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## Extremal Units in an Archimedean Riesz Space.

ANTHONY W. HAGER (\*) (\*\*) - LEWIS C. ROBERTSON (\*)

Let  $A$  be an archimedean Riesz space (= vector lattice) with distinguished weak unit  $e_A$ , and for any  $e \in A$ , let  $X(e)$  be the compact space of  $e$ -maximal ideals. A *natural map*  $\sigma^e: X(e) \rightarrow X(e_A)$  is a continuous extension of the inclusion  $X(e) \cap X(e_A) \hookrightarrow X(e_A)$ ; natural  $\sigma_e: X(e_A) \rightarrow X(e)$  is defined dually, only for weak units  $e$ .

This paper concerns when natural  $\sigma^e$  (or  $\sigma_e$ ) exists, and those  $(A, e_A)$  such that for every  $e \in A$ ,  $\sigma^e$  (or  $\sigma_e$ ) exists. We then call  $e_A$   $X$ -strong (or  $X$ -costrong). These conditions are treated in terms of the Yosida representation  $\hat{A}$  in  $D(X(e_A))$ .

Some of the results: (2.5 and 3.1)  $\sigma^e$  exists iff  $p \neq q$  in  $X(e_A)$  implies  $a \in A$  with  $a \in O_p$  and  $e - a \in O_q$ . (§ 6)  $\sigma_e$  exists iff whenever  $U_1$  and  $U_2$  are  $\hat{A}$ -cozeros in  $X(e_A)$  for which there is  $\hat{a} \in \hat{A}$  which is  $\hat{e}$  on  $U_1$  and 0 on  $U_2$ , then  $\bar{U}_1 \cap \bar{U}_2 = \emptyset$ . (§ 4)  $e_A$  is  $X$ -strong iff each prime ideal of  $A$  contains a unique  $O_p$  ( $p \in X(e_A)$  iff to each open cover of  $X(e_A)$  are subordinate finite  $\hat{A}$ -partitions of every  $e \in A$ ). (§ 5)  $e_A$  will be  $X$ -strong if  $e_A$  is a strong unit, or if  $A$  is an  $l$ -algebra with identity  $e_A$ , or if  $A$  has the principal projection property.  $e_A$  will be  $X$ -costrong if  $A$  is Cantor complete or has the principal projection property.

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## 1. Representation.

We sketch those aspects of the Yoshida representation [Y] which are needed for the sequel. More detail appears in [HR]; see also [LZ].

Let  $A$  be an archimedean Riesz space (= vector lattice over the reals  $R$ ), and let  $0 < e \in A$ . A Riesz ideal  $M$  which is maximal with respect to the property of not containing  $e$  will be called  $e$ -maximal, and the set of these will be denoted  $X(e)$ . Any such  $M$  is prime, hence  $A/M$  is totally ordered (see also I 3, here). Regarding the following, see also 4.5, below.

1.1. Let  $P$  be a prime ideal in  $A$ , let  $A \xrightarrow{q} A/P$  denote the quotient, and let  $e \in A^+$ . These are equivalent:  $P \in X(e)$ ; there is no nonzero  $q(e)$ -infinitesimal in  $A/P$ ; the principal ideal  $I(q(e))$  is the smallest nonzero ideal in  $A/P$ .

When this occurs,  $I(q(e)) = \{tq(e) | t \in R\}$ .

Consider the extended reals  $\bar{R} = R \cup \{\pm \infty\}$ , with the obvious order and topology and partly defined addition and scalar multiplication extending these operations from  $R$ .

1.2. (a) Let  $M \in X(e)$ , with  $A \xrightarrow{q} A/M$  the quotient. Define  $\gamma_M^e: A \rightarrow \bar{R}$  by:

$$\begin{aligned} \gamma_M^e(a) &= t & \text{if } q(a) = tq(e) & \quad (t \in R); \\ \gamma_M^e(a) &= +\infty & \text{if } 0 < q(a) \notin I(q(e)), & \text{ and} \\ &= -\infty & \text{if } 0 > q(a) \notin I(q(e)). & \end{aligned}$$

(b) Define  $\gamma^e: A \rightarrow \bar{R}^{X(e)}$  by:

$$\gamma^e(a)(M) \equiv \gamma_M^e(a).$$

Now, when  $X$  is a topological space, let  $D(X)$  denote those continuous  $f: X \rightarrow \bar{R}$  with  $\mathcal{R}(f)$  dense, where  $\mathcal{R}(f) = f^{-1}(R)$ .  $D(X)$  is a lattice admitting scalar multiplication. For  $f, g, h \in D(X)$ ,  $f + g = h$  means that  $f(x) + g(x) = h(x)$  for  $x \in \mathcal{R}(f) \cap \mathcal{R}(g)$ . A « Riesz space in  $D(X)$  » is a sublattice  $A$  with  $ra \in A$  when  $a \in A$  and  $r \in R$ , and « closed under addition ».

Let  $0 < e \in A$ .

1.3. **THEOREM.** (a) In the hull-kernel topology,  $X(e)$  is a nonvoid compact Hausdorff space.

(b)  $\gamma^e$  is a homomorphism of  $A$  onto a Riesz space in  $D(X(e))$ , with kernel  $\gamma^e = e^\perp$  and with  $\gamma^e(e)$  the constant function 1. So  $\gamma^e$  is an isomorphism iff  $e^\perp = (0)$ , i.e.,  $e$  is a weak unit.

(c) If  $K_1$  and  $K_2$  are disjoint closed sets in  $X(e)$ , then there is  $a \in A$  with  $0 \leq a \leq e$ , hence  $0 \leq \gamma^e(a) \leq 1$ , and  $\gamma^e(a) = 1$  on  $K_1$  and 0 on  $K_2$ .

(d) Let  $e$  be a weak unit and let  $\gamma: A \rightarrow D(X)$  be an isomorphism, with  $X$  compact,  $\gamma(e) = 1$ , and with  $\gamma(A)$  separating the points of  $X$ . Then there is a homeomorphism  $h: X(e) \rightarrow X$  with  $\gamma(a) = \gamma^e(a) \circ h$  for each  $a \in A$ .

1.4. **NOTATION.** Throughout the paper, we use the following abbreviations:  $A \in \mathfrak{L}$  means that  $A$  has a distinguished positive weak unit  $e_A$ . For  $A \in \mathfrak{L}$  the isomorphic representation  $\gamma^{e_A}: A \rightarrow D(X(e_A))$  is denoted  $\hat{A}$ . For another  $e \in A^+$ , we always write  $\gamma^e$ .

## 2. Natural mappings: topology and functions.

We begin the comparison of representations and maximal ideal spaces. Throughout the section,  $A \in \mathfrak{L}$  (which presumes  $e_A$ ), and  $0 < e \in A^+$ . We state the results and sketch the development, then proceed to the proofs.

2.1. **DEFINITION.** A *natural mapping*  $\sigma^e: X(e) \rightarrow X(e_A)$  is a continuous extension of the inclusion  $X(e) \cap X(e_A) \hookrightarrow X(e_A)$ . (Such a mapping is unique.)

