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JAN-HENDRIK EVERTSE

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ON SUMS OF S -UNITS AND LINEAR RECURRENCES

Jan-Hendrik Evertse

§1. Introduction

In 1961 Chowla [1] proved that in any algebraic number field K there are only finitely many pairs of units ϵ_1, ϵ_2 such that $\epsilon_1 - \epsilon_2 = 1$. Schlickewei [15] and Dubois and Rhin [2] proved independently of each other that the equation $x_1 + x_2 + \dots + x_n = 0$ has only finitely many solutions in rational integers x_1, x_2, \dots, x_n which are pairwise coprime and each composed of fixed primes. Recently, Shorey [20] showed that if $\{u_k\}_{k=0}^\infty$ is a simple linear non-degenerate binary recurrence sequence of rational integers, then the greatest prime factor of u_r/u_s tends to infinity if $r \rightarrow \infty, r > s, u_s \neq 0$. It is our intention to generalize these results by a uniform approach based on Schlickewei's p -adic version of the method of Thue-Siegel-Roth-Schmidt. Part of our results has been obtained independently by van der Poorten and Schlickewei [14].

Throughout this paper, K will denote an algebraic number field of degree D with ring of integers O_K . By a prime on K we mean an equivalence class of non-trivial valuations on K . We distinguish between infinite primes which contain archimedean valuations and finite primes which contain non-archimedean valuations. We denote the set of all infinite primes on K by S_∞ . There is a well-known correspondence between finite primes and prime ideals. The letter p is used for primes on \mathbb{Q} , the letter v for primes on K . The infinite prime on \mathbb{Q} is denoted by p_0 and $|\cdot|_{p_0}$ is the ordinary absolute value. If q is a prime number in \mathbb{Q} , the corresponding finite prime is also denoted by q and $|\cdot|_q$ denotes the q -adic valuation defined in the usual way. The completions of \mathbb{Q}, K at the primes p, v respectively, are denoted by \mathbb{Q}_p, K_v respectively. Thus $\mathbb{Q}_{p_0} = \mathbb{R}$. For every prime v on K lying above a prime p on \mathbb{Q} we choose a valuation $\|\cdot\|_v$ such that

$$\| \alpha \|_v = |\alpha|_p \quad \text{for all } \alpha \in \mathbb{Q}.$$

By this choice, the so-called product-formula holds,

$$\prod_v \| \alpha \|_v = 1 \quad \text{for all } \alpha \in K, \alpha \neq 0, \tag{1}$$

where \prod_v means that the product is taken over all primes v on K .

Let n be an integer with $n \geq 1$. Points in the vector space K^{n+1} are denoted by $\mathbf{x} = (x_0, x_1, \dots, x_n)$. Let $\sigma_1, \sigma_2, \dots, \sigma_D$ be the embeddings of K in \mathbf{C} . Put

$$\|\mathbf{x}\| = \max_{\substack{0 \leq k \leq n \\ 1 \leq j \leq D}} |\sigma_j(x_k)|. \tag{2}$$

If we identify pairwise linearly dependent non-zero points in K^{n+1} , we obtain the n -dimensional projective space $\mathbb{P}^n(K)$. Points in $\mathbb{P}^n(K)$, so-called *projective points*, are denoted by $X = (x_0 : x_1 : \dots : x_n)$, where the homogeneous coordinates are in K and determined up to a multiplicative constant in K . Put

$$H(X) = \prod_v \max(\|x_0\|_v, \|x_1\|_v, \dots, \|x_n\|_v). \tag{3}$$

By (1) this height is well-defined since it is independent of the multiplicative factor. The functions $\|\mathbf{x}\|$ and $H(X)$ are closely related. Schmidt [17] showed that positive constants c_1, c_2 exist, depending only on K , such that for each point $X \in \mathbb{P}^n(K)$ the homogeneous coordinates x_0, x_1, \dots, x_n can be chosen such that if $\mathbf{x} = (x_0, x_1, \dots, x_n)$,

$$(i) \quad x_k \in \mathcal{O}_K \quad \text{for } k = 0, 1, \dots, n$$

and (4)

$$(ii) \quad c_1 \|\mathbf{x}\|^D \leq H(X) \leq c_2 \|\mathbf{x}\|^D. \quad (\text{cf. §3}).$$

In case $K = \mathbb{Q}$ we may take $c_1 = c_2 = 1$ since

$$\|\mathbf{x}\| = H(X) \quad \text{if and only if } \gcd(x_0, x_1, \dots, x_n) = 1. \tag{5}$$

Obviously $\|\mathbf{x}\| \geq 1$ for all $\mathbf{x} \in \mathcal{O}_K^{n+1}$ and $H(X) \geq 1$ for all $X \in \mathbb{P}^n(K)$. It is easy to check that for each $A \geq 1$ there are at most finitely many $\mathbf{x} \in \mathcal{O}_K^{n+1}$ with $\|\mathbf{x}\| \leq A$. Hence by (4) for each $B \geq 1$ there are at most finitely many $X \in \mathbb{P}^n(K)$ with $H(X) \leq B$.

Let S be a finite set of primes on K , enclosing S_∞ . An S -unit is by definition an element α of K with $\|\alpha\|_v = 1$ if $v \in S$ and an S -integer an element α of K with $\|\alpha\|_v \leq 1$ if $v \in S$. Let c, d be constants with $c > 0, d \geq 0$. A projective point $X \in \mathbb{P}^n(K)$ is called (c, d, S) -admissible if its homogeneous coordinates x_0, x_1, \dots, x_n can be chosen such that

$$(i) \quad \text{all } x_k \text{ are } S\text{-integers} \tag{6}$$

and

$$(ii) \quad \prod_{v \in S} \prod_{k=0}^n \|x_k\|_v \leq c \cdot H(X)^d \tag{6}$$

Clearly, the homogeneous coordinates of $(1, 0, S)$ -admissible projective points can be chosen to be all S -units.

THEOREM 1: *Let c, d be constants with $c > 0, 0 \leq d < 1$, let S be a finite set of primes on K enclosing S_∞ and let n be a positive integer. Then there are only finitely many (c, d, S) -admissible projective points $X = (x_0 : x_1 : \dots : x_n) \in \mathbb{P}^n(K)$ satisfying*

$$x_0 + x_1 + \dots + x_n = 0 \tag{7}$$

but

$$x_{i_0} + x_{i_1} + \dots + x_{i_s} \neq 0 \tag{8}$$

for each proper, non-empty subset $\{i_0, i_1, \dots, i_s\}$ of $\{0, 1, \dots, n\}$.

Mahler showed that for $n = 2$ (7) has at most finitely many $(1, 0, S)$ -admissible solutions in $\mathbb{P}^n(K)$. As far as I know, Lang [4] was the first who published a proof of this result. For related results we refer to Chowla [1], Nagell [8], [9], [10], Györy [3], Schneider [19]. A somewhat weaker result than Theorem 1 has been stated by van der Poorten and Schlickewei [14]. For $K = \mathbb{Q}$ we have the following corollary of Theorem 1.

