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## On the Ring of Quotients of a Noetherian Commutative Ring with Respect to the Dickson Topology.

ALBERTO FACCHINI

The aim of this paper is to investigate the structure of the ring of quotients  $R_{\mathfrak{D}}$  of a commutative Noetherian ring  $R$  with respect to the Dickson topology  $\mathfrak{D}$ . In particular we study under which conditions  $R = R_{\mathfrak{D}}$  or  $R_{\mathfrak{D}}$  is the total ring of fractions of  $R$  (§ 2), the structure of  $R_{\mathfrak{D}}$  when  $R$  is a GCD-domain and when  $R$  is local and satisfies condition  $S_2$  (§ 3), and the endomorphism ring of the  $R$ -module  $R_{\mathfrak{D}}/R$  (§ 4).

### 1. Preliminaries.

The symbol  $R$  will be used consistently to denote a commutative Noetherian ring with an identity element.

Let  $\mathfrak{D}$  be the Dickson topology on  $R$ , that is the Gabriel topology on  $R$  consisting of the ideals  $I$  of  $R$  such that  $R/I$  is an Artinian ring, *i.e.* the ideals  $I$  of  $R$  which contain the product of a finite number of maximal ideals of  $R$  (see [12], Chap. VIII, § 2). For every  $R$ -module  $M$  we put  $X(M) = \{x \in M \mid Ix = 0 \text{ for some } I \in \mathfrak{D}\}$ .  $X(M)$  is a submodule of  $M$  said the  $\mathfrak{D}$ -torsion submodule of  $M$ . The functor  $X$  has been studied by E. Matlis ([6]). Let us define

$$M_{\mathfrak{D}} = \varinjlim_{I \in \mathfrak{D}} \text{Hom}_R(I, M/X(M)),$$

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where the direct limit is taken over the downwards directed family  $\mathcal{D}$ .  $M_{\mathcal{D}}$  is called the module of quotients of  $M$  with respect to the topology  $\mathcal{D}$ . It is known that  $R_{\mathcal{D}}$  becomes a ring in a natural way and that  $M_{\mathcal{D}}$  becomes an  $R_{\mathcal{D}}$ -module.

We shall always suppose that  $R$  has no  $\mathcal{D}$ -torsion. This is equivalent to request that every maximal ideal of  $R$  be dense, that is to request that (since  $R$  is Noetherian) every maximal ideal of  $R$  contain a regular element. Under such a hypothesis  $R$  is a subring of  $R_{\mathcal{D}}$  and  $R_{\mathcal{D}}$  is a subring of  $Q$ , the total ring of fractions of  $R$ . A more convenient description of  $R_{\mathcal{D}}$  is that  $R_{\mathcal{D}} = \{x \in Q \mid xI \subseteq R \text{ for some } I \in \mathcal{D}\}$ . More precisely we have that

1.1. LEMMA. *If  $R$  possesses maximal ideals of grade 1, then  $R_{\mathcal{D}} = \{x \in Q \mid \text{there exist maximal ideals } \mathcal{M}_1, \dots, \mathcal{M}_n \text{ in } R \text{ of grade 1 such that } x\mathcal{M}_1 \dots \mathcal{M}_n \subseteq R\}$ . Otherwise  $R_{\mathcal{D}} = R$ .*

PROOF. It is clear that the products of a finite number of maximal ideals of  $R$  form a basis for  $\mathcal{D}$ . Therefore  $R_{\mathcal{D}} = \{x \in Q \mid \text{there exist maximal ideals } \mathcal{M}_1, \dots, \mathcal{M}_n \text{ in } R \text{ such that } x\mathcal{M}_1 \dots \mathcal{M}_n \subseteq R\}$ . Hence it is sufficient to prove that if  $x \in Q$ ,  $\mathcal{M}_1, \dots, \mathcal{M}_n$  are maximal ideals of  $R$ ,  $x\mathcal{M}_1 \dots \mathcal{M}_n \subseteq R$  and  $\text{gr}(\mathcal{M}_n) \neq 1$ , then  $x\mathcal{M}_1 \dots \mathcal{M}_{n-1} \subseteq R$ . For this it is enough to show that if  $y \in Q$ ,  $\mathcal{M}$  is a maximal ideal of  $R$ ,  $\text{gr}(\mathcal{M}) \neq 1$  and  $y\mathcal{M} \subseteq R$ , then  $y \in R$ . Now  $y = s^{-1}r$  for some  $r, s \in R$ ,  $s$  regular. Hence from  $y\mathcal{M} \subseteq R$  it follows that  $r\mathcal{M} \subseteq Rs$ , that is  $\mathcal{M} \subseteq (Rs:r)$ . By the maximality of  $\mathcal{M}$ ,  $\mathcal{M} = (Rs:r)$  or  $(Rs:r) = R$ . In the first case  $\text{gr}(\mathcal{M}) = 1$ . Therefore  $R = (Rs:r)$ , i.e.  $y = s^{-1}r \in R$ .

