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**OPEN COVERS AND INFINITARY OPERATIONS IN  $C^\infty$ -RINGS**<sup>1)</sup>

by *Eduardo J. DUBUC*

**INFINITE ADDITIONS.**

In the  $C^\infty$ -ring  $C^\infty(\mathbb{R}^n)$  of smooth real valued functions, one can define elements (functions) by *adding certain infinite families*. A typical application of this method is the following: Suppose  $M \rightarrow \mathbb{R}^n$  is a closed smooth submanifold, and let  $h: M \rightarrow \mathbb{R}$  be a smooth function defined on  $M$ . By definition this means that there are open sets  $U_\alpha \subset \mathbb{R}^n$ ,  $\alpha \in \Gamma$ , such that they cover  $M$ , and smooth functions  $h_\alpha: U_\alpha \rightarrow \mathbb{R}$  such that

$$\forall p \in U_\alpha \cap M, \quad h_\alpha(p) = h(p).$$

Let  $U_0$  be  $\mathbb{R}^n - M$ , and take any function (e. g. the zero function)  $h_0: U_0 \rightarrow \mathbb{R}$ . We then have an open covering  $U_\alpha, \alpha \in \Gamma + \{0\}$ , of the whole space  $\mathbb{R}^n$ , and functions  $h_\alpha: U_\alpha \rightarrow \mathbb{R}$  such that

$$\forall p \in U_\alpha \cap M, \quad h_\alpha(p) = h(p).$$

(since  $U_0 \cap M = \emptyset$ ). This family does not agree in the intersections  $U_\alpha \cap U_\beta$ ; thus it does not define a global function  $f: \mathbb{R}^n \rightarrow \mathbb{R}$  which extends  $h$ . However, we can construct an extension of  $h$ . Let  $W_i$  be a locally finite refinement of  $U_\alpha$ , for each  $i$  take  $\alpha$  such that  $W_i \subset U_\alpha$ , and let  $g_i: W_i \rightarrow \mathbb{R}$  be equal to  $h_\alpha|_{W_i}$ . Let  $\phi_i$  be an associated partition of unity. The functions  $f_i = \phi_i g_i$  are defined globally,  $f_i: \mathbb{R}^n \rightarrow \mathbb{R}$ , and have support contained in  $W_i$ . (This is so since  $support(\phi_i) \subset W_i$  by definition.) It follows that the equality  $f = \sum_i f_i$  defines a function  $f: \mathbb{R}^n \rightarrow \mathbb{R}$  such that

$$\forall x \in \mathbb{R}^n, \quad f(x) = \sum_i f_i(x)$$

(which is a *finite sum* on an open neighborhood of  $x$ ). If we compute  $f$  at

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a point  $p \in M$ , we have:

$$\begin{aligned} f(p) &= \sum_i f_i(p) = \sum_{i|p \in W_i} f_i(p) = \sum_{i|p \in W_i} \phi_i(p) g_i(p) \\ &= \sum_{i|p \in W_i} \phi_i(p) h(p) = h(p) \sum_i \phi_i(p) = h(p). \end{aligned}$$

Thus  $f$  is an extension of  $h$ .

Two questions arise:

- I. Which is the algebraic meaning of these infinitary additions?
- II. To which extent can they be defined and performed in arbitrary  $C^\infty$ -rings of finite type  $A = C^\infty(\mathbb{R}^n)/I$ ?

In particular, can we give a meaning to expressions of the form  $a = \sum_\alpha a_\alpha$ ,  $a_\alpha \in A$ ? In a way such that if  $a_\alpha = [f_\alpha]$ ,  $f_\alpha \in C^\infty(\mathbb{R}^n)$ , and the  $f_\alpha$  can be added in  $C^\infty(\mathbb{R}^n)$  defining a function  $f = \sum_\alpha f_\alpha$  (as before), then  $a = [f]$  (where brackets indicate equivalence class modulo  $I$ ). That this is not always possible is seen as follows: Let

$$I = (h \mid h \text{ is of compact support})$$

and let  $\phi_\alpha$  be a partition of unity such that  $\phi_\alpha \in I$  for all  $\alpha$ . Let

$$a_\alpha = [\phi_\alpha] \quad \text{and} \quad a = \sum_\alpha a_\alpha.$$

Then  $a = I$  since  $I = \sum_\alpha \phi_\alpha$  in  $C^\infty(\mathbb{R}^n)$ . But also  $a_\alpha = [0]$  and then  $a = 0$  since  $0 = \sum_\alpha 0$  in  $C^\infty(\mathbb{R}^n)$ . Thus  $I = 0$  in  $A$ , which is impossible since  $I \not\subset 0$ . We see that a condition is needed in the ideal that presents  $A$ . Namely, that if  $l_\alpha \in I$  and the  $l_\alpha$  can be added in  $C^\infty(\mathbb{R}^n)$  defining a function  $l = \sum_\alpha l_\alpha$  (as before), then  $l \in I$ . This leads to the notion of *ideal of local character* (cf. Definition 6, iv).

