

BARRY MAZUR

**Corrections to “Differential topology from the point of view of simple homotopy theory” and further remarks**

*Publications mathématiques de l'I.H.É.S.*, tome 22 (1964), p. 81-91

[http://www.numdam.org/item?id=PMIHES\\_1964\\_\\_22\\_\\_81\\_0](http://www.numdam.org/item?id=PMIHES_1964__22__81_0)

© Publications mathématiques de l'I.H.É.S., 1964, tous droits réservés.

L'accès aux archives de la revue « Publications mathématiques de l'I.H.É.S. » (<http://www.ihes.fr/IHES/Publications/Publications.html>) implique l'accord avec les conditions générales d'utilisation (<http://www.numdam.org/conditions>). Toute utilisation commerciale ou impression systématique est constitutive d'une infraction pénale. Toute copie ou impression de ce fichier doit contenir la présente mention de copyright.

NUMDAM

Article numérisé dans le cadre du programme  
Numérisation de documents anciens mathématiques  
<http://www.numdam.org/>

CORRECTIONS TO :

DIFFERENTIAL TOPOLOGY FROM THE POINT OF VIEW  
OF SIMPLE HOMOTOPY THEORY AND FURTHER REMARKS

by BARRY MAZUR <sup>(1)</sup>

**§ 1. Corrections to : Differential Topology from the Point of View of Simple Homotopy Theory and further remarks.**

The purpose of this note is to atone for some of the sins committed in [1].

Namely: *Chapters III and IV*:

Lemma 3.2 page 19 is false, and even if it were true it would be a bad idea to pass to such equivalence classes of cell decompositions. In fact, the notion of equivalence given on page 18 is unnatural.

In expiation, I gave (hopefully!) the right definition of equivalence in [2]. It is that definition (Definition 11, sec. 5) which meshes well with the proofs of [1]. As a consequence, one must also modify the definition of neighborhood of chapter IV. This is done in sec. 8 of [2], and the terminology is changed from "neighborhood" to "solid", which is really more appropriate.

Here is a sketch of the new definitions:

1. *D-isotopy* or *Isotopy of differentiable cell decompositions*:

An object of the form

$$X = \{(X_0, \dots, X_\nu); \varphi_i, i = 1, \dots, \nu\}$$

and projection maps

$$\pi_i : X_i \rightarrow I \quad (i = 0, \dots, \nu)$$

where  $X_i = X_{i-1} \cup_{\varphi_i} D^{m_i} \times D^{m-m_i} \times I$  and the  $\varphi_i$  are differentiable imbeddings such that

$$\begin{array}{ccc} \partial D^{m_i} \times D^{m-m_i} \times I & \xrightarrow{\varphi_i} & \partial X_{i-1} \\ \pi \searrow & & \swarrow \pi_i \\ & I & \end{array}$$

---

<sup>(1)</sup> Research was supported in part by NSF-GP 1217.

is commutative. (Thus  $X$  is a one-parameter family of cell decompositions). Restricting everything to any  $t \in I$  we obtain a differentiable cell decomposition

$$X_t = \{(\pi_0^{-1}\{t\}, \pi_1^{-1}\{t\}, \dots, \pi_v^{-1}\{t\}); \varphi_i | \pi^{-1}\{t\}\}.$$

Two cell decompositions  $X_0, X_1$  linked by an isotopy will be called *isotopic*.

2. *Expansion Equivalence*. — Two cell decompositions  $M_0, M_1$  are *expansion equivalent* if there are cell decompositions

$$M'_i = M_i \mathbf{U} \text{irrelevant additions} \\ (i = 0, 1)$$

such that  $M'_0$  is isomorphic with  $M'_1$ .

3. *D-equivalence*: The equivalence relation generated by
- isotopy;
  - re-ordering equivalence;
  - expansion equivalence.

Any D-equivalence gives rise to a unique isotopy class of differentiable isomorphisms which will again be called D-equivalences. (They are *free*: i.e. they do not preserve the decomposition, of course.)

2. *Structure weakening*: Consider the categories:

$C_0$ : differentiable manifolds (with boundary); differential imbeddings.

