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# Brill-Noether theory for non-special linear systems

*Dedicated to Frans Oort on the occasion of his 60th birthday*

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## Introduction

Classical Brill-Noether Theory concerns the behaviour of special linear systems on a general smooth curve  $C$  of genus  $g$ . Because of the Riemann-Roch Theorem this is equivalent to the study of secant space divisors (see the definition below) of the complete canonical linear system  $|K_C|$  on  $C$ . In this paper we study the secant space divisors for a general non-special (not necessarily complete) linear system on a general smooth curve  $C$ .

Let  $C$  be a smooth irreducible complete curve defined over an algebraically closed field  $K$  of characteristic 0. We use the following notation for linear systems on  $C$ . Let  $\mathcal{L}$  be an invertible sheaf of degree  $d$  on  $C$ . An  $(n+1)$ -dimensional linear subspace  $V$  of the space  $\Gamma(C; \mathcal{L})$  of global sections of  $\mathcal{L}$  defines an  $n$ -dimensional linear system on  $C$ . We identify this linear system with the projective space  $\mathbf{P}(V)$  and we write  $g_d^n(V)$ . If  $s$  is a nonzero element of  $V$ , then  $D_s$  is the associated divisor on  $C$ . If no confusion is possible, we write  $g_d^n$ . For an integer  $e \geq 1$ , let  $C^{(e)}$  be the  $e$ th symmetric product of  $C$ . We identify a point on  $C^{(e)}$  with the effective divisor  $E$  of degree  $e$  on  $C$  it represents. For  $E \in C^{(e)}$  we define

$$V(-E) = \{s \in V : D_s \geq E\},$$

$$g_d^n(-E) = \mathbf{P}(V(-E)) = \{D \in g_d^n : D \geq E\}.$$

Take a non-negative integer  $f$  with  $e - f \leq n$ .

0.1. DEFINITION.  $E \in C^{(e)}$  is called an  $e$ -secant  $(e - f - 1)$ -space divisor if  $\dim(g_d^n(-E)) = n - e + f$ . (In case  $g_d^n$  defines an embedding  $C \subset \mathbf{P}^n$  then the linear span  $\langle E \rangle$  has dimension  $e - f - 1$ . This explains the terminology.)

0.2. NOTATION.  $V_e^{e-f}(g_d^n) = \{E \in C^{(e)} : \dim(g_d^n(-E)) \geq n - e + f\}$ . Those subsets of  $C^{(e)}$  have a natural scheme structure (see [1]).

0.3. FACT. (see [1]) If  $Z$  is an irreducible component of  $V_e^{e-f}(g_d^n)$  then  $\dim(Z) \geq e - f(n + 1 - e + f)$ . If  $e - f(n + 1 - e + f) \geq 0$  and if each irreducible component of  $V_e^{e-f}(g_d^n)$  has dimension  $e - f(n + 1 - e + f)$  then  $V_e^{e-f}(g_d^n)$  is a Cohen-Macaulay scheme.

0.4. DEFINITION. Assume  $e - f(n + 1 - e + f) \geq 0$  and let  $Z$  be an irreducible component of  $V_e^{e-f}(g_d^n)$ . We say that  $Z$  has the expected dimension if  $\dim(Z) = e - f(n + 1 - e + f)$ . If each irreducible component of  $V_e^{e-f}(g_d^n)$  has the expected dimension, then we say that  $V_e^{e-f}(g_d^n)$  has the expected dimension.

In this paper, we prove

0.5. THEOREM. *Let  $C$  be a general curve of genus  $g$ . Let  $d$  be an integer with  $d \geq g + 3$  and let  $n$  be an integer with  $2 \leq n \leq d - g$ . Let  $g_d^n$  be a general non-special  $n$ -dimensional linear system of degree  $d$  on  $C$ . Then  $V_e^{e-f}(g_d^n)$  is non-empty if and only if  $e - f(n + 1 - e + f) \geq 0$ . Whenever non-empty,  $V_e^{e-f}(g_d^n)$  is a reduced subscheme of  $C^{(e)}$  of the expected dimension.*

The reducedness statement is the most interesting part and the deepest statement of the theorem. Let  $H$  be the closure in the Hilbert scheme  $\text{Hilb}^{d;g}(\mathbf{P}^n)$  of the locus parametrizing smooth irreducible curves of degree  $d$  and genus  $g$  in  $\mathbf{P}^n$  embedded by a non-special linear system (of course  $n \leq d - g$ ; also  $H$  is an irreducible component of that Hilbert scheme). The reducedness statement of Theorem 0.5 implies that a lot of intersection numbers computed in Chapter VIII of [1] give the number of linear subspaces in  $\mathbf{P}^n$  (i.e. each one counted with multiplicity one) satisfying certain conditions with respect to the curve  $C \subset \mathbf{P}^n$  corresponding to a general point on  $H$ . As an example, the Cayley-number  $[(d - 2)(d - 3)^2(d - 4)/12] - [g(d^2 - 7d + 13 - g)/2]$  is the exact number of 4-secant lines of  $C$  if  $C$  corresponds to a general element of  $H \subset \text{Hilb}^{d;g}(\mathbf{P}^3)$ . As far as I know, such kind of result was only known for special types of curves (complete intersection curves; rational curves – see [12]) in  $\mathbf{P}^3$ . Now we have such results for curves that are general with respect to moduli as abstract curves. In [10], A. Hirschowitz proves – using methods completely different from ours – that  $C$  has finitely many 4-secants lines if  $C$  corresponds to a general point on  $H \subset \text{Hilb}^{d;g}(\mathbf{P}^3)$ , but he does not consider the reducedness-problem.