**ANSWER TO QUESTION II.**

The first step is to define the *open cover topology* in the category  $\mathcal{Q}_{f,t}^{op}$ , dual of the category of  $C^\infty$ -rings of finite type. Given a  $C^\infty$ -ring  $A$  and an element  $a \in A$ , we denote  $A \rightarrow A \{a^{-1}\}$  the solution in  $\mathcal{Q}$  to the universal problem of making  $a$  invertible (cf. [1, 2]). The following is straightforward:

1. PROPOSITION. Given any morphism  $\phi: A \rightarrow B$  and element  $a \in A$ , the diagram

$$\begin{array}{ccc} A & \longrightarrow & A\{a^{-1}\} \\ \phi \downarrow & & \downarrow \\ B & \longrightarrow & C \end{array}$$

is a pushout diagram iff  $C = B\{\phi(a)^{-1}\}$ .

We recall the following

2. PROPOSITION. Let  $U \subset \mathbb{R}^n$  be an open set, and let  $f$  be such that  $U = \{x \mid f(x) \neq 0\}$ . Then  $C^\infty(\mathbb{R}^n) \rightarrow C^\infty(U) = C^\infty(\mathbb{R}^n)\{f^{-1}\}$ .

PROOF. The basic idea is to consider the map

$$U \rightarrow \mathbb{R}^{n+1}; p \mapsto (p, f(p)^{-1})$$

which makes  $U$  the closed sub-manifold of  $\mathbb{R}^{n+1}$  defined by the equation  $1 - x_{n+1}f(p) = 0$ . Then use the fact that this equation is independent to deduce that the equalizer is preserved when taking the  $C^\infty$ -rings of smooth functions (cf. [1, 2]). A different proof of the preservation of this equalizer is given in [4].

3. DEFINITION. The open cover topology in  $\mathcal{Q}_{f,t}^{op}$  is the topology generated by the empty family covering  $\{0\}$ , and families of the form

$$C^\infty(\mathbb{R}^n) \rightarrow C^\infty(U_\alpha), \text{ for all } n \text{ and all open coverings } U_\alpha \text{ of } \mathbb{R}^n.$$

It follows from Propositions 1 and 2 that basic (generating) covers of an arbitrary  $C^\infty$ -ring of finite type  $A$  are families  $A \rightarrow A\{a_\alpha^{-1}\}$  which can be completed into pushout diagrams

$$\begin{array}{ccc} C^\infty(\mathbb{R}^s) & \longrightarrow & C^\infty(V_\alpha) \\ \phi \downarrow & & \downarrow \\ A & \longrightarrow & A\{a_\alpha^{-1}\} \end{array}$$

where  $V_\alpha = \{x \mid g_\alpha(x) \neq 0\}$  is an open cover of  $\mathbb{R}^s$  and  $\phi(g_\alpha) = a_\alpha$ . The morphism  $\phi$  can be chosen to be a quotient map. Let  $A = C^\infty(\mathbb{R}^n)/I$ ; then, since  $C^\infty(\mathbb{R}^s)$  is free, there is a smooth function  $f: \mathbb{R}^n \rightarrow \mathbb{R}^s$  making

the following triangle commutative :

$$\begin{array}{ccc}
 C^\infty(\mathbb{R}^s) & \xrightarrow{f^*} & C^\infty(\mathbb{R}^n) \\
 & \searrow \phi & \downarrow \\
 & & A
 \end{array}$$

One checks then that the following diagrams are pushouts :

$$\begin{array}{ccc}
 C^\infty(\mathbb{R}^n) & \longrightarrow & C^\infty(U_\alpha) \\
 \downarrow & & \downarrow \\
 A & \longrightarrow & A\{a_\alpha^{-1}\}
 \end{array}$$

where

$$U_\alpha = f^{-1}(V_\alpha) = \{x \mid f_\alpha(x) \neq 0\}, \quad f_\alpha = g_\alpha f,$$

is an open cover of  $\mathbb{R}^n$  and  $a_\alpha = [f_\alpha]$ .

Open covers  $C^\infty(\mathbb{R}^n) \rightarrow C^\infty(U_\alpha)$  are *effective epimorphic families*. This just means that given a compatible family  $f_\alpha \in C^\infty(U_\alpha)$  (meaning that they agree in the intersections), there exists a unique  $f \in C^\infty(\mathbb{R}^n)$  such that  $f|_{U_\alpha} = f_\alpha$ . (Remark that  $C^\infty(U_\alpha \cap U_\beta)$  is the pushout of  $C^\infty(U_\alpha)$  with  $C^\infty(U_\beta)$  over  $C^\infty(\mathbb{R}^n)$ .) However, they are not *universal*. Open covers of an arbitrary  $A$  will not, in general, be effective epimorphic families. For example, let as before  $I$  be the ideal

$$I = (h \mid h \text{ is of compact support}),$$

and let  $\phi_\alpha$  be a partition of unity such that  $\phi_\alpha \in I$  for all  $\alpha$ . Then the diagrams

$$\begin{array}{ccc}
 C^\infty(\mathbb{R}^n) & \longrightarrow & C^\infty(U_\alpha) \\
 \downarrow & & \downarrow \\
 A & \longrightarrow & \{0\} = A\{a_\alpha^{-1}\}
 \end{array}$$

are pushout diagrams for all  $\alpha$ , where  $U_\alpha$  is the open covering

$$U_\alpha = \{x \mid \phi_\alpha(x) \neq 0\}, \quad A = C^\infty(\mathbb{R}^n)/I, \quad \text{and} \quad a_\alpha = [\phi_\alpha] = 0.$$

On the way we see that the empty family covers  $A$  since it covers  $\{0\}$

( use composition of coverings ).

