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## ***K*-theory, $\lambda$ -rings, and formal groups**

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### **Introduction**

In [C] the author showed how one can compute the algebraic *K*-group  $K_2(R, I)$  when  $R$  has a structure of  $\lambda$ -ring; this relied on a presentation of that group found by H. Maazen and J. Stienstra [Ms] and F. Keune [K]. The purpose of this paper is to show that the same technique works for a more general group  $K_{2,F}(R, I)$  in which also a formal group  $F$  is involved. An instance of this more general group occurs in §17 of [S], which inspired the present paper.

DEFINITION 0.1. Let  $F$  be a one-dimensional formal group over  $\mathbf{Z}$ . Let  $R$  be a commutative ring and let  $I$  be a nilpotent ideal. Then  $K_{2,F}(R, I)$  is the abelian group with as generators the symbols  $\langle a, b \rangle_F$  for  $(a, b) \in I \times R \cup R \times I$  and relations

$$\begin{aligned} \langle a, 1 \rangle_F & && \text{for } a \in I \\ \langle a, b \rangle_F + \langle a, c \rangle_F - \langle a, a^{-1}F(ab, ac) \rangle_F & && \text{for } (a, b, c) \in I \times R \times R \\ & && \cup R \times I \times I \\ \langle a, bc \rangle_F + \langle b, ac \rangle_F - \langle ab, c \rangle_F & && \text{for } (a, b, c) \in I \times R \times R \\ & && \cup R \times I \times R \cup R \times R \times I \end{aligned}$$

where  $a^{-1}F(ab, ac)$  has to be interpreted in the obvious way.

If  $F$  is the multiplicative formal group defined by  $F(X, Y) = X + Y - XY$  then  $K_{2,F}(R, I)$  coincides with the group  $K_2(R, I)$  from algebraic *K*-theory. If  $F$  is the additive formal group defined by  $F(X, Y) = X + Y$  then  $K_{2,F}(R, I)$  coincides with the group  $K_{2,L}(R, I)$  considered in [C] and [LQ]. As explained there it is a cyclic homology group of  $(R, I)$ ; it is isomorphic to  $\Omega_{R,I}/\delta I$  if the natural projection  $R \rightarrow R/I$  splits.

To formulate the main theorem we introduce the notation  $G(R, I)^{\text{top}}$  for the inverse limit  $\lim G(R/J^N, (I + J^N)/J^N)$  if  $G$  is a functor from pairs  $(R, I)$  as above to abelian groups and  $J$  is an ideal describing a topology on  $R$ .

**THEOREM 0.2.** *Let  $F$  be a one dimensional formal group over  $\mathbf{Z}$  which is strongly isomorphic to a special one. Let  $R$  be a  $\lambda$ -ring and let  $I$  and  $J$  be ideals such that  $(R, J, I)$  is admissible. Then there is a homomorphism  $L_F: K_{2,F}(R, I)^{\text{top}} \rightarrow K_{2,L}(R, I)^{\text{top}}$  such that*

$$L_F \langle a, b \rangle_F = \langle a, b \rangle_L + \text{higher order terms.}$$

The notion of special formal group and of strong isomorphism are defined in the next section. For the definition of admissible and other notions connected with  $\lambda$ -rings we refer to [C].

### §1. Generalities about formal groups

Let  $A$  be a commutative ring. A one-dimensional commutative formal group  $F$  over  $A$  is a formal power series  $F(X, Y) = \sum f_{ij} X^i Y^j$  with  $f_{ij} \in A$  such that

$$F(X, Y) = X + Y + \text{terms of degree} > 1$$

$$F(X, Y) = F(Y, X),$$

$$F(X, F(Y, Z)) = F(F(X, Y), Z).$$

By substitution into  $F$  one can define a structure of abelian group on any topologically nilpotent ideal in a complete commutative  $A$ -algebra. In particular this applies to the formal power series over  $A$  with vanishing constant term. In this paper we use the words “formal group” in the understanding that we always mean a one-dimensional commutative one.

If  $F$  and  $\tilde{F}$  are both formal groups then an isomorphism  $G$  from  $\tilde{F}$  to  $F$  means a formal power series  $G(X) = \sum g_n X^n$  with  $g_n \in A$  such that

$$G(X) = X + \text{terms of degree} > 1,$$

$$G\tilde{F}(X, Y) = F(G(X), G(Y)).$$

In the case that  $A = \mathbf{Z}$  one can find integers  $\gamma_n$  such that  $G$  is an infinite sum of terms  $X^n$ , each occurring  $\gamma_n$  times; the sum being taken in the  $F$  sense.

DEFINITION 1.1. The isomorphism  $G$  is called a strong isomorphism if  $n$  divides  $\gamma_n$  for every  $n$ .

PROPOSITION 1.2. Let  $\tilde{F}$  and  $F$  be formal groups over  $\mathbf{Z}$ , and let  $G: \tilde{F} \rightarrow F$  be a strong isomorphism. Then  $G$  induces an equivalence  $G_*: K_{2,\tilde{F}} \rightarrow K_{2,F}$  such that

$$G_* \langle a, b \rangle_{\tilde{F}} = \langle a, a^{-1}G(ab) \rangle_F$$

*Proof.* We show that the three relations are satisfied.

