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SUR LA RECHERCHE DES p -EXTENSIONS NON RAMIFIÉES DE $\mathbb{Q}(\mu_p)$

par Kenneth A. RIBET

English Summary

Kummer's criterion states, that an odd prime p is irregular if, and only if, p divides (the numerator of), at least one Bernoulli number B_k , where k ranges over the even integers between 2 and $p - 1$. The irregularity means that h_p is divisible by p , where h_p is the class number of the field $\mathbb{Q}(\mu_p)$ of p -th roots of unity. Alternately, p is irregular when $\mathbb{Q}(\mu_p)$ has an unramified abelian p -extension.

Let $C\mathcal{L}$ be the group of ideal classes of $\mathbb{Q}(\mu_p)$, and let C be the group $C\mathcal{L}/(C\mathcal{L})^p$, which for convenience, we write additively. Then, C is an \mathbb{F}_p -vector space which is non-zero precisely when p is irregular. The Galois group $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ acts on C through its quotient $\text{Gal}(\mathbb{Q}(\mu_p)/\mathbb{Q}) = \Delta$, and on the other hand, all characters of Δ with values in \mathbb{F}_p^* are obtained, as the power of the fundamental character :

$$\chi : \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \longrightarrow \Delta \xrightarrow{\sim} \mathbb{F}_p^*$$

which arises from the action of Δ on μ_p . We may then write :

$$C = \bigoplus_{i \bmod (p-1)} C(\chi^i)$$

with

$$C(\chi^i) = \{c \in C ; \sigma c = \chi(\sigma)c \text{ for all } \sigma \in \Delta\}.$$

Actually, though, it is more convenient to rewrite this

$$C = C^+ \oplus \left(\bigoplus_{k \bmod (p-1), k \text{ even}} C_k \right),$$

where

$$C^+ = \bigoplus_{i \bmod (p-1), i \text{ even}} C(\chi^i),$$

and

$$C_k = C(\chi^{i-k})$$

when k is even. If we then put

$$C^- = \bigoplus_{i \bmod (p-1), i \text{ even}} C(\chi^i),$$

the equation $C = C^+ \oplus C^-$ summarises the decomposition of C into its "plus" and "minus" eigenspaces under the action of the complex conjugation in Δ . It is known, that the non-vanishing of C^+ implies that of C^- , so that p is irregular if, and only if, (at least) one C_k is non-zero. Hence Kummer's criterion may

be restated as follows : An odd prime p divides at least one B_k (k even ; $2 \leq k \leq p-1$) if, and only if, at least, one C_k is non-zero.

Furthermore, the following result is well known in the theory of cyclotomic fields (it is a corollary of the Stickelberger theorem) :

THEOREM. - If $C_k \neq 0$ for a given k , then $p|B_k$ (the same k).

This suggests the possibility of proving the following converse.

CONVERSE. - If $p|B_k$, then $C_k \neq 0$.

To prove it, one performs the following result.

Construction. - Suppose $p|B_k$. Then, there exists a finite field $\underline{F} \supset \underline{F}_p$ and a continuous representation

$$\bar{\rho} : \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \longrightarrow \text{GL}(2, \underline{F})$$

with the following properties :

(i) $\bar{\rho}$ is unramified at all primes $l \neq p$.

(ii) $\bar{\rho}$ is reducible (as an \underline{F} -representation) in such a way that $\bar{\rho}$ may be written matricially in the form

$$\begin{pmatrix} 1 & * \\ 0 & \chi^{k-1} \end{pmatrix}$$

(iii) $\bar{\rho}$ has an image whose order is divisible by p ,

(iv) The image in $\text{GL}(2, \underline{F})$ of any decomposition group for p has order prime to p .

The construction gives the required result, because of functorial properties of the Artin symbol and the matrix conjugaison formula

$$\begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a & b \\ 0 & d \end{pmatrix}^{-1} = \begin{pmatrix} 1 & ad^{-1}x \\ 0 & 1 \end{pmatrix}.$$

John COATES has remarked that the three properties (i), (ii), (iii) together imply property (iv) under the assumption $C^+ = 0$. This assumption, equivalent to the statement that p is "properly irregular", implies as well the above converse. Further, if $C^+ = 0$, then all non-zero C_k have \underline{F}_p -dimension 1.

The aim of the seminar was to suggest a proof of the converse by means of modular forms. Here, we give a quick sketch of the basic idea of the proof, which will appear else where.

The first key was suggested by SERRE [3]. Namely, if $p|B_k$, there exists a cusp form $f = \sum_{n \geq 1} a_n q^n$ of weight k on $S\mathcal{L}_2(\mathbb{Z})$ which is a normalized eigenform for all Hecke operators $T(n)$, and which resembles an Eisenstein series in the following sense : there exists a prime ideal $\rho|p$ of the field $K = \mathbb{Q}(a_n, n \geq 1)$ such that for each prime $l \neq p$ the number a_l is a ρ -integer satisfying :

$$a_\lambda \equiv 1 + \lambda^{k-1} \pmod{\mathfrak{p}} .$$

A construction of Deligne associates to f a \mathfrak{p} -adic representation :

$$\rho_f : \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \longrightarrow \text{GL}(2, K_{\mathfrak{p}})$$

with $K_{\mathfrak{p}}$ the completion of K at \mathfrak{p} . The congruence for the a_λ (plus an argument in linear algebra) shows, that after a change of basis, ρ_f may be factored :

$$\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \longrightarrow \text{GL}(2, O_{\mathfrak{p}})$$

(with $O_{\mathfrak{p}}$ the integer ring of $K_{\mathfrak{p}}$) so, that the reduction :

$$\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \longrightarrow \text{GL}(2, O_{\mathfrak{p}}) \longrightarrow \text{GL}(2, \mathbb{F})$$

of $\rho_f \pmod{\mathfrak{p}}$ has the properties (i), (ii), (iii). Unfortunately, it seems impossible to prove (iv), because little is known about the properties at \mathfrak{p} of the representation ρ_f .

Therefore, we do something different. SERRE has remarked, that mod \mathfrak{p} representations obtained from forms of weight k may often be seen on the Jacobian J attached to cusp forms of weight 2 on $\Gamma_0(\mathfrak{p})$. This induces us to construct such a form with a congruence property like that above (the construction may be done by essentially bare-handed techniques). Given such a form, we obtain a representation $\overline{\rho}$ which again satisfies (i), (ii), and (iii), but which has the following additional property (deduced from results of DELIGNE and RAPOPORT [1]) : locally at \mathfrak{p} , over the real cyclotomic field $\overline{\mathbb{Q}}(\mu_{\mathfrak{p}})^+$, $\overline{\rho}$ is the representation attached to a finite flat commutative group scheme, killed by \mathfrak{p} , over the integer ring of a \mathfrak{p} -adic field whose absolute ramification index is less than $\mathfrak{p} - 1$. However, such group-schemes have been studied by RAYNAUD [2]. Using his results, we deduce that property (iv) for the new $\overline{\rho}$ is satisfied as well.

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