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## The Number of Conjugacy Classes in a Finite Nilpotent Group.

ANTONIO VERA LOPEZ (\*)

SUMMARY - In this paper, we obtain the number of conjugacy classes  $r(G)$  of a finite nilpotent group  $G$  as a function of the orders of the center of  $G$  and of any maximal abelian subgroup of  $G$ . Also, we prove that, if  $G$  is a  $p$ -group of order  $p^m$  and  $a_i$  is the number of conjugacy classes of  $G$  of size  $p^i$ , then  $a_i \equiv 0 \pmod{p-1}$  for each  $i$  and

$$\sum_{1 \leq 2k-1 \leq m-2} a_{2k-1} \equiv 0 \pmod{p^2-1}.$$

Finally, we get two lower bounds for  $r(G)$  and we consider several examples which improves the  $\log_2|G|$  bound, the P. Hall's bound and one Sherman's lower bound.

In the following,  $G$  will denote a finite nilpotent group. Since the number of conjugacy classes in a direct product is the product of the number of conjugacy classes in each factor, we can suppose that  $G$  is a  $p$ -group, in the study of the number of conjugacy classes  $r(G)$ .

We use the standard notation:  $[x, y] = x^{-1}y^{-1}xy$ ,  $x^y = y^{-1}xy$ ,  $\text{Cl}_G(x) = \{x^g : g \in G\}$ ,  $G' = \langle [x, y] : x, y \in G \rangle$  and  $Z(G) = \{x \in G : g^x = x \forall g \in G\}$ .

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Throughout this paper, we will suppose that:

$$|G| = p^m = p^{2n+e} \text{ with } e = 0 \text{ or } 1 \text{ and } |Z(G)| = p^b .$$

**1. The number  $r(G)$ .**

LEMMA. Let  $N$  and  $M$  be two subgroups of  $G$  such that  $Z(G) \leq N \triangleleft M \leq G$  and  $M/N = \langle \bar{x} \rangle \simeq C_p$ . Consider the isomorphism  $h: N \mapsto N$   $y \mapsto y^x$  and suppose that  $h$  leaves exactly  $s$  conjugacy classes of  $N$  unchanged:  $Cl_N(y_1), \dots, Cl_N(y_s)$ . Then there is an integer  $k' \geq 0$ , such that  $s = p^b + k' \cdot (p - 1)$ .

PROOF. We define  $T = \{y \in N: Cl_N(y)^x = Cl_N(y)\} = Cl_N(y_1) \dot{\cup} \dots \dot{\cup} Cl_N(y_s)$ . Arguing as in [9] pp. 83, there exists a natural number  $k$  such that  $k$  has exactly order  $p - 1$  module any divisor ( $\neq 1$ ) of  $|N|$ . Now we consider the permutation

$$f: T \rightarrow T \text{ defined by } y \mapsto y^k$$

Clearly, we have  $Z(G) \subseteq T$  and  $f(T - Z(G)) = T - Z(G)$ , because g.c.d.  $(k, |Z(G)|) = 1$ , hence  $T - Z(G)$  is a union of some orbits of  $f$ . Moreover, the length of each orbit  $\neq \{1\}$  of  $f$  is  $p - 1$ , because  $o(k) = p - 1$  module  $o(y)$  for each  $y \in T - \{1\}$ , hence  $|T - Z(G)| \equiv 0 \pmod{p - 1}$ . Finally,  $|Cl_N(y_i)| \equiv 1 \pmod{p - 1}$  for each  $i$ , implies

$$|Z(G)| + |T - Z(G)| = |T| = \sum_{i=1}^s |Cl_N(y_i)| \equiv s \pmod{p - 1} ,$$

and therefore, there is an integer  $k' \geq 0$  such that  $s = p^b + k' \cdot (p - 1)$ .

THEOREM 1. Let  $A$  be a maximal abelian subgroup of  $G$  of order  $p^a$ . Then there is an integer  $k \geq 0$  such that

$$r(G) = (p^{2a}/p^m) + (p^b(p + 1)(p^{m-a} - 1)/p^{m-a}) + k \cdot (p^2 - 1)(p - 1)/p^{m-a} .$$

PROOF. Clearly we have  $Z(G) \leq A$  and we can consider a composition series of  $G$ :  $1 = N_m < \dots < N_u < \dots < N_v < \dots < N_1 < N_0 = G$  with  $N_u = Z(G)$  and  $N_v = A$ . Let  $g_{i-1}$  be an element of  $N_{i-1} - N_i$ .

