

COMPOSITIO MATHEMATICA

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Compositio Mathematica, tome 52, n° 1 (1984), p. 115-137

http://www.numdam.org/item?id=CM_1984__52_1_115_0

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RATIONAL POINTS ON THE MODULAR CURVES $X_{\text{split}}(p)$

Fumiyuki Momose

For a prime number p , let $X_{\text{split}}(p)$ be the modular curve defined over \mathbb{Q} which corresponds to the modular curve

$$\Gamma_{\text{split}}(p) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) \mid b \equiv c \equiv 0 \text{ or } a \equiv d \equiv 0 \pmod{p} \right\},$$

i.e., $X_{\text{split}}(p) \otimes \mathbb{C} \simeq \Gamma_{\text{split}}(p) \backslash H \cup \mathbb{P}^1(\mathbb{Q})$, where $H = \{z \in \mathbb{C} \mid \text{Im}(z) > 0\}$. We call the points $\in \Gamma_{\text{split}}(p) \backslash \mathbb{P}^1(\mathbb{Q})$ the cusps on $X_{\text{split}}(p)$. Then $X_{\text{split}}(p) \setminus \{\text{cusps}\}$ is the coarse moduli space ($/\mathbb{Q}$) of the isomorphism classes of elliptic curves with an unordered pair of independent subgroups of rank p (see [9]). We here discuss the \mathbb{Q} -rational points on $X_{\text{split}}(p)$. For the prime numbers $p \leq 7$, $X_{\text{split}}(p) \simeq \mathbb{P}_{\mathbb{Q}}^1$. Mazur [10] III§6 showed that for each prime number $p = 11$ or $p \geq 17$, there are finitely many \mathbb{Q} -rational points on $X_{\text{split}}(p)$. We have no results for $X_{\text{split}}(13)$. Let y be a non cuspidal \mathbb{Q} -rational point on $X_{\text{split}}(p)$ ($p \geq 5$). Then there exists an elliptic curve E defined over \mathbb{Q} with independent subgroups A, B of rank p such that the set $\{A, B\}$ is \mathbb{Q} -rational and the pair $(E, \{A, B\})$ represents y (see [3] VI Proposition (3.2)). Let $\rho = \rho_p$ be the representation of the Galois action of $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ on the p -torsion points $E_p(\overline{\mathbb{Q}})$. Then $\rho(\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}))$ is contained in the normalizer of the split Cartan subgroup $\text{Aut } A(\overline{\mathbb{Q}}) \times \text{Aut } B(\overline{\mathbb{Q}})$ ($\subset \text{Aut } E_p(\overline{\mathbb{Q}}) \simeq GL_2(\mathbb{F}_p)$). The “expected” \mathbb{Q} -rational points on $X_{\text{split}}(p) \setminus \{\text{cusps}\}$ ($p \geq 11$?) are those which are represented by the elliptic curves with complex multiplication. Let E be an elliptic curve defined over \mathbb{Q} which has complex multiplication over an imaginary quadratic field k . Let $p \geq 5$ be a rational prime which splits in k . Then there are two independent subgroups A, B of rank p such that the pair $(E, \{A, B\})$ represents a non cuspidal \mathbb{Q} -rational point on $X_{\text{split}}(p)$. We call such a point a C.M.point.

Let $X_0(p)$ be the modular curve ($/\mathbb{Q}$) corresponding to the modular group $\Gamma_0(p)$ and $J_0(p)$ the jacobian variety of $X_0(p)$. Let w_p be the fundamental involution of $X_0(p): (E, A) \mapsto (E/A, E_p/A)$, where $E_p = \ker(p: E \rightarrow E)$. Denote also by w_p the automorphism of $J_0(p)$ which is induced by the involution w_p . Put $J_0^-(p) = J_0(p)/(1 + w_p)J_0(p)$. Denote by $n(p)$ the number of the \mathbb{Q} -rational points on $X_{\text{split}}(p)$ which are neither cusps nor C.M.points. Our main result is the following.

THEOREM (0.1): *Let $p = 11$ or $p \geq 17$ be a prime number such that the Mordell-Weil group of $J_0^-(p)$ is of finite order. Then $n(p) = 0$, provided $p \neq 37$.*

For the primes p , $11 \leq p < 300$, except for $p = (13), 151(?), 199(?), 227(?)$ and $277(?)$, the assumption in (0.1) above is satisfied (see [10] p. 40, [21] Table 5 pp. 135–141). For $p = 37$, we know that $J_0^-(37)(\mathbb{Q}) \simeq \mathbb{Z}/3\mathbb{Z}$ (see loc.cit.), but we see only that $n(37) \leq 1$, see (5.A). We may conjecture that $n(p) = 0$ for $p \geq 11$, $p \neq 13(?), \neq 37(?)$. The outline of the proof of (0.1) above is as follows. Let $X_{\text{sp.Car}}(p)$ be the modular curve $(/\mathbb{Q})$ corresponding to the modular group

$$\Gamma_{\text{sp.Car}}(p) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) \mid b \equiv c \equiv 0 \pmod{p} \right\}.$$

Let w be the fundamental involution of $X_{\text{sp.Car}}(p): (E, A, B) \mapsto (E, B, A)$, which is represented by $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$. Then $X_{\text{split}}(p) = X_{\text{sp.Car}}(p)/\langle w \rangle$. Let y be a non cuspidal \mathbb{Q} -rational point on $X_{\text{split}}(p)$ and $x, w(x)$ the sections of the fibre $(X_{\text{sp.Car}}(p))_y$. Then $x, w(x)$ are defined over a quadratic field k . Denote by $\mathcal{X}_{\text{sp.Car}}(p)$ and $\mathcal{X}_{\text{split}}(p)$ the normalizations of the projective j -line $\mathcal{X}_0(1) \simeq \mathbb{P}_{\mathbb{Z}}^1$ in $X_{\text{sp.Car}}(p)$ and $X_{\text{split}}(p)$, respectively. We denote also by y (resp. x and $w(x)$) the \mathbb{Z} -section (resp. the \mathcal{O}_k -sections) of $\mathcal{X}_{\text{split}}(p)$ (resp. $\mathcal{X}_{\text{sp.Car}}(p)$) with the generic fibre y (resp. x and $w(x)$) above. Firstly, we show that $y \otimes \mathbb{F}_p$ is not a supersingular point and $x, w(x)$ are the sections of the smooth part of $\mathcal{X}_{\text{sp.Car}}(p)$ (see (1.4), $p \geq 11$). Secondly, we show that $y \otimes \mathbb{F}_p$ is not a cusp and that the rational prime p splits in k , see (3.1), (3.2). Then there exists an elliptic curve E defined over \mathbb{F}_p such that the pair $(E, \{\ker(\text{Frob}), \ker(\text{Ver})\})$ represents $y \otimes \mathbb{F}_p$, where Frob is the Frobenius map: $E \rightarrow E^{(\rho)} = E$ and Ver is the Verschiebung: $E = E^{(\rho)} \rightarrow E$. Define the morphism g of $X_{\text{sp.Car}}(p)$ to $J_0(p)$ by

