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DAVID B. LEVER

## **Continuous families : categorical aspects**

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## CONTINUOUS FAMILIES : CATEGORICAL ASPECTS

by David B. LEVER

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### 0. INTRODUCTION.

Let  $Top$  denote the category of topological spaces and continuous functions,  $Set$  denote the category of sets and functions, and  $SET$  denote the  $Top$ -indexed category of sheaves of sets (see Section 1 for the definition of  $SET$  or see [16] for the theory of indexed categories). Although  $SET$  has an extensive history [8] its properties as an indexed category have been neglected until now. Accepting the view of the working mathematician [9, 11] that a sheaf of sets on a topological space  $X$  is a local homeomorphism whose fibers form a family of sets varying continuously over the space, the theory of indexed categories provides a language in which  $SET$  is  $Set$  suitably topologized, in that we have specified a «continuous function»  $X \rightarrow SET$  to be a sheaf of sets on  $X$ . When  $Set$  is identified with the category of discrete topological spaces, we get  $SET^I = Set$ . Guided by our view that  $SET$  is the «category of sets» of the  $Top$ -indexed world, this paper investigates some of the category theory of this  $Top$ -indexed category.

The importance of the category  $SET^X$  of sheaves of sets on a topological space  $X$  was emphasized by Grothendieck [2]. Later, Lawvere-

Tierney topos theory discovered the relationship between geometry and logic [18]. In the wake of the methods of topos theory, the last decade has seen considerable interest in categorical topology, a subject arising out of the older wish to have universal function spaces [17], while in [14] Niefeld has characterized the admissibility of the exponent of a relative function space and she has shown local homeomorphisms fit into the picture. If  $SET$  is to be a convenient setting for mathematics, analogous to the discrete case, the category  $SET^C$  of continuous functors [13] on a topological category  $C$  (category object in  $Top$  [1, 6, 7]) should be a Grothendieck topos. This has been established in [12].

Below, we see  $SET$  is well-powered, cowell-powered, and has small homs. If  $C$  is a finite topological category,  $SET^C$  is shown to be equivalent to a presheaf category over  $Set$ . Our main result characterizes the  $Top$ -indexed functors  $SET^T \rightarrow SET^D$  in terms of the preservation of filtered colimits at 1 when  $T$  is a topological space and  $D$  is a topological category. In particular, if a «continuous functor»  $Set \rightarrow Set$  is taken to be a  $Top$ -indexed functor  $SET \rightarrow SET$ , it is just an ordinary functor  $Set \rightarrow Set$  preserving filtered colimits. Also, it follows the  $Top$ -indexed algebras of triples on  $SET$  are the same as the finitary algebras on  $Set$ .

## 1. CONTINUOUS FAMILIES.

The following is well known and easily established.

PROPOSITION 1.1. (1) *All homeomorphisms are local homeomorphisms.*

(2) *If  $\alpha$  and  $\beta$  are local homeomorphisms and composable,  $\beta\alpha$  is a local homeomorphism.*

(3) *If  $\alpha$  and  $\beta$  are local homeomorphisms and  $\alpha = \beta\delta$ ,  $\delta$  is a local homeomorphism.*

(4) *If  $S \rightarrow X$  is a local homeomorphism, for all continuous functions  $f: Y \rightarrow X$ , the pullback  $f^*S \rightarrow Y$  is a local homeomorphism.*

(5)  *$S \rightarrow 1$  is a local homeomorphism iff  $S$  is a set (we identify  $Set$  with the category of discrete topological spaces).*

(6) *The image factorization of a local homeomorphism results in two local homeomorphisms.*

(7) If

$$\begin{array}{ccc}
 S & \xrightarrow{\alpha} & S' \\
 \downarrow & & \downarrow \\
 X & \xrightarrow{=} & X
 \end{array}$$

is a commutative diagram in  $Top$  with  $\alpha$  a local homeomorphism,  $\alpha$  is an isomorphism (monomorphism, epimorphism) iff for each point  $x: 1 \rightarrow X$  the fiber  $x^*\alpha: x^*S \rightarrow x^*S'$  of  $\alpha$  over  $x$  is an isomorphism (monomorphism, epimorphism).  $\square$

Benabou [3] has defined the notion of a calibration on a category in order to formalize the notion of relative smallness. The first four properties stated in 1.1 assert

PROPOSITION 1.2. *The class of local homeomorphisms calibrates  $Top$ .*  $\square$

DEFINITION. The  $Top$ -indexed category  $SET$  is given at  $X \in Top$  by taking  $SET^X$  to be the comma category of local homeomorphisms with codomain  $X$ , i. e. sheaves on  $X$ , and by taking substitution along  $f: Y \rightarrow X$  to be the pullback functor  $f^*: SET^X \rightarrow SET^Y$ .

PROPOSITION 1.3.  *$SET$  has stable monomorphisms, stable subobjects, stable epimorphisms, stable quotients, stable equivalence relations, stable finite limits and stable colimits.*

PROOF. By stable monomorphisms we mean: if  $S' \twoheadrightarrow S$  is a monomorphism in  $SET^X$  and if  $f: Y \rightarrow X$  is a continuous function then  $f^*S' \rightarrow f^*S$  is a monomorphism. The other stability properties are defined in the same way [16]. These stability properties are well-known [2].  $\square$

DEFINITION. The *Sierpinski two-point space*  $2$  has underlying set  $\{1, 0\}$  and topology of  $\{1\}$  open but not closed.

DEFINITION. For  $X \in Top$ ,  $2_X \rightarrow X$  is  $proj: 2 \times X \rightarrow X$ , and  $t_X: X \rightarrow 2_X$  is  $1 \times X: X \rightarrow 2 \times X$ .

PROPOSITION 1.4.  *$t_X: X \rightarrow 2_X$  is an open inclusion, so it is a subobject of  $1$  in  $SET^X$ .*  $\square$

PROPOSITION 1.5. For a local homeomorphism  $S \rightarrow X$  and continuous function  $f: Y \rightarrow X$ , there are bijections

$$\begin{array}{ccc}
 S \times_X Y & \xrightarrow{\langle U \rangle} & 2X \\
 \downarrow & & \downarrow \\
 X & \xrightarrow{=} & X \\
 \hline
 \langle U \rangle^* t_X & \xrightarrow{\text{open}} & S \times_X Y \\
 \downarrow & & \downarrow \\
 Y & \xrightarrow{=} & Y \\
 \hline
 \text{subobject } U \twoheadrightarrow f^* S & \text{in } \text{SET}^Y.
 \end{array}$$

These bijections are natural in the variables  $S \rightarrow X$  and  $f: Y \rightarrow X$ .  $\square$

Recall from [14] a continuous function  $C \rightarrow X$  is cartesian if for each continuous function  $Z \rightarrow X$  there is a continuous function  $Z^C \rightarrow X$  and bijection

$$\begin{array}{ccc}
 Y & \xrightarrow{\quad} & Z^C \\
 \downarrow & & \downarrow \\
 X & \xrightarrow{=} & X \\
 \hline
 Y \times_X C & \xrightarrow{\quad} & Z \\
 \downarrow & & \downarrow \\
 X & \xrightarrow{=} & X
 \end{array}$$

which is natural in the variables  $Y \rightarrow X$  and  $Z \rightarrow X$ . In [14], it is shown  $C \rightarrow X$  is cartesian iff there is a continuous function  $2_X^C \rightarrow X$  and bijection

$$\begin{array}{ccc}
 Y & \xrightarrow{\quad} & 2_X^C \\
 \downarrow & & \downarrow \\
 X & \xrightarrow{=} & X \\
 \hline
 Y \times_X C & \xrightarrow{\quad} & 2X \\
 \downarrow & & \downarrow \\
 X & \xrightarrow{=} & X
 \end{array}$$

which is natural in the variable  $Y \rightarrow X$ .

Additionally, in [14] it is shown every local homeomorphism is cartesian.

Recall from [16] the *Top*-indexed category *SET* is well-powered if for each  $X \in \text{Top}$  and  $S \in \text{SET}^X$  there is a continuous function  $\text{sub}^X S \rightarrow X$  and bijection

$$\begin{array}{ccc} Y & \xrightarrow{\langle U \rangle} & \text{sub}^X S \\ \downarrow f & & \downarrow \\ X & \xrightarrow{=} & X \end{array} \quad \hline \text{stable subobject } U \rightsquigarrow f^* S \text{ in } \text{SET}^Y$$

which is natural in the variable  $f: Y \rightarrow X$ .

PROPOSITION 1.6. *SET* is well-powered.

PROOF. An object of  $\text{SET}^X$  is a local homeomorphism  $S \rightarrow X$ , which as we have noted is a cartesian function. Therefore if  $S \in \text{SET}^X$ , we have the natural bijection

$$\begin{array}{ccc} Y & \xrightarrow{\quad} & 2_X^S \\ \downarrow & & \downarrow \\ X & \xrightarrow{=} & X \end{array} \quad \hline \begin{array}{ccc} Y \times_X S & \xrightarrow{\quad} & 2_X \\ \downarrow & & \downarrow \\ X & \xrightarrow{=} & X \end{array}$$

This in combination with Proposition 1.5 gives the natural bijection

$$\begin{array}{ccc} Y & \xrightarrow{\langle U \rangle} & 2_X^S \\ \downarrow f & & \downarrow \\ X & \xrightarrow{=} & X \end{array} \quad \hline \text{subobject } U \rightsquigarrow f^* S \text{ in } \text{SET}^Y.$$

Therefore, *SET* is well-powered.  $\square$

Recall from [16] the definitions of cowell-poweredness and small homs.

PROPOSITION 1.7. *SET has small homs and is cowell-powered.*

PROOF. *SET* is well-powered by Proposition 1.6 and has finite stable limits by Proposition 1.3.

Let  $\alpha: S \rightarrow S'$  be a morphism in  $SET^X$ ,  $X \in Top$ . Let  $M_\alpha \twoheadrightarrow X$  be the equalizer of

$$X \begin{array}{c} \xrightarrow{\langle \alpha, \alpha \rangle} \\ \xrightarrow{\langle I_{S \times S'} \rangle} \end{array} sub^X(S \times S').$$

Then  $f: Y \rightarrow X$  factors through  $M_\alpha \twoheadrightarrow X$  iff  $f^*\alpha: f^*S \rightarrow f^*S'$  is a monomorphism. Let  $I_\alpha \twoheadrightarrow M_\alpha$  be the equalizer of

$$M_\alpha \begin{array}{c} \xrightarrow{\langle I_{M_\alpha^* S'} \rangle} \\ \xrightarrow{\langle M_\alpha^* \alpha \rangle} \end{array} sub^{M_\alpha}(M_\alpha^* S').$$

Then  $f: Y \rightarrow X$  factors through  $I_\alpha \twoheadrightarrow X$  iff  $f^*\alpha: f^*S \rightarrow f^*S'$  is an isomorphism.

Now let  $S$  and  $S'$  be any two objects of  $SET^X$ ,  $X \in Top$ . Let

$$P \twoheadrightarrow (sub^X S \times S') * S \times S'$$

in  $SET^{sub^X S \times S'}$  be the generic subobject of  $S \times S'$ , and let

$$p: P \rightarrow (sub^X S \times S') * S$$

be the projection. We have natural bijections

$$\begin{array}{ccc} Y & \xrightarrow{\langle \alpha \rangle} & I_p \\ \downarrow f & & \downarrow M_p \\ & & sub^X(S \times S') \\ & & \downarrow \\ X & \xrightarrow{=} & X \end{array}$$

---

subobject  $\langle \alpha \rangle * P \twoheadrightarrow f^*(S \times S') \xrightarrow{\cong} f^*S \times f^*S'$  in  $SET^Y$   
 such that  $proj: \langle \alpha \rangle * P \rightarrow f^*S$  is an isomorphism

---

Morphism  $\alpha: f^*S \rightarrow f^*S'$  in  $SET^Y$ .

Therefore,  $I_p \rightarrow X$  serves as the object of morphisms  $S \rightarrow S'$ . Hence, the *Top*-indexed category *SET* has small homs.