The proof of the theorem is divided in 2 parts. First we prove the theorem in the complete case ( $n = d - g$ ). In this case, both the existence and the dimension statement follows immediately from classical Brill-Noether Theory. For the reducedness statement we use the Petri-Gieseker Theorem. As E. Ballico pointed out to me, from this case both the existence and dimension statement in the non-complete case follow easily adapting the arguments from [2].

In order to prove the theorem in the non-complete case, we consider the following problem. Let  $g_d^n = \mathbf{P}(V)$  be a linear system on  $C$  and let  $E \in V_e^{e-f}(g_d^n)$

be an  $e$ -secant ( $e - f - 1$ )-space divisor for  $g_d^n$ . Let  $W$  be a codimension 1 linear subspace of  $V$  containing  $V(-E)$ , then  $E \in V_e^{e-f-1}(g_d^{n-1}(W))$ . Geometrically, if  $g_d^n$  embeds  $C$  in  $\mathbf{P}(V^*)$ , then  $W \supset V(-E)$  means that  $W$  corresponds to a point in the linear span  $\langle E \rangle$ . The inequality

$$\dim(T_E(V_e^{e-f}(g_d^n))) - \dim(T_E(V_e^{e-f-1}(g_d^{n-1}(W)))) \leq n + 1 - e + f$$

always holds (difference between dimension of Zariski tangent spaces; see Lemma 3.1). We need to find conditions implying equality.

We prove

**0.6. PROPOSITION.** *Let  $E \in V_e^{e-f}(g_d^n)$ . Suppose each subdivisor  $E'$  of  $E$  of degree  $n - e + f + 1$  imposes independent conditions on  $g_d^n(-E)$  (i.e.  $g_d^n(-E - E') = \emptyset$ ) and suppose  $E - P \notin V_{e-1}^{e-f-1}(g_d^n)$  for  $P \in E$ . Then, for a codimension 1 linear subspace  $W$  – general under the condition that it contains  $V(-E)$  – we have*

$$\dim(T_E(V_e^{e-f}(g_d^n))) - \dim(T_E(V_e^{e-f-1}(g_d^{n-1}(W)))) = n + 1 - e + f.$$

In case  $g_d^n$  defines an embedding  $C \subset \mathbf{P}(V^*)$ , then the set of points  $W$  on  $\langle E \rangle$  not satisfying the conclusion of Proposition 0.6, is closely related to the concept of a focal scheme (see e.g. [4]; [5]; [3]). As a matter of fact, the proof of the theorem in the non-complete case is very much influenced by [5]. We prove a stronger statement than the above proposition and as such it becomes a generalisation of Theorem 2.5 in [5].

The organization of the paper is as follows. In Section 1 we recall the description of the Zariski tangent spaces to  $V_e^{e-f}(g_d^n)$  obtained in [6]. In Section 2 we prove Theorem 0.5 for the complete linear systems. In Section 3 we prove (the stronger version of) Proposition 0.6. In Section 4 we finish the proof of Theorem 0.5 in the non-complete case.

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## 1. Secant Space Divisors

In this part, we fix an arbitrary smooth irreducible complete curve  $C$  and a linear system  $g_d^n = g_d^n(V)$  on  $C$ . Here,  $V$  is an  $(n + 1)$ -dimensional linear subspace of  $\Gamma(C; \mathcal{L})$  with  $\mathcal{L}$  an invertible sheaf of degree  $d$  on  $C$ . We simply write  $V_e^{e-f}$  for the scheme  $V_e^{e-f}(g_d^n)$  introduced in 0.2. Take  $E \in V_e^{e-f}$  and let  $T_E(V_e^{e-f})$  be the Zariski tangent space of  $V_e^{e-f}$  at  $E$ . In case  $E \in V_e^{e-f-1}$  one has  $T_E(V_e^{e-f}) = T_E(C^{(e)})$ , so now assume  $E \notin V_e^{e-f-1}$ . We recall the description of  $T_E(V_e^{e-f})$  from [6]. In this description we use the common natural identification between  $T_E(C^{(e)})$  and  $H^0(E; \mathcal{O}_E(E))$  (see [1]), so we give a description of  $T_E(V_e^{e-f})$  as a subspace of  $H^0(E; \mathcal{O}_E(E))$ .