$$g: (E, A, B) \mapsto cl((E, A) - (E/B, E_p/B)).$$

Then g induces the morphism g^- of $X_{\text{split}}(p)$ to $J_0^-(p)$, i.e., $g(x) \pmod{(1 + w_p)} J_0^-(p) = g^-(y)$. Denote also by g (resp. g^-) the morphism of $\mathcal{X}_{\text{sp.Car}}(p)^{\text{smooth}}$ to the Néron model $J_0(p)/_{\mathbb{Z}}$ over the base \mathbb{Z} (resp. of $\mathcal{X}_{\text{split}}(p)^{\text{smooth}}$ to $J_0^-(p)/_{\mathbb{Z}}$). Then for the k -rational point x as above, $g(x) \otimes \mathbb{F}_p = 0$, see (3.3). Then the assumption $\#J_0^-(p)(\mathbb{Q}) < \infty$ implies that $g^-(y) = 0$. Let $(E, \{A, B\}) (/_{\mathbb{Q}})$ be a pair which represents y . Then by the condition $g^-(y) = 0$, using the result of Ogg [14] Satz 1, we get $E \simeq E/B$, provided $p \neq 37$.

Further, we get the following estimate of $n(p)$. Let $\tilde{J}_0(p)$ be the ‘‘Eisenstein quotient’’ of $J_0(p)$, see [10].

THEOREM (0.2): $n(p) \leq \dim J_0(p) - \dim \tilde{J}_0(p)$ for $p \geq 17$.

In §5, we discuss the cases for $p = 13$ and 37 .

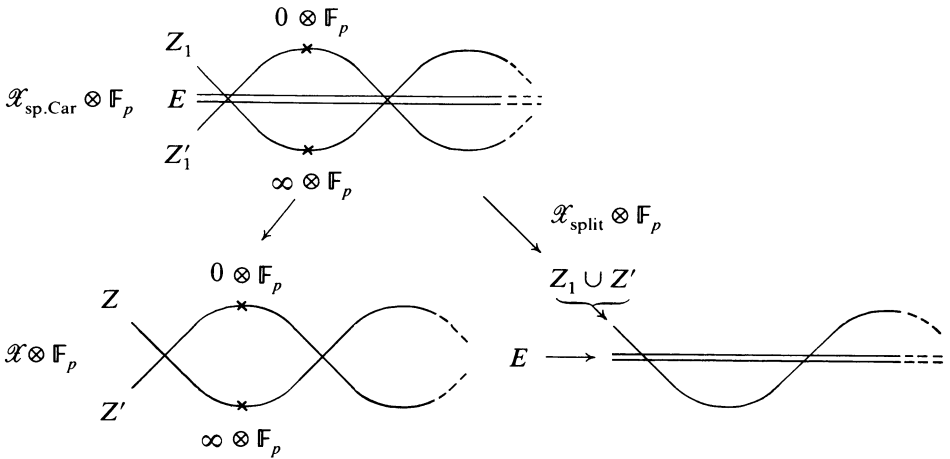
Notation: For a rational prime q , \mathbb{Q}_q^{ur} denotes the maximal unramified extension of \mathbb{Q}_q and $W(\overline{\mathbb{F}}_q)$ denotes the ring of integers of \mathbb{Q}_q^{ur} . For a finite extension K of \mathbb{Q} , \mathbb{Q}_q or \mathbb{Q}_q^{ur} , \mathcal{O}_K denotes the ring of integers of K . Let A be an abelian variety defined over K and G a finite subgroup of A defined over K . Then $A_{/\mathcal{O}_K}$ denotes the Néron model of A over the base \mathcal{O}_K and $G_{/\mathcal{O}_K}$ denotes the flat closure of G in $A_{/\mathcal{O}_K}$ (which is a quasi finite flat subgroup scheme, see [17] §2). For a subscheme Y of a modular curve $X(/Z)$, Y^h denotes the open subscheme $Y \setminus \{\text{supersingular points on } Y \otimes \overline{\mathbb{F}}_p\}$ for the fixed rational prime p .

§1. Preliminaries

Let $p \geq 5$ be a prime number and $X_{\text{sp.Car}} = X_{\text{sp.Car}}(p)$ the modular curve $(/\mathbb{Q})$ corresponding to the modular group

$$\Gamma_{\text{sp.Car}}(p) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) \mid b \equiv c \equiv 0 \pmod{p} \right\}.$$

$X_{\text{sp.Car}}$ is the coarse moduli space $(/\mathbb{Q})$ of the isomorphism classes of the generalized elliptic curves with an ordered pair of independent subgroups of rank p (see [3], [9]). Let w be the fundamental involution of $X_{\text{sp.Car}} : (E, A, B) \mapsto (E, B, A)$. Then $X_{\text{split}} = X_{\text{split}}(p) = X_{\text{sp.Car}}/\langle w \rangle$. Denote by $\mathcal{X}_{\text{sp.Car}} = \mathcal{X}_{\text{sp.Car}}(p)$, $\mathcal{X}_{\text{split}} = \mathcal{X}_{\text{split}}(p)$ and $\mathcal{X} = \mathcal{X}_0(p)$ the normalizations of the projective j -line $\mathcal{X}_0(p) \simeq \mathbb{P}_{\mathbb{Z}}^1$ in $X_{\text{sp.Car}}$, X_{split} and $X = X_0(p)$, respectively. Let π be the canonical morphism of $\mathcal{X}_{\text{sp.Car}}$ to \mathcal{X} which is generically defined by $(E, A, B) \mapsto (E, A)$. For a subscheme Y of a modular curve $/Z$, Y^h denotes the open subscheme $Y \setminus \{\text{supersingular points on } Y \otimes \overline{\mathbb{F}}_p\}$ of Y . The special fibre $\mathcal{X} \otimes \mathbb{F}_p$ is reduced and has two irreducible components, say Z and Z' , which intersect transversally at the supersingular points on $\mathcal{X} \otimes \mathbb{F}_p$ (see [3] VI§6). Z^h (resp. Z'^h) is the coarse moduli space $(/\mathbb{F}_p)$ of the isomorphism classes of the generalized elliptic curves with a subgroup A of rank p such that $A \simeq \mu_p$ (resp. $\simeq \mathbb{Z}/p\mathbb{Z}$), isomorphic locally for the étale topology (see loc.cit.). The fibre $\pi^{-1}(Z)$ has one irreducible component Z_1 , and $Z_1^h \rightarrow Z^h$ is radical of degree p . The fibre $\pi^{-1}(Z')$ has two irreducible components Z'_1 and E . The multiplicity of E is $p - 1$ (see [15]) and $Z_1^h \xrightarrow{\sim} Z^h$ is an isomorphism (see loc.cit.). The fundamental involution w exchanges Z_1 by Z'_1 and fixes E . These components Z_1 , Z'_1 and E_{red} intersect transversally at the supersingular points on $\mathcal{X}_{\text{sp.Car}} \otimes \mathbb{F}_p$.