For cowell-poweredness, let  $S \in SET^X$  and  $R \twoheadrightarrow (sub^X S \times S) * S \times S$

be the generic subobject of  $S \times S$ . Let  $(\text{sub}^X S \times S)^* S \twoheadrightarrow Q$  be the coequalizer of the pair of projections  $R \rightrightarrows (\text{sub}^X S \times S)^* S$ , and let  $K \rightrightarrows (\text{sub}^X S \times S)^* S$  be the kernel pair of  $(\text{sub}^X S \times S)^* S \twoheadrightarrow Q$ . We have the comparison

$$\begin{array}{ccc} R & \rightrightarrows & (\text{sub}^X S \times S)^* S \\ \theta \downarrow & & \downarrow = \\ K & \rightrightarrows & (\text{sub}^X S \times S)^* S \end{array}$$

making the corresponding squares commute. As above, or as in [15], let  $I_\theta \twoheadrightarrow \text{sub}^X S \times S$  be the subobject in  $\text{Top}$  such that  $\langle U \rangle: Y \rightarrow \text{sub}^X S \times S$  factors through  $I_\theta \twoheadrightarrow \text{sub}^X S \times S$  iff  $\langle U \rangle^* \theta$  is an isomorphism. We have natural bijections

$$\begin{array}{ccc} Y & \xrightarrow{\langle R \rangle} & I_\theta \\ \downarrow & & \downarrow \\ X & \xrightarrow{=} & X \quad \text{in Top} \end{array} \quad \begin{array}{c} \hline \text{kernel pair } R \rightrightarrows f^* S \text{ in } \text{SET}^Y \\ \hline \text{quotient } f^* S \twoheadrightarrow (f^* S)/R \text{ in } \text{SET}^Y. \end{array}$$

Therefore,  $I_\theta \rightarrow X$  will serve as  $\text{epi}^X S \rightarrow X$ . So  $\text{SET}$  is cowell-powered.  $\square$

Let  $C \rightarrow X$  be cartesian. Taking a point  $x: 1 \rightarrow X$ , we get a bijection

$$\begin{array}{ccc} 1 & \xrightarrow{\langle U \rangle} & 2_X^C \\ x \downarrow & & \downarrow \\ X & \xrightarrow{=} & X \end{array} \quad \begin{array}{c} \hline \text{open subset } U \subset x^* C. \end{array}$$

Therefore, the underlying set of the fiber of  $2_X^C \rightarrow X$  over the point  $x$  is isomorphic to  $\{\text{open } U \subset x^* C\}$ . In [14], a description of a topology on

$$\coprod_{x \in X} \{\text{open } U \subset x^* C\}$$

making



$$proj: \coprod_{x \in X} \{ \text{open } U \subset x^* C \} \longrightarrow X$$

isomorphic to  $2^C_X \rightarrow X$  in  $TOP^X$  is given ( $TOP$  denotes the indexing of  $Top$  by itself). When  $X = 1$ , this topology is called the Scott-topology; for general  $C \rightarrow X$ , we will call this topology the *Niefield-Scott topology*, signaling its appearance by the notation  $\theta(C) \rightarrow X$ . In [14] a subbasic open  $H \subset \theta(C)$  is given by the requirement that it be saturated, binding, and have fup - saturated means if  $x \in X$  then

$$U \in x^* H \text{ and } U \subset V \subset x^* C \text{ imply } V \in x^* H,$$

binding means if  $U \subset C$  is open then  $\{x \mid x^* U \in x^* H\}$  is an open subset of  $X$ , and fup means if  $x \in X$  then

$$\left( \bigcup_{a \in A} U_a \right) \in x^* H \text{ implies } \left( \bigcup_{a \in F} U_a \right) \in x^* H \text{ for some finite subset } F \text{ of } A .$$

Let  $S \rightarrow X$  be a local homeomorphism. If

$$\begin{array}{ccc} W & \xrightarrow{s} & S \\ \uparrow = & & \downarrow \\ W & \xrightarrow{\text{open}} & X \end{array}$$

is a local section of  $S \rightarrow X$ , let the subset  $H_s \subset \theta(S)$  be defined at  $x \in X$  by

$$x^* H_s = \begin{cases} \emptyset & \text{if } x \notin W \\ \{U \subset x^* S \mid s(x) \in U\} & \text{if } x \in W. \end{cases}$$

Clearly  $H_s$  is saturated and has fup. It is binding because if  $U \subset S$  is open, then

$$\{x \mid x^* U \in x^* H_s\} = s^{-1} U.$$

Therefore,  $H_s$  is a subbasic open of  $\theta(S)$  for each local section  $s$  of  $S \rightarrow X$ . Conversely, let  $H \subset \theta(S)$  be saturated, binding, and have fup. If  $U \in x^* H$  is non empty, then by the three given properties we can choose a finite set of local sections

$$\left\{ \begin{array}{ccc} W_1 & \xrightarrow{s_1} & S \\ \uparrow = & & \downarrow \\ W & \xrightarrow{\text{open}} & X \end{array} \right. , \dots , \left. \begin{array}{ccc} W_n & \xrightarrow{s_n} & S \\ \uparrow = & & \downarrow \\ W & \xrightarrow{\text{open}} & X \end{array} \right\}$$

such that

$$s_i(x) \in U \text{ for each } i = 1, \dots, n \text{ and } H_{s_1} \cap \dots \cap H_{s_n} \subseteq H.$$

On the other hand, if  $x \in X$  then the only neighborhoods of  $\emptyset$  in  $\theta(S)$  over  $x$  are  $(\theta(S) \rightarrow X)^{-1}W$  for neighborhoods  $W$  of  $x$ . Therefore, together with the inverse images of opens of  $X$  along  $\theta(S) \rightarrow X$ , a subbasis of  $\theta(S)$  may be taken to be subsets  $H_s \subseteq \theta(S)$  defined by local sections  $s$  of  $S \rightarrow X$ .

**THEOREM 1.1.** *If  $X \in \text{Top}$  and  $S \in \text{SET}^X$ ,  $\text{sub}^X S \rightarrow X$  is*

1. *an open function,*
2. *a closed function, and*
3. *a cartesian function.*

**PROOF.** Because  $\theta(S) \rightarrow X$  and  $\text{sub}^X S \rightarrow X$  are  $\text{TOP}^X$  homomorphisms, we may work with the former of these.

1. To see  $\theta(S) \rightarrow X$  is an open function, it is enough to see the image of a basic open is open. So let  $H = H_1 \cap \dots \cap H_n$  where  $H_1, \dots, H_n \subseteq \theta(S)$  are saturated, binding and have fup. Let  $x \in X$  and  $V_x \in x^*H$ . Then  $V_x$  is an open subset of  $S_x$  and we can find an open subset  $V$  of  $S$  such that  $x^*V = V_x$ . By the binding property,

$$\begin{aligned} W &= \{x' \mid x'^*V \in x'^*H\} \\ &= \{x' \mid x'^*V \in x'^*H_1\} \cap \dots \cap \{x' \mid x'^*V \in x'^*H_n\} \end{aligned}$$

is an open subset of  $X$ . But  $x \in W$  and  $W$  is a subset of the image of  $H \rightarrow X$ . Therefore,  $\theta(S) \rightarrow X$  is an open function.

2. Let  $C \subseteq \theta(S)$  be a closed subset and let  $V$  be the complement of  $C$ . If  $\emptyset \subseteq S$  is the empty subset of  $S$  then from the pullback

$$\begin{array}{ccccc} X & \xrightarrow{\langle \emptyset \rangle} & \text{sub}^X S & \xrightarrow{\cong} & \theta(S) \\ \uparrow & & & & \uparrow \\ \langle \emptyset \rangle^* V & \xrightarrow{\quad\quad\quad} & & & V \end{array}$$

we see  $x \in \langle \emptyset \rangle^* V$  iff the fiber  $x^*V$  contains  $\langle \emptyset \rangle(x)$  as an element. Now  $x^*V$  is an open subset of  $x^*\theta(S)$ ; therefore, all of  $x^*\theta(S)$  if and only if  $x \in \langle \emptyset \rangle^* V$ . Therefore, the image of  $C \rightarrow X$  is the complement of

the open subset  $\langle \emptyset \rangle^* V$  of  $X$ . Hence,  $\theta(S) \rightarrow X$  is a closed function.

3. In [14], it is shown that a continuous function  $Y \rightarrow X$  is cartesian if for each

$$x_0 \in X, \text{ open } U_{x_0} \subset x_0^* Y, \text{ and } y \in U_{x_0}$$

there is  $H \subset \theta(Y)$  such that  $U_{x_0} \in H$  and  $H$  is saturated, binding, has fup, and  $\cap H \subset Y$  is a (not necessarily open) neighborhood of  $y$ ; here,  $\cap H$  is defined at  $x \in X$  by

$$x^* \cap H = \begin{cases} x^* Y & \text{if } x^* H = \emptyset \\ \bigcap_{V \in x^* H} V & \text{if } x^* H \neq \emptyset. \end{cases}$$

We will apply this to show  $\theta(S) \rightarrow X$  is cartesian. For purposes of notation, let  $Y \rightarrow X$  be  $\theta(S) \rightarrow X$ . Fix

$$x_0 \in X, \text{ open } U_{x_0} \subset x_0^* Y, \text{ and } y \in U_{x_0}.$$

Now  $y$  is a subset of  $x_0^* S$ . First suppose  $y$  is the empty subset of  $x_0^* S$ . Then  $U_{x_0}$  is all of  $x_0^* Y$ . Define  $H \subset \theta(Y)$  by  $x^* H = \{x^* Y\}$ ,  $x \in X$ , so  $x^* H$  is a singleton for each  $x \in X$ . Then  $U_{x_0} \in x_0^* H$ . Also  $\cap H = Y$  is a neighborhood of  $y$ .  $H$  is saturated because  $x^* H$  is the maximal subset of  $x^* Y$  for each  $x \in X$ .  $H$  has fup because  $x^* Y$  is compact for each  $x \in X$ . To see  $H$  is binding it is enough to see if  $W$  is a basic open of  $Y$  then  $\{x \mid x^* W \in x^* H\}$  is open. But if  $W = H_{s_1} \cap \dots \cap H_{s_n}$  for local sections  $s_1, \dots, s_n$  of  $S \rightarrow X$  then

$$\{x \mid x^* W \in x^* H\} = \{x \mid x^* W = x^* Y\} = \emptyset$$

because for each  $x \in X$ ,  $\langle \emptyset \rangle(x) \in x^* Y$  while  $\langle \emptyset \rangle(x) \notin x^* W$ , and if  $W = (Y \rightarrow X)^{-1} V$  for some  $V \subset X$  then

$$V = \{x \mid x^* W \in x^* H\}.$$

Therefore,  $H$  is binding. Now suppose  $y$  is not the empty subset of  $S$ . Then there are local sections

$$\begin{array}{ccc} V_1 & \xrightarrow{s_1} & S, \dots, & V_n & \xrightarrow{s_n} & S \\ \uparrow = & & & \uparrow = & & \\ V_1 & \xrightarrow{\text{open}} & X & & V_n & \xrightarrow{\text{open}} & X \end{array}$$

such that

$$x_0 \in V_1 \cap \dots \cap V_n, \text{ and } y \in x^*(H_{s_1} \cap \dots \cap H_{s_n}) \subset U_{x_0}.$$

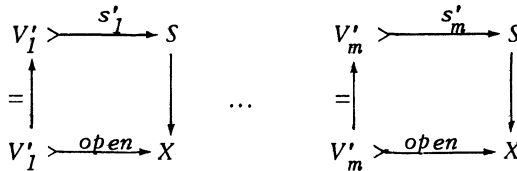
Let  $H \subset \theta(Y)$  be defined at each  $x \in X$  by

$$H_x = \begin{cases} \emptyset & \text{if } x \notin V_1 \cap \dots \cap V_n \\ \{W_x \subset x^*Y \mid x^*(H_1 \cap \dots \cap H_n) \subset W_x\} & \text{if } x \in V_1 \cap \dots \cap V_n. \end{cases}$$

Then  $H_{s_1} \cap \dots \cap H_{s_n} \subset \cap H$  and since  $y \in H_{s_1} \cap \dots \cap H_{s_n}$ ,  $\cap H$  is a neighborhood of  $y$ . Clearly  $H$  is saturated. For fup, note  $x^*(H_{s_1} \cap \dots \cap H_{s_n})$  is compact for each  $x \in X$  because either it is empty or if  $x \in V_1 \cap \dots \cap V_n$ , then  $x^*(H_1 \cap \dots \cap H_n)$  is homeomorphic to the Scott-space of

$$(x^*S) - \{s_1(x), \dots, s_n(x)\}.$$

For binding, let  $W \subset Y$  be open. It is sufficient to assume  $W$  is a basic open. If  $W = (Y \rightarrow X)^{-1}V$  for some open  $V \subset X$  then  $\{x \mid x^*W \in x^*H\}$  is  $V \cap V_1 \cap \dots \cap V_n$  which is open, and if  $W = H_{s'_1} \cap \dots \cap H_{s'_m}$  for some local sections



then

$$\{x \mid x^*W \in x^*H\} = \{x \mid x \in (V'_1 \cap \dots \cap V'_m \cap V_1 \cap \dots \cap V_n)\}$$

and

$$\{s'_1(x), \dots, s'_m(x)\} \subset \{s_1(x), \dots, s_n(x)\},$$

which is open. Therefore,  $H$  is binding. Hence,  $\theta(S) \rightarrow X$  is cartesian.  $\square$

Recall from [4] a continuous function  $P \rightarrow X$  is proper if for every continuous function  $f: Y \rightarrow X$ ,  $f^*P \rightarrow Y$  is a closed function; also, it is proper iff it is closed and has compact fibers.