Let  $\beta: H^0(E; \mathcal{O}_E(E)) \otimes V(-E) \rightarrow H^0(E; \mathcal{L} \otimes \mathcal{O}_E)$  be the map obtained from the cup-product homomorphism after mapping  $V(-E)$  to  $\Gamma(C; \mathcal{L}(-E))$  (i.e. locally dividing the elements of  $V(-E)$  by the equations of  $E$ ). Let  $\phi_V(E): V \rightarrow H^0(E; \mathcal{L} \otimes \mathcal{O}_E)$  be the natural restriction map. For  $\xi \in V(-E)$ , consider

$$\beta_\xi: H^0(E; \mathcal{O}_E(E)) \rightarrow H^0(E; \mathcal{L} \otimes \mathcal{O}_E): v \rightarrow \beta(v \otimes \xi).$$

1.1. PROPOSITION. (see [6])  $T_E(V_e^{e-f}) = \cap \{\beta_\xi^{-1}(\text{im } \phi_V(E)): \xi \in V(-E)\}$ .

Now, assume the linear system  $g_d^n$  is complete (i.e.  $V = \Gamma(C; \mathcal{L})$ ). From the exact sequence  $0 \rightarrow \mathcal{L}(-E) \rightarrow \mathcal{L} \rightarrow \mathcal{L} \otimes \mathcal{O}_E \rightarrow 0$  we obtain the exact sequence

$$H^0(C; \mathcal{L}) \rightarrow H^0(E; \mathcal{L} \otimes \mathcal{O}_E) \xrightarrow{\delta} H^1(C; \mathcal{L}(-E)).$$

Because of Proposition 1.1,  $v \in H^0(E; \mathcal{O}_E(E))$  belongs to  $T_E(V_e^{e-f})$  if and only if  $(\delta \circ \beta)(v \otimes V(-E)) = 0$ . From this observation and then repeating the arguments from [1], p. 161, 162, we find the following description for  $T_E(V_e^{e-f})$  in the case of complete linear systems.

1.2. PROPOSITION. Suppose  $g_d^n$  is a complete linear system and let  $E \in V_e^{e-f} \setminus V_e^{e-f-1}$ . Consider the so-called Petri map

$$\mu: H^0(C; K_C(E) \otimes \mathcal{L}^{-1}) \otimes H^0(C; \mathcal{L}(-E)) \rightarrow H^0(C; K_C \otimes \mathcal{O}_E)$$

defined as the composition of the ordinary Petri map

$$\mu_0: H^0(C; K_C(E) \otimes \mathcal{L}^{-1}) \otimes H^0(C; \mathcal{L}(-E)) \rightarrow H^0(C; K_C)$$

(i.e. the cup-product) and the natural restriction to  $E$ . Serre duality defines a perfect pairing between  $H^0(E; \mathcal{O}_E(E))$  and  $H^0(E; K_C \otimes \mathcal{O}_E)$ . Let  $[\text{im}(\mu)]^* \subset H^0(E; \mathcal{O}_E(E))$  be the orthogonal complement of  $\text{im}(\mu) \subset H^0(E; K_C \otimes \mathcal{O}_E)$  with respect to this pairing. Then

$$T_E(V_e^{e-f}) = [\text{im}(\mu)]^*.$$

## 2. The Complete Case

Let  $C$  be a general curve of genus  $g$ , let  $n \geq 3$  be an integer and let  $\mathcal{L}$  be a general line bundle of degree  $g+n$  on  $C$ . Let  $g_{g+n}^n$  be the associated complete linear system  $\mathbf{P}(\Gamma(C; \mathcal{L}))$  on  $C$ . For integers  $e, f$  with  $e, f \geq 1$  and  $n - e + f \geq 1$  we write  $V_e^{e-f}$  instead of  $V_e^{e-f}(g_{g+n}^n)$ .

2.1. THEOREM.  $V_e^{e-f}$  is not empty if and only if  $e \geq (n+1-e+f)f$ . In case  $e \geq (n+1-e+f)f$  then  $V_e^{e-f}$  is a reduced scheme of the expected dimension.

2.2. REMARK. Once we know that  $V_e^{e-f}$  is of the expected dimension, then the scheme  $V_e^{e-f}$  is Cohen–Macaulay. Hence, in order to prove that it is reduced, it is enough to prove reducedness at a general point of each irreducible component.

2.3. NOTATION. Given any smooth irreducible projective curve  $C$ , we assume that we fix a base point  $P_0 \in C$ . Then on  $J(C)$  – identified with  $\text{Pic}^0(C)$  – we consider the well-known subschemes

$$W_d^r = \{M \in J(C) : h^0(M(dP_0)) \geq r + 1\}.$$

If  $\mathcal{L}$  is an invertible sheaf on  $C$  of degree  $d$ , then we write  $[\mathcal{L}]$  for the point on  $J(C)$  defined by  $\mathcal{L}(-dP_0)$ . Clearly  $\dim(\Gamma(C; \mathcal{L})) \geq r + 1$  if and only if  $[\mathcal{L}] \in W_d^r$ . If  $E \in C^{(e)}$  then we write  $[E]$  instead of  $[\mathcal{O}_C(E)]$ . If  $x \in W_d^r \setminus W_d^{r+1}$  then  $g_d^r(x)$  is the complete linear system  $\mathbf{P}(\Gamma(C; \mathcal{L}))$  with  $\mathcal{L} \in \text{Pic}^d(C)$  and  $[\mathcal{L}] = x$ .