Here, 0 and ∞ are the cuspidal sections which correspond to $(\mathbf{G}_m \times \mathbb{Z}/p\mathbb{Z}, \mathbb{Z}/p\mathbb{Z}, \mu_p)$ and $(\mathbf{G}_m \times \mathbb{Z}/p\mathbb{Z}, \mu_p, \mathbb{Z}/p\mathbb{Z})$ (resp. $(\mathbf{G}_m \times \mathbb{Z}/p\mathbb{Z}, \mathbb{Z}/p\mathbb{Z})$ and (\mathbf{G}_m, μ_p)), see [3] II.

(1.1) *N.B.* (see [3] V, VII). Let \mathcal{C}' be the algebraic stack which represents the following functor: for a scheme S ($/\mathbb{Z}$), $\mathcal{C}'(S)$ is the set of the isomorphism classes of the generalized elliptic curves C with an isomorphism $\alpha: C_p \xrightarrow{\sim} \mathbb{Z}/p\mathbb{Z} \times \mu_p$. Then \mathcal{C}' is an open subspace of $\mathcal{M}_p^h (= M_p^h$, which is a scheme for $p \geq 3$, see loc.cit. VII p. 300). Let $\Gamma_0(p)$, $\Gamma_{\text{sp.Car}}(p)$ be the finite adèlic modular groups

$$\Gamma_0(p) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL_2(\hat{\mathbb{Z}}) \mid c \equiv 0 \pmod{p} \right\},$$

$$\Gamma_{\text{sp.Car}}(p) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL_2(\hat{\mathbb{Z}}) \mid b \equiv c \equiv 0 \pmod{p} \right\}.$$

The natural morphisms of M_p^h to $M_{\text{sp.Car}}(p)^h = M_p^h/\Gamma_{\text{sp.Car}}(p)$ and to $M_0(p)^h = M_p^h/\Gamma_0(p)$ induce the surjective morphisms of $\mathcal{C}' \otimes \mathbb{F}_p$ onto Z_1^h and onto Z^h . The subgroup of $\Gamma_0(p)$ consisting of the elements which fix \mathcal{C}' is $\Gamma_{\text{sp.Car}}(p)$. For a geometric point x on Z^h , let (C, A) ($/\mathbb{F}_p$) be the pair which represents x . Then $\text{Aut}(C, A) \subset \Gamma_{\text{sp.Car}}(p)$ (mod p). Therefore, $\pi: Z_1^h \xrightarrow{\sim} Z^h$ is an isomorphism and Z_1^h is the coarse moduli space ($/\mathbb{F}_p$) of the isomorphism classes of the generalized elliptic curves with an ordered pair (A, B) of subgroups of rank p such that $(A, B) \xrightarrow{\sim} (\mathbb{Z}/p\mathbb{Z}, \mu_p)$, isomorphic locally for the étale topology. The morphism π induces $Z_1^h \rightarrow Z^h: (C, B, A) \mapsto (C, B)$, so that $Z_1^h \rightarrow Z^h$ is radical of degree p .

Let K be a finite extension of \mathbb{Q}_p^{ur} of degree e with the ring $\mathcal{O} = \mathcal{O}_K$ of integers.

THEOREM (1.2) (*Raynaud [17] §3 Proposition (3.3.2), Oort-Tate [16]*): Let G_i ($i = 1, 2$) be finite flat group schemes of rank p over $\text{Spec } \mathcal{O}$ and $f: G_1 \rightarrow G_2$ a homomorphism such that $f \otimes K: G_1 \otimes K \xrightarrow{\sim} G_2 \otimes K$ is an isomorphism. Then,

- (1) If $e < p - 1$, then f is an isomorphism.
- (2) If $e = p - 1$ and f is not an isomorphism, then $G_1 \xrightarrow{\sim} (\mathbb{Z}/p\mathbb{Z})_{/\mathcal{O}}$ and $G_2 \xrightarrow{\sim} \mu_{p/\mathcal{O}}$.

LEMMA (1.3): Let E be a semistable elliptic curve defined over K with independent subgroups A, B of rank p defined over K . If $e < p - 1$, then $E_{/\mathcal{O}} \otimes \overline{\mathbb{F}}_p$ is not supersingular and $(E_{/\mathcal{O}})_p = A_{/\mathcal{O}} \oplus B_{/\mathcal{O}}$, which is finite, where $A_{/\mathcal{O}}, B_{/\mathcal{O}}$ are the flat closures of A and B in the Néron model $E_{/\mathcal{O}}$.

PROOF: (1.3.1). The case when $E_{/\mathcal{O}}$ is an elliptic curve (i.e., proper).