COROLLARY. For each  $X \in \text{Top}$  and  $S \in \text{SET}^X$ ,  $\text{sub}^X S \rightarrow X$  is a proper function.

PROOF. If  $f: Y \rightarrow X$  is a continuous function then we have a pullback

$$\begin{array}{ccc}
 \text{sub}^Y f^* S & \longrightarrow & \text{sub}^X S \\
 \downarrow & & \downarrow \\
 Y & \xrightarrow{f} & X .
 \end{array}$$

The result follows from Theorem 1.1.2 applied to these pullbacks  $\square$

PROPOSITION 1.8. *If  $X$  is a topological space and  $S \in \text{SET}^X$  then the direct image functor  $\text{SET}^{\text{sub}^X S} \rightarrow \text{SET}^X$  preserves filtered colimits.*

PROOF. For notation, denote  $\text{sub}^X S \rightarrow X$  by  $\pi$ . Let  $C$  be a small filtered category and  $F: C \rightarrow \text{SET}^{\text{sub}^X S}$  be a functor. In  $\text{SET}^X$ , we have a comparison  $\epsilon: \lim_{\rightarrow} \pi_* \circ F \rightarrow \pi_* \lim_{\rightarrow} F$  coming from the universal property of  $\lim_{\rightarrow} \pi_* \circ F$ . We will show  $\epsilon$  is both epi and mono, so iso.

Fix  $x \in X$  and suppose that

$$\begin{array}{ccc}
 V & \xrightarrow{s} & \pi_* \lim_{\rightarrow} F \\
 \uparrow = & & \downarrow \\
 V & \xrightarrow{\text{open}} & X
 \end{array}$$

is a local section with  $x \in V$ . By adjunction, we get a local section

$$\begin{array}{ccc}
 \pi^{-1} V & \xrightarrow{\hat{s}} & \lim_{\rightarrow} F \\
 \uparrow = & & \downarrow \\
 \pi^{-1} V & \longrightarrow & \text{sub}^X S .
 \end{array}$$

Choose  $c \in C$  such that  $\hat{s}(\langle \theta \rangle(x))$  is in the image of  $\rho_{F(c)}: F(c) \rightarrow \lim_{\rightarrow} F$ .

Let

$$\begin{array}{ccc}
 W & \xrightarrow{t} & F(c) \\
 \uparrow = & & \downarrow \\
 W & \xrightarrow{\text{open}} & \text{sub}^X S
 \end{array}$$

be a local section such that

$$\rho_{F(c)} \circ t(\langle \emptyset \rangle(x)) = \hat{s}(\langle \emptyset \rangle(x)).$$

Let  $U = W \cap \pi^{-1} V$ . Since  $\langle \theta \rangle(x) \in U$ , we have  $\pi^{-1} x \subset U$ . Since  $\pi$  is proper,  $\pi_* U$  is an open neighborhood of  $x$ . We have the commutative diagram

$$\begin{array}{ccc}
 \lim \pi_* \circ F & \xrightarrow{\epsilon} & \pi_* \lim F \\
 \uparrow & & \uparrow s \\
 \pi_* F(c) & & \\
 \uparrow \pi_* t & & \\
 \pi_* W & & \\
 \uparrow \subset & & \\
 \pi_* U & \xrightarrow{\subset} & V
 \end{array}$$

Therefore,  $\epsilon$  is an epimorphism. Next, with  $x \in X$  fixed, suppose

$$\begin{array}{ccc}
 V & \xrightarrow{s_1} & \lim \pi_* \circ F \\
 \uparrow = & \xrightarrow{s_2} & \downarrow \\
 V & \xrightarrow{\text{open}} & X
 \end{array}$$

with  $x \in V$  such that  $\epsilon \circ s_1 = \epsilon \circ s_2$ . By the filteredness of  $C$  we can choose  $c \in C$  and local sections

$$\begin{array}{ccc}
 V' & \xrightarrow{s'_1} & \pi_* F(c) \\
 \uparrow = & \xrightarrow{s'_2} & \downarrow \\
 V' & \xrightarrow{\text{open}} & X
 \end{array}$$

such that  $x \in V' \subset V$  and the respective squares of

$$\begin{array}{ccc}
 V & \xrightarrow{s_1} & \lim \pi_* F \\
 \uparrow \subset & \xrightarrow{s_2} & \uparrow \rho_{\pi_* F(c)} \\
 V' & \xrightarrow{s'_1} & \pi_* F(c) \\
 & \xrightarrow{s'_2} &
 \end{array}$$

commute. Because  $\epsilon \circ s_1 = \epsilon \circ s_2$  and because

$$\begin{array}{ccc}
 \lim \pi_* F & \xrightarrow{\epsilon} & \pi_* \lim F \\
 \uparrow \rho_{\pi_* F(c)} & \nearrow \pi_* \rho F(c) & \\
 \pi_* F(c) & &
 \end{array}$$

commutes, by adjunction we get the commutative square

$$\begin{array}{ccc}
 \pi^{-1} V' & \xrightarrow{\hat{s}'_1} & F(c) \\
 \hat{s}'_2 \downarrow & & \downarrow \rho_{F(c)} \\
 F(c) & \xrightarrow{\rho_{F(c)}} & \lim_{\rightarrow} F
 \end{array}$$

Since

$$\rho_{F(c)} \circ \hat{s}'_1(\langle \emptyset \rangle(x)) = \rho_{F(c)} \circ \hat{s}'_2(\langle \emptyset \rangle(x))$$

there is a morphism  $a : c \rightarrow d$  in  $C$  such that

$$F(a) \circ \hat{s}'_1(\langle \emptyset \rangle(x)) = F(a) \circ \hat{s}'_2(\langle \emptyset \rangle(x)).$$

Therefore there is an open neighborhood  $U$  of  $\langle \emptyset \rangle(x)$  such that  $U \subset \pi^{-1} V'$  and

$$\begin{array}{ccccc}
 U & \xrightarrow{\subset} & \pi^{-1} V' & \xrightarrow{\hat{s}'_1} & F(c) \\
 \downarrow \subset & & \downarrow \hat{s}'_2 & & \downarrow F(a) \\
 \pi^{-1} V' & & & & \\
 & & & & \\
 F(c) & \xrightarrow{F(a)} & & & F(d)
 \end{array}$$

commutes. Since  $\pi^{-1} x \subset U$  and  $\pi$  is proper,  $\pi_* U$  is an open neighborhood of  $x$ , and we have a commutative diagram

$$\begin{array}{ccc}
 V & \xrightarrow{s_1} & \lim_{\rightarrow} \pi_* F \\
 \uparrow \subset & \xrightarrow{s_2} & \uparrow \rho_{\pi_* F(d)} \\
 \pi_* U & \xrightarrow{\pi_* F(a) \circ s'_1 = \pi_* F(a) \circ s'_2} & \pi_* F(d)
 \end{array}$$

Therefore, locally at  $x$ ,  $s_1 = s_2$ . Hence,  $\epsilon$  is a monomorphism.

Therefore,  $\pi_*$  preserves filtered colimits.  $\square$

## 2. TOPOLOGICAL CATEGORIES.

DEFINITION. A *topological category* is a category object in  $Top$ ; that is, a topological category  $C$  has a topological space  $C_0$  of objects, a topological space  $C_1$  of morphisms, a continuous function  $id : C_0 \rightarrow C_1$  which chooses an identity morphism  $1_c = id(c)$  for each object  $c \in C_0$ , cont-

inuous functions  $\partial_0: C_1 \rightarrow C_0$  and  $\partial_1: C_1 \rightarrow C_0$  which assign to each morphism  $f \in C_1$  its domain  $\partial_0 f \in C_0$  and its codomain  $\partial_1 f \in C_0$ , and a continuous function  $\circ: C_2 \rightarrow C_1$  of composition where

$$\begin{array}{ccc} C_2 & \xrightarrow{\pi_1} & C_1 \\ \pi_0 \downarrow & & \downarrow \partial_0 \\ C_1 & \xrightarrow{\partial_1} & C_0 \end{array}$$

is a pullback in  $Top$ , and with  $\circ(f, g)$  written  $g \circ f$ , satisfying the commutativity condition:

$$\begin{array}{l} 1. \quad \begin{array}{ccc} c & \xrightarrow{1_c} & c \\ & \searrow f & \downarrow f \\ & & c' \\ & & \xrightarrow{1_{c'}} c' \end{array} \quad f \circ 1_c = f = 1_{c'} \circ f; \\ \\ 3. \quad \begin{array}{ccc} c & \xrightarrow{f} & c' \\ & \searrow g \circ f & \downarrow g \\ & & c'' \\ & & \xrightarrow{b} c''' \end{array} \quad (b \circ g) \circ f = b \circ (g \circ f). \end{array}$$

In [1], 2.6, Adams has defined a topological category in the same way as we have above, but Ehresmann knew of them earlier [6, 7].

Topological groups, topological monoids, topological groupoids, and topological preorders provide examples of topological categories often arising in mathematics. If  $X$  is a topological space, we get a topological groupoid

$$\begin{array}{ccccc} & \xrightarrow{Proj(1, 2)} & & \xrightarrow{Proj(1)} & \\ X \times X \times X & \xrightarrow{Proj(1, 3)} & X \times X & \xleftarrow{Diag} & X \\ & \xrightarrow{Proj(2, 3)} & & \xrightarrow{Proj(2)} & \end{array}$$

and if we take from this the subspace of  $X \times X$  consisting of pairs  $(x, x')$  such that  $x$  is in the closure of  $x'$  as the morphisms of a subspace-subcategory, we get a topological preorder which we will denote by  $\leq_X$ .  $Top$  inverse limits of finite set categories, the profinite categories, provide another important class of topological categories [10].

DEFINITION. A continuous functor  $(S, \mathcal{E})$  from a topological category  $C$



to  $SET$  is a local homeomorphism  $S \rightarrow C_0$  and a continuous function

$$\begin{array}{ccc} \partial_0^* S & \xrightarrow{\mathcal{E}} & \partial_1^* S \\ \downarrow & & \downarrow \\ C_1 & \xrightarrow{=} & C_1 \end{array}$$

(necessarily a local homeomorphism as well) such that for each object  $c$  of  $C$ ,

$$\mathcal{E}(1_c) = \mathcal{E}_{1_c} = 1_c * S$$

and for each composable pair of morphisms  $(f, g) \in C$ ,

$$\mathcal{E}(g \circ f) = \mathcal{E}_{(g \circ f)} = \mathcal{E}_g \circ \mathcal{E}_f.$$

In the language of [16], a continuous functor from a topological category  $C$  to  $SET$  is an internal functor from  $C$  to  $SET$ . Continuous functors on a topological category are used in [13] to construct vector bundles.

DEFINITION. A *continuous natural transformation* between two continuous functors  $(S, \mathcal{E})$  to  $(S', \mathcal{E}')$  on a topological category  $C$  is a morphism  $\eta: S \rightarrow S'$  in  $SET^{C_0}$  such that the diagram

$$\begin{array}{ccc} \partial_0^* S & \xrightarrow{\partial_0^* \eta} & \partial_0^* S' \\ \mathcal{E} \downarrow & & \downarrow \mathcal{E}' \\ \partial_1^* S & \xrightarrow{\partial_1^* \eta} & \partial_1^* S' \end{array}$$

commutes in  $SET^{C_1}$ .

