \mathcal{F} and \mathcal{G} have the same tangent plane in P .

REMARK 2. Let \mathcal{F} and \mathcal{G} surfaces in \mathbf{A}_k^3 and $\mathcal{F} \cap \mathcal{G} = \mathcal{C}$, where \mathcal{C} is an irreducible curve which is non singular for \mathcal{F} ; then for any P belonging a suitable open Zariski-subset of \mathcal{C} , if α is an arbitrary plane through P transversal to \mathcal{C} , $\alpha \cap \mathcal{F}$ has a single reduced and irreducible component through P , non singular in P , and we have:

$$i(\mathcal{C}, \mathcal{F} \cap \mathcal{G}) = i(P, (\alpha \cap \mathcal{F}) \cap (\alpha \cap \mathcal{G})).$$

(the second multiplicity being calculated in the D.V.R. $k[\alpha \cap \mathcal{F}]_P$).

SKETCH OF THE PROOF. If $H \in k[X, Y, Z]$ is such that its projection \bar{H} in $k[\mathcal{F}]_P$ is a uniformizing parameter, we have $\bar{G} = \bar{H}^\mu(\bar{C}/\bar{D})$, from which we get

$$(\&) \quad \bar{D}\bar{G} = \bar{H}^\mu\bar{C}$$

with $\mathcal{G} = \{G = 0\}$, and $\{C = 0\}$, $\{D = 0\}$ surfaces not containing \mathcal{C} .

Lifting (&) in $k[X, Y, Z]$, we get, with a suitable $A \in k[X, Y, Z]$,

$$(\&') \quad DG = H^\mu C + AF$$

The curve $\tilde{\mathcal{C}}_4 = (\lambda^4, \lambda^3\mu, \lambda\mu^3, \mu^4) \subset \mathbf{P}_k^3$ is not set-theoretic etc. 179

It is then clear that one can find on a open Zariski-subset of \mathcal{C} for every point P of which we can assume what follows:

- 1) P is a simple point of \mathcal{C} ;
- 2) no other component of $\mathcal{F} \cap \mathcal{K}$ passes through P (where $\mathcal{K} = \{H = 0\}$);
- 3) $D(P) \neq 0$ and $C(P) \neq 0$.

As a consequence of 1), 2) P is a simple point for \mathcal{F} and \mathcal{K} and $\pi_{\mathcal{F}}(P) \neq \pi_{\mathcal{K}}(P)$, moreover both $\alpha \cap \mathcal{F}$ and $\alpha \cap \mathcal{K}$ have a single irreducible and reduced component through P non singular in P and they are transversal in P to each other. Indicating with q the image of the polynomial Q of $k[X, Y, Z]$ in $k[\alpha]$ (which can be identified with a ring of polynomials in two variables) and with \bar{q} the projection of q into $k[\alpha \cap \mathcal{F}]_P$, one gets from (&prime):

$$\bar{d}\bar{g} = \bar{h}^\mu \bar{c} + \bar{a}\bar{f}$$

where $\bar{d} = 0$, \bar{d}, \bar{c} are units (by 3)) and \bar{h} is a uniformizing parameter in $k[\alpha \cap \mathcal{F}]_P$ by the consequences of 1) and 2) mentioned above. This means that the curve $\alpha \cap \mathcal{S}$ (defined on α by g) has with $\alpha \cap \mathcal{F}$ multiplicity of intersection μ in P .

PROPOSITION 1. *Let $\mathcal{F} = \{F = 0\}$ and $\mathcal{S} = \{G = 0\}$ be surfaces of \mathbf{A}_k^3 , \mathcal{C} a curve non singular for \mathcal{F} and let be $\mathcal{F} \cdot \mathcal{S} = \mu\mathcal{C}$; for every point $P \in \mathcal{C}$ non singular for \mathcal{F} let be r_P the multiplicity of \mathcal{S} in P and $\Gamma(P)$ the tangent cone in P to \mathcal{S} . Then the following holds in a suitable open Zariski-subset U of \mathcal{C} :*

$$\mu > r_P \Rightarrow \pi_{\mathcal{F}}(P) \subseteq \Gamma(P).$$

PROOF. Let us take for U the open Zariski-subset of \mathcal{C} in which Remark 2 holds. Let α be a plane through P transversal to \mathcal{C} which is not one of the (possibly 0 and at most r_P) planes components of $\Gamma(P)$.

Then $\mathcal{F}_\alpha = \mathcal{F} \cap \alpha$ has a simple point in P and $\mathcal{S}_\alpha = \mathcal{S} \cap \alpha$ has an r_P -fold point in P . By Remark 2 $i(P, \mathcal{F}_\alpha \cap \mathcal{S}_\alpha) = \mu > r_P$; then the tangent $\pi_{\mathcal{F}}(P) \cap \alpha$ in P to \mathcal{F}_α must be a component of the « tangent cone » in P to $\mathcal{S}_\alpha = \Gamma(P) \cap \alpha$. This happens for the generic plane through P ; hence $\pi_{\mathcal{F}}(P)$ contains infinitely many straight lines of $\Gamma(P)$, so $\pi_{\mathcal{F}}(P) \subset \Gamma(P)$.

COROLLARY 1. Let be $\mathcal{F} = \{F = 0\}$ and $\mathcal{G} = \{G = 0\}$ surfaces of \mathbb{A}_k^3 and $\mathcal{F} \cap \mathcal{G} = \mathcal{C}$ with \mathcal{C} simple curve for \mathcal{F} .

$$F = F_1^{(P)} + F_2^{(P)} + F_3^{(P)} + \dots, \quad G = G_1^{(P)} + G_2^{(P)} + G_3^{(P)} + \dots$$

the Taylor expansions of F and G at the point $P = (x, y, z)$ ($F_i^{(P)}$ and $G_i^{(P)}$ are forms in $X-x, Y-y, Z-z$). Then in a suitable open Zariski-subset of \mathcal{C} the following implications hold:

- a) $\{\mathcal{F} \cdot \mathcal{G} = \mu \mathcal{C}, \mu \geq 2\} \Rightarrow F_1^{(P)} | G_1^{(P)}$;
- b) $\{\mathcal{F} \cdot \mathcal{G} = \mu \mathcal{C}, \mu \geq 3\} \Rightarrow F_2^{(P)} | (G_1^{(P)} - \varrho_P F_2^{(P)})$;
- c) $\{\mathcal{F} \cdot \mathcal{G} = \mu \mathcal{C}, \mu \geq 4\} \Rightarrow F_1^{(P)} | (G_3^{(P)} - \varrho_P F_3^{(P)} - \Phi_1^{(P)} F_2^{(P)})$

where in b) and in c) $\varrho_P \in k$ is such that $G_1^{(P)} = \varrho_P F_1^{(P)}$ (by a)) and in c) $\Phi_1^{(P)}$ is the linear form such that $G_2^{(P)} - \varrho_P F_2^{(P)} = \Phi_1^{(P)} F_1^{(P)}$ (by b)).

PROOF. If $G_1^{(P)} \neq 0$, $G_1^{(P)}$ defines the tangent plane at P to \mathcal{G} and a) is an immediate consequence of Remark 1 (applied in the o.Z.-sub. U of \mathcal{C} where $F_1^{(P)} \neq 0$). As for b), for every point P in the o.Z.-sub. $U' (\supset U)$ of \mathcal{C} in which Prop. 1 holds for \mathcal{F} , \mathcal{G} and \mathcal{C} let us put $\mathcal{H}_P = \{H_P = G - \varrho_P F = 0\}$ with ϱ_P s.t. $G_1^{(P)} = \varrho_P F_1^{(P)}$ (by a)). Since in the D.V.R. $k[\mathcal{F}]_{\mathcal{C}}$ we have $\bar{G} = \bar{H}_P$ Remark 2 and Prop. 1 hold for \mathcal{F} , \mathcal{H}_P and \mathcal{C} in the same o.Z.-sub. U' . The initial form of H_P is $G_2^{(P)} - \varrho_P F_2^{(P)}$ (by a)) which, if it is not zero, defines the tangent cone Γ_2 in P to \mathcal{H}_P , whence b) applying Prop. 1.

In a similar way c) is deduced applying Prop. 1 to \mathcal{F} and $\mathcal{H}'_P = \{G - \varrho_P F - \Phi_1^{(P)} F = 0\}$ where ϱ_P is s.t. $G_1^{(P)} = \varrho_P F_1^{(P)}$ (by a)) and $\Phi_1^{(P)}$ is s.t. $G_2^{(P)} - \varrho_P F_2^{(P)} = \Phi_1^{(P)} F_1^{(P)}$ (by b)).