$A_{/\mathcal{O}}$ and $B_{/\mathcal{O}}$ are finite, hence they are finite flat group schemes. Consider the following morphisms f and f_A induced by the natural morphism of E onto E/B by the universal property of the Néron models:

$$\begin{array}{ccc} B_{/\mathcal{O}} \subset E_{/\mathcal{O}} & \xrightarrow{f} & (E/B)_{/\mathcal{O}} \\ & \cup \nearrow & \\ & A_{/\mathcal{O}} & \xrightarrow{f_A} \end{array}$$

Then $f_A \otimes K: A \xrightarrow{\sim} f(A) (\subset E/B)$ is an isomorphism. By the condition $e < p - 1$, f_A is an isomorphism, see (1.2) above. Then $(E_{/\mathcal{O}})_p = A_{/\mathcal{O}} \oplus B_{/\mathcal{O}}$. If $(E_{/\mathcal{O}})_p(\overline{\mathbb{F}}_p) = \{0\}$, then $(E_{/\mathcal{O}})_p \otimes \overline{\mathbb{F}}_p \xrightarrow{\sim} \text{Spec } \overline{\mathbb{F}}_p[X, Y]/(X^p, Y^p)$ as schemes. For a supersingular elliptic curve $F(\overline{\mathbb{F}}_p)$, $F_p \xrightarrow{\sim} \text{Spec } \overline{\mathbb{F}}_p[X]/(X^{p^2})$ as schemes. Therefore, $E_{/\mathcal{O}} \otimes \overline{\mathbb{F}}_p$ is not supersingular.

(1.3.2). The case when $E_{/\mathcal{O}}$ has multiplicative reduction.

We have the following exact sequence (see e.g., [8] Part 16):

$$0 \rightarrow \mu_p \rightarrow E_p \rightarrow \mathbb{Z}/p\mathbb{Z} \rightarrow 0.$$

Then A or $B \xrightarrow{\sim} \mathbb{Z}/p\mathbb{Z}$. By the condition $e < p - 1$, using the universal property of the Néron model $E_{/\mathcal{O}}$, we see that $(\mathbb{Z}/p\mathbb{Z})_{/\mathcal{O}} \subset E_{/\mathcal{O}}$. The connected component $(E_{/\mathcal{O}})_p^0$ of $(E_{/\mathcal{O}})_p$ of the unity is isomorphic to $\mu_{p/\mathcal{O}}$, see e.g., loc.cit., [3] VII. Then $(E_{/\mathcal{O}})_p \xrightarrow{\sim} \mu_{p/\mathcal{O}} \oplus (\mathbb{Z}/p\mathbb{Z})_{/\mathcal{O}}$ are finite schemes. Then, by the same way as in (1.3.1) above, we get $(E_{/\mathcal{O}})_p = A_{/\mathcal{O}} \oplus B_{/\mathcal{O}}$. \square

COROLLARY (1.4): Let E be an elliptic curve defined over \mathbb{Q}_p^{ur} with independent subgroups A, B of rank p such that the set $\{A, B\}$ is \mathbb{Q}_p^{ur} -rational. Let y be a $W(\overline{\mathbb{F}}_p)$ -section of $\mathcal{X}_{\text{split}}$ whose generic fibre is represented

by the pair $(E, \{A, B\})$. If $p \geq 11$, then y is a section of the smooth part of $\mathcal{X}_{\text{split}}$.

PROOF: Let $x, w(x)$ be the sections of the fibre $(\mathcal{X}_{\text{sp.Car}})_y$, which are defined over an extension K' of \mathbb{Q}_p^{ur} of degree ≤ 2 . We may assume that the triple (E, A, B) represents $x \otimes K'$. There exists an extension K of \mathbb{Q}_p^{ur} of degree e with $e|4$ or $e|6$ over which E has semistable reduction (see e.g., [19] §5 (5.6)). We may take K with $e = 4$ or $e = 6$. Then $K' \subset K$. Let \mathcal{O} denote \mathcal{O}_K . Then the triple $(E/\mathcal{O}, A/\mathcal{O}, B/\mathcal{O})$ represents the section $x \otimes \mathcal{O}$: $\text{Spec } \mathcal{O} \rightarrow \mathcal{X}_{\text{sp.Car}}$. By the condition that $e < 11 - 1 \leq p - 1$, $x \otimes \bar{F}_p$ is a section of $Z_1^h \cup Z_1'^h$, see (1.1), (1.3) above. \square

§2. Modular curves and Jacobian variety of $X_0(p)$

Let $J = J_0(p)$ be the jacobian variety of $X = X_0(p)$, C the cuspidal subgroup of J which is generated by the class $cl((0) - (\infty))$. Put $J^- = J_0^-(p) = J/(1 + w_p)J$. Mazur [10] defined the ‘‘Eisenstein quotient’’ of J . Put $\mathbb{T} = \text{End } J$, which is generated by the Hecke operators T_l and w_p , for the rational primes $l \neq p$, see [10] II Proposition (9.5). Let \mathcal{I} be the ideal of \mathbb{T} generated by $\eta_l = 1 + l - T_l$ and $w_p + 1$, for the rational primes $l \neq p$, which is called the ‘‘Eisenstein ideal’’. The Eisenstein quotient $\tilde{J} = \tilde{J}_0(p)$ is the quotient of J by the $(\mathbb{Q}$ -rational) abelian subvariety $(\bigcap_{n \geq 1} \mathcal{I}^n)J$.

THEOREM (2.1) (Mazur loc.cit.): *The natural morphism $J \rightarrow \tilde{J}$ induces an isomorphism of C of order $n = \text{num}((p - 1)/12)$ onto the Mordell-Weil group of \tilde{J} and \tilde{J} is an optimal quotient of J^- . Further, the natural morphisms $J(\mathbb{Q})_{\text{tor}} \xrightarrow{\sim} J^-(\mathbb{Q})_{\text{tor}} \xrightarrow{\sim} \tilde{J}(\mathbb{Q})$ are isomorphisms.*

PROPOSITION (2.2) (Mazur loc.cit. II Lemma (12.5)): *If $p \equiv 1 \pmod{8}$, $C_{/\mathbb{Z}}$ (= the flat closure of C in the Néron model $J_{/\mathbb{Z}}$) contains the multiplicative group $\mu_{2/\mathbb{Z}}$.*

Let C_1, C_p be the morphisms of $X_{\text{sp.Car}}$ to J defined by $(E, A, B) \mapsto cl((E, A) - (0))$ and $\mapsto cl((E/B, E_p/B) - (0))$, respectively. Put $g = C_1 - C_p: (E, A, B) \mapsto cl((E, A) - (E/B, E_p/B))$,

$$g: X_{\text{sp.Car}} \xrightarrow{C_1 \times C_p} J \times J \rightarrow J. \\ (x, y) \mapsto x - y$$