Consider now an open covering of an arbitrary  $C^\infty$ -ring  $A = C^\infty(\mathbb{R}^n)/I$

$$\begin{array}{ccc} C^\infty(\mathbb{R}^n) & \longrightarrow & C^\infty(U_\alpha) \\ \downarrow & & \downarrow \\ A & \longrightarrow & A\{a_\alpha^{-1}\} \end{array}$$

$$U_\alpha = \{ x \mid f_\alpha(x) \neq 0 \} \text{ and } a_\alpha = [f_\alpha].$$

For  $b \in A$ , we denote  $b|_\alpha$  its image in  $A\{a_\alpha^{-1}\}$ . Suppose  $b, b' \in A$  are such that  $b|_\alpha = b'|_\alpha$  for all  $\alpha$ . Let  $b = [f]$  and  $b' = [f']$ . Then

$$[f|U_\alpha] = b|_\alpha \text{ and } [f'|U_\alpha] = b'|_\alpha.$$

Thus  $[f|U_\alpha] = [f'|U_\alpha]$ , which means that  $(f-f')|U_\alpha \in I|U_\alpha$ . If the covering is going to be effective epimorphic, it should follow that  $(f-f') \in I$ . This leads to the notion of *ideal of local character* ( cf. Definition 6, ii ).

We recall the following elementary facts in order to fix the notation.

4. PROPOSITION. Let  $A$  be a  $C^\infty$ -ring and  $p: A \rightarrow \mathbb{R}$  a morphism into  $\mathbb{R}$ , which we will also call a point of  $A$ . We denote  $A \rightarrow A_p$  the solution in the category of  $C^\infty$ -rings to the universal problem of making invertible all the elements  $a \in A$  such that  $p(a) \neq 0$ . There is a factorization of  $p$ ,  $A \rightarrow A_p \rightarrow \mathbb{R}$ , and  $A_p$  is a  $C^\infty$ -local ring. If  $a \in A$ , we denote  $a|_p \in A_p$  its image in  $A_p$ . Suppose  $A = C^\infty(\mathbb{R}^n)/I$ , let  $U \subset \mathbb{R}^n$  open,  $f$  such that  $U = \{ x \mid f(x) \neq 0 \}$ , and  $a = [f]$ . Consider the following diagram (where the upper square is a pushout):

$$\begin{array}{ccc} C^\infty(\mathbb{R}^n) & \longrightarrow & C^\infty(U) \\ \downarrow & & \downarrow \\ A & \longrightarrow & A\{a^{-1}\} \\ \downarrow & \nearrow \text{---} & \downarrow \text{---} p \\ A_p & \longrightarrow & \mathbb{R} \end{array}$$

Given a point  $p$  of  $A$ ,  $p: A \rightarrow \mathbb{R}$ , since  $C^\infty(\mathbb{R}^n)$  is free,  $p$  can be identified with a point of  $\text{Zeros}(I) \subset \mathbb{R}^n$  which we will also denote  $p$ . When

there exist factorizations (necessarily unique) as shown in the dotted arrows,  $p$  can be identified with a point of  $A\{a^{-1}\}$ , which we will also denote by  $p$ . Then

$$p \text{ is a point of } A\{a^{-1}\} \Leftrightarrow p(a) \neq 0 \Leftrightarrow p \in U.$$

It follows that if  $A \rightarrow A\{a^{-1}\}$  is an open cover and  $p$  is a point of  $A$  then there exists  $\alpha$  such that  $p$  is a point of  $A\{a^{-1}\}$  (since there exist  $\alpha$  such that  $p \in U_\alpha$ ).

PROOF. This is all rather straightforward. For a proof, cf. [2, Exposé 11].

Suppose now  $b, b' \in A$  are such that  $b|_p = b'|_p$  for all points  $p$  of  $A$ . Let  $b = [f]$  and  $b' = [f']$ . Then

$$b|_p = [f|_p] \text{ and } b'|_p = [f'|_p].$$

Thus  $[f|_p] = [f'|_p]$ , which means that  $(f-f')|_p \in I|_p$ . If we want to deduce that  $b = b'$ , it should follow that  $(f-f') \in I$ . This leads to the notion of *ideal of local character* (cf. Definition 6, i).

5. DEFINITION. A family  $l_i \in C^\infty(\mathbb{R}^n)$  (indexed by an arbitrary set) is *locally finite* if there is an open covering  $U_\alpha$  such that, for every  $\alpha$ ,  $l_i|_{U_\alpha} = 0$  except for a finite number of  $i$ . Equivalently, if each point  $p$  of  $\mathbb{R}^n$  has an open neighborhood  $U$  such that  $l_i|_U = 0$  except for a finite number of  $i$ .