From lemma 1.1 we immediately have a complete description of the grade of all ideals in a local ring  $R$  such that  $R \neq R_{\mathcal{D}}$ . For such a ring it is easy to prove that  $\text{gr}(I) = 1$  for every regular ideal  $I$  of  $R$ , and  $\text{gr}(J) = 0$  for every non-regular ideal  $J$ . From lemma 1.1 it is also clear that the study of  $R_{\mathcal{D}}$  is equivalent to the study of the maximal ideals of grade 1 in  $R$ .

It is also easy to describe the elements of  $R_{\mathcal{D}}$  in relation to the primary decomposition in  $R$ . In fact let  $x \in Q$ . Then  $x \in R_{\mathcal{D}}$  if and only if  $x$  is of the form  $s^{-1}r$ , where  $s$  is a regular element of  $R$ , and if  $Rs = Q_1 \cap \dots \cap Q_n$  is a normal primary decomposition of the ideal  $Rs$ , where  $Q_i$  is associated to a prime non-maximal ideal for  $i = 1, \dots, t$  and to a maximal ideal for  $i = t + 1, \dots, n$ , then  $r \in Q_1 \cap \dots \cap Q_t$ .

Now let us «count» the number of the generators of the ideals in the localization  $R_{\mathcal{D}}$ . We have always supposed that the ring  $R$  is Noetherian. Of course we cannot hope that this implies the ring

of quotients  $R_{\mathcal{D}}$  is Noetherian. There exist rings  $R$  such that  $R_{\mathcal{D}}$  possesses ideals which cannot be generated by a finite number of elements. Nevertheless there exists an upper bound for the number of elements needed to generate any ideal of  $R_{\mathcal{D}}$ .

1.2. PROPOSITION. *Let  $R$  be a ring,  $\text{Max}^{(1)}(R)$  the set of all maximal ideals in  $R$  of grade 1,  $\xi$  the cardinality of  $\text{Max}^{(1)}(R)$ . Then  $R_{\mathcal{D}}$  is the union of a directed family, of cardinality  $\leq \aleph_0 \cdot \xi + 1$ , of Noetherian subrings of  $Q$ . Every ideal of  $R_{\mathcal{D}}$  can be generated by at most  $\aleph_0(\xi + 1)$  elements. In particular if  $R$  is local, every ideal of  $R_{\mathcal{D}}$  is countably generated.*

PROOF. If  $\text{Max}^{(1)}(R) = \emptyset$ , by lemma 1.1  $\{R\}$  is the requested family. Hence let us suppose  $\text{Max}^{(1)}(R) \neq \emptyset$ .

Let  $I$  be a regular ideal of  $R$  and set  $R_{(I)} = R[\{x \in Q \mid xI \subseteq R\}]$ .  $R_{(I)}$  is a subring of  $Q$ . Let  $s \in I$  be a regular element. Then if  $x \in Q$ , we have that  $xI \subseteq R$  if and only if  $xs \in R$  and  $xs \in (Rs : I)$ . Let  $r_1, \dots, r_n$  be a set of generators of the ideal  $(Rs : I)$  in  $R$ . It follows that  $xI \subseteq R$  if and only if  $x$  is a linear combination of  $s^{-1}r_1, \dots, s^{-1}r_n$  with coefficients in  $R$ . Therefore  $R_{(I)} = R[s^{-1}r_1, \dots, s^{-1}r_n]$  is a Noetherian ring. Now let us consider the family  $\mathcal{F}$  of the rings  $R_{(I)}$  where  $I$  ranges over the set of all products of a finite number of elements of  $\text{Max}^{(1)}(R)$ . The cardinality of  $\mathcal{F}$  is  $\leq \aleph_0 \cdot \xi$ .  $\mathcal{F}$  is directed because  $R_{(I)} \cup R_{(J)} \subseteq R_{(IJ)}$  and by lemma 1.1 its union is  $R_{\mathcal{D}}$ . Finally if  $\mathcal{A}$  is an ideal of  $R_{\mathcal{D}}$ ,  $\mathcal{A} = \bigcup_{R_{(I)} \in \mathcal{F}} (R_{(I)} \cap \mathcal{A})$  and hence there exists a set of generators of  $\mathcal{A}$  of cardinality  $\leq \aleph_0 \cdot |\mathcal{F}| \leq \aleph_0(\xi + 1)$ .

If  $R$  and  $S$  are Noetherian rings and  $R \subseteq S \subseteq R_{\mathcal{D}}$ , it may happen that  $S_{\mathcal{D}} \neq R_{\mathcal{D}}$ . This is not the case if  $S$  is integral over  $R$ .