Then g induces the following commutative diagram:

$$\begin{array}{ccccc} X_{\text{sp.Car}} & \xrightarrow{\text{can.}} & X_{\text{split}} & & \\ g \downarrow & & \downarrow g^- & \searrow \tilde{g} & \\ J & \xrightarrow{\text{can.}} & J^- & \xrightarrow{\text{can.}} & \tilde{J}. \end{array}$$

We denote also by g , g^- and \tilde{g} the morphisms $\mathcal{X}_{\text{sp.Car}}^{\text{smooth}} \rightarrow J_{/\mathbb{Z}}$, $\mathcal{X}_{\text{split}}^{\text{smooth}} \rightarrow J_{/\mathbb{Z}}$ and $\mathcal{X}_{\text{split}}^{\text{smooth}} \rightarrow \tilde{J}_{/\mathbb{Z}}$ which are induced by g , g^- and \tilde{g} (by the universal property of the Néron models), respectively. Let $\tilde{\mathcal{X}} = \tilde{\mathcal{X}}_0(p) \rightarrow \text{Spec } \mathbb{Z}$ be the minimal model of $X = X_0(p)$ (see [3] VI§6). Let ι be the isomorphism induced by the duality of Grothendieck (see [11] §2):

$$\iota: \text{Cot } J_{/\mathbb{Z}} \xrightarrow{\sim} H^0(\tilde{\mathcal{X}}, \Omega),$$

where $\text{Cot } J_{/\mathbb{Z}}$ is the cotangent space of $J_{/\mathbb{Z}}$ at origin and Ω is the sheaf of regular differentials (see loc.cit., [3] p. 161). For a rational prime q , let $R = W(\overline{\mathbb{F}}_q)$ be the ring of integers of \mathbb{Q}_q^{ur} and $x: \text{Spec } R \rightarrow \mathcal{X}^{\text{smooth}}$ a section. Denote by $\text{Spec } R[[q]]$ the completion of \mathcal{X} along the section x .

PROPOSITION (2.3) (Mazur [11] §2 Lemma (2.1)): *The following diagram is commutative up to sign:*

$$\begin{array}{ccc} \text{Cot } J_{/R} & \xrightarrow{\sim \iota} & H^0(\tilde{\mathcal{X}} \otimes R, \Omega) \\ & \searrow & \swarrow \\ \text{Cot}_x & & \text{Cot}_x \mathcal{X} = R \ni a_1 \end{array}$$

$\omega = \sum a_m q^m \frac{dq}{q}$

Denote by u the natural morphism of $J_{/\mathbb{Z}}$ onto $\tilde{J}_{/\mathbb{Z}}$. By [11] Corollary (1.1), $\text{Cot } \tilde{J}_{/\mathbb{Z}} \otimes \mathbb{F}_q$ can be regarded as a subspace of $\text{Cot } J_{/\mathbb{Z}} \otimes \mathbb{F}_q \xrightarrow{\sim} H^0(\tilde{\mathcal{X}} \otimes \mathbb{F}_q, \Omega) (= H^0(\mathcal{X} \otimes \mathbb{F}_q, \Omega)$, see [3] p. 162 (2.3)), for $q \neq 2$.

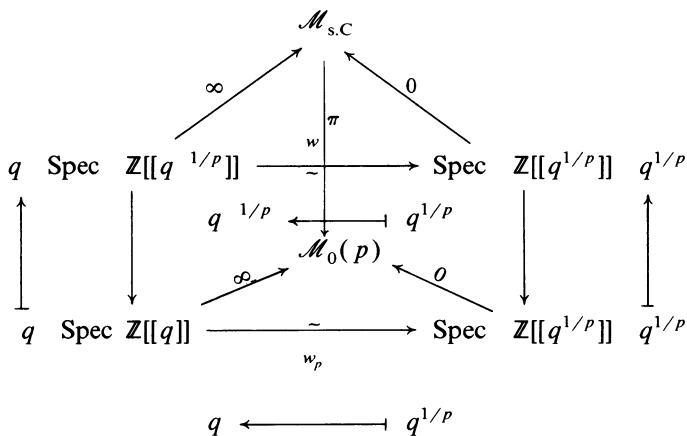
LEMMA (2.4) (Mazur [11] §3): *Under the notation as above, let $x = 0$ or ∞ (= the cuspidal sections). If $p = 11$ or $p \geq 17$, for each rational prime $q \neq 2$, there exists a form $\omega = \sum a_m q^m dq/q \in \text{Cot } \tilde{J}_{/\mathbb{Z}}$ such that $a_1 \in \mathbb{Z}_q^\times$.*

Let $m: X \rightarrow Y$ be a morphism of schemes. The morphism m is a formal immersion along a section x of X if $m^*(\widehat{\mathcal{O}}_{Y,f(x)}) = \widehat{\mathcal{O}}_{X,x}$, where $\widehat{\mathcal{O}}_{Y,f(x)}$ and $\widehat{\mathcal{O}}_{X,x}$ are the completions of the local rings along the sections $f(x)$ and x , respectively. If $m^*(\mathcal{O}_{Y,f(x)}/m_{f(x)}) = \mathcal{O}_{X,x}/m_x$ and $\text{Cot}_x(m): \text{Cot}_{f(x)} Y \rightarrow \text{Cot}_x X$ is surjective, then m is a formal immersion along x (see E.G.A.IV, 17.44). Here, $m_{f(x)}$ and m_x are the maximal ideals of the local rings at $f(x)$ and x .

PROPOSITION (2.5): *Let $q \neq 2$ be a rational prime. If $p = 11$ or $p \geq 17$, $ug \otimes \mathbb{Z}_q: \mathcal{X}_{\text{sp.Car}} \otimes \mathbb{Z}_q^{\text{smooth}} \rightarrow \tilde{J}_{/\mathbb{Z}_q}$ is a formal immersion along the cuspidal sections 0 and ∞ . Further, if $q \neq 2$ nor p , $ug \otimes \mathbb{Z}_q$ is a formal immersion along any cuspidal section of $\mathcal{X}_{\text{sp.Car}} \otimes \mathbb{Z}_q$.*