- 1)  $G_* \langle a, 1 \rangle_{\tilde{F}} = \langle a, a^{-1}G(a) \rangle_F = \sum \gamma_n \langle a, a^{-1}a^n \rangle_F = \sum n^{-1} \gamma_n \langle a^n, 1 \rangle_F = 0$  since it follows from the third relation that  $\langle a^n, 1 \rangle_F = n \langle a, a^{n-1} \rangle_F$ .
- 2)  $G_* \langle a, b \rangle_{\tilde{F}} + G_* \langle a, c \rangle_{\tilde{F}} = \langle a, a^{-1}G(ab) \rangle_F + \langle a, a^{-1}G(ac) \rangle_F = \langle a, a^{-1}F(G(ab), G(ac)) \rangle_F = G_* \langle a, a^{-1}G^{-1}F(G(ab), G(ac)) \rangle_{\tilde{F}} = G_* \langle a, a^{-1}\tilde{F}(ab, ac) \rangle_{\tilde{F}}$
- 3)  $G_* \langle a, bc \rangle_{\tilde{F}} + G_* \langle b, ac \rangle_{\tilde{F}} = \langle a, a^{-1}G(abc) \rangle_F + \langle b, b^{-1}G(abc) \rangle_F = \langle ab, a^{-1}b^{-1}G(abc) \rangle_F = G_* \langle ab, c \rangle_{\tilde{F}} \quad \bullet$

For any formal group over  $\mathbf{Q}$  there is an isomorphism  $f$  from  $F$  to the additive formal group; this is called the logarithm of the formal group. Let  $p$  be a prime number and let  $F$  be a formal group over  $\mathbf{Q}$  with logarithm  $F(X) = \sum f_n X^n$ ; then the formal group with logarithm  $\sum f_{p^r} X^{p^r}$  is called the  $p$ -typical formal group associated to  $F$ . If  $F$  is defined over  $\mathbf{Z}$  then its  $p$ -typification is (for these facts see [H]).

If  $f = \sum f_n X^n$  is a logarithm for  $F$  and  $\tilde{f} = \sum \tilde{f}_n X^n$  is one for  $\tilde{F}$  and if  $G$  is an isomorphism as above then we have  $\tilde{f} = fG = \sum \gamma_n f(X^n)$ . Writing this out yields  $\tilde{f}_n = \sum_{mk=n} \gamma_m f_k$  hence  $(\sum \tilde{f}_n n^s) = (\sum \gamma_m m^s)(\sum f_k k^s)$  in the language of formal Dirichlet series introduced in [C]. So if  $F$  and  $\tilde{F}$  are strongly isomorphic then their  $p$ -typifications are.

DEFINITION 1.3. A formal group  $F$  is called special if its logarithm  $f(X) = \sum f_n X^n$  satisfies  $f_{mk} = f_m f_k$  for every  $m, k$ .

So the  $p$ -typification of a special formal group is again special. Therefore the  $p$ -typification of a formal group satisfying the conditions of Theorem 0.2 again satisfies those conditions.

## §2. The $\lambda$ -operations associated to $F$

In [C] we introduced certain  $\lambda$ -operations  $\lambda^n, \theta^n$  and  $\eta^n$ . In this § we introduce  $F$ -twisted versions  $\lambda_F^n, \theta_F^n$  and  $\eta_F^n$  of these operations.

Recall that the element  $a$  of a  $\lambda$ -ring is called one-dimensional if  $\lambda^n(a) = 0$  for  $n > 1$ .

**PROPOSITION 2.1.** *Let  $F$  be a formal group over  $\mathbf{Z}$ . There exists a unique sequence  $\{\lambda^n_F\}$  of  $\lambda$ -operations such that  $\lambda^F = \sum_{n=1}^\infty t^n \lambda^n_F$  satisfies*

- 1)  $\lambda^F(a + b) = F(\lambda^F(a), \lambda^F(b))$
- 2)  $\lambda^F(a) = ta$  if  $a$  is one-dimensional.

*Proof.* Recall that the universal  $\lambda$ -ring  $U$  can be embedded in the inverse limit of polynomial rings  $\mathbf{Z}[s_1, s_2, \dots, s_n]$  so that the canonical element  $u \in U$  corresponds to  $\sum_{n=1}^\infty s_n$ . Now  $F(ts_1, ts_2, \dots)$  is the required element  $\lambda^F$ . ●

**PROPOSITION 2.2.** *Let  $F$  be a formal group over  $\mathbf{Q}$  with logarithm  $f$ . Let  $R$  be a  $\lambda$ -ring containing  $\mathbf{Q}$ , and let  $a \in R$ . Then*

$$f(\lambda^F(a)) = \sum_{n=1}^\infty f_n t^n \psi^n(a).$$

*Proof.* From the definition of logarithm and the first property of  $\lambda^F$  it follows that  $f\lambda^F$  is additive. Moreover the statement is true on one-dimensional elements. Therefore it is true on  $\mathbf{Q}[s_1, s_2, \dots]$  and thus on  $U \otimes \mathbf{Q}$ . ●

Now we define the  $F$ -twisted version of the operations  $\eta^n$  in §3 of [C].

**LEMMA 2.3.** *If  $R$  is a  $\lambda$ -ring and  $a \in R$  is not a zero-divisor then*

$$\lambda^F_{ta} = \sum_{n=1}^\infty t^n a^n \lambda^n_F: R[[t]] \rightarrow taR[[t]] \text{ is a bijection.}$$

*Proof.* This follows easily from the first property of  $\lambda^F$  together with the fact that

$$\lambda^n_F(t^m R[[t]]) \subseteq t^{mn} R[[t]]. \quad \bullet$$

**DEFINITION 2.4.** Let  $F$  be a formal group over  $\mathbf{Z}$ . The  $\lambda$ -operations  $\eta^n_F$  in two variables are defined by the condition that

$$\lambda^F_{ta} \left( \sum_{n=1}^\infty t^{n-1} \eta^n_F(a, b) \right) = tab.$$

We write  $\theta^n_F(b)$  for  $\eta^n_F(1, b)$ .

By applying  $f$  on both sides in this definition and using Proposition 2.3 we get the following generalisation of Proposition 3.3 of [C]:

**OBSERVATION 2.5.** For elements  $a, b$  in a  $\lambda$ -ring  $R$  containing  $\mathcal{Q}$  one has

$$f_n a^{n-1} b^n = \sum_{m|n} f_m a^{m-1} \psi^m(\eta_F^{n/m}(a, b)).$$

From this it is clear that  $\eta_F^n(a, b)$  is of degree  $n - 1$  in  $a$  and of degree  $n$  in  $b$ . Therefore we can rewrite the formula 2.4 as

$$\lambda_{ia}^F(\eta_F(ta, b)) = tab \quad \text{where} \quad \eta_F(ta, b) = \sum_{n=1}^{\infty} \eta_F^n(ta, b).$$

**PROPOSITION 2.6.** *If  $F$  is a formal group over  $Z$  and  $a, b, c$  are elements of a  $\lambda$ -ring then*

$$\eta_F(ta, b) + \eta_F(ta, c) = \eta_F(ta, (ta)^{-1}F(tab, tac)).$$

*Proof.* This follows from Lemma 2.3 since both sides have the same image under  $\lambda_{ia}^F$  by Definition 2.4 and the first property of  $\lambda^F$ .     ●

Using the formalism of formal Dirichlet series introduced in §8 of [C] we can rewrite some of the foregoing identities as relations between such series.