DEFINITION 1. Given a polynomial $F \in k[X, Y, Z]$ we define:

$$D_{XX}(F) = F_{XX}F_Z^2 - 2F_{XZ}F_XF_Z + F_{ZZ}F_X^2$$

$$D_{XY}(F) = F_{XY}F_Z^2 - F_{XZ}F_YF_Z - F_{YZ}F_XF_Z + F_{ZZ}F_XF_Y$$

$$D_{YY}(F) = F_{YY}F_Z^2 - 2F_{YZ}F_YF_Z + F_{ZZ}F_Y^2$$

$$\begin{aligned} D_{YYX}(F) &= F_{YYX}F_Z^4 - 3F_{YYZ}F_YF_Z^3 + 3F_{YZZ}F_Z^2F_Y^2 - \\ &\quad - 9F_{YZ}F_{ZZ}F_Y^2F_Z + 6F_{YZ}^2F_YF_Z^2 + 3F_{YY}F_{ZZ}F_YF_Z^2 - \\ &\quad - 3F_{YY}F_{YZ}F_Z^3 + 3F_{ZZ}^2F_Y^3 - F_{ZZZ}F_Y^3F_Z \end{aligned}$$

In the sequel, if $\mathcal{C} \subset \mathbb{A}_k^3$ is a curve, $I(\mathcal{C})$ will be the ideal of \mathcal{C} in $k[X, Y, Z]$.

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PROPOSITION 2. *Let us consider in \mathbb{A}_k^3 the surfaces $\mathcal{F} = \{F = 0\}$ and $\mathcal{G} = \{G = 0\}$, and let be $\mathcal{F} \cdot \mathcal{G} = \mu\mathcal{C}$, with \mathcal{C} irreducible curve. Moreover we assume that $F_z \neq 0$ and $G_z \neq 0 \pmod{I(\mathcal{C})}$. If $\mu \geq 3$, we have the following equalities of rational functions on \mathcal{C} :*

$$(1) \quad D_{XX}(F)/F_z^3 = D_{XX}(G)/G_z^3, \quad D_{XY}(F)/F_z^3 = D_{XY}(G)/G_z^3, \\ D_{YY}(F)/F_z^3 = D_{YY}(G)/G_z^3.$$

If $\mu \geq 4$, besides the above equalities (1), we have also the following:

$$(2) \quad D_{YY}(F)/F_z^5 = D_{YY}(G)/G_z^5.$$

PROOF. Let us consider a point $P = (x, y, z)$ in the o.Z.-sub. where Cor. 1 holds and furthermore $F_z \neq 0$ and $G_z \neq 0$. For the sake of simplicity let us suppose $P = O = (0, 0, 0)$. Let be:

$$F = aX + bY + cZ + dX^2 + eY^2 + fZ^2 + gXY + hXZ + \\ + lYZ + AX^3 + BY^3 + CZ^3 + DX^2Y + EX^2Z + \\ + FXY^2 + GXZ^2 + HXYZ + MY^2Z + NYZ^2 + \dots \\ G = G_1 + G_2 + G_3 + \dots$$

with G_i homogeneous polynomials of $k[X, Y, Z]$ of degree i . In the first case, from Cor. 1, a) and b), we have

$$G_1 = \varrho(aX + bY + cZ) \quad (\varrho c \neq 0, \text{ by } G_z \neq 0 \pmod{I(\mathcal{C})}), \\ G_2 = \varrho(dX^2 + eY^2 + fZ^2 + gXY + hXZ + lYZ) + \\ + (a'X + b'Y + c'Z)(aX + bY + cZ) = (\varrho d + a'a)X^2 + \\ + (\varrho e + b'b)Y^2 + (\varrho f + c'c)Z^2 + (\varrho g + a'b + b'a)XY + \\ + (\varrho h + c'a + a'e)XZ + (\varrho l + b'c + c'b)YZ.$$

The partial derivatives being calculated in O , we find:

$$F_X = a, \quad F_Y = b, \quad F_Z = c, \quad F_{XX} = 2d, \quad F_{YY} = 2e, \\ F_{ZZ} = 2f, \quad F_{XY} = g, \quad F_{XZ} = h, \quad F_{YZ} = l,$$

and

$$G_x = \varrho a, \quad G_y = \varrho b, \quad G_z = \varrho c, \quad G_{xx} = 2(\varrho d + a'a), \dots$$

Then we have the following:

$$\begin{aligned} D_{xx}(G)/G_z^3 &= 2(\varrho d + a'a)(\varrho c)^2 - 2(\varrho h + c'a + a'c) \cdot \\ &\quad \cdot (\varrho a)(\varrho c) + 2(\varrho f + c'c)(\varrho a)^2/(\varrho c)^3 = \\ &= (2dc^2 - 2hac + 2fa^2)/c^3 = D_{xx}(F)/F_z^3. \end{aligned}$$

Since the above equality holds in an o.Z.-sub. of \mathbb{C} we can assert that the rational functions on \mathbb{C} :

$$D_{xx}(G)/G_z^3 = D_{xx}(F)/F_z^3$$

are equal.

Same proof for the remaining two formulas (1).

As for (2), it can be deduced in a completely similar way applying, besides a), b), also c) of Cor. 1.

COROLLARY 2. *In the hypotheses of Prop. 2, if $\mu \geq 3$, equalities (1) become the following equalities mod $I(\mathbb{C})$:*

$$\begin{aligned} (1_1) \quad F_{xx}F_zG_z - 2F_{xz}F_xG_z + F_{zz}F_xG_x &= \\ &= G_{xx}F_z^2 - 2G_{xz}F_xF_z + G_{zz}F_x^2 \end{aligned}$$

$$\begin{aligned} (1_2) \quad F_{xy}F_zG_z - F_{xz}F_yG_z - F_{yz}F_xG_z + F_{zz}F_xG_y &= \\ &= G_{xy}F_z^2 - G_{xz}F_yF_z - G_{yz}F_xF_z + G_{zz}F_xF_y \end{aligned}$$

$$\begin{aligned} (1_3) \quad F_{yy}F_zG_z - 2F_{yz}F_yG_z + F_{zz}F_yG_y &= \\ &= G_{yy}F_z^2 - 2G_{yz}F_yF_z + G_{zz}F_y^2. \end{aligned}$$

In order to deduce (1₁), for example, we have, from the first of (1), of Prop. 1:

$$\begin{aligned} F_{xx}F_z^2G_z^3 - 2F_{xz}F_xF_zG_z^3 + F_{zz}F_x^2G_z^3 &= \\ &= G_{xx}G_z^2F_z^3 - 2G_{xz}G_xG_zF_z^3 + G_{zz}G_x^2F_z^3. \end{aligned}$$

Taking into account that $F_xG_z = F_zG_x \pmod{I(\mathbb{C})}$, by the condition

of tangence of \mathcal{F} and \mathcal{G} along \mathcal{C} , one gets

$$\begin{aligned} (F_z G_z^2)(F_{xx} F_z G_z - 2F_{xz} F_x G_z + F_{zz} F_x G_x) = \\ = (F_z G_z^2)(G_{xx} F_z^2 - 2G_{xz} F_x F_z + G_{zz} F_x^2) \end{aligned}$$

whence (1) is deduced, simplifying by $F_z G_z^2 (\neq 0 \text{ mod } I(\mathcal{C}))$.

REMARK 3. In the hypotheses $F_x \neq 0 \text{ mod } I(\mathcal{C})$ one can prove that (1₁), assuming the tangence condition of \mathcal{F} and \mathcal{G} along \mathcal{C} , is equivalent to the fact that the generic plane $Y = y$ cuts \mathcal{F} and \mathcal{G} in two curves having in $P = (x, y, z) \in \mathcal{C}$ multiplicity of intersection $\mu \geq 3$.

Analogous meaning for (1₂) and (1₃) relatively to the planes $Z = z$ and $X = x$. It follows that, if $\mathcal{F} \cdot \mathcal{G} = \mu \mathcal{C}$, with $\mu \geq 3$, (1₁), (1₂) and (1₃) are generally independent.