1.3. PROPOSITION. *Let  $R, S$  be Noetherian rings,  $R \subseteq S \subseteq R_{\mathcal{D}}$ ,  $S$  integral over  $R$ . Then  $R_{\mathcal{D}} = S_{\mathcal{D}}$ .*

PROOF. First of all note that  $R$  and  $S$  have the same total ring of fractions  $Q$ . Furthermore if  $\mathcal{N}$  is any maximal ideal in  $S$ ,  $\mathcal{N} \cap R$  is a maximal ideal in  $R$  and therefore it contains a regular element of  $R$ . Hence  $\mathcal{N}$  contains a regular element (of  $S$ ).

Let us show that  $R_{\mathcal{D}} \subseteq S_{\mathcal{D}}$ . Let  $x \in R_{\mathcal{D}}$ . Then  $x \in Q$  and  $x\mathcal{M}_1 \dots \mathcal{M}_n \subseteq R$  for suitable maximal ideals  $\mathcal{M}_i$  of  $R$ . Hence  $x\mathcal{M}_1 \dots \mathcal{M}_n S \subseteq S$ . To show that  $x \in S_{\mathcal{D}}$  it is then sufficient to show that  $\mathcal{M}_1 \dots \mathcal{M}_n S = (\mathcal{M}_1 S) \dots (\mathcal{M}_n S)$  belongs to the Dickson topology of  $S$ . Hence it is

enough to prove that  $\mathcal{M}_i S$  belongs to the Dickson topology of  $S$ , and this is obvious because  $S$  is integral over  $R$  and hence every minimal prime ideal of  $\mathcal{M}S$  is a maximal ideal of  $S$ .

Vice versa let us show that  $S_{\mathfrak{D}} \subseteq R_{\mathfrak{D}}$ . Let  $x \in S_{\mathfrak{D}}$ . Then  $x\mathcal{N}_1 \dots \mathcal{N}_n \subseteq S$  for suitable maximal ideals  $\mathcal{N}_i$  of  $S$ . It follows that  $x(\mathcal{N}_1 \cap R) \dots (\mathcal{N}_n \cap R) \subseteq S \subseteq R_{\mathfrak{D}}$ . Now every  $\mathcal{N}_i \cap R$  is maximal in  $R$  because  $S$  is integral over  $R$ ; let  $r_1, \dots, r_t$  be a set of generators of the ideal  $(\mathcal{N}_1 \cap R) \dots (\mathcal{N}_n \cap R)$  of  $R$ . Then  $xr_j \in R_{\mathfrak{D}}$ , so that there exists an ideal  $\mathcal{A}_j$  belonging to the Dickson topology of  $R$  such that  $xr_j \mathcal{A}_j \subseteq R$ . From this we have that  $x(\mathcal{N}_1 \cap R) \dots (\mathcal{N}_n \cap R) \mathcal{A}_1 \dots \mathcal{A}_t \subseteq R$  and the ideal  $(\mathcal{N}_1 \cap R) \dots (\mathcal{N}_n \cap R) \mathcal{A}_1 \dots \mathcal{A}_t$  belongs to the Dickson topology of  $R$ . Hence  $x \in R_{\mathfrak{D}}$ .

The preceding proposition may seem somewhat heavy due to the many hypotheses on  $R$  and  $S$ . However after proposition 2.2 we shall be able to prove that

**1.4. PROPOSITION.** *Let  $R$  be a local ring. If  $R_{\mathfrak{D}} \neq R$  and  $R_{\mathfrak{D}} \neq Q$ , then there always exists a Noetherian ring  $S \subseteq R_{\mathfrak{D}}$ , properly containing  $R$  and integral over  $R$*

## 2. The two cases $R_{\mathfrak{D}} = R$ and $R_{\mathfrak{D}} = Q$ .

Under our hypotheses ( $R$  is a Noetherian ring in which every maximal ideal contains a regular element) we know that  $R \subseteq R_{\mathfrak{D}} \subseteq Q$ . The first problem which naturally arises is studying under what conditions on  $R$   $R_{\mathfrak{D}}$  coincides with  $R$  and  $Q$  respectively. The case  $R_{\mathfrak{D}} = Q$  is handled in theorem 2.1 and the case  $R_{\mathfrak{D}} = R$  in theorem 2.2.

**2.1. THEOREM.** *The following statements are equivalent:*

- i)  $R_{\mathfrak{D}} = Q$ ;
- ii) every maximal ideal of  $R$  has height 1;
- iii) no proper ideal of  $R_{\mathfrak{D}}$  is dense in  $R_{\mathfrak{D}}$ ;
- iv)  $R$  satisfies Stenström's  $\mathfrak{D}$ -inv condition (see [11]);
- v)  $\mathfrak{D}$  is a 1-topology (see [12], Chap. VI, § 6, 1).

*Furthermore if  $R$  is a reduced ring the preceding statements are also equivalent to:*

- vi)  $R_{\mathfrak{D}}$  is a (Von Neumann-) regular ring;
- vii)  $R_{\mathfrak{D}}$  is a semisimple ring.