Given a locally finite family  $l_i$ , the finite sums  $\sum_i l_i|_{U_\alpha} \in C^\infty(U_\alpha)$  form a compatible family. Thus there exists a unique

$$l \in C^\infty(\mathbb{R}^n) \text{ such that } l|_{U_\alpha} = \sum_i l_i|_{U_\alpha}.$$

We denote this  $l$  by the formula  $l = \sum_i l_i$ .

6. DEFINITION. An ideal  $I \in C^\infty(\mathbb{R}^n)$  is of *local character* if it satisfies any one of the following equivalent conditions:

- i)  $(f|_p \in I|_p \text{ for all } p \in \text{Zeros}(I)) \Rightarrow f \in I$ .
- ii)  $(f|_{U_\alpha} \in I|_{U_\alpha} \text{ for some open cover } U_\alpha) \Rightarrow f \in I$ .
- iii)  $\phi_\alpha f \in I$  for some partition of unity  $\phi_\alpha \Rightarrow f \in I$ .
- iv)  $l_i \in I$  for all  $i \Rightarrow (\sum_i l_i) \in I$  for every locally finite family  $l_i$ .

PROOF OF THE EQUIVALENCE. The antecedents of the first three implications are themselves equivalent, cf. [1], Lemma 10, and for detailed proof, [2] Théorème 1.5; thus the equivalence of the first three conditions. That  $iv \Rightarrow iii$  is immediate since  $\phi_\alpha f$  is a locally finite family and  $f = \Sigma \phi_\alpha f$ . Finally, it is evident that  $ii \Rightarrow iv$ . Notice that the implications in the first three conditions always hold in the other sense.

It is clear that any ideal  $I$  has a «closure» of local character; namely

$$\hat{I} = \{ f \mid f|_p \in I|_p \quad \forall p \in Zeros(I) \}.$$

$\hat{I}$  can also be seen as the closure of  $I$  under additions of locally finite families. Let  $\mathcal{B}$  be the category of  $C^\infty$ -rings presented by an ideal of local character. Let

$$A = C^\infty(\mathbb{R}^n)/I \quad \text{and} \quad rA = C^\infty(\mathbb{R}^n)/\hat{I}.$$

Then we have a canonical (quotient) map  $A \rightarrow rA$  and the passage  $A \rightarrow rA$  is clearly a left adjoint for the inclusion  $\mathcal{B} \rightarrow \mathcal{A}_{f,t}$ . Thus  $\mathcal{B}$  is closed under all inverse limits and has all colimits. These colimits will not coincide in general with the respective construction in  $\mathcal{A}_{f,t}$ . We remark that, since  $Zeros(I) = Zeros(\hat{I})$ ,  $A$  and  $rA$  have the same points.

7. EXAMPLES. 1° Let  $A = C_0^\infty(\mathbb{R})$ ,  $B = C^\infty(\mathbb{R})$ . Then  $A, B \in \mathcal{B}$ . By construction,  $A \otimes_\infty B = C^\infty(\mathbb{R}^2)/I$ , where

$$I = \{ f \mid \exists \eta > 0, f(x, y) = 0 \quad \forall x \mid |x| < \eta \}.$$

This ideal is not of local character:

$$\hat{I} = \{ f \mid f = 0 \text{ on an arbitrary neighborhood of the } y\text{-axis} \}.$$

The coproduct of  $A$  with  $B$  in  $\mathcal{B}$  does not coincide then with the one performed in  $\mathcal{A}_{f,t}$ .

2° Let  $A = C_0^\infty(\mathbb{R})$  and  $a = x|_0$ . Then

$$A \{ a^{-1} \} = C_0^\infty(\mathbb{R}) \{ x|_0^{-1} \} = C^\infty(\mathbb{R}^*)/J,$$

where  $\mathbb{R}^* = \mathbb{R} - \{0\}$  and

$$J = \{ f \mid \exists U \mid 0 \in U, f|_U = 0 \} \subset C^\infty(\mathbb{R}^*).$$



We see this because the following is a pushout diagram :

$$\begin{array}{ccc}
 C^\infty(\mathbb{R}) & \longrightarrow & C^\infty(\mathbb{R}^*) = C^\infty(\mathbb{R})\{x^{-1}\} \\
 \downarrow & & \downarrow \\
 C_0^\infty(\mathbb{R}) & \longrightarrow & C^\infty(\mathbb{R}^*)/J = C_0^\infty(\mathbb{R})\{x|_0^{-1}\}
 \end{array}$$

(cf. Proposition 1). Now, the ring  $A\{a^{-1}\}$  has the presentation

$$A\{a^{-1}\} = C^\infty(\mathbb{R}^2)/(I, 1-xy),$$

where  $I$  is the ideal in 1 above. Thus the localization  $A\{a^{-1}\}$  in  $\mathfrak{B}$  does not coincide with the one performed in  $\mathfrak{A}_{f,t}$ . Since  $Zeros(I, 1-xy) = \emptyset$ , we have that  $1 \in (I, 1-xy)^\wedge$ . Thus  $A\{a^{-1}\} = \{0\}$  in  $\mathfrak{B}$ . This means that if  $\phi: C_0^\infty(\mathbb{R}) \rightarrow B$  is any morphism,  $B$  is in  $\mathfrak{B}$ , and  $\phi(x|_0)$  invertible, then  $B = \{0\}$ . This is not so if  $B$  is not in  $\mathfrak{B}$ .