2.2. **MAIN LEMMA.** Let  $Y_e \equiv \text{coz } \hat{e} \cap \mathfrak{R}(e) \subset X(e_A)$ , and for  $p \in Y_e$ , let  $\tau(p) = M_p \equiv \{a \mid \hat{a}(p) = 0\}$ . Then

(a) Each  $M_p \in X(e)$ .

(b)  $\tau$  is a homeomorphism of  $Y_e$  onto  $\text{coz } \gamma^e(e_A) \cap \mathfrak{R}(\gamma^e(e_A))$ .

(c)  $\gamma^e(a) \cdot \tau = (1/e)\hat{a}|Y_e$ .

2.3. **COROLLARY.** A natural map  $\sigma^e$  is exactly a continuous function  $\sigma^e: X(e) \rightarrow X(e_A)$  with  $\sigma^e \circ \tau$  the identity on  $Y_e$ .

2.4. THEOREM.  $\sigma^e$  exists iff whenever  $K_1$  and  $K_2$  are disjoint closed sets in  $X(e_A)$ , then there is  $a \in A$  with  $\hat{a} = \hat{e}$  on  $K_1$ , and  $\hat{a} = 0$  on  $K_2$ .

The condition in 2.4 is reminiscent of the theory of normal topological spaces. The analogy goes quite far:

2.5. PROPOSITION. The following are equivalent (to  $\sigma^e$  exists):

(a) If  $K_1$  and  $K_2$  are disjoint closed sets in  $X(e_A)$ , then there is  $a \in A$  with  $\hat{a} = \hat{e}$  on  $K_1$  and  $\hat{a} = 0$  on  $K_2$ .

(b) If  $G_1, G_2 \in \text{coz } \hat{A}$  and  $\bar{G}_1 \cap \bar{G}_2 = \emptyset$ , then there is  $a \in A$  with  $\hat{a} = \hat{e}$  on  $G_1$  and  $\hat{a} = 0$  on  $G_2$ .

(c) If  $p \neq q$  in  $X(e_A)$ , then there is  $a \in A$  with  $\hat{a} = \hat{e}$  on a neighborhood of  $p$  and  $\hat{a} = 0$  on a neighborhood of  $q$ .

(d) If  $G$  and  $H$  are open in  $X(e_A)$  with  $\bar{G} \subset H$ , then there is  $a \in A$  with  $\hat{a} = \hat{e}$  on  $G$  and  $\hat{a} = 0$  off  $H$ .

In each case, we may take  $0 \leq a \leq e$ .

2.6. DEFINITION. A *partition of  $e$*  is a family  $\psi \subset A$  such that  $\bigvee \psi = e$  (supremum in  $A$ ).

Let  $\mathfrak{G}$  be an open cover of  $X(e_A)$ . A family  $\psi \subset A$  is *subordinate* to  $\mathfrak{G}$  if  $\text{coz } \hat{\psi}$  refines  $\mathfrak{G}$ . (I.e., for each  $f \in \psi$ ,  $\text{coz } \hat{f}$  is contained in some  $g \in \mathfrak{G}$ ; we do not assume  $\text{coz } \hat{\psi}$  to be a cover).

2.7. PROPOSITION.  $\sigma^e$  exists iff to each (finite) open cover of  $X(e_A)$  is subordinate a finite partition of  $e$ .

(2.6 and 2.7 are suggested by the proof of 5.5 (a)).

We turn to the proofs.

PROOF OF 2.2. (a) Obviously,  $M_p$  is prime. To show  $e$ -maximality consider the quotient  $q: A \rightarrow A/M_p$ . Using §1, we show that  $Z(q(e)) = (0)$ .

Suppose  $a \in A^+$ , and  $tq(a) \leq q(e)$ . Then  $0 \leq q(e - ta)$ , and by definition of the order in  $A/M_p$ , there is  $m \in M_p$  with  $m \leq e - ta$ .

Then  $0 = \hat{m}(p) \leq \hat{e}(p) - t\hat{a}(p)$ . If this holds for every  $t \in R$ , then  $\hat{a}(p) = 0$  since  $\hat{e}(p) \in R$ . Thus,  $a \in M_p$  and  $q(a) = 0$  as desired.

(b) We use the facts that  $\text{coz } \hat{A}$  is an open base in  $X(e_A)$  and  $\text{coz } \gamma^e(A)$  is an base in  $X(e)$ :  $\tau$  is continuous from the equations  $\tau^{-1} \text{coz } \gamma^e(a) = \text{coz } \hat{a} \cap Y_e$  ( $a \in A$ ).  $\tau$  has dense image and is open onto its range from the equations  $\tau(\text{coz } \hat{a} \cap Y_e) = \text{coz } \gamma^e(a) \cap \tau(Y_e)$  ( $a \in A$ ).

$\tau$  is one-to-one (and thus a homeomorphism) because  $\hat{A}$  separates the points in  $X(e_A)$ .

We finish the proof of (b) below.

(e) Let  $a \in A$ , and  $p \in Y_e$ . Consider the definition of  $\gamma^e(a)(\tau(p)) = \gamma^e(a)(M_p)$  from  $A \xrightarrow{q} A/M_p \rightarrow \bar{R}$  described in § 1.

Suppose first that  $\hat{a}(p) = t \in R$ . Then  $\hat{e}(p)\hat{a}(p) = t\hat{e}(p)$ , or  $a - (t/\hat{e}(p))^e \in M_p$ : Thus,

$$0 = q \left( a - \frac{t}{\hat{e}(p)} e \right),$$

whence

$$0 = \gamma^e(a)(M_p) - \frac{t}{\hat{e}(p)} \gamma^e(e)(M_p), \quad \text{or} \quad \gamma^e(a)(M_p) = \frac{1}{\hat{e}(p)} \hat{a}(p),$$

as desired.

Thus the equation in (e) holds on the dense set  $\mathcal{R}(\hat{a}) \cap Y_e$ , and by continuity, it holds on  $Y_e$ .

(b) *continued.*  $\tau(Y_e) \subset \text{coz } \gamma^e(e_A) \cap \mathcal{R}(\gamma^e(e_A))$  follows from the equation in (e), with  $a = e_A$ . For the reverse inclusions let  $x \in \text{coz } \gamma^e(e_A) \cap \mathcal{R}(\gamma^e(e_A))$  and consider  $M \equiv \{a | \gamma^e(a)(x) = 0\}$ . This is a prime ideal  $e_A \notin M$  because  $x \in \text{coz } \gamma^e(e_A)$  and an argument as in (a) shows  $M$  is  $e_A$ -maximal, because  $x \in \mathcal{R}(\gamma^e(e_A))$ . Thus  $M = M_p$  for unique  $p \in X(e_A)$ , by § 1. It follows that  $x = \tau(p)$ .

PROOF of 2.1 (uniqueness) and 2.3. By 2.2, we may identify  $Y_e$  and  $\tau(Y_e)$  as subspaces of, say, the prime ideal space with the hull kernel topology and this space is  $X(e) \cap X(e_A)$ , which is dense in  $X(e)$  by 2.2 (b).

Thus  $\sigma^e$  is unique when it exists. Upon the identification, the inclusion  $X(e) \cap X(e_A) \hookrightarrow X(e_A)$  restricted to  $\tau(Y_e)$  is  $\tau^{-1}$ . Thus 2.3.

