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On the Uniform Paracompactness.

UMBERTO MARCONI (*)

0. Introduction.

Uniform paracompactness was defined by M. D. Rice in [R] (this concept was actually used in a previous paper by H.H. Corson [C]). In [H₁], [F], Tamano's theorem on paracompactness has been given a uniform analogue.

Countable uniform paracompactness has been discussed in [H₁], [H₂]. In this work, we plan to discuss uniform μ -paracompactness. In § 1 definitions and basic properties are given.

In § 2 uniform analogues of Morita's product theorems for μ -paracompactness [M₁] are obtained; finally, in § 3 countable uniform paracompactness is discussed, obtaining an analogue of Dowker's theorem.

1. Definitions and basic properties.

We will denote by uX a uniform space, by X the associated topological space, by fX the finest uniform space on the topological space X . Furthermore puX will denote the paracompact reflection of uX and $p^\mu uX$ the coarsest uniform space for which the uniform maps from uX to metric spaces of density μ are uniform.

A filter base \mathcal{F} of subsets of uX is said to be weakly Cauchy if for every uniform covering \mathcal{U} of uX there exists an element $U \in \mathcal{U}$ such that $U \cap F \neq \emptyset$ for every $F \in \mathcal{F}$.

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Let \mathcal{A} be a family of subsets of X ; denote by \mathcal{A}_f the family of all finite unions of elements of \mathcal{A} . A directed family is a family \mathcal{A} such that $\mathcal{A} = \mathcal{A}_f$. A family \mathcal{A} is said to be uniformly locally finite if there exists a uniform covering \mathcal{U} such that every $U \in \mathcal{U}$ meets \mathcal{A} only for a finite number of elements of \mathcal{A} .

Let μ be a cardinal number. Consider the following conditions on uX :

- 1) every weakly Cauchy filter base of cardinal $\leq \mu$ has a cluster point;
- 2) every directed open covering \mathcal{A} of power $\leq \mu$ is uniform;
- 3) every open covering \mathcal{A} of power $\leq \mu$ has an open uniformly locally finite refinement.

We have the following obvious implications: $1 \Leftrightarrow 2$ and $3 \Rightarrow 2$. Later we will prove that $2 \Rightarrow 3$.

DEFINITION 1. A uniform space uX is said to be uniformly μ -paracompact if it satisfies the above condition 1.

In $[M_1]$ a topological space X is said to be μ -paracompact if every open covering of X of power $\leq \mu$ has an open locally finite refinement.

PROPOSITION 1. *If uX is uniformly μ -paracompact, then X is μ -paracompact.*

PROOF. Let \mathcal{A} be an open covering of power $\leq \mu$; \mathcal{A}_f , being a uniform covering, has a locally finite open refinement \mathcal{B} . For every $B \in \mathcal{B}$, consider a finite subset \mathcal{A}_B of \mathcal{A} such that $B \subset \cup \mathcal{A}_B$. Then the open covering

$$\{B \cap A : B \in \mathcal{B}, A \in \mathcal{A}_B\}$$

is a locally finite open refinement of \mathcal{A} .

I don't know if a $T_{3\frac{1}{2}}$ μ -paracompact space is uniformly μ -paracompact in the fine uniformity. This occurs if X is a normal space.

PROPOSITION 2. *A normal space X is μ -paracompact if and only if fX is uniformly μ -paracompact.*

PROOF. If X is a normal μ -paracompact topological space then every open covering of power $\leq \mu$ is normal in the sense of Tukey ($[M_1]$ th. 1.1).

REMARK 1. There exist countably uniformly paracompact spaces that fail to be normal. Let X be a countably compact $\mathcal{T}_{3\frac{1}{2}}$ non normal space, for example $\omega_1 \times (\omega_1 + 1)$. If uX is a compatible uniform space, uX is countably uniformly paracompact.

For the proof of $2 \Rightarrow 3$, we need a lemma. (I am indebted to A. Hohti for a suggestion which led to this lemma).

LEMMA 1. *If a covering \mathcal{A} is locally finite, there exists an open covering \mathcal{B} , with $|\mathcal{B}| \leq |\mathcal{A}|$, such that every element of \mathcal{B} meets only a finite number of elements of \mathcal{A} .*

PROOF. Let \mathcal{U} be an open covering of X such that every member of \mathcal{U} meets only a finite number of elements of \mathcal{A} . For every finite subset \mathcal{F} of \mathcal{A} put $V_{\mathcal{F}} = \cup \{V \in \mathcal{U} : V \cap A \neq \emptyset \text{ iff } A \in \mathcal{F}\}$. Then $\mathcal{B} = \{V_{\mathcal{F}} : \mathcal{F} \in \text{finite subsets of } \mathcal{A}\}$ satisfies the required properties.