In what follows we will utilize the same notation as in  $\mathfrak{A}_{f,t}$  for the constructions performed in  $\mathfrak{B}$ . Since they are defined by the same universal properties, we have :

8. PROPOSITION. Propositions 1 and 4 remain valid for the category  $\mathfrak{B}$ . In addition, since all finitely generated ideals are of local character (cf. [1, 2]), if  $A$  is of finite presentation, then for any  $a \in A$ ,  $A\{a^{-1}\}$  constructed in  $\mathfrak{A}_{f,t}$  is already in  $\mathfrak{B}$ . Thus Proposition 2 remains valid also for the category  $\mathfrak{B}$ . Furthermore, if  $A$  is in  $\mathfrak{B}$ , then for any elements  $b, b' \in A$ ,

$$b = b' \text{ iff } b|_p = b'|_p \text{ for all points } p \text{ of } A.$$

9. PROPOSITION. The open coverings are universal effective epimorphic families in the category  $\mathfrak{B}^{op}$ .

PROOF. The proof is essentially a repetition of the argument given at the beginning of this article. Let  $A = C^\infty(\mathbb{R}^n)/I$ ,  $I$  of local character,  $U_\alpha$  an open cover of  $\mathbb{R}^n$ ,  $f_\alpha$  such that  $U_\alpha = \{x \mid f_\alpha(x) \neq 0\}$  and  $a_\alpha \in A$ ,  $a_\alpha = [f_\alpha]$ . We consider the pushout diagram in  $\mathfrak{B}$  :

$$\begin{array}{ccc}
 C^\infty(\mathbb{R}^n) & \longrightarrow & C^\infty(U_\alpha) \\
 \downarrow & & \downarrow \\
 A & \longrightarrow & A\{a_\alpha^{-1}\}
 \end{array}$$

Let  $b_\alpha \in A\{a_\alpha^{-1}\}$  be a compatible family. This implies that for all points of  $A\{a_\alpha^{-1}, a_\beta^{-1}\}$ ,  $b_\alpha|_p = b_\beta|_p$ . Thus, for all  $p$  in  $A$  there is a well defined  $b(p) \in A_p$ ,  $b(p) = b_\alpha|_p$  for any  $\alpha$  such that  $p$  is in  $A_\alpha$ . We shall construct an element

$$b \in A \text{ such that } b|_p = b(p) \text{ for all } p \text{ in } A.$$

Let  $h_\alpha \in C^\infty(U_\alpha)$  such that  $b_\alpha = [h_\alpha]$ , let  $W_i$  be a locally finite refinement of  $U_\alpha$ , for each  $i$  take  $\alpha$  such that  $W_i \subset U_\alpha$ , and let  $g_i \in C^\infty(W_i)$  be equal to  $h_\alpha|_{W_i}$ . Thus, for all  $p$  in  $W_i$ ,  $[g_i|_p] = b(p)$ . Let  $\phi_i$  be an associated partition of unity. The functions  $l_i = \phi_i g_i$  are defined globally,  $l_i \in C^\infty(\mathbb{R}^n)$ , and have support contained in  $W_i$ . Thus, given any  $p$  in  $A$ , if  $p \notin W_i$ ,  $l_i|_p = 0$ , and if  $p \in W_i$ ,

$$[l_i|_p] = [\phi_i|_p] b(p),$$

Since the family  $l_i$  is locally finite (Definition 5), we have a function  $l = \sum_i l_i$ . Let  $b = [l]$ . Then, for any  $p$  in  $A$ ,

$$b|_p = [\sum_i l_i|_p] = \sum_{i|p \in W_i} [l_i|_p] = b(p) \sum [\phi_i|_p] = b(p).$$

Given any  $\alpha$ ,  $b|_\alpha = b_\alpha$  since for all  $p$  in  $A\{a_\alpha^{-1}\}$ ,  $b|_p = b_\alpha|_p$  and  $A\{a_\alpha^{-1}\}$  is in  $\mathfrak{B}$ . In the same way one checks the uniqueness of such  $a, b$ , since all points of  $A$  are in some  $A\{a_\alpha^{-1}\}$ . This finishes the proof.