REMARK 4. In the case $F_x G_x \neq 0 \text{ mod } I(\mathcal{C})$, or $F_y G_y \neq 0 \text{ mod } I(\mathcal{C})$ one can deduce in a completely similar way formulas analogous to (1_i) ($i = 1, 2, 3$) above. For example, if $F_y G_y \neq 0 \text{ mod } I(\mathcal{C})$, one gets the

$$\begin{aligned} (1_4) \quad F_{xx} F_y G_y - 2F_{xy} F_x G_y + F_{yy} F_x G_x = \\ = G_{xx} F_y^2 - 2G_{xy} F_x F_y + G_{yy} F_x^2. \end{aligned}$$

COROLLARY 3. In the hypotheses of Prop. 2, if $\mu \geq 4$, the equality 2) becomes the following equality mod $I(\mathcal{C})$:

$$\begin{aligned} (2) \quad (F_{yxy} F_z^2 - 3F_{yyz} F_y F_z + 3F_{yzz} F_y^2 + 6F_{yz}^2 F_y - \\ - 3F_{yy} F_{yz} F_z) G_z - (3F_{yz} F_{zz} F_y + F_{zzz} F_y^2) G_y + \\ + (-3F_{yy} F_y F_z + 6F_{yz} F_y^2) G_{zz} + (3F_{yy} F_z^2 - \\ - 6F_{yz} F_y F_z - 3F_{zz} F_y^2) G_{yz} + 3F_{zz} F_y F_z G_{yy} + \\ + F_y^3 G_{zzz} - 3F_y^2 F_z G_{yzz} + 3F_y F_z^2 G_{yyz} - F_z^3 G_{yy} = 0. \end{aligned}$$

SKETCH OF THE PROOF. Multiply 2) by $F_z^5 G_z^5 (\neq 0 \text{ mod } I(\mathcal{C}))$ and notice that $F_z G_y = F_y G_z \text{ mod } I(\mathcal{C})$ makes it possible to divide both members by $F_z^3 G_z^3$. After suitable substitution, following equation (1₃) in the second member, one can simplify again by dividing by G_z : then one gets the relation (2).

REMARK 5. Three more formulas can be obtained in the case $\mu \geq 4$ in a similar manner. We omit them for the sake of brevity and because only the above formula will be used in the sequel.

§ 2. PROPOSITION 3. *No flex point of a quartic curve $\tilde{\mathcal{Q}}$ of \mathbf{P}_k^2 can be set-theoretic complete intersection with another quartic curve, possibly reduced, of \mathbf{P}_k^2 .*

REMARK 6. Let be \mathcal{C} a curve, F a flex point of \mathcal{C} , t the tangent in F to \mathcal{C} . It is easy to show that for every curve \mathcal{D} s.t. $i(F, \mathcal{C} \cap \mathcal{D}) \geq 3$ one has $i(F, t \cap \mathcal{D}) \geq 3$.

PROOF OF PROP. 3. We can choose an affine open set of P_k^2 , identified with \mathbf{A}_k^2 , s.t., if \mathcal{Q} is the affine part of our quartic $\tilde{\mathcal{Q}}$, we have that the flex F is $O = (0, 0)$, the tangent to \mathcal{Q} in the flex O is $\{X = 0\}$ and that $\tilde{\mathcal{Q}} \cdot \{\widetilde{X=0}\} = 3O + Y_\infty$. The equation of \mathcal{Q} is then

$$X + c_1 X^2 + c_2 XY + c_3 X^3 + c_4 X^2 Y + c_5 XY^2 - \\ - Y^3 + c_6 X^4 + c_7 X^3 Y + c_8 X^2 Y^2 + c_9 XY^3 = 0 .$$

Let us notice that, if there exists a quartic $\mathcal{Q}' \subset \mathbf{A}_k^2$, such that $i(O, \mathcal{Q} \cap \mathcal{Q}') = 16$ then every quartic ($\neq \mathcal{Q}$) of the (affine) pencil generated by \mathcal{Q} and \mathcal{Q}' satisfies the same condition. Let be \mathcal{Q}'' the quartic of the pencil which is singular in O :

$$\mathcal{Q}'' = d_1 X^2 + d_2 XY + d_3 X^3 + d_4 X^2 Y + d_5 XY^2 + d_6 Y^3 + \\ + d_7 X^4 + d_8 X^3 Y + d_9 X^2 Y^2 + d_{10} XY^3 + d_{11} Y^4 = 0 .$$

In order to find the conditions for which $i(O, \mathcal{Q} \cap \mathcal{Q}'') \geq 16$ we shall proceed as follows. Let us write the equation of \mathcal{Q} in the form

$$Y^3 = XM, \quad \text{with } M = 1 + c_1 X + c_2 Y + c_3 X^2 + c_4 XY + c_5 Y^2 + \\ + c_6 X^3 + c_7 X^2 Y + c_8 XY^2 + c_9 Y^3;$$

we have:

$$\mathcal{Q} \cap \mathcal{Q}'' = \begin{cases} Y^3 = XM \\ d_1 X^2 + d_2 XY + d_3 X^3 + d_4 X^2 Y + d_5 XY^2 + d_7 X^4 + \\ + d_8 X^3 Y + d_9 X^2 Y^2 + d_{10} XY^3 + (d_6 + d_{11} Y) XM = 0 \end{cases}$$

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$$\mathcal{Q} \cap \mathcal{Q}' = \left\{ \begin{array}{l} Y^3 = XM \\ X = 0 \end{array} \right\} + \left\{ \begin{array}{l} Y^3 = XM \\ Q_1 = 0 \end{array} \right\}$$

where

$$Q_1 = d_1 X + d_2 Y + d_3 X^2 + d_4 XY + d_5 Y^2 + d_7 X^3 + d_8 X^2 Y + \\ + d_9 XY^2 + d_{10} Y^3 + (d_6 + d_{11} Y) M = 0.$$

Having put $\tilde{\mathcal{Q}}_1 = \{\widetilde{Q_1=0}\}$, it must be $i(O, \tilde{\mathcal{Q}} \cap \tilde{\mathcal{Q}}_1) \geq 13$: by Remark 6 then we have $d_6 = d_2 + d_6 c_2 + d_{11} = d_5 + d_6 c_5 + d_{11} c_2 = 0$. As $d_6 = 0$, it must be $d_{11} \neq 0$, or $\tilde{\mathcal{Q}}'$ would have $\{\widetilde{X=0}\}$ as its component, but then $Y_\infty \in \tilde{\mathcal{Q}}$: absurd because $\tilde{\mathcal{Q}} \cap \tilde{\mathcal{Q}}' = \{O\}$. We can suppose then $d_{11} = 1$ and the conditions above become:

- 1) $d_6 = 0$;
- 2) $d_2 + 1 = 0$;
- 3) $d_5 + c_2 = 0$.

Iterating this process one finds the following conditions:

- 4) $d_1 + d_{10} + c_5 = 0$;
- 5) $d_4 + c_1 + c_9 + (d_{10} + c_5)c_2 = 0$;
- 6) $d_9 + c_4 + (d_{10} + c_5)c_5 + c_2 c_9 = 0$;
- 7) $d_3 + c_8 + (d_{10} + c_5)(c_1 + c_9) + c_5 c_9 = 0$;
- 8) $d_8 + c_3 + (d_{10} + c_5)(c_4 + c_2 c_9) + c_1 c_9 + c_2 c_8 + c_2 c_5 c_9 + c_9^2 = 0$;
- 9) $c_7 + (d_{10} + c_5)(c_8 + c_5 c_9) + c_4 c_9 + c_5 c_8 + c_5^2 c_9 + c_2 c_9^2 = 0$;
- 10) $d_7 + (d_{10} + c_5)(c_3 + c_1 c_9 + c_9^2) + c_1 c_8 + 2c_8 c_9 + c_1 c_5 c_9 + 2c_5 c_9^2 = 0$;
- 11) $(d_{10} + c_5)(c_7 + c_4 c_9 + c_2 c_9^2) + c_6 + c_3 c_9 + c_4 c_8 + c_4 c_5 c_9 + \\ + c_1 c_9^2 + 2c_2 c_8 c_9 + 2c_2 c_5 c_9^2 + c_9^3 = 0$;
- 12) $(d_{10} + c_5)(c_8 c_9 + c_5 c_9^2) + c_7 c_9 + c_8^2 + c_4 c_9^2 + \\ + 3c_5 c_8 c_9 + 2c_5^2 c_9^2 + c_2 c_9^3 = 0$;
- 13) $(d_{10} + c_5)(c_6 + c_3 c_9 + c_1 c_9^2 + c_9^3) + c_3 c_8 + c_3 c_5 c_9 + \\ + 2c_1 c_8 c_9 + 2c_1 c_5 c_9^2 + 3c_8 c_9^2 + 3c_5 c_9^3 = 0$.

