

CAHIERS DE TOPOLOGIE ET GÉOMÉTRIE DIFFÉRENTIELLE CATÉGORIQUES

HARVEY WOLFF

V-fractional categories

Cahiers de topologie et géométrie différentielle catégoriques, tome 16, n° 2 (1975), p. 149-168

http://www.numdam.org/item?id=CTGDC_1975__16_2_149_0

© Andrée C. Ehresmann et les auteurs, 1975, tous droits réservés.

L'accès aux archives de la revue « Cahiers de topologie et géométrie différentielle catégoriques » implique l'accord avec les conditions générales d'utilisation (<http://www.numdam.org/conditions>). Toute utilisation commerciale ou impression systématique est constitutive d'une infraction pénale. Toute copie ou impression de ce fichier doit contenir la présente mention de copyright.

NUMDAM

Article numérisé dans le cadre du programme
Numérisation de documents anciens mathématiques

<http://www.numdam.org/>

V-FRACTIONAL CATEGORIES

by Harvey WOLFF

0. Introduction.

Fractional categories as special cases of localizations have played an important role in many aspects of category theory and its applications. In [9] Gabriel initiated the use of such techniques in algebra and since then there have been a great number of papers dealing with them. See for example [10], [17], [18] and [19]. In topology localization has played a role in homotopy theory. For example in [2], [11], [15] and [16]. In algebraic geometry fractional categories have appeared in the notion of derived category [13] and in Grothendieck topologies [21]. Recent works of Lawvere and Tierney on Topoi [14] have made extensive use of fractional categories. It often happens that if the category we begin with has *Hom* sets which are objects in a category \underline{V} , then the localization also has its *Hom* sets objects in \underline{V} (see for example [10], [11], [13], [15], or [16]). In the light of such examples, it seems reasonable to want to extend the concept of fractional categories to more general contexts so as to provide a single theory. One vehicle for doing this is the use of \underline{V} -categories, i.e. categories which are defined over a fixed symmetric monoidal closed category \underline{V} . In this paper we plan to provide such a theory. Our main result is an existence theorem for \underline{V} -fractional categories.

By a \underline{V} -localization we mean the following. Given a \underline{V} -category \underline{A} and a class of morphisms Σ of the underlying *Set*-based category \underline{A}_0 , then the \underline{V} -localization of \underline{A} with respect to Σ consists of a \underline{V} -category $\underline{A}[\Sigma^{-1}]$ and a \underline{V} -functor $\Phi: \underline{A} \rightarrow \underline{A}[\Sigma^{-1}]$ such that $\Phi(\sigma)$ is an isomorphism for all $\sigma \in \Sigma$ and Φ is universal with regard to this. In [25], we showed that if \underline{A} is small and \underline{V} is cocomplete, then the \underline{V} -localization always exists. A \underline{V} -fractional category is a \underline{V} -localization in which the *Hom* object $\underline{A}[\Sigma^{-1}](A, B)$ is a canonical direct limit of the

Hom objects of \underline{A} . In this paper our basic problem is the following: given \underline{A} , Σ and \underline{V} what conditions guarantee that $\underline{A}[\Sigma^{-1}]$ is fractional. In the case of $\underline{V} = \text{Sets}$ or abelian groups, these conditions are well known ([1] or [11]). In the general \underline{V} -case, since the conditions for $\underline{V} = \text{Sets}$ involve elements in the *Hom* sets, we would need a more categorical approach, but one which when applied to $\underline{V} = \text{Sets}$ yields the well known conditions. In this paper we provide such an approach. Our central observation is that the conditions for $\underline{V} = \text{Sets}$ are equivalent (see below 1.15) to the fact that each of the *Hom* sets $\underline{A}(A, B)$ can be written as a certain canonical filtered direct limit and this becomes the core of our proof for the \underline{V} -case.

After making the appropriate definitions we prove a sequence of results aimed at exposing some of the structure of \underline{V} -fractional categories. We then present our main result. The result first appeared in the author's doctoral dissertation [22] under the direction of Professor J.W. Gray. The proof we present here is far different than the proof in [22]. We end with an application to \underline{V} -topologies and \underline{V} -sheaf theory.

We use the following notation: if \underline{A} is a category, \underline{A}^o denotes the opposite category. If \underline{A} and \underline{B} are categories, $[\underline{A}, \underline{B}]$ denotes the functor category and $[F, G]$ denotes the natural transformations between two functors.

1. \underline{V} -fractional Categories.

Throughout we assume that \underline{V} is a fixed symmetric, monoidal closed category (see [5]). We assume that \underline{A} is a \underline{V} -category and $\Sigma \subset \underline{A}_o$ is a subcategory of the underlying *Set*-based category \underline{A}_o with the same objects as \underline{A} . By a \underline{V} -localization of \underline{A} with respect to Σ we mean a \underline{V} -category $\underline{A}[\Sigma^{-1}]$ together with a \underline{V} -functor $\Phi: \underline{A} \rightarrow \underline{A}[\Sigma^{-1}]$ such that $\Phi(\sigma)$ is an isomorphism for all $\sigma \in \Sigma$ and every \underline{V} -functor $F: \underline{A} \rightarrow \underline{B}$ such that $F(\sigma)$ is an isomorphism for all $\sigma \in \Sigma$ factors uniquely through Φ . If such a localization exists then we say that Σ is \underline{V} -localizable. If Σ is \underline{V} -localizable we may assume that the objects, A of $\underline{A}[\Sigma^{-1}]$ are the same as the objects of \underline{A} and that Φ is the iden-

tity on objects. We will always make this assumption.

To describe when a \underline{V} -localization is a \underline{V} -fractional category, we first of all recall that, if A is an object of \underline{A} , then Σ/A is the category whose objects are the maps $E \xrightarrow{s} A$, $s \in \Sigma$ and whose morphisms from $E_1 \xrightarrow{s_1} A$ to $E_2 \xrightarrow{s_2} A$ are maps $f: E_1 \rightarrow E_2$ in \underline{A}_0 such that $s_2 f = s_1$. Denote by $Q_A: \Sigma/A \rightarrow \underline{A}_0$ the obvious projection.

The category A/Σ is defined dually with $Q^A: A/\Sigma \rightarrow \underline{A}_0$ the projection.

DEFINITION 1.1. A \underline{V} -right fractional category of \underline{A} with respect to Σ is a \underline{V} -localization $\Phi: \underline{A} \rightarrow \underline{A}[\Sigma^{-1}]$ such that

$$\text{for every } A, B \in \text{Ob}(\underline{A}[\Sigma^{-1}]), \underline{A}[\Sigma^{-1}](A, B) = \lim_{\substack{\longrightarrow \\ (\Sigma/A)^\circ}} \underline{A}(Q_A^\circ(\cdot), B)$$

with the universal natural transformation ψ^{AB} given by the equation

$$\psi_s^{AB} = \underline{A}[\Sigma^{-1}](\Phi(s)^{-1}, \Phi(B)) \cdot \Phi_{E, B} \text{ where } E \xrightarrow{s} A \in (\Sigma/A)^\circ.$$

(It is easily checked that it is natural.)