10. DEFINITION - PROPOSITION. Given any  $C^\infty$ -ring  $A$  presented by an ideal of local character, a family  $b_i \in A$  (indexed by an arbitrary set) is *locally finite* if there is an open covering  $A \rightarrow A\{a_\alpha^{-1}\}$  such that for every  $\alpha$ ,  $b_i|_\alpha = 0$  except for a finite number of  $i$ . Given such a family, the finite sums  $\sum_i b_i|_\alpha \in A\{a_\alpha^{-1}\}$  form a compatible family. It follows then from the previous proposition that there exists a unique

$$b \in A \text{ such that } b|_\alpha = \sum_i b_i|_\alpha.$$

We denote this  $b$  by the formula  $b = \sum_i b_i$ . Given any morphism  $\phi: A \rightarrow B$  in  $\mathfrak{B}$ , the family  $\phi(b_i) \in B$  is also locally finite, and  $\phi \sum_i b_i = \sum_i \phi(b_i)$ .

This finishes the answer to Question II. Infinite additions of locally finite families make sense and can be performed in certain  $C^\infty$ -rings. The

$C^\infty$ -rings which have this extra structure are precisely those presented by an ideal of local character. Before passing to Question I, we make a final remark on the open covering topology.

11. PROPOSITION. *Given any  $C^\infty$ -ring  $A \in \mathfrak{A}_{f,t}$  and a family  $a_\alpha \in A$ ,  $A \rightarrow A\{a_\alpha^{-1}\}$  covers in the open covering topology iff for all points  $p$  in  $A$  there exists  $\alpha$  such that  $p$  is in  $A\{a_\alpha^{-1}\}$ . Thus any  $C^\infty$ -ring without points is covered by the empty family.*

PROOF. One of the implications has already been seen (Proposition 4). Suppose then that for all  $p$  in  $A$  there is  $\alpha$  such that  $p$  is in  $A\{a_\alpha^{-1}\}$ . Let  $A = C^\infty(\mathbb{R}^n)/I$ ,  $f_\alpha \in C^\infty(\mathbb{R}^n)$  such that

$$a_\alpha = [f_\alpha] \text{ and } U_\alpha = \{x \mid f_\alpha(x) \neq 0\}.$$

The hypothesis means that for all  $p \in \text{Zeros}(I) \subset \mathbb{R}^n$  there is  $\alpha$  such that  $p \in U_\alpha$ . If  $p \notin \text{Zeros}(I)$ , there is

$$l \in I \text{ such that } p \in U_l = \{c \mid l(x) \neq 0\}.$$

Thus the  $U_\alpha$  together with the  $U_l$  form an open cover of  $\mathbb{R}^n$ . Let  $b_l \in A$ ,  $b_l = [l]$ . Then  $A \rightarrow A\{a_\alpha^{-1}\}$  together with  $A \rightarrow A\{b_l^{-1}\}$  form an open cover of  $A$ . But  $A\{b_l^{-1}\} = \{0\}$ ; thus it is covered by the empty family. By composition of coverings, it follows that  $A \rightarrow A\{a_\alpha^{-1}\}$  is an open covering of  $A$ .

**ANSWER TO QUESTION I.**

We consider the free  $C^\infty$ -ring in  $\lambda$  generators,  $\lambda \in \Gamma$ , cf. [2]. This ring, which we denote  $C^\infty(\mathbb{R}^\Gamma)$ , is the ring of functions  $\mathbb{R}^\Gamma \rightarrow \mathbb{R}$  which depend only on a finite number of variables, and which are smooth on these variables. Clearly, this is a ring of continuous functions (for the product topology in  $\mathbb{R}^\Gamma$ ). If we take a locally finite family of functions  $\mathbb{R}^\Gamma \rightarrow \mathbb{R}$  in  $C^\infty(\mathbb{R}^\Gamma)$ , and add it up, we get a continuous function which will not be in general in  $C^\infty(\mathbb{R}^\Gamma)$ . However, every point will have a neighborhood in which this function will coincide with the restriction of a function in  $C^\infty(\mathbb{R}^\Gamma)$ . We consider all functions  $\phi$  which locally depend on a finite number of variables. More precisely, functions for which there exists an

open cover  $U_\alpha \subset \mathbb{R}^\Gamma$ , finite sets  $J_\alpha$ , smooth functions  $h_\alpha$  and factorizations as indicated in the following diagram (where  $\rho$  is the projection):

$$\begin{array}{ccc} U_\alpha & \xrightarrow{\quad} & \mathbb{R}^\Gamma \\ \rho \downarrow & & \downarrow \phi \\ \mathbb{R}^{J_\alpha} & \xrightarrow{h_\alpha} & \mathbb{R} \end{array}$$

for all  $\alpha$ . Thus we add to  $C^\infty(\mathbb{R}^\Gamma)$  all the functions needed to render the open covers of  $\mathbb{R}^\Gamma$  effective epimorphic families. We will, for the lack of a better notation, denote this ring by  ${}_\infty C^\infty(\mathbb{R}^\Gamma)$ . Thus a function  $\phi$  is in  ${}_\infty C^\infty(\mathbb{R}^\Gamma)$  iff for each point  $p \in \mathbb{R}^\Gamma$ , there is an open neighborhood  $U$  of  $p$ , a finite set of indices  $\{\lambda_1, \lambda_2, \dots, \lambda_n\}$  and a smooth function of  $n$  variables  $h$  such that for all  $(x_\lambda) \in U$ ,  $\lambda \in \Gamma$ ,

$$\phi(x_\lambda) = h(x_{\lambda_1}, \dots, x_{\lambda_n}).$$

We leave to the reader the proof of the following (where  $\Gamma$  and  $\Lambda$  are any sets, including finite):