**DEFINITION 2.7.** Let  $F$  be a formal group over  $Z$ . Then  $Y_F \in DS(U)$  and  $H_F \in DS(U_2)$  are defined by the formulas

$$Y_F(a) = \sum n f_n a^{n-1} n^s, \quad H_F(a, b) = \sum \eta_F^n(a, b) n^s$$

Now Observation 2.5 can be reformulated as  $bY_F(ab) = Y_F(a) \cdot TH_F(a, b)$ . The formula at the end of §1 can be rewritten as  $Y_{\bar{F}}(1) = T\Gamma \cdot Y_F(1)$ . Henceforth we write  $Y_F$  for  $Y_F(1)$ .     ●

Since we have taken a generalisation of Proposition 3.8 of [C] as definition of the  $\eta_F^n$ , the generalisation of Definition 3.1 of [C] becomes a proposition:

**PROPOSITION 2.8.** *If  $F$  is a formal group over  $Z$  and  $a, b$  are elements of a  $\lambda$ -ring then*

$$\eta_F^n(a, b) = \sum_m \eta_F^m(a, a^{(n-m)/m}) \psi^m \theta_F^{n/m}(b) \quad \text{for } n > 1.$$

Here the sum extends over all  $m \neq n$  dividing  $n$ .

*Proof.* Property 2.5 determines the operations  $\eta_F^n$  uniquely: we may assume that  $a, b$  are the canonical elements in  $U_2$ , and the right hand side is of the form  $\eta_F^n(a, b) + \text{terms involving } \eta_F^k(a, b) \text{ with } k < n$ .

Therefore it is sufficient to show that the right hand side of the above statement satisfies the same identity. This follows easily by rearranging sums and using the induction hypothesis. ●

**COROLLARY 2.9.** *The operations  $\eta_F^n$  are elements of the subring  $V_2$  of the ring  $U_2$  of  $\lambda$ -operations in two variables as defined in §2 in [C].*

Therefore the theory of §5 of [C] tells us the following. If  $(R, J, I)$  is admissible then  $\eta_F(a, b) = \sum_{n=1}^{\infty} \eta_F^n(a, b)$  converges in the  $J$ -topology for  $a \in I$  or  $b \in I$ . Moreover Proposition 2.6 implies that in that situation one has

$$\eta_F(a, b) + \eta_F(a, c) = \eta_F(a, a^{-1}F(ab, ac)).$$

### §3. The relation between $\eta_F$ and $\eta$

In this § the operations  $\eta_F^n$  are expressed in terms of the  $\eta^m$ .

**DEFINITION 3.1.** Let  $F$  be a formal group over  $\mathcal{Q}$  with logarithm  $f$ . Then the  $\lambda$ -operations  $C_F^{n,m} \in U \otimes \mathcal{Q}$  are defined by

$$\sum_{k|m} f_k a^{k-1} \psi^k(C_F^{n,m/k}(a)) = n f_{nm} a^{m-1}$$

In particular  $C_F^{n,1}(a) = n f_n$ ; and if  $F$  is special then  $C_F^{n,m} = 0$  for  $m > 1$ .

**PROPOSITION 3.2.** *Let  $F$  be a formal group over  $\mathcal{Q}$  and let  $a, b$  be elements of a  $\lambda$ -ring containing  $\mathcal{Q}$ . Then*

$$\eta_F^n(a, b) = \sum_{m|n} C_F^{n/m,m}(a) \psi^m(\eta^{n/m}(a, b)).$$

*Proof.* Again it is sufficient to show that the right hand side satisfies identity 2.5. This follows easily by rearranging the sum and applying Definition 3.1 and Proposition 2.5 for the  $\eta^m$ . ●

We now show that in fact  $C_F^{n,m} \in U$  if  $F$  is a formal group over  $\mathcal{Z}$ .

LEMMA 3.3

- a) Suppose that  $\xi^m \in U \otimes \mathbf{Q}$  and that the operation  $(a, b) \rightarrow \sum_{m|n} \xi^m(a)\psi^m(\theta^{n/m}(b))$  is element of  $U_2$ ; then in fact  $\xi^m \in U$ .
- b) Suppose that  $\xi \in U \otimes \mathbf{Q}$  and that the operation  $a \rightarrow \xi(a)\psi^k(a')$  is in  $U$ . Then in fact  $\xi \in U$ .

*Proof*

- a) If the operations  $\xi^m$  are integral for  $m < M$  then we may suppose that they vanish in that range. Now apply the above operation to the ring  $U[t_1, t_2]/(t_1^{M+1})$  and take for  $a$  the canonical element  $u \in U$  and  $b = t_1 + t_2$  or  $t_2$  respectively, and take the difference of the results. Then the  $m$ th term vanishes also for  $m > M$ , and the  $M$ th term is

$$\xi^M(u)\{\theta^{n/M}(t_1^M + t_2^M) - \theta^{n/M}(t_2^M)\} = \xi^M(u)t_1^M t_2^{n-M}.$$

If this is integral then  $\xi^M(u)$  must be.