2.8. LEMMA ([E], p. 110). Let  $Y$  be dense in  $X'$  and let  $i: Y \rightarrow X$  be continuous, with  $X$  compact. There is a continuous extension  $\sigma: X' \rightarrow X$  iff whenever  $K_1$  and  $K_2$  are disjoint closed sets in  $X$ , then  $i^{-1}(K_1)$  and  $i^{-1}(K_2)$  have disjoint closures in  $X'$ .

2.9. LEMMA. Let  $A \in \mathcal{L}$ ,  $0 < e \in A^+$ , and let  $K_1, K_2 \subset X(e_A)$ . These are equivalent:

- (a) The closures in  $X(e)$  of  $\tau(K_1)$  and  $\tau(K_2)$  are disjoint.
- (b) There is  $a \in A$  with  $\gamma^e(a) = 1$  on  $\tau(K_1)$  and  $\gamma^e(a) = 0$  on  $\tau(K_2)$ .
- (c) There is  $a \in A$  with  $\hat{a} = \hat{e}$  on  $K_1$  and  $\hat{a} = 0$  on  $K_2$ .

PROOF. Any Yosida representation separates closed sets (§ 1), so (a)  $\Leftrightarrow$  (b). The equation (c) in 2.1 shows (b)  $\Leftrightarrow$  (c).

PROOF OF 2.4. By 2.3, 2.8, and 2.9 (using  $\tau^{-1}$  as the  $i$  of 2.8).

PROOF OF (2.5). (a)  $\Rightarrow$  (b). Obvious.

(b)  $\Rightarrow$  (c). Choose  $\text{coz } \hat{A}$ -neighborhoods with disjoint closures.

(c)  $\Rightarrow$  (a). Let  $K_1$  and  $K_2$  be given. For each  $p \in K_1$  and  $q \in K_2$ , choose  $a_q^p \in A$  with

$$a_q^p = \begin{cases} \hat{e} & \text{on a neighborhood } G_q^p \text{ of } p, \\ 0 & \text{on a neighborhood } H_q^p \text{ of } q. \end{cases}$$

Fix  $p$ .  $\{H_q^p | q \in K_2\}$  covers  $K_2$ , and so does a finite subset  $\{H_{q_i}^p\}$ . Let  $a^p \equiv \bigwedge_i a_{q_i}^p$ . Then

$$a^p = \begin{cases} \hat{e} & \text{on } G^p \equiv \bigcap_i G_{q_i}^p, \\ 0 & \text{on } K_2. \end{cases}$$

Then  $\{G^p | p \in K_1\}$  covers  $K_1$ , and so does a finite subset  $\{G^{p_i}\}$ . Let  $a \equiv \bigvee_i a^{p_i}$ , so that

$$a = \begin{cases} \hat{e} & \text{on } \bigcup_i G^{p_i} \supset K_1, \\ 0 & \text{on } K_2. \end{cases}$$

(d)  $\Leftrightarrow$  (a) is routine.

Finally, in any of the conditions we may replace  $a$  by  $a_1 = |a| \wedge e$ . Then  $a_1$  also works and  $0 \leq a_1 \leq e$ .

PROOF OF 2.7. Suppose  $\sigma^e$  exists, let  $\mathfrak{G}$  be an open cover and  $\{G_i\}$  a finite subcover. Let  $\{W_i\}$  be a « shrinkage » of  $\{G_i\}$ : an open cover with  $\bar{W}_i \subset G_i$  for each  $i$ . (Such exists by [E], p. 266). For each  $i$ , choose  $a_i \in A$  with  $a_i = e$  on  $W_i$  and  $a_i = 0$  off of  $G_i$  and  $0 \leq a_i \leq e$  (by 2.5 (d)). Then  $\{a_i\}$  is a finite partition of  $a$  subordinate to  $\{G_i\}$  and to  $\mathfrak{G}$ . To show  $\bigvee_i \hat{a}_i = \hat{e}$ :  $\hat{e} \geq \bigvee_i \hat{a}_i$  because  $\hat{e} \geq$  each  $\hat{a}_i$ . And, if  $\varepsilon X(e_A)$ , then  $x \in$  some  $W_i \subset G_i$ , and  $\hat{e}(x) = \hat{a}_i(x)$ , hence  $e(x) \leq \bigvee_i a_i(x)$ .

Conversely, let  $G, H$  be open with  $\bar{G} \subset H$ . Then  $\{X - \bar{G}, H\}$  is an open cover, and we assume there is a finite partition  $\psi$  of  $e$  subordinate. Let  $a = \bigvee \{f \in \psi | \text{coz } f \subset H\}$ . Then  $a$  satisfies 2.5 (d).

### 3. Natural mappings: ideals.

We find some what more algebraic conditions that  $\sigma^e$  exists in terms of certain ideals of  $A$ . We state the results, then proceed to the proofs.

3.1. Let  $A \in \mathfrak{L}$ , let  $p \in X(e_A)$ , and define

$$O_p \equiv \{a \in A \mid \hat{a} = 0 \text{ on a neighborhood of } p\}.$$

Then  $O_p$  is an ideal,  $e_A \notin O_p$ , and  $M_p$  is the unique  $e_A$ -maximal ideal containing  $O_p$ .

3.2. THEOREM. Let  $A \in \mathfrak{L}$ , and let  $0 < e \in A^+$ .

(a) There is natural  $\sigma^e: X(e) \rightarrow X(e_A)$  iff each  $M \in X(e)$  contains a unique  $O_p$  ( $p \in X(e_A)$ ).

(b) Assuming this,  $\sigma^e(M) = p$  iff  $M \supseteq O_p$ .

The point in (a) is the *uniqueness* of  $O_p$  as the following shows.

3.3. PROPOSITION. Let  $A \in \mathfrak{L}$ . Each prime ideal of  $A$  contains on  $O_p$ .

3.2 (b) says that the condition in (a) provides a canonical description of  $\sigma^e$ . Another such comes from the following, applied to  $M \in X(e)$ .

(3.4 was obtained jointly with Giuseppe De Marco).

3.4. THEOREM. Let  $A \in \mathfrak{L}$ , let  $p \in X(e_A)$ , and let  $P$  be a prime ideal of  $A$ . Then  $P \supseteq O_p$  iff  $P$  is comparable with  $M_p$ .

3.5. COROLLARY. These are equivalent.

(a)  $\sigma^e$  exists.

(b) Each prime  $P$  with  $e \notin P$  contains unique  $O_p$  (or is comparable with unique  $M_p$ ) for  $p \in X(e_A)$ .

(c) Each  $M \in X(e)$  is comparable with unique  $M' \in X(e_A)$ .

(d) Each  $M \in X(e)$  with  $e_A \in M$  contains unique  $M' \in X(e_A)$ ;

3.4 and 3.5 can be used to derive conditions on mappings of prime ideal spaces. We postpone such a discussion to a later paper, as it would carry us too far afield.

We turn to the proofs.



PROOF OF 3.1.  $O_p \subseteq M_\alpha \Rightarrow p = q$ .

3.6. Let  $p \in X(e_A)$ . Define

$$X^p(e) \equiv \{M \in X(e) \mid M \supseteq O_p\}.$$

Then, clearly,

$$p \in Y_e \Rightarrow e \notin O_p \Leftrightarrow X^p(e) \neq \emptyset.$$

3.7. LEMMA. Let  $p, q \in Y_e$ :

(a)  $X^p(e) = \bigcap \{\overline{\tau(G)} \mid G \text{ a neighborhood of } p\}$ .