$C_H$ : topological spaces; homotopy classes of continuous maps.

$$(\text{In } C_H \text{ set } \partial^* X = X).$$

Then we may form cell decompositions in each of the categories. A differentiable cell decomposition has already been defined; a cell decomposition of  $C_H$  is defined similarly where the maps are of  $C_H$  and  $\partial$  is replaced by  $\partial^*$ . Thus we obtain two categories of cell decompositions (maps are inclusions), and a structure-weakening functor:

$$\rho : D_0 \rightarrow D_H.$$

Any notion in  $D_0$  has its weak counterpart in  $D_H$ .

3. Let  $X \in D_H$ . An *n-dimensional solid over X* (in  $D_0$ ) is a pair  $(M, \pi)$ , where  $M \in D_0$ ,  $\pi : \rho M \rightarrow X$  is a  $D_H$ -equivalence.

An *isomorphism*

$$\gamma : (M_1, \pi_1) \xrightarrow{\cong} (M_2, \pi_2)$$

between two M-solids is a D-equivalence

$$\gamma : M_1 \rightarrow M_2$$

giving rise to the diagram

$$\begin{array}{ccc} \rho M_1 & \xrightarrow{\rho \gamma} & \rho M_2 \\ \pi_1 \searrow & & \swarrow \pi_2 \\ & X & \end{array}$$

(commutative up to  $C_H$ -isotopy). (Broken-line arrows mean that they do not preserve decompositions.)

Let  $N^m(X)$  denote the set of isomorphism classes of  $m$ -solids over  $X$ .

With the understanding that we are always studying cell decompositions, up to this equivalence, we need never deal with "cell-filtrations", that horror defined in [1]. (The reader should therefore skip Chapters III, IV and, rather, consult [2].)

*Chapter VII:*

In chapter VII, page 37 line 2, change the hypotheses to read  $m > 2, n > 2$ . Otherwise, for  $n = 0, 1, 2$ , the existence of homotopy-isolation data would not insure the existence of isolation data. Consequently, one needs the extra hypothesis  $n > 2$  throughout chapter VII.

*Chapter VIII:*

(i) The key geometric result of the theory is lemma 8.3. Since the hypotheses of chapter VII have been strengthened, and all our definitions have been changed, we must take up the proof of this lemma again. There are a few things to notice. Namely, after our new definitions, we do not have the contravariant map  $i^k$ , and therefore the statement of the lemma must be changed; and with the new hypotheses for Chapter VII, we must exclude the case  $k = 5$  which therefore remains unsolved. Finally, in this corrected proof, a gap in the old one (pointed out to us by C. Zeeman) will be filled.

(To obtain a suitable notion of the essentiality of this lemma for our theory, one should notice that it, coupled with Prop. 5.4 of page 30, yields the nonstable neighborhood theorem for  $k \geq \dim K + 5$  immediately.)

Let

$$i : K \rightarrow K^* = K \mathbf{U}_{\varphi} \Delta^m \mathbf{U}_{\psi} \Delta^{m+1}$$

be an elementary expansion, and let

$$i_{(k)} : N^k(K) \rightarrow N^k(K^*)$$

be the "irrelevant addition" map defined by lemma 8.1, p. 43.

*Lemma (new 8.3).* — *If*

(1)  $k \geq \max\{\dim K + 1, M + 4\}$ ,

(2)  $k > 5$ ,

*then  $i_k$  is a bijective isomorphism.*

*Proof.* — *a)* If  $i_k M_0$  is equivalent to  $i_k M_1$ , by definition,  $M_0$  and  $M_1$  are expansion-equivalent to equivalent cell decompositions. Hence they themselves are equivalent, and  $i_k$  is injective.

*b)* Let us show that  $i_k$  is surjective. [I assert on line 12, p. 45, that since

$$N \in \mathcal{N}^k(K^*), \quad N = (M_0 \mathbf{U}_{\varphi} D^m \times D^{k-m}) \mathbf{U}_{\psi} D^{m+1} \times D^{k-m-1}.$$

That needs proof. Therefore, to begin:]

*Lemma 1.* — Assume: ( $\alpha$ )  $k > m + 2$ ; ( $\beta$ )  $k > 5$ . Then, if  $N \in \mathcal{N}^k(\mathbb{K}^*)$ ,  $N$  can be written (up to equivalence) as:

$$N = (M_0 \mathbf{U}_\varphi D^m \times D^{k-m}) \mathbf{U}_\psi D^{m+1} \times D^{k-m-1}.$$

Assume Lemma 1 for the moment. Let us prove  $i_{(k)}$  surjective in three cases:

I) *The case  $m > 2$ :*

Then the techniques of chapter VII apply, and the argument of p. 45, 46 yield  $N \approx i_{(k)} M_0$  (p. 46, line 10).