COROLLARY 1. *Let c, d be constants with $c > 0, 0 \leq d < 1$, let S_0 be a finite set of prime numbers and let n be a positive integer. Then there are only finitely many tuples $\mathbf{x} = (x_0, x_1, \dots, x_n)$ of rational integers such that*

$$x_0 + x_1 + \dots + x_n = 0; \tag{9}$$

$$x_{i_0} + x_{i_1} + \dots + x_{i_s} \neq 0 \tag{10}$$

for each proper, non-empty subset $\{i_0, i_1, \dots, i_s\}$ of $\{0, 1, \dots, n\}$;

$$\gcd(x_0, x_1, \dots, x_n) = 1; \tag{11}$$

$$\prod_{k=0}^n \left(|x_k| \prod_{p \in S_0} |x_k|_p \leq c \cdot \|\mathbf{x}\|^d. \tag{12}$$

The corollary follows by (5) and the fact that there are exactly two tuples (x_0, \dots, x_n) of rational integers with gcd 1 which can be chosen as homogeneous coordinates of a given projective point in $\mathbb{P}^n(\mathbb{Q})$. Schlickewei [15] and Dubois and Rhin [2] showed that the number of tuples $x = (x_0, x_1, \dots, x_n) \in \mathbb{Z}^{n+1}$ satisfying (9), (12) and $\max(|x_i|_p, |x_j|_p) = 1$ for $i, j \in \{0, 1, \dots, n\}$ and $i \neq j$ and $p \in S_0$ is finite, where again c, d are constants with $c > 0, 0 \leq d < 1$.

We shall derive Theorem 1 from

THEOREM 2: *Let n be a non-negative integer and S a finite set of primes on K , enclosing S_∞ . Then for every $\epsilon > 0$ a constant C exists, depending only on ϵ, S, K, n such that for each non-empty subset T of S and every vector $x = (x_0, x_1, \dots, x_n) \in O_K^{n+1}$ with*

$$x_{i_0} + x_{i_1} + \dots + x_{i_s} \neq 0 \quad (13)$$

for each non-empty subset $\{i_0, \dots, i_s\}$ of $\{0, 1, \dots, n\}$:

$$\begin{aligned} & \left(\prod_{k=0}^n \prod_{v \in S} \|x_k\|_v \right) \prod_{v \in T} \|x_0 + x_1 + \dots + x_n\|_v \\ & \geq C \left(\prod_{v \in T} \max(\|x_0\|_v, \dots, \|x_n\|_v) \right) \|x\|^{-\epsilon}. \end{aligned} \quad (14)$$

A straightforward application of theorem 2 yields

COROLLARY 2: *Let n, S be as in theorem 2. Then for every $\epsilon > 0$ a constant C_1 exists, depending only on ϵ, S, K, n , such that for each non-empty subset T of S and every vector $X = (x_0, x_1, \dots, x_n) \in O_K^{n+1}$ with $x_0 x_1 \dots x_n (x_0 + \dots + x_n) \neq 0$:*

$$\begin{aligned} & \left(\prod_{k=0}^n \prod_{v \in S} \|x_k\|_v \right) \prod_{v \in T} \|x_0 + x_1 + \dots + x_n\|_v \\ & \geq C_1 \left(\prod_{v \in T} \min(\|x_0\|_v, \dots, \|x_n\|_v) \right) \|x\|^{-\epsilon} \end{aligned}$$

We shall apply theorem 1 to linear recurrence sequences $\{u_k\}_{k=0}^\infty$. We assume that no integer k_0 exists such that $u_k = 0$ for $k \geq k_0$. Let n be the smallest integer for which constants v_1, v_2, \dots, v_n exists such that

$$u_{k+n} = v_1 u_{k+n-1} + v_2 u_{k+n-2} + \dots + v_n u_k \quad \text{for } k = 0, 1, 2, \dots \quad (15)$$

Then $v_n \neq 0$. It is well-known that polynomials f_i and pairwise distinct numbers α_i exist, depending only on $v_1, v_2, \dots, v_n, u_0, u_1, \dots, u_{n-1}$, such that

$$u_k = \sum_{i=1}^m f_i(k) \alpha_i^k \quad \text{for } k = 0, 1, 2, \dots \tag{16}$$

Without loss of generality we may assume that the polynomials f_i do not vanish identically. The numbers α_i are called the *characteristic roots* of $\{u_k\}_{k=0}^\infty$. We call the sequence *degenerate* if at least one of the quotients of two distinct characteristic roots is a root of unity and *non-degenerate* otherwise.

Van der Poorten [13] has applied his version of theorem 1 to deduce several remarkable facts on non-degenerate recurrence sequences $\{u_k\}_{k=0}^\infty$ of algebraic numbers. Under very general conditions he proved that (i) for every $\epsilon > 0$ there exists a K such that

$$|u_k| > \left(\max_{i=1,2,\dots,n} |\alpha_i| \right)^{k(1-\epsilon)} \quad \text{for } k \geq K,$$

(ii) the maximum of the norms of the prime ideals \mathfrak{p} with $\text{ord}_{\mathfrak{p}}(u_k) \neq 0$ tends to infinity if $k \rightarrow \infty$ and (iii) the total multiplicity of $\{u_k\}_{k=0}^\infty$ is finite. Here the total multiplicity is defined as the number of pairs (r, s) of non-negative rational integers with $u_r = u_s$ and $r \neq s$. Shorey [20] gave in the case of a binary recurrence sequence of rational integers a lower bound for the greatest prime factor of u_r/u_s subject to the conditions $r > s, u_s \neq 0$, which tends to infinity if r does. In Theorem 3 we shall generalize (ii) to prime ideals \mathfrak{p} with $\text{ord}_{\mathfrak{p}}(u_r/u_s) \neq 0$ in the same way as Shorey did, but without an explicit lower bound. Result (iii) is a direct consequence of theorem 3.

For $\alpha \in K, \alpha \neq 0$ we define $P_K(\alpha)$ to be the maximum of the norms of the prime ideals \mathfrak{p} with $\text{ord}_{\mathfrak{p}}(\alpha) \neq 0$ if α is not a unit and $P_K(\alpha) = 1$ if α is a unit. Further we put $P_K(0) = 0$.

THEOREM 3: *Let $\{u_k\}_{k=0}^\infty$ be a linear non-degenerate recurrence sequence in K with at least two characteristic roots. Then*

$$\lim_{\substack{r \rightarrow \infty \\ r > s \\ u_s \neq 0}} P_K \left(\frac{u_r}{u_s} \right) = \infty.$$

The example $u_k = ka^k$ with $a \in \mathbb{Z}, a > 2$, where u_a^l is a power of a for every positive integer l , shows that the assertion of Theorem 3 does not hold if there is only one characteristic root.

The following two results of van der Poorten [13] are consequences of Theorem 3.