PROPOSITION 3. *Condition 2 \Rightarrow condition 3.*

PROOF. Let \mathcal{A} be an open covering of X of power $\leq \mu$. By proposition 1 and theorem 1.4 ch. VIII of [Du], it has an open locally finite refinement \mathcal{B} , with $|\mathcal{B}| \leq |\mathcal{A}|$. By lemma 1, there exists an open covering \mathcal{C} , with $|\mathcal{C}| \leq \mu$, such that every member of \mathcal{C} meets only a finite number of elements of \mathcal{B} . Since \mathcal{C} , is uniform, \mathcal{B} is uniformly locally finite.

PROPOSITION 4. *If uX is uniformly μ -paracompact, every uniform covering of power $\leq \mu$ belongs to $p^\mu uX$ and $p^\mu uX$ has a point finite base.*

PROOF. By [V] it suffices to prove that every uniform covering of power $\leq \mu$ has a point finite uniform refinement. If \mathcal{U} is a uniform covering of power $\leq \mu$, it has a uniform open refinement \mathcal{V} of power $\leq \mu$ (argue as in the proof of th. 1.4 ch. VIII of [Du]). By uniform μ -paracompactness, \mathcal{V} has an uniformly locally finite refinement. Therefore, by [Sm] th. 4.5, \mathcal{V} has a locally finite uniform refinement.

From the above proposition we get the following

COROLLARY 1. *uX is uniformly μ -paracompact if and only if every directed open covering \mathcal{A} of power $\leq \mu$ belongs to $p^\mu uX$.*

2. Uniform products.

As for μ -paracompactness ([M₁] th. 2.1) uniform μ -paracompactness is preserved under products by compact spaces. When considered as

uniform spaces, compact (T_2) spaces are of course equipped with their unique admissible uniformity.

THEOREM 1. *If a uniform space uX is uniformly μ -paracompact, and Y is compact, then $uX \times Y$ is uniformly μ -paracompact.*

PROOF. Let \mathcal{F} be a weakly Cauchy filter base of power $\leq \mu$. Since the first projection $p_1: uX \times Y \rightarrow uX$ is a closed mapping, the filter

$$\mathcal{F}_1 = \{p_1(\overline{F}) : F \in \mathcal{F}\}$$

is a weakly Cauchy filter base of closed sets, with $|\mathcal{F}_1| \leq \mu$. Therefore there exists a point $p \in uX$ such that $(\{p\} \times Y) \cap \overline{F} \neq \emptyset$ for every $F \in \mathcal{F}$. The compactness of Y ensures the existence of a point $y \in Y$ such that $(p, y) \in \overline{F}$ for every $F \in \mathcal{F}$.

As usual, let I denote the closed unit interval, D the discrete two-point space $\{0, 1\}$. It is well-known that a normal space X is μ -paracompact if and only if $X \times I^\mu$ is normal, or equivalently, $X \times D^\mu$ is normal ($[M_2]$; $[D]$ for $\mu = \omega$). We plan to give analogous characterizations of uniform μ -paracompactness.

DEFINITION. *We say that a uniform space uX satisfies property P for a compact space Y if whenever A and B are disjoint closed sets of $X \times Y$, there exists a uniform covering \mathcal{C} of $uX \times Y$ such that for every $T \in \mathcal{C}$ the sets $A \cap T$ and $B \cap T$ are far (uniformly separated) in $pfX \times Y$.*

REMARK 2. By compactness of Y the uniform covering \mathcal{C} of the above definition may be assumed of the form:

$$\mathcal{C} = \{U \times Y : U \in \mathcal{U}\}$$

for a suitable uniform covering \mathcal{U} of uX .

THEOREM 2. *Let uX be a normal uniform space. The following conditions are equivalent:*

- 1) uX is a uniformly μ -paracompact space;
- 2) $p^\mu uX$ satisfies property P for every compact space of weight μ ;
- 3) uX satisfies property P for I^μ ,
- 4) uX satisfies property P for D^μ .