A \underline{V} -left fractional category \underline{A} with respect to Σ is a \underline{V} -localization $\Phi: \underline{A} \rightarrow \underline{A}[\Sigma^{-1}]$ such that for every pair A, B of objects of $\underline{A}[\Sigma^{-1}]$:

$$\underline{A}[\Sigma^{-1}](A, B) = \lim_{\substack{\longrightarrow \\ B/\Sigma}} \underline{A}(A, Q^B(\cdot))$$

with the universal natural transformation θ^{AB} given by

$$\theta_t^{AB} = \underline{A}[\Sigma^{-1}](\Phi(A), \Phi(t)^{-1}) \cdot \Phi_{A, E} \text{ where } B \xrightarrow{t} E \in B/\Sigma.$$

(Again it is easily checked that this is natural).

Let \underline{A} be a \underline{V} -category and $\Sigma \subset \underline{A}_0$ a subcategory containing the identities. If the \underline{V} -right (\underline{V} -left) fractional category of \underline{A} with respect to Σ exists then we say that Σ admits a \underline{V} -calculus of right (left) fractions.

PROPOSITION 1.2. Let \underline{A} be a \underline{V} -category. If $\Sigma \subset \underline{A}_0$ admits a \underline{V} -calculus of right fractions, then $\Sigma^\circ \subset \underline{A}_0^\circ$ admits a \underline{V} -calculus of left fractions.

PROOF. Clear.

In the following we will deal mainly with \underline{V} -calculus of right fractions. The results for \underline{V} -calculus of left fractions will then be clear by duality using the above proposition.

Recall that, if \underline{V} has pullbacks and $P: \underline{A} \rightarrow \underline{B}$ is a \underline{V} -functor, then $P^{-1}(B)$ is the category such that the following diagram is a pullback (see [12]).

$$\begin{array}{ccc}
 P^{-1}(B) & \xrightarrow{J_B} & \underline{A} \\
 \downarrow & \lrcorner & \downarrow P \\
 \underline{I} & \xrightarrow{\Gamma_B^{-1}} & \underline{B}
 \end{array}$$

DEFINITION 1.3. If \underline{V} has pullbacks and $\underline{P}: \underline{A} \rightarrow \underline{B}$ is a \underline{V} -functor, we say that P left covers \underline{B} if for all $A, B \in \underline{A}$ and every $E \in P^{-1}(PB)$

$$\underline{B}(PA, PB) = \lim_{P^{-1}(PA)^\circ} \underline{A}(J_{PA} \cdot, E)$$

with the universal natural transformation given by $P_{\cdot E}$.

There are many examples of left covering functors. So, every functor with a cleavage is left covering.

Since \underline{V} has pullbacks we can form the \underline{V} -category \underline{A}^2 for any \underline{V} -category \underline{A} . This is the category with objects being the morphisms of \underline{A} and such that, if $f: A \rightarrow B, g: C \rightarrow D$, then $\underline{A}^2(f, g)$ is such that the following is a pullback diagram in \underline{V} :

$$\begin{array}{ccc}
 \underline{A}^2(f, g) & \xrightarrow{\bar{D}} & \underline{A}(A, C) \\
 R \downarrow & & \downarrow \underline{A}(A, g) \\
 \underline{A}(B, D) & \xrightarrow{\underline{A}(f, D)} & \underline{A}(A, D)
 \end{array}$$

There are then two \underline{V} -functors $\bar{D}, R: \underline{A}^2 \rightarrow \underline{A}$. We define Σ^2 to be the full subcategory of \underline{A}^2 whose objects are in Σ . Then \bar{D} and R restrict to \underline{V} -functors from Σ^2 into \underline{A} . Our object is to use the category Σ^2 and the functors \bar{D} and R to construct \underline{V} -fractional categories. Before we do this, however, we will look at some relationships between Σ^2 and

fractional categories. We begin by looking at composition in $\underline{A}[\Sigma^{-1}]$.

PROPOSITION 1.4. Let $\underline{A}(\underline{A}, M, j)$ be a \underline{V} -category and suppose that $\Sigma \subset \underline{A}_0$ admits a \underline{V} -calculus of right fractions where

$$\underline{A}[\Sigma^{-1}] = (\underline{A}[\Sigma^{-1}], \bar{M}, \bar{j}).$$

If A, B, C are objects of \underline{A} , $s: E \rightarrow B$, $u: L \rightarrow A$, $t: D \rightarrow L$ are all in Σ then the following diagram commutes

$$\begin{array}{ccc} \Sigma^2(t, s) \otimes \underline{A}(E, C) & \xrightarrow{\bar{D} \otimes id} & \underline{A}(D, E) \otimes \underline{A}(E, C) \\ \downarrow R \otimes id & & \downarrow M \\ \underline{A}(L, B) \otimes \underline{A}(E, C) & & \underline{A}(D, C) \\ \downarrow \psi(u) \otimes \psi(s) & & \downarrow \psi(ut) \\ \underline{A}[\Sigma^{-1}](A, B) \otimes \underline{A}[\Sigma^{-1}](B, C) & \xrightarrow{\bar{M}} & \underline{A}[\Sigma^{-1}](A, C). \end{array}$$

PROOF. Consider diagram 1.5 where, writing $\underline{A}[\Sigma^{-1}] = \bar{A}$: 1 commutes since it is $\underline{A}(E, C)$ tensored with a commutative diagram; 2 and 5 commute since Φ is a \underline{V} -functor; 3 commutes since $\underline{A}[\Sigma^{-1}](\cdot, \cdot)$ is a functor and 4 commutes by 8.2 of [6].

LEMMA 1.6. Let \underline{A} be a \underline{V} -category and $\Sigma \subset \underline{A}_0$ admit a \underline{V} -calculus of right fractions. For every $f: B \rightarrow C$ in \underline{A}_0 ,

$$\underline{A}[\Sigma^{-1}](A, \Phi(f)) = \lim_{(\Sigma/A)^\circ} \underline{A}(Q_A^\circ(\cdot), f).$$

PROOF. It is easy to show that $\underline{A}[\Sigma^{-1}](A, \Phi(f))$ satisfies the same universal property as $\lim_{(\Sigma/A)^\circ} \underline{A}(Q_A^\circ(\cdot), f)$.

PROPOSITION 1.7. Let $\Sigma \subset \underline{A}_0$ be a subcategory which contains the identities. Let $T: \underline{A} \rightarrow \underline{B}$ be a \underline{V} -functor such that:

- (1) $T_0(s)$ is an isomorphism for each $s \in \Sigma$,
- (2) T is the identity on objects,
- (3) for every $A, B \in \text{Ob}(\underline{B})$, $\underline{B}(A, B) = \lim_{(\Sigma/A)^\circ} \underline{A}(Q_A^\circ(\cdot), B)$

with universal natural transformation given by

$$\psi_s^{AB} = \underline{B}(T(s)^{-1}, B). T_{E, B} \text{ where } E \xrightarrow{s} A \in (\Sigma/A)^\circ.$$