COROLLARY 3: *Let $\{u_k\}_{k=0}^\infty$ be as in theorem 3. Then*

$$\lim_{r \rightarrow \infty} P_K(u_r) = \infty$$

This follows from Theorem 3 by keeping some s with $u_s \neq 0$ fixed. This is an improvement and generalization of a result of Pólya ([12], Satz 2', p. 17) which in fact states that if $\{u_n\}_{n=0}^\infty$ is a sequence satisfying the conditions of theorem 3 and if all u_n belong to \mathbb{Q} , then $\limsup_{n \rightarrow \infty} (P_{\mathbb{Q}}(u_n)) = \infty$.

COROLLARY 4: *Let $\{u_k\}_{k=0}^\infty$ be a linear non-degenerate recurrence sequence of algebraic numbers. Suppose that there do not exist a constant a and a root of unity ρ such that $u_k = a\rho^k$ for all k . Then there are only finitely many pairs of non-negative integers (r, s) with $r \neq s$ and $u_r = u_s$.*

If $u_k = f(k)\rho^k$ for $k = 0, 1, \dots$, where f is a non-constant polynomial with complex coefficients and ρ is a root of unity, then there can be only finitely many pairs (r, s) with $r \neq s$ and $u_r = u_s$. This follows from the fact that $\{|u_k|\}_{k=0}^\infty = \{|f(k)|\}_{k=0}^\infty$ is a strictly increasing sequence from a certain term on. If $u_k = f(k)\alpha^k$ for $k = 0, 1, \dots$, where f is a polynomial with algebraic coefficients and α not a root of unity, then we consider instead of $\{u_k\}_{k=0}^\infty$ the non-degenerate recurrent sequence $\{v_k\}_{k=0}^\infty$ with $v_k = u_k + 1^k$ for $k = 0, 1, \dots$. So we may assume that $\{u_k\}_{k=0}^\infty$ has at least two distinct characteristic roots. Using that in fact all coefficients v_i in (15) are algebraic, all u_k belong to some algebraic number field and now Corollary 4 follows immediately from Theorem 3.

We remark that van der Poorten [13] has claimed that Corollary 4 is also valid if some of the terms u_k are transcendental over \mathbb{Q} .

§2. Proof of Theorem 2

As in §1, let K be an algebraic number field of degree D and let O_K be its ring of integers. We mention a theorem, due to Schlickewei [16], which will be used in the proof of theorem 2. As in §1, p_0 denotes the infinite prime on \mathbb{Q} . Let p_1, p_2, \dots, p_t be distinct prime numbers (or finite primes on \mathbb{Q}). For each $i \in \{0, 1, \dots, t\}$ the valuation $|\cdot|_{p_i}$ can be extended to the algebraic closure $\overline{\mathbb{Q}}_{p_i}$ of \mathbb{Q}_{p_i} in a unique way and this extension is also denoted by $|\cdot|_{p_i}$. Furthermore there are D isomorphic embeddings $\sigma_1^{(i)}, \sigma_2^{(i)}, \dots, \sigma_D^{(i)}$ of K in $\overline{\mathbb{Q}}_{p_i}$. Put $K^{(i,j)} = \sigma_j^{(i)}(K)$, $\alpha^{(i,j)} = \sigma_j^{(i)}(\alpha)$ for $\alpha \in K$ and $\mathbf{x}^{(i,j)} = (x_0^{(i,j)}, \dots, x_n^{(i,j)})$ for $\mathbf{x} = (x_0, \dots, x_n) \in K^{n+1}$.

THEOREM 4: *Let n be a non-negative integer. For every j with $1 \leq j \leq D$ and every i with $0 \leq i \leq t$, let $L_0^{(i,j)}, \dots, L_n^{(i,j)}$ be $n + 1$ linearly independent linear forms in $n + 1$ variables with coefficients in \mathbb{Q}_{p_i} , which are algebraic over \mathbb{Q} . Then for all $\epsilon > 0$ there are finitely many proper subspaces T_1, T_2, \dots, T_n of K^{n+1} , depending only on $n, p_0, \dots, p_t, \epsilon, K$ and the forms $L_k^{(i,j)}$, containing all solutions $\mathbf{x} \in O_K^{n+1}, \mathbf{x} \neq 0$ of the inequality*

$$\prod_{i=0}^t \prod_{j=1}^D \prod_{k=0}^n |L_k^{(i,j)}(\mathbf{x}^{(i,j)})|_{p_i} \leq \|\mathbf{x}\|^{-\epsilon}. \tag{17}$$

We shall now prove Theorem 2. Let S be a finite set of primes on K , enclosing S_∞ . We assume that S has the property that if it contains one prime lying above some prime p on \mathbb{Q} , then it contains all the other primes on K lying above p . Obviously, this is no restriction. Let p_0, p_1, \dots, p_t be the primes on \mathbb{Q} above which the primes in S ly. We shall proceed by induction on n . For $n = 0$, theorem 2 is trivial. Suppose that theorem 2 has been proved for all integers n with $0 \leq n < m$ (where $m \geq 1$). Our aim is to prove Theorem 2 for $n = m$. Let $\epsilon > 0$ and T a non-empty subset of S . We shall show that the points $\mathbf{x} = (x_0, x_1, \dots, x_n) \in O_K^{n+1}$ which satisfy both

$$x_{i_0} + x_{i_1} + \dots + x_{i_t} \neq 0 \tag{18}$$

for each non-empty subset $\{i_0, i_1, \dots, i_s\}$ of $\{0, 1, \dots, m\}$ and

$$\|x_{i_{0v}}\|_v \geq \|x_{i_{1v}}\|_v \geq \dots \geq \|x_{i_{mv}}\|_v \quad \text{for all } v \in S, \tag{19}$$

where for each $v \in S$, $(i_{0v}, i_{1v}, \dots, i_{mv})$ is a given permutation of $(0, 1, \dots, m)$, and

$$\left(\prod_{k=0}^m \prod_{v \in S} \|x_k\|_v \right) \prod_{v \in T} \|x_0 + x_1 + \dots + x_m\|_v \leq \left(\prod_{v \in T} \|x_{i_{0v}}\|_v \right) \|\mathbf{x}\|^{-\epsilon}$$

do also satisfy (14) for a certain constant C , specified in Theorem 2. This is clearly sufficient to prove Theorem 2.

