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C^∞ -ANTIDERIVATIVES OF p -ADIC C^∞ -FUNCTIONS

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The purpose of this note is to prove the following theorem (for the definition of a C^∞ -function see below).

THEOREM. - Let K be a complete non-archimedean valued field with characteristic zero. Let X be a nonempty subset of K without isolated points and let $f : X \rightarrow K$ be a C^∞ -function. Then there is a C^∞ -function $X \rightarrow K$ whose derivative is f .

First we quote some definitions and statements from [1] which are needed for the proof. Let K and X be as above.

Definition ([1], p. 8 and 75). - Let $f : X \rightarrow K$. f is differentiable if its derivative $a \mapsto f'(a) := \lim_{x \rightarrow a} (x - a)^{-1} (f(x) - f(a))$ ($a \in X$) exists. For $n \in \mathbb{N}$, let $\nabla^n X := \{(y_1, y_2, \dots, y_n) \in X^n ; y_i \neq y_j \text{ whenever } i \neq j\}$. The difference quotients $\xi_n f : \nabla^{n+1} X \rightarrow K$ ($n \in \{0, 1, 2, \dots\}$) are given inductively by

$$\xi_0 f := f$$

and

$$\xi_n f(y_1, y_2, \dots, y_{n+1})$$

$$:= (y_1 - y_2)^{-1} (\xi_{n-1} f(y_1, y_3, \dots, y_{n-1}) - \xi_{n-1} f(y_2, y_3, \dots, y_{n+1}))$$

$$((y_1, y_2, \dots, y_{n+1}) \in \nabla^{n+1} X, n \in \mathbb{N}).$$

f is a C^n -function ($f \in C^n(X \rightarrow K)$) if $\xi_n f$ can (uniquely) be extended to a continuous function $\bar{\xi}_n f : X^{n+1} \rightarrow K$.

f is a C^∞ -function if $f \in C^\infty(X \rightarrow K) := \bigcap_{n=0}^\infty C^n(X \rightarrow K)$.

PROPOSITION ([1], p. 78, 86, 87, 116 and 123). - Let $f : X \rightarrow K$. For each $n \in \mathbb{N}$ the function $\xi_n f$ is symmetric, $C^{n-1}(X \rightarrow K) \supset C^n(X \rightarrow K)$, if $f \in C^n(X \rightarrow K)$ then $f' \in C^{n-1}(X \rightarrow K)$ and $\bar{\xi}_n f(a, a, \dots, a) = f^{(n)}(a)/n!$ for each $a \in X$,

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if $\lim_{x,y \rightarrow a} (x-y)^{-n} (f(x) - f(y)) = 0$ for each $a \in X$ then $f \in C^n(X \rightarrow K)$ and $f' = 0$. (Locally) analytic functions are C^∞ -functions

Definition ([1], p. 45 and 46). - Let $0 < \rho < 1$. For each $n \in \mathbb{N}$, let R_n be a full set of representatives in X of the equivalence relation given by $|x - y| < \rho^n$ ($x, y \in X$) such that $R_1 \subset R_2 \subset \dots$. Choose $x_0 \in R_1$. For each $x \in X$, $n \in \mathbb{N}$, let x_n be determined by the conditions $x_n \in R_n$, $|x - x_n| < \rho^n$.

PROPOSITION ([1] Th. 11.2). - Let $n \in \mathbb{N}$, $f \in C^{n-1}(X \rightarrow K)$. Set

$$P_n f(x) := \sum_{m=0}^{\infty} \sum_{j=0}^{n-1} \frac{f^{(j)}(x_m)}{(j+1)!} (x_{m+1} - x_m)^{j+1} \quad (x \in X).$$

Then $P_n f$ is a C^n -antiderivative of f .

Proof of the theorem. - We shall use the terminology of above.

Let $j \in \{0, 1, 2, \dots\}$. $f^{(j)}$ is continuous hence locally bounded and there exists a partition of X into "closed" balls B_{ji} (relative to X) of radius < 1 where i runs through some indexing set I_j such that $f^{(j)}$ is bounded on each B_{ji} . For each $i \in I_j$, we can choose $m_{ji} \in \mathbb{N}$ such that (recall that $0 < \rho < 1$)

$$(*) \quad \rho^{m_{ji}} \leq d(B_{ji}) < 1, \quad |f^{(j)}(x)| \rho^{m_{ji}} < |(j+1)!| \rho^j \quad (x \in B_{ji}).$$

Define $F_j : X \rightarrow K$ as follows. If $x \in X$, then $x \in B_{ji}$ for precisely one $i \in I_j$. Set

$$F_j(x) := \sum_{m \geq m_{ji}} \frac{f^{(j)}(x_m)}{(j+1)!} (x_{m+1} - x_m)^{j+1}.$$

We shall prove that $F := \sum_{j=0}^{\infty} F_j$ is a C^∞ -antiderivative of f by means of the following steps.

(i) Each F_j is well defined.

(ii) For each $j \in \{0, 1, 2, \dots\}$ and for all $i \in I_j$,

$$|F_j(x)| \leq \rho^{jm_{ji}+j} \quad (x \in B_{ji})$$

so that F is well defined.

(iii) $\sum_{j=0}^n F_j$ is a C^n -antiderivative of f for each $n \in \mathbb{N}$.

(iv) For each n , $\sum_{j=n+1}^{\infty} F_j$ is a C^n -function with zero derivative.

Proof of (i). - $f^{(j)}$ is bounded on B_{ji} , and $\lim_{m \rightarrow \infty} (x_{m+1} - x_m) = 0$.