Let us rewrite 12) in the following form

$$c_9[c_7 + (d_{10} + c_5)(c_8 + c_5c_9) + c_4c_9 + c_5c_8 + \\ + c_5^2c_9 + c_2c_9^2] + (c_8 + c_5c_9)^2 = 0 .$$

Taking into account 9), it follows

$$(\&) \quad c_8 + c_5c_9 = 0 .$$

Then from 9) again we get

$$(\&\&) \quad c_7 + c_4c_9 + c_2c_9^2 = 0$$

and from (&), (&&) and 11), we get finally

$$(\&\&\&) \quad c_6 + c_3c_9 + c_1c_9^2 + c_9^3 = 0 .$$

By (&), (&&), (&&&) we can write the equation of \mathcal{Q} in the following form:

$$X + c_1X^2 + c_2XY + c_3X^3 + c_4X^2Y + c_5XY^2 - Y^3 - \\ - (c_3c_9 + c_1c_9^2 + c_9^3)X^4 - (c_4c_9 + c_2c_9^2)X^3Y - \\ - c_5c_9X^2Y^2 + c_9XY^3 = (1 - c_9X) \cdot \\ \cdot [c_5XY^2 + (c_2c_9 + c_4)X^2Y + (c_3 + c_1c_9 + c_9^2)X^3 - \\ - Y^3 + (c_1 + c_9)X^2 + c_2XY + X] = 0 .$$

It follows that $\tilde{\mathcal{Q}}$ is reducible in a cubic $\tilde{\mathcal{C}}$ and a straight line \tilde{r} not passing through O . Every projective quartic $\tilde{\mathcal{Q}}' (\neq \tilde{\mathcal{Q}})$ must intersect \tilde{r} , and also $\tilde{\mathcal{Q}}$, in a point different from O : absurd. This completes the proof.

REMARK 7. In particular cases a flex on a plane quartic $\tilde{\mathcal{Q}} \subset \mathbf{P}_k^2$ can be s.t.c.i. of $\tilde{\mathcal{Q}}$ with a cubic $\tilde{\mathcal{C}}$. For example let $\tilde{\mathcal{C}}$ be a cubic, P a flex of $\tilde{\mathcal{C}}$, \tilde{t} the tangent to $\tilde{\mathcal{C}}$ at P and \tilde{r} an arbitrary straight line not passing through P . Then every quartic $\tilde{\mathcal{Q}}$ of the form $\lambda\tilde{\mathcal{C}}\tilde{r} + t^4$, $\lambda \in k$, has a flex in P which obviously is s.t.c.i. of $\tilde{\mathcal{C}}$ and $\tilde{\mathcal{Q}}$. One can also easily verify that the quartics of this kind are the only quartics having a flex s.t.c.i. with a cubic.

§ 3. Let us consider the affine open set \mathbf{A}_k^3 in which the Cremona quartic curve $\tilde{\mathcal{C}}_4$ has the parametric representation (t, t^3, t^4) . In \mathbf{A}_k^2 the generic quartic surface $\mathcal{F} = \{F = 0\}$ containing \mathcal{C}_4 is

$$\mathcal{F} = \{F = A_3 Z^3 + A_2 Z^2 + A_1 Z + A_0 = 0\}$$

where

$$\begin{aligned} A_0 &= a_1 Y + a_3 XY + a_6 Y^2 - a_4 X^2 Y + a_{10} XY^2 - a_1 X^3 + \\ &\quad + a_{14} Y^3 - (a_7 + a_8) X^2 Y^2 - (a_6 + a_9) X^3 Y - \\ &\quad - (a_{11} + a_{17}) XY^3 - (a_2 + a_3) X^4 - (a_{15} + a_{16}) Y^4 \end{aligned}$$

$$\begin{aligned} A_1 &= a_2 + a_4 X + a_5 Y + a_8 XY + a_9 X^2 + a_{11} Y^2 - a_{13} XY^2 - \\ &\quad - (a_{12} + a_{14}) X^2 Y - (a_5 + a_{10}) X^3 + a_{18} Y^3 \end{aligned}$$

$$A_2 = a_7 + a_{12} X + a_{13} Y + a_{16} XY + a_{17} X^2$$

$$A_3 = a_{15} - a_{18} X .$$

We shall denote the coefficients of the equation of another quartic surface $\mathcal{G} = \{G = 0\}$ containing \mathcal{C}_4 with b 's in place of a 's.

Let us notice that, since $\tilde{\mathcal{C}}_4$ has infinitely many trisecants that constitute an array of the only quadric $\{X_0 X_3 - X_1 X_2 = 0\}$ containing $\tilde{\mathcal{C}}_4$, no irreducible quartic surface containing $\tilde{\mathcal{C}}_4$ can have it as double curve.

Our goal is to prove the following

PROPOSITION 4. *There does not exist any pair of quartic surfaces $\tilde{\mathcal{F}}$ and $\tilde{\mathcal{G}}$ such that*

$$(*) \quad \tilde{\mathcal{F}} \cdot \tilde{\mathcal{G}} = 4\tilde{\mathcal{C}}_4$$

The result is reached in three steps, A), B), C):

A) if $\tilde{\mathcal{F}}$ and $\tilde{\mathcal{G}}$ satisfy () and are non singular in the two flexes of $\tilde{\mathcal{C}}_4$, O and Z_∞ , they must be there tangent to the hyperoscurating planes of $\tilde{\mathcal{C}}_4$, $\{Z = 0\}$ and the plane at infinity;*

B) if $\tilde{\mathcal{F}}$ and $\tilde{\mathcal{G}}$ satisfy () they must have double points in the flexes of $\tilde{\mathcal{C}}_4$, O and Z_∞ ;*

C) if $\tilde{\mathcal{F}}$ and $\tilde{\mathcal{G}}$ contain $\tilde{\mathcal{C}}_4$ and have double points in O and Z_∞ , the multiplicity of intersection of $\tilde{\mathcal{F}}$ and $\tilde{\mathcal{G}}$ along $\tilde{\mathcal{C}}_4$ cannot be 4.

PROOF of A). Given the symmetric roles of $\tilde{\mathcal{F}}$ and $\tilde{\mathcal{G}}$ in (*), it is enough to prove the statement for $\tilde{\mathcal{F}}$. One can easily see that if $a_{18} \neq 0$, $\mathcal{F}_\infty = \tilde{\mathcal{F}} \cap \{X_0 = 0\}$ is a plane quartic with a flex in $Z_\infty = \tilde{\mathcal{C}}_4 \cap \{X_0 = 0\}$. (*) implies that $\tilde{\mathcal{F}} \cap \tilde{\mathcal{G}} = \tilde{\mathcal{C}}_4$, hence $\mathcal{F}_\infty \cap \mathcal{G}_\infty = Z_\infty$: this contradicts Prop. 3, § 2), applied to \mathcal{F}_∞ and its flex Z_∞ . It follows $a_{18} = 0$, which means that, if $\tilde{\mathcal{F}}$ is non singular in Z_∞ , it is there tangent to the plane at infinity.

Since $\tilde{\mathcal{C}}_4$ is a fixed curve in the linear isomorphism of \mathbb{P}_k^3 :

$$\tau : (X_0, X_1, X_2, X_3) \rightarrow (X_3, X_2, X_1, X_0)$$

which interchanges the two flexes of $\tilde{\mathcal{C}}_4$ O and Z_∞ , one has:

$$\tilde{\mathcal{F}} \cdot \tilde{\mathcal{G}} = 4\tilde{\mathcal{C}}_4 \Rightarrow \tau(\tilde{\mathcal{F}}) \cdot \tau(\tilde{\mathcal{G}}) = 4\tilde{\mathcal{C}}_4.$$

Let be $\tau(\tilde{\mathcal{F}})^a = \{F' = 0\}$ and denote with a'_i the coefficients of F' : one has $a'_{18} = a_1$; beeing, by the above argument, $a'_{18} = 0$, we have $a_1 = 0$ too; this completes the proof of A).

The condition (*) implies, by Remark 1, that \mathcal{F} and \mathcal{G} have the same tangent plane in their simple points along \mathcal{C}_4 , so it must be:

$$\text{rank} \begin{pmatrix} F_x & F_y & F_z \\ G_x & G_y & G_z \end{pmatrix} = 1 \quad \text{mod } I(\mathcal{C}_4).$$

For every point $P = (t, t^3, t^4) \in \mathcal{C}_4$ we have the equalities in the polynomial ring $k[t]$:

$$\begin{aligned} F_x(P) + 3t^2 F_y(P) + 4t^3 F_z(P) &= 0, \\ G_x(P) + 3t^2 G_y(P) + 4t^3 G_z(P) &= 0. \end{aligned}$$

By this the condition of tangence of \mathcal{F} and \mathcal{G} along \mathcal{C}_4 is, more simply:

$$(o) \quad F_z(P)G_y(P) - F_y(P)G_z(P) = 0, \quad (P \in \mathcal{C}_4).$$

REMARK 8. We notice that (*) implies the existence of a pencil Φ of quartic surfaces any pair of which satisfies (*) again. In this pencil surely we find a quartic singular in Z_∞ and so we can suppose that in (*) $\tilde{\mathcal{G}}$ is such a surface, that is $b_{15} = 0$.