For each prime $v \in S$, lying above the prime p_i on \mathbb{Q} (where $i \in \{0, 1, \dots, t\}$), we have that the valuation given by $|\sigma_j^{(i)}(\alpha)|_p$ for $\alpha \in K$ belongs to v for exactly $[K_v : \mathbb{Q}_p]$ embeddings $\sigma_j^{(i)}$. Thus, if $l(v)$ is the set of these embeddings,

$$\|\alpha\|_v = \prod_{\sigma_j^{(i)} \in l(v)} |\sigma_j^{(i)}(\alpha)|_{p_i} \quad \text{for all } \alpha \in K \tag{21}$$

Let \mathcal{L} be the set of pairs of integers (i, j) with $0 \leq i \leq t$, $1 \leq j \leq D$, such that $\sigma_j^{(i)} \in l(v)$ for some $v \in T$. We now define the following linear forms in the variables x_0, \dots, x_m , where v is determined by $\sigma_j^{(i)} \in l(v)$:

$$L_0^{(i,j)}(\mathbf{x}) = x_0 + x_1 + \dots + x_m \quad \text{for } (i, j) \in \mathcal{L};$$

$$L_0^{(i,j)}(\mathbf{x}) = x_{i_{0v}} \quad \text{for } (i, j) \in \mathcal{L};$$

$$L_k^{(i,j)}(\mathbf{x}) = x_{i_{kv}} \quad \text{for } 0 \leq i \leq t, \quad 1 \leq j \leq D, \quad 1 \leq k \leq m.$$

These linear forms have coefficients in \mathbb{Q} and for fixed i, j , the forms $\{L_k^{(i,j)}\}_{k=0}^m$ are linearly independent. Furthermore, for all $\mathbf{x} \in O_K^{n+1}$ satisfying (18), (19), (20) we have by (21),

$$\begin{aligned} \prod_{i=0}^t \prod_{j=1}^D \prod_{k=0}^m |L_k^{(i,j)}(\mathbf{x}^{(i,j)})|_{p_j} &= \left(\prod_{k=0}^m \prod_{v \in S} \|x_k\|_v \right) \left(\prod_{v \in T} \|x_{i_{0v}}\|_v \right)^{-1} \\ &\quad \times \left(\prod_{v \in T} \|x_0 + x_1 + \dots + x_m\|_v \right) \\ &\leq \|\mathbf{x}\|^{-\epsilon} \end{aligned}$$

Hence by Theorem 4, the $\mathbf{x} \in O_K^{n+1}$ satisfying (18), (19), (20) already belong to finitely many proper subspaces of K^{n+1} . For each subspace it is possible to express some of the variables x_i in the other variables x_i . Hence there exist finitely many tuples $(\beta_{j_0}, \beta_{j_1}, \dots, \beta_{j_u})$ of numbers in K , where $0 \leq u \leq m$ such that each solution $\mathbf{x} \in O_K^{n+1}$ of (18), (19), (20) satisfies at least one of the relations

$$x_0 + x_1 + \dots + x_m = \beta_{j_0} x_{j_0} + \beta_{j_1} x_{j_1} + \dots + \beta_{j_u} x_{j_u} \quad (0 \leq u < m). \quad (22)$$

We may assume that no subsums of the right-hand side are equal to zero by cancelling some of the terms $\beta_{j_l} x_{j_l}$ if possible. We now show that all points $\mathbf{x} \in O_K^{n+1}$ satisfying (18), (19), (20), (22) also satisfy (14) with a constant C depending only on ϵ, m, K, S , the permutations in (19) and the tuple $(\beta_{j_0}, \dots, \beta_{j_u})$. Since we have only finitely many permutations of $(0, 1, \dots, m)$ and a finite set of tuples $(\beta_{j_0}, \dots, \beta_{j_u})$ which depends only on m, K, S, ϵ and the permutations in (19), this suffices. Let $\mathcal{V}_1 = \{j_0, j_1, \dots, j_u\}$, $\mathcal{V}_2 = \{0, 1, \dots, m\} - \mathcal{V}_1$, let T_1 be the subset of T such that $i_{0v} \in \mathcal{V}_1$ and T_2 the subset of T such that $i_{0v} \in \mathcal{V}_2$. The constants c_3, c_4, \dots will depend only on ϵ, K, S, m , the permutations in (19) and the tuple $(\beta_{j_0}, \dots, \beta_{j_u})$. Let δ be a number in K such that $\delta\beta_{j_0}, \dots, \delta\beta_{j_u}$ are algebraic integers and put $z_l = \delta\beta_{j_l} x_{j_l}$ for $l = 0, 1, \dots, u$, $\mathbf{z} = (z_0, z_1, \dots,$

z_u). By (22) and the induction hypothesis we have

$$\begin{aligned}
& \left(\prod_{k=0}^m \prod_{v \in S} \|x_k\|_v \right) \prod_{v \in T} \|x_0 + x_1 + \dots + x_m\|_v \\
& \geq c_3 \left(\prod_{k \in \mathcal{Y}_2} \prod_{v \in S} \|x_k\|_v \right) \prod_{l=0}^u \prod_{v \in S} \|z_l\|_v \left(\prod_{v \in T} \|z_0 + \dots + z_u\|_v \right) \\
& \geq c_4 \left(\prod_{k \in \mathcal{Y}_2} \prod_{v \in S} \|x_k\|_v \right) \left(\prod_{v \in T} \max(\|z_0\|_v, \dots, \|z_u\|_v) \right) \|\mathbf{z}\|^{-\epsilon/2} \\
& \geq c_5 \left(\prod_{k \in \mathcal{Y}_2} \prod_{v \in S} \|x_k\|_v \right) \left(\prod_{v \in T} \max_{k \in \mathcal{Y}_1} \|x_k\|_v \right) \|\mathbf{x}\|^{-\epsilon/2}. \tag{23}
\end{aligned}$$

If $T_1 = T$ then (23) implies inequality (14) since $\prod_{k \in \mathcal{Y}_2} \prod_{v \in S} \|x_k\|_v \geq 1$. If $T_1 \subsetneq T$, then, by (22) and the induction hypothesis,

$$\begin{aligned}
& \left(\prod_{k \in \mathcal{Y}_2} \prod_{v \in S} \|x_k\|_v \right) \left(\prod_{v \in T_2} \max_{k \in \mathcal{Y}_1} \|x_k\|_v \right) \\
& \geq c_6 \left(\prod_{k \in \mathcal{Y}_2} \prod_{v \in S} \|x_k\|_v \right) \cdot \\
& \quad \cdot \left(\prod_{v \in T_2} \|(\beta_{j_0} - 1)x_{j_0} + (\beta_{j_1} - 1)x_{j_1} + \dots + (\beta_{j_u} - 1)x_{j_u}\|_v \right) \\
& = c_6 \left(\prod_{k \in \mathcal{Y}_2} \prod_{v \in S} \|x_k\|_v \right) \prod_{v \in T_2} \left\| \sum_{k \in \mathcal{Y}_2} x_k \right\|_v \\
& \geq c_7 \left(\prod_{v \in T_2} \max_{i \in \mathcal{Y}_2} \|x_k\|_v \right) \|\mathbf{x}\|^{-\epsilon/2}.
\end{aligned}$$