(b)  $X^p(e) \cap X^q(e) = \emptyset$  iff there is  $a \in O_p$  with  $e - a \in O_q$ .

PROOF. Recall from 2.1 that

$$(*) \quad \gamma^e(a) \circ \tau = \frac{1}{e} \hat{a} \mid Y_e.$$

We shall use this several times.

(a) Let  $M \in X(e)$ , and let  $G$  always be a neighborhood of  $p$ .

If  $M \in X^p(e) - \overline{\tau(G)}$ , then there is  $a \in A$  with  $0 < a \leq e$ ,  $\gamma^e(a) = 0$  on  $\tau(G)$  and  $\gamma^e(a)(M) = 1$ . (\*) shows that  $\hat{a} = 0$  on  $G \cap Y_e$ . Since  $0 < a \leq e$ , we have  $\hat{a} = 0$  on  $G$ . Thus,  $a \in O_p \subseteq M$ , contradiction.

Suppose  $M \supseteq O_p$ , so there is  $a \in O_p - M$ . Then there is  $G$  with  $\hat{a} = 0$  on  $G$ , and (\*) shows that  $\gamma^e(a) = 0$  on  $\tau(G \cap Y_e)$ , hence on  $\overline{\tau(G)}$ . Since  $a \notin M$ ,  $\gamma^e(a)(M) \neq 0$  and  $M \notin \overline{\tau(G)}$ .

(b) Given such  $a$ , there are neighborhoods  $G, H$  of  $p, q$  with  $\hat{a} = 0$  on  $G$ ,  $\hat{a} = \hat{e}$  on  $H$ . Using (\*) as before, it follows that  $\gamma^e(a) = 0$  on  $\overline{\tau(G)}$  and  $\gamma^e(a) = 0$  on  $\overline{\tau(H)}$ . So  $\overline{\tau(G)}$  and  $\overline{\tau(H)}$  are disjoint, hence so are  $X^p(e)$  and  $X^q(e)$ , by (a).

Let  $X^p(e) \cap X^q(e) = \emptyset$ . We claim there are neighborhoods  $G, H$  of  $p, q$  respectively, with  $\overline{\tau(G)} \cap \overline{\tau(H)} = \emptyset$ . Then choose  $a \in A$  with  $0 < a \leq e$ ,  $\gamma^e(a) = 0$  on  $\overline{\tau(G)}$  and  $\gamma^e(a) = 1$  on  $\overline{\tau(H)}$ . Using (\*) as usual, we get  $a \in O_p$ ,  $e - a \in O_q$ . To obtain such  $G, H$ : If for all such  $G, H$ ,  $\overline{\tau(G)} \cap \overline{\tau(H)} \neq \emptyset$ , then  $\mathfrak{J} \equiv \{\overline{\tau(G)} \cap \overline{\tau(H)} \mid G, H\}$  has the finite intersection property and there is  $M \in \bigcap \mathfrak{J}$  by compactness. Such  $M \in X^p(e) \cap X^q(e)$  by (a), a contradiction.

This completes the proof of 3.3.

PROOF OF 3.2. (a) follows immediately from 3.7 and 2.6.

(b) Let  $\sigma^e(M) = p$ . If  $G$  is a neighborhood of  $p$ , then  $M \in (\sigma^e)^{-1}(G)$ . Now,  $(\sigma^e)^{-1}(G) \cap Y_e = \tau(G)$ , and this set is dense in  $(\sigma^e)^{-1}(G)$ . Thus  $M \in \tau(G)$ . Since this is true for every  $G$ ,  $M \in X^p(e)$  by 3.7.

If  $M \in X^p(e)$ , let  $\sigma(M) = q$ . The preceding shows that  $M \in X^p(e)$ . By 3.2 (a),  $p = q$ .

3.8. LEMMA [LZ]. Let  $A$  be a Riesz space and  $P$  an ideal. These are equivalent.

(a)  $a \wedge b \in P \Rightarrow a \in P$  or  $b \in P$  ( $P$  is prime).

(b)  $|a| \wedge |b| = 0 \Rightarrow a \in P$  or  $b \in P$ :

(c)  $A/P$  is totally ordered.

(d) The set of ideals containing  $P$  is totally ordered by set-inclusion.

PROOF OF 3.3. Suppose  $O_q \not\subseteq P$  for each  $q$ . Then, for each  $q$ , there is  $a_q \notin P$  with  $\hat{a}_q = 0$  on a neighborhood  $G_q$  of  $q$ . From  $\{G_q | q \in X(e_A)\}$ , we extract the finite subcover  $\{G_{q_i}\}$ . Then  $\bigwedge_i |a_{q_i}| = 0 \in P$ , and by 3.8,  $P$  is not prime.

The following interesting lemma was contributed by Giuseppe De Marco, considerably simplifying our proofs and essentially extending part of 3.5 to 3.4.

3.9. LEMMA (De Marco). Let  $q \in X(e_A)$ . If  $P$  is a prime ideal of  $A$  with  $O_q \subseteq P$ , then there is a prime ideal  $Q$  with  $Q \subseteq P$  and  $Q \subseteq M_q$ .

PROOF. First, let  $S$  be any subset of positive elements (of any Riesz space) such that  $0 \notin S$  and  $u, v \in S \Rightarrow u \wedge v \in S$ . Then (with an argument by Zorn's lemma), there is an ideal  $Q$  which is maximal with respect to the property  $Q \cap S = \emptyset$ . And  $Q$  is prime: if  $u \wedge v \in Q$ , then one of  $u, v$  is not in  $S$ ; say  $u \notin S$ . Then  $u \in Q$ , for if not, the ideal generated by  $Q$  and  $u$  still misses  $S$  and contradicts maximality of  $Q$ .

Now let  $P$  be prime,  $O_q \subseteq P$ . Let  $S_1 = (A - M_q)^+$ ,  $S_2 = (A - P)^+$ . These are « meet-closed » because  $M_q$  and  $P$  are prime ideals. Then  $S = S_1 \cup S_2 \cup \{u \wedge v | u \in S_1, v \in S_2\}$  is meet-closed too. Also  $0 \notin S$ : Certainly  $0 \notin S_1 \cup S_2$ . Suppose  $0 = u \wedge v$  for  $u \in S_1$ . Then  $0 = \hat{u} \wedge \hat{v}$  (identically in  $D(X(e_A))$ ). Since  $u \notin M_q$ ,  $\hat{u}(q) \neq 0$ , and it follows that  $\hat{v}$  is 0 on a neighborhood of  $q$ , i.e.,  $v \in O_q$ , so  $v \notin S_2$ .

Applying the first paragraph to this  $S$  produces the desired prime  $Q$ .

PROOF OF 3.4. Let  $P \supseteq O_p$ . By 3.9, choose prime  $Q$  with  $Q \subseteq P$ ,  $M_p$ . By 3.8 then,  $P$  and  $M_p$  are comparable.