PROOF. i)  $\Rightarrow$  ii). Let  $\mathcal{M}$  be a maximal ideal of  $R$ . Let  $s \in \mathcal{M}$ ,  $s$  regular. Then by i),  $s^{-1} \in R_{\mathfrak{D}}$ . It follows that  $s^{-1} \cdot I \subseteq R$  for some  $I \in \mathfrak{D}$ , that is  $I \subseteq Rs$ . But then  $R/Rs$  is Artinian and  $\mathcal{M}/Rs$  is a prime ideal in  $R/Rs$ , hence a minimal prime ideal. Therefore  $\mathcal{M}$  is a minimal prime ideal of  $Rs$  in  $R$ . Since  $s$  is regular,  $\mathcal{M}$  has height 1.

ii)  $\Rightarrow$  i). Let us suppose  $R_{\mathfrak{D}} \neq Q$ . Then there exists some regular element  $s \in R$  non invertible in  $R_{\mathfrak{D}}$ , *i.e.* such that  $s^{-1} \cdot I \not\subseteq R$  for every ideal  $I \in \mathfrak{D}$ , that is  $Rs \notin \mathfrak{D}$ . Therefore  $R/Rs$  is not Artinian, and hence it has a maximal ideal of height  $\geq 1$ . It follows that  $R$  has a maximal ideal of height  $\geq 2$ .

i)  $\Rightarrow$  iii). Obvious.

iii)  $\Rightarrow$  i). Suppose iii) holds and let us show that if  $s \in R$  is regular in  $R$  then it is invertible in  $R_{\mathfrak{D}}$  (this will prove i)).

Now if  $s \in R$  is regular in  $R$ ,  $s$  is invertible in  $Q$  and hence regular in  $R_{\mathfrak{D}}$ . Therefore  $R_{\mathfrak{D}} \cdot s$  is a dense ideal of  $R_{\mathfrak{D}}$ . By iii)  $R_{\mathfrak{D}}s = R_{\mathfrak{D}}$ , that is  $s$  is invertible in  $R_{\mathfrak{D}}$ .

ii)  $\Rightarrow$  v). Let  $s$  be a regular element of  $R$ . Then every minimal prime ideal of  $Rs$  has height 1, and hence by ii) it is maximal. Therefore  $Rs \in \mathfrak{D}$ . It follows that the filter of all regular ideals is contained in  $\mathfrak{D}$ . Since every ideal of  $\mathfrak{D}$  is regular,  $\mathfrak{D}$  is exactly the filter of all regular ideals of  $R$ . Hence  $\mathfrak{D}$  is a 1-topology.

v)  $\Rightarrow$  iv). Trivial.

iv)  $\Rightarrow$  ii). Suppose  $R$  satisfies Stenstrom's  $\mathfrak{D}$ -inv condition. Let  $\mathcal{M}$  be a maximal ideal of  $R$ . Then  $\mathcal{M} \in \mathfrak{D}$  and therefore there exists  $I \in \mathfrak{D}$  such that  $I \subseteq \mathcal{M}$  and  $I$  is a projective ideal. Let  $I = Q_1 \cap \dots \cap Q_n$  be a normal primary decomposition of  $I$ . Since  $I \in \mathfrak{D}$  the minimal prime ideals of  $I$  are exactly the maximal ideals of  $R$  containing  $I$ . Let  $Q_1$  be the  $\mathcal{M}$ -primary component of  $I$ . Localize with respect to the ideal  $\mathcal{M}$ . Then  $IR_{\mathcal{M}}$  is a projective ideal (and hence it is principal generated by a regular element of  $R_{\mathcal{M}}$ ), and  $IR_{\mathcal{M}} = Q_1R_{\mathcal{M}}$ . Hence  $IR_{\mathcal{M}}$  is a  $\mathcal{M}R_{\mathcal{M}}$ -primary principal ideal. From this it follows that the height of the ideal  $\mathcal{M}R_{\mathcal{M}}$  in  $R_{\mathcal{M}}$  is 1. Hence the height of  $\mathcal{M}$  is 1.

Now suppose  $R$  is reduced, *i.e.* without non-zero nilpotent elements. Then

i)  $\Rightarrow$  vii). Trivial, because the total ring of fractions of a reduced Noetherian ring is always semisimple.

vii)  $\Rightarrow$  vi). Obvious.

vi)  $\Rightarrow$  i). Let  $s$  be any regular element of  $R$ . Then  $s = s^2x$  for some element  $x \in R_{\mathcal{D}}$ . It follows that  $1 = sx$ , i.e.  $x = s^{-1}$ . Therefore  $s^{-1} \in R_{\mathcal{D}}$ . Hence  $Q = R_{\mathcal{D}}$ .

2.2. THEOREM. *The following statements are equivalent:*

- i)  $R = R_{\mathcal{D}}$ ;
- ii)  $X\left(\bigoplus_{s \in \Sigma} R/Rs\right) = 0$ , where  $\Sigma$  is the set of all regular elements of  $R$ ;
- iii) No maximal ideal of  $R$  is  $R$ -reflexive (see [8], § 7);
- iv) No maximal ideal of  $R$  is associated to an ideal  $Rs$  with  $s$  regular element of  $R$ ;
- v) No maximal ideal of  $R$  has grade 1.