Together with (23) this implies that

$$\begin{aligned}
& \left(\prod_{k=0}^m \prod_{v \in S} \|x_k\|_v \right) \prod_{v \in T} \|x_0 + \dots + x_m\|_v \\
& \geq c_8 \left(\prod_{v \in T_1} \max_{k \in \mathcal{Y}_1} \|x_k\|_v \right) \left(\prod_{v \in T_2} \max_{k \in \mathcal{Y}_2} \|x_k\|_v \right) \|\mathbf{x}\|^{-\epsilon} \\
& = c_8 \left(\prod_{v \in T} \max(\|x_0\|_v, \dots, \|x_m\|_v) \right) \|\mathbf{x}\|^{-\epsilon},
\end{aligned}$$

where empty products must be taken equal to 1. This completes the proof of Theorem 2. \square

§3. Proof of Theorem 1

As before, K is an algebraic number field of degree D , S a finite set of primes on K enclosing S_∞ and c, d positive constants with $c > 0$, $0 \leq d < 1$. Constants c_9, c_{10}, \dots will depend only on K, s, n, c, d . Let $X = (x_0 : x_1 : \dots : x_n) \in \mathbb{P}^n(K)$ be a projective point satisfying (6), (7), (8). By an argument of Schmidt [17], (p. 63), there are positive constants c_9, c_{10}, c_{11} and a $\lambda \in K$ with $\lambda \neq 0$ such that

$$\lambda x_i \in O_K \quad \text{for } i = 0, 1, \dots, m,$$

$$N((\lambda x_0, \dots, \lambda x_n)) \leq c_9,$$

(where $N(a)$ denotes the absolute norm of the ideal a) i.e.

$$\prod_{v \notin S_\infty} \max(\|\lambda x_0\|_v, \dots, \|\lambda x_n\|_v) \geq c_9^{-1} \quad (25)$$

and if $\sigma_1, \sigma_2, \dots, \sigma_D$ are the embeddings of K in \mathbf{C} ,

$$c_{10} \leq \frac{\max(|\sigma_i(x_0)|, \dots, |\sigma_i(x_n)|)}{\max(|\sigma_j(x_0)|, \dots, |\sigma_j(x_n)|)} \leq c_{11} \quad \text{for } i, j \in \{1, 2, \dots, D\}. \quad (26)$$

Put $y_i = \lambda x_i, \mathbf{y} = \lambda \cdot \mathbf{x}$. Then, by (25), (26),

$$c_{12} \|\mathbf{y}\|^D \leq H(X) \leq c_{13} \|\mathbf{y}\|^D. \quad (27)$$

Moreover, since the x_i are S -integers and the y_i algebraic integers, by (25),

$$\begin{aligned} \prod_{v \in S} \|\lambda\|_v &\geq \prod_{v \in S} \max(\|y_0\|_v, \dots, \|y_n\|_v) \\ &\geq \prod_{v \notin S_\infty} \max(\|y_0\|_v, \dots, \|y_n\|_v) \geq c_9^{-1}, \end{aligned}$$

hence

$$\prod_{v \in S} \|\lambda\|_v \leq c_9.$$

By (6) this implies that

$$\prod_{k=0}^n \prod_{v \in S} \|y_k\|_v \leq c_{14} H(X)^d. \tag{28}$$

Put $\tilde{y} = (y_v, \dots, y_n)$, $Y = (y_1 : y_2 : \dots : y_n)$. Since $y_0 + y_1 + \dots + y_n = 0$ we have

$$H(Y) \leq H(X) \leq c_{15} H(Y) \tag{29}$$

Now we have, by (28), (7), (24), (8), (27), (29) and Theorem 2 with $\epsilon = \frac{1}{2}D(1-d)$,

$$\begin{aligned} c_{14} H(X)^d &\geq \prod_{k=0}^n \prod_{v \in S} \|y_k\|_v \\ &= \left(\prod_{k=1}^n \prod_{v \in S} \|y_k\|_v \right) \prod_{v \in S} \|y_1 + y_2 + \dots + y_n\|_v \\ &\geq c_{16} \left(\prod_{v \in S} \max(\|y_1\|_v, \dots, \|y_n\|_v) \|y\|^{-\epsilon} \right) \\ &\geq c_{17} H(Y) H(X)^{-\epsilon/D} \geq c_{18} H(X)^{1-\epsilon/D}. \end{aligned}$$

This implies that

$$H(X)^{(1-d)/2} \leq c_{14}/c_{18}.$$

Since $d < 1$ this proves Theorem 1.

§4. Proof of Theorem 3

In the proof of Theorem 3 we shall use two lemmas which are stated and proved below. In the sequel, K denotes an algebraic number field.

LEMMA 1: *Suppose K has degree D , let $f(X) \in K[X]$ be a polynomial of degree m and T a non-empty set of primes on K . Then there exists a positive constant c_{19} , depending only on K, f such that for all $r \in \mathbb{Z}$ with $r \neq 0, f(r) \neq 0$,*

$$\begin{aligned} c_{19}^{-1} |r|^{-Dm} &\leq \left(\prod_v \max(1, \|f(r)\|_v) \right)^{-1} \leq \prod_{v \in T} \|f(r)\|_v \\ &\leq \prod_v \max(1, \|f(r)\|_v) \leq c_{19} |r|^{Dm}. \end{aligned} \tag{30}$$

PROOF: It follows easily from (1) that

$$\prod_{v \in T} \|f(r)\|_v \leq \prod_v \max(1, \|f(r)\|_v),$$

$$\prod_{v \in T} \|f(r)\|_v = \prod_{v \notin T} \|f(r)\|_v^{-1} \geq \left(\prod_v \max(1, \|f(r)\|_v) \right)^{-1}.$$

Furthermore there exist positive constants c_{20} , c_{21} and a finite set of finite primes T_0 , all depending only on K , f such that for all $r \in \mathbb{Z}$ with $r \neq 0$, $f(r) \neq 0$,

$$\|f(r)\|_v \leq c_{20} \|r\|_v^m \quad \text{for } v \in S_\infty,$$

$$\|f(r)\|_v \leq c_{21} \quad \text{for } v \in T_0,$$

$$\|f(r)\|_v \leq 1 \quad \text{for } v \notin S_\infty \cup T_0.$$

This implies Lemma 1 immediately. \square

LEMMA 2: Let $f(X)$, $g(X) \in K[X]$ be polynomials of degrees m , n respectively such that no rational integer h with $h \neq 0$ exists for which one of the polynomials $f(X+h)$, $g(X)$ divides the other. Let S be a finite set of primes on K and β , γ constants with

$$\beta > 0, 0 \leq \gamma < \frac{1}{m+n+2}. \quad (31)$$

Then there are only finitely many pairs of rational integers (r, s) such that

$$0 < |r-s| \leq \beta |r|^\gamma \quad (32)$$

and

$$\frac{f(r)}{g(s)} \text{ is an } S\text{-unit.} \quad (33)$$

PROOF: For each pair of polynomials $f(X)$, $g(X) \in K[X]$, let $\mathcal{H}(f, g)$ be the set of rational integers h with $h \neq 0$ which are the difference of a zero of f and a zero of g . It suffices to show that if f , g are both non-constant polynomials, then at most finitely many pairs $(r, s) \in \mathbb{Z}^2$ exist which satisfy (32), (33) and $r-s \notin \mathcal{H}(f, g)$. For assume we have shown this. Let f , g be polynomials in $K[X]$ such that no rational integer h with