We know (cf. [15]) that

$$(1) \quad r(G) = \left( \sum_{i=1}^m s_i (p^2 - 1) / p^i \right) + 1 / p^m$$

where  $s_i$  is the number of conjugacy classes of  $N_i$  unchanged by the automorphism  $h_i: N_i \rightarrow N_i, z \mapsto g_{i-1}^{-1} z g_{i-1}, i = 1, \dots, m$ .

Since  $A$  is an abelian group, we have:

$$s_m = 1, s_{m-1} = p, \dots, s_{v+1} = p^{a-1} \text{ (and } s_v = |C_{N_v}(g_{v-1})|).$$

Moreover  $Z(G) \leq A \leq N_l$  for each  $l \leq v$ , so, by lemma, there are number integers  $k_l \geq 0$  such that  $s_l = p^b + k_l \cdot (p - 1)$  for each  $l \leq v$ .

Consequently, we have

$$\begin{aligned} r(G) &= (1/p^m) + \left( \sum_{i=1}^m s_i (p^2 - 1) / p^i \right) = \\ &= (p^2 - 1)(1 + p^2 + \dots + p^{2(a-1)})p^{-m} + p^{-m} + \\ &+ (p^2 - 1)p^b(p^{-1}p^{-(m-a)} - p^{-1})(p^{-1} - 1)^{-1} + \\ &+ (p^2 - 1)(p - 1)(k_1 p^{-1} + k_2 p^{-2} + \dots + k_{m-a} p^{-(m-a)}) = \\ &= p^{2a-m} + p^b(p + 1)(p^{m-a} - 1)p^{-(m-a)} + k \cdot (p^2 - 1)(p - 1)p^{-(m-a)} \end{aligned}$$

for some integer  $k \geq 0$ .

REMARK. The relation (1) implies the congruence:

$$|G| \equiv r(G) \pmod{(p^2 - 1)(p - 1)} \text{ (cf. [15])}$$

Moreover

$$(2) \quad p^m = p^{2n+e} \equiv p^e + n(p^2 - 1) \pmod{(p^2 - 1)(p - 1)}$$

and arguing as in ([6] V.15.2) or ([9] pp. 79) one obtain the following result of P. Hall: Let  $G$  be a group of order  $p^{2n+e}, e = 0$  or  $1$ , then for some non-negative integer  $k$ , we have  $r(G) = p^e + (p^2 - 1)(n + k(p - 1))$ .

EXAMPLES.

1) Let  $G$  be a non-abelian  $p$ -group of order  $p^m$  and suppose that, there exists  $A$  an abelian subgroup of  $G$  such that  $|G/A| = p$ . Then, we have  $r(G) = p^{m-2} + p^{b-1}(p^2 - 1)$ , with  $p^b = |Z(G)|$ . For example

a) If there is  $\langle g \rangle \leq G$  such that  $G/\langle g \rangle \simeq C_p$ , then  $G$  is isomorphic to one of the following groups:  $D_{2m}$ ,  $Q_{2m}$ ,  $SD_{2m}$ , or  $M_{p^m}$ , and we have  $r(D_{2m}) = r(Q_{2m}) = r(SD_{2m}) = 2^{m-2} + 3$ , and  $r(M_{p^m}) = p^{m-2} + p^{m-3}(p-1)(p+1)$ .

b) If  $|G| = p^m$  with  $m = 3$  or  $4$ , then, there exists  $A \leq G$  such that  $|G/A| = p$  and  $A$  is an abelian subgroup of  $G$ .

If  $(m, a) = (3, 2)$ , then  $b = 1$  and  $r(G) = p + p^2 - 1$ .

If  $(m, a) = (4, 3)$ , then  $b = 1$  or  $2$  and  $r(G) = 2p^2 - 1$  or  $p^2 + p(p^2 - 1)$ .

2) Let  $G$  be a  $p$ -group of order  $p^m$  and suppose that  $A$  is a maximal abelian subgroup of  $G$  of order  $p^{m-2}$ . Then from Theorem 1, we have  $r(G) = p^{m-4} + p^{b-2}(p+1)(p^2-1) + kp^{-2}(p^2-1)(p-1)$ , for some integer  $k \geq 0$ . Moreover, if  $|Z(G)| \geq p^2$ , then  $p^2$  divides  $k$  and  $r(G) = p^{m-4} + p^{b-2}(p+1)(p^2-1) + k_1(p^2-1)(p-1)$ , with  $k_1 \geq 0$ .