PROOF. i)  $\Rightarrow$  ii). Suppose  $X\left(\bigoplus_{s \in \Sigma} R/Rs\right) \neq 0$ . Then there exists  $s \in \Sigma$  such that  $X(R/Rs) \neq 0$ , that is such that  $R/Rs$  has a simple submodule. Let  $r + Rs$  be a generator of such a submodule. Then  $r \notin Rs$  and  $(Rr + Rs)/Rs \cong R/\mathcal{M}$  for some maximal ideal  $\mathcal{M}$  of  $R$ , and hence  $r\mathcal{M} \subseteq Rs$ , that is  $s^{-1}r\mathcal{M} \subseteq R$ . From this it follows that  $s^{-1}r \in R_{\mathcal{D}}$ . But  $s^{-1}r \notin R$ , for otherwise  $r \in Rs$ . Hence  $R \neq R_{\mathcal{D}}$ .

ii)  $\Rightarrow$  iii). Let  $\mathcal{M}$  be a  $R$ -reflexive maximal ideal of  $R$ . Then  $\mathcal{M} = (Rs:r)$  for some  $r, s \in R$ , with  $s$  regular (see [3], theorem 1.5). Then  $(Rr + Rs)/Rs \cong R/(Rs:r) \cong R/\mathcal{M}$  is a simple submodule of  $R/Rs$ . It follows that  $X\left(\bigoplus_{s \in \Sigma} R/Rs\right) \neq 0$ .

iii)  $\Rightarrow$  iv). Let  $\mathcal{M}$  be a maximal ideal of  $R$  associated to the ideal  $Rs$  with  $s$  a regular element of  $R$ . Then  $\mathcal{M} = \text{rad}(Rs:r)$  for some  $r \in R$  (see [1], theorem 4.5), and hence  $\mathcal{M}^n \subseteq (Rs:r)$  for some natural number  $n$ . Suppose  $n$  is the least for which such relation holds. Then  $n \geq 1$ . Let  $t \in \mathcal{M}^{n-1}$ ,  $t \notin (Rs:r)$ . Then from  $\mathcal{M}^n \subseteq (Rs:r)$  it follows that  $t\mathcal{M} \subseteq (Rs:r)$ , i.e.  $\mathcal{M} \subseteq (Rs:rt)$ , and from  $t \notin (Rs:r)$  it follows that  $1 \notin (Rs:rt)$ . Hence  $\mathcal{M} = (Rs:rt)$  and so  $\mathcal{M}$  is  $R$ -reflexive ([3], theorem 1.5).

iv)  $\Rightarrow$  v). Obvious, because a maximal ideal of grade 1 is associated to an ideal  $Rs$ , with  $s$  a regular element of  $R$ .

v)  $\Rightarrow$  i). By lemma 1.1.

Now we are ready to prove proposition 1.4:

Let  $\mathcal{M}$  be the maximal ideal of  $R$ . Since  $R_{\mathcal{D}} \neq Q$ , by theorem 2.1 the height of  $\mathcal{M}$  is  $\geq 2$ , and since  $R_{\mathcal{D}} \neq R$   $\mathcal{M}$  is  $R$ -reflexive by theorem 2.2.

Therefore there exists  $y \in Q$  such that  $y\mathcal{M} \subseteq R$ ,  $y \notin R$ . From this it follows that  $R_{(\mathcal{M})}$ , the Noetherian subring of  $R_{\mathcal{D}}$  defined in the proof of proposition 1.2, properly contains  $R$ . In order to show that  $S = R_{(\mathcal{M})}$  satisfies the thesis of the proposition it is sufficient to show that if  $x \in Q$  and  $x\mathcal{M} \subseteq R$ , then  $x$  is integral over  $R$ . Since the height of  $\mathcal{M}$  is  $\geq 2$ , there exists a regular prime ideal  $\mathcal{F}$  in  $R$  properly contained in  $\mathcal{M}$ . Now if  $x \in Q$  and  $x\mathcal{M} \subseteq R$ , then  $x\mathcal{M}\mathcal{F} \subseteq \mathcal{F}$ . Let us show that  $x\mathcal{F} \subseteq \mathcal{F}$ . If  $x\mathcal{F} \not\subseteq \mathcal{F}$ , there would exist  $p \in \mathcal{F}$  such that  $xp \notin \mathcal{F}$ . But  $xp \in R$ , because  $p \in \mathcal{M}$ . Let  $m \in \mathcal{M} \setminus \mathcal{F}$ . Then  $xpm \notin \mathcal{F}$ , a contradiction because  $x\mathcal{F}\mathcal{M} \subseteq \mathcal{F}$ . Therefore  $x\mathcal{F} \subseteq \mathcal{F}$ . Furthermore  $\mathcal{F}$  is a faithful  $R$ -module (because  $\mathcal{F}$  is regular in  $R$ ) and finitely generated. From this it follows that  $x$  is integral over  $R$ .