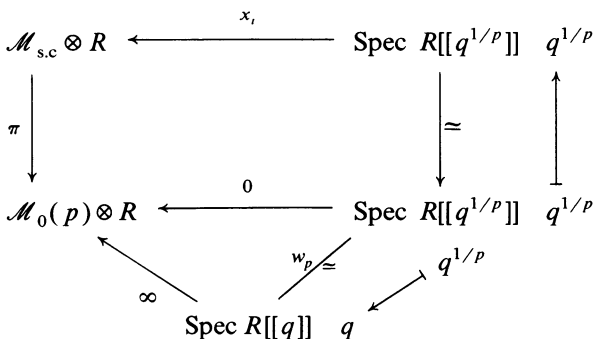
PROOF: There are $p + 1$ cuspidal sections 0 , ∞ and x_i of $\mathcal{X}_{\text{sp.Car}}$ which correspond to 0 , ∞ and $1/i$ ($1 \leq i \leq p - 1$) by the canonical identifica-

tion of $X_{\text{sp.Car}} \otimes \mathbb{C}$ with $\Gamma_{\text{sp.Car}}(p) \backslash H \cup \mathbb{P}^1(\mathbb{Q})$, where $H = \{z \in \mathbb{C} | \text{Im}(z) > 0\}$. The cuspidal sections 0 and ∞ are \mathbb{Q} -rational, and x_i are $\mathbb{Q}(\zeta_p)$ -rational, where ζ_p is a primitive p -th root of 1. Let $\mathcal{M}_{\text{s.c}} = \mathcal{M}_{\text{sp.Car}}(p)$ and $\mathcal{M}_0(p)$ be the fine moduli stacks corresponding to finite adelic modular groups $\Gamma_{\text{sp.Car}}(p)$ and $\Gamma_0(p)$, respectively, see (1.1). The correspondence of the local coordinates along the cuspidal sections 0 and ∞ is as follows:



For each rational prime q , $\text{Cot}(\pi)$ (resp. $\text{Cot}(w_p \pi w)$): $\text{Cot}_0 \mathcal{X} \otimes \mathbb{Z}_q \rightarrow \text{Cot}_0 \mathcal{X}_{\text{sp.Car}} \otimes \mathbb{Z}_q$ is an isomorphism (resp. a 0-map). Take a form $\omega \in \text{Cot} \tilde{J}_{/\mathbb{Z}_q}$ as in Lemma (2.4) (for $q \neq 2$), then by Proposition (2.3), $\text{Cot}(ug) = \text{Cot}(uC_1) - \text{Cot}(uC_p)$: $\text{Cot} \tilde{J}_{/\mathbb{Z}_q} \rightarrow \text{Cot}_0 \mathcal{X}_{\text{sp.Car}} \otimes \mathbb{Z}_q$ sends ω to $\pm a_1 \in \mathbb{Z}_q^\times$.

To investigate the cuspidal sections x_i , we consider all over $R = \mathbb{Z}[1/2p, \zeta_p]$. The group $\left\{ \begin{pmatrix} a & 0 \\ 0 & a^{-1} \end{pmatrix} \middle| a \in (\mathbb{Z}/p\mathbb{Z})^\times \right\}$ acts trivially on $\mathcal{M}_{\text{s.c}} \otimes R$. The correspondence of the local coordinates along the cuspidal sections x_i is as follows:



The Tate curves along these cuspidal sections are as follows (see [3] VII):

$$\begin{array}{ccc}
 & (\bar{\mathcal{G}}_m^{q^{1/p}}/q^{\mathbf{Z}}, \mathbf{Z}/p\mathbf{Z}(q^{1/p}), \mathbf{Z}/p\mathbf{Z}(\zeta_p q^{1/p})) & \\
 & \downarrow & \\
 (\bar{\mathcal{G}}_m^{q^{1/p}}/(q^{1/p})^{\mathbf{Z}}, \mu_p) & (\bar{\mathcal{G}}_m^{q^{1/p}}/q^{\mathbf{Z}}, \mathbf{Z}/p\mathbf{Z}(q^{1/p})) & \\
 \updownarrow & \swarrow w_p & \\
 (\bar{\mathcal{G}}_m^q/q^{\mathbf{Z}}, \mu_p) & &
 \end{array}$$

Here, $\mathbf{Z}/p\mathbf{Z}(q^{1/p})$ and $\mathbf{Z}/p\mathbf{Z}(\zeta_p q^{1/p})$ are the subgroup schemes of the Tate curve $\bar{\mathcal{G}}_m^{q^{1/p}}/q^{\mathbf{Z}}$ generated by the sections $q^{1/p}$ and $\zeta_p q^{1/p}$, respectively. Consider the morphism $w_p \pi w: (E, A, B) \mapsto (E/B, E_p/B)(x_i \mapsto x_{p-i} \xrightarrow{\pi} 0 \xrightarrow{w_p} \infty)$:

along x_i ,

$$\begin{array}{ccc}
 (\bar{\mathcal{G}}_m^{q^{1/p}}/q^{\mathbf{Z}}, \mathbf{Z}/p\mathbf{Z}(q^{1/p}), \mathbf{Z}/p\mathbf{Z}(\zeta_p q^{1/p})) & & \\
 \searrow w & \text{along } x_{p-i} & \\
 \zeta_p^{a(i)} q^{1/p} & \swarrow & (\bar{\mathcal{G}}_m^{q^{1/p}}/q^{\mathbf{Z}}, \mathbf{Z}/p\mathbf{Z}(\zeta_p q^{1/p}), \mathbf{Z}/p\mathbf{Z}(q^{1/p})) \\
 \zeta_p^{a(i)} q^{1/p} & & \downarrow \text{by } \begin{pmatrix} a(i) & 0 \\ 0 & i \end{pmatrix} \in SL_2(\mathbf{Z}/p\mathbf{Z}) \\
 \parallel & & (\bar{\mathcal{G}}_m^{q^{1/p}}/q^{\mathbf{Z}}, \mathbf{Z}/p\mathbf{Z}(\zeta_p^{a(i)} q^{1/p}), \mathbf{Z}/p\mathbf{Z}(q^{1/p})) \\
 \zeta_p^{a(i)} q^{1/p} & & \downarrow \\
 \updownarrow q^{1/p} & & (\bar{\mathcal{G}}_m^{q^{1/p}}/q^{\mathbf{Z}}, \mathbf{Z}/p\mathbf{Z}(q^{1/p}), \mathbf{Z}/p\mathbf{Z}(\zeta_p^{-1} q^{1/p})) \\
 \parallel & & \downarrow \\
 q^{1/p} & & (\bar{\mathcal{G}}_m^{q^{1/p}}/q^{\mathbf{Z}}, \mathbf{Z}/p\mathbf{Z}(q^{1/p})) \\
 \swarrow q & \text{along } 0 & \\
 (\bar{\mathcal{G}}_m^q/q^{\mathbf{Z}}, \mu_p) & \swarrow w_p & \\
 \text{along } \infty & &
 \end{array}$$