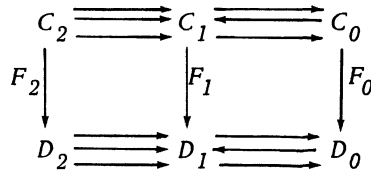
Continuous natural transformations are composable and each continuous functor has an identity continuous natural transformation. If  $C$  is a topological category, we will let  $SET^C$  denote the category of continuous functors from  $C$  to  $SET$  and continuous natural transformations of such. This definition of  $SET^C$  is guided by the ideas of [16]. From [12], we have:

THEOREM 2.1. *If  $C$  is a topological category,  $SET^C$  is a Grothendieck topos; if*

$$b = \max(\aleph_0, \text{card } \coprod_{U \in \text{Open } C_0} \{P \twoheadrightarrow \partial_0^* U\})$$

then the set of continuous functors  $(S, \mathcal{E})$  such that  $S$  can be covered by a set of local sections whose cardinality does not exceed  $b$  is a set of generators of  $SET^C$ .  $\square$

DEFINITION. If  $C$  and  $D$  are two topological categories, a topological functor  $F: C \rightarrow D$  is a triple of continuous functions  $(F_0, F_1, F_2)$  making the corresponding squares commute in the diagram



If  $F: C \rightarrow D$  is a topological functor, a continuous functor  $(S, \mathcal{E})$  in  $SET^D$  may be pulled back along  $F$  to a continuous functor

$$F^*(S, \mathcal{E}) = (F_0^* S, F_1^* \mathcal{E}) \in SET^C.$$

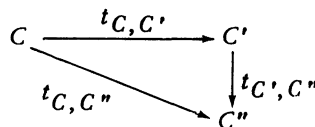
We get a geometric morphism  $(F_*, F^*): SET^C \rightarrow SET^D$ ; the existence of  $F_*$  uses the special adjoint functor Theorem, which is necessary by its use in the particular case  $C_0 \rightarrow C$  arising in the proof that the category  $SET^C$  is a Grothendieck topos.

DEFINITION. A finite topological category is a topological category such that its space of objects and its space of morphisms are finite.

THEOREM 2.2. If  $C$  is a finite topological category then  $SET^C$  is equivalent to a presheaf category.

PROOF. Define a diagram  $C^+$  in  $Set$  by taking  $C_0^+$  to be the underlying set of  $C_0$  and by taking  $C_1^+$  to be the underlying set of  $C_1$  together with new morphisms  $t_{C, C'}: C \rightarrow C'$ ,  $C, C' \in C_0^+$ , whenever  $C$  is in the closure of  $C'$  in  $C_0$ , and subject to  $t_{C, C} = 1_C$  for each  $C \in C_0^+$  and the commutativity conditions:

1. all compositions defined in  $C$ ;
- 2.



$$\begin{array}{ccc}
 \partial_0 f & \xrightarrow{t_{\partial_0 f, \partial_0 g}} & \partial_0 g \\
 f \downarrow & & \downarrow g \\
 \partial_1 f & \xrightarrow{t_{\partial_1 f, \partial_1 g}} & \partial_1 g
 \end{array}$$

whenever  $f$  and  $g$  are morphisms of  $C$  and  $f$  is in the closure of  $g$ .

Let  $Set^{C^+}$  be the topos of all diagrams  $C^+ \rightarrow Set$  which respect any compositions defined for  $C^+$ . Note, by freely generating a small category from  $C^+$  and dividing out by the proper relations, we get a small category  $\hat{C}^+$  such that  $Set^{C^+}$  is equivalent to  $Set^{\hat{C}^+}$ . We will show  $SET^C$  is equivalent to  $Set^{\hat{C}^+}$  by showing it is equivalent to  $Set^{C^+}$ .

First, we define a functor  $SET^C \rightarrow Set^{C^+}$ . Let  $(S, \mathcal{E}) \in Set^C$ . For  $C \in C_0$ , let  $S_C$  be the fiber of  $S$  over  $C$  and for  $f \in C_1$ , let

$$\mathcal{E}_f: S_{\partial_0 f} \rightarrow S_{\partial_1 f}$$

be the fiber of  $\mathcal{E}$  over  $f$ . For  $C \in C_0$ , let  $S^+(C) = S_C$ . If  $C$  is in the closure of  $C'$  in  $C_0$  then for any local section

$$\begin{array}{ccc}
 U & \xrightarrow{s} & S \\
 \uparrow & & \downarrow \\
 U & \xrightarrow{open} & C_0
 \end{array}$$

with  $C \in U$  then  $C' \in U$ , and for any other local section

$$\begin{array}{ccc}
 U' & \xrightarrow{s'} & S \\
 \uparrow = & & \downarrow \\
 U' & \xrightarrow{open} & C_0
 \end{array}$$

with  $C \in U'$  and  $s(C) = s'(C)$  then  $s(C') = s'(C')$ . Therefore, if  $C$  is in the closure of  $C'$ , we have a function  $\mathcal{E}^+(t_{C, C'}): S^+(C) \rightarrow S^+(C')$  induced from the restriction of local sections at  $C$  to local sections at  $C'$ . Clearly,  $\mathcal{E}^+(t_{C, C'}) = 1_{S^+(C)}$  for each  $C \in C_0^+$ , and

$$\mathcal{E}^+(t_{C', C''}) \circ \mathcal{E}^+(t_{C, C'}) = \mathcal{E}^+(t_{C, C''})$$

for each  $C$  in the closure of  $C'$  and  $C'$  in the closure of  $C''$  in  $C_0$ . Sup-

pose  $f$  is in the closure of  $g$  in  $C_1$ . Then it must be that  $\partial_0 f$  is in the closure of  $\partial_0 g$  and  $\partial_1 f$  is in the closure of  $\partial_1 g$ . If  $U_1$  is an open neighborhood of  $f$  and

$$\begin{array}{ccc} U_1 & \xrightarrow{s_1} & \partial_0^* S \\ \cong \uparrow & & \downarrow \\ U_1 & \xrightarrow{\text{open}} & C_1 \end{array}$$

is a local section then  $s_1$  is equivalent to  $\partial_0^*$  of a local section

$$\begin{array}{ccc} U_0 & \xrightarrow{s_0} & S \\ \cong \uparrow & & \downarrow \\ U_0 & \xrightarrow{\text{open}} & C_0 \end{array}$$

at  $\partial_0 f \in U_0$ ; that is

$$s_1|_{U_1 \cap \partial_0^* U_0} = \partial_0^* s_0|_{U_1 \cap \partial_0^* U_0}.$$

Therefore, since the points of  $(\partial_0^* S)_f$  and equivalence classes of local sections of  $S \rightarrow C_0$  at  $\partial_0 f$  are the same thing where the sheaf  $\partial_0^* S \rightarrow C_1$  is concerned, the function

$$\partial_0^* \mathcal{E}^+(t_{\partial_0 f, \partial_0 g}): (\partial_0^* S)_f \rightarrow (\partial_0^* S)_g,$$

induced by the restriction of local sections of  $\partial_0^* S \rightarrow C_1$  at  $f$ , makes the diagram

$$\begin{array}{ccc} (\partial_0^* S)_f & \xrightarrow{\partial_0^* \mathcal{E}^+(t_{\partial_0 f, \partial_0 g})} & (\partial_0^* S)_g \\ (\partial_0')_f, \partial_0 f \downarrow \cong & & \cong \downarrow (\partial_0')_g, \partial_0 g \\ S^+(\partial_0 f) & \xrightarrow{\mathcal{E}^+(t_{\partial_0 f, \partial_0 g})} & S^+(\partial_0 g) \end{array}$$

commute, where  $\partial_0'$  is defined by the pullback

$$\begin{array}{ccc} \partial_0^* S & \xrightarrow{\partial_0'} & S \\ \downarrow & & \downarrow \\ C_1 & \xrightarrow{\partial_0} & C_0. \end{array}$$

A similar diagram exists with respect to  $\partial_1^* S \rightarrow C_1$  at  $f$  and  $g$ . Define  $\mathcal{E}^+(f)$  by  $(\partial_1')_f, \partial_1 f \circ \mathcal{E}_f \circ (\partial_0')_f^{-1}, \partial_0 f$ , and define  $\mathcal{E}^+(g)$  by

$$(\partial_1')_g, \partial_1 g \circ \mathcal{E}_g \circ (\partial_0')_g^{-1}, \partial_0 g.$$

By the continuity of  $\mathcal{E}$ , if  $f \in U$  and

$$\begin{array}{ccc} U & \xrightarrow{s} & \partial_0^* S \\ \uparrow \cong & & \downarrow \\ U & \xrightarrow{\text{open}} & C_1 \end{array}$$

is a local section, we get a local section

$$\begin{array}{ccc} U & \xrightarrow{\mathcal{E} \circ s} & \partial_1^* S \\ \uparrow & & \downarrow \\ U & \xrightarrow{\text{open}} & C_1 \end{array}.$$

Since  $g \in U$  this implies the commutivity of

$$\begin{array}{ccc} (\partial_1^* S)_f & \xrightarrow{\partial_1^* \mathcal{E}^+(t_{\partial_1 f, \partial_1 g})} & (\partial_1^* S)_g \\ \mathcal{E}_f \uparrow & & \uparrow \mathcal{E}_g \\ (\partial_0^* S)_f & \xrightarrow{\partial_0^* \mathcal{E}^+(t_{\partial_0 f, \partial_0 g})} & (\partial_0^* S)_g \end{array}.$$

Therefore, we have

$$\begin{aligned} \mathcal{E}^+(g) \circ \mathcal{E}^+(t_{\partial_0 f, \partial_0 g}) &= (\partial_1')_g, \partial_1 g \circ \mathcal{E}_g \circ (\partial_0')_g^{-1}, \partial_0 g \circ \mathcal{E}^+(t_{\partial_0 f, \partial_0 g}) \\ &= (\partial_1')_g, \partial_1 g \circ \mathcal{E}_g \circ \partial_0^* \mathcal{E}^+(t_{\partial_0 f, \partial_0 g}) \circ (\partial_0')_f^{-1}, \partial_0 f \\ &= (\partial_1')_g, \partial_1 g \circ \partial_1^* \mathcal{E}^+(t_{\partial_1 f, \partial_1 g}) \circ \mathcal{E}_f \circ (\partial_0')_f^{-1}, \partial_0 f = \\ &= \mathcal{E}^+(t_{\partial_1 f, \partial_1 g}) \circ \mathcal{E}^+(f). \end{aligned}$$

If  $f$  and  $g$  are composable (but not necessarily  $f$  in the closure of  $g$ ) with  $\partial_0 g = \partial_1 f$ , we have

$$(\partial_1')_g^{-1}, \partial_0 g = (\partial_1')_{gf}, \partial_1 gf, \quad (\partial_0')_g, \partial_0 g = (\partial_1')_f, \partial_1 f,$$

$$(\partial_0')_f, \partial_0 f = (\partial_0')_{gf}, \partial_0 gf,$$

and

$$\begin{aligned} \mathcal{E}^+(g) \circ \mathcal{E}^+(f) &= (\partial'_1)_g, \partial_1 g \circ \mathcal{E}_g \circ (\partial'_0)_g^{-1}, \partial_0 g \circ (\partial'_1)_f, \partial_1 f \circ \mathcal{E}_f \circ (\partial'_0)_f, \partial_0 f \\ &= (\partial'_1)_{gf}, \partial_1 gf \circ \mathcal{E}_{gf} \circ (\partial'_0)_{gf}, \partial_0 gf = \mathcal{E}^+(gf). \end{aligned}$$

Therefore,  $(S^+, \mathcal{E}^+) \in \text{Set}^{C^+}$ . This gives us the functor  $\text{SET}^C \rightarrow \text{Set}^{C^+}$ .