### 3. The structure of $R_{\mathcal{D}}$ for some classes of rings $R$ .

One of the classes of rings for which it is possible to give a complete description of the Dickson localization is the class of GCD-domains, that is of integral domains  $R$  such that for every  $a, b \in R$  there exists a greatest common divisor  $[a, b] \in R$  (see [4], page 32).

**3.1. THEOREM.** *Let  $R$  be a GCD-domain. Let  $S$  be the multiplicatively closed subset of  $R$  generated by all elements  $s \in R$  such that  $Rs$  is a maximal ideal of  $R$ . Then  $R_{\mathcal{D}} = S^{-1}R$ .*

**PROOF.** Let us show that in a GCD-domain  $R$  every maximal ideal  $\mathcal{M}$  of grade 1 is principal. If  $\mathcal{M}$  has grade 1, there exists  $y \in Q$  such that  $y\mathcal{M} \subseteq R$  and  $y \notin R$ . Let  $y = s^{-1}r$  with  $r, s \in R$ ,  $r, s \neq 0$ , and let  $m_1, \dots, m_n$  be a set of generators of  $\mathcal{M}$ . Then from  $y\mathcal{M} \subseteq R$  it follows that  $rm_i \in Rs$  for every  $i = 1, \dots, n$ . Therefore  $s$  divides  $rm_i$  for every  $i$ , and hence  $s$  divides their greatest common divisor  $[rm_1, \dots, rm_n] = r[m_1, \dots, m_n]$ . Let  $d = [m_1, \dots, m_n]$ . Then  $\mathcal{M} \subseteq Rd$ . Now if  $Rd = R$ ,  $d$  would be a unit in  $R$  and hence from  $s|rd$  it would follow  $s|r$  in  $R$ , *i.e.*  $y \in R$ , a contradiction. Since  $\mathcal{M}$  is maximal,  $\mathcal{M} = Rd$ , *i.e.*  $\mathcal{M}$  is principal.

By lemma 1.1,  $R_{\mathcal{D}} = \{x \in Q \mid \text{there exist maximal ideals } \mathcal{M}_1, \dots, \mathcal{M}_r \text{ of grade 1 such that } x\mathcal{M}_1 \dots \mathcal{M}_r \subseteq R\}$ , from which  $R_{\mathcal{D}} = \{x \in Q \mid \text{there exist principal maximal ideals } Rs_1, \dots, Rs_r \text{ such that } xs_1 \dots s_r \in R\} = \{x \in Q \mid xs \in R \text{ for some } s \in S\} = S^{-1}R$ .



3.2. PROPOSITION. *Let  $R$  be a local ring and suppose that at least one of the following four conditions holds:*

- i) *there exists a regular non invertible element  $s \in R$  such that the ideal  $Rs$  is a radical of  $R$ ;*
- ii)  *$R$  satisfies condition  $S_2$  (see [12], Ch. VII, § 6);*
- iii)  *$R$  is a Macaulay ring;*
- iv)  *$R$  is an integrally closed domain.*

*Then if  $\dim R = 1$ ,  $R_{\mathfrak{D}} = Q$ , and if  $\dim R > 1$ ,  $R_{\mathfrak{D}} = R$ .*

PROOF. Suppose that in  $R$  there exists a regular non-invertible element  $s$  such that the ideal  $Rs$  is a radical in  $R$ . If  $R_{\mathfrak{D}} \neq R$ , there exists  $x \in R_{\mathfrak{D}}$  such that  $x \notin R$  and  $x\mathcal{M} \subseteq R$ , where  $\mathcal{M}$  is the maximal ideal of  $R$ . Then  $xs \in R$ , and hence  $x = s^{-1}r$  for some  $r \in R$ . From this we have that  $r\mathcal{M} \subseteq Rs$  and  $r \notin Rs$ . Therefore in the ring  $R/Rs$  the maximal ideal  $\mathcal{M}/Rs$  contains only zero-divisors, and since the ring  $R/Rs$  is reduced,  $\mathcal{M}/Rs$  is contained in the union of the minimal prime ideals of 0 in  $R/Rs$ , and hence  $\mathcal{M}/Rs$  itself is a minimal prime ideal of 0 in  $R/Rs$ . Therefore  $\mathcal{M}$  is a minimal prime ideal of  $R$  and hence it has height 1. Thus we have proved that if  $\dim R > 1$ , then  $R_{\mathfrak{D}} = R$ .

If  $\dim R = 1$ , then  $R_{\mathfrak{D}} = Q$  by theorem 2.1.

Next if  $R$  satisfies  $S_2$ , the prime ideals of height  $\geq 2$  have grade  $\geq 2$ , from which the thesis follows by theorem 2.1 and 2.2.

Finally if  $R$  is a Macaulay ring or an integrally closed domain,  $R$  satisfies  $S_2$ .