*Proof of Theorem 2.1.* Clearly  $E \in V_e^{e-f}$  if and only if  $[\mathcal{L}] - [E] \in W_{g+n-e}^{n-e+f}$ , hence  $[\mathcal{L}] \in W_{g+n-e}^{n-e+f} + W_e^0$  if  $V_e^{e-f}$  is not empty.

Consider the map  $\tau : W_{g+n-e}^{n-e+f} \times W_e^0 \rightarrow J(C) : (x; y) \rightarrow x + y$ . Then  $\text{im}(\tau) = J(C)$  if and only if  $\dim(W_{g+n-e}^{n-e+f}) + e \geq g$ . Since  $C$  is general, we know from Brill-Noether Theory that  $\dim(W_{g+n-e}^{n-e+f}) = \rho_{g+n-e}^{n-e+f}(g) = g - (n - e + f + 1)f$ . Since  $[\mathcal{L}]$  is a general point on  $J(C)$  we obtain both the non-emptiness and the dimension statement of this theorem.

In order to prove the reducedness statement, we use the stronger Gieseker–Petri Theorem (a conjecture of Petri, first proved by Gieseker in [9] for arbitrary fields  $K$ , later on there appeared other proofs using however  $\text{Char}(K) = 0$ : [8]; [11]). This Gieseker–Petri Theorem states that, for a general curve  $C$  and for any invertible sheaf  $\mathcal{M}$  on  $C$ , the cup-product homomorphism

$$\mu_0 : H^0(C; \mathcal{M}) \otimes H^0(C; K_C \otimes \mathcal{M}^{-1}) \rightarrow H^0(C; K_C)$$

is injective.

Assume  $e \geq (n + 1 - e + f)f$  and let  $Z$  be an irreducible component of  $V_e^{e-f}$ . Let  $T \subset V_{e+1}^{e-f} \times C$  be defined by  $(E; P) \in T$  if and only if  $E - P \geq 0$ . From the dimension statement, we know that  $Z$  is not contained in the image of  $\kappa : T \rightarrow C^{(e)} : (E; P) \rightarrow E - P$ . Hence, if  $E$  is a general point on  $Z$ , then  $\mathcal{M} = \mathcal{L}(-E)$  defines a complete linear system  $g_{g+n-e}^{n-e+f}$  without fixed points. Because of the Gieseker–Petri Theorem,  $\text{im}(\mu_0)$  has dimension  $(n - e + f + 1)f$ , hence  $\mathcal{M}$  defines a linear subsystem  $g_{2g-2}^{(n-e+f+1)f-1}$  of the canonical linear system  $|K_C|$  on  $C$ . Since  $E$  is general with respect to  $g_{2g-2}^{(n-e+f+1)f-1}$ , it follows that  $g_{2g-2}^{(n-e+f+1)f-1}(-E) = \emptyset$  because  $e \geq (n - e + f + 1)f$ . Algebraically this means that the natural restriction map  $\text{im}(\mu_0) \rightarrow H^0(E; K_C \otimes \mathcal{O}_E)$  is injective. Using the notations from Proposition 1.2, it follows that

$$\dim([\text{im } \mu]^*) = e - (n - e + f + 1)f$$

and then from Proposition 1.2, it follows that  $V_e^{e-f}$  is smooth at  $E$ .

### 3. The focal set

As mentioned in the introduction, we make a little digression now, proving a sharper version of Proposition 0.6. Let  $\mathcal{L}$  be a line bundle of degree  $d$  on a smooth irreducible complete curve  $C$ , let  $V$  be an  $(n+1)$ -dimensional linear subspace of  $\Gamma(X; \mathcal{L})$  and write  $g_d^n = g_d^n(V)$ . Let  $E \in C^{(e)}$  be an  $e$ -secant  $(e-f-1)$ -space divisor for  $g_d^n$ . Take a codimension 1 linear subspace  $W$  of  $V$  containing  $V(-E)$ . Then  $E$  is an  $e$ -secant  $(e-f-2)$ -space divisor for the linear system  $g_d^{n-1}(W)$ . Geometrically, if  $g_d^n$  defines an embedding of  $C$  in  $\mathbf{P}^n = \mathbf{P}(V^*)$  then  $W$  is a point on the linear span  $\langle E \rangle$ ; if  $W \not\subset C$  and we take the projection  $\mathbf{P}^n \rightarrow \mathbf{P}^{n-1} = \mathbf{P}(W^*)$ , then the linear span of the image of  $E$  has dimension  $\dim(\langle E \rangle) - 1$ .