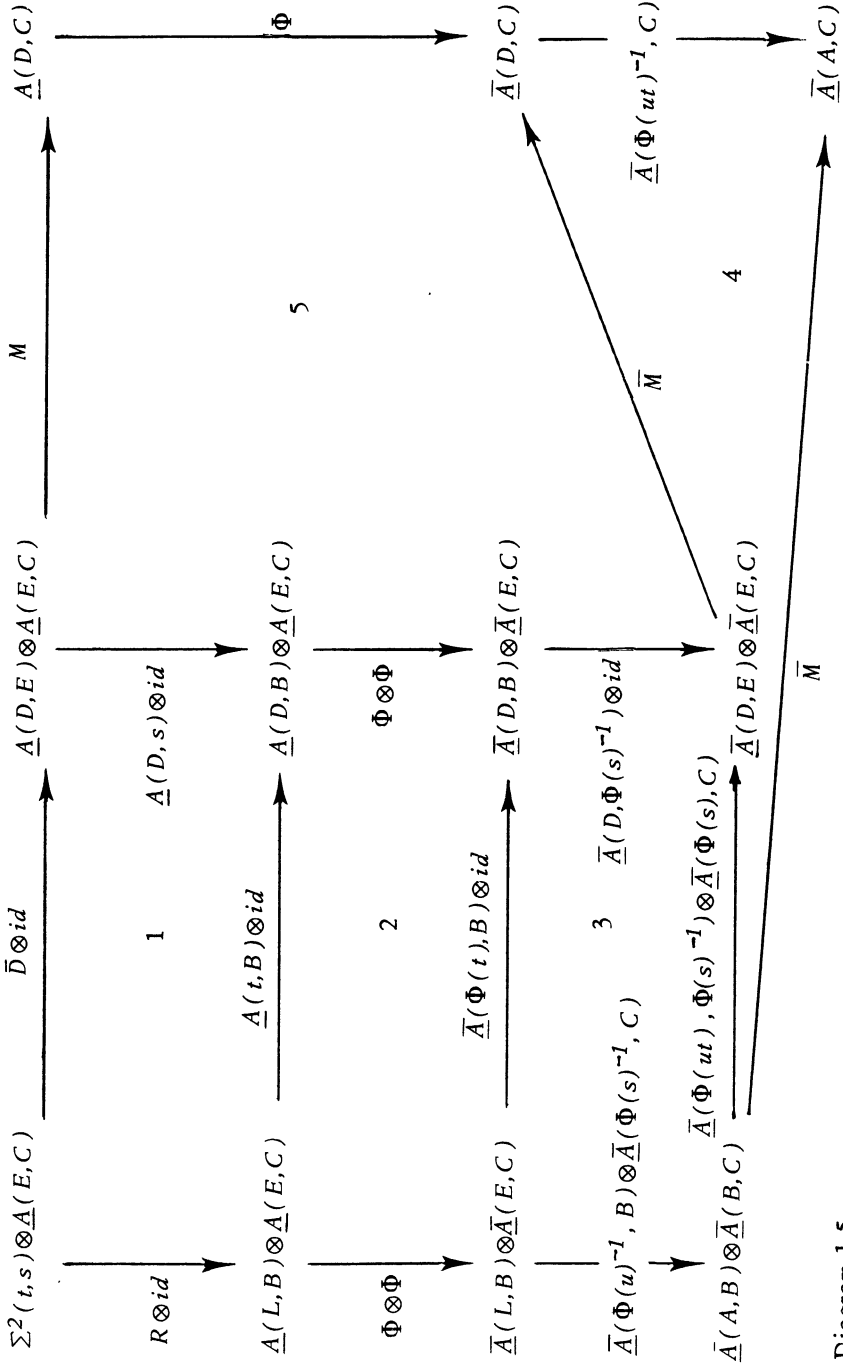


Diagram 1.5

If $R: \Sigma^2 \rightarrow \underline{A}$ is left covering, then $\underline{B} \cong \underline{A} [\Sigma^{-1}]'$ with $T = \Phi$.

PROOF. We just need to show that, if $F: \underline{A} \rightarrow \underline{C}$, $\underline{C} = (\underline{C}, O, k)$, is a \underline{V} -functor such that $F_o(s)$ is an isomorphism for each $s \in \Sigma$, then there exists a unique \underline{V} -functor $\tilde{F}: \underline{B} \rightarrow \underline{C}$ such that $\tilde{F} \cdot T = F$.

Define $\tilde{F}(B) = F(B)$ for each $B \in \underline{B}$. If $A, B \in \underline{B}$, to define $\tilde{F}_{A,B}$ we first of all define a natural transformation

$$w: \underline{A}(Q_A^o \cdot, B) \rightarrow \underline{C}(FA, FB)$$

as follows. If $s: D \rightarrow A \in (\Sigma/A)^o$, then

$$w(s) = \underline{C}(F(s)^{-1}, FB) \cdot F_{D,B}.$$

This is clearly natural and thus by the universal property of direct limits there exists a unique $\tilde{F}_{A,B}: \underline{B}(A, B) \rightarrow \underline{C}(FA, FB)$ such that

$$\tilde{F}_{A,B} \psi(s) = w(s) \text{ for every } s: D \rightarrow A \text{ in } (\Sigma/A)^o.$$

To show that \tilde{F} is a \underline{V} -functor we note that, since \otimes commutes with colimits and since

$$\lim_{\substack{\rightarrow \\ (\Sigma/D)^o}} \Sigma^2(\cdot, u) = \underline{A}(D, u)$$

for any $u: E \rightarrow B$ in Σ/B , it suffices to show that, for $s: D \rightarrow A$, $s': D' \rightarrow B$ and $t: E \rightarrow D$ all in Σ :

$$\begin{aligned} & O_{FA, FB, FC} \cdot \tilde{F}_{A,B} \otimes \tilde{F}_{B,C} \cdot \psi(s) \otimes \psi(s') \cdot R(t, s') \otimes \underline{A}(D', C) \\ &= \tilde{F}_{A,C} \cdot M_{A,B,C} \cdot \psi(s) \otimes \psi(s') \cdot R(t, s') \otimes \underline{A}(D', C). \end{aligned}$$

Consider diagram 1.8: 1 commutes by 1.4 (note that the proof did not use the induced functor property); 2 and 3 commute by the definition of \tilde{F} ; 4 commutes since F is a \underline{V} -functor; 5 commutes by 8.2 of [6]. Since the outer diagram is clearly commutative we have

$$\tilde{F} \cdot M = O. \tilde{F} \otimes \tilde{F}.$$

Now

$$\tilde{F}_{A,A} \cdot \tilde{j}_A = \tilde{F} \cdot T \cdot j = \tilde{F} \cdot \psi(id) \cdot j = F \cdot j = k_{FA, FA}$$

Hence \tilde{F} is a \underline{V} -functor.