Proof of (ii). - Let $x \in B_{ji}$ and $m \geq m_{ji}$. Then by (*),

$$|x_{m+1} - x_m| \leq |x - x_m| \leq \rho^m \leq \rho^{m_{ji}} \leq d(B_{ji})$$

from which it follows that $x_m \in B_{ji}$ and $|x_{m+1} - x_m| \leq \rho^{m_{ji}}$. Applying the second formula of (*) with x replaced by x_m , we get

$$\left| \frac{f^{(j)}(x_m)}{(j+1)!} (x_{m+1} - x_m)^{j+1} \right| \leq \rho^j \rho^{-m_{ji}} \rho^{m_{ji}(j+1)} = \rho^{jm_{ji}+j},$$

and (ii) is proved.

Proof of (iii). - The function F_j and $x \mapsto \sum_{m=0}^{\infty} f^{(j)}(x_m)(x_{m+1} - x_m)^{j+1}/(j+1)$ differ (on each B_{ji} , hence globally) by a locally constant function. Summation from $j = 0$ to $j = n$ shows that $\sum_{j=0}^n F_j - P_{n+1} f$ is locally constant. By the second proposition

$$\sum_{j=0}^n F_j \in C^{n+1}(X \rightarrow K) \subset C^n(X \rightarrow K) \quad \text{and} \quad (\sum_{j=0}^n F_j)' = f.$$

Proof of (iv). - Set $H := \sum_{j=n+1}^{\infty} F_j$. We shall prove that $|H(x) - H(y)| \leq |x - y|^{n+1}$ for all $x, y \in X$ which, by the first proposition implies (iv). To obtain the inequality it suffices to prove

$$(**) \quad |F_j(x) - F_j(y)| \leq |x - y|^{n+1} \quad (x, y \in X) \quad \text{for each } j \geq n+1.$$

We consider several cases.

(a) $x \in B_{ji}$, $y \in B_{ji'}$, where $i \neq i'$. Then by (*),

$$|x - y| \geq d(B_{ji}) \geq \rho^{m_{ji}} \quad \text{so that} \quad |x - y|^{n+1} \geq \rho^{m_{ji}(n+1)}.$$

By (ii),

$$|F_j(x)| \leq \rho^{jm_{ji}+j}.$$

As $jm_{ji} + j \geq (n+1)m_{ji}$, we have $|F_j(x)| \leq |x - y|^{n+1}$. By symmetry, $|F_j(y)| \leq |x - y|^{n+1}$, and (**) follows.

(b) There is i such that $x, y \in B_{ji}$. We may assume $x \neq y$, there exists an $s \in \mathbb{N} \cup \{0\}$ such that (recall that $d(B_{ji}) < 1$)

$$\rho^{s+1} \leq |x - y| < \rho^s.$$

Then $|x - y|^{n+1} \geq \rho^{(s+1)(n+1)}$. Consider two subcases.

(b.1) $s < m_{ji}$. Then by (ii),

$$|F_j(x)| \leq \rho^{jm_{ji}+j}$$

and since $jm_{ji} + j \geq (n+1)(s+1) + j \geq (s+1)(n+1)$, we have $|F_j(x)| \leq |x - y|^{n+1}$. By symmetry $|F_j(y)| \leq |x - y|^{n+1}$ and (**) follows.

(b.2) $s \geq m_{ji}$. Then since $x_0 = y_0, \dots, x_s = y_s$, we have, for $m = m_{ji}, \dots, s-1$,

$$\frac{f^{(j)}(x_m)}{(j+1)!} (x_{m+1} - x_m)^{j+1} = \frac{f^{(j)}(y_m)}{(j+1)!} (y_{m+1} - y_m)^{j+1}$$

so that

$$F_j(x) - F_j(y) = \sum_{m \geq s} \frac{f^{(j)}(x_m)}{(j+1)!} (x_{m+1} - x_m)^{j+1} - \sum_{m \geq s} \frac{f^{(j)}(y_m)}{(j+1)!} (y_{m+1} - y_m)^{j+1}.$$

If $m \geq s$, we have by (*) (observe that $x_m \in B_{ji}$)

$$\left| \frac{f^{(j)}(x_m)}{(j+1)!} (x_{m+1} - x_m)^{j+1} \right| \leq \rho^{j-m} j_i^{j+m(j+1)}$$

$$\left| \frac{f^{(j)}(y_m)}{(j+1)!} (y_{m+1} - y_m)^{j+1} \right| \leq \rho^{j-m} j_i^{j+m(j+1)}$$

and we find $|F_j(x) - F_j(y)| \leq \rho^{j-m} j_i^{j+s(j+1)}$. Using the fact that $j \geq n + 1$ and our assumption $s \geq m_{ji}$, we obtain

$$j - m_{ji} + s(j+1) = (s+1)j + s - m_{ji} \geq (s+1)(n+1).$$

By consequence

$$|F_j(x) - F_j(y)| \leq \rho^{(s+1)(n+1)} \leq |x - y|^{n+1}$$

which finishes the proof.

Remark. — The above construction does not give us a linear antiderivation map $C^\infty(X \rightarrow K) \rightarrow C^\infty(X \rightarrow K)$, and it is somewhat doubtful whether there exists a linear antiderivation map $P : C^\infty(X \rightarrow K) \rightarrow C^\infty(X \rightarrow K)$ that is continuous with respect to a natural locally convex topology ([1], p. 119) on $C^\infty(X \rightarrow K)$.

REFERENCE

- [1] SCHIKHOF (W. H.). — Non-archimedean calculus. — Mathematisch instituut, Nijmegen, 1978 (Lecture Notes. Report 7812).