II) *The cases  $m = 0, 1$ :*

Trivial for dimensional reasons.

III) *The case  $m = 2, k \geq 6$ :*

Then (after Lemma 1)  $N = (M_0^k \mathbf{U}_\varphi D^2 \times D^q) \mathbf{U}_\psi D^3 \times D^{q-1}$  where  $k-2 = q \geq 4$ . Let  $\pi = \pi_1(M_0) \approx \pi_1(\partial M_0)$ . The map

$$\bar{\varphi} : S^1 \rightarrow \partial M_0$$

is null-homotopic since it is null homotopic in  $M_0$ . Since  $\dim \partial M_0 \geq 5$ ,  $\bar{\varphi}$  is an unknotted imbedding. Let  $M_1 = M_0 \mathbf{U}_\varphi D^2 \times D^q$ .

Consider the natural maps

$$\partial M_0 \vee S^2 \xleftarrow{\cong} (\partial M_0 - \text{im } \varphi) \vee S^2 \xleftarrow{h} (\partial M_0 - \text{im } \varphi) \mathbf{U}_\varphi (D^2 \times \{x\}) \subseteq \partial M_1$$

where  $x \in \partial D^q$ , and  $h$  is a homotopy equivalence which is the identity on  $\partial M_0 - \text{im } \varphi$ , and a map of degree  $+1$  from  $D^2 \times \{x\}$  to  $S^2$ . Then these maps are all isomorphisms for  $\pi_2$ , and we obtain the following commutative diagram:

$$\begin{array}{ccc} \pi_2(\partial M_1) \approx \mathbf{Z}[\pi] \oplus \pi_2(\partial M_0) & & \\ \downarrow i_1 & & \downarrow 1 \oplus i_1 \\ \pi_2(M_1) \approx \mathbf{Z}[\pi] \oplus \pi_2(M_0) & & \end{array}$$

( $\mathbf{Z}[\pi]$  is the integral group ring of  $\pi$ , regarded as an abelian group). The vertical maps are the natural ones.

Let  $S \in \pi_2(\partial M_1)$  denote the homotopy class of  $\bar{\psi}$ . Then we have

$$i_1(S) = 1. I \in \mathbf{Z}[\pi] \subset \mathbf{Z}[\pi] \oplus \pi_2(M_0).$$

Consequently: (\*)  $S = 1. I \oplus x$  for  $x \in \pi_2(\partial M_0)$ .

Let  $f : \partial D^3 \rightarrow \partial M_1$  be a differentiable map representing the homotopy class  $S$  such that  $f(\partial D^3)$  intersects the pole  $\{0\} \times \partial D^q \subset \partial M_1$  exactly at one point  $p$ , and transversally at  $p$ . This is possible after (\*). Since  $\dim \partial M_1 \geq 5$ ,  $f$  may be approximated

by an imbedding  $g$ . If the approximation is sufficiently *close*, we may be sure that  $g$  has exactly one polar intersection also, which is transversal. Since  $g$  is homotopic to  $\bar{\psi}$ , Theorem 2 of § 2 below applies, yielding the following result:  $\bar{\varphi}$  is differentiably isotopic to an imbedding  $\bar{\varphi}$  which has exactly one transversal polar intersection. Thus the arguments of p. 45, 46 again apply.

*Proof of lemma 1.* — We may take  $N$  properly ordered,

$$N = M_0 \mathbf{U}_{\varphi} (D^m \times D^{k-m}) \mathbf{U}_{j=1}^{\vee} (D^m \times D^{k-m})_j \mathbf{U}_{i=1}^{\mu} (D^{m+1} \times D^{k-m-1})_i \mathbf{U}_{\psi} D^{m+1} \times D^{k-m-1} \quad (\text{etc.})$$