3.1. LEMMA.  $\dim(T_E(V_e^{e-f}(g_d^n))) - \dim(T_E(V_e^{e-f-1}(g_d^{n-1}(W)))) \leq n+1-e+f$ .

*Proof.* (We use the notations introduced in Section 1.) Since  $\ker(\phi_V(E)) = \ker(\phi_W(E))$ ,  $\text{im}(\phi_W(E))$  is a hyperplane in  $\text{im}(\phi_V(E))$ . For  $v \in T_E(V_e^{e-f}(g_d^n))$  and  $\xi \in V(-E)$  we have  $\beta_\xi(v) \in \text{im}(\phi_V(E))$ . Then  $v \in T_E(V_e^{e-f-1}(g_d^{n-1}(W)))$  if and only if  $\beta_\xi(v) \in \text{im}(\phi_W(E))$ . So,  $V(-E)$  defines an  $(n-e+f+1)$ -dimensional linear family of linear functions

$$p_\xi: T_E(V_e^{e-f}(g_d^n)) \rightarrow K = \text{im}(\phi_V(E))/\text{im}(\phi_W(E))$$

( $p_\xi(v)$  is the class of  $\beta_\xi(v)$ ). The intersection of the kernels of those linear functions is exactly  $T_E(V_e^{e-f-1}(g_d^{n-1}(W)))$ . This proves the lemma.

3.2. NOTATION. There is a bijection between codimension 1 subspaces  $W$  of  $V$  containing  $V(-E)$  and the projective space defined by the dual vectorspace  $(V/V(-E))^*$ . Each  $W \in (V/V(-E))^*$  defines a linear map

$$p_E(W): T_E(V_e^{e-f}(g_d^n)) \rightarrow (V(-E))^*: v \rightarrow (\xi \rightarrow p_\xi(v))$$

(the notation  $p_\xi$  comes from the proof of Lemma 3.1). We obtain a linear family of linear maps

$$p_E: (V/V(-E))^* \rightarrow \text{Hom}_K(T_E(V_e^{e-f}(g_d^n)); (V(-E))^*).$$

Let  $W \in (V/V(-E))^*$ . From the proof of Lemma 3.1 we obtain

$$\dim(T_E(V_e^{e-f}(g_d^n))) - \dim(T_E(V_e^{e-f-1}(g_d^{n-1}(W)))) = n+1-e+f$$

if and only if  $p_E(W)$  is surjective.

3.3. DEFINITION. The focal set  $F_E$  of  $E$  with respect to  $g_d^n$  is defined by

$$F_E = \{W \in (V/V(-E))^* : p_E(W) \text{ is not surjective}\}.$$

This terminology comes from a comparable definition of focal sets in e.g. [4]; [5]; [3].

The family  $p_E$  can be considered as being defined by a linear map

$$\lambda: T_E(V_e^{e-f}(g_d^n)) \otimes V(-E) \rightarrow V/V(-E).$$

The following terminology comes from [7].

3.4. DEFINITION. The family  $p_E$  is 1-generic if for each  $v \in T_E(V_e^{e-f}(g_d^n))$  and for each  $s \in V(-E)$  – both nonzero – we have

$$\lambda(v \otimes s) \neq 0.$$

(One also says: there are no non-trivial pure tensors in  $\ker(\lambda)$ .)

REMARK. For  $v \in T_E(V_e^{e-f}(g_d^n))$  and  $s \in V(-E)$  both nonzero, the equation  $\lambda(v \otimes s) = 0$  is equivalent to the following statement. For each  $W \in (V/V(-E))^*$  one has  $[(p_E(W))(v)](s) = p_s(v) = 0$  and this is equivalent to  $\beta_s(v) = 0$ , hence  $s$  is a nonzero element of the kernel of the map  $V(-E) \rightarrow \text{im}(\phi_V(E)) : \xi \rightarrow \beta_\xi(v)$ .

From Theorem 2.1 in [7], we obtain

3.5. PROPOSITION. If the family  $p_E$  is 1-generic then  $F_E$  has codimension  $\dim(T_E(V_e^{e-f}(g_d^n))) - (n - e + f)$ .

Now Proposition 0.6 is implied by the following stronger statement. It is a generalization of Theorem 2.5 in [5].

3.6. THEOREM. Let  $E$  be an  $e$ -secant  $(e - f - 1)$ -space divisor for some linear system  $g_d^n$  on  $C$ . Suppose each subdivisor  $E'$  of degree  $n - e + f + 1$  of  $E$  imposes independent conditions on  $g_d^n(-E)$  (i.e.  $g_d^n(-E - E')$  is empty).

(a) If  $n - e + f + 1 = 1$ , then  $p_E$  is 1-generic.

(b) If  $n - e + f + 1 \geq 2$ , then  $p_E$  is 1-generic if and only if  $E - P \notin V_{e-1}^{e-(f+1)}(g_d^n)$  for each  $P \in E$ .