$h \neq 0$ exists for which one of the polynomials $f(X + h)$, $g(X)$ divides the other. Let $\mathcal{H}(f, g)$ be non-empty. Take $h \in \mathcal{H}(f, g)$ and consider the pairs $(r, s) \in \mathbb{Z}^2$ with $r - s = h$ for which $f(r)/g(s)$ is an S -unit. The polynomials $f(X)$, $g(X-h)$ have a nonconstant greatest common divisor $k(X)$ in $K[X]$. Put $f_0(X) = f(X)/k(X)$, $g_0(X) = g(X)/k(X+h)$. Then neither $f_0(X)$, nor $g_0(X)$ is constant and for the pairs (r, s) under consideration we have that $f_0(r)/g_0(s) = f(r)/g(s)$ is an S -unit and $r - s \notin \mathcal{H}(f_0, g_0)$. By our assumption and by the fact that $\mathcal{H}(f, g)$ is finite, this proves Lemma 2 in general.

Let \mathcal{V} be the set of pairs $(r, s) \in \mathbb{Z}^2$ satisfying (32), (33) and $r - s \notin \mathcal{H}(f, g)$, where f, g are non-constant polynomials in $K[X]$. It is our aim to show that \mathcal{V} is finite. We assume that $f(X), g(X) \in O_K[X]$, that all the zeros of f and g are S -units in K and that $S \supset S_\infty$, which are no restrictions. Put $D = [K : \mathbb{Q}]$. Suppose $K \subset \mathbb{C}$ and let $\sigma_1, \sigma_2, \dots, \sigma_D$ be the embeddings of K in \mathbb{C} . The constants c_{22}, c_{23} will be positive and depend only on K, f, g .

We assume that \mathcal{V} is infinite for some pair of constants β, γ satisfying (31). Let

$$f(X) = A(X - a_1)^{e_1}(X - a_2)^{e_2} \dots (X - a_p)^{e_p},$$

$$g(X) = B(X - b_1)^{f_1}(X - b_2)^{f_2} \dots (X - b_q)^{f_q}$$

where the a_i are distinct, the b_j are distinct, the e_i and the f_j are positive integers with $\sum_{i=1}^p e_i = m$, $\sum_{j=1}^q f_j = n$. First of all we have for $(r, s) \in \mathcal{V}$, if $N(\mathfrak{a})$ denotes the absolute norm of the ideal \mathfrak{a} , on noting that $r - s \notin \mathcal{H}(f, g)$,

$$\begin{aligned} N((r - a_i, s - b_j)) &\leq N((r - s + b_j - a_i)) \\ &\leq \prod_{k=1}^D |r - s + \sigma_k(b_j - a_i)| \\ &\leq c_{22}|r - s|^D \quad \text{for } \begin{matrix} i = 1, 2, \dots, p, \\ j = 1, 2, \dots, q, \end{matrix} \end{aligned}$$

hence

$$N((f(r), g(s))) \leq c_{23}|r - s|^{Dmn}.$$

Since $f(r)/g(s)$ is an S -unit this implies by (1), and $f(X), g(X) \in O_K[X]$

that

$$\begin{aligned}
 & \max\left(\prod_{v \in S} \|f(r)\|_v, \prod_{v \in S} \|g(s)\|_v\right) \\
 &= \max\left(\prod_{v \notin S} \|f(r)\|_v^{-1}, \prod_{v \notin S} \|g(s)\|_v^{-1}\right) \\
 &= \left(\prod_{v \notin S} \max(\|f(r)\|_v, \|g(s)\|_v)\right)^{-1} \\
 &\leq \left(\prod_{v \notin S_\infty} \max(\|f(r)\|_v, \|g(s)\|_v)\right)^{-1} \\
 &= N((f(r), g(s))) \leq c_{23}|r - s|^{Dmn}.
 \end{aligned}$$

By permuting the a_i, b_j if necessary we may therefore assume that an infinite subset \mathcal{V}_1 of \mathcal{V} exist such that for $(r, s) \in \mathcal{V}_1$:

$$\begin{aligned}
 \prod_{v \in S} \|r - a_1\|_v &\leq c_{24}(|r - s|^{Dmn})^{1/m} = c_{24}|r - s|^{Dn}, \\
 \prod_{v \in S} \|s - b_1\|_v &\leq c_{24}|r - s|^{Dm}.
 \end{aligned} \tag{34}$$

Put $\zeta_0 = \zeta_0^{(r,s)} = s - r + a_1 - b_1$, $\zeta_1 = \zeta_1^{(r,s)} = r - a_1$, $\zeta_2 = \zeta_2^{(r,s)} = b_1 - s$, $Z = Z^{(r,s)} = (\zeta_0 : \zeta_1 : \zeta_2)$. Then $Z \in \mathbb{P}^2(K)$,

$$\zeta_0 + \zeta_1 + \zeta_2 = 0 \tag{35}$$

and by (34), since $r - s \notin \mathcal{H}(f, g)$,

$$\prod_{i=0}^2 \prod_{v \in S} \|\zeta_i\|_v \leq c_{25}|r - s|^{D(m+n+1)}. \tag{36}$$

Since $f(r) \neq 0, g(s) \neq 0, r - s \notin H(f, g)$ for $(r, s) \in \mathcal{V}_1$, we have by (1)

$$\begin{aligned}
 H(Z) &= \prod_v \max(\|\zeta_0\|_v, \|\zeta_1\|_v, \|\zeta_2\|_v) \\
 &\geq \prod_{v \in S_\infty} \|r - a_1\|_v \cdot \prod_{v \notin S_\infty} \|s - r + a_1 - b_1\|_v \\
 &= \prod_{v \in S_\infty} (\|r - a_1\|_v \|s - r + a_1 - b_1\|_v^{-1}) \geq c_{26}|r|^D |r - s|^{-D}.
 \end{aligned} \tag{37}$$

Put $d = (m + n + 1)\gamma / (1 - \gamma)$. Then, by (31), $0 \leq d < 1$. Formulas (36), (32) and (37) yield that for $(r, s) \in \mathcal{V}_1$:

$$\begin{aligned} \prod_{i=0}^2 \prod_{v \in S} \|\xi_i\|_v &\leq c_{25} \beta^{D(m+n+1)} |r|^{D\gamma(m+n+1)} = c_{25} \beta^{D(m+n+1)} |r|^{Dd(1-\gamma)} \\ &\leq c_{25} \beta^{D(m+n+1+d)} (|r|^D |r-s|^{-D})^d \\ &\leq c_{25} c_{26}^{-d} \beta^{D(m+n+1+d)} H(Z)^d. \end{aligned}$$