Conversely, let  $P$  and  $M_p$  be comparable. If  $P \supseteq M_p$ , certainly  $P \subseteq O_p$ . When  $P \subseteq M_p$ , choose  $q$  with  $P \subseteq O_q$  by 3.3. Then  $O_q \subseteq M_p$ , and  $q = p$  follows.

3.10. For any subset  $M$  of  $A$ :  $M$  is comparable with  $M_p$  iff either  $e_A \notin M$  and  $M \subseteq M_p$ , or  $e_A \in M$  and  $M \supset M_p$  properly.

PROOF OF 3.5. Each  $M \in X(e)$  is prime, of course: (a)  $\Leftrightarrow$  (c) by 3.2 and 3.4. (c)  $\Leftrightarrow$  (d) by 3.10. The two parts of (b) are equivalent by 3.4. (b)  $\Rightarrow$  (c), clearly.

(c)  $\Rightarrow$  (b). Let  $e \notin P$ . By 3.3,  $P \supseteq$  some  $O_p$ . Suppose also that  $O_q \subseteq P$ . Now,  $P$  is contained in unique  $M \in X(e)$  (by Zorn's lemma and 3.8). So  $O_p, O_q \subseteq M$ . By (c) (and 3.4 and 3.2),  $p = q$ .

#### 4. $X$ -strong units.

This section is essentially a summary of conditions on  $A$  and  $e_A$  such that  $\sigma^e$ 's always exists.

4.1. DEFINITION. Let  $A \in \mathfrak{L}$ . If for each  $e \in A^+$ , natural  $\sigma^e: X(e) \rightarrow X(e_A)$  exists, we call  $e_A$  an  $X$ -strong unit.

We are not convinced that the terminology is the best. The motivation is that such an  $e_A$  behaves like a strong unit with respect to the spaces  $X(e)$ :

4.2. PROPOSITION. (a) If  $te_A \geq e$  for some  $t \in R^+$ , then  $\sigma^e$  exists.

(b) A strong unit is  $X$ -strong.

PROOF. (a) Given  $\bar{G} \subset H$ , choose  $u \in A$  with  $0 \leq \hat{u} \leq 1$ ,  $\hat{u} = 1$  on  $G$  and  $\hat{u} = 0$  off  $H$  (from § 1). Then  $a = tu \wedge e$  (when  $te_A \geq e$ ) satisfies  $\hat{a} = \hat{e}$  on  $G$ ,  $\hat{a} = 0$  off  $H$ .

(b) follows from (a).

4.3. PROPOSITION. These conditions on  $A \in \mathfrak{L}$  are equivalent.

(a)  $e_A$  is  $X$ -strong.

(b)  $\sigma^e$  exists  $\forall$  weak unit  $e \in A^+$ .

(c)  $\sigma^e$  exists  $\forall e \geq e_A$ .

(d)  $\forall e \geq e_A$ , the natural map  $\sigma_e^{e_A}: X(e_A) \rightarrow X(e)$  (existing by 4.2) is a homeomorphism.

PROOF. (a)  $\Rightarrow$  (b)  $\Rightarrow$  (c) are clear.

(c)  $\Rightarrow$  (d). Assuming (c),  $\sigma^e \circ \sigma^{e_A}$  and  $\sigma^{e_A} \circ \sigma^e$  are identities on dense sets, hence identities because the spaces are Hausdorff. So each is a homeomorphism.

(d)  $\Rightarrow$  (c).  $\sigma^e = (\sigma^{e_A})^{-1}$ .

(c)  $\Rightarrow$  (a). Let  $0 < e \in A^+$ , and let  $\bar{G} \subset H$ . Since  $e_1 = e \vee e_A \geq e_A$ , there is  $\sigma^{e_1}$  and hence there is  $a_1 \in A$  with  $\hat{a}_1 = \hat{e}_1$  on  $G$  and  $\hat{a}_1 = 0$  off  $H$ . Since  $e_1 \geq e$ , we have  $e_1 \wedge e = e$  and  $a_1 \wedge e$  works.

4.3 will be useful later. The following just restates part of § 3.

4.4. THEOREM. These conditions on  $A \in \mathfrak{L}$  are equivalent.

(a)  $e_A$  is  $X$ -strong.

(b) Whenever  $e \in A$  and  $G_1, G_2 \subset X(e_A)$  (which may be assumed arbitrary, open, or in  $\text{coz } \hat{A}$ , with  $G_1 \cap G_2 = \emptyset$ , then there is  $a \in A$  with  $\hat{a} = \hat{e}$  on  $G_1$  and  $\hat{a} = 0$  on  $G_2$ .

(c) Whenever  $e \in A$  and  $G, H$  are open (or in  $\text{coz } \hat{A}$ ) with  $\bar{G} \subset H$ , then there is  $a \in A$  with  $\hat{a} = \hat{e}$  on  $G$  and  $\hat{a} = 0$  off  $H$ .

(d) Whenever  $a \in A$  and  $\mathfrak{G}$  is an open cover of  $X(e_A)$ , then there is a finite partition of  $e$  subordinate to  $\mathfrak{G}$ .

(e) Whenever  $e \in A$  and  $p \neq q$  in  $X(e_A)$ , then there is  $a \in A$  with  $a \in O_p$  and  $e - a \in O_q$ .

Finally, there are the more algebraic conditions from § 3. For a better statement of the results, we insert a preliminary

4.5. LEMMA (cf. 1.1). Let  $A$  be a Riesz space and  $M$  an ideal. These are equivalent.

(a) There is  $e \in A$  with  $M \in X(e)$ .

(b)  $A/M$  is totally ordered, and there is  $x \in A/M$  such that  $0$  is the only  $x$ -infinitesimal.

(c)  $A/M$  is a subdirectly irreducible Riesz space.

(d) In  $A/M$  there is a smallest nonzero ideal.

(e) If  $\mathfrak{G}$  is a family of ideals in  $A$  each properly containing  $M$ , then  $\cap \mathfrak{G}$  properly contains  $M$ .

We call such an  $M$  completely meet-irreducible (cmm).

PROOF (sketch). (a)  $\Leftrightarrow$  (b). Use  $x = e + M$  (and 3.8; see §1).

(b)  $\Rightarrow$  (d). The principal ideal generated by  $x$ .

(c)  $\Leftrightarrow$  (e). See the treatment in [B] of subdirectly irreducible abstract algebras.

(d)  $\Leftrightarrow$  (e). From the correspondence between ideals in  $A/M$  and ideals in  $A$  containing  $M$ .

From 4.5 and §3, we have immediately.

4.6. THEOREM. These conditions on  $A$  are equivalent.

(a)  $e_A$  is  $X$ -strong.

(b) Each proper prime ideal contains unique  $O_p$  (or, is comparable with unique  $M_p$ ) for  $p \in X(e_A)$ .

(c) Each cmm ideal contains unique  $O_p$  (or, is comparable with unique  $M_p$ ) for  $p \in X(e_A)$ .

## 5. $X$ -strong units versus other properties.

We recall some definitions and relevant facts:

5.1. Let  $A \in \mathcal{L}$ .  $A$  is called a  $\Phi$ -algebra [HJ] if  $e_A$  is the identity for an  $f$ -ring multiplication on  $A$ . It is shown in [HR] that when  $A$  is a  $\Phi$ -algebra, the Riesz isomorphism  $A \rightarrow \hat{A} \subseteq D(X(e_A))$  preserves the multiplication.