- b) The universal  $\lambda$ -ring  $U$  is the polynomial ring over  $\mathbf{Z}$  freely generated by the  $\lambda^n(u)$ . Therefore a product of two elements can only be a multiple of an integer  $> 1$  if one of the factors is. But the element of  $U$  corresponding to the operation  $a \rightarrow \psi^k(a')$  is not divisible by any integer  $> 1$  as is easily seen by applying this operation on the ring  $\mathbf{Z}[t]$  and the element  $t$ . ●

PROPOSITION 3.4. *Let  $F$  be a formal group over  $\mathbf{Z}$ . Then the operations  $C_F^{n,m}$  are in  $U_2$ .*

*Proof.* By substituting Definition 3.1 of [C] into Proposition 3.2 and rearranging terms we get

$$\eta_F^n(a, b) = \sum_{m|n} \xi^m(a)\psi^m(\theta^{n/m}(b))$$

where

$$\xi^m(a) = \begin{cases} \sum_{k|m} C_F^{n/k,k}(a)\psi^k(\eta^{n/k}(a, a^{n-m/m})) & \text{for } m < n \\ C_F^{1,m} & \text{for } m = n \end{cases}$$

So by Lemma 3.3a these operations are integral. From this it follows by induction on  $n$  and Lemma 3.3b that the operations  $C_F^{n,m}$  are integral ●

COROLLARY 3.5. *Let  $F$  be a formal group over  $\mathbf{Z}$  and let  $a, b$  be elements of a  $\lambda$ -ring. Then again*

$$\eta_F^n(a, b) = \sum_{m|n} C_F^{n/m,m}(a)\psi^m(\eta^{n/m}(a, b)).$$



REMARK 3.6. Proposition 3.4 implies that the numbers  $C_F^{n,m}(1) \in \mathcal{Q}$  are integers. We will abbreviate these to  $C_F^{n,m}$ . They are determined by the formula  $\sum_{k|m} f_k C_F^{n,m/k} = n f_{nm}$  which is exactly the formula in [D] expressing that  $n f_n$  is a “lexoid function”. The meaning of these numbers for us becomes clear by putting  $a = 1$  in Proposition 3.2; that yields the formula

$$\theta_F^n(b) = \sum_{m|n} C_F^{n/m,m} \psi^m(\theta^{n/m}(b)).$$

**§4. Some identities for the numbers  $C_F$**

In this § the prime  $p$  is fixed. First we prove some general relations between the numbers  $C_F^{p',p'}$ ; then we use these to draw some consequences for these numbers from the hypothesis of Theorem 0.2.

LEMMA 4.1 (see [D]). *Let  $F$  be a formal group over  $\mathcal{Q}$ . Then*

$$C_F^{p',p'} = p C_F^{p'-1,p'+1} + C_F^{p'-1,1} C_F^{p,p'}$$

for every  $(i, j)$  with  $i > 0$ .

*Proof.* Let  $f(X) = \sum_n f_n X^n$  be the logarithm of  $F$ . If we substitute the identities

$$C_F^{p',p^k} = p C_F^{p'-1,p^{k+1}} + C_F^{p'-1,1} C_F^{p,p^k} \text{ for } 0 \leq k \leq j - 1$$

into the identity

$$\sum_{k=0}^j C_F^{p',p^k} f_{p^j-k} = p^j f_{p^j} = p C_F^{p'-1,1} f_{p^{j+1}} + p \sum_{k=0}^j C_F^{p'-1,p^{k+1}} f_{p^j-k}$$

then we get

$$C_F^{p',p'} + C_F^{p'-1,1} \sum_{k=0}^{j-1} C_F^{p,p^k} f_{p^j-k} = p C_F^{p'-1,p^{j+1}} + p C_F^{p'-1,1} f_{p^{j+1}}.$$

Now the statement follows by comparing this with the identity  $p f_{p^{j+1}} = \sum_{k=0}^j C_F^{p,p^k} f_{p^j-k}$  ●

LEMMA 4.2. *Let  $F$  be a formal group over  $\mathcal{Q}$ . Then*

$$C_F^{p^i, p^j} = \sum_{k=0}^{i-1} p^k C_F^{p^i, p^{j+k}} C_F^{p^{i-k-1}, 1} \text{ for every } (i, j).$$

*Proof.* Immediate from Lemma 4.1 by induction on  $i$ . ●

LEMMA 4.3. *Let  $F$  be a formal group over  $\mathcal{Q}$  and let  $p$  be prime. Then for every  $(i, j, k)$  with  $k \leq i$  one has*

$$C_F^{p^i, p^j} - \sum_{m=0}^k p^m C_F^{p^{i-k}, p^m} C_F^{p^{k-m}, p^j} = \begin{cases} p^k C_F^{p^{i-k}, p^{k+j}} & \text{if } j > 0 \\ 0 & \text{if } j = 0 \end{cases}$$

*Proof.* We use induction on  $k$ ; for  $k = 0$  the statement is empty. For  $k > 0$  we apply Lemma 4.1 for  $(i, j)$ , the induction hypothesis for  $(i - 1, 0, k - 1)$ , Lemma 4.1 for  $(k - m, j)$  and the induction hypothesis for  $(i - 1, j + 1, k - 1)$ . ●

Now we deduce from the condition on  $F$  in theorem 0.2. a relation for the integers  $C_F^{n,m}$ . First we recall a few notations from §9 of [C].

DEFINITION 4.4. If  $\Xi = \sum \xi_n n^s$  is a Dirichlet series then we write

$$\Xi_p = \sum \xi_{p^e} (p^e)^s, T_p \Xi = \sum p^{v_p(n)} \xi_n n^s.$$

PROPOSITION 4.5. *Let  $F$  be a  $p$ -typical formal group over  $\mathcal{Z}$  strongly isomorphic to a special one. Then  $p^h$  divides  $C_F^{p^i, p^h}$  for every  $h$ .*

*Proof.* By Remark 3.6 one has  $p^j f_{p^{e+i}} = \sum_{d=0}^e C_F^{p^i, p^{e-d}} f_{p^d}$ . Therefore

$$Y_F - \sum_{j=0}^{i-1} p^j f_{p^j} (p^j)^s = Y_F \sum_h p^h C_F^{p^i, p^h} (p^{h+i})^s,$$

so

$$\sum_h p^h C_F^{p^i, p^h} (p^{h+i})^s = 1 - Y_F^{-1} \sum_{j=0}^{i-1} p^j f_{p^j} (p^j)^s.$$