where (etc.) refers to the remaining handles. The handles  $(D^m \times D^{k-m})_j$  may be removed from the vicinity of  $(D^m \times D^{k-m}) \subset M_0 \mathbf{U} D^m \times D^{k-m}$  by differentiable isotopy. Therefore we may reorder the attaching “  $\mathbf{U}_{\psi} D^m \times D^{k-m}$  ” to come after all the  $(D^m \times D^{k-m})_j$ , which we may now “ lump ” into the  $M_0$ , and write:

$$N = M_0 \mathbf{U}_{\varphi} D^m \times D^{k-m} \mathbf{U} (U_{f_1} D^{m+1} \times D_{(1)}^{k-m-1} U_{f_2} \dots U_{f_m} D^{m+1} \times D_{(m)}^{k-m-1}) \mathbf{U}_{\psi} D^{m+1} \times D^{k-m-1} \quad (\text{etc.})$$

We may also regularize the  $f_j$  by isotopy so that

$$(*) \quad f_j(\partial D^{m+1} \times D_{(j)}^{k-m-1}) \cap D^m \times \partial D^{k-m} \subset D^m \times \partial D_{-}^{k-m}$$

(where  $\partial D_{\pm}^r \subset \partial D^r$  refers to the upper or lower hemisphere in  $\partial D^r$ ).

Since  $K^*$  is an elementary expansion of  $K$ , we have that there is a continuous map,

$$\partial D^{m+1} \times I \xrightarrow{f} N \quad \text{such that}$$

$$(i) \quad f|_{\partial D^{m+1} \times 0} = \bar{\psi}$$

$$(ii) \quad f(\partial D^{m+1} \times 1) \cap D^m \times D^{k-m} = D^m \times p$$

for some  $p \in \partial D^{k-m}$  (which we may take in  $\partial D_{+}^{k-m}$ ).

*Lemma 2.* — After a differentiable isotopy  $(P_i)$  of  $N$ , we may find a continuous map  $f$  satisfying (i), (ii) above (for  $\psi = P_1 \psi$ ) such that

$$(**) \quad f(\partial D^{m+1} \times I) \cap 0 \times D_{(j)}^{k-m-1} = \emptyset \quad (j = 1, \dots, m).$$

For simplicity, denote  $P_j = \{0\} \times D_{(j)}^{k-m-1}$  (the  $j^{\text{th}}$  “ pole ”). Call an element in  $f(\partial D^{m+1} \times I) \cap P_j$  a “ polar intersection ”.

Assume Lemma 2, for the moment. If (\*\*) is true, then by an “ expansion isotopy ” centered at the pole  $P_j$ , we may obtain:

$$(***) \quad f(\partial D^{m+1} \times I) \cap D^{m+1} \times D_{(j)}^{k-m-1} = \emptyset.$$

Since  $f|_{\partial D^{m+1} \times \{0\}} = \bar{\psi}$ , we may then arrange

$$\psi(\partial D^{m+1} \times D^{k-m-1}) \cap D^{m+1} \times D_{(j)}^{k-m-1} = \emptyset.$$

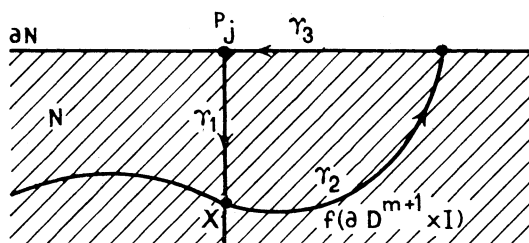
Then we may reorder  $N$ , having the “  $\psi$  ”-handle glued prior to the “  $f_j$  ”-handles. Moreover (\*\*\*) assures us that we have a map

$$f: \partial D^{m+1} \times I \rightarrow M_0 \mathbf{U}_{\varphi} D^m \times D^{k-m} \mathbf{U}_{\psi} D^{m+1} \times D^{k-m-1}$$

This means that we finally find ourselves in the situation I blithely took to be the “given” in my original proof.

*Proof of Lemma 2.* — By choosing  $f$  in general position we may suppose the intersection  $f(\partial D^{m+1} \times I) \cap P_j$  transversal. Therefore there are only a finite number of polar intersections. Let us “remove” them, one at a time

*Removal of a Polar intersection  $\{x\}$ :*



Choose nonsingular paths:

$\gamma_1 \dots$	From $\partial P_j$ to $x$	along $P_j$
$\gamma_2 \dots$	From $x$ to $f(\partial D^{m+1} \times \{0\})$	along $f(\partial D^{m+1} \times I)$
$\gamma_3 \dots$	From $\gamma_2(1)$ to $\gamma_1(0)$	along $\partial N$

with these properties:

1.  $\gamma_1$  should contain no other polar intersections (possible since  $\dim P_j > 1$  by  $(\alpha)$ ).