Let  $A \in \mathcal{L}$ .  $A$  is called *convex* [AH] if  $\hat{A}$  is a convex subset of  $D(X(e_A))$ , that is, if  $f \in D(X(e_A))$  and  $|f| \leq a$  for some  $a \in A$  imply  $f \in A$ .

If  $A$  is convex and  $a \in A$ , then  $\mathcal{R}(a)$  is  $C^*$ -embedded in  $X(e_A)$  and  $A$  is  $e_A$ -uniformly complete, whence [HR]  $\hat{A}^* = C(X(e_A))$ .

Let  $A$  be a Riesz space.  $A$  has the *principal projection property*, or *ppp*, if for each  $a \in A$ ,  $A = a^{\perp\perp} \oplus a^\perp$ . Then, given  $f \in A$ ,  $f = p_a f + b$  with  $p_a f \in a^{\perp\perp}$  and  $b \in a^\perp$ , uniquely. See Chapt. 4 of [LZ]. Such  $A$  is archimedean. For  $A \in \mathcal{L}$ , if *follows easily* from 24.9 of [LZ] that  $A$  has the *ppp* iff for each  $a \in A$ ,  $\overline{\text{coz } a}$  is open. Then,  $(p_a f)^\wedge = \hat{f}$  on  $\overline{\text{coz } a}$  and 0 off  $\overline{\text{coz } a}$ .

5.2. THEOREM. Let  $A \in \mathcal{L}$ . Any of the following imply that  $e_A$  is  $X$ -strong:  $A$  is a  $\Phi$ -algebra;  $A$  is convex;  $e_A$  is a strong unit;  $A$  has the *ppp*.

PROOF. Let  $a \in A^+$ , and using 2.6, let  $G, H$  be open with  $\bar{G} \subset H$ . Choose  $u \in A$  with  $\hat{u} = 1$  on  $G$ ,  $0$  off  $H$ , and  $0 \leq u \leq e_A$ .

If  $A$  is a  $\Phi$ -algebra, then  $ua \in A$ , and  $(ua)^\wedge = \hat{u}\hat{a}$  is the desired function.

If  $A$  is convex,  $\hat{u}\hat{a}$  is continuous on  $\mathcal{R}(\hat{a})$ , hence extends to  $f \in D(X(e_A))$ . Clearly,  $0 \leq f \leq \hat{a}$ , so by convexity  $f \in \hat{A}$ . And  $f$  is the desired function.

If  $e_A$  is strong, then  $a \leq te_A$  for some real  $t$ . Then  $t\hat{u} \wedge \hat{a}$  is the desired function.

If  $A$  has the *ppp*, then we resort to assuming that  $G \varepsilon \text{ coz } A$  (per 4.4 (e)). Thus  $\bar{G}$  is open. Then the function  $f = \hat{a}$  on  $G$  and  $f = 0$  off  $G$  is in  $\hat{A}$  (per 5.1;  $f$  is a certain  $(p, a)^\wedge$ ), and serves the purpose.

REMARK. If  $A$  is either a  $\Phi$ -algebra or convex, then  $\hat{A}^* \cdot \hat{A} \subseteq \hat{A}$  (see [AH]) and as the proof above shows, this property implies that  $e_A$  is  $X$ -strong.

5.3. DEFINITION. Let  $A \in \mathcal{L}$ . Let  $\text{loc } A$  be the set of functions which are locally in  $A$ , that is,  $f \in \text{loc } A$  iff  $f: X(e_A) \rightarrow \bar{R}$  is a function such that for each  $p \in X(e_A)$  there are a neighborhood  $G$  of  $p$  and  $a \in A$  such that  $f = \hat{a}$  on  $G$ .

If  $\text{loc } A = A$ , we call  $A$  local.

5.4. REMARKS. Note that  $\text{loc } A$  is a Riesz space in  $D(X(e_A))$ . So  $A$  is local iff  $(\text{loc } A)^+ \subseteq \hat{A}$ .

By compactness, if  $f \in \text{loc } A$ , then there is a finite open cover  $\{G_i\}$  of  $X(e_A)$  and  $\{a_i\} \subseteq A$ , with  $f = \hat{a}_i$  on  $G_i$  for each  $i$ .

Each  $\mathcal{L}$ -morphism (see § 1)  $\varphi: A \rightarrow L$  with  $L$  local extends to an  $\mathcal{L}$ -morphism  $\bar{\varphi}: \text{loc } A \rightarrow L$  (using [HR]). Thus  $A \hookrightarrow \text{loc } A$  is what is called a reflection in category theory.

5.5. THEOREM. Let  $A \in \mathcal{L}$ .

(a) If  $e_A$  is  $X$ -strong, then  $A$  is local.

(b) If  $A$  is local and  $X(e_A)$  is totally disconnected, then  $e_A$  is  $X$ -strong.

PROOF. (a) Let  $f \in (\text{loc } A)^+$ . For each  $p \in X(e_A)$ , choose a neighborhood  $G_p$  and  $a_p \in A$  with  $f = \hat{a}_p$  on  $G_p$ . Let  $\{G_i\}$  be a finite sub-cover of  $\{G_p | p \in X(e_A)\}$ , with  $\{a_i\}$  the associated elements of  $A$ . We may take  $\{a_i\} \subseteq A^+$ .

The finite cover  $\{G_i\}$  has a «shrinkage» by [E], p. 266: A finite open cover  $\{W_i\}$  with  $\bar{W}_i \subset G_i$  for each  $i$ .

For each  $i$ , choose  $b_i \in A$  with  $0 \leq b_i \leq a_i$ ,  $\hat{b}_i = \hat{a}_i$  on  $W_i$  and  $\hat{b}_i = 0$  off of  $G_i$ . This is possible because  $e_A$  is  $X$ -strong. Note that  $0 \leq \hat{b}_i \leq f$ : Off  $G_i$ ,  $\hat{b}_i(x) = 0 \leq f(x)$ , and on  $G_i$ ,  $\hat{b}_i(x) \leq \hat{a}_i(x) = f(x)$ .

Then  $f = \bigvee_i \hat{b}_i$  as in the proof of 2.7.

(b) Let  $a \in A$  and let  $G$  and  $H$  be open with  $\bar{G} \subset H$ . A compactness argument produces clopen  $C$  with  $\bar{G} \subset C \subset H$ . Let  $f = \hat{a}$  on  $C$ ,  $0$  off of  $C$ . Since  $C$  is clopen,  $f \in \text{loc } A = A$ .

For  $X$  a Hausdorff uniform space, let  $U(X)$  be the Riesz space of all uniformly continuous functions to the reals  $R$  ( $R$  having the usual uniformity), with weak unit 1. (See [I]).

5.6. PROPOSITION. (a) Any  $U(X)$  is local.

(b) In  $U(R)$ , 1 is not  $X$ -strong.

5.7. LEMMA. The Yosida representation of  $U(X)$  is extension over the Samuel compactification  $sX$ .

PROOF. Essentially by definition,  $sX$  is the « compact reflection » of  $X$  in Hausdorff uniform spaces: there is a uniformly continuous dense homeomorphism  $s_x: X \rightarrow sX$  such that whenever  $f: X \rightarrow K$  is uniform with  $K$  compact, there is unique  $sf: sX \rightarrow K$  with  $(sf) \circ s_x = f$ . See [I].