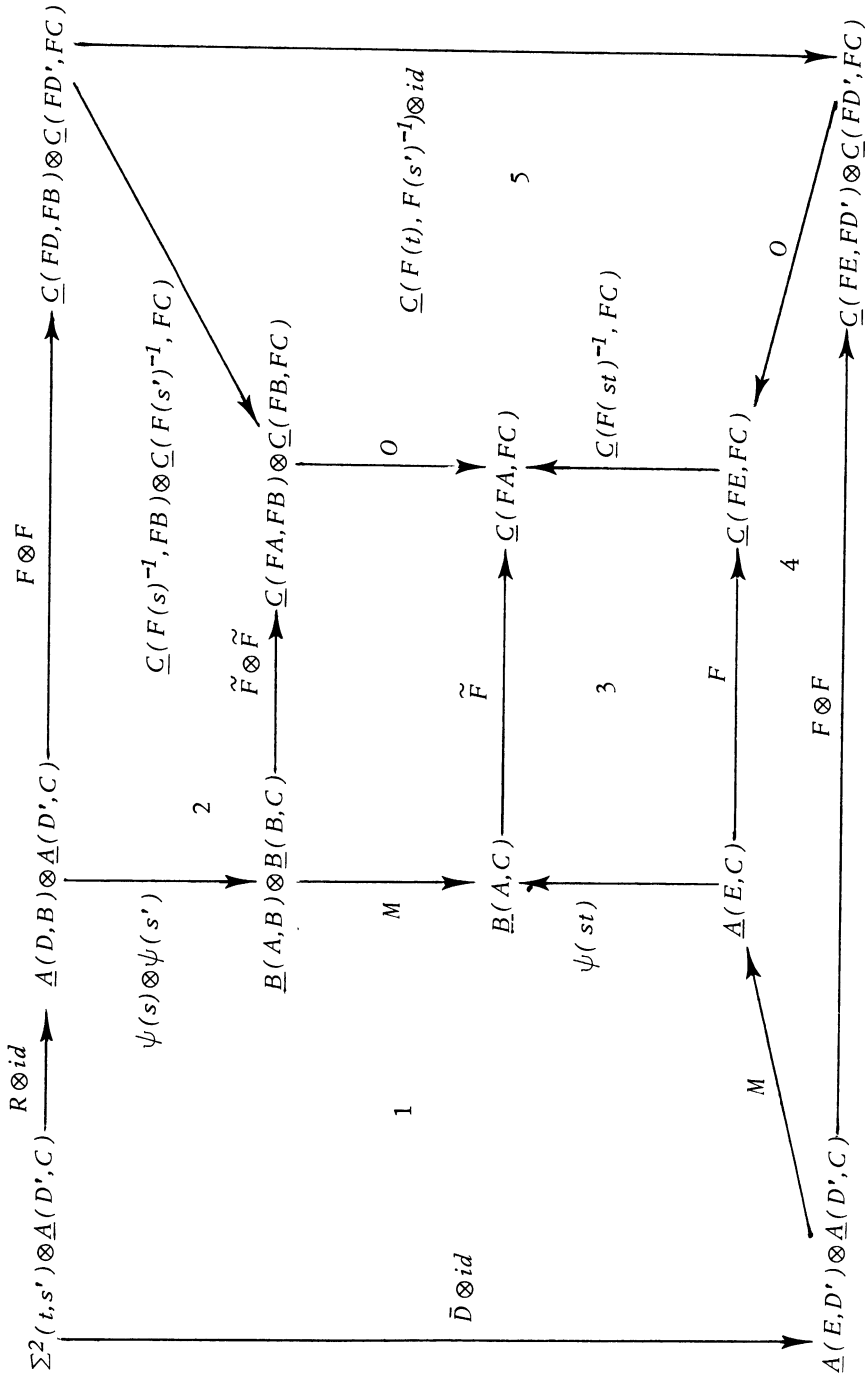


Diagram 1.8

Since, for every $A, B \in \underline{A}$, $\psi(id) = T_{A,B}$, we have

$$(\tilde{F} \cdot T)_{A,B} = \tilde{F}_{A,B} \cdot T_{A,B} = \tilde{F} \cdot \psi(id) = w(id) = F_{A,B}.$$

Thus $\tilde{F} \cdot T = F$. The uniqueness of \tilde{F} is clear.

COROLLARY 1.9. Let $\Sigma \subset \underline{A}_0$ and let $T: \underline{A} \rightarrow \underline{B}$ be a \underline{V} -functor which satisfies (1), (2) and (3) of 1.7. If $\lim_{(\Sigma/A)^0}$ commutes with pullbacks, then $\underline{B} \cong \underline{A} [\Sigma^{-1}]$ and $T = \Phi$.

PROOF. The following is a pullback of functors for $s: E \rightarrow B$

$$\begin{array}{ccc} \Sigma^2(\cdot, s) & \xrightarrow{R} & \underline{A}(Q_A^0(\cdot), E) \\ \bar{D} \downarrow & & \downarrow \underline{A}(\cdot, s) \\ \underline{A}(A, B) & \xrightarrow{\underline{A}(\cdot, B)} & \underline{A}(Q_A^0(\cdot), B) \end{array}$$

Lemma 1.6 shows that

$$\lim_{(\Sigma/A)^0} \underline{A}(Q_A^0(\cdot), s) = \underline{B}(A, T(s)).$$

But $\underline{B}(A, T(s))$ is an isomorphism of \underline{V} . So if we take the $\lim_{(\Sigma/A)^0}$ of

the above pullback we get

$$\lim_{(\Sigma/A)^0} \Sigma^2(\cdot, s) = \underline{A}(A, B).$$

Hence the result follows by 1.7.

DEFINITION 1.10 (Almkvist [1]). Let \underline{A} be a \underline{V} -category, $\Sigma \subset \underline{A}_0$ a subcategory such that objects of Σ and \underline{A} are the same. Σ is said to be nice if, for each $A \in \underline{A}$, $(\Sigma/A)^0$ has a small final subcategory.

COROLLARY 1.11. Let \underline{V} be cocomplete and have pullbacks such that filtered colimits commute with pullbacks. Let \underline{A} be a \underline{V} -category and $\Sigma \subset \underline{A}_0$ be nice such that $(\Sigma/A)^0$ is filtered for all A . Then Σ admits a \underline{V} -calculus of right fractions iff there exists a \underline{V} -category \underline{B} and a \underline{V} -functor $T: \underline{A} \rightarrow \underline{B}$ satisfying (1), (2) and (3) of 1.7.

We now come to the main existence theorem.

THEOREM 1.12. Let V be complete and cocomplete. Let $\Sigma \subset \underline{A}_0$ be a subcategory with the same objects as \underline{A} . If:

- (1) $R: \Sigma^2 \rightarrow \underline{A}$ is left covering and
- (2) If s, t are morphisms with $s \in \Sigma$ and with the same codomain, then there exist s', t' with $s' \in \Sigma$ such that $st' = t s'$, then Σ admits a \underline{V} -calculus of right fractions.

PROOF. The proof proceeds by defining a \underline{V} -triple on the \underline{V} -functor category $[\underline{A}^0, \underline{V}]$ and then using some results of [7]. The results we need are the following:

1 (3.6 of [7]). If T is a triple on $[\underline{A}^0, \underline{V}]$, there is a triple T' on $[\underline{A}^0, \underline{V}]$ where T' is cocontinuous and a triple map $\varepsilon: T' \rightarrow T$ which is universal with respect to cocontinuous triples. T' is obtained by restricting T to the representables and then Kan extending. Hence T and T' agree on representables.

2 (3.12 of [7]). There is an equivalence of categories between cocontinuous triples on $[\underline{A}^0, \underline{V}]$ and the category of pairs (x, \underline{A}') where \underline{A}' is a \underline{V} -category and $x: \underline{A} \rightarrow \underline{A}'$ is a surjection on objects. Given T , \underline{A}' is defined by

$$ob \underline{A}' = ob \underline{A} \quad \text{and} \quad \underline{A}'(A, B) = T \underline{A}(-, B)(A).$$

Furthermore x corresponds to the unit η of T .