PROOF. $1 \Rightarrow 2$. Let Y be a compact space of weight μ . Let \mathfrak{B} be a directed basis of power μ for the open sets of Y . Let $\Delta = \{d_\alpha: \alpha \in \mu\}$ be a basis of power $\leq \mu$ consisting of continuous pseudometrics of Y , such that every open covering of Y has d_α -Lebesgue number 1, for some $\alpha \in \mu$. Denote by Γ the set of all triples (d_α, H, K) with $d_\alpha(H, K) \geq 1$, $d_\alpha \in \Delta$, $H, K \in \mathfrak{B}$; of course $|\Gamma| \leq \mu$. Let A, B be closed and disjoint subsets of $X \times Y$. For every $x \in X$ put

$$A[x] = \{y \in Y: (x, y) \in A\}, \quad B[x] = \{y \in Y: (x, y) \in B\}.$$

For every $\gamma \in \Gamma$, let

$$V_\gamma = \{x \in X: A[x] \subset H, B[x] \subset K, \text{ where } \gamma = (d_\alpha, H, K)\}.$$

From the compactness of Y follows that the family $\mathfrak{V} = \{V_\gamma: \gamma \in \Gamma\}$ is an open covering of uX of power at most μ .

Therefore there exists a uniform covering \mathfrak{U} of closed sets such that every $U \in \mathfrak{U}$ is contained in a finite union of elements of \mathfrak{V} , that is $U \subset \bigcup_{\gamma \in F_U} V_\gamma$ for a suitable finite subset F_U of Γ . For every $U \in \mathfrak{U}$ the open covering of U

$$\{V_{\gamma_1} \cap U, \dots, V_{\gamma_n} \cap U: \gamma_i \in F_U\}$$

is induced by the finite open covering of X :

$$\mathfrak{U}_U = \{X \setminus U, V_{\gamma_1}, \dots, V_{\gamma_n}: \gamma_i \in F_U\}.$$

By the normality of X , \mathfrak{U}_U is a uniform covering of the space pfX .

By corollary 1, covering \mathfrak{U} may be taken belonging to $p^\mu uX$.
Let

$$\mathfrak{C} = \{U \times Y: U \in \mathfrak{U}\}.$$

For every $V_\gamma \in \mathfrak{V}$, there exist a pseudometric $d_\gamma \in \Delta$ and two open sets of Y , say H_γ, K_γ , such that for every $x \in V_\gamma$ we have $A[x] \subset H_\gamma$, $B[x] \subset K_\gamma$ and $d_\gamma(H_\gamma, K_\gamma) \geq 1$. If $F_U = \{\gamma_1, \dots, \gamma_n\}$ let $d_U = d_{\gamma_1} \vee \dots \vee d_{\gamma_n}$. Let σ_U be an admissible pseudometric of pfX such that the covering \mathfrak{U}_U has σ_U -Lebesgue number 1. Let $T \in \mathfrak{C}$, $T = U \times Y$ for some $U \in \mathfrak{U}$.

Let $(x_1, y_1) \in A \cap T$ and $(x_2, y_2) \in B \cap T$. If $\sigma_U(x_1, x_2) \leq 1$ there exists some $\gamma_i \in F_U$ such that $x_1, x_2 \in V_{\gamma_i}$ and therefore $y_1 \in A[x_1] \subset H_{\gamma_i}$,

$y_2 \in B[x_2] \subset K_{\gamma_1}$. Therefore $d_U(y_1, y_2) \geq d_{\gamma_1}(y_1, y_2) \geq d_{\gamma_1}(H, K) \geq 1$. Thus $(\sigma_U \times d_U)((x_1, y_1), (x_2, y_2)) \geq 1$ and therefore $A \cap T$ and $B \cap T$ are separated by a uniform covering of $pfX \times Y$.

2 \Rightarrow 3. Obvious.

3 \Rightarrow 4. Obvious, because D^μ is a closed subspace of I^μ .

Before proving that 4) \Rightarrow 1) we need the following:

LEMMA 2. *Let B a subset of $X \times Y$ and Y_0 a subset of Y . If B and $X \times Y_0$ are separated by the covering $\mathcal{U} \times \mathcal{V}$, then they are separated by the covering $\{X\} \times \mathcal{V}$.*

PROOF. Let $\mathcal{U} \times \mathcal{V} = \{U_\alpha \times V_\beta : U_\alpha \in \mathcal{U}, V_\beta \in \mathcal{V}\}$. Thus

$$\text{St}(X \times Y_0, \mathcal{U} \times \mathcal{V}) = X \times \text{St}(Y_0, \mathcal{V}) = \text{St}(X \times Y_0, \{X\} \times \mathcal{V}),$$

$$\text{St}(B, \{X\} \times \mathcal{V}) = X \times (\cup \{V_\beta : B \cap (X \times V_\beta) \neq \emptyset\}).$$

If the stars meet, there exist $V_\beta, V_{\beta'} \in \mathcal{V}$, $V_\beta \cap V_{\beta'} \neq \emptyset$, such that $V_{\beta'} \cap Y_0 \neq \emptyset$ and $(X \times V_\beta) \cap B \neq \emptyset$.