Let  $\hat{A} = \{sf | f \in U(X)\}$ . It follows that  $\hat{A}^* = C(sX)$ , hence  $A$  separates the points of  $sX$ . Since  $1 \in \hat{A}$ , from 1.3 we see that  $sX = X(1)$  and  $\hat{A}$  is the Yosida representation.

PROOF OF 5.6. (a) Let  $f \in \text{loc } U(X)$ . We are to show that given  $\varepsilon > 0$ ,  $f^{-1}S(\varepsilon)$  is a uniform cover, where  $S(\varepsilon)$  is the cover of  $R$  consisting of  $\varepsilon$ -balls. (We are using the covering description of uniform spaces *per* [I]).

There is a finite cover  $\{G_i\}$  of  $sX$  and  $\{a_i\} \subseteq U(X)$  such that  $f = \hat{a}_i$  on  $G_i$ . Thus each  $f|_{G_i \cap X}$  is uniformly continuous, and so there is a uniform cover  $\mathcal{U}_i$  such that  $f^{-1}S(\varepsilon)|_{G_i} > \mathcal{U}_i|_{G_i}$  (the notation meaning the cover traced on the subset;  $>$  means « is refined by ».) Thus,  $f^{-1}S(\varepsilon) > \{G_i\} \wedge \bigwedge_i \mathcal{U}_i$  (where «  $\wedge$  » means « least common refinement »).

Now  $\{G_i\}$  is an open cover of compact  $sX$ , hence uniform, and its trace on  $X$  is uniform. Since  $\bigwedge_i \mathcal{U}_i$  is uniform, so is  $f^{-1}S(\varepsilon)$ .

(b) We use 2.5. Let  $\hat{f}$  be the extension of  $|\sin x|$  over  $sR = X(1)$ . Let  $K_1 = \{x | \hat{f}(x) = 1\}$ ,  $K_2 = \{x | \hat{f}(x) = 0\}$ . Let  $\hat{a}$  be the extension of

$\alpha(x) = x$ . There is no  $g \in A$  with  $\hat{g} = \hat{a}$  on  $K_1$  and  $\hat{g} = 0$  on  $K_2$ . Because, for such  $g$ ,  $g = \hat{g}|X$  would be « $x$ » on  $\{(2n+1)\pi/2|n \text{ integral}\}$  and 0 on  $\{n\pi|n \text{ integral}\}$ , and therefore not uniformly continuous.

## 6. $X$ -costrong units.

We discuss those  $A \in \mathfrak{L}$  for which  $e_A$  has the property «dual» to being  $X$ -strong.

6.1. DEFINITION.  $e_A$  is  $X$ -costrong if there is a natural mapping  $\sigma_e: X(e_A) \rightarrow X(e)$  whenever  $e$  is a positive weak unit.

The condition is «dual» to 4.3 (b). It doesn't make sense to postulate such  $\sigma_e$  when  $e$  is not a weak unit: the existence of  $\sigma_e$  implies  $e^\perp = e_A^\perp$  (using 2.2).

6.2. A natural  $\sigma_e: X(e_A) \rightarrow X(e)$  is exactly an extension of the  $\tau: Y_e \rightarrow X(e)$  of 2.2.

PROOF. Such  $\sigma_e$  is (by 2.3) a function with  $\sigma_e \circ \tau'$  the identity on a certain subset of  $X(e)$ , where  $\tau'$  is as in 2.2 with  $e$  and  $e_A$  interchanged. By 2.2,  $\tau$  itself is  $(\tau')^{-1}$ .

One can get a lot of properties equivalent to the existence of  $\sigma_e$  by interchanging  $e$  and  $e_A$  in the results of §'s 2 and 3. This interchanging can get confusing, and we shall be content with essentially one condition anyhow (the converse of 2.5 (b)); so we proceed directly from 2.8 and 2.9.

6.2. PROPOSITION. Let  $A \in \mathfrak{L}$  and let  $e \in A^+$  be a weak unit. Then these are equivalent.

(a) Natural  $\sigma_e: X(e_A) \rightarrow X(e)$  exists.

(b) If  $U_1, U_2 \in \text{coz } \hat{A}$  and there is  $a \in A$  with  $\hat{a} = \hat{e}$  on  $U_1$  and  $\hat{a} = 0$  on  $U_2$ , then  $\overline{U_1} \cap \overline{U_2} = \emptyset$ .

PROOF. By 2.4, there is  $a \in A$  with  $\hat{a} = \hat{e}$  on  $U_1$  and  $\hat{a} = 0$  on  $U_2$  iff  $\tau(U_1)$  and  $\tau(U_2)$  have disjoint closures in  $X(e)$ .

(a)  $\Rightarrow$  (b). Let  $U_1, U_2$ , and  $a$  be as in (b). If  $\sigma_e$  exists, then by 2.8,  $\tau^{-1}\tau(U_1)$  and  $\tau^{-1}\tau(U_2)$  have disjoint closures in  $X(e_A)$ . But  $\overline{U_i} = \overline{\tau^{-1}\tau(U_i)}$  since  $U_i \cap Y_e = \tau^{-1}\tau(U_i)$  and  $Y_e$  is dense,



(b)  $\Rightarrow$  (a). Applying 2.8, let  $K_1$  and  $K_2$  be closed and disjoint in  $X(e)$ . Choose  $a_i \in A$  with  $K_i \subset \text{coz } \gamma^e(a_i)$  and with the closures in  $X(e)$  of  $\text{coz } \gamma^e(a_i)$  disjoint. Let  $U_i = \text{coz } \hat{a}_i$ . Using (e) 2.2,  $\tau(U_i) = \tau(Y_e) \cap \text{coz } \gamma^e(a_i)$ ; and  $\overline{\tau(U_i)} = \overline{\text{coz } \gamma^e(a_i)}$ . Thus,  $\overline{\tau(U_1)} \cap \overline{\tau(U_2)} = \emptyset$  and by 2.9 there is  $a \in A$  with  $\hat{a} = \hat{e}$  on  $U_1$  and  $\hat{a} = 0$  on  $U_2$ . By (b),  $\overline{U_1} \cap \overline{U_2} = \emptyset$ . Now  $\overline{U_1} \subset \overline{\tau(K_1)}$ ; 2.8 yields  $\sigma_e$ .

6.2 immediately gives a workable condition that  $e_A$  be  $X$ -costrong. The following makes the statement of the result more concise.

6.3. TERMINOLOGY. Let  $U_1, U_2 \in \text{coz } \hat{A}$ .  $U_1$  and  $U_2$  are *adjacent* if  $\overline{U_1} \cap \overline{U_2}$  is nonempty with empty interior.

Let  $a_1, a_2 \in A$ . We say  $a_1$  is *adjacent to*  $a_2$  if there are adjacent  $U_1, U_2$ , and  $a \in A$ , with  $\hat{a} = a_1$  on  $U_1$  and  $\hat{a} = a_2$  on  $U_2$ .

Thus, immediately from 6.2:

6.4. THEOREM. Let  $A \in \mathcal{L}$ . These conditions are equivalent.

(a)  $e_A$  is  $X$ -costrong.