*Proof.* Suppose  $n - e + f + 1 \geq 2$  and suppose for some  $P \in E$  one has  $E - P \in V_{e-1}^{e-(f+1)}(g_d^n)$ . We are going to prove that  $p_E$  is not 1-generic. Let  $E_1 = E - P$ . We can find  $s \in V$  with  $E_1 \subset D_s$  but  $E \not\subset D_s$ . Take a base  $\xi_1, \dots, \xi_{n-e+f+1}$  for  $V(-E)$  with  $E + P \not\subset D_{\xi_i}$ ;  $E + P \subset D_{\xi_i}$  for  $2 \leq i \leq n - e + f + 1$ . Take a nonzero

element  $v$  of  $H^0(E; O_E(E))$  with  $E_1 \subset Z(v)$ . (Here  $Z(v)$  is the zero-scheme of  $v$ ; it is a closed subscheme of  $E$  and we consider it as an effective divisor on  $C$ .) Then  $\beta_{\xi_1}(v) \in \langle \phi_V(E)(s) \rangle$  and  $\beta_{\xi_i}(v) = 0$  for  $i \geq 2$ , hence  $v \in T_E(V_e^{e-f}(g_d^n))$ . Since  $n - e + f + 1 \geq 2$ , we find  $\beta_{\xi_2}(v) = 0$ , hence  $\lambda(v \otimes \xi_2) = 0$  and so the family  $p_E$  is clearly not 1-generic.

Next, assume  $n - e + f + 1 \geq 1$  and in case  $n - e + f + 1 \geq 2$  assume  $E - P \not\subset V_e^{e-(f+1)}$  for each  $P \in E$ . We are going to prove that  $p_E$  is 1-generic. Suppose  $v \in T_E(V_e^{e-f}(g_d^n))$ , nonzero, does not induce an injection  $V(-E) \rightarrow \text{im}(\phi_V(E))$ . Take a nonzero element  $\xi \in V(-E)$  with  $\beta_\xi(v) = 0$ . Write  $E_1 = Z(v) \subset E$  and  $E_2 = E - E_1$ . Then  $E_2 + E \subset D_\xi$ , hence  $g_d^n(-E - E_2) \neq \emptyset$ . Because of our assumptions  $\text{deg}(E_2) \leq n - e + f$ . In case  $n - e + f + 1 = 1$ , we obtain a contradiction. Now, assume  $n - e + f + 1 \geq 2$ . Take  $Q \in E_2$ . Because of our assumptions, we can find  $\xi \in V(-E)$  with  $(D_\xi - E) \cap E_2 = E_2 - Q$ . Because  $v \in T_E(V_e^{e-f}(g_d^n))$ , we have  $\beta_\xi(v) \in \text{im}(\phi_V(E))$ . But  $Z(\beta_\xi(v)) = E - Q$ . Since  $E - Q \not\subset V_{e-1}^{e-(f+1)}(g_d^n)$  we obtain a contradiction.

Further on, we also need the following lemma.

**3.7. LEMMA.** *Let  $g_d^n = g_d^n(V)$  be a linear system on a smooth curve  $C$  and take  $E \in C^{(e)}$  ( $e \leq n$ ) with  $\dim(V(-E)) = n + 1 - e$ . Let  $f = \min(\{e; n + 1 - e\})$  and assume for some  $F = P_1 + \dots + P_f \leq E$  we have  $\dim(V(-E - F)) = n + 1 - e - f$ . Take a codimension 1 linear subspace  $W$  of  $V$  with  $W \supset V(-E)$  and  $W(-E - P_i) = W(-E) = V(-E)$  for  $1 \leq i \leq f$ . Then*

$$\dim(T_E(V_e^{e-1}(g_d^{n-1}(W)))) = e - f.$$

(Geometrically, if  $g_d^n$  defines an embedding of  $C$  in  $\mathbf{P}^n = \mathbf{P}(V^*)$  then  $W$  is a point on the linear span  $\langle E \rangle$  but for  $1 \leq i \leq f$ ,  $W$  is not a point of the linear span  $\langle E - P_i \rangle$ , a hyperplane in  $\langle E \rangle$ .)

*Proof.* Because  $T_E(V_e^e(g_d^e)) = T_E(C^{(e)}) = H^0(E; O_E(E))$ , the inequality

$$\dim(T_E(V_e^{e-1}(g_d^{n-1}(W)))) \geq e - f$$

is proven as in Lemma 3.1.

Write  $E_0 = 0 \leq E_1 = P_1 \leq E_2 = P_1 + P_2 \leq \dots \leq E_f = P_1 + P_2 + \dots + P_f = F$ . Because of the assumptions, we can find  $\xi_0, \dots, \xi_{f-1}$  in  $V(-E)$  with  $D_{\xi_i} \cap (E + F) = E + E_i$ . Choose  $v_1, \dots, v_f$  in  $H^0(E; O_E(E))$  with  $Z(v_i) = E - E_i$ . Take  $v = \sum_{i=1}^k c_i v_i$  with  $c_k \neq 0$  and  $k \leq f$ . Then  $Z(v) \supset E - E_k$  but  $E - E_{k-1} \not\subset Z(v)$ . It follows that  $E - P_k \subset Z(\beta_{\xi_{k-1}}(v))$  but  $E \not\subset Z(\beta_{\xi_{k-1}}(v))$ . The assumption  $W(-E - P_k) = W(-E)$  implies  $\beta_{\xi_{k-1}}(v) \notin \text{im}(\phi_W(E))$ . It follows that  $v \notin T_E(V_e^{e-1}(g_d^{n-1}(W)))$ , hence  $T_E(V_e^{e-1}(g_d^{n-1}(W)))$  has nonzero intersection with  $\langle v_1, \dots, v_f \rangle$ . This implies  $\dim(T_E(V_e^{e-1}(g_d^{n-1}(W)))) = e - f$ , hence the lemma is proved.