(o) gives the following system of bilinear equations in the a_i 's and b_i 's (beeing actually $a_{18} = a_1 = b_{18} = b_{15} = b_1 = 0$):

List 1

- a) $-b_{16}a_{15} = 0$
- b) $-b_{16}a_{13} + b_{13}(a_{15} + a_{16}) = 0$
- c) $-b_{17}a_{15} - b_{16}a_{11} + b_{11}(a_{15} + a_{16}) = 0$
- d) $-b_{17}a_{13} - b_{16}(a_{12} + a_{14}) + b_{14}(2a_{15} + a_{16}) + b_{13}a_{17} + b_{12}(a_{15} + a_{16}) = 0$
- e) $-b_{17}a_{11} - b_{16}(2a_7 + a_8) + b_{14}a_{13} - b_{13}a_{14} + b_{11}a_{17} + b_8(a_{15} + a_{16}) + 2b_7(a_{15} + a_{16}) = 0$
- f) $-b_{17}(a_{12} + a_{14}) - b_{16}(2a_5 + a_{10}) + b_{14}(a_{11} + a_{17}) + b_{12}a_{17} - b_{11}a_{14} + b_{10}(2a_{15} + a_{16}) + b_5(3a_{15} + 2a_{16}) = 0$
- g) $-b_{17}(2a_7 + a_8) - b_{16}(2a_6 + a_9) + b_{14}a_{12} - b_{13}(a_5 + a_{10}) - b_{12}a_{14} + b_{10}a_{13} + b_9(a_{15} + a_{16}) + b_8a_{17} + 2b_7a_{17} + b_6(3a_{15} + 2a_{16}) + b_5a_{13} = 0$
- h) $-b_{17}(2a_5 + a_{10}) - b_{16}a_4 + b_{14}(2a_7 + a_8) - b_{13}a_6 - b_{11}(a_5 + a_{10}) + b_{10}(a_{11} + a_{17}) - b_8a_{14} - 2b_7a_{14} + b_6a_{13} + b_5(a_{11} + 2a_{17}) + b_4(a_{15} + a_{16}) = 0$
- i) $-b_{17}(2a_6 + a_9) - b_{16}(3a_2 + 2a_3) + b_{14}a_5 - b_{12}(a_5 + a_{10}) - b_{11}a_6 + b_{10}a_{12} + b_9a_{17} + b_6(a_{11} + 2a_{17}) + b_5(a_{12} - a_{14}) + b_3(3a_{15} + 2a_{16}) + b_2(4a_{15} + 3a_{16}) = 0$
- l) $-b_{17}a_4 + b_{14}(a_6 + a_9) - b_{13}(a_2 + a_3) - b_{12}a_6 + b_{10}(2a_7 + a_8) - b_9a_{14} - b_8(a_5 + a_{10}) - 2b_7(a_5 + a_{10}) + b_6(a_{12} - a_{14}) + b_5(2a_7 + a_8) + b_4a_{17} + b_3a_{13} + b_2a_{13} = 0$
- m) $-b_{17}(3a_2 + 2a_3) + b_{14}a_4 - b_{11}(a_2 + a_3) + b_{10}a_5 - b_8a_6 - 2b_7a_6 + b_6(2a_7 + a_8) - b_5a_{10} - b_4a_{14} + b_3(a_{11} + 2a_{17}) + b_2(a_{11} + 3a_{17}) = 0$
- n) $b_{14}(2a_2 + a_3) - b_{12}(a_2 + a_3) + b_{10}(a_6 + a_9) - b_9(a_5 + a_{10}) - b_8a_{10} + b_3(a_{12} - a_{14}) + b_2(a_{12} - 2a_{14}) = 0$
- o) $b_{10}a_4 - b_9a_6 - b_8(a_2 + a_3) - 2b_7(a_2 + a_3) + b_6a_9 + b_5a_4 - b_4(a_5 + a_{10}) + b_3(2a_7 + a_8) + b_2(2a_7 + a_8) = 0$
- p) $b_{10}(2a_2 + a_3) + b_6a_4 + b_5a_2 - b_4a_6 - b_3a_{10} - b_2(a_5 + 2a_{10}) = 0$
- q) $-b_9(a_2 + a_3) + b_6a_2 + b_3a_9 - b_2(a_6 - a_9) = 0$
- r) $-b_4(a_2 + a_3) + b_3a_4 + b_2a_4 = 0$
- s) $b_3a_2 - b_2a_3 = 0$

PROOF of B). We want to prove first that $(*)$ implies that also $\tilde{\mathcal{F}}$ is singular in Z_∞ , *i.e.* that $a_{15} = 0$. Let us suppose then that $a_{15} \neq 0$ and remember that the characteristic of k is $\neq 2, 3$. From $a)$ of list 1) we have $\boxed{b_{16} = 0}$, which means $Y_\infty \in \tilde{\mathcal{G}}$. As $Y_\infty \notin \tilde{\mathcal{C}}_4$ it follows $Y_\infty \notin \tilde{\mathcal{F}}$, that is $a_{15} + a_{16} \neq 0$. From $b)$ of list 1) it follows $\boxed{b_{13} = 0}$. Now let us notice that the common tangent plane to \mathcal{F} and \mathcal{G} in the generic point $P = (t, t^3, t^4)$ of \mathcal{C}_4 cannot pass through O . Indeed this situation would imply the following identity in $k[t]$:

$$F_x(P) + t^2 F_y(P) + t^3 F_z(P) = 0;$$

on the other hand we already have (see above):

$$F_x(P) + 3t^2 F_y(P) + 4t^3 F_z(P) = 0,$$

by subtracting the previous equations, and simplifying by t^2 , we get:

$$2F_y(P) + 3tF_z(P) = 0$$

the vanishing of the coefficient of degree 9 in t yields $a_{15} = 0$: contradiction.

This situation has the following consequence. Let τ be the linear isomorphism considered above (see proof of A) and let $\tilde{\mathcal{F}}' = \tau(\tilde{\mathcal{F}})$, $\tilde{\mathcal{G}}' = \tau(\tilde{\mathcal{G}})$; τ transforms the tangent plane $\pi(P)$ to $\tilde{\mathcal{F}}$ and $\tilde{\mathcal{G}}$ in P in the tangent plane $\pi(\tau(P))$ to $\tilde{\mathcal{F}}'$ and $\tilde{\mathcal{G}}'$ in $\tau(P)$; as $\tau(O) = Z_\infty$ and $\tau(Z_\infty) = O$, the tangent plane $\pi(\tau(P))$ cannot pass (for generic P) through Z_∞ , because this would imply for $\pi(P)$ to pass through O for generic P , so we can say that, if $\mathcal{F}' = \{F' = 0\}$, $F'_z \neq 0 \pmod{I(\mathcal{C}_4)}$; but then also $G'_z \neq 0 \pmod{I(\mathcal{C}_4)}$, because, for generic $P \in \mathcal{C}_4$, \mathcal{F}' and \mathcal{G}' have common tangent plane in $\pi(P)$. So we can apply to \mathcal{F}' and \mathcal{G}' formula (1₃), § 1. As $\tilde{\mathcal{G}}$ is singular in Z_∞ and $\tilde{\mathcal{F}}$ is tangent to the plane $\{X_0 = 0\}$ in Z_∞ , we have that \mathcal{G}' is singular in O and \mathcal{F}' is tangent to $\tau(\{X_0 = 0\})^a = \{Z = 0\}$ in O , so we have $G'_x(O) = G'_y(O) = G'_z(O) = F'_x(O) = F'_y(O) = 0$. Moreover the coefficient of Y^2 in G' is b_{17} and from (1₃) we get immediately $2a_{15}^2 b_{17} = 0$ from which we have $\boxed{b_{17} = 0}$. Now $c)$ of list 1) gives $\boxed{b_{11} = 0}$. From $d)$ we get then

$$(B_1) \quad (a_{15} + a_{16})b_{12} + (2a_{15} + a_{16})b_{14} = 0.$$

The curve $\tilde{\mathcal{C}}_4 = (\lambda^4, \lambda^3 \mu, \lambda \mu^3, \mu^4) \subset \mathbf{P}_k^3$ is not set-theoretic etc. 191

Calculating both members of formulas (1_{*i*}), *i* = 1, 2, 3, 4 (see Cor. 2) in the generic point (*t*, *t*³, *t*⁴) of \mathcal{C}_4 yields identities of polynomials in *k*[*t*]. For the sake of brevity we shall denote by $H_{1,i}(n)$ the difference of the coefficients of *t*^{*n*} in the two members of formulas (1_{*i*}). Similarly $H_2(n)$ will mean the coefficient of *t*^{*n*} in the first member of formula (2) (see Cor. 3). Of course for every *i* and *n* we have $H_{1,i}(n) = 0$ and $H_2(n) = 0$.