If  $F$  is strongly isomorphic to a special formal group with logarithm  $\tilde{f}$  then one can rewrite  $Y_F^{-1}$  as

$$T\Gamma_p \cdot Y_{\tilde{F}}^{-1} = T\Gamma_p \cdot (1 - p\tilde{f}_p p^s)$$

and the right hand side is of the form

$$1 - \sum_{k=0}^{\infty} p^k \gamma_{p^k} (p^k)^s \cdot \sum_{m=0}^i \xi_m (p^m)^s$$

where

$$\sum_{m=0}^i \xi_m (p^m)^s = (1 - p\tilde{f}_p p^s) \cdot \sum_{j=0}^{i-1} p^j f_{p^j} (p^j)^s$$

has integral coefficients. Now the statement follows from the fact that  $p^k \gamma_{p^k}$  is divisible by  $p^{2k}$  and thus by  $p^{2h}$  if  $k + m = h + i$ . ●

LEMMA 4.6. *Let  $F$  be any formal group, and  $a$  element of a  $\lambda$ -ring. Then*

$$Y_F(a) = \sum a^{p^h q^{-1}} Y_{F,p}(a^{p^h q}) p^h C_F^{q,p^h} (p^h q)^s.$$

In particular  $Y_F = Y_{F,p} \cdot Y_{F,C} = Y_{F,C} \cdot Y_{F,p}$  where  $Y_{F,C} = \sum p^h C_F^{q,p^h} (p^h q)^s$ . Here the sums are over all  $q$  indivisible by  $p$  and over all  $h$ .

*Proof.* According to Remark 3.6 one has  $qf_{p^e q} = \sum_{d=0}^e C_F^{q,p^{e-d}} f_{p^d}$ . Substituting this into the formula  $Y_F(a) = \sum p^e q f_{p^e q} a^{p^e q^{-1}} (p^e q)^s$  and writing  $h$  for  $e - d$  gives the result. ●

PROPOSITION 4.7. *Let  $F$  be a formal group over  $\mathbf{Z}$  strongly isomorphic to a special one. Then  $p^h$  divides  $C_F^{q,p^h}$  if  $q$  is indivisible by  $p$ .*

*Proof.* Suppose that  $F$  is strongly isomorphic to  $\tilde{F}$  and that  $\tilde{F}$  is special. Then according to 2.7 one has  $Y_{\tilde{F}} = T\Gamma \cdot Y_F$  where  $T\Gamma$  is in the image of  $T_p^2$ . This implies that  $Y_{\tilde{F},p} = T\Gamma_p \cdot Y_{F,p}$  where  $T\Gamma_p$  is in the image of  $T_p^2$ . By lemma 4.6 one has  $Y_{F,C} = Y_F \cdot Y_{F,p}^{-1} = T\Gamma^{-1} \cdot Y_{\tilde{F}} \cdot T\Gamma_p \cdot Y_{\tilde{F},p}^{-1} = T\Gamma^{-1} \cdot \Gamma_p \cdot Y_{\tilde{F},C}$ . However  $Y_{\tilde{F},C}$  is of the form  $\sum q C_{\tilde{F}}^{q,1} q^s$  and thus in the image of  $T_p^2$ . Therefore  $Y_{F,C}$  is in the image of  $T_p^2$ . Writing this out one gets the statement. ●

**§5. Some  $p$ -primary congruences**

Now we use Proposition 4.5 to generalise the contents of §10 of [C] to the  $F$ -twisted case.

DEFINITION 5.1. Let  $F$  be a formal group over  $\mathbf{Z}$ . If  $i \leq e$  then we write  $\chi_F^{p^e, p^i}$  for the  $\lambda$ -operation defined by

$$\chi_F^{p^e, p^i}(a) = \theta_F^{p^e}(a) - \sum_{m=0}^i p^m C_F^{p^e-i, p^m} a^{p^e-p^i-m} \theta_F^{p^i-m}(a)$$

PROPOSITION 5.2. Let  $F$  be a  $p$ -typical formal group over  $\mathbf{Z}$  which is strongly isomorphic to a special one. Let  $a$  be the canonical element of  $U$ . Then

$$\chi_F^{p^e, p^i}(a) \equiv \sum_{j=0}^i \sum_{m=0}^{i-j} p^m C_F^{p^e-i, p^m} C_F^{p^i-j-m, p^j} \psi^{p^j}(\chi_F^{p^e-i, p^i-j-m}(a)) \text{ modulo } p^i V.$$

*Proof.* If one expresses the  $\theta_F$  in terms of the  $\theta$  as in Remark 3.6 then the right hand side of Definition 5.1 becomes

$$\begin{aligned} & \sum_{j=i+1}^e C_F^{p^e-i, p^j} \psi^{p^j}(\theta^{p^e-i}(a)) \\ & + \sum_{j=0}^i \left\{ C_F^{p^e-i, p^j} - \sum_{m=0}^{i-j} p^m C_F^{p^e-i, p^m} C_F^{p^i-j-m, p^j} \right\} \psi^{p^j}(\theta^{p^e-i}(a)) \\ & - \sum_{j=0}^i \sum_{m=0}^{i-j} p^m C_F^{p^e-i, p^m} C_F^{p^i-j-m, p^j} \{ a^{p^e-p^i-m} - \psi^{p^j}(a^{p^e-i-p^i-j-m}) \} \psi^{p^j}(\theta^{p^i-j-m}(a)) \\ & + \sum_{j=0}^i \sum_{m=0}^{i-j} p^m C_F^{p^e-i, p^m} C_F^{p^i-j-m, p^j} \psi^{p^j} \{ \theta^{p^e-i}(a) - a^{p^e-i-p^i-j-m} \theta^{p^i-j-m}(a) \}. \end{aligned}$$

The last sum is the right hand side of the statement. From Propositions 4.3 and 4.5 and the fact that the  $\lambda$ -operation  $x \rightarrow x^{p^i+k} - \psi^{p^k}(x^{p^k})$  is in  $p^{k+1}V$  it follows that the first three terms are in  $p^i V$ . ●