In the other direction, let  $(S^+, \mathcal{E}^+)$  be an object of  $\text{Set}^{C^+}$ . Take  $S \rightarrow C_0$  to be defined on the Set level as

$$\text{proj}: \left( \coprod_{C \in C_0} S^+(C) \right) \rightarrow C_0.$$

For  $C \in C_0$  let  $U_C$  denote the smallest neighborhood of  $C$ . Similarly, if  $f \in C_1$ , let  $U_f$  denote the smallest neighborhood of  $f$ . For  $x \in U_C$ , we have  $t_{C,x}: C \rightarrow x$  in  $C^+$ . For  $a \in S^+(C)$ , define a local section

$$\begin{array}{ccc} U_C & \xrightarrow{s_{C,a}} & S \\ \uparrow & & \downarrow \\ U_C & \xrightarrow{\quad} & C_0 \end{array}$$

at  $x \in U_C$  by  $s_{C,a}(x) = \mathcal{E}^+(t_{C,x})(a)$ . Suppose  $s_{C,a}(x) = s_{C',a'}(x)$ . Then  $x \in U_C$  and  $x \in U_{C'}$ , and for each  $y \in U_x$ , we have the  $C^+$  commutative diagram

$$\begin{array}{ccccc} C & \xrightarrow{t_{C,x}} & x & \xleftarrow{t_{C',x}} & C' \\ t_{C,y} \downarrow & & \downarrow t_{x,y} & & \downarrow t_{C',y} \\ y & \xrightarrow{=} & y & \xleftarrow{=} & y \end{array}$$

Therefore, for any  $y \in U_x$ , we have

$$\begin{aligned} s_{C,a}(y) &= \mathcal{E}^+(t_{C,y})(a) = \mathcal{E}^+(t_{x,y} \circ t_{C,x})(a) = \\ &= \mathcal{E}^+(t_{x,y})(\mathcal{E}^+(t_{C,x})(a)) = \mathcal{E}^+(t_{x,y})(s_{C,a}(x)) = \\ &= \mathcal{E}^+(t_{x,y})(s_{C',a'}(x)) = \mathcal{E}^+(t_{x,y})(\mathcal{E}^+(t_{C',x})(a')) = \\ &= \mathcal{E}^+(t_{x,y} \circ t_{C',x})(a') = \mathcal{E}^+(t_{C',y})(a') = s_{C',a'}(y). \end{aligned}$$

Therefore, collectively, the local sections  $s_{C,a}$  make  $S \rightarrow C_0$  into a local homeomorphism. Now for each  $f \in C_1$ , identify the fiber  $(\partial^* S)_f$  with  $S_{\partial_0 f}$  and the fiber  $(\partial'_1 S)_f$  with  $S_{\partial_1 f}$ ; this saves us from the involvement of the isomorphisms  $(\partial'_0)_f, \partial_0 f$  and  $(\partial'_1)_f, \partial_1 f$ . Define a function

$\mathcal{E} : \partial_0^* S \rightarrow \partial_1^* S$  by  $\mathcal{E}_f = \mathcal{E}^+(f)$  for each  $f \in C_I$ .

We will show  $\mathcal{E}$  is continuous. Let  $f \in C_I$ .

$$U_f \subset (\partial_0^* U_{\partial_0 f}) \cap (\partial_1^* U_{\partial_1 f}).$$

A section

$$\begin{array}{ccc} U_f & \xrightarrow{s_{f,a}} & \partial_0^* S \\ \uparrow = & & \downarrow \\ U_f & \xrightarrow{\quad} & C_I \end{array}$$

comes from a section

$$\begin{array}{ccc} U_{\partial_0 f} & \xrightarrow{s_{\partial_0 f,a}} & S \\ \uparrow = & & \downarrow \\ U_{\partial_0 f} & \xrightarrow{\quad} & X \end{array}$$

by  $s_{f,a} = \partial_0^* s_{\partial_0 f,a} |_{U_f}$ . For any  $g \in U_f$ , we have

$$\begin{aligned} (\mathcal{E} \circ s_{f,a})(g) &= (\mathcal{E} \circ \partial_0^* s_{\partial_0 f,a})(g) = \mathcal{E}^+(g) \circ \mathcal{E}^+(t_{\partial_0 f, \partial_0 g})(a) = \\ &= \mathcal{E}^+(g \circ t_{\partial_0 f, \partial_0 g})(a) = \mathcal{E}^+(t_{\partial_1 f, \partial_1 g} \circ f)(a) = \mathcal{E}^+(t_{\partial_1 f, \partial_1 g}) \circ \mathcal{E}^+(f)(a) \\ &= s_{\partial_1 f, \mathcal{E}^+(f)(a)}(\partial_1 g) = (\partial_1^* s_{\partial_1 f, \mathcal{E}^+(f)(a)})(g). \end{aligned}$$

Therefore, we have a commutative diagram

$$\begin{array}{ccccc} U_f & \xrightarrow{c} & \partial_0^* U_{\partial_0 f} & \xrightarrow{\partial_0^* s_{f,a}} & \partial_0^* S \\ c \downarrow & & & & \downarrow \mathcal{E} \\ \partial_1^* U_{\partial_1 f} & \xrightarrow{\partial_1^* s_{\partial_1 f, \mathcal{E}^+(f)(a)}} & & & \partial_1^* f \end{array}$$

Therefore,  $\mathcal{E}$  is continuous. So now we have the functor  $Set^{C^+} \rightarrow SET^C$ , inverse to  $SET^C \rightarrow Set^{C^+}$ . Therefore,  $SET^C$  is equivalent to  $Set^{\hat{C}^+}$ .  $\square$

EXAMPLES. (1) Let  $C$  be the topological category

$$\begin{array}{ccc} & \overset{id_1}{\curvearrowright} & \\ 1! & \xrightarrow{\quad f \quad} & !0 \\ & \underset{id_0}{\curvearrowright} & \end{array}$$

with topologies defined by taking the opens of  $\{1, 0\}$  to be

$$\{\emptyset, \{1\}, \{1, 0\}\}$$

(so  $C_0$  is homeomorphic to the Sierpinski space) and by taking the opens of  $\{id_1, f, id_0\}$  to be

$$\{\emptyset, \{id_1\}, \{f\}, \{id_1, id_0\}, \{id_1, f\}, \{id_1, f, id_0\}\}.$$

The functions  $\partial_0$  and  $\partial_1$  are continuous because

$$\partial_0^{-1}\{1\} = \{id_1, f\} \text{ and } \partial_1^{-1}\{1\} = \{id_1\}.$$

The function  $id: C_0 \rightarrow C_1$  is continuous because it is a subspace inclusion, and  $o: C_2 \rightarrow C_1$  is continuous because  $C_2$  is a subspace of  $C_1 \times C_1$ ,

$$o^{-1}\{id_1\} = \{(id_1, id_1)\},$$

$$o^{-1}\{f\} = \{(id_1, f), (f, id_0)\} = \{f\} \times C_1 \cup C_1 \times \{f\} \cap C_2,$$

and

$$o^{-1}\{id_1, id_0\} = \{(id_1, id_1), (id_0, id_0)\} = \{id_1, id_0\} \times \{id_1, id_0\} \cap C_2.$$

The topos  $SET^C$  is equivalent to the category of presheaves on the category freely generated by the graph

$$1 \begin{array}{c} \xrightarrow{f} \\ \xleftarrow{t_{0,1}} \end{array} 0$$

The absence of relations between  $f$  and the topologically induced arrow  $t_{0,1}$  arises because  $\{f\}$  is both open and closed. The Beck condition

$$\begin{array}{ccc} SET^{C_1} & \xrightarrow{\partial_0^*} & SET^{C_0} \\ \pi_1^* \downarrow & & \downarrow \partial_1^* \\ SET^{C_2} & \xrightarrow{\pi_0^*} & SET^{C_1} \end{array}$$

does not hold for this topological category, for if  $S \in SET^{C_1}$  then

$$(\partial_1^* \partial_0^* S) \approx (\partial_0^* S)_0 \approx S_f \times S_0 \text{ while } (\pi_0^* \pi_1^* S) \approx (\pi_1^* S)_{(f, id_0)} \approx S_0.$$

(2) Take  $C$  as in Example (1), but with topology on arrows given as

$$\{\emptyset, \{id_1\}, \{id_1, f\}, \{id_1, f, id_0\}\}.$$

$\partial_0, \partial_1$  and  $id$  are continuous for the same reasons as before. Composition



is continuous because  $0^{-1}\{id_1\} = \{(id_1, id_1)\}$  and

$$0^{-1}\{id_1, f\} = \{(id_1, id_1), (id_1, f), (f, id_0)\} = \{id_1, f\} \times C_1 \cap C_2.$$

In  $C^+$  we must have the commutative diagram

$$\begin{array}{ccccc} 1 & \xleftarrow{t_{0,1}} & 0 & \xleftarrow{t_{0,0}} & 0 \\ id_1 \uparrow & & \uparrow f & & \uparrow id_0 \\ 1 & \xleftarrow{t_{1,1}} & 1 & \xleftarrow{t_{0,1}} & 0 \end{array}$$

because  $id_0$  is in the closure of  $f$  and  $f$  is in the closure of  $id_1$ . It follows that  $f \in C_1^+$  is forced to be a left and right inverse to the topologically induced arrow  $t_{0,1}$ . Therefore,  $SET^C$  is equivalent to  $Set$ . For use later we note

$$C^{op} \approx \leq_2 \quad \text{and} \quad SET^{C^{op}} \approx SET^2.$$

The Beck condition  $\partial_1^* \partial_{0*} \xrightarrow{\cong} \pi_{0*} \pi_1^*$  holds for this topological category, because global sections of  $S \in SET^{C_1}$  correspond by restriction to the elements of the fiber of  $S$  at  $id_0$ .

(3)  $C$  is as in Example (1), except the topology on  $C_1$  is given by

$$\{\emptyset, \{id_1\}, \{f\}, \{id_1, f\}, \{id_1, f, id_0\}\}.$$

All the maps  $\partial_0, \partial_1, id$ , and  $o$  are continuous for the reasons given in Example (1). In  $C^+$  we must have the commutative diagram

$$\begin{array}{ccccc} 1 & \xleftarrow{t_{0,1}} & 0 & \xrightarrow{t_{0,0}} & 0 \\ id_1 \uparrow & & \uparrow id_0 & & \uparrow f \\ 1 & \xleftarrow{t_{0,1}} & 0 & \xrightarrow{t_{0,1}} & 1 \end{array}$$

because  $id_0$  is in the closures of  $id_1$  and  $f$ . Therefore, we see that if we first apply the topologically induced arrow  $t_{0,1}$ , then  $f$ , we must have  $id_0$ . This means  $f \in C_1^+$  is a split epimorphism. However, there are no further conditions.

As in Example (2), the Beck condition  $\partial_1^* \partial_{0*} \xrightarrow{\cong} \pi_{0*} \pi_1^*$  holds for this topological category.

(4)  $C$  is as in Example (1), except the topology on  $C_1$  is given by

$$\{\emptyset, \{id_1\}, \{id_1, f\}, \{id_1, id_0\}, \{id_1, f, id_0\}\}.$$

All the maps  $\partial_0, \partial_1, id,$  and  $o$  are continuous for the reasons given in Example (2). In  $C^+$  we have the commutative diagram

$$\begin{array}{ccccc} 0 & \xrightarrow{t_{0,1}} & 1 & \xleftarrow{t_{0,1}} & 0 \\ f \uparrow & & \uparrow id_1 & & \uparrow id_0 \\ 1 & \xrightarrow{t_{1,1}} & 1 & \xleftarrow{t_{0,1}} & 0 \end{array}$$

Therefore,  $f$  is a retract in  $C^+$  with  $t_{0,1}$  providing the retraction.

As in Example (1), the Beck condition  $\partial_1^* \partial_0^* \xrightarrow{\cong} \pi_0^* \pi_1^*$  fails for this topological category.

PROPOSITION 2.1. *When  $\{1, 0\}$  is given the topology  $\{\emptyset, \{1\}, \{1, 0\}\}$ , there are only four topologies on  $\{id_1, f, id_0\}$  making*

$$\begin{array}{ccc} & id_1 & id_0 \\ & \curvearrowright & \curvearrowright \\ 1 & \xrightarrow{f} & 0 \end{array}$$

into a topological category.

PROOF.

$$\partial_0^{-1}\{1\} = \{id_1, f\}, \quad \partial_1^{-1}\{1\} = \{id_1\}, \quad \partial_0^{-1}\{0\} = \{id_0\},$$

$$\text{and } \partial_1^{-1}\{0\} = \{f, id_0\},$$

so  $\{id_1, f\}$  and  $\{id_1\}$  are open while  $\{id_0\}$  and  $\{f, id_0\}$  are closed in  $C_1$ .  $\{id_0\}$  and  $\{f, id_0\}$  cannot be open because  $id$  is a continuous function and  $\{0\}$  is not open in  $C_0$ . Therefore, the only possible neighborhood of  $id_0$  other than  $\{id_1, f, id_0\}$  is  $\{id_1, id_0\}$  as in Example (1) and Example (4), and the only possible neighborhood of  $f$  other than  $\{id_1, f\}$  and  $\{id_1, f, id_0\}$  is  $\{f\}$  as in Examples (1) and (3).  $\square$

### 3. ASSOCIATED SHEAF FUNCTOR.

DEFINITION. A topological category  $C$  is *filtered* if

- (1) it is nonempty,
- (2) it is pseudofiltered; that is, for any two objects  $c, c'$  of  $C$ ,

there is an object  $c''$  of  $C$  and morphisms  $c \rightarrow c''$  and  $c' \rightarrow c''$ , and if

$$c \begin{array}{c} \xrightarrow{f} \\ \xrightarrow{g} \end{array} c'$$

is a parallel pair of morphisms in  $C$ , there is a morphism  $h: c' \rightarrow c''$  of  $C$  such that  $h \circ f = h \circ g$ .