To define the \underline{V} -triple $\underline{T} = (T, \eta, \mu)$ on $[\underline{A}^0, \underline{V}]$ (which turns out to be idempotent) we first define T . Let $F \in [\underline{A}^0, \underline{V}]$ and define

$$T(F)(A) = \lim_{\rightarrow (\Sigma/A)^0} F \cdot Q_A^0$$

with universal transformation ε . To give a \underline{V} -functor structure to $T(F)$ we note that

$$\underline{V}(TF(A), TFB) = \lim_{\rightarrow \Sigma/A} \underline{V}(F \cdot Q_A^0, TFB).$$

Hence to define $T(F): \underline{A}^0(A, B) \rightarrow \underline{V}(TFA, TFB)$ it suffices to define a natural family

$$\Gamma: \underline{A}^0(A, B) = \underline{A}(B, A) \rightarrow \underline{V}(FQ_A^0, TF(B)).$$

Fix $s: E \rightarrow A$ in Σ/A . By hypothesis $\underline{A}(B, A) = \lim_{\rightarrow (\Sigma/A)^\circ} \Sigma^2(\cdot, s)$. So to

define $\Gamma(s)$ it suffices to define a natural family

$$\Sigma^2(\cdot, s) \rightarrow \underline{V}(FE, TFB).$$

Let $t: C \rightarrow B$ in $(\Sigma/B)^\circ$ and set

$$\Gamma(s).R(t, s) = \underline{V}(FE, \varepsilon(t)).F.\bar{D}(t, s).$$

This is easily checked to be natural in t and consequently there exists a unique $\Gamma(s)$ such that

$$\Gamma(s).R(t, s) = \underline{V}(FE, \varepsilon(t)).F.\bar{D}(t, s).$$

It is then easy to check that Γ is natural. Hence there exists a unique

$$T(F): \underline{A}^\circ(A, B) \rightarrow \underline{V}(TFA, TFB)$$

such that

$$\underline{V}(\varepsilon(s), TFB).T(F) = \Gamma(s).$$

A moderate size diagram which we omit shows that with the above definitions $TF: \underline{A}^\circ \rightarrow \underline{V}$ is a \underline{V} -functor.

We claim now that we can give T the structure of a \underline{V} -functor $[\underline{A}^\circ, \underline{V}] \rightarrow [\underline{A}^\circ, \underline{V}]$. For notational convenience let us denote $\hat{\underline{A}} = [\underline{A}^\circ, \underline{V}]$. Recall (see [5]) that $\hat{\underline{A}}[F, G] = \int_A \underline{V}(FA, GA)$. To define a \underline{V} -functor structure on T we need $T: \hat{\underline{A}}[F, G] \rightarrow \hat{\underline{A}}[TF, TG]$. So to define T we need a \underline{V} -natural family

$$\delta_A: \hat{\underline{A}}[F, G] \rightarrow \underline{V}(TFA, TGA).$$

To define δ_A we need a natural family $\hat{\underline{A}}[F, G] \rightarrow \underline{V}(FQ_A^\circ, TGA)$. Let $s: E \rightarrow A$ be in Σ , define

$$\underline{V}(\varepsilon(s), TGA). \delta_A = \underline{V}(FE, \varepsilon(s)).\psi(E),$$

where ψ is the \underline{V} -natural family $\int_A \underline{V}(FA, GA) \rightarrow \underline{V}(F\cdot, G\cdot)$. A short check shows that this is natural and so δ_A is well defined. We claim now that $\{\delta_A\}$ is a \underline{V} -natural family. Before we do this, however, we need to note two things. First, let us define $\eta F: F \rightarrow TF$ by $\eta FA = \varepsilon(id)$. Then using the definition of TF it is easy to see that ηF is

\underline{V} -natural. Secondly, we note that if $s: E \rightarrow B$ is in Σ then $TF(s): TFB \rightarrow TFE$ is an isomorphism. To see this, define $m: TFE \rightarrow TFB$ by $m \cdot \varepsilon(t) = \varepsilon(st)$. Now by the definition of TF and hypothesis (2) we have $TF(s) \cdot \varepsilon(l) = \varepsilon(n) \cdot F(d)$ where $l: L \rightarrow B$, $n: M \rightarrow E$ are in Σ and $s \cdot n = l \cdot d$. Then

$$m \cdot TF(s) \cdot \varepsilon(l) = m \cdot \varepsilon(n) \cdot F(d) = \varepsilon(sn) \cdot F(d) = \varepsilon(l)$$

and

$$TF(s) \cdot m \cdot \varepsilon(t) = TF(s) \cdot \varepsilon(st) = \varepsilon(t) \cdot F(id) = \varepsilon(t).$$

Now to show \underline{V} -naturality we need to show $\sigma_0 \delta(B) = \sigma_0 \delta(A)$. Consider diagram 1.13: 1 commutes by definition, 2 (resp. 3) by \underline{V} -naturality of ψ (resp. $\varepsilon(id)$), 4 since $m \cdot TF(s)$ is the identity; 5 commutes by naturality properties of σ_0 and the fact that $m' \cdot TF(t)$ is the identity; 6 and 8 commute by naturality of σ_0 ; 7 and 9 commute by definition; 10 commutes by functoriality of $\underline{V}(\cdot, \cdot)$; 11 commutes by definition of Σ^2 ; and finally 12 commutes by definition of TF . Hence $\{\delta(A)\}$ is a \underline{V} -natural family and consequently there exists a unique morphism

$$T: \hat{A}[F, G] \rightarrow \hat{A}[TF, TG] \quad \text{with} \quad \psi(A) \cdot T = \delta(A).$$

It is easily checked that T is a \underline{V} -functor and that the map $\eta: 1 \rightarrow T$ defined by $\eta FA = \varepsilon(id)$ is a \underline{V} -natural transformation.

Now consider $\eta T: T \rightarrow T^2$. We claim that ηT is an isomorphism. To see this we define for each $F \in \hat{A}$ and each $A \in \underline{A}^0$ an inverse μ_A to $\eta TFA = \varepsilon(id)$. Now

$$T^2 FA = \lim_{\substack{\longrightarrow \\ (\Sigma/A)^0}} TFQ_A^0.$$

So to define μ_A we need a natural transformation $\mu'_A: TFQ_A^0 \rightarrow TFA$. Let $s: E \rightarrow A$ be in Σ . Define $\mu'_A(s): TFE \rightarrow TFA$ by $\mu'_A(s) = TF(s)^{-1}$. That this is natural is clear. Hence there exists a unique $\mu_A: T^2 FA \rightarrow TFA$ such that $\mu_A \cdot \varepsilon(s) = TF(s)^{-1}$. Now

$$\mu_A \cdot \eta TFA \cdot \varepsilon(t) = \mu_A \cdot \varepsilon(id) \cdot \varepsilon(t) = TF(id) \cdot \varepsilon(t) = \varepsilon(t).$$