#### 4. The endomorphism ring of $R_{\mathfrak{D}}/R$ .

We shall now study the  $R$ -module  $K = R_{\mathfrak{D}}/R$ . It is a  $\mathfrak{D}$ -torsion  $R$ -module (it is the  $\mathfrak{D}$ -torsion submodule of  $Q/R$ ). Therefore (see [6], theorem 1), it splits in its  $\mathcal{M}$ -primary components:

$$K = \bigoplus_{\mathcal{M} \in \text{Max}(R)} X_{\mathcal{M}}(K).$$

$X_{\mathcal{M}}(K)$  is the submodule of  $K$  consisting of all elements  $x \in K$  such that  $\text{Ann}_R(x)$  contains a power of  $\mathcal{M}$ .

Now let  $I$  be a regular ideal. Set  $I^{-1} = \{q \in Q | qI \subseteq R\}$  and  $I^{-1-1} = \{q \in Q | qI^{-1} \subseteq R\}$ . It is known that  $I^{-1-1}$  is an ideal of  $R$  canonically

isomorphic to  $\text{Hom}_R(\text{Hom}_R(I, R), R)$ , the  $R$ -bidual of  $I$ . Let  $\mathfrak{B}$  be a basis of the filter of neighborhoods of zero for a ring topology over  $R$  consisting of regular ideals of  $R$ . Let us define the bidual topology over  $R$  as the topology having  $\mathfrak{B}^{-1-1} = \{I^{-1-1} | I \in \mathfrak{B}\}$  as basis of the filter of neighborhoods of zero. The original topology is finer than the bidual topology.

4.1. THEOREM (see [5], theorem 3.4). *Let  $R$  be a ring,  $\mathcal{M}$  a maximal ideal of  $R$ . For every natural number  $n$  let  $A_{(\mathcal{M}),n} = \{x \in K | \mathcal{M}^n x = 0\}$ . Then*

- i)  $A_{(\mathcal{M}),n}$  is a submodule of  $X_{\mathcal{M}}(K)$ ,  $A_{(\mathcal{M}),n} \subset A_{(\mathcal{M}),n+1}$ ,  $X_{\mathcal{M}}(K) = \bigcup_n A_{(\mathcal{M}),n}$  and  $A_{(\mathcal{M}),n} \cong \text{Ext}_R^1(R/\mathcal{M}^n, R)$ ;
- ii)  $\text{Ann}_R A_{(\mathcal{M}),n} = (\mathcal{M}^n)^{-1-1} \cong \text{Hom}_R(\text{Hom}_R(\mathcal{M}^n, R), R)$ ;
- iii) The non-zero elements of  $A_{(\mathcal{M}),n+1}/A_{(\mathcal{M}),n}$  are exactly the elements of  $K/A_{(\mathcal{M}),n}$  having annihilator  $\mathcal{M}$ ;
- iv)  $A_{(\mathcal{M}),n+1}/A_{(\mathcal{M}),n}$  is in a natural way a finite dimensional vector space over the field  $R/\mathcal{M}$ ;
- v)  $X_{\mathcal{M}}(K)$  is countably generated.

PROOF. i) The only non obvious statement is that  $A_{(\mathcal{M}),n} \cong \text{Ext}_R^1(R/\mathcal{M}^n, R)$ ; this is proved by observing that  $A_{(\mathcal{M}),n} = (\mathcal{M}^n)^{-1}/R$  and applying the functor  $\text{Hom}_R(-, R)$  to the short exact sequence

$$0 \rightarrow \mathcal{M}^n \rightarrow R \rightarrow R/\mathcal{M}^n \rightarrow 0.$$

ii)  $\text{Ann}_R A_{(\mathcal{M}),n} = \{r \in R | r(\mathcal{M}^n)^{-1} \subseteq R\} = (\mathcal{M}^n)^{-1-1}$ .

iii) Obvious.

iv) and v) From iii) it follows that  $A_{(\mathcal{M}),n+1}/A_{(\mathcal{M}),n}$  is a vector space over the field  $R/\mathcal{M}$ . In order to show that it is finite dimensional it is enough to prove that  $A_{(\mathcal{M}),n}$  is a finitely generated  $R$ -module (and this with i) immediately gives v)). To this end we only have to show that the  $R$ -submodule  $(\mathcal{M}^n)^{-1}$  of  $Q$  is finitely generated.

Let  $s \in \mathcal{M}^n$ ,  $s$  regular and let  $q \in (\mathcal{M}^n)^{-1}$ . Then  $qs \in R$ , whence  $q = s^{-1}r$  for some  $r \in R$ . From this we have that

$$(\mathcal{M}^n)^{-1} = \{s^{-1}r | r \in R, r\mathcal{M}^n \subseteq Rs\} = \{s^{-1}r | r \in (Rs : \mathcal{M}^n)\}.$$

Since the ideal  $(Rs : \mathcal{M}^n)$  of  $R$  is finitely generated, it follows that  $(\mathcal{M}^n)^{-1}$  is finitely generated.