At this point we distinguish two subcases according as $F_z G_z \neq 0$ or $F_z G_z = 0 \pmod{I(\mathcal{C}_4)}$.

First case: We can use formulas (1_{*i*}) *i* = 1, 2, 3, and (2), Cor. 2, § 1. We find

$$(B_2) \quad \frac{1}{18} H_{1,1}(23) = a_{15}(a_{15} + a_{16})b_{12} + a_{15}^2 b_{14} = 0.$$

Subtracting B_1), multiplied by a_{15} ($\neq 0$), from B_2), we get

$$a_{15}(a_{15} + a_{16})b_{14} = 0 \\ \Rightarrow \boxed{b_{14} = 0} \Rightarrow \boxed{b_{12} = 0}.$$

From *e*) of list 1) we get

$$(B_3) \quad 2b_7 + b_8 = 0.$$

Now we have:

$$(B_4) \quad \frac{1}{6} H_{1,1}(22) = (3a_{15}^2 + 2a_{15}a_{16} - a_{16}^2)b_7 + (3a_{15}^2 + 4a_{15}a_{16} + a_{16}^2)b_8 = 0.$$

Comparing (B₃) and (B₄) gives

$$-3(a_{15} + a_{16})^2 b_7 = 0 \Rightarrow \boxed{b_7 = 0} \Rightarrow \boxed{b_8 = 0}.$$

Actually $\tilde{\mathcal{G}}$ is a monoid with a triple point in Z_∞ . Using as above the linear isomorphism τ , we apply formula (2) to $\mathcal{F}' = \tau(\tilde{\mathcal{F}})^\alpha = \{F' = 0\}$ and $\mathcal{G}' = \tau(\tilde{\mathcal{G}})^\alpha = \{G' = 0\}$ calculated in $O = (0, 0, 0)$. As before $G'_x(O) = G'_y(O) = F'_x(O) = 0$; moreover $G'_{yz}(O) = b_{12} = 0$ (as we have just found). Formula (2), § 1, calculated in O , gives then

$$-F'_z(O)G'_{xyz}(O) = -a_{15}^3[-6(b_5 + b_{10})] = 0,$$

so we have

$$(B_5) \quad b_5 + b_{10} = 0 .$$

From *f*) of list 1) we obtain:

$$(B_6) \quad (3a_{15} + 2a_{16})b_5 + (2a_{15} + a_{16})b_{10} = 0 .$$

$$(B_5) \text{ and } (B_6) \text{ give } (a_{15} + a_{16})b_5 = 0 \Rightarrow \boxed{b_5 = 0} \Rightarrow \boxed{b_{10} = 0} .$$

From *g*) of list 1) we get:

$$(B_7) \quad (a_{15} + a_{16})b_9 + (3a_{15} + 2a_{16})b_6 = 0 ;$$

on the other hand we have also:

$$(B_8) \quad \frac{1}{18} H_{1,1}(20) = (2a_{15}^2 + 3a_{15}a_{16} + a_{16}^2)b_9 + \\ + (3a_{15}^2 + 3a_{15}a_{16} + a_{16}^2)b_6 = 0 .$$

and

$$(B_9) \quad -\frac{1}{6} H_2(21) = (20a_{15}^3 + 32a_{15}^2 a_{16} + 13a_{15} a_{16}^2 + a_{16}^3)b_9 + \\ + (88a_{15}^3 + 126a_{15}^2 a_{16} + 53a_{15} a_{16}^2 + 6a_{16}^3)b_6 = 0 .$$

It is easy to see that (B_7) , (B_8) , (B_9) , thought of as a linear system in (b_6, b_9) , has only the trivial solution. So it must be $\boxed{b_6 = b_9 = 0}$. From *h*) of list 1) we get $\boxed{b_4 = 0}$; whereas from *i*) of the same list it follows:

$$(B_{10}) \quad (4a_{15} + 3a_{16})b_2 + (3a_{15} + 2a_{16})b_3 = 0 .$$

Now we have also:

$$(B_{11}) \quad \frac{1}{6} H_{1,1}(18) = (18a_{15}^2 + 20a_{15}a_{16} + 6a_{16}^2)b_2 + \\ + (18a_{15}^2 + 21a_{15}a_{16} + 7a_{16}^2)b_3 = 0$$

The curve $\tilde{\mathcal{C}}_4 = (\lambda^4, \lambda^3\mu, \lambda\mu^3, \mu^4) \subset \mathbb{P}_x^3$ is not set-theoretic etc. 193

and

$$(B_{12}) \quad \frac{1}{6}H_2(19) = (-36a_{15}^3 - 32a_{15}^2a_{16} + 4a_{15}a_{16}^2 + 5a_{16}^3)b_2 + \\ + (-16a_{15}^3 + 17a_{15}a_{16}^2 + 6a_{16}^3)b_3 = 0.$$

As before, from (B_{10}) , (B_{11}) , (B_{12}) , we conclude that $\boxed{b_2 = b_3 = 0}$. Hence G is the null polynomial: absurd.

Second case: Now we must have $F_z = G_z = 0 \pmod{I(\mathcal{C}_4)}$ or, if it were e.g. $G_z \neq 0 \pmod{I(\mathcal{C}_4)}$, it would be $F_y = 0 \pmod{I(\mathcal{C}_4)}$ and from the identity

$$F_x(P) + t^2F_y(P) + t^3F_z(P) = 0, \quad \forall P = (t, t^3, t^4) \in \mathcal{C}_4$$

it follows $F_x = 0 \pmod{I(\mathcal{C}_4)}$ too, so \mathcal{F} would be singular along \mathcal{C}_4 . From the same identity and from the analogous one relative to G , $F_z = G_z = 0$ implies that $F_yG_y \neq 0 \pmod{I(\mathcal{C}_4)}$ or \mathcal{F} or \mathcal{G} would be singular along \mathcal{C}_4 . Taking into account $F_z = G_z = 0 \pmod{I(\mathcal{C}_4)}$, we have:

$$b_{10} = b_9 = b_4 = b_2 = 0, \quad b_{12} = b_{14}, \quad b_8 = -2b_7, \\ 2a_{16} = -3a_{15}, \quad a_{13} = a_{10} = a_9 = a_4 = a_2 = 0, \\ a_{12} = a_{14}, \quad a_8 = -2a_7, \quad a_{11} = -2a_{17}.$$

Considering formula (1₄), now applicable, we have by the above equalities:

$$H_{1,4}(25) = 16a_{15}^2b_{12} \Rightarrow \boxed{b_{12} = 0} \Rightarrow \boxed{b_{14} = 0} \\ H_{1,4}(24) = -8a_{15}^2b_7 \Rightarrow \boxed{b_7 = 0} \Rightarrow \boxed{b_8 = 0} \\ H_{1,4}(23) = 24a_{15}^2b_5 \Rightarrow \boxed{b_5 = 0};$$

with these results, we have furthermore

$$H_{1,4}(22) = 24a_{15}^2b_6 \Rightarrow \boxed{b_6 = 0} \\ H_{1,4}(20) = 24a_{15}b_3 \Rightarrow \boxed{b_3 = 0}$$

again G would be the null polynomial: absurd.

So we can say that (*) implies $a_{15} = 0$, that is for $\tilde{\mathcal{F}}$ to have a singular point in Z_∞ . Given this, the conclusion of the proof of (B), that is the fact that $\tilde{\mathcal{F}}$ and $\tilde{\mathcal{G}}$ have double points in O and Z_∞ , is obtained from the following

REMARK 9. R_1 : From what has been proved up to this point we can say that:

$$(*) \quad \tilde{\mathcal{F}} \cdot \tilde{\mathcal{G}} = 4\tilde{\mathcal{C}}_4$$

implies that every quartic of the pencil Φ generated by $\tilde{\mathcal{F}}$ and $\tilde{\mathcal{G}}$ has a singular point in Z_∞ .