#### 4. The non-complete case

4.1. DEFINITION. Let  $g_d^n = g_d^n(V)$  be a linear system on a smooth curve  $C$ . Let  $e, f$  be positive integers with  $n - e + f \geq 1$ . Suppose  $E$  is an  $e$ -secant  $(e - f - 1)$ -space divisor.

(i) We say that  $E$  is a good secant divisor if the following two conditions are satisfied. For each subdivisor  $E'$  of  $E$  of degree  $n - e + f + 1$  the linear system  $g_d^n(-E - E')$  is empty; for each  $P \in E$  one has  $E - P \notin V_{e-1}^{e-(f+1)}(g_d^n)$ .

(ii) Assume  $e - (n + 1 - e + f)f \geq 0$ . We say that  $g_d^n$  is of general secant type for  $V_e^{e-f}(g_d^n)$  if the following conditions hold:  $V_e^{e-f}(g_d^n)$  is not empty; it is of the expected dimension; it is reduced as a scheme and for each irreducible component  $Z$  of  $V_e^{e-f}(g_d^n)$ , a general point  $E$  of  $Z$  is a good secant divisor.

(iii) We say that  $g_d^n$  is of general secant type if for all integers  $e, f \leq 1$  satisfying  $n + 1 - e + f \geq 2$  one of the following two possibilities occur. If  $e < (n + 1 - e + f)f$  then  $V_e^{e-f}(g_d^n)$  is empty; if  $e \geq (n + 1 - e + f)f$  then  $g_d^n$  is of general secant type for  $V_e^{e-f}(g_d^n)$ .

4.2. PROPOSITION. Let  $g_d^n = g_d^n(V)$  be a linear system on a smooth curve  $C$ . Suppose  $n \geq 3$  and assume  $g_d^n$  is of general secant type. Let  $W \in \mathbf{P}(V^*)$  be a general codimension 1 linear subspace of  $V$ . Then the linear system  $g_d^{n-1}(W)$  is of general secant type.

*Proof.* Let  $W \in \mathbf{P}(V^*)$ . Elements  $E \in V_e^{e-f}(g_d^{n-1}(W))$  ( $n - e + f \geq 2; f \geq 1$ ) can be obtained in two ways:

- (i)  $E \in V_e^{e-f}(g_d^n)$ ;
- (ii)  $E \in V_e^{e-(f-1)}(g_d^n)$  and  $W \supset V(-E)$ .

The irreducible components of  $V_e^{e-f}(g_d^{n-1}(W))$  have dimension at least  $e - (n - e + f)f$ . But  $V_e^{e-f}(g_d^n)$  is empty if  $e < (n + 1 - e + f)f$  and  $V_e^{e-f}(g_d^n)$  has the expected dimension if  $e \geq (n + 1 - e + f)f$ . It follows that for a general point  $E$  of some irreducible component of  $V_e^{e-f}(g_d^{n-1}(W))$  possibility (ii) occurs.

Let  $U_e^{e-(f-1)} = V_e^{e-(f-1)}(g_d^n) \setminus V_e^{e-f}(g_d^n)$  and consider

$$T \subset U_e^{e-(f-1)} \times \mathbf{P}(V^*)$$

defined by:  $(E; W) \in T$  if and only if  $W \supset V(-E)$ . Consider the projection morphism  $p' : T \rightarrow U_e^{e-(f-1)}$ . The fibres of this morphism have dimension  $n - (n - e + f)$ , hence  $\dim(T) = e - f(n - e + f) + n$ . So, if  $e < f(n - e + f)$ , then  $T$  does not dominate  $\mathbf{P}(V^*)$ . In that case we conclude  $V_e^{e-f}(g_d^{n-1}(W)) = \emptyset$  for a general  $W \in \mathbf{P}(V^*)$ . We also conclude that, in case  $e \geq f(n - e + f)$  and if  $V_e^{e-f}(g_d^{n-1}(W))$  is not empty for a general  $W \in \mathbf{P}(V^*)$ , then each irreducible component of it has dimension  $e - f(n - e + f)$ .