This definition may be abstracted to define a filtered category object in a category with finite limits [5], in which case the condition of nonemptiness becomes the requirement that  $C_0 \rightarrow 1$  be the coequalizer of  $\partial_0$  and  $\partial_1$ . If  $C$  is a category object of  $TOP^X$ , the comma category of spaces over  $X \in Top$ , for  $C$  to be filtered it is not sufficient for each fiber  $x^*C$ ,  $x \in X$ , to be filtered because the comparison function

$$coeq(\partial_0, \partial_1) \rightarrow X$$

may not be an isomorphism even though it will be both a monomorphism and an epimorphism; as an example, take  $C$  but not  $X$  to have discrete topology.

PROPOSITION 3.1. *If  $C$  is a category object of  $SET^X$ , it is filtered iff each fiber  $x^*C \in Set$ ,  $x \in X$ , is filtered.*

PROOF. Filteredness is preserved by substitution, so if  $C \in SET^X$  is filtered so is  $x^*C$  for each  $x \in X$ . Alternatively, suppose each fiber of  $C$  is filtered. The only question is if  $coeq(\partial_0, \partial_1) \rightarrow X$  is an isomorphism. But each fiber of this comparison is an isomorphism by hypothesis, so the result follows from Proposition 1.1 (7).  $\square$

Let  $X \in Top$  and let  $\theta_X$  be the partially ordered set of open subsets of  $X$ . Let  $ev: \theta_X^{op} \times X \rightarrow 2$  be the evaluation morphism, and define  $(\rho_X, \pi_X): \epsilon_X^{op} \rightarrow \theta_X^{op} \times X$  by the pullback

$$\begin{array}{ccc} \theta_X^{op} \times X & \xrightarrow{ev} & 2 \\ (\rho_X, \pi_X) \uparrow & & \uparrow open \\ \epsilon_X^{op} & \longrightarrow & 1 \end{array}$$

PROPOSITION 3.2. *For a topological space  $X$ , the projection  $\rho_X: \epsilon_X^{op} \rightarrow X$  presents  $\epsilon_X^{op}$  as a filtered category object of  $SET^X$ .*

PROOF. The object part of  $\epsilon_X^{op}$  is homeomorphic to the *Top* coproduct  $\coprod_{U \in \theta_X} U$ , and  $\pi_X$  is the obvious projection, so  $\pi_X$  makes  $\epsilon_X^{op}$  into a category object of  $SET^X$ . For  $x \in X$ ,  $x^* \epsilon_X^{op}$  is equivalent to the partially ordered set of open neighborhoods of  $x$ , so  $x^* \epsilon_U^{op}$  is filtered. The result follows from Proposition 3.1.  $\square$

Let  $X \in Top$  and  $U \in \theta_X$ . The inclusion  $\epsilon_X^{op} \rightarrow \epsilon_X^{op}$  defines a subobject of  $1$  in  $SET^{\epsilon_X^{op}}$ , and if  $b_U \rightarrow \theta_X^{op}$  is the representable corresponding to  $U$ , we have  $b_U \approx \theta_U^{op}$  and a diagram of pullbacks

$$\begin{array}{ccccc}
 \theta_X^{op} & \xleftarrow{\rho_X} & \epsilon_X^{op} & \xrightarrow{\pi_X} & X \\
 \uparrow & & \uparrow & & \uparrow \\
 b_U & \xleftarrow{\rho_U} & \epsilon_U^{op} & \xrightarrow{\pi_U} & U
 \end{array}$$

Also, if  $f: Y \rightarrow X$  is a continuous function, we have a commutative diagram

$$\begin{array}{ccccc}
 \theta_Y^{op} & \xleftarrow{f^{-1}} & \theta_X^{op} & & \\
 \uparrow \rho_Y & \text{P.b.} & \uparrow \rho_f & \searrow \rho_X & \\
 \epsilon_Y^{op} & \xleftarrow{\delta f} & f^* \epsilon_X^{op} & \xrightarrow{f'} & \epsilon_X^{op} \\
 \searrow \pi_Y & & \downarrow \pi_f & \text{P.b.} & \downarrow \pi_X \\
 & & Y & \xrightarrow{f} & X
 \end{array}$$

providing us with some notation.

Let  $X \in Top$ , and  $F: D \rightarrow C$  be an internal functor between two category objects in  $SET^X$ . Recall [5] that  $F^*: SET^C \rightarrow SET^D$  has a left adjoint  $\lim_{\vec{F}}$ , the left Kan extension along  $F$ . If  $f: Y \rightarrow X$  is a continuous function then  $f^*$  preserves  $\lim_{\vec{F}}$ ; that is,

$$f^* \circ \lim_{\vec{F}} \xrightarrow{\cong} \lim_{f^* \vec{F}} .$$

Also, if  $C = X$  and  $(S, \mathcal{E}) \in SET^D$ , then

$$\lim_{\vec{F}}(S, \mathcal{E}) \rightarrow X$$

comes from

$$\begin{array}{ccccc}
 \partial_0^* S & \xrightarrow{\text{proj}} & S & \xrightarrow{\text{coeq}} & \lim_{\vec{F}}(S, \mathcal{E}) \\
 \mathcal{E} \rightarrow \partial_1^* S & \xrightarrow{\text{proj}} & \downarrow & & \downarrow \\
 & & D_0 & \xrightarrow{F_0} & C_0 \xrightarrow{=} X
 \end{array}$$

Still if  $C = X$  and if  $F$  presents  $D$  as a filtered category object of  $SET^X$  then  $\lim_{\vec{F}}$  is left exact and  $\lim_{\vec{F}} F^* \cong 1_{SET^X}$ .

PROPOSITION 3.3. For  $X \in Top$ ,  $\lim_{\vec{\pi}_X} : SET^{\epsilon_X^{op}} \rightarrow SET^X$  is the inverse part of a geometric morphism.

PROOF.  $\pi_X$  presents  $\epsilon_X^{op}$  as a filtered category object of  $SET^X$ , so  $\lim_{\vec{\pi}_X}$  is left exact. Since  $\pi_X^* : SET^X \rightarrow SET^{\epsilon_X^{op}}$  is the right adjoint to  $\lim_{\vec{\pi}_X}$ , it follows  $\lim_{\vec{\pi}_X}$  is the inverse part of a geometric morphism.  $\square$

Let  $X$  be a topological space and  $S : \theta_X^{op} \rightarrow Set$  a presheaf. This is the same thing as an object  $S \in SET^{\theta_X^{op}}$ ;  $S \rightarrow \theta_X^{op}$  is gotten by taking the coproduct of the values of the presheaf and projecting to  $\theta_X^{op}$ . Recall [9], page 17, there is an associated sheaf  $a_X S \rightarrow X$ .

THEOREM 3.1. If  $S : \theta_X^{op} \rightarrow S$  is a presheaf then  $a_X S \rightarrow X$  is equivalent to  $\lim_{\vec{\pi}_X} \rho_X^* S \rightarrow X$ .

PROOF. Let  $S' \rightarrow X$  be a local homeomorphism and  $U \in \theta_X$ . We have a diagram of pullbacks

$$\begin{array}{ccccc}
 U & \xleftarrow{\pi_U} & \epsilon_U^{op} & \xrightarrow{\quad} & b_U \\
 \downarrow \gamma & & \downarrow \gamma & & \downarrow \gamma \\
 X & \xleftarrow{\pi_X} & \epsilon_X^{op} & \xrightarrow{\rho_X} & \theta_X^{op}
 \end{array}$$

and

$$U \xrightarrow{\cong} \lim_{\vec{\pi}_X} (\epsilon_U^{op} \twoheadrightarrow \epsilon_X^{op}) \text{ in } SET^X.$$

Therefore, we have natural bijections

$$\begin{array}{c}
 \hline
 b_U \rightarrow \rho_{X*} \pi_X^* S' \quad \text{in } SET^{\theta_X^{op}} \\
 \hline
 \rho_X^* b_U \rightarrow \pi_X^* S' \quad \text{in } SET^{\epsilon_U^{op}} \\
 \hline
 \epsilon_U^{op} \rightarrow \pi_X^* S' \quad \text{in } SET^{\epsilon_U^{op}} \\
 \hline
 \lim_{\rightarrow} (\epsilon_U^{op}) \rightarrow S' \quad \text{in } SET^X \\
 \pi_X \\
 \hline
 U \rightarrow S' \quad \text{in } SET^X.
 \end{array}$$

Therefore,  $\rho_{X*} \pi_X^* : SET^X \rightarrow SET^{\theta_X^{op}}$  is the local section functor. But the associated sheaf functor  $a_X$  and the functor  $\lim_{\rightarrow} \rho_X^*$  are both left adjoints to  $\rho_{X*} \pi_X^*$ . Therefore,  $\lim_{\rightarrow} \rho_X^*$  is equivalent to the associated sheaf functor.  $\square$

This theorem computes, within the category theory of  $Top$ , the associated sheaf functor. This seems more natural than first constructing the underlying set of an associated sheaf and then forcing on the topology which makes things work.

It follows from the above theorem that, if  $S \rightarrow X$  is a sheaf on  $X \in Top$  then  $S \cong \lim_{\rightarrow} \rho_X^* \rho_{X*} \pi_X^* S$ . Also, if  $S \rightarrow \theta_X^{op}$  is a presheaf of sets, it is a complete presheaf iff  $S \cong \rho_{X*} \pi_X^* \lim_{\rightarrow} \rho_X^* S$ . For these reasons and as usual today, we may write a sheaf  $S \rightarrow X$  as a presheaf  $S \rightarrow \theta_X^{op}$  (or  $S : \theta_X^{op} \rightarrow Set$ ) whenever it suits our needs; if there is a possibility of confusion, we will use  $\Gamma_X$  for  $\rho_{X*} \pi_X^*$  and  $a_X$  for  $\lim_{\rightarrow} \rho_X^*$ .

**COROLLARY.** *If  $S \rightarrow X$  is a sheaf of sets on  $X \in Top$  and  $f : Y \rightarrow X$  is a continuous function then  $f^* S \cong \lim_{\rightarrow} \rho_f^* S$ .*

**PROOF.**  $\pi_f = f^* \pi_X$ .  $f^* : SET^X \rightarrow SET^Y$  preserves internal colimits because it is a left adjoint. Therefore,

$$f_X^* S \approx f^* a_X S \approx f^* \lim_{\substack{\rightarrow \\ \pi_X}} \rho_X^* S \approx \lim_{\substack{\rightarrow \\ \pi_f}} f'^* \rho_X^* S \approx \lim_{\substack{\rightarrow \\ \pi_f}} \rho_f^* S. \quad \square$$

In a like manner, because

$$\begin{array}{ccc} f^* \epsilon_X^{op} & \xrightarrow{\rho_f} & \theta_X^{op} \\ \delta_f \downarrow & & \downarrow f^{-1} \\ \epsilon_Y^{op} & \xrightarrow{\rho_Y} & \theta_Y^{op} \end{array}$$

is a pullback with  $f^{-1}$  a local homeomorphism on each component, we have

$\lim_{\substack{\rightarrow \\ \delta_f}} \rho_f^* S \approx \rho_Y^* \lim_{\substack{\rightarrow \\ f^{-1}}} S$ . In summary, the following diagram commutes:

$$\begin{array}{ccccc} & SET^{\theta_Y^{op}} & \xleftarrow{\lim_{\substack{\rightarrow \\ f^{-1}}} \theta_X^{op}} & SET^{\theta_X^{op}} & \\ & \rho_Y^* \downarrow & \swarrow a_Y & \rho_X^* \downarrow & \searrow a_X \\ & SET^{\epsilon_Y^{op}} & \xleftarrow{\lim_{\substack{\rightarrow \\ \delta_f}} \epsilon_X^{op}} & SET^{f'^* \epsilon_X^{op}} & \xrightarrow{f'^*} & SET^{\epsilon_X^{op}} \\ & \lim_{\substack{\rightarrow \\ \pi_Y}} & \searrow & \lim_{\substack{\rightarrow \\ \pi_f}} & \searrow & \lim_{\substack{\rightarrow \\ \pi_X}} \\ & SET^Y & \xleftarrow{f^*} & SET^X & \end{array}$$

DEFINITION. For  $T \in Top$ ,  $SET^T$  is  $Top$ -indexed by taking  $(SET^T)^X$  to be  $SET^{T \times X}$  and by taking substitution along  $f: Y \rightarrow X$  to be  $(T \times f)^*$ .