Hence $\mu_A \cdot \eta TFA = 1$. Also

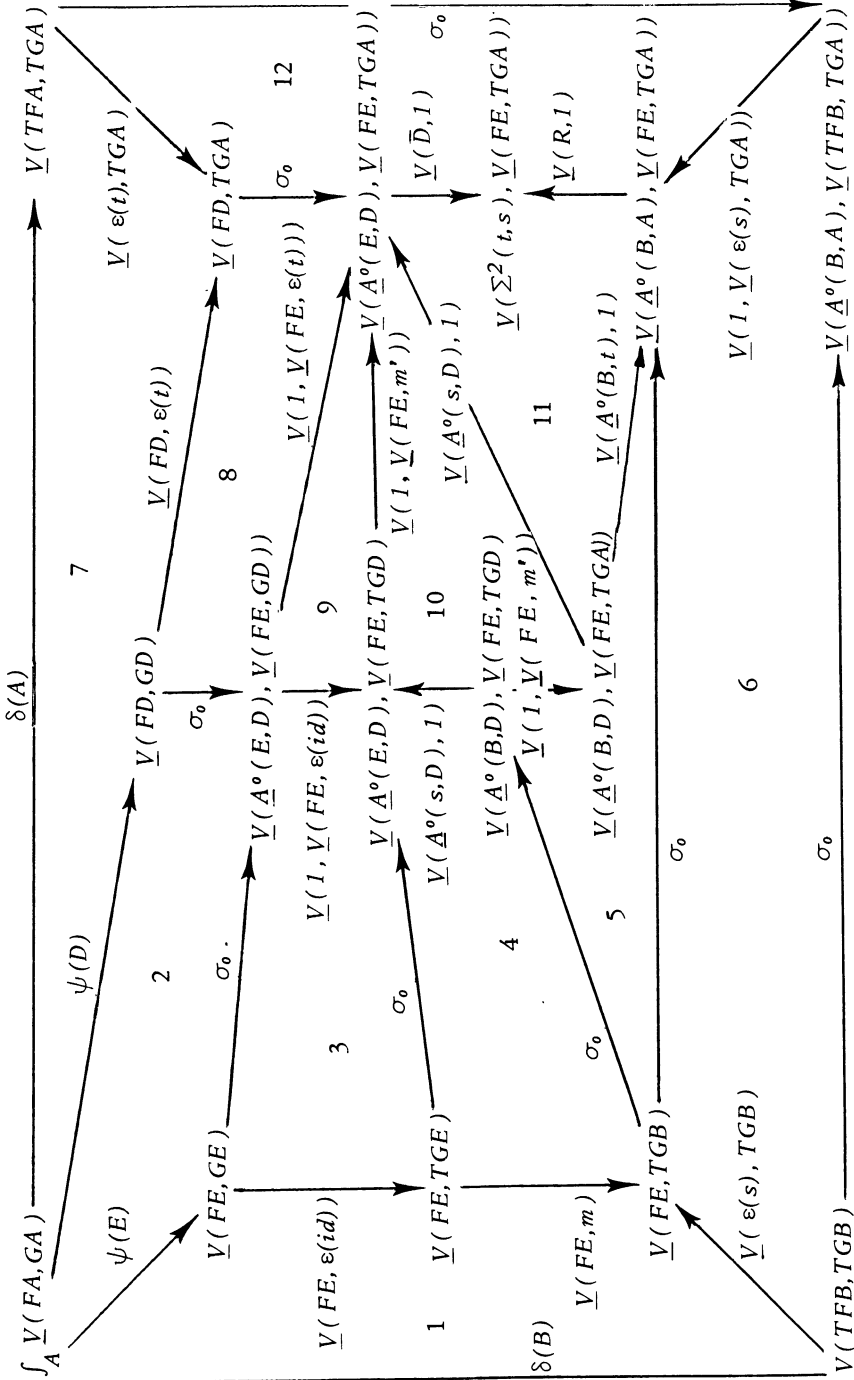


Diagram 1.13

$$\eta TFA. \mu_A. \varepsilon(t) = \eta TFA. TF(t)^{-1} = \varepsilon(id). TF(t)^{-1} = \varepsilon(t).$$

Consequently $\eta TFA. \mu_A = 1$ and ηTF is an isomorphism.

If we set $\mu = (\eta T)^{-1}$ then $\underline{T} = (T, \eta, \mu)$ is an (idempotent) monad on $[\underline{A}^0, \underline{V}]$. By 3.6 and 3.12 of [7] (see above) there exists a category $\underline{A} [\underline{\Sigma}^{-1}]$ with the same objects as \underline{A} with

$$\underline{A} [\underline{\Sigma}^{-1}](A, B) = \lim_{\rightarrow (\underline{\Sigma}/A)^0} \underline{A}(Q_A^0 \cdot, B) = T(\underline{A}(\cdot, B))(A)$$

and a \underline{V} -functor $\Phi: \underline{A} \rightarrow \underline{A} [\underline{\Sigma}^{-1}]$ which is the identity on objects. Φ is defined by

$$\Phi: \underline{A}(A, B) \rightarrow T(\underline{A}(\cdot, B))(A) \quad \text{is} \quad \eta(\underline{A}(\cdot, B))(A) = \varepsilon(id).$$

Since, for all $s \in \underline{\Sigma}$, $TF(s)$ is an isomorphism we have $\Phi(s)$ is an isomorphism. Note also that $\varepsilon(s)$, by the above universal natural transformation for $\lim_{\rightarrow (\underline{\Sigma}/A)^0} \underline{A}(Q_A^0 \cdot, B)$, can be written as

$$\begin{aligned} \varepsilon(s) &= \varepsilon(s.1) = T(\underline{A}(\cdot, B))(s)^{-1}. \varepsilon(id) = \\ &= \underline{A} [\underline{\Sigma}^{-1}](\Phi(s)^{-1}, B). \Phi_{E,B} \end{aligned}$$

if $s: E \rightarrow A$ is in $(\underline{\Sigma}/A)^0$. Hence by 1.7 and the results of [7] we get the result.

REMARKS: 1. In 1.12 we assumed that \underline{A} was small. There is also a similar result when \underline{A} is large. In this case it is necessary to make the assumption that $\underline{\Sigma}$ is nice and that the pullback of the covering functor R along \bar{D} is also covering. The proof is a long but direct calculation. The details appear in [22].

2. Note that condition (2) of 1.12 is equivalent to the canonical morphism $Z: \lim_{\rightarrow (\underline{\Sigma}/A)^0} \underline{V}(\underline{\Sigma}^2(\cdot, s)) \rightarrow \underline{A}_0(A, B)$ being surjective.

COROLLARY 1.14. *Let \underline{V} be cocomplete with pullbacks such that filtered colimits commute with pullbacks in \underline{V} . Suppose $V: \underline{V} \rightarrow \text{Sets}$ preserves filtered colimits. Let \underline{A} be small and $\underline{\Sigma} \subset \underline{A}_0$ such that $(\underline{\Sigma}/A)^0$ is filtered for each A . Then $\underline{\Sigma}$ admits a \underline{V} -calculus of right fractions iff $R: \underline{\Sigma}^2 \rightarrow \underline{A}$ is left covering.*

So for example $\underline{V} = \text{Cat}$ and $\underline{V} = \hat{R}\text{-modules}$ over a commutative ring \hat{R} satisfy the conditions of 1.14.

The next proposition shows how the well known conditions for $\underline{V} = \text{Sets}$ (see [11]) can be derived directly from our conditions.

PROPOSITION 1.15, Let \underline{V} be Sets and $\Sigma \subset \underline{A}$ a subcategory. Then the following are equivalent:

(1) $(\Sigma/A)^\circ$ is filtered for each $A \in \underline{A}$ and $\Sigma^2 \xrightarrow{R} \underline{A}$ is left covering.