Let $y \in V_\beta \cap V_{\beta'}$ and let $x \in X$ such that $(\{x\} \times V_\beta) \cap B \neq \emptyset$. Then

$$(x, y) \in \text{St}(B, \mathcal{U} \times \mathcal{V}) \cap \text{St}(X \times Y_0, \mathcal{U} \times \mathcal{V}),$$

against the hypothesis.

PROOF OF 4 \Rightarrow 1. For every $\alpha \in \mu$, let $p_\alpha: D^\mu \rightarrow D$ the projection on the α -th coordinate.

Let $\mathbf{0}$ be the point of null coordinates.

If $\mathcal{A} = \{A_\alpha : \alpha \in \mu\}$ is an open covering of uX of power μ , the open set

$$\Omega = \bigcup_{\alpha \in \mu} A_\alpha \times p_\alpha^{-1}(\mathbf{0})$$

is a neighborhood of $X_0 = X \times \{\mathbf{0}\}$.

Therefore there exists a uniform covering \mathcal{U} of uX such that for every $U \in \mathcal{U}$ the sets $X_0 \cap (U \times Y)$ and $(X \times Y \setminus \Omega) \cap (U \times Y)$ are far in $pfX \times Y$. By lemma 2 there exists an open covering \mathcal{V}_U of D^μ such that

$$\text{St}(U \times \{\mathbf{0}\}, U \times \mathcal{V}_U) \subset \bigcup_{\alpha \in \mu} A_\alpha \times p_\alpha^{-1}(\mathbf{0}).$$

Let F be a finite subset of μ such that

$$\bigcap_{\alpha \in F} p_\alpha^{-1}(0) \subset \text{St}(0, \mathcal{U}_\sigma).$$

Let $y \in D^\mu$ such that $p_\alpha(y) = 0$ exactly for $\alpha \in F$. If $x \in U$, then $(x, y) \in \bigcup_{\alpha \in F} A_\alpha \times p_\alpha^{-1}(0)$ and therefore $x \in \bigcup_{\alpha \in F} A_\alpha$.

Then the directed covering \mathcal{A}_r is uniform and the proof is complete.

From the proof of the above theorem we can deduce the following result.

COROLLARY 2. *A normal uniform space uX is uniformly μ -paracompact if and only if for a suitable (and thus for every) compact space Y of weight μ and for a suitable (and thus for every) compact subspace Y_0 of Y the following condition is satisfied: for every closed subspace K of $X \times Y$ disjoint from $X_0 = X \times Y_0$, there exists an open covering of the form $\mathcal{W} = \{U_\alpha \times V_\beta^\alpha\}$, where $\{U_\alpha\}$ is a uniform covering of uX and, for each α , $\{V_\beta^\alpha\}$ is a uniform covering of Y , such that*

$$K \cap \text{St}(X_0, \mathcal{W}) = \emptyset.$$

Covering $\{U_\alpha\}$ may be taken belonging to $p^\mu uX$.

PROOF. Sufficiency is proved in the same way as the implication $4 \Rightarrow 1$ of theorem 2.

Necessity: the same theorem ensures the existence of a uniform covering \mathcal{U} of $p^\mu uX$ and, for every $U \in \mathcal{U}$, of an open covering \mathcal{U}_σ of Y such that

$$K \cap \text{St}(U \times Y_0, U \times \mathcal{U}_\sigma) = \emptyset$$

for every $U \in \mathcal{U}$.

We claim that $K \cap \text{St}(X_0, \mathcal{W}) = \emptyset$, where $\mathcal{W} = \{U \times V : U \in \mathcal{U}, V \in \mathcal{U}_\sigma\}$. In fact, if $(x, y) \in \text{St}(X_0, \mathcal{W})$, there exist $U \in \mathcal{U}$ and $V \in \mathcal{U}_\sigma$ such that $V \cap Y_0 \neq \emptyset$ and $(x, y) \in U \times V$.

Therefore $(x, y) \in \text{St}(U \times Y_0, U \times \mathcal{U}_\sigma)$ and so $(x, y) \notin K$.

Recall that if uX and vY are two uniform spaces, the semiuniform product $uX * vY$ is the uniform space whose uniform coverings are those coverings which are normal with respect to the coverings considered in the above corollary 2.

If $p^\mu uX$ admits a point finite basis, the coverings of this form, $\{U_\alpha \times V_\beta^\alpha\}$, are a basis for the uniform coverings of $p^\mu uX * vY$ (see [F]). Therefore, by proposition 4, theorem 2 may be stated in a much nicer form, which generalizes results found in [H₁], [F].