R_2 : By R_1 we can suppose $\tilde{\mathcal{G}}$ to be the uniquely determined quartic of Φ which passes through $Y_\infty(\notin \tilde{\mathcal{C}}_4)$.

R_3 : \mathcal{G} cannot be a cone with vertex Z_∞ , or else the cone $\{Y - X^3 = 0\}$ would be its component.

R_4 : Every quartic surface which passes through $\tilde{\mathcal{C}}_4$ and with a triple point in Z_∞ , contains the line $Y_\infty Z_\infty$. Hence from (*) it follows that at most one of the surfaces $\tilde{\mathcal{F}}$ and $\tilde{\mathcal{G}}$ can have a triple point in Z_∞ and, should this happen, it must be $\tilde{\mathcal{G}}$, which already contains Y_∞ (see R_3).

$$R_5: Y_\infty \notin \tilde{\mathcal{F}} \Rightarrow a_{16} \neq 0 \Rightarrow F_Z \neq 0 \pmod{I(\mathcal{C}_4)}.$$

R_6 : From R_5 it follows that the canonical projection of $Y - X^3$ in the local ring $k[\mathcal{F}]_{I(\mathcal{C}_4)}$ is a uniformizing parameter.

R_7 : Let be $\text{Res}_Z(F, G) \in k[X, Y]$ the resultant, relative to Z , of the polynomials F, G : one sees directly that $\deg(\text{Res}_Z(F, G)) < 12$ if $\tilde{\mathcal{F}}$ and $\tilde{\mathcal{G}}$ both have a singular point on Z_∞ , whereas it is of degree < 12 if $\tilde{\mathcal{G}}$ has a triple point in Z_∞ (see R_2).

$$R_8: \tilde{\mathcal{F}} \cdot \tilde{\mathcal{G}} = 4\tilde{\mathcal{C}}_4 \Rightarrow (Y - X^3)^4 | \text{Res}_Z(F, G).$$

R_9 : From R_7 and R_8 $\tilde{\mathcal{G}}$ cannot have a triple point in Z_∞ .

R_{10} : From R_i , $i = 1, \dots, 7$, we have that (*) implies for both $\tilde{\mathcal{F}}$ and $\tilde{\mathcal{G}}$ to have a double point in Z_∞ .

In order to conclude that (*) implies for both $\tilde{\mathcal{F}}$ and $\tilde{\mathcal{G}}$ to have a double point also in O we use again in an obvious way the linear isomorphism τ that has been considered in the previous proof of A) and also here above during the proof of B).

The curve $\tilde{\mathcal{C}}_4 = (\lambda^4, \lambda^3 \mu, \lambda \mu^3, \mu^4) \subset \mathbf{P}_x^3$ is not set-theoretic etc. 195

REMARK 10. In the sequel we can suppose $\tilde{\mathcal{F}}$ and $\tilde{\mathcal{G}}$ to be as in Remark 9, R_2 . After what has been proved up to this moment [A) and B)] we have the following situation for the coefficients of F and G :

$$a_{18} = a_{15} = a_2 = a_1 = 0 \neq a_{16}$$

($a_{16} \neq 0$ because $Y_\infty \notin \tilde{\mathcal{F}}$), and

$$b_{18} = b_{16} = b_{15} = b_{13} = b_{11} = b_2 = b_1 = 0.$$

($b_{13} = b_{11} = 0$ being consequence of b) and c) of list 1) and $b_{16} = 0$ because $Y_\infty \in \tilde{\mathcal{G}}$).

REMARK 11. The tangent cones to $\tilde{\mathcal{F}}$ and $\tilde{\mathcal{G}}$ in Z_∞ cannot have a common component \mathcal{H} : indeed $a_{16} \neq 0 \Rightarrow \mathcal{H} = \tilde{\mathcal{H}} \cap \mathbf{A}_x^3 \neq \emptyset$; if $\mathcal{H} = \{H = 0\}$ we have $\deg H < 3$ and $H | \text{Res}_z(F, G)$, hence it follows $H(Y - X^3)^4 | \text{Res}_z(F, G)$ which is absurd, being $\deg(\text{Res}_z(F, G)) \leq 12$ (see above Remark 9, R_7).

PROOF OF C). We distinguish two subcases according as it is $b_{17} \neq 0$ or $b_{17} = 0$.

First case: $b_{17} \neq 0$.

\mathcal{F} can be taken as the quartic of the pencil Φ^a (see Remark 9, R_1 and R_2) for which $a_{17} = 0$. Let us take $b_{17} = a_{16} = 1$. In this situation \mathcal{G}_∞ splits into the line $t = \{X = 0\}_\infty$ and in a cubic \mathcal{C}_3 with a flex in Z_∞ and with tangent in it the line t . Moreover in the plane at infinity we have $t \cdot \mathcal{F}_\infty = 4Z_\infty$. From (*) and $\tilde{\mathcal{C}}_4 \cap \{X_0 = 0\} = Z_\infty$ it follows:

$$\begin{aligned} 16Z_\infty &= \tilde{\mathcal{F}}_\infty \cdot \mathcal{G}_\infty = \tilde{\mathcal{F}}_\infty \cdot (\mathcal{C}_3 + t) = \tilde{\mathcal{F}}_\infty \cdot \mathcal{C}_3 + \tilde{\mathcal{F}}_\infty \cdot t = \\ &= \tilde{\mathcal{F}}_\infty \cdot \mathcal{C}_3 + 4Z_\infty \Rightarrow \tilde{\mathcal{F}}_\infty \cdot \mathcal{C}_3 = 12Z_\infty. \end{aligned}$$

Let us consider the pencil φ of plane quartics $\tilde{\mathcal{F}}_\infty + \lambda t^4$: since also $t^4 \cdot \mathcal{C}_3 = 12Z_\infty$, every quartic \mathcal{Q} of φ satisfies to

$$(\prime) \quad \mathcal{Q} \cdot \mathcal{C}_3 = 12Z_\infty.$$

Let be $P_0 \in \mathcal{C}_3$, $P_0 \neq Z_\infty$, and let be $\mathcal{Q}_0 = \tilde{\mathcal{F}}_\infty - \lambda t^4$ the quartic of φ passing through P_0 . By this and (\prime), \mathcal{C}_3 must be component of \mathcal{Q}_0 ,

whence it follows:

$$(\prime\prime) \quad \mathcal{F}_\infty = r\mathcal{C}_3 + \lambda_0 t^4,$$

where r is a line through Z_∞ because \mathcal{F}_∞ is singular in Z_∞ . In the affine open subset ($Z \neq 0$) of the plane at infinity (where X, Y, Z are projective coordinates) we have:

$$\mathcal{F}_\infty^{(a)} = XY - (a_5 + a_{10})X^3 - (a_{12} + a_{14})X^2Y - a_{13}XY^2 - Y^4 - \\ - a_3X^4 - a_{11}XY^3 - (a_6 + a_9)X^3Y - (a_7 + a_8)X^2Y^2 = 0,$$

$$\mathcal{C}_3^{(a)} = X - (b_5 + b_{10})X^2 - (b_{12} + b_{14})XY - b_3X^3 - Y^3 - \\ - (b_6 + b_9)X^2Y - (b_7 + b_8)XY^2 = 0.$$

In $(\prime\prime)$ then we have $r = \{Y = 0\}$ and $\lambda_0 = -a_3$, whence the following equalities:

$$(C_1) \ a_5 + a_{10} = 0, \quad (C_2) \ b_5 + b_{10} = a_{12} + a_{14}, \quad (C_3) \ b_{12} + b_{14} = a_{13}, \\ (C_4) \ b_3 = a_6 + a_9, \quad (C_5) \ b_6 + b_9 = a_7 + a_8, \quad (C_6) \ b_7 + b_8 = a_{11}.$$

Taking into account the (C_i) here above and $e) f), g), h)$ of list 1) we find first:

$$(\&) \quad \begin{cases} b_{12} = a_{13} - b_{14}, & b_{10} = a_{12} + a_{14} + a_{11}b_{14}, \\ b_9 = a_8 + a_{12}a_{13} + (a_{12} + a_{14})b_{14}, & b_8 = a_{11} + a_{13}b_{14}, \\ b_7 = -a_{13}b_{14}, & b_6 = a_7 - a_{12}a_{13} - (a_{12} + a_{14})b_{14}, \\ b_5 = -a_{11}b_{14}, \\ b_4 = a_5 - a_7a_{13} - a_{11}a_{12} + a_{12}a_{13} - (2a_7 + a_8 - a_{12}a_{13})b_{14}. \end{cases}$$