COROLLARY 5.3. In the situation of Proposition 5.2 one has

$$\chi_F^{p^e, p^i}(a) \equiv \begin{cases} 0 & \text{if } p \text{ is odd} \\ C_F^{2^e-i, 1} \sum_{j=0}^i C_F^{2^i-j, 2^j} \psi^{2^j}(\chi_F^{2^e-i, 2^i-j}(a)) & \text{if } p = 2 \end{cases}$$

*Proof.* It follows from Lemma 10.5 of [C] that  $\chi_F^{p^e, p^i}$  is in  $p^i V$  if  $p$  is odd and in  $p^{i-1} V$  if  $p = 2$ . ●

DEFINITION 5.4. Let  $F$  be a formal group over  $\mathbf{Z}$ . Then the  $\lambda$ -operations  $\tau_F^n$  are defined by  $\Sigma \tau_F^n(a, b)n^s = H_F(1, a) \cdot TH_F(a, b)$ . In other words

$$\tau_F^n(a, b) = \sum_{\substack{n \\ m|n}} \frac{n}{m} \theta_F^m(a) \psi^m \eta_F^{n/m}(a, b).$$

From this one deduces easily that

$$\tau_F^n(a, b) = \theta_F^n(a) \psi^n(b) + \sum_{\substack{n \\ m|n}} \frac{n}{m} \tau_F^m(a, a^{(n-m)/m}) \psi^m \theta_F^{n/m}(b)$$

where the sum extends over all  $m < n$  dividing  $n$ .

DEFINITION 5.5. Let  $p$  be a prime, and let  $F$  be a formal group over  $\mathbf{Z}$ . Then the operation  $\varepsilon_F^{p^e}$  is defined by

$$\varepsilon_F^{p^e}(a, b) = \sum_{i=0}^e C_F^{p^e - p^i} \psi^{p^i}(\varepsilon_F^{p^{e-i}}(a, b))$$

where  $\varepsilon$  is defined as in Definition 10.7 of [C] i.e.

$$\varepsilon^{p^e}(a, b) = \begin{cases} 0 & \text{if } p > 2 \text{ or } e \leq 1 \\ 2^{e-1}(ab)^{2e-4} \theta^2(a)^2 \theta^2(b)^2 & \text{if } p = 2 \text{ and } e \geq 2 \end{cases}$$

PROPOSITION 5.6. *Let  $p$  be a prime and let  $F$  be a  $p$ -typical formal group strongly isomorphic to a special one. Let  $a, b$  be the canonical elements of  $U_2$ ; then one has*

$$\tau_F^{p^e}(a, b) = \theta_F^{p^e}(a) b^{p^e} + \varepsilon_F^{p^e}(a, b) \text{ modulo } p^e W_2.$$

*Proof.* We use induction in  $e$ ; the case  $e = 0$  is trivial so assume  $e > 0$ . We claim that

$$\tau_F^{p^e}(a, b) = \theta_F^{p^e}(a) b^{p^e} + \sum_{k=0}^e [C_F^{p^e-k, 1} \varepsilon_F^{p^k}(a, a^{p^{e-k}}) - \chi_F^{p^e, p^k}(a)] p^{e-k} \psi^{p^k} \theta_F^{p^{e-k}}(b).$$

To prove the claim we apply the induction hypothesis and get

$$\begin{aligned} \tau_F^{p^e}(a, b) &= \theta_F^{p^e}(a)\psi^{p^e}(b) + \sum_{i=0}^{e-1} p^{e-i}\tau_F^{p^i}(a, a^{p^{e-i}-1})\psi^{p^i}\theta_F^{p^{e-i}}(b) \\ &= \theta_F^{p^e}(a)\psi^{p^e}(b) + \sum_{i=0}^{e-1} p^{e-i}[\theta_F^{p^i}(a)a^{p^e-p^i} + \varepsilon_F^{p^i}(a, a^{p^{e-i}-1}) \text{ modulo } p^iW]\psi^{p^i}\theta_F^{p^{e-i}}(b) \\ &\equiv \sum_{i=0}^e p^{e-i}\theta_F^{p^i}(a)a^{p^e-p^i}\psi^{p^i}\theta_F^{p^{e-i}}(b) + \sum_{i=0}^{e-1} p^{e-i}\varepsilon_F^{p^i}(a, a^{p^{e-i}-1})\psi^{p^i}\theta_F^{p^{e-i}}(b). \end{aligned}$$

The first sum can be rewritten as

$$\begin{aligned} &\sum_{i=0}^e \sum_{j=0}^{e-i} p^{e-i}\theta_F^{p^i}(a)a^{p^e-p^i}C_F^{p^{e-i-1}, p^j}\psi^{p^i+j}\theta^{p^{e-i-1}}(b) \\ &= \sum_{k=0}^e \left[ \sum_{j=0}^k p^j\theta_F^{p^{k-j}}(a)a^{p^e-p^{k-j}}C_F^{p^{e-k}, p^j} \right] p^{e-k}\psi^{p^k}\theta^{p^{e-k}}(b) \\ &= \sum_{k=0}^e [\theta_F^{p^e}(a) - \chi_{F, p^k}^{p^e}(a)]p^{e-k}\psi^{p^k}\theta^{p^{e-k}}(b) \end{aligned}$$

and by Lemma 2.2 of [C] the terms involving  $\theta_F^{p^e}(a)$  add up to  $\theta_F^{p^e}(a)b^{p^e}$ .

In the second sum the value of  $\psi^{p^i}\theta_F^{p^{e-i}}(b)$  only matters modulo  $pW$  since its cofactor is in  $p^{e-1}W$ . So we may replace it by  $C_F^{p^{e-i-1}, 1}\psi^{p^i}\theta^{p^{e-i}}(b)$ . This establishes the claim.