This definition gives the indexing of  $SET^T$  as suggested by the general theory of indexed categories. For  $X \in Top$  let

$$T \times a_X = \lim_{\substack{\rightarrow \\ T \times \pi_X}} (T \times \rho_X)^* \text{ and } T \times \Gamma_X = (T \times \rho_X)_* (T \times \pi_X)^*.$$

With the same kind of reasoning as before we conclude the well-known

PROPOSITION 3.4. *If  $T$  and  $X$  are topological spaces, the functor  $T \times a_X: SET^{T \times \theta_X^{op}} \rightarrow SET^{T \times X}$  is the associated sheaf functor to the inclusion  $T \times \Gamma_X: SET^{T \times X} \rightarrow SET^{T \times \theta_X^{op}}$  of Grothendieck toposes.  $\square$*

This allows us to view an object  $S \in SET^{T \times X}$  as an object of  $SET^{T \times \theta_X^{op}}$ , something we do at our convenience. Thus if  $f: Y \rightarrow X$  is a continuous function, we may take the inverse image of  $S$  along  $T \times f$  to

be  $(T \times a_Y) \lim_{T \times f^{-1}} S$ .

If  $C$  is a topological category,  $SET^C$  is indexed by  $(SET^C)^X = SET^{C \times X}$ . We have  $(SET^C)^X \approx (SET^X)^C$ . If  $b: C \rightarrow D$  is a topological functor then the pullback functor  $b^*: SET^D \rightarrow SET^C$  is *Top*-indexed by taking  $(b^*)^X: (SET^D)^X \rightarrow (SET^C)^X$  to be

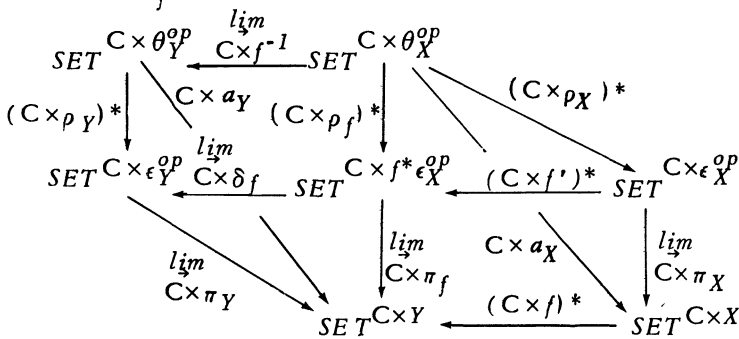
$$(b \times X)^*: SET^{D \times X} \rightarrow SET^{C \times X};$$

this applies to the functors  $\rho_X^*$ ,  $f^*$ ,  $\rho_f^*$ , and  $\rho_Y^*$  above. Additionally, if  $b: C \rightarrow D$  is a local homeomorphism on  $C_0$ ,  $C_1$  and  $C_2$  then the functor  $\lim_h: SET^C \rightarrow SET^D$  is *Top*-indexed by taking

$$(\lim_h)^X: (SET^C)^X \rightarrow (SET^D)^X \text{ to be } \lim_{h \times X}: SET^{C \times X} \rightarrow SET^{D \times X};$$

this applies to the functors  $\lim_{\pi_X}$ ,  $\lim_{\pi_f}$ ,  $\lim_{\delta_f}$ , and  $\lim_{f^!}$  above. Therefore,

letting  $C \times a_X = \lim_{C \times \pi_f} \cdot (C \times \rho_X)^*$ , we get the commutative diagram



for each topological category  $C$  and continuous function  $f: Y \rightarrow X$ .

**4. MAIN RESULT.**

Recall from above the definition of the topological preorder  $\leq_2^+$ . It is equivalent to  $0 \cdot \rightarrow \cdot 1$ ; that is, the forgetful functor  $SET^{\leq_2^+} \rightarrow SET^2$  is an equivalence of categories (see Example (2), Section 2).

PROPOSITION 4.1. For each  $X \in Top$ , the forgetful functor  $SET^{\leq_X} \rightarrow SET^X$  is a *Top*-indexed equivalence.



PROOF. If  $T \in Top$  and  $(S, \mathcal{E}) \in SET^{T \times \leq_2}$ , substitution along

$$t \times \leq_2 : \leq_2 \rightarrow T \times \leq_2$$

for each  $t: 1 \rightarrow T$  shows  $\mathcal{E}$  is completely determined by  $S$ ,  $(T \times \partial_0)^* S$ , and  $(T \times \partial_1)^* S$ . On the other hand, let  $S \rightarrow T \times 2$  be a local homeomorphism. Substitution along  $t \times 2: 2 \rightarrow T \times 2$  for each  $t: 1 \rightarrow T$  gives us the fibers of a function  $\mathcal{E}: (T \times \partial_0)^* S \rightarrow (T \times \partial_1)^* S$  which is easily shown to be continuous by a routine examination of local sections, and such that  $(S, \mathcal{E})$  is an object of  $SET^{T \times \leq_2}$ . Therefore, the forgetful functor  $SET^{T \times \leq_2} \rightarrow SET^{T \times 2}$  is an equivalence of categories. Even more, as  $T$  ranges over the objects of  $Top$  these forgetful functors define a  $Top$ -indexed equivalence of  $SET^{\leq_2}$  and  $SET^2$ . For any topological space  $X$  and elements  $x_0 \leq x_1$  of  $\leq_X$ , we have a topological functor  $\leq_{x_0, x_1}: \leq_2 \rightarrow \leq_X$ , coming from the continuous function

$$2 \rightarrow X \quad (0 \mapsto x_0, 1 \mapsto x_1).$$

Therefore, for each  $T \in Top$ , we have commutative diagrams

$$\begin{array}{ccc} SET^{T \times \leq_X} & \xrightarrow{\text{forget}} & SET^{T \times X} \\ \downarrow (T \times \leq_{x_0, x_1})^* & & \downarrow (T \times (\leq_{x_0, x_1})_0)^* \\ SET^{T \times \leq_2} & \xrightarrow[\cong]{\text{forget}} & SET^{T \times 2} \end{array}$$

providing us with a prescription for reconstructing (up to isomorphism) an internal functor  $(S, \mathcal{E}) \in SET^{T \times \leq_X}$  given the object part  $S \in SET^{T \times X}$ . These same diagrams give us a function  $\mathcal{E}: (T \times \partial_0)^* S \rightarrow (T \times \partial_1)^* S$  in  $SET^{(T \times \leq_X)_1}$  given  $S \in SET^{T \times X}$ , and we will show  $\mathcal{E}$  is continuous. Let  $t \in T$  and  $f$  be a morphism of  $\leq_X$ .  $\partial_0 f$  is in the closure of  $\partial_1 f$ , so any neighborhood of  $\partial_0 f$  contains  $\partial_1 f$ . Let

$$\begin{array}{ccc} U & \xrightarrow{s_1} & (T \times \partial_0)^* S \\ \uparrow & & \downarrow \\ U & \xrightarrow{\quad} & (T \times \leq_X)_1 \end{array}$$

be a small enough local section with  $(t, f) \in U$  and so that there is an open rectangle  $V \times W$  in  $T \times X$  and a local section

$$\begin{array}{ccc} V \times W & \xrightarrow{s_0} & S \\ \uparrow \cong & & \downarrow \\ V \times W & \xrightarrow{\quad} & T \times X \end{array}$$

such that  $(T \times \partial_0)^* s_0|_U = s_1$ . We will show  $\mathcal{E} \circ s_1$  is continuous. Let  $(t', f') \in U$ .  $\partial_0 f'$  is in the closure of  $\partial_1 f'$ , so  $\partial_0 f' \in W$  implies  $\partial_1 f' \in W$ .  $t' \in V$ . Therefore, pulling back along  $(t', f') : \leq_2 \rightarrow T \times \leq_X$  shows that  $\mathcal{E}_{(t', f')}(s_1(t', f'))$  is  $s_0(t', \partial_1 f)$  when  $(t', f')^*(T \times \partial_1)^* S$  is identified with  $(t', \partial_1 f')^* S$ . Therefore  $\mathcal{E} \circ s_1 = (T \times \partial_1)^* s_0|_U$  (the restriction exists because  $\partial_0^{-1} W \subset \partial_1^{-1} W$ ). Therefore, the forgetful functor  $SET^{T \times \leq_X} \rightarrow SET^{T \times X}$  is an equivalence of categories. As  $T$  varies in  $Top$  this defines a  $Top$ -indexed equivalence  $SET^{\leq_X} \rightarrow SET^X$ .  $\square$

**THEOREM 4.1.** *If  $F : SET^C \rightarrow SET^D$  is a  $Top$ -indexed functor then  $F^I$  preserves filtered colimits.*

**PROOF.** Let  $P$  be a directed set. Define the topological space  $X$  by taking the underlying set of  $X$  to be  $P_0$  and an additional point  $\infty$ , and by taking a basis of the topology of  $X$  to be the subsets of the form

$$X_p = \{\infty\} \cup \{q \mid p \leq q\}, \quad p \in P_0;$$

the directness of  $P$  ensures this is a basis, and that the point  $\infty$  is not isolated. If  $T$  is a topological space and  $S \in SET^{T \times X}$ , then

$$[(T \times \rho_X)_* (T \times \pi_X)^* S](x_p) \approx (T \times x_p)^* S$$

for each  $p \in P_0$  by Proposition 3.4, because  $X_p$  is a minimal neighborhood of the point  $x_p \in X$  corresponding to  $p$ . Therefore,  $SET^{T \times X} \approx \rightarrow SET^{T \times P}$ . This equivalence decomposes as

$$SET^{T \times X} \xrightarrow[\cong]{\text{forget}^{-1}} SET^{T \times \leq_X} \xrightarrow{(T \times i_p)^*} SET^{T \times P}$$

where  $i_p$  is the topological functor  $P \rightarrow \leq_X$  mapping  $p$  to  $x_p$ . We have already mentioned  $i_p^* : SET^{\leq_X} \rightarrow SET^P$  is  $Top$ -indexed by  $(i_p^*)T = (T \times i_p)^*$ , while the forgetful functor  $SET^{\leq_X} \rightarrow SET^X$  is  $Top$ -indexed by

Proposition 4.1. Therefore,

$$(SET^C)^P = SET^{C \times P} \approx SET^{C \times X} = (SET^C)^X$$

for any topological category C ; also,  $(SET^C)^P$  is the category of functors  $P \rightarrow SET^C$  because P has discrete topology. Therefore, if  $F: SET^C \rightarrow SET^D$  is a Top-indexed functor we have a diagram

$$\begin{array}{ccc} (SET^C)^P = SET^{C \times P} \xrightarrow{(C \times i_p)^*} SET^{C \times X} \xrightarrow{forget} SET^{C \times X} = (SET^C)^X & & \\ \downarrow F^P & & \downarrow F^X \\ (SET^D)^P = SET^{D \times P} \xrightarrow{(D \times i_p)^*} SET^{D \times X} \xrightarrow{forget} SET^{D \times X} = (SET^D)^X & & \end{array}$$

which in combination with

$$\begin{array}{ccc} (SET^C)^X & \xrightarrow{\infty^*} & SET^C \\ F^X \downarrow & & \downarrow F^I \\ (SET^D)^X & \xrightarrow{\infty^*} & SET^D \end{array}$$

gives the commutative diagram

$$\begin{array}{ccccc} (SET^C)^P & \xrightarrow{\cong} & (SET^C)^X & \xrightarrow{\infty^*} & SET^C \\ \downarrow F^P & & & & \downarrow F^I \\ (SET^D)^P & \xrightarrow{\cong} & (SET^D)^X & \xrightarrow{\infty^*} & SET^D \end{array}$$

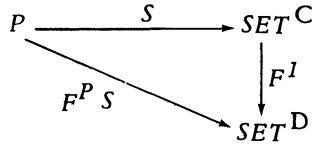
By the corollary to Theorem 3.1 the value of

$$(SET)^P \xrightarrow{\cong} (SET^C)^X \xrightarrow{\infty^*} SET^D$$

at  $S: P \rightarrow SET^C$  is  $\lim_{\substack{\rightarrow \\ p \in P}} S(p)$  (similarly, with C replaced by D). For any  $p \in P$  we have a commutative diagram

$$\begin{array}{ccc} (SET^C)^P & \xrightarrow{p^*} & SET^C \\ \downarrow F^P & & \downarrow F^I \\ (SET^D)^P & \xrightarrow{p^*} & SET^D \end{array} ;$$

that is, if  $S \in (SET^C)^P$ , we have



Combining this with the above gives us

$$\begin{aligned}
 F^I \lim_{p \in P} S(p) &= F^I \infty^* S = \infty^* F^P S = \lim_{p \in P} (F^I \circ S)(p) = \\
 &= \lim_{p \in P} F^I(S(p))
 \end{aligned}$$

for  $S \in (SET^C)^P$ . Therefore,  $F^I$  preserves directed colimits. But it is well known [2], I.1.6, a functor preserves filtered colimits iff it preserves directed colimits. Therefore,  $F^I$  preserves filtered colimits.  $\square$

COROLLARY. If  $T$  is a topological space,  $D$  is a topological category, and  $F: SET^T \rightarrow SET^D$  is a Top-indexed functor then for each  $X \in Top$ ,  $F^X \simeq (D \times a_X) F^{\theta_X^{op}}$  where the right hand side of this equivalence views an object of  $(SET^T)^X$  as an object of  $(SET^T)^{\theta_X^{op}}$ .