Here, $a(i)$ is an integer congruent to $i^{-1} \pmod p$. Take the local coordinates along x_i, ∞ and 0 such that

$$\text{Cot}(\pi): \text{Cot}_0 \mathcal{X} \otimes R \xrightarrow{\sim} \text{Cot}_{x_i} \mathcal{X}_{\text{sp.Car}} \otimes R$$

$$\text{Cot}(w_p): \text{Cot}_\infty \mathcal{X} \otimes R \xrightarrow{\sim} \text{Cot}_0 \mathcal{X} \otimes R$$

are the identity maps of R -modules R . Then

$$\text{Cot}(w_p \pi w): \text{Cot}_\infty \mathcal{X} \otimes R \longrightarrow \text{Cot}_{x_i} \mathcal{X}_{\text{sp.Car}} \otimes R: 1 \longmapsto \zeta,$$

for a primitive p -th root ζ of 1. Take a form $\omega \in \text{Cot } \tilde{J}/\mathbf{Z}_q$ as in Lemma

(2.4), then by Proposition (2.3), $\text{Cot}(ug)(\omega) = \pm a_1(1 - \zeta) \in (R \otimes \mathbb{Z}_q)^\times$.

□

§3. Rational points on $X_{\text{split}}(p)$

Let $p \geq 11$ be a prime number. Let y be a non cuspidal \mathbb{Q} -rational point on $X_{\text{split}} = X_{\text{split}}(p)$ and $x, w(x)$ the sections of the fibre $(X_{\text{sp.Car}})_y$. Then there exists a number field k of degree ≤ 2 over which x and $w(x)$ are defined. We denote also by y (resp. x and $w(x)$) the \mathbb{Z} -section (resp. \mathcal{O}_k -sections) of $\mathcal{X}_{\text{split}}$ (resp. $\mathcal{X}_{\text{sp.Car}}$) with the generic fibre y (resp. x and $w(x)$) above. There exists an elliptic curve E defined over \mathbb{Q} with independent subgroups A, B of rank p such that the set $\{A, B\}$ is \mathbb{Q} -rational and the pair $(E, \{A, B\})$ represents $y = y \otimes \mathbb{Q}$ (see [3] VI Proposition (3.2)). Then A and B are defined over k . By Corollary (1.4), x and $w(x)$ are the sections of $\mathcal{X}_{\text{sp.Car}}^{\text{smooth}}$. We call that y (or x) has potentially good reduction at a prime q if E has potentially good reduction at q .

PROPOSITION (3.1): *Under the notation as above. If $p \neq 13$ (≥ 11), y has potentially good reduction at the rational prime $q \neq 2$.*

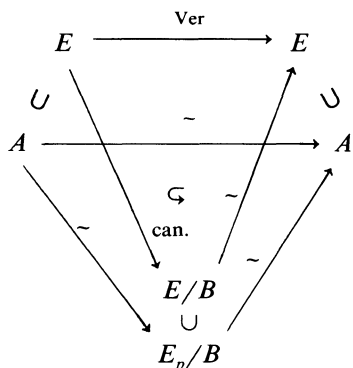
PROOF: Denote by $0, y_i$ ($1 \leq i \leq (p-1)/2$) the cuspidal sections of $\mathcal{X}_{\text{split}}$ which are the images of $\{0, \infty\}$ and $\{x_i, x_{p-1}\}$, respectively. If y does not have potentially good reduction at a rational prime q , then $y \otimes \mathbb{F}_q = 0 \otimes \mathbb{F}_q$ or $= y_i \otimes \mathbb{F}_q$ for an integer i . The latter case occurs only when $q \equiv \pm 1 \pmod{p}$. Denote also by C the cyclic subgroup of the image of the cuspidal subgroup $C = \langle \text{cl}((0) - (\infty)) \rangle$ by the natural morphism of J onto \bar{J} , see (2.1). Then $\tilde{g}(y) \otimes \mathbb{Z}[1/2] \in C_{/\mathbb{Z}[1/2]} \simeq (C/nC)_{/\mathbb{Z}[1/2]}$, see loc. cit.. If $y \otimes \mathbb{F}_q = 0 \otimes \mathbb{F}_q$, Then $\tilde{g}(y) = 0$. If $y \otimes \mathbb{F}_q = y_i \otimes \mathbb{F}_q$, then $\tilde{g}(y) =$ the image of $\text{cl}((0) - (\infty))$. Then by Proposition (2.5), $y = 0$ or $= y_i$, which is a contradiction (see [11] Corollary (4.3)). □

LEMMA (3.2): *Under the notation as above. The sections x and $w(x)$ are not \mathbb{Q} -rational and the prime p splits in k .*

PROOF: The modular curve $X_0(p^2)$ is isomorphic over \mathbb{Q} to $X_{\text{sp.Car}} = X_{\text{sp.Car}}(p): (E, A) \mapsto (E/A_p, A/A_p, E_p/A_p)$, where $A_p = \ker(p: A \rightarrow A)$. For the primes p (≥ 7), $X_0(p^2)(\mathbb{Q}) = \{0, \infty\}$, see [11], [6,7], [13]. Therefore, x and $w(x)$ are not \mathbb{Q} -rational and $w(x) = x^\sigma$ for $1 \neq \sigma \in \text{Gal}(k/\mathbb{Q})$. If p ramifies in k , then $w(x) \otimes \mathbb{F}_p = x^\sigma \otimes \mathbb{F}_p = x \otimes \mathbb{F}_p$. If p remains prime in k , then $w(x) \otimes \mathbb{F}_{p^2} = x^\sigma \otimes \mathbb{F}_{p^2} = (x \otimes \mathbb{F}_{p^2})^{(p)}$, where $(x \otimes \mathbb{F}_{p^2})^{(p)}$ is the image of $x \otimes \mathbb{F}_{p^2}$ by the Frobenius map: $\mathcal{X}_{\text{sp.Car}} \otimes \mathbb{F}_p \rightarrow \mathcal{X}_{\text{sp.Car}} \otimes \mathbb{F}_p$. The irreducible components Z_1, Z'_1 and E_{red} are \mathbb{F}_p -rational, see §1 (1.1). In both cases above, $x \otimes \mathbb{F}_{p^2}$ is a section of E , see loc.cit. But, $x \otimes \mathbb{F}_{p^2}$ is a section of $Z_1^h \cup Z'^h_1$, see (1.4). □