Let us consider  $\text{End}_R(X_{\mathcal{M}}(K))$ . For every positive integer  $n$  set  $H_{(\mathcal{M}),n} = \{f \in \text{End}_R(X_{\mathcal{M}}(K)) \mid f(A_{(\mathcal{M}),n}) = 0\}$ . Let  $\text{End}_R(X_{\mathcal{M}}(K))$  have the topology defined by the filtration  $\{H_{(\mathcal{M}),n}\}_{n \in \mathbb{N}}$  and let  $\text{End}_R(K) \cong \prod_{\mathcal{M}} \text{End}_R(X_{\mathcal{M}}(K))$  have the product topology. Let us call this topology the natural topology of  $\text{End}_R(K)$ .

4.2. PROPOSITION.  *$\text{End}_R(K)$  endowed with its natural topology is a Hausdorff complete topological ring.*

PROOF. It is enough to prove that every  $\text{End}_R(X_{\mathcal{M}}(K))$  is complete and Hausdorff. Clearly it is Hausdorff. Now if  $(f_n)_{n \in \mathbb{N}}$  is a Cauchy sequence in  $\text{End}_R(X_{\mathcal{M}}(K))$  let us define  $f \in \text{End}_R(X_{\mathcal{M}}(K))$  in the following way: if  $x \in A_{(\mathcal{M}),n}$ , there exists  $r \in \mathbb{N}$  such that for every  $r', r'' \in \mathbb{N}$ ,  $r', r'' \geq r$ , we have  $f_{r'} - f_{r''} \in H_{(\mathcal{M}),n}$ ; let  $f(x) = f_r(x)$ . The proof that this  $f$  is a well-defined homomorphism and that it is limit of the sequence  $(f_n)$  is routine.

Now let  $R$  be a local ring,  $\mathcal{M}$  its maximal ideal. Consider the canonical homomorphism  $\varphi: R \rightarrow \text{End}_R(K)$  which to every element of  $R$  associates the multiplication over  $K$  by that element. If  $\text{End}_R(K)$  has its natural topology and  $R$  has the bidual topology of the  $\mathcal{M}$ -adic topology, it is easy to prove that  $\varphi$  is a continuous ring homomorphism whose kernel is the closure of  $0$  in  $R$ . Therefore  $\varphi$  induces a continuous monomorphism  $\tilde{\varphi}: R' \rightarrow \text{End}_R(K)$ , where  $R'$  is the « Hausdorffized » of  $R$ , that is  $R$  modulo the closure of  $0$  in  $R$  with the quotient topology. It is easy to see that  $\tilde{\varphi}$  is a topological embedding. Therefore we have proved the following

4.3. PROPOSITION. *Let  $R$  be a local ring,  $\mathcal{M}$  its maximal ideal and let  $R$  have the bidual of the  $\mathcal{M}$ -adic topology. Then  $R$  modulo the closure of zero with the quotient topology is in a natural way a topological subring of  $\text{End}_R(K)$  endowed with its natural topology.*

Therefore  $\text{End}_R(K)$  contains the Hausdorff completion of  $R$ .

The following theorem gives a sufficient condition for  $\text{End}_R(K)$  to be the Hausdorff completion of  $R$  (see [7]).

4.4. THEOREM. *Let  $R$  be a local ring,  $\mathcal{M}$  its maximal ideal. Suppose that  $\mathcal{M}^{-1}$  can be generated by two elements. Then  $\text{End}_R(K)$  is the Hausdorff completion of  $R$  with the bidual topology of the  $\mathcal{M}$ -adic topology.*

PROOF: If  $\mathcal{M}^{-1} = R$ , then  $(\mathcal{M}^n)^{-1} = R$  for every  $n$ . Hence the closure of  $0$  in  $R$  is  $R$  and  $K = 0$ .

Therefore we may suppose  $\mathcal{M}^{-1} \neq R$ . Then  $A_{(\mathcal{M}),1} = \mathcal{M}^{-1}/R \cong R/\mathcal{M}$  (see [7], lemma 2.3, true also when  $R$  is not an integral domain). Thus  $E(K) \cong E(A_{(\mathcal{M}),1}) \cong E(R/\mathcal{M})$ . It follows that if  $f \in \text{End}_R(K)$  and  $n \in \mathbb{N}$ , then  $f$  extends to a endomorphism of  $E(R/\mathcal{M})$  and  $\mathcal{A}_{(\mathcal{M}),n} \subseteq B_n$ , where  $B_n$  is the submodule of  $E(R/\mathcal{M})$  consisting of all elements of  $E(R/\mathcal{M})$  annihilated by  $\mathcal{M}^n$ . But  $f$  coincides over  $B_n$  with the multiplication by an element of  $R$  (see [10], lemma 5.11). It follows that  $f|_{A_{(\mathcal{M}),n}}$  is the multiplication by an element of  $R$ . Hence  $\varphi(R)$  is dense in  $\text{End}_R(K)$ . We conclude by proposition 4.2 and 4.3.

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