Now, first, assume  $f \geq 2$ , hence  $f - 1 \geq 1$ . Let  $T' \subset T$  be defined by  $(E; W) \in T'$  if and only if  $W \in F_E$ . For a general element of some irreducible

component of  $V_e^{e-(f-1)}(g_d^n)$  we can apply Theorem 3.6, hence  $\dim(T') < n + e - f(n - e + f)$ . It follows from Fact 0.3 that for  $W \in \mathbf{P}(V^*)$  general no irreducible component of  $V_e^{e-f}(g_d^{n-1}(W))$  is contained in the fibre of  $T'$  over  $W$ . Hence, if  $Z$  is an irreducible component of  $V_e^{e-f}(g_d^{n-1}(W))$  and if  $E$  is a general element of  $Z$  then  $W \notin F_E$ . Since  $E$  is a general element of some irreducible component of  $V_e^{e-(f-1)}(g_d^n)$  and moreover  $g_d^n$  is of good secant type, it follows that  $\dim(T_E(V_e^{e-f}(g_d^{n-1}(W)))) = e - (n - e + f)f$ . This proves that, for  $W \in \mathbf{P}(V^*)$  general, each irreducible component is reduced and of the expected dimension (remember Remark 2.2). Because  $T \neq T'$ , it also proves that a general non-empty fibre of the projection  $T \rightarrow \mathbf{P}(V^*)$  has dimension  $e - f(n - e + f)$ , hence  $T$  dominates  $\mathbf{P}(V^*)$ . This proves the non-emptiness of  $V_e^{e-f}(g_d^{n-1}(W))$  for  $W \in \mathbf{P}(V^*)$  general. For  $W \in \mathbf{P}(V^*)$  general, we still have to prove: if  $E$  is a general point of some irreducible component of  $V_e^{e-f}(g_d^{n-1}(W))$ , then  $E$  is a good secant divisor. Remember that, as an element of  $V_e^{e-(f-1)}(g_d^n)$ ,  $E$  is a good secant divisor. In particular, each subdivisor  $E'$  of  $E$  of degree  $n - e + f$  imposes independent conditions on  $V(-E)$ . But  $W(-E) = V(-E)$ , so this condition still holds. On the other hand, for each  $P \in E$ ,  $E - P \notin V_e^{e-(f-1)}(g_d^n)$ . This means  $V(-(E - P)) = V(-E)$  for  $P \in E$ . Since  $W \supset V(-E)$ , it is clear that  $W(-(E - P)) = W(-E)$  too.

Next, we consider the case  $f = 1$ . We already proved that  $V_e^{e-1}(g_d^{n-1}(W)) = \emptyset$  if  $2e < n + 1$  for a general  $W \in \mathbf{P}(V^*)$ , so we assume  $2e \geq n + 1$ . For  $W \in \mathbf{P}(V^*)$  general, a general element  $E$  of some irreducible component of  $V_e^{e-1}(g_d^{n-1}(W))$  is a general element on  $C^{(e)}$ . So, we can consider  $E$  as a general element of  $C^{(e)}$  and  $W$  as a general element of  $\mathbf{P}((V/V(-E))^*)$ . A general  $E \in C^{(e)}$  satisfies the assumption of Lemma 3.7 for the linear system  $g_d^n$  (here we use  $\text{char}(K) = 0$ ). From Lemma 3.7 we conclude  $\dim[T_E(V_e^{e-1}(g_d^{n-1}(W)))] = e - (n - e + 1)$ . This proves the reducedness statement. We still need to prove that  $E$  is a good secant divisor for  $g_d^{n-1}(W)$ . Since  $E \in C^{(e)}$  is general, each subdivisor  $E'$  of degree  $n + 1 - e$  of  $E$  imposes independent conditions on  $V(-E)$  ( $\text{char}(K) = 0$ ). Since  $V(-E) = W(-E)$ , this claim also holds for  $W(-E)$ . Moreover if  $P \in E$  then  $\dim(V(-(E - P))) = \dim(V(-E)) + 1$ . But  $W$  is a general element of  $\mathbf{P}((V/V(-E))^*)$ , hence  $V(-(E - P)) \not\subset W$ . This means  $E - P \notin V_e^{e-2}(g_d^{n-1}(W))$  and we proved that  $E$  is a good secant divisor for  $g_d^{n-1}(W)$ .

**4.3. PROOF OF THEOREM 0.5.** Because of Proposition 4.2 and the fact that we proved Theorem 0.5 already in the complete case (Theorem 2.1), we only need to prove the following statement. Let  $C$  be a general curve of genus  $g$  and let  $g_{g+n}^n$  ( $n \geq 3$ ) be a general complete linear system on  $C$ . Let  $E$  be a general point on some irreducible component of  $V_e^{e-f}(g_{g+n}^n)$ . Then  $E$  is a good secant divisor.

From the proof of Theorem 2.1, we know that  $g_{g+n}^n - E$  corresponds to a general element of  $W_{g+n-e}^{n-e+f}$ . So, take a general  $g_{g+n-e}^{n-e+f}$  on  $C$  and  $E$  a general element of  $C^{(e)}$ . Then any subdivisor  $E'$  of  $E$  of degree  $n - e + f + 1$  imposes independent conditions on  $g_{g+n-e}^{n-e+f}$ . Moreover, a point  $P \in E$  is a general point on  $C$ . Since  $g_{g+n-e}^{n-e+f}$  is a special linear system on  $C$ , it follows that  $\dim |g_{g+n-e}^{n-e+f} + P| = n - e + f$ . This proves  $E - P \notin V_{e-1}^{e-(f+1)}(g_{g+n}^n)$ . So we proved that  $E$  is a good secant divisor for  $g_{g+n}^n$ .

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