PROOF. We have  $D \times a_X = \lim_{D \times \pi_X} (D \times \rho_X)^*$ , so for  $S \in (SET^T)^X$  we have a comparison

$$\begin{aligned}
 (D \times a_X) F^{\theta_X^{op}} S &= \lim_{D \times \pi_X} (D \times \rho_X)^* F^{\theta_X^{op}} S \simeq \lim_{D \times \pi_X} F^{\epsilon_X^{op}} (T \times \rho_X)^* S \\
 &\qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \downarrow a \\
 F^X S &= F^X \lim_{T \times \pi_X} (T \times \rho_X)^* S
 \end{aligned}$$

Let  $x: I \rightarrow X$  be a point of  $X$ . We have a commutative triangle

$$\begin{array}{ccc}
 (D \times x)^* (D \times a_X) F^{\theta_X^{op}} S & & \\
 \downarrow \simeq & \searrow & \\
 \lim_{x \in U} (F^{\theta_X^{op}} S)(U) & & \\
 \downarrow \simeq & & \\
 \lim_{x \in U} \{U\}^* F^{\theta_X^{op}} S & & \\
 \downarrow \simeq & & \\
 \lim_{x \in U} F^I \{U\}^* S & & \\
 \downarrow \simeq & & \\
 \lim_{x \in U} F^I S(U) & \xrightarrow{\text{by 4.1}} & F^I \lim_{x \in U} S(U) \xrightarrow{\simeq} F^I (T \times x)^* S \xrightarrow{\simeq} (D \times x)^* F^X S
 \end{array}$$

Therefore, the fiber  $(D \times x)^* a$  of  $a$  over each  $x \in X$  is an isomorphism. Hence,  $a$  is an isomorphism.  $\square$

**THEOREM 4.2.** *If  $G: SET^T \rightarrow SET^D$  is a functor preserving filtered colimits then there is a unique (up to isomorphism) Top-indexed functor  $F: SET^T \rightarrow SET^D$  such that  $F^1 = G$ .*

**PROOF.** By the corollary to Theorem 4.1, uniqueness will follow from existence. For  $Z \in Top$ , define

$$F^{\theta_Z^{op}}: (SET^T)^{\theta_Z^{op}} \rightarrow (SET^D)^{\theta_Z^{op}} \text{ by}$$

$$(F^{\theta_Z^{op}} S) = (\theta_Z^{op} S \xrightarrow{S} SET^T \xrightarrow{G} SET^D) = G \circ S.$$

Let  $f: Y \rightarrow X$  be a continuous function. For each  $u \in \theta_Y$ ,

$$\{ v \in \theta_X \mid f^{-1}v \supset u \}$$

is directed. Therefore,

$$\begin{array}{ccc} (SET^T)^{\theta_X^{op}} & \xrightarrow{T \times f^{-1}} & (SET^T)^{\theta_Y^{op}} \\ F^{\theta_X^{op}} \downarrow & & \downarrow F^{\theta_Y^{op}} \\ (SET^D)^{\theta_X^{op}} & \xrightarrow{D \times f^{-1}} & (SET^D)^{\theta_Y^{op}} \end{array}$$

commutes. Also, for  $S \in (SET^T)^X$ , viewing  $S \in (SET^T)^{\theta_X^{op}}$ , and  $(T \times f)^* S \in (SET^T)^{\theta_Y^{op}}$  gives a comparison functor

$$\eta: \varinjlim_{T \times f^{-1}} S \rightarrow (T \times f)^* S$$

because  $(T \times f)^* S$  is the sheafification of  $\varinjlim_{T \times f^{-1}} S$ . This gives us a comparison functor

$$(D \times a_Y) F^{\theta_Y^{op}} \eta: (D \times a_Y) F^{\theta_Y^{op}} \varinjlim_{T \times f^{-1}} S \rightarrow (D \times a_Y) F^{\theta_Y^{op}} (T \times f)^* S.$$

For each  $y \in Y$  we have a commutative triangle

$$\begin{array}{ccc}
 (D \times y)^* (D \times a_Y) F^{\theta_Y^{op}} \lim_{T \times f^{-1}} S & & \\
 \cong \downarrow & & \\
 (D \times y)^* (D \times a_Y) \lim_{D \times f^{-1}} F^{\theta_X^{op}} S & & \\
 \cong \downarrow & & \\
 \lim_{y \in f^{-1}y} (F^{\theta_X^{op}} S)(v) & & (D \times y)^* (D \times a_Y) F^{\theta_Y^{op}} \eta \\
 \cong \downarrow & & \\
 \lim_{y \in f^{-1}v} G(S(v)) & & \\
 \cong \downarrow & & \\
 G \lim_{y \in f^{-1}v} S(v) & & \\
 \cong \downarrow & & \\
 G \lim_{y \in f^{-1}v} [(T \times f)^* S](f^{-1}v) \cong \lim_{y \in f^{-1}v} [F^{\theta_Y^{op}} (T \times f)^* S](f^{-1}v) & & \\
 & \xrightarrow{=} & (D \times y)^* (D \times a_Y) F^{\theta_Y^{op}} (T \times f)^* S
 \end{array}$$

Therefore,  $(D \times a_Y) F^{\theta_Y^{op}} \eta$  is an isomorphism.

Now for each  $Z \in Top$  define  $F^Z : (SET^T)^Z \rightarrow (SET^D)^Z$ , at  $S \in (SET^T)^Z$ , by  $F^Z S = (D \times a_Z) F^{\theta_Z^{op}} S$ , where the right hand side of the equality views  $S$  as an object of  $(SET^T)^{\theta_Z^{op}}$ . Therefore, for  $S \in (SET^T)^X$ , we have

$$\begin{aligned}
 f^* F^X S &\cong (D \times f)^* F^X S \cong (D \times f)^* (D \times a_X) F^{\theta_X^{op}} S \\
 &\cong (D \times a_Y) \lim_{T \times f^{-1}} F^{\theta_X^{op}} S \cong (D \times a_Y) F^{\theta_Y^{op}} \lim_{T \times f^{-1}} S \\
 &\xrightarrow{(D \times a_Y) F^{\theta_Y^{op}} \eta} (D \times a_Y) F^{\theta_Y^{op}} (T \times f)^* S \cong F^Y f^* S
 \end{aligned}$$

Therefore, we have a  $Top$ -indexed functor  $F : SET^T \rightarrow SET^D$  such that  $F^I = G$ .  $\square$

**COROLLARY.** For  $X \in Top$  and  $S \in SET^X$ , the direct image functor  $SET^{sub^X S} \rightarrow SET^X$  along  $sub^X S \rightarrow X$  may be  $Top$ -indexed.

**PROOF.** By Proposition 1.8, the direct image functor  $SET^{sub^X S} \rightarrow SET^X$  preserves filtered colimits. Therefore, with  $T = sub^X S$  and  $C = X$  the theorem says the direct image functor along  $sub^X S \rightarrow X$  has a unique (up

to isomorphism) *Top*-indexing.  $\square$

**THEOREM 4.3.** *Up to isomorphism the Top-indexed functors  $F: SET \rightarrow SET$  are in bijective correspondence with the filtered colimit preserving functors  $F^1: Set \rightarrow Set$ .*

**PROOF.** This is just Theorems 4.1 and 4.2 combined with  $T = 1$  and  $C = 1$ .  $\square$

Let *Fin* denote the category of finite sets and functions.

**PROPOSITION 4.2.** *A functor  $F: Fin \rightarrow Set$  preserves all the filtered colimits existing in *Fin*.*

**PROOF.** Let  $D$  be a filtered diagram in *Fin* with  $\lim D \in Fin$ . By the filteredness of  $D$  and because the objects of  $D$  are objects of *Fin*, there is a diagram

$$\begin{array}{ccc} d_i & \xrightarrow{\rho_i} & \lim D \\ f \downarrow & \nearrow \rho_j & \\ d_j & & \end{array}$$

representing a section of the colimiting cone of  $\lim D$  with  $\rho_i$  onto  $\lim D$  and

$$\text{Image}(f) \twoheadrightarrow d_j \xrightarrow{\rho_j} \lim D$$

an isomorphism. Therefore, we have a commutative diagram

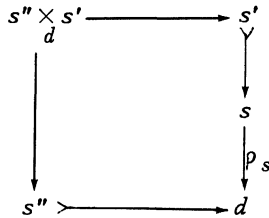
$$\begin{array}{ccc} \lim D & \xrightarrow{\quad} & d_j \downarrow D \\ & \searrow = & \downarrow \\ & & \lim D \end{array}$$

Since  $d_j \downarrow D \twoheadrightarrow D$  is final,  $\lim(d_j \downarrow D) \cong \lim D$ . Therefore,  $\rho: D \rightarrow \lim D$  is an absolute colimiting cone. Therefore, every functor on *Fin* preserves the colimit of  $D$ .  $\square$

A functor  $Fin \hat{\rightarrow} Set$  has an extension  $\hat{F}: Set \rightarrow Set$  defined at  $S \in Set$  by  $\hat{F}(S) = \lim(F(Fin(S)))$ , where  $Fin(S)$  is the partially ordered set of finite subsets of  $S$ . Referring to the next diagram, for any filtered

diagram  $D$  in  $Set$  with  $d = \varinjlim D$ , we have

$$\begin{aligned} \varinjlim_{s \in D} \hat{F}(s) &\approx \varinjlim_{s \in D} ( \varinjlim_{d' \in Fin(s)} ( F(s') ) ) \\ &\approx \varinjlim_{s \in D} ( \varinjlim_{s' \in Fin(s)} ( \varinjlim_{s'' \in Fin(d)} ( F(s'' \times_d s') ) ) ) \\ &\approx \varinjlim_{s'' \in Fin(d)} \varinjlim_{s \in D} ( \varinjlim_{s' \in Fin(s)} ( F(s'' \times_d s') ) ) \approx \hat{F}(d); \end{aligned}$$



Therefore, the extension  $F$  of  $F$  preserves filtered colimits.

**THEOREM 4.4.** *The category of isomorphism classes of Top-indexed functors  $SET \rightarrow SET$  is equivalent to  $Set^{Fin}$ .*

**PROOF.** Since every set is the canonical colimit of its finite subsets, any functor  $Set \rightarrow Set$  preserving colimits is determined up to isomorphism by what it does to finite sets. Therefore, the filtered colimit preserving functors  $Set \rightarrow Set$  correspond to functors  $Fin \rightarrow Set$ . The result now follows from Theorem 4.3.  $\square$

**5. SHEAVES OF FINITARY ALGEBRAS.**

**THEOREM 5.1.** *The algebraic theories whose algebras can be Top-indexed as the algebras of a Top-indexed triple  $SET \rightarrow SET$  are precisely the finitary algebraic theories.*

**PROOF.** It is well-known that the finitary algebraic theories arise exactly from triples  $Set \rightarrow Set$  preserving filtered colimits. The result follows from this and Theorem 4.3.  $\square$

Research Branch, NDA Gulf Region  
 P. O. Box 5030  
 MONCTON, N. B. E1G9B6



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