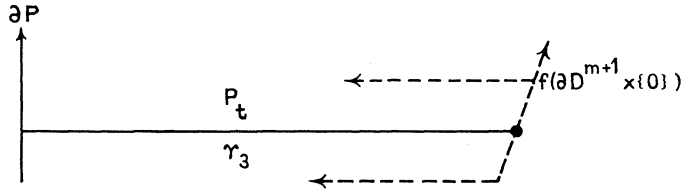
2.  $\gamma_2$  should be disjoint from the image of the singular set  $S \subset \partial D^{m+1} \times I$  under the map  $f$  (possible since  $\dim S \leq 2(m+1) - k \leq (m+1) - 2$  by  $(\alpha)$  and therefore  $S$  cannot separate  $x$  from  $\partial D^{m+1} \times \{0\}$ ).

3. The path  $\gamma_3$  should be extendable to a path defined for  $0 \leq t \leq 2$  and should be so that the circuit  $\gamma = \gamma_1 \cup \gamma_2 \cup \gamma_3$  is null-homotopic in  $N$ . (Possible since  $\pi_1(N, \partial N) = 0$  by hypotheses (1), (2) of the main lemma).

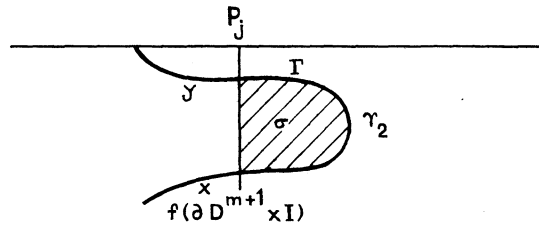
Let  $\sigma \subset N$  be a nonsingular 2-disc whose boundary is  $\gamma$  (possible by  $(\beta)$ ). Orient everything in a neighborhood of  $\sigma \subset N$ . Let  $P_t : 0 \leq t \leq 2$  be a differentiable isotopy of  $(N, \partial N)$  possessing these properties:

- (i)  $P_0 = \gamma$ .
- (ii)  $P_t$  has support in some small neighborhood of  $\gamma_3$ .
- (iii)  $P_t(\gamma_3(0)) = \gamma_3(t)$ ,  $0 \leq t \leq 2$ .
- (iv) The intersection of  $A = P_1 f(\partial D^{m+1} \times I)$  and  $\partial P_j$  in  $\partial N$  at  $\gamma_3(1)$  is transversal.

Now up to isotopy there are precisely *two* such  $P_t$ 's (since  $\partial P_j$  has positive dimension by  $(\alpha)$ ), corresponding to plus and minus intersection indices between  $A$  and  $\partial P_j$  at  $\gamma_3(1)$ .



Choose that  $P_t$  which yields an intersection index different from the intersection index of  $P_j$  and  $f(\partial D^{m+1} \times I)$  at  $x$ . After that isotopy  $P_t$ , we have introduced a new polar intersection  $y$ .



It has an opposite index to  $x$ , and  $\sigma$  yields “ homotopy-isolation data ”.

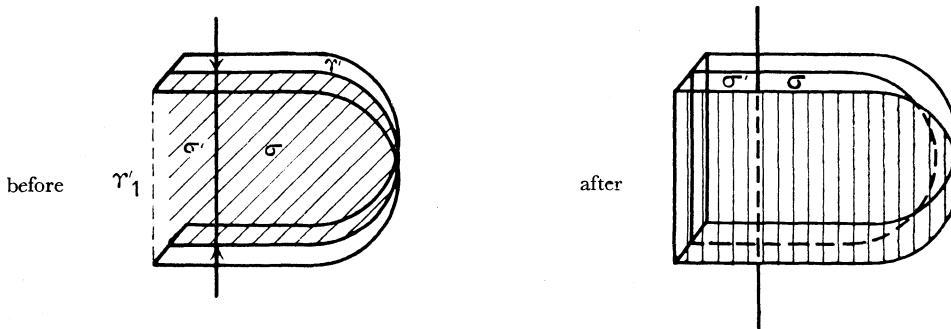
Now we may replace  $f$  by a map  $g$  which differs from  $f$  only in a small neighborhood of  $\Gamma$ , the segment between  $f^{-1}(x)$  and  $f^{-1}(y)$  in  $f^{-1}\gamma_2$  such that

- a)  $f=g$  on  $\partial D^{m+1} \times \partial I$  (therefore  $g$  obeys (i), (ii));
- b)  $g$  has precisely two fewer polar intersections than  $f$  (namely  $x$  and  $y$ ).