12. PROPOSITION. *Given any  $\phi \in {}_\infty C^\infty(\mathbb{R}^\Gamma)$  and a  $\Gamma$ -tuple*

$$\phi_\lambda \in {}_\infty C^\infty(\mathbb{R}^\Lambda), \quad (\phi_\lambda): \mathbb{R}^\Lambda \rightarrow \mathbb{R}^\Gamma,$$

*the composite  $\phi(\phi_\lambda) \in {}_\infty C^\infty(\mathbb{R}^\Lambda)$ .*

It follows then that there is an infinitary algebraic theory in the sense of Linton (cf. [3]) which has as  $\Gamma$ -ary operations the rings  ${}_\infty C^\infty(\mathbb{R}^\Gamma)$ . We shall call this theory the *infinitary theory of  $C^\infty$ -rings*. Its finitary part is the theory of  $C^\infty$ -rings, since  ${}_\infty C^\infty(\mathbb{R}^n) = C^\infty(\mathbb{R}^n)$ . Thus, this ring is still free on  $n$  generators for the infinitary theory. (The action of a  $\Gamma$ -ary operation on  $C^\infty(\mathbb{R}^n)$  is given in Proposition 12, with  $\Lambda = n$ .) All  ${}_\infty C^\infty$ -rings of finite type are then quotients of  $C^\infty(\mathbb{R}^n)$  presented by  ${}_\infty C^\infty$ -ideals, that is, ideals  $I$  such that the congruence

$$f \sim g \Rightarrow f - g \in I$$

is an  ${}_\infty C^\infty$ -congruence. Recall that this congruence is always a  $C^\infty$ -congruence (cf. [1, 2]).

13. PROPOSITION. Let  $A = C^\infty(\mathbb{R}^n)/I$  be a  $C^\infty$ -ring presented by an ideal  $I$  of local character. Then,  $A$  is an  $\infty C^\infty$ -ring. Or, equivalently,  $I$  is an  $\infty C^\infty$ -ideal.

PROOF. Let  $\phi \in \infty C^\infty(\mathbb{R}^\Gamma)$ , and let

$$g_\lambda, f_\lambda \in C^\infty(\mathbb{R}^n), \lambda \in \Gamma, \text{ such that } g_\lambda - f_\lambda \in I.$$

Let  $p$  be any point of  $\mathbb{R}^n$  and let  $U \subset \mathbb{R}^\Gamma$  be an open neighborhood of the two points  $(g_\lambda(p)), (f_\lambda(p)) \in \mathbb{R}^\Gamma$  where  $\phi$  depends on a finite number of variables:

$$\phi(x_\lambda) = h(x_{\lambda_1}, \dots, x_{\lambda_k}) \text{ over } U,$$

for some  $h \in C^\infty(\mathbb{R}^k)$ . Let  $W \subset \mathbb{R}^n$  be an open neighborhood of  $p$  such that

$$(g_\lambda)(W) \subset U \text{ and } (f_\lambda)(W) \subset U.$$

Then

$$(\phi(g_\lambda) - \phi(f_\lambda))|_W = (h(g_{\lambda_1}, \dots, g_{\lambda_k}) - h(f_{\lambda_1}, \dots, f_{\lambda_k}))|_W \in I|_W,$$

because

$$h(g_{\lambda_1}, \dots, g_{\lambda_k}) - h(f_{\lambda_1}, \dots, f_{\lambda_k}) \in I,$$

since all ideals are  $C^\infty$ -ideals. Thus the term  $(\phi(g_\lambda) - \phi(f_\lambda))|_p$  is in  $I|_p$ . Since  $I$  is of local character, this implies  $\phi(g_\lambda) - \phi(f_\lambda) \in I$ .

The converse of this proposition says, in a way, that there are enough operations in the infinitary theory of  $C^\infty$ -rings to force any  $\infty C^\infty$ -ideal to be of local character. This is actually the case, and we prove it by showing that given any locally finite family  $l_\lambda \in C^\infty(\mathbb{R}^n)$ , there is an infinitary operation that adds it up.

14. PROPOSITION. Let  $l_\lambda \in C^\infty(\mathbb{R}^n)$ ,  $\lambda \in \Gamma$ , be any locally finite family. Then, the following function:

$$L: \mathbb{R}^n \times \mathbb{R}^\Gamma \rightarrow \mathbb{R}, L(x_1, \dots, x_n, x_\lambda) = \sum_\lambda l_\lambda(x_1, \dots, x_n) x_\lambda$$

is in  $\infty C^\infty(\mathbb{R}^n + \Gamma)$ .

PROOF. Given any point  $p \in \mathbb{R}^n + \Gamma$ ,  $p = (a_1, \dots, a_n, a_\lambda)$ , take an open neighborhood  $U \subset \mathbb{R}^n$  of  $(a_1, \dots, a_n)$ , where all but a finite number of  $l_\alpha$

are zero. Then the open set  $U \times \mathbb{R}^\Gamma$  is a neighborhood of  $p$  where  $L$  depends on only a finite number of variables.