Now $a_{16} \neq 0 \Rightarrow F_Z G_Z \neq 0 \pmod{I(\mathcal{C}_4)}$, so we can use formulas (1₃) and (2) with the notations $H_{1,3}(n)$ and $H_2(n)$ introduced in the proof of $B)$. Taking into account equalities $(\&)$ listed above, we find:

$$H_{1,3}(15) = -2(b_{14} + a_{13})(a_7 - a_{12}a_{13}) = 0.$$

It can be seen that $a_7 - a_{12}a_{13} = 0$ would imply for the tangent cones to \mathcal{F} and $\tilde{\mathcal{G}}$ in Z_∞ to have the common component $\{\overbrace{X + a_{13} = 0}^{\text{common component}}\}$

The curve $\tilde{C}_4 = (\lambda^4, \lambda^3\mu, \lambda\mu^3, \mu^4) \subset \mathbf{P}_k^3$ is not set-theoretic etc. 197

against what has been stated in Remark 11. So it must be $b_{14} = -a_{13}$ and the (&) become:

$$(\&\&) \quad \begin{cases} b_{14} = -a_{13}, & b_{12} = 2a_{13}, & b_{10} = a_{12} + a_{14} - a_{11}a_{13}, \\ b_9 = a_8 - a_{13}a_{14}, & b_8 = a_{11} - a_{13}^2, & b_7 = a_{13}^2, \\ b_6 = a_7 + a_{13}a_{14}, & b_5 = a_{11}a_{13}, & b_4 = a_5 + a_7a_{13} + a_8a_{13} - a_{11}a_{12}. \end{cases}$$

From i) of list 1) we get:

$$(C_7) \quad 2b_3 = a_5a_{13} - a_7a_{11} - a_{12}^2 - a_{12}a_{14} + 2a_6 + a_9$$

and by comparing (C₇) with (C₄) we get:

$$(C_8) \quad a_9 = a_5a_{13} - a_7a_{11} - a_{12}(a_{12} + a_{14}).$$

Given this, we find: $H_{1,3}(14) = 2(a_5a_{13} - a_7a_{11})$, whence

$$(C_9) \quad a_5a_{13} - a_7a_{11} = 0.$$

Hence (C₈) becomes:

$$(C_{10}) \quad a_9 = -a_{12}(a_{12} + a_{14}).$$

Now from l) and m) of list 1), in view of (C₉) and (C₁₀), we obtain

$$(C_{11}) \quad a_4 = -2a_6a_{13} + 3a_7a_{12} + a_7a_{14} + a_8a_{12} + a_{12}a_{13}a_{14},$$

$$(C_{12}) \quad 2a_3 = -3a_7a_{12}a_{13} - a_8a_{12}a_{13} - a_{12}a_{13}^2a_{14} + \\ + a_6a_{13}^2 + a_5a_{12} + 2a_7^2 + a_7a_8 - a_{11}a_{12}^2.$$

Given this, we find

$$H_{1,3}(13) = 2(a_6a_{13} + a_{12}^3a_{13} - a_7a_{14} - 2a_7a_{12})$$

and

$$H_{1,3}(12) = -2(a_3 - a_{12}a_{13}^2a_{14} + a_7a_{13}a_{14} - a_{11}a_{12}^2 - \\ - a_8a_{12}a_{13} - 3a_7a_{12}a_{13} + a_7a_8 + 3a_7^2 + a_5a_{12}).$$

From these two last equations it follows

$$(C_{13}) \quad a_6 a_{13} = -a_{12}^2 a_{13} + a a_{14} + 2a_7 a_{12},$$

$$(C_{14}) \quad a_3 = a_{12} a_{13}^2 a_{14} - a_7 a_{13} a_{14} + a_{11} a_{12}^2 + a_8 a_{12} a_{13} + \\ + 3a_7 a_{12} a_{13} - a_7 a_8 - 3a_7^2 - a_5 a_{12}.$$

Summing both members of (C_{12}) and (C_{14}) and taking into account (C_{13}) , we obtain:

$$(C_{15}) \quad 3a_3 = -(a_7 - a_{12} a_{13})^2.$$

Now we turn to formula (2) of Cor. 3: we find

$$H_2(17) = 12(-5a_3 + a_{12}^2 a_{13}^2 - 2a_{12} a_{13}^2 a_{14} - 2a_{11} a_{12}^2 - \\ - 2a_8 a_{12} a_{13} - 2a_7 a_{13} a_{14} - 8a_7 a_{12} a_{13} + \\ + 4a_6 a_{13}^2 + 3a_7^2 + 2a_7 a_8 + 2a_5 a_{12})$$

whence

$$(C_{16}) \quad 5a_3 = a_{12}^2 a_{13}^2 - 2a_{12} a_{13}^2 a_{14} - 2a_{11} a_{12}^2 - 2a_8 a_{12} a_{13} - \\ - 2a_7 a_{13} a_{14} - 8a_7 a_{12} a_{13} + 4a_6 a_{13}^2 + 3a_7^2 + 2a_7 a_8 + 2a_5 a_{12}.$$

Summing both members of (C_{12}) , multiplied by -2 , and (C_{16}) , we get in view of (C_9) , (C_{13}) :

$$(C_{17}) \quad a_3 = -(a_7 - a_{12} a_{13})^2.$$

Comparing (C_{15}) with (C_{17}) , we find $(a_3 = 0)$ and

$$a_7 = a_{12} a_{13};$$

again by Remark 11 this is inconsistent with (*) because the affine tangent cones to $\tilde{\mathcal{F}}$ and $\tilde{\mathcal{G}}$ in Z_∞ would have the common component

$$\{\widetilde{X + a_{13} = 0}\}.$$

This concludes the proof of (C) in the case $b_{17} \neq 0$.

Second case: $b_{17} = 0$.

We assume again $a_{13} = 1$. From $b)$, $c)$, $d)$, $e)$ and $f)$ of list 1) we

have

$$\begin{aligned} b_{13} &= b_{11} = 0, & b_{14} &= -b_{12}, \\ b_8 &= -2b_7 + a_{13}b_{12}, & b_{10} &= -2b_5 + a_{11}b_{12}. \end{aligned}$$

Given these equalities, we find moreover

$$H_{1,3}(18) = -2(b_7 - a_{13}b_{12}), \quad H_{1,3}(17) = -2(b_5 - a_{11}b_{12}).$$

Hence

$$(C_{18}) \quad b_7 = a_{13}b_{12},$$

$$(C_{19}) \quad b_5 = a_{11}b_{12}.$$

Now from $b_8 = -2b_7 + a_{13}b_{12}$ and $b_{10} = -2b_5 + a_{11}b_{12}$ we get

$$(C_{20}) \quad b_8 = -a_{13}b_{12},$$

$$(C_{21}) \quad b_{10} = -a_{11}b_{12}.$$

From $g)$ of list 1) we find on one hand

$$b_9 = (a_{12} + a_{14} - a_{13}a_{17})b_{12} - 2b_6;$$

on the other hand we get, in view of (C_{18}) and (C_{19})

$$H_{1,3}(16) = (-8a_{13}a_{17} + 8a_{14} + 8a_{12})b_{12} - 6b_9 - 16b_6.$$

From the last equalities one derives

$$(C_{22}) \quad b_6 = (a_{12} + a_{14} - a_{13}a_{17})b_{12},$$

$$(C_{23}) \quad b_9 = (-a_{12} - a_{14} + a_{13}a_{17})b_{12}.$$

Now, from $h)$ and $i)$ of list 1), we find

$$(C_{24}) \quad b_4 = (2a_7 + a_8 - a_{11}a_{17} - a_{12}a_{13} + a_1^2 a_{17})b_{12},$$

$$(C_{25}) \quad 2b_3 = (2a_5 + a_{10} - a_{11}a_{12} - a_{12}a_{17} - a_{14}a_{17} + a_{11}a_{13}a_{17} + a_{13}a_{17}^2)b_{12}.$$

Finally, taking into account all the previous results, we find

$$H_{1,3}(15) = 2(a_7 - a_{12}a_{13} + a_{13}^2 a_{17})b_{12} = 0:$$

being $b_{12} \neq 0$, or else G would be the null polynomial, from the last equality it follows

$$a_7 = a_{12}a_{13} - a_{13}^2 a_{17}.$$

Now the tangent cones to $\tilde{\mathcal{F}}$ and $\tilde{\mathcal{G}}$ in Z_∞ have the affine equations:

$$(X + a_{13})(a_{17}X + Y + a_{12} - a_{13}a_{17}) = 0 \quad \text{and} \quad b_{13}(X + a_{13}) = 0$$

and again applying Remark 11, we reach the conclusion of the proof of (C).

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