(2) (a) for every $f \in \underline{A}$, $s \in \Sigma$ such that $\text{codomain } f = \text{codomain } s$ there exists $g \in \underline{A}$, $t \in \Sigma$ such that $sg = ft$.

(b) If $s \cdot f = s \cdot g$, $s \in \Sigma$, then there exists $t \in \Sigma$ such that $ft = gt$.

PROOF. (1) implies (2): (a) Let $f: A \rightarrow B$, $s: E \rightarrow B$. Since

$$\lim_{(\Sigma/A)^\circ} \Sigma^2(-, s) = \underline{A}(A, B)$$

there exists $t: E_1 \rightarrow A$ in $(\Sigma/A)^\circ$ and $m \in \Sigma^2(t, s)$ such that $R(m) = f$. Hence we have the following commutative diagram

$$\begin{array}{ccc} E_1 & \xrightarrow{g} & E \\ t \downarrow & & \downarrow s \\ A & \xrightarrow{f} & B \end{array}$$

(b) Suppose $sf = sg$ where $s: B \rightarrow C$ in Σ and $f, g: A \rightarrow B$. Then $\underline{A}(A, C) = \lim_{(\Sigma/A)^\circ} \Sigma^2(-, s)$. Taking $id: A \rightarrow A$ in $(\Sigma/A)^\circ$ we have that

$$\Sigma^2(id, s) = \underline{A}(A, B) \text{ and } R(id, s) = \underline{A}(A, s).$$

Then f, g satisfy $R(id, s)(f) = R(id, s)(g)$. Since the limit is filtered, there is a $t: E_1 \rightarrow A$ in $(\Sigma/A)^\circ$ and

$$\begin{array}{ccc} A & \xrightarrow{\alpha} & E_1 \\ id \searrow & & \swarrow t \\ & A & \end{array}$$

in $(\Sigma/A)^0$ such that $\Sigma^2(t, id)(f) = \Sigma^2(t, id)(g)$. Hence $ft = gt$.

(2) implies (1) is clear.

COROLLARY 1.16. *Let \underline{V} be cocomplete with pullbacks such that $V: \underline{V} \rightarrow \text{Sets}$ commute with filtered colimits. Let $\Sigma \subset \underline{A}_0$ admit a \underline{V} -calculus of right fractions such that $(\Sigma/A)^0$ for each A is filtered. Then Σ admits a calculus of fractions relative to Sets and $\underline{A}[\Sigma^{-1}]_0 = \underline{A}_0[\Sigma^{-1}]$.*

If \underline{V} reflects filtered colimits then the converse is true.

PROOF. It is clear that $\Sigma^2 \xrightarrow{R} \underline{A}_0$ is left covering in this situation and consequently by 1.15 and [1] Σ admits a calculus of fractions relative to Sets . It is easily checked that relative to Sets the conditions of 1.7 are satisfied for $\Phi_0: \underline{A}_0 \rightarrow \underline{A}[\Sigma^{-1}]_0$.

The converse follows from 1.14.

For $\underline{V} = \text{abelian groups}$ it is well known that $V: \underline{V} \rightarrow \text{Sets}$ preserves and reflects filtered colimits. Hence in this case an additive localization and a set localization are the same by 1.16.

Most of the results about fractional categories which appear in [1] and [11] go over to the \underline{V} -case. For full details see [22]. The one result that we need is the following.

PROPOSITION 1.17. *Let \underline{V} be complete and cocomplete and \underline{A} be a small \underline{V} -category. Let $\Phi: \underline{A} \rightarrow \underline{A}[\Sigma^{-1}]$ be a \underline{V} -right fractional category. Let $F, G: \underline{A}[\Sigma^{-1}] \rightarrow \underline{B}$ be two \underline{V} -functors. Then Φ induces an isomorphism: $[F, G] \simeq [F\Phi, G\Phi]$, i.e. the functor*

$$\Phi': [\underline{A}[\Sigma^{-1}], \underline{B}] \rightarrow [\underline{A}, \underline{B}]$$

is \underline{V} -full and faithful.

PROOF. Clear.

As an example of how \underline{V} -calculus of fractions can be used we briefly indicate how one can extend to \underline{V} -theory the notions of Grothendieck topologies. Our context is the following. \underline{V} is complete and cocomplete. We further assume that \underline{V} has a fixed $(\mathcal{E}, \mathfrak{M})$ factorization as discussed in [4] or [8] and is \mathfrak{M} -well powered. Let \underline{A} be a small

\underline{V} -category. An \mathfrak{M} -crible is a \underline{V} -functor $\hat{R}: \underline{A}^o \rightarrow \underline{V}$ for which there exists a \underline{V} -natural transformation $\hat{R} \rightarrow \underline{A}(\cdot, A)$ each of whose components is in \mathfrak{M} . Let \mathfrak{B} be the \underline{V} -full subcategory of $[\underline{A}^o, \underline{V}]$ whose objects are \mathfrak{M} -cribles. By a \underline{V} -topology on \underline{A} we mean the following: for each A let $J(A)$ be a set of cribles with codomain $\underline{A}(\cdot, A)$ such that $id \in J(A)$. Let Σ be the subcategory of the underlying category of \mathfrak{B} generated by the $J(A)$. Σ is called a \underline{V} -topology if it admits a \underline{V} -calculus of right fractions. A \underline{V} -functor $F: \underline{A}^o \rightarrow \underline{V}$ is a \underline{V} -sheaf if for each $i: \hat{R} \rightarrow \underline{A}(\cdot, A)$ in $J(A)$ the canonical morphism $[\underline{A}(\cdot, A), F] \rightarrow [\hat{R}, F]$ is an isomorphism.

In analogy to the case $V = Sets$, we prove the following

PROPOSITION. *Given a \underline{V} -topology Σ on \underline{A} then there exist a \underline{V} -functor $\hat{R}: [\underline{A}^o, \underline{V}] \rightarrow [\underline{A}^o, \underline{V}]$ and a \underline{V} -natural transformation $\delta: 1 \rightarrow \hat{R}$ such that:*

(1) $\delta \hat{R} = \hat{R} \delta$.

(2) *The following are equivalent:*

(a) ϕ is a \underline{V} -sheaf.

(b) $\delta \phi$ is an isomorphism.

(c) For all $G: \underline{A}^o \rightarrow \underline{V}$, $[\delta G, \phi]$ is an isomorphism.

PROOF. Let $\Phi: \mathfrak{B} \rightarrow \mathfrak{B}[\Sigma^{-1}]$ be the canonical functor. Then Φ induces a functor which we denote also by $\Phi: [\mathfrak{B}[\Sigma^{-1}]^o, \underline{V}] \rightarrow [\mathfrak{B}^o, \underline{V}]$. Φ is \underline{V} -fully faithful and has a \underline{V} -left adjoint Ψ . Let $(\bar{\eta}, \bar{\varepsilon}): \Psi \dashv \Phi$ be the front and back adjunction. Furthermore the functor

$$U: [\underline{A}^o, \underline{V}] \rightarrow [\mathfrak{B}^o, \underline{V}] \text{ defined by } U(G) = [I, G],$$

where $I: \mathfrak{B} \rightarrow [\underline{A}^o, \underline{V}]$ is the inclusion, is \underline{V} -full and faithful and has a left adjoint \bar{F} which is composing with $y^o: \underline{A}^o \rightarrow \mathfrak{B}^o$ where y is the Yoneda embedding. Let (η, ε) denote the front and back adjunction of $F \dashv U$.