THEOREM 3. *Let uX be a normal uniform space. The following conditions are equivalent:*

- 1) uX is uniformly μ -paracompact;
- 2) For every compact space Y of weight μ and for every closed subspace $Y_0 \subset Y$, whenever A and $X \times Y_0$ are disjoint closed subsets of $X \times Y$, they are uniformly separated in $uX * Y$,
- 3) If A a closed subspace of $X \times I^\mu(X \times D^\mu)$ disjoint from $X \times \{0\}$, A and $X \times \{0\}$ are uniformly separated in $uX * I^\mu(uX * D^\mu)$.

PROOF. It suffices to prove $1 \Rightarrow 2$. By corollary 2, there exists a covering $\mathcal{W} = \{U_\alpha \times V_\beta^\alpha\}$, where

- $\{U_\alpha\}$ is a uniform covering of $p^\mu uX$ and, for each α ,
 $\{V_\beta^\alpha\}$ is a uniform covering of Y , such that

$$A \cap \text{St}(X \times Y_0, \mathcal{W}) = \emptyset.$$

If \mathcal{S} is a uniform star refinement of \mathcal{W} , we have $\text{St}(A, \mathcal{S}) \cap \text{St}(X \times Y_0, \mathcal{S}) = \emptyset$.

3. Countable uniform paracompactness.

The characterization of uniform countable paracompactness has a form which is more expressive than the general case. Equivalence $1 \Leftrightarrow 2$ of the following theorem is a uniform analogue of Dowker's theorem in [D].

THEOREM 4. *Let uX be a normal uniform space. The following conditions are equivalent:*

- 1) uX is countably uniformly paracompact;
- 2) For every closed subset B of $uX * I$ disjoint from $X_0 = X \times \{0\}$, B and X_0 are uniformly separated in $uX * I$.

3) X is countably paracompact and for every zero set Z of βX disjoint from X there exists a uniform covering \mathcal{U} of uX such that $Z \cap \text{cl}_{\beta X} U = \emptyset$ for every $U \in \mathcal{U}$.

PROOF. $1 \Rightarrow 2$. Follows from theorem 3. $2 \Rightarrow 1$. Let $f: D^\omega \rightarrow I$ be the map $f(t) = \sum_{i=0}^{\infty} (t_i/2^{i+1})$, where $t = (t_i)_{i \in \omega}$.

Consider the map $\tilde{f}: X \times D^\omega \rightarrow X \times I$ so defined: $\tilde{f}(x, t) = (x, f(t))$. \tilde{f} is continuous and closed and furthermore if A is a closed subset of $X \times D^\omega$ disjoint from $X_0 = X \times \{0\}$, $\tilde{f}(A)$ is disjoint from $\tilde{f}(X_0) = X \times \{0\}$.

Since $\tilde{f}(A)$ and $\tilde{f}(X_0)$ are separated in $uX * I$, A and $X \times \{0\}$ are separated in $uX * D^\omega$. The conclusion follows from the implication $3 \Rightarrow 1$ of theorem 3.

$1 \Rightarrow 3$. Obviously X is countably paracompact (proposition 1).

Let Z be a zero set of $\beta X \setminus X$. $Z = Z(f)$ for some $f \in C(\beta X)$, $f \geq 0$.

Let $A_n = \{x \in X: f(x) > 1/(n+1)\}$. The countable open covering of uX , $\mathcal{A} = \{A_n: n \in \omega\}$ is uniform because $\mathcal{A} = \mathcal{A}_r$. Furthermore, for every $n \in \omega$, $Z \cap \text{cl}_{\beta X} A_n = \emptyset$.

$3 \Rightarrow 1$. Let $\mathcal{A} = \{A_n: n \in \omega\}$ be a directed open covering of uX . From the countable paracompactness of the normal space X , there exists a countable open covering of cozero sets, $\{\text{coz}(f_n): n \in \omega\}$ where each f_n is continuous and bounded, such that $\text{coz}(f_n) \subset A_n$ for every $n \in \omega$; we may also assume that $\text{coz}(f_n) \subset \text{coz}(f_{n+1})$, for every $n \in \omega$. Let $Z = \bigcap_{n \in \omega} Z(f_n^\beta)$, where f_n^β denotes the extension of f_n to βX . There exists a uniform covering \mathcal{U} of uX such that $Z \cap \text{cl}_{\beta X} (U) = \emptyset$ for every $U \in \mathcal{U}$. Therefore, by the compactness of βX , for every $U \in \mathcal{U}$ there exists an index $n_U \in \omega$ such that $Z(f_{n_U}) \cap U = \emptyset$; thus \mathcal{A} is uniform.

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