(b) No weak unit is adjacent to 0.

(c) If  $a$  is adjacent to 0, then  $\hat{a} = 0$  on some nonvoid open set in  $X(e_A)$ .

6.5. COROLLARY. Let  $A \in \mathcal{L}$ . The following are equivalent, and implied by «  $e_A$  is  $X$ -costrong ».

(a) If  $e$  is a weak unit, then  $\overline{\text{pos } \hat{e}} \cap \overline{\text{neg } \hat{e}} = \emptyset$ .

(b) If  $U_1, U_2 \in \text{coz } \hat{A}$ ,  $U_1 \cap U_2 = \emptyset$ , and  $U_1 \cap U_2$  is dense, then  $\overline{U_1} \cap \overline{U_2} = \emptyset$ .

(c) If  $U_1 \in \text{coz } A$  is complemented (meaning: there is  $U_2 \in \text{coz } \hat{A}$  with  $U_1 \cap U_2 = \emptyset$ ,  $U_1 \cup U_2$  dense), then  $\overline{U_1}$  is open.

(d) If  $a, b \in A$ , then either  $\{x | \hat{a}(x) = b(x)\}$  has interior, or  $\{x | \hat{a}(x) \bar{G} \hat{b}(x)\}$  has open closure.

PROOF. Let  $e_A$  be  $X$ -costrong, and let  $e$  be a weak unit. Then  $|e|$  is a positive weak unit. Let  $a = e^+$ . Then clearly,  $\hat{a} = |\hat{e}|$  on  $\text{pos } \hat{e}$  and  $\hat{a} = 0$  on  $\text{neg } \hat{e}$ . Of course,  $\text{pos } \hat{e} \cap \text{neg } \hat{e} = \emptyset$  by 6.2 (b) (or 6.4 (b)).

(a)  $\Rightarrow$  (b).  $U_i = \text{coz } \hat{a}_i$  for  $a_i \geq 0$ . Then apply (a) to  $e = a_1 - a_2$ .

(b)  $\Rightarrow$  (c). By (b),  $\overline{U_1} \cap \overline{U_2} = \emptyset$ . Since  $\overline{U_1} = X(e_A) - \overline{U_2}$ ,  $\overline{U_1}$  is open.

(e)  $\Rightarrow$  (d). If  $\text{int } \{x|\hat{a}(x) = \hat{b}(x)\} = \emptyset$ , then  $\{x|\hat{a}(x) > \hat{b}(x)\}$  « is complemented by »  $\{x|\hat{a}(x) < \hat{b}(x)\}$ .

(d)  $\Rightarrow$  (a). Apply (d) to  $a = e \vee 0$  and  $b = (-e) \vee 0$ . Then  $Z(\hat{e}) = \{x|\hat{a}(x) = \hat{b}(x)\}$  has no interior, so  $\text{pos } \hat{e} = \{x|a(x) > \hat{b}(x)\}$  has open closure. Since  $\overline{\text{pos } \hat{e}} \subset \text{pos } \hat{e} \cup Z(e)$ , we have  $\overline{\text{pos } \hat{e}} \cap \text{neg } e = \emptyset$ . Since  $\overline{\text{pos } \hat{e}}$  is open, (a) follows.

The converse to 6.5 fails. We postpone the examples to a later paper treating the ideas of this section with more care.

6.6. A topological space is called *quasi-F* [DHH] if each dense cozero set is  $C^*$ -embedded. This is equivalent to each of: [HJ]  $D(X)$  is a Riesz space;  $C(X)$  (or  $D(X)$ ) is Cantor complete (Dashiell), where a Riesz space  $A$  is called *Cantor complete* (Everett, Papangelou) if each order-Cauchy sequence order-converges, where  $\{a_n\}$  is called order-Cauchy if there is  $\{u_n\}$  with  $u_1 \geq u_2 \geq \dots \geq 0$  with  $\bigwedge_n u_n = 0$  such that for each  $n$ ,  $|a_n - a_{n+p}| \leq u_n$  for all  $p \geq 0$ ; and order-convergence is similarly defined.

Every Riesz space (archimedean or not) has a Cantor completion. It was shown in [AH], and independently by Dashiell, that for  $A \in \mathcal{L}$ ,  $A$  is Cantor complete iff  $X(e_A)$  is quasi- $F$  and  $A$  is convex (= an ideal in the Riesz space  $D(X(e_A))$ ).

6.7. COROLLARY. Let  $A \in \mathcal{L}$ . If  $A$  is Cantor complete, or if only  $X(e_A)$  is quasi- $F$ , then  $e_A$  is  $X$ -costrong.

PROOF. If  $e$  is a weak unit, then  $Y_e$  is a dense cozero set, hence  $C^*$ -embedded and hence  $\tau: Y_e \rightarrow X(e)$  has the extension  $\sigma_e: X(e_A) \rightarrow (e)$ .

6.8. There is  $A \in \mathcal{L}$  with  $e_A$   $X$ -costrong, but  $X(e_A)$  not quasi- $F$ .

A class of examples is as follows: Let  $Y$  be a compact totally disconnected space, and let  $A$  consist of all locally constant functions on  $Y$ , with  $e_A = 1$ . (Otherwise put,  $A$  is the linear span of the continuous characteristic functions). Since  $Y$  is totally disconnected,  $A$  separates the points. Hence the given presentation of  $A$  is the Yosida representation by § 1. By compactness, each  $\text{coz } a$  is clopen. Thus 6.4 (b) holds vacuously.

These examples can be « classified » in two ways: First, whenever  $\text{coz } A$  has no proper dense member, then whenever  $e$  is a weak unit,  $e$  is never 0 and  $e_A \leq te$  for some  $t \in R$ ; thus  $\sigma_e: X(e_A) \rightarrow X(e)$  exists by 4.2. Second, the examples above have the *ppp* (because any  $\text{coz } a$  is clopen; see 5.1), and

6.9. COROLLARY. If  $A$  has the  $ppp$ , then any weak unit is  $X$ -costrong.

PROOF. Given weak units  $e$  and  $e'$ ,  $e'$  is  $X$ -strong by § 5, so  $\sigma: X(e) \rightarrow X(e')$  exists and thus  $e$  is  $X$ -costrong.

6.10. REMARKS. As in the proof of 4.11, it follows that these conditions on  $A$  are equivalent:

- (a) Each weak unit is  $X$ -strong.
- (b) Each weak unit is  $X$ -costrong.
- (c) Each weak unit is both  $X$ -strong and -costrong.
- (d) There is a weak unit which is  $X$ -strong and -costrong (if there is any weak unit).
- (e) All spaces  $X(e)$  ( $e$  a weak unit) are naturally homeomorphic.

(For (e), the existence of natural maps  $X(e) \rightleftarrows X(e')$  implies the maps are mutually inverse, hence each is a « natural » homeomorphism).

Hence, if  $A$  has the  $ppp$  or is Cantor complete, then the above conditions hold.

We shall return to the general subject of «  $X$ -equivalence » and «  $X$ -uniqueness » in another paper.

*Added in proof.* The topic of this paper is explored further in « Retracting the prime spectrum of a Riesz space », by Giuseppe De Marco and the first author (to appear).

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