Define \hat{R} as the following $F\Phi\Psi U = \hat{R}$ and δ as the following composite

$$1 \xrightarrow{\varepsilon^{-1}} F U \xrightarrow{F\bar{\eta}U} F\Phi\Psi U = \hat{R}.$$

To prove 1 we have

$$\delta \hat{R} = F\bar{\eta}U F\Phi\Psi U. F\eta\Phi\Psi U = F\Phi\Psi\eta\Psi U. F\bar{\eta}\Phi\Psi U$$

$$\begin{aligned}
&= F\Phi\Psi\bar{\eta}\Psi U. F\Phi\Psi\eta U = F\Phi\Psi UF\bar{\eta}U. F\Phi\Psi\eta U \\
&= F\Phi\Psi UF\bar{\eta}U. F\Phi\Psi U\varepsilon^{-1} = \hat{R}\delta
\end{aligned}$$

Hence $\delta\hat{R} = \hat{R}\delta$.

2.(a) \implies (b) If ϕ is a \underline{V} -sheaf then $U(\phi) = [I\cdot, \phi]$ inverts the morphisms of Σ . Consequently η is an isomorphism and therefore δ is an isomorphism.

(b) \implies (c) Define $\sigma: [G, \phi] \rightarrow [\hat{R}G, \hat{R}\phi]$ as the following composition

$$[G, \phi] \xrightarrow{\hat{R}} [\hat{R}G, \hat{R}\phi] \xrightarrow{[\hat{R}G, \delta\phi^{-1}]} [\hat{R}G, \phi].$$

We claim that σ is the inverse of $[\delta G, \phi]$. One way is clear. Now

$$\begin{aligned}
[\hat{R}G, \delta\phi^{-1}] \cdot \hat{R} \cdot [\delta G, \phi] &= [\hat{R}G, \delta\phi^{-1}] \cdot [\hat{R}\delta G, \hat{R}\phi] \\
&= [\hat{R}G, \delta\phi^{-1}] \cdot [\delta\hat{R}G, \hat{R}\phi] \\
&= [\hat{R}G, \delta\phi^{-1}] \cdot [\hat{R}G, \delta\phi] \\
&= id.
\end{aligned}$$

(c) \implies (a) Since $[\delta\phi, \phi]$ is an isomorphism, there is a $\sigma: \hat{R}\phi \rightarrow \phi$ such that $\sigma \cdot \delta\phi = id$. Then

$$\begin{aligned}
U\sigma \cdot \eta\Phi\Psi U\phi \cdot \bar{\eta}U\phi &= U\sigma \cdot UF\bar{\eta}U\phi \cdot \eta U\phi \\
&= U\sigma \cdot UF\bar{\eta}U\phi \cdot U\varepsilon^{-1}\phi \\
&= U(\sigma \cdot \delta\phi) \\
&= id.
\end{aligned}$$

Since Φ is fully faithful, we get that $\bar{\eta}U\phi$ is an isomorphism, and consequently ϕ is a \underline{V} -sheaf.

Now using the above proposition and the methods of [20] one gets the following

THEOREM. *If R preserves \underline{V} -filtered colimits for some regular cardinal α , then the \underline{V} -sheaves form a \underline{V} -reflective subcategory.*

For further applications and examples we refer the reader to [23] and [25].

BIBLIOGRAPHY

- [1] G. ALMKVIST, Fractional categories, *Arkiv for Matematik* 7 (1969), 449-476.
- [2] F.W. BAUER and J. DUGUNDJI, Categorical homotopy and fibrations, *Trans. A.M.S.* 140 (1969), 239-256.
- [3] B.J. DAY and G.M. KELLY, Enriched functor categories, *Lecture Notes in Mathematics*, Vol. 106, Springer, 1969, 178-191.
- [4] B.J. DAY, *On adjoint-functor factorization*, preprint.
- [5] E. DUBUC, Kan extensions in enriched category theory, *Lecture Notes in Mathematics*, Vol. 145, Springer, 1970.
- [6] S. EILENBERG and G.M. KELLY, Closed categories, *Proceedings of the Conference on Categorical Algebra*, Springer, Berlin, 1966, 421-562.
- [7] J. FISHER-PALMQUIST and D.C. NEWELL, Triples on functor categories, *J. of Algebra* 25 (1973), 226-258.
- [8] P. FREYD and G.M. KELLY, Categories of continuous functors I, *J. of Pure and Applied Algebra* 2 (1972), 169-191.
- [9] P. GABRIEL, Des catégories abéliennes, *Bull. Soc. Math. France* 90 (1963), 323-448.
- [10] P. GABRIEL and U. OBERST, Spektralkategorien und reguläre Ringe im Von-Neumannschen Sinn, *Math. Z.* 92 (1966), 389-395.
- [11] P. GABRIEL and M. ZISMAN, *Calculus of Fractions and Homotopy Theory*, Springer, Berlin, 1967.
- [12] J.W. GRAY, Fibred and cofibred categories, *Proceedings of the Conference on Categorical Algebra*, Springer, Berlin, 1966, 21-83.
- [13] R. HARTSHORNE, Residues and duality, *Lecture Notes in Mathematics*, Vol. 20, Springer, 1966.
- [14] F.W. LAWVERE e.d., Toposes, algebraic geometry and logic, *Lecture Notes in Mathematics*, Vol. 274, Springer, 1972.
- [15] D.G. QUILLEN, Homotopical algebra, *Lecture Notes in Mathematics*, Vol. 43, Springer, 1967.
- [16] D.G. QUILLEN, Rational homotopy theory, *Annals of Math.* 90 (1969), 205-295.
- [17] J.E. ROOS, Locally Noetherian categories, *Lecture Notes in Mathematics*, Vol. 92, 1969, 192-277.
- [18] J.E. ROOS, Locally distributive spectral categories and strongly regular rings, *Lecture Notes in Mathematics*, Vol. 47, Springer, 1967, 156-181.

- [19] B. STENSTROM, Rings and modules of quotients, *Lecture Notes in Mathematics*, Vol. 237, Springer, 1971.
- [20] F. ULMER, On the existence and exactness of the associated sheaf functor, *J. of Pure and Applied Algebra* 25 (1973), 226-258.
- [21] J.L. VERDIER, Topologies et faisceaux, Exposés I and II of Séminaire I.H.E.S. (1963-1964).
- [22] H.E. WOLFF, \underline{V} -localizations and \underline{V} -triples, Dissertation, University of Illinois, 1970.
- [23] H.E. WOLFF, \underline{V} -localizations and \underline{V} -monads, *J. of Algebra* 24 (1973), 405-438.
- [24] H.E. WOLFF, \underline{V} -Cat and \underline{V} -Graph (to appear in *J. of Pure and Applied Algebra*).
- [25] H.E. WOLFF, \underline{V} -localizations and \underline{V} -monads II, submitted.

Department of Mathematics
The University of Texas at Austin
AUSTIN, Texas, U.S.A.