Let  $h_1, h_2, \dots, h_n$  and  $f_\lambda$  be any  $(n + \Gamma)$ -tuple of smooth functions in  $k$  variables. The family (indexed by  $\Gamma$ )  $l_\lambda(h_1, \dots, h_n)f_\lambda$  is a locally finite family in  $C^\infty(\mathbb{R}^k)$ , and it follows from Proposition 12 (with  $k = \Gamma$ ) that the action of  $L$  for the  $\infty C^\infty$ -ring structure of  $C^\infty(\mathbb{R}^k)$  is given by the formula

$$L(h_1, \dots, h_n, f_\lambda) = \sum_{\lambda} l_\lambda(h_1, \dots, h_n)f_\lambda.$$

We have

15. PROPOSITION. *Let  $l_\lambda$  and  $L$  be as in Proposition 14.*

*i) Given any  $n$ -tuple  $h_1, \dots, h_n \in C^\infty(\mathbb{R}^k)$ ,  $L(h_1, \dots, h_n, 0) = 0$ .*

*ii) Given any  $\infty C^\infty$ -ideal  $I \subset C^\infty(\mathbb{R}^k)$  and any  $n + \Gamma$ -tuple  $h_1, \dots, h_n, f_\lambda \in C^\infty(\mathbb{R}^n)$ , if  $f_\lambda \in I$  for all  $\lambda$ , then  $\sum_{\lambda} l_\lambda(h_1, \dots, h_n)f_\lambda \in I$ .*

*iii) Given any  $\infty C^\infty$ -ideal  $I \subset C^\infty(\mathbb{R}^n)$  and any  $\Gamma$ -tuple  $f_\lambda \in C^\infty(\mathbb{R}^n)$  if  $f_\lambda \in I$  for all  $\lambda$ , then  $\sum_{\lambda} l_\lambda f_\lambda \in I$ .*

*iv) Given any  $\infty C^\infty$ -ideal  $I \subset C^\infty(\mathbb{R}^n)$  and any locally finite family  $f_\lambda \in C^\infty(\mathbb{R}^n)$ , if  $f_\lambda \in I$  for all  $\lambda$ , then  $\sum_{\lambda} f_\lambda \in I$ .*

PROOF. *i* is clear, and *ii* follows clearly from *i*. We get *iii* by putting

$$k = n \quad \text{and} \quad h_i = \pi_i \text{ the projections.}$$

Finally, observe that given any open set  $U \subset \mathbb{R}^n$  and any smooth function  $f$  with  $\text{Supp}(f) \subset U$ , then there exists a function  $l$  with  $\text{supp}(l) \subset U$  and such that  $f = fl$ . To prove *iv*, we apply this observation to each of the functions  $f_\lambda$ . The family  $l_\lambda$  so obtained is also locally finite; thus it has an associated operation  $L$ . *iv* follows then from *iii*.

16. COROLLARY. *Let  $A$  be a  $\infty C^\infty$ -ring of finite type. Then  $A$  is a  $C^\infty$ -ring presented by an ideal of local character.*

PROOF. Immediate from *iv* in the previous proposition and Definition 6, *iv*.

Thus, the ideals of local character are exactly the congruences for

the infinitary theory of  $C^\infty$ -rings. Remark that we have also proved that given any locally finite family  $f_\lambda$  in  $C^\infty(\mathbb{R}^n)$ ,  $\lambda \in \Gamma$ , there is a  $(n + \Gamma)$ -ary operation  $L$  such that

$$L(\pi_1, \dots, \pi_n, f_\lambda) = \sum_\lambda f_\lambda,$$

where  $\pi_i$  are the projections. Suppose now  $I$  is an ideal of local character, let  $A = C^\infty(\mathbb{R}^n)/I$ , let  $e_i = [\pi_i]$  be the generators of  $A$ , and let

$$b_\lambda = f_\lambda(e_1, \dots, e_n) = [f_\lambda].$$

Then, by Definition-Proposition 10,  $b_\lambda$  is a locally finite family, and  $[\sum_\lambda f_\lambda] = \sum_\lambda b_\lambda$ . But since  $I$  is a  $C^\infty$ -ideal, we also have

$$[L(\pi_1, \dots, \pi_n, f_\lambda)] = L(e_1, \dots, e_n, b_\lambda).$$

Thus, given any locally finite family  $b_\lambda = [f_\lambda]$  in a  $C^\infty$ -ring  $A$  presented by an ideal of local character,  $A = C^\infty(\mathbb{R}^n)/I$ , if  $f_\lambda$  is locally finite in  $C^\infty(\mathbb{R}^n)$ , there is an infinitary operation  $L$  such that

$$L(e_1, \dots, e_n, b_\lambda) = \sum_\lambda b_\lambda,$$

where  $e_1, \dots, e_n$  are generators of  $A$ . With this we finish the answer to Question I.

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