Together with (35), the fact that ξ_0, ξ_1, ξ_2 are non-zero S -integers and Theorem 1, this yields that there at most finitely many such projective points Z . Therefore, there must be an infinite subset \mathcal{V}_2 of \mathcal{V}_1 such that $Z^{(r,s)} = Z_0$ for $(r, s) \in \mathcal{V}_2$, where Z_0 is a fixed projective point in $\mathbb{P}^2(\mathbb{K})$; Choose two pairs $(r_1, s_1), (r_2, s_2)$ in \mathcal{V}_2 with $|r_2| > |r_1|$. By (32), (31) this is possible. Now we have by (32),

$$\begin{aligned} \left| \xi_2^{(r_1, s_2)} \right| &= \left| \frac{\xi_1^{(r_1, s_1)}}{\xi_0^{(r_1, s_1)}} \right| \cdot \left| \xi_0^{(r_2, s_2)} \right| \\ &\leq c_{27} \beta \left| \frac{\xi_1^{(r_1, s_1)}}{\xi_0^{(r_1, s_1)}} \right| \cdot \left| \xi_1^{(r_2, s_2)} \right|^\gamma. \end{aligned}$$

By (31), this implies that $\left| \xi_1^{(r_2, s_2)} \right|$, whence $|r_2|$, can be bounded above in terms of $r_1, s_1, f, g, k, \beta, \gamma$. Together with (32) this contradicts the fact that \mathcal{V}_2 is infinite. Therefore our assumption that \mathcal{V} is infinite was false and together with the remarks made at the beginning of the proof, this proves Lemma 2. □

PROOF OF THEOREM 3: Let K be an algebraic number field and let $\{u_k\}_{k=0}^\infty$ be a non-degenerate linear recurrence sequence with $u_k \in K$, having at least two characteristic roots. We have

$$u_k = \sum_{i=1}^m f_i(k) \alpha_i^k \quad \text{for } k = 0, 1, 2, \dots, \tag{38}$$

where $m \geq 2, f_i$ is a non-zero polynomial for $i = 1, 2, \dots, m$ and the α_i are distinct algebraic numbers such that α_i/α_j is not a root of unity for $i \neq j$. We assume that $f_i(X) \in K[X]$, and $\alpha_i \in K$ for $i = 1, 2, \dots, m$ which is no restriction in the proof of theorem 3. Further c_{28}, c_{29}, \dots will denote positive constants depending only on $K, \alpha_1, \alpha_2, \dots, \alpha_m, f_1, \dots, f_m$.

We assume that theorem 3 is not valid, i.e. there exists a finite set of primes S on K , enclosing S_∞ , and an infinite set \mathcal{W} of pairs of integers (r, s) with $r > s \geq 0$ and $u_s \neq 0$, such that u_r/u_s is an S -unit or $u_r = 0$ for $(r, s) \in \mathcal{W}$. We assume that the α_i and the coefficients of the f_i are all S -units which is no restriction. In view of (38) we have

$$\zeta_{r,s} \sum_{i=1}^m f_i(r) \alpha_i^r - \beta \sum_{i=1}^m f_i(s) \alpha_i^s = 0 \quad \text{for } (r, s) \in \mathcal{W}, \tag{39}$$

where $\zeta_{r,s}$ is an S -unit, $\beta = 1$ if $u_r \neq 0$, $\beta = 0$ and $\zeta_{r,s} = 1$ if $u_r = 0$. Put $\xi_i = \zeta_{r,s} f_i(r) \alpha_i^r$ for $i = 1, 2, \dots, m$, $\xi_i = -\beta f_{i-m}(s) \alpha_{i-m}^s$ for $i = m + 1, \dots, 2m$. Then $\xi_1 + \xi_2 + \dots + \xi_{2m} = 0$. For each pair $(r, s) \in \mathcal{W}$ there is a collection \mathcal{P} of pairwise disjoint non-empty subsets of $\{1, 2, \dots, 2m\}$, having $\{1, 2, \dots, 2m\}$ as their union, such that

$$\begin{aligned} \sum_{i \in \mathcal{S}} \xi_i &= 0 & \text{for } \mathcal{S} \in \mathcal{P}, \\ \sum_{i \in \mathcal{T}} \xi_i &\neq 0 & \text{if } \mathcal{T} \not\subseteq \mathcal{S}, \mathcal{T} \neq \emptyset \quad \text{for some } \mathcal{S} \in \mathcal{P}. \end{aligned} \tag{40}$$

Since there are only finitely many collections of subsets as described above, we can find such a collection \mathcal{P} such that (40) holds for all pairs (r, s) belonging to an infinite subset \mathcal{W}_1 of \mathcal{W} . We assume that there are no pairs (r, s) in \mathcal{W}_1 with $f_i(r) = 0$ for some $i \in \{1, 2, \dots, m\}$ which is no restriction.

First of all, we shall prove that each set \mathcal{S} in \mathcal{P} can contain at most one element from $\{1, 2, \dots, m\}$. Let us assume the contrary i.e. that there is an \mathcal{S} in \mathcal{P} containing integers i, j with $1 \leq i < j \leq m$. Let $\Xi = \Xi^{(r,s)}$ denote the projective point with the $\xi_k (k \in \mathcal{S})$ as homogeneous coordinates. Put

$$c_{28} = \prod_v \max(1, \|\alpha_i/\alpha_j\|_v).$$

Since α_i/α_j is not a root of unity, we have $c_{28} > 1$. By (1) and Lemma 1 we have for $r \geq c_{29}$,

$$\begin{aligned} H(\Xi) &\geq \prod_v \max(\|\zeta_{r,s} f_i(r) \alpha_i^r\|_v, \|\zeta_{r,s} f_j(r) \alpha_j^r\|_v) \\ &= \prod_v \max\left(1, \left\| \frac{f_i(r) \alpha_i^r}{f_j(r) \alpha_j^r} \right\|_v\right) \\ &\geq \prod_v \left((\max(1, \|f_i(r)\|_v) \max(1, \|f_j(r)\|_v))^{-1} \right) \end{aligned}$$

$$\begin{aligned} & \times \max\left(1, \left\|\frac{\alpha_i}{\alpha_j}\right\|_v\right)^r \\ & \geq c_{30} r^{-c_{31}} c_{28}^r \geq c_{28}^{r/2}. \end{aligned}$$

But on the other side we have, since all α_i are S -units,

$$\begin{aligned} \prod_{i \in S} \prod_{v \in S} \|\xi_i\|_v & \leq \max_{1 \leq k \leq m} \left(\prod_{v \in S} \|f_k(r)\|_v^{2m}, \prod_{v \in S} \|f_k(s)\|_v^{2m} \right) \\ & \leq c_{32} r^{c_{33}}. \end{aligned}$$