Now consider the bracket expression in the claim, modulo  $p^k W$ . For  $p > 2$  it vanishes according to Corollary 5.3 and the definition of  $\varepsilon_F$ , and the proof is finished. So let  $p = 2$ . For  $k > 1$  the  $\chi_F$  term yields

$$\begin{aligned} &-C_F^{2^{e-k}, 1} \left[ C_F^{2, 2^{k-1}}\psi^{2^{k-1}}(a^{2^{e-k+1}-4}\theta^2(a)^2) \right. \\ &\quad \left. + \sum_{j=0}^{k-2} 2^{k-j-1}C_F^{2^{k-j}, 2^j}\psi^{2^j}(a^{2^{e-j}-4}\theta^2(a)^2 + a^{2^{e-j}-8}\theta^2(a)^4) \right] \end{aligned}$$

and the  $\varepsilon_F$  term yields

$$\begin{aligned} &C_F^{2^{e-k}, 1} \sum_{j=0}^{k-2} C_F^{2^{k-j}, 2^j}\psi^{2^j}\varepsilon_F^{2^{k-1}}(a, a^{2^{e-k}-1}) \\ &= C_F^{2^{e-k}, 1} \sum_{j=0}^{k-2} C_F^{2^{k-j}, 2^j}\psi^{2^j}(2^{k-j-1}(a^{2^{e-k}})^{2^k-4}\theta^2(a)^2\theta^2(a^{2^{e-k}-1})^2). \end{aligned}$$

Again the value of  $\theta^2(a^{2^{e-k}-1})^2$  only matters modulo  $2W$  so we may replace it by  $a^{2^{e-k+2}-8}\theta^2(a)^2$ . The expression thus becomes

$$- C_F^{2^{e-k},1} \sum_{j=0}^{k-1} C_F^{2^{k-j},2^j} 2^{k-j-1} \psi^{2^j}(a^{2^{e-j}-4}\theta^2(a)^2)$$

For  $k = 1$  one gets the same result; for  $k = 0$  one gets zero. Finally we may replace  $C_F^{2^{e-k},1} C_F^{2^{k-j},2^j}$  by  $C_F^{2^{e-j},2^j}$  since it is the same modulo  $2^{j+1}$ . We thus find the cofactor of  $\psi^{2^j}(a^{2^{e-j}-4}\theta^2(a)^2)$  in  $\tau_F^{p^e}(a, b)$  to be

$$- 2^{e-j-1} C_F^{2^{e-j},2^j} \sum_{k=j+1}^{e-1} \psi^{2^k} \theta^{2^{e-k}}(b)$$

and according to Lemma 10.6 of [C] this may be rewritten as

$$- 2^{e-j-1} C_F^{2^{e-j},2^j} (b^{2^{e-j}-4}\theta^2(b)^2)$$

which is exactly the cofactor of  $\psi^{2^j}(a^{2^{e-j}-4}\theta^2(a)^2)$  in  $\varepsilon_F^{2^e}(a, b)$ . ●

### §6. Proof of the theorem

LEMMA 6.1. *Let  $p$  be a prime. Let  $F$  be a  $p$ -typical formal group over  $\mathbf{Z}$  strongly isomorphic to a special one. If  $a, b$  are the canonical elements in  $U_2$  then*

$$\theta_F^{p^e}(ab) \equiv \theta_F^{p^e}(a)b^{p^e} + a^{p^e}\theta_F^{p^e}(b) \text{ modulo } p^e W_2$$

*Proof.* In view of Proposition 4.5 and the fact that  $\theta_F^{p^e} = \sum_{i=0}^e C_F^{p^{e-i},p^i} \psi^{p^i} \theta^{p^{e-i}}$  we only have to show that

$$\psi^{p^j}(\theta^{p^{e-i}}(ab)) \equiv \psi^{p^j}(\theta^{p^{e-i}}(a))b^{p^e} + a^{p^e}\psi^{p^j}(\theta^{p^{e-i}}(b)) \text{ modulo } p^{e-i} W_2$$

But this follows from the fact that

$$\theta^{p^j}(ab) = \theta^{p^j}(a)b^{p^j} + a^{p^j}\theta^{p^j}(b) - p^j\theta^{p^j}(a)\theta^{p^j}(b)$$

for all  $j$ . ●

**PROPOSITION 6.2.** *Let  $p$  be a prime. Let  $F$  be a  $p$ -typical formal group over  $\mathbf{Z}$  strongly isomorphic to a special one. Then for each natural number  $d$  there exist  $B_F \in DS(W_d)$  such that*

$$TB_F(a_1, \dots, a_d) = \sum_{i=1}^d H_F(1, a_i) \cdot TH_F\left(a_i, \prod_{j \neq i} a_j\right) - H_F\left(1, \prod_{i=1}^d a_i\right)$$

if  $a_1, \dots, a_d$  are elements of a  $\lambda$ -ring  $R$ .

*Proof.* For  $d = 2$  we have to prove that there exists a sequence of operations  $\beta_F^{p^e}$  of two variables such that  $p^e \beta_F^{p^e}(a, b) = \tau_F^{p^e}(a, b) + \tau_F^{p^e}(b, a) - \theta_F^{p^e}(ab)$ . But Proposition 5.6 and 6.1 accomplish just that. The statement for  $d > 2$  follows from the one for  $d = 2$  as was proven in Proposition 9.1 of [C]. ●

To prove a similar result in the general case we need a generalisation of Lemma 9.2 of [C].

**LEMMA 6.3.** *Let  $F$  be a formal group over  $\mathbf{Z}$ . If  $R$  is a  $\lambda$ -ring and  $a, b \in R$  then*

$$\begin{aligned}
 TH_F(1, a) &= Y_{F,C}^{-1} \cdot \sum TH_{F,P}(1, a^{p^h q}) p^h C_F^{q,p^h}(p^h q)^s, \\
 TH_F(a, b) &= \left[ \sum TH_{F,P}(a, a^{p^h q-1}) p^h C_F^{q,p^h}(p^h q)^s \right]^{-1} \\
 &\quad \times \left[ \sum TH_{F,P}(a, a^{p^h q-1} b^{p^h q}) p^h C_F^{q,p^h}(p^h q)^s \right]
 \end{aligned}$$

Here the sum is over all  $q$  prime to  $p$  and over all  $h$ .