**2. Two lower bounds for  $r(G)$ .**

P. Hall (cf. [6] V.15.2) proves that  $r(G) = p^e + n(p^2 - 1)$  if  $|G| = p^{2n+e}$  with  $e = 0$  or  $1$ .

In general, if  $G$  is a finite group, Erdős and Turan (cf. [2]) proved  $r(G) > \log_2 \log_2 |G|$ . In [1] Bertram improves the  $\log_2 \log_2 |G|$  bound, proving that  $r(G) > (\log |G|)^c$  for «most» groups  $G$ , where  $c$  is any constant less than  $\log 2$ . In 1978 Sherman (cf. [13]) proves that if  $G$  is a finite nilpotent group of nilpotency class  $t$ , then  $r(G) \geq t \cdot |G|^{1/t} - t + 1$  and note that  $r(G) \geq \log_2 |G|$ .

In the following, we obtain two new lower bounds for  $r(G)$  when  $G$  is a  $p$ -group of order  $p^m$  and we give some examples where one verifies that these bounds improve the know lower bounds.

**COROLLARY 1.** Let  $G$  be a group of order  $p^m$  and center of order  $p^b$ . If  $A$  is a maximal abelian subgroup of  $G$  of order  $p^a$ , then

$$r(G) \geq f(a, b) = (p^{2a}/p^m) + p^b(p+1) ((p^{m-a}-1)/p^{m-a}).$$

Moreover  $f(a, b)$  is an increasing function of each variable  $a$  and  $b$ .

**PROOF.** This follows directly from Theorem 1.

**REMARK.** If  $A$  is a maximal abelian normal subgroup of  $G$  of order  $p^a$ , then  $A$  is a maximal abelian subgroup, and  $2m \leq a(a + 1)$  forces  $a \geq (-1 + (1 + 8m)^{1/2})/2$ . Moreover,  $1 \leq b < a < m$  if  $G$  is a non-abelian group (cf. [14] pp. 94).

For every prime number  $p$ , we define

$$d_i(p) = \min \{r(G) : |G| = p^i\}.$$

We have:

**THEOREM 2.** Let  $G$  be a group of order  $p^m$ . Then

$$r(G) \geq d_i(p) + (m - i) \cdot (p - 1) \text{ for each } i \leq m.$$

**PROOF.** We consider  $C_p \simeq H_1 \leq Z(G)$ . Then

$$r(G) \geq r(G/H_1) + |H_1| - 1 = r(G/H_1) + p - 1.$$

Set  $G_1 = G/H_1$  and consider  $C_p \simeq H_2/H_1 \leq Z(G_1)$ . Then  $G/H_2 \simeq G_1/(H_2/H_1)$  and  $r(G_1) \geq r(G/H_2) + |H_2/H_1| - 1 = r(G/H_2) + p - 1$ , hence  $r(G) \geq r(G/H_2) + 2 \cdot (p - 1)$ . Repeating this reasoning, we obtain

$$r(G) \geq r(G/H_{m-i}) + (m - i) \cdot (p - 1)$$

with  $|G/H_{m-i}| = p^{m-(m-i)} = p^i$ . Thus,  $r(G) \geq d_i(p) + (m - i)(p - 1)$  for each  $i \leq m$ .

**EXAMPLE 1.** If  $|G| = p^4$ , then  $r(G) \in \{p^4, 2p^2 - 1, p^3 + p^2 - p\}$ , hence  $d_4(p) = 2p^2 - 1$ . Consequently, if  $G$  is a group of order  $p^m$  with  $m \geq 6$ , then  $r(G) \geq 2p^2 - 1 + (m - 4)(p - 1)$ . Thus, we have  $r(G) = p^e + (p^2 - 1)(n + k(p - 1))$  with  $k$  an integer such that

$$k \geq (2p^2 - 1 + (m - 4)(p - 1) - p^e - n(p^2 - 1)) / ((p^2 - 1)(p - 1)).$$

**EXAMPLE 2.** If  $p = 2$ , we have  $d_1(2) = 2, d_2(2) = 4, d_3(2) = 5, d_4(2) = 7, d_5(2) = 11, d_6(2) = 13, d_7(2) = 14$  (cf. [4] and [12]). Suppose  $G$  of order  $2^8$ . Then P. Hall's bound is  $r(G) \geq 1 + 3 \cdot 4 = 13$ . On the other hand, Theorem 2 yields  $r(G) \geq d_8(2) + (8 - 6) \cdot 1 = 13 + 2 = 15$ ,

hence  $r(G) = 13 + 3k \geq 15$ , implies  $r(G) \geq 16$ . Finally the Sherman's bound yields  $r(G) > 7 \cdot 2^{8/7} - 7 + 1 > \log_2 2^8 = 8$ .