One constructs  $g$  by means of  $\sigma$ , but first we make a few remarks about how  $\sigma$  meets  $f(\partial D^{m+1} \times I)$  at  $\Gamma$ . Again by (a), if  $\sigma$  is chosen in “ general position with respect to  $f(\partial D^{m+1} \times I)$  ”, the normal directions along from  $\Gamma$  will be transverse to  $f(\partial D^{m+1} \times I)$ . (Remark:  $\text{int } \sigma$  may intersect  $f(\partial D^{m+1} \times I)$  at a finite number of unavoidable points.) Now we shall modify  $f$  in a neighborhood  $R$  of  $f^{-1}\Gamma$  “ guided by  $\sigma$  ”.

Let  $\sigma'$  be an enlargement of  $\sigma$ , as visualized below. Let  $\partial\sigma' = \gamma'_1 \cup \gamma'$ . Let  $\gamma'_1 \times Q \subset P$  be a tubular neighborhood of  $\gamma'_1$  in  $P$ , and choose some product coordination  $\sigma' \times Q$  of a neighborhood of  $\sigma'$  so that the image of  $f$  in a neighborhood of  $\gamma'$  may be described as  $\gamma' \times Q = f(R)$ . As indicated below, modify  $f$  as follows:

- (i)  $g=f$  outside  $R$ .
- (ii)  $g(R) = \gamma'_1 \times Q \cup \sigma' \times \partial Q$ .





Notice that this  $g$  may have more *self*-intersections than  $f$  because of the parenthetical remark above. The important thing is that  $g$  satisfies *a*), *b*) above, and is continuous.

(ii) Since we have included a new hypothesis (i.e. (2):  $k > 5$ ) in lemma 8.3, we must include a new hypothesis:  $k > 5$  in the nonstable neighborhood theorem (p. 47).

## § 2. Low Dimensional Intersections:

Let  $A, B$  be compact differentiable manifolds, and  $f_0, f_1 : A \rightarrow B$  differentiable imbeddings.

Consider the following weakening of the ordinary notion of differentiable isotopy:

*Definition 1.* — Let  $L \subset A, K \subset B$  be finite subcomplexes. Then  $f_0$  is congruent to  $f_1 \pmod{L \rightarrow K}$  if:

Given any regular neighborhood of  $K, M \subset B$ , there exists a regular neighborhood of  $L, N \subset A$ , and a differentiable isotopy  $\varphi_t : A \rightarrow B$  such that

- a)  $\varphi_0 = f_0$ ;
- b)  $\varphi_1(N) \subset M$ ;
- c)  $\varphi_1|_{A-N} = f_1|_{A-N}$ .

This is a weakening of differentiable isotopy and taking  $L = \emptyset, K = \emptyset$  one gets exactly differentiable isotopy.

*Definition 2.* —  $f_0$  is congruent to  $f_1 \pmod{q}$  (written:  $f_0 \equiv f_1 \pmod{q}$ ) for  $q \geq 0$  an integer, if there are complexes  $K^q, L^{q-1}$  (of dimension  $q, q-1$  respectively) such that  $f_0 \equiv f_1 \pmod{L^{q-1} \rightarrow K^q}$ .

(Is this an equivalence relation? We have introduced this notion to obtain the following theorem:)

*Theorem 1.* — Assume  $\dim A = 2, \dim B = 5$ , and that  $f_0$  is homotopic to  $f_1$ . Then

$$f_0 \equiv f_1 \pmod{1}$$

*Proof.* — In this range of dimensions, if  $f_0$  and  $f_1$  are homotopic, then they are regularly homotopic.