*Proof.* One has  $TH_F(1, a) = Y_F^{-1} \cdot a Y_F(a)$  and  $TH_{F,P}(1, a^{p^h q}) = Y_{F,P}^{-1} \cdot a^{p^h q} Y_{F,P}(a^{p^h q})$  according to Remark 2.7. The substitution of these identities into Lemma 4.6 yields the first statement. According to 2.7 one has also

$$\begin{aligned}
 TH_F(1, ab) &= Y_F^{-1} \cdot ab Y_F(ab) = [Y_F^{-1} \cdot a Y_F(a)] \cdot [Y_F(a)^{-1} \cdot b Y_F(ab)] \\
 &= TH_F(1, a) \cdot TH_F(a, b).
 \end{aligned}$$

Similarly one has

$$TH_{F,P}(1, a^{p^h q} b^{p^h q}) = TH_{F,P}(1, a) \cdot TH_{F,P}(1, a^{p^h q-1} b^{p^h q}).$$

The combination of these identities with the first statement yields the second one. ●



**PROPOSITION 6.4.** *Let  $F$  be a formal group over  $\mathbf{Z}$  strongly isomorphic to a special one. Then there exist  $B_F \in DS(W_d)$  such that*

$$TB_F(a_1, \dots, a_d) = \sum_{i=1}^d H_F(1, a_i) \cdot TH_F\left(a_i, \prod_{j \neq i} a_j\right) - H_F\left(1, \prod_{j=1}^d a_j\right)$$

if  $a_1, \dots, a_d$  are elements of a  $\lambda$ -ring  $R$ .

*Proof.* (Essentially Proposition 9.3 of [C]). Let  $a, b$  be the canonical elements in  $U_2$ . Let  $p$  be a prime. By Lemma 6.3 one has

$$\begin{aligned} & TH_F(1, a) \cdot T^2 H_F(a, b) - Y_{F,C}^{-1} \cdot TH_{F,p}(1, a) \\ & \quad \times \sum p^{2h} q C_F^{q,p^h} T^2 H_{F,p}(a, a^{p^h q - 1} b^{p^h q}) (p^h q)^s \\ & = Y_{F,C}^{-1} \cdot \left[ \sum [TH_{F,p}(1, a^{p^h q}) - p^h q TH_{F,p}(1, a) \right. \\ & \quad \times T^2 H_{F,p}(a, a^{p^h q - 1})] p^h C_F^{q,p^h} (p^h q)^s \left. \right] \\ & \quad \times \left[ \sum T^2 H_{F,p}(a, a^{p^h q - 1}) p^{2h} q C_F^{q,p^h} (p^h q)^s \right]^{-1} \\ & \quad \times \left[ \sum T^2 H_{F,p}(a, a^{p^h q - 1} b^{p^h q}) p^{2h} q C_F^{q,p^h} (p^h q)^s \right]. \end{aligned}$$

Here all four factors are in the image of  $T_p^2$ ; for this one needs Proposition 4.7 for the first two factors and the  $d = p^h q$  case of Proposition 6.2 for the second factor. So the whole difference is in the image of  $T_p^2$ . Therefore modulo  $T_p^2 DS(W_2)$  one has

$$\begin{aligned} & TH_F(1, a) \cdot T^2 H_F(a, b) + TH_F(1, b) \cdot T^2 H_F(b, a) - TH_F(1, ab) \\ & \equiv Y_{F,C}^{-1} \cdot \sum [p^h q TH_{F,p}(1, a) \cdot T^2 H_{F,p}(a, a^{p^h q - 1} b^{p^h q}) + p^h q TH_{F,p}(1, b) \\ & \quad \times T^2 H_{F,p}(b, a^{p^h q} b^{p^h q - 1}) - TH_{F,p}(1, a^{p^h q} b^{p^h q})] p^h C_F^{q,p^h} (p^h q)^s \end{aligned}$$

and by using Proposition 6.2 for  $d = 2p^h q$  one sees that this is in  $T_p^2 DS(W_2)$ . Thus the coefficient of  $n^s$  in this Dirichlet series is in  $p^{2\gamma_p(n)} W_2$ . Since that is

the case for every prime  $p$  the Chinese Remainder Theorem says that the coefficient is in  $n^2 W_2$ . In other words the Dirichlet series is in  $T^2 DS(W_2)$ . This finishes the proof for  $d = 2$ ; the cases  $d > 2$  follow from the case  $d = 2$  as in Proposition 9.1 of [C]. ●

**DEFINITION 6.5.** Let  $F$  be a formal group over  $\mathbf{Z}$ . Then the operations  $v_F^n$  and the Dirichlet series  $N$  are defined by

$$\sum v_F^n(a, b)n^s = N_F(a, b) = H_F(1, a) \cdot \delta H_F(a, b).$$

In other words

$$v^n(a, b) = \sum_{mk=n} \theta_F^m(a) \phi^m(\delta \eta_F^k(a, b)).$$

Here the  $\phi^m$  are the operations on differential forms introduced in §4 of [C].

**PROPOSITION 6.6.** Let  $F$  be a formal group over  $\mathbf{Z}$  which is strongly isomorphic to a special one, and let  $a_1, \dots, a_d$  be elements of a  $\lambda$ -ring  $R$ . Then

$$\sum_{i=1}^d N_F \left( a_i, \prod_{j \neq i} a_j \right) = \delta B_F(a_1, \dots, a_d)$$

*Proof.* This is an easy consequence of Proposition 6.4. For the details of the proof see Proposition 8.6 of [C]. ●

Now we prove the main theorem. Consider the expression  $v_F(a, b) = \sum_{n=1}^\infty v_F^n(a, b)$ . Using exactly the same arguments as in §6 of [C] one finds that it converges if  $(a, b) \in I \times R \cup R \times I$  and that Corollary 2.9 and Proposition 6.6 imply that it maps the relations in Definition 0.1 to the zero element of  $(\Omega_{R,I}/\delta I)^{\text{top}}$ . By the same reasoning as in §7 of [C] one can lift the resulting map to  $K_{2,L}(R, I)^{\text{top}}$ .

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