Since all the ξ_i are S -integers, this implies by Theorem 1, and (40) that there are only finitely many of such projective points $\Xi^{(r,s)}$. But then there are infinitely pairs (r, s) in \mathscr{W}_1 which correspond to the same projective point $\Xi^{(r,s)}$. Take two of these pairs, $(r_1, s_1), (r_2, s_2)$ say, with $r_2 > 2r_1$. Then

$$\frac{\xi_{r_1, s_1} f_i(r_1) \alpha_i^{r_1}}{\xi_{r_1, s_1} f_j(r_1) \alpha_j^{r_1}} = \frac{\xi_{r_2, s_2} f_i(r_2) \alpha_i^{r_2}}{\xi_{r_2, s_2} f_j(r_2) \alpha_j^{r_2}},$$

hence

$$\left(\frac{\alpha_i}{\alpha_j}\right)^{r_2 - r_1} = \frac{f_i(r_1) f_j(r_2)}{f_i(r_2) f_j(r_1)}. \tag{41}$$

Choose a prime v such that $\|\alpha_i/\alpha_j\|_v = : c_{34} > 1$. Then $\|\alpha_i/\alpha_j\|_v^{r_2 - r_1} \geq c_{34}^{r_2/2}$, whereas by Lemma 1,

$$\left\| \frac{f_i(r_1) f_j(r_2)}{f_j(r_1) f_i(r_2)} \right\|_v \leq c_{35} r_2^{c_{36}}.$$

However, for r_2 sufficiently large this contradicts (41). This shows indeed that each set \mathscr{S} in \mathscr{P} can contain at most one element from $\{1, 2, \dots, m\}$. Of course, there are sets \mathscr{S} containing an element from $\{1, 2, \dots, m\}$ and since we assumed that $f_i(r) \neq 0$ for $i \in \{1, 2, \dots, m\}$ and $(r, s) \in \mathscr{W}_1$, these sets must contain also an element i from $\{m + 1, \dots, 2m\}$, for which $\xi_i \neq 0$. Hence $\beta = 1$ and \mathscr{P} consists of m pairwise disjoint subsets of $\{1, 2, \dots, 2m\}$, each containing exactly one element from $\{1, 2, \dots, m\}$ and one from $\{m + 1, \dots, 2m\}$. This can be written as

$$\xi_{r, s} f_i(r) \alpha_i^r = f_{\sigma(i)}(s) \alpha_{\sigma(i)}^s \quad \text{for } (r, s) \in \mathscr{W}_1 \tag{42}$$

where $\zeta_{r,s}$ is an S -unit and σ a fixed permutation of $\{1, 2, \dots, m\}$.

In the final part of the proof we shall show that \mathscr{W}_1 is finite. This is contradictory to what we have seen before and will complete the proof of theorem 3. We distinguish two cases.

Case 1. σ is the identity.

Then we have for $i, j \in \{1, 2, \dots, m\}$, by (42),

$$\frac{f_i(r)}{f_j(r)} \left(\frac{\alpha_i}{\alpha_j} \right)^r = \frac{f_i(s)}{f_j(s)} \left(\frac{\alpha_i}{\alpha_j} \right)^s \quad \text{for } (r, s) \in \mathscr{W}_1. \tag{43}$$

If all polynomials $f_i(X)$ with $i \in \{1, 2, \dots, m\}$ are constant this implies that α_i/α_j is a root of unity for all pairs (i, j) with $i, j \in \{1, 2, \dots, m\}$ and we have excluded this case. Therefore we can choose a polynomial $f_i(X)$ such that $f_i(X)$ is non-constant. Then for every non-zero rational integer h , none of the polynomials $f_i(X+h)$, $f_i(X)$ divides the other. Furthermore, by (42), $f_i(r)/f_i(s)$ is an S -unit for $(r, s) \in \mathscr{W}_1$. Take $j \in \{1, 2, \dots, m\}$ with $j \neq i$. By (43) and lemma 1, we have, on choosing a prime v such that $\|\alpha_i/\alpha_j\|_v > 1$,

$$\left\| \frac{\alpha_i}{\alpha_j} \right\|_v^{r-s} = \left\| \frac{f_i(s)f_j(r)}{f_i(r)f_j(s)} \right\|_v \leq c_{37} r^{c_{38}},$$

hence

$$0 < r - s \leq c_{39} \log r \quad \text{for } (r, s) \in \mathscr{W}_1.$$

By Lemma 2 we infer that \mathscr{W}_1 is finite indeed.

Case 2. σ is not the identity.

Choose an integer i such that $i \neq \sigma(i)$ and $(r, s) \in \mathscr{W}_1$. Put $\theta_k = \alpha_{\sigma^k(i)}/\alpha_{\sigma^{k+1}(i)}$, $\theta_k = f_{\sigma^{k+1}(i)}(s)/f_{\sigma^k(i)}(r)$. By (42) we have

$$\theta_k^r = \frac{q_k}{q_{k+1}} \theta_{k+1}^s \quad \text{for } k = 0, 1, 2, \dots$$

A simple inductive argument shows that

$$\theta_0^{r^k} = \left(\frac{q_0}{q_1} \right)^{r^{k-1}} \left(\frac{q_1}{q_2} \right)^{r^{k-2}s} \dots \left(\frac{q_{k-1}}{q_k} \right)^{s^{k-1}} \theta_k^{s^k} \quad \text{for } k = 1, 2, 3, \dots$$

Let v be the order of σ . Then $\theta_v = \theta_0$, $q_v = q_0$. This implies that

$$\begin{aligned} \theta_0^{r^v - s^v} &= \left(\frac{q_0}{q_1}\right)^{r^{v-1}} \left(\frac{q_1}{q_2}\right)^{r^{v-2}s} \cdots \left(\frac{q_{m-1}}{q_m}\right)^{s^{v-1}} \\ &= q_0^{r^{v-1} - s^{v-1}} \cdot q_1^{r^{v-2}s - r^{v-1}} \cdot q_2^{r^{v-3}s^2 - r^{v-2}s} \cdots q_m^{s^{v-1} - r \cdot s^{v-2}} \end{aligned}$$

All exponents appearing in the above equality are divisible by $r - s$ and we have

$$\theta_0^{r^{v-1} + r^{v-2}s + \cdots + s^{v-1}} = q_0^{r^{v-2} + \cdots + s^{v-2}} q_1^{-r^{v-2}} q_2^{-r^{v-3}s} \cdots q_{v-1}^{-s^{v-2}}. \quad (44)$$

Now choose a prime v such that $1 < \|\theta_0\|_v = : e^{c_{40}}$. Then by (44) and Lemma 1,

$$e^{c_{40}r^{v-1}} \leq (c_{41} \cdot r^{c_{42}})^{r^{v-2}} \leq e^{c_{43}r^{v-2} \log r}.$$

This implies that r is bounded and hence that also in this case \mathcal{W}_1 is finite. \square

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University of Leiden
Department of Mathematics
Wassenaarseweg 80
Postbus 9512
2300 RA Leiden
The Netherlands