Let  $f_t (0 \leq t \leq 1)$  be the regular homotopy,  $f : A \times I \rightarrow B$ . Then we may assume that there are exactly a finite number of points  $p_1, \dots, p_{2n}$  at which  $f$  fails to be a differentiable isotopy, and the immersions  $f_t$  possess only double points. Consider a pair of double points  $\{(p_1, t_0)(p_2, t_0)\}$  and for simplicity of notation assume this to be the only pair. (Our proof works as well in general.) Set  $P_j = \{p_j\} \times [0, t_0] \subset A \times I$  for  $j = 1, 2$ . Find  $f'$ , a  $C^1$ -approximation to  $f$  which is equal to  $f$  except in a small neighborhood of  $P_1 \cup P_2$ , and such that:

- a)  $f'|_{P_j}$  is a differentiable imbedding possessing a nonsingular jacobian for  $j = 1, 2$ .
- b)  $f'(p_1, t_0) = f'(P_1) \cap f'(P_2) = f'(p_2, t_0)$ .

To obtain  $f'$  a differentiable imbedding on  $P_j$  is easy. To insure that it have a nonsingular jacobian involves a slight calculation: If  $G_{n,m}$  is the Grassman manifold

of  $n$ -planes in  $m$ -space, then  $\dim G_{2,5} = 6$ ,  $\dim G_{1,4} = 3$ . Consequently a path of 2-planes in 5-space may be  $C^1$  approximated by one such that no 2-plane of that path contains a given line. To obtain  $b$ ) is also easy.

Then  $f'$  will be, again, a regular homotopy with only one pair of singular points:  $(p_1, t_0), (p_2, t_0)$ . Let  $S_j = D_j^2(\epsilon) \times [0, t_0 - \delta]$  ( $j = 1, 2$ ) be tubular neighborhoods of  $P_j$ , small enough so that  $f$  is a differentiable imbedding on  $S_j$  (possible by implicit function theorem, since the jacobian of  $f$  is nonsingular on  $P_j$ ).

Now modify  $f'$  to  $f''$  which is  $C^1$ -close, has all the nice properties of  $f'$  and the further property:

$f''(A \times I - \text{int } S_1 \cup S_2)$  does not intersect the lines  $f''(P_j)$ . (Possible since  $3 + 1 < 5$ .)

By compactness there are differentiable tubular neighborhoods  $R_j$  of  $f''(P_j)$  such that  $f''(A \times I - \text{int}\{S_1 \cup S_2\})$  does not intersect the  $R_j$ .

Since  $f''$  is a differentiable imbedding on  $S_j$  we may cut  $R_j, S_j$  down to smaller tubular neighborhoods  $R'_j \subset R_j, S'_j \subset S_j$  where  $S'_j = D_j^2(\epsilon') \times [0, t_1]$ ,  $t_1 = t_0 - \delta'$ , which are adapted to one another in the following sense:

$$f''(S'_j, \partial S'_j) \subset (R'_j, \partial R'_j) \quad j = 1, 2.$$

To do this, a suitable version of the tubular neighborhoods lemma must be used.

We may conclude:

$$(*) \quad f''(A \times I - S'_1 \cup S'_2) \quad \text{does not intersect } R'_1 \cup R'_2.$$

Notice:

1.  $f'_t$  is a differentiable isotopy as  $t$  ranges in  $[t_1, 1]$  ( $t_1 = t_0 + \delta'$ ).

For simplicity of notation, set

$$D' = D_1^2(\epsilon') \cup D_2^2(\epsilon'); \quad R' = R'_1 \cup R'_2.$$

Then:

2.  $f'_t : (A - \text{int } D', \partial D') \rightarrow (B - \text{int } R', \partial R')$

is a differentiable isotopy for  $t \in [0, t_1]$ .

3.  $f'_t : (D', \partial D') \rightarrow (R', \partial R')$

is a regular homotopy, which is a differentiable on  $\partial D'$  and a differentiable imbedding for  $t = t_1$ .

After (3) we may apply the isotopy extension theorem (relative version) to obtain a differentiable isotopy

$$g_t : (D', \partial D') \rightarrow (R', \partial R') \quad t \in [0, t_1]$$

such that

a)  $g_{t_1} = f'_{t_1}$

b)  $g_t|_{\partial D'} = f'_t|_{\partial D'}$

Now set  $h'_t : A \rightarrow B$  to be the (not yet differentiable) isotopy  $0 \leq t \leq 1$  given by:

- a)  $h'_t(a) = f'_t(a)$  if  $a \notin D$  or  $t \geq t_1$   
 b)  $h'_t(a) = g_t(a)$  if  $a \in D'$ ,  $t \leq t_1$ .