**EXAMPLE 3.** Let  $G$  be a group of order  $p^8$  such that  $|G/Z(G)| = p^4$ . Then the nilpotency class of  $G$  is  $t \leq 4$ , and the Sherman's bound yields  $r(G) \geq 4 \cdot p^{8/4} - 4 + 1 = 4p^2 - 3$ . On the other hand, if  $A$  is a maximal abelian subgroup of  $G$  of order  $p^a$ , then  $Z(G) < A$ , hence  $a \geq 5$  and the Corollary 1 implies

$$r(G) \geq p^2 + p^4(p+1)(p^3-1)p^{-3} = p^5 + p^4 - p > 4p^2 - 3.$$

**EXAMPLE 4.** Let  $G$  be a group of order  $p^m$  such that  $|G/Z(G)| = p^3$ . If  $g \in G$ , then  $Z(G) \langle g \rangle \leq C_G(g)$ , hence  $|Cl_G(g)| \leq p^2$ . By Vaughan-lee's Theorem (cf. [7] pp. 341) it follows  $|G'| \leq p^3$ ; our Corollary yields also the above result. In effect, we have  $r(G) = p^{m-2} + (p^2 - 1)p^{-2} \cdot |G/G'| \geq p^{m-2} + p^{m-5}(p^2 - 1)$ , hence  $|G/G'| \geq p^{m-3}$ .

**PROPOSITION.** If  $G$  is a  $p$ -group, then  $r(G) > \log_2(|G|^{(p+1)/2})$ .

**PROOF.** Set  $|G| = p^m = p^{2n+e}$  with  $e = 0$  or  $1$ . By the Remark we have

$$(3) \quad r(G) \geq p^e + (p^2 - 1)(m - e) \cdot 2^{-1}.$$

The desired inequality now follows from (3) if we argue by induction on  $m$  to prove that

$$p^e + (p^2 - 1)(m - e) \cdot 2^{-1} \geq 2^{-1} \cdot (p + 1)m \cdot \log_2 p.$$

Let  $G$  be a group of order  $p^m$ . We define

$$a_i = |\{Cl_G(g) : |Cl_G(g)| = p^i\}| \quad 1 \leq i \leq m - 2,$$

$$r_0 = \sum_{1 \leq 2k \leq m-2} a_{2k} \quad \text{and} \quad r_1 = \sum_{1 \leq 2k-1 \leq m-2} a_{2k-1}.$$

Finally we obtain:

**PROPOSITION.** Let  $G$  be a  $p$ -group, then  $G$  satisfies the following relations:

$$1) \quad r(G) = |Z(G)| + r_0 + r_1.$$

2)  $|G| - |Z(G)| = r_0 + r_1 \cdot p + k'(p^2 - 1)(p - 1)$  for some number integer  $k' \geq 0$ .

3)  $a_i \equiv 0 \pmod{p - 1}$  for every  $i$ .

4)  $r_1 \equiv 0 \pmod{p^2 - 1}$ .

PROOF. We have  $|G| = |Z(G)| + \sum_{i=1}^{m-2} a_i p^i$  and  $r(G) = |Z(G)| + r_0 + r_1$ . On the other hand,

$$a_{2k} p^{2k} \equiv a_{2k} (1 + k(p^2 - 1)) \pmod{(p^2 - 1)(p - 1)}$$

and

$$a_{2k-1} p^{2k-1} = a_{2(k-1)+1} p^{2(k-1)+1} \equiv a_{2k-1} (p + (k-1)(p^2 - 1))$$

module  $(p^2 - 1)(p - 1)$ . Hence there is a number integer  $k'' \geq 0$  such that

$$(4) \quad |G| = r(G) + \sum_{1 \leq k \leq (m/2)-1} (a_{2k} k(p^2 - 1) + a_{2k-1} (p - 1 + (k-1)(p^2 - 1))) + k''(p^2 - 1)(p - 1).$$

Arguing as in the Lemma, we deduce that  $a_i \equiv 0 \pmod{p - 1}$  for each  $i$  (considering  $T_i = \{g \in G : |\text{Cl}_G(g)| = p^i\}$ ), we have  $|T_i| \equiv 0 \pmod{p - 1}$  and  $|T_i| \equiv a_i \pmod{p - 1}$ , and consequently we have  $|G| = |Z(G)| + r_0 + r_1 p + k'(p^2 - 1)(p - 1)$  for some number integer  $k' \geq 0$ . Finally (4) yields  $r_1 \equiv 0 \pmod{p^2 - 1}$ .

EXAMPLES.

1) If  $G$  is a non-abelian group of order  $p^2$ , then  $(|Z(G)|, a_1) = (p, p^2 - 1)$ .

2) Let  $G$  be a non-abelian group of order  $p^4$ . Then  $(|Z(G)|, a_1, a_2) = (p^2, p(p^2 - 1), 0)$  or  $(p, p^2 - 1, p(p - 1))$ .

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