This isotopy is not yet differentiable at  $\partial D' \times [0, t_1]$ , but it may be smoothed. Let  $h_t$  be a differentiable isotopy which is  $C^0$ -close to  $h'_t$  and  $C^1$ -close except in a small neighborhood about  $\partial D'$ .

Set  $K^1 = f''(P_1) \cup f''(P_2)$ . Then  $R'$  could have been chosen small enough so as to be contained in a regular neighborhood of  $K^1$ .

The final differentiable isotopy  $h_t$  is  $C^1$ -close to the original  $f_t$  except in some small neighborhood of  $D'$  (i.e. some small neighborhood of  $L^0 = \{p_1\} \cup \{p_2\} \subset A$ ) and  $h_1(D') \subset R'$ . Consequently  $h_t$  may be approximated by a differentiable isotopy  $\varphi$  which is a congruence (mod  $[L : K]$ ) between  $f_0$  and  $f_1$ . Thus theorem 1 is proved. It will be used in the following application:

Let  $Y^3 \subset Z^5$  be a compact submanifold. Let  $f, g : X^2 \rightarrow Z^5$  be homotopic imbeddings of the compact differentiable 2-manifold  $X^2$  in  $Z^5$ .

*Theorem 2.* — Suppose  $g(X^2)$  meets  $Y^3$  transversally at  $k$  points ( $0 \leq k < +\infty$ ). Then there is a differentiable isotopy  $f_t : X^2 \rightarrow Z^5$  such that  $f_0 = f$  and  $f_1(X^2)$  meets  $Y^3$  transversally at exactly  $k$  points.

*Proof.* — By theorem 1,  $f \equiv g \pmod{1}$ . Thus  $f \equiv g \pmod{(L^0 \rightarrow K^1)}$ . We may assume first that  $L^0$  does not intersect  $g^{-1}(g(X^2) \cap Y^3)$  since these are both zero-dimensional sets which may be moved about by differentiable isotopy. We may also assume that  $K^1$  doesn't intersect  $Y^3$ , after a slight  $C^1$ -perturbation of  $Y^3$ , say. Let  $M, N$  be regular neighborhoods  $K^1, L^0$  respectively such that

- a)  $M \cap Y^3 = \emptyset$   
 b)  $N \cap g^{-1}(g(X) \cap Y) = \emptyset$ .

Applying theorem 1 we obtain a differentiable isotopy  $f_t$  such that

- (i)  $f_0 = f$ ;  
 (ii)  $f_1(N) \subset M$ ;  
 (iii)  $f_1|_{(X-N)} = g|_{(X-N)}$ .

We obtain the following string of equalities:

$$f_1(X) \cap Y = f_1(X-N) \cap Y = g(X-N) \cap Y = g(X) \cap Y$$

(the first because  $f_1(N) \cap Y = \emptyset$ , after (ii) and a); the second after (iii); the third because  $g(N) \cap Y = \emptyset$ , after b)).

Theorem 2 is therefore proved.

### § 3. Correction to : Definition of Equivalence of Combinatorial Imbeddings.

Let me take this opportunity to warn the reader of an error in [3]. Namely: p. 11, condition (iii) in § 9 is impossible to obtain in general. Rather, one gets a union of intervals. The proof of the main theorem, however, can still be carried out. One

should do it in a more direct way, however. The function spaces introduced in § 15 are unnecessary.

The reader is referred to the recent I.H.E.S. seminar of C. Zeeman for a complete theory of combinatorial isotopy, which makes [3] unnecessary.

## REFERENCES

- [1] B. MAZUR, *Differential topology from the point of view of simple homotopy theory*, *Publ. math.*, I.H.E.S., n° 15 (1963).
- [2] —, *Morse theory in three categories*, Symposium in Honor of Marston Morse, (1963), Princeton.
- [3] —, *Definition of equivalence of combinatorial imbeddings*, *Publ. math.*, I.H.E.S., n° 3 (1959).

*Reçu le 15 février 1964.*