PROPOSITION (3.3): *Let x and $w(x)$ be the sections as above for a rational prime $p \neq 13$ (≥ 11) and g the morphism of $\mathcal{X}_{\text{sp.Car}}^{\text{smooth}}$ to $J_{/\mathbb{Z}}$ defined in §2: $(E, A, B) \mapsto cl((E, A) - (E/B, E_p/B))$. Then $g(x) \otimes \mathbb{F}_p = g(w(x)) \otimes \mathbb{F}_p = 0$.*

PROOF: By Corollary (1.4), $x \otimes \mathbb{F}_p$ and $w(x) \otimes \mathbb{F}_p$ are the sections of $Z_1^h \cup Z_1'^h$, see (3.2) above. We may assume that $x \otimes \mathbb{F}_p$ is a section of Z_1^h , changing x by $w(X)$ if necessary. Then there exists an elliptic curve E defined over \mathbb{F}_p such that the triple $(E, \ker(\text{Frob}), \ker(\text{Ver}))$ represents $x \otimes \mathbb{F}_p$ and $(E, \ker(\text{Ver}), \ker(\text{Frob}))$ represents $w(x) \otimes \mathbb{F}_p$, where Frob is the Frobenius map: $E \rightarrow E = E^{(p)}$ and Ver is the Verschiebung: $E = E^{(p)} \rightarrow E$. Put $A = \ker(\text{Frob})$ and $B = \ker(\text{Ver})$. Then (E, A) represents $\pi(x) \otimes \mathbb{F}_p$ and $(E/B, E_p/B)$ represents $w_p \pi w(x) \otimes \mathbb{F}_p$. The following diagram is commutative:



i.e., $(E, A) \xrightarrow{\sim} (E/B, E_p/B)$. Therefore $\pi(x) \otimes \mathbb{F}_p = w_p \pi w(x) \otimes \mathbb{F}_p$. Then $g(x) \otimes \mathbb{F}_p = g(w(x)) \otimes \mathbb{F}_p = 0$. \square

COROLLARY (3.4): *Under the notation and the assumption on p as above. Let g, \tilde{g} be the morphisms defined in §2. Then $\tilde{g}(y) = 0$. If the Mordell-Weil group of J^- is finite, then $g^-(y) = 0$.*

PROOF: By Theorem (2.1), $\tilde{g}(y) \otimes \mathbb{Z}_p$ is a section of the finite étale subgroup which is the image of $C_{/\mathbb{Z}_p} \xrightarrow{\sim} (\mathbb{Z}/n\mathbb{Z})_{/\mathbb{Z}_p}$, see (2.1). Then $\tilde{g}(y) = 0$, see (3.3) above. If the Mordell-Weil group of J^- is finite, then $g^-(y) \otimes \mathbb{Z}_p$ is a section of the image of $C_{/\mathbb{Z}_p}$ see (2.1). \square

REMARK (3.5): By this corollary (3.4), we see that $y \otimes \mathbb{F}_p \neq y_i \otimes \mathbb{F}_p$ for all rational primes q . Because, $g(y_i)$ = the image of the generator $cl((0) - (\infty))$ of C , which is of order $n = \text{num}((p - 1)/12)$, see (2.1).

COROLLARY (3.6): *If $p \equiv 1 \pmod{8}$, then y has potentially good reduction at $q = 2$.*

PROOF: If y does not have potentially good reduction at $q=2$, then $y \otimes \mathbb{F}_2 = 0 \otimes \mathbb{F}_2$. The morphism $\text{Cot}(\pi); \text{Cot}_0 \mathcal{X} \otimes \mathbb{Z}_2 \xrightarrow{\sim} \text{Cot}_0 \mathcal{X}_{\text{sp.Car}} \otimes \mathbb{Z}_2$ is an isomorphism and $\text{Cot}(w_p \pi w); \text{Cot}_0 \mathcal{X} \otimes \mathbb{Z}_2 \longrightarrow \text{Cot}_0 \mathcal{X}_{\text{sp.Car}} \otimes \mathbb{Z}_2$ is a 0-map, see (2.5). It is enough to show that there exists a form $\omega \in u^*(\text{Cot } \tilde{J}_{/\mathbb{Z}_2})$ such that $\omega(0 \otimes \mathbb{F}_2) \neq 0$, where $u: J_{/\mathbb{Z}_2} \longrightarrow \tilde{J}_{/\mathbb{Z}_2}$ is the natural morphism. The cyclic subgroup $C_{/\mathbb{Z}_2}$ contains the multiplicative group μ_{p/\mathbb{Z}_2} , see (2.2). Consider the morphism $u \otimes \mathbb{Z}_2$:

$$\begin{array}{ccc} J_{/\mathbb{Z}_2} & \longrightarrow & \tilde{J}_{/\mathbb{Z}_2} \\ \cup & & \cup \\ \mu_{2/\mathbb{Z}_2} & \xrightarrow{\sim} & \mu_{2/\mathbb{Z}_2}. \end{array}$$

By Theorem (1.2) and (2.1), $u|_{\mu_{2/\mathbb{Z}_2}}$ is an isomorphism. Then $u^*(\text{Cot } \tilde{J}_{/\mathbb{Z}_2}) \otimes \mathbb{F}_2 \neq \{0\}$, which is a $\mathbb{T} = \mathbb{Z}[T, w_p]_{l \neq p}$ -module. Using the q -expansion principle (see [11] §3), we get a desired form. \square

To prove the main theorem, we need the following result of Ogg [14] Satz 1.

THEOREM (3.7) (Ogg, loc. cit.): *Let p be a prime number such that the genus $g_0(p)$ of $X = X_0(p) \geq 2$. Then the group $\text{Aut } X_0(p)$ of automorphisms of $X \otimes \mathbb{C} = \langle w_p \rangle$, provided $p \neq 37$.*

REMARK (3.8): $\text{Aut } X_0(37) \xrightarrow{\sim} \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$, see loc.cit., [12] §5.

THEOREM (3.9): *Let $p = 11$ or $p \geq 17$ be a prime number such that the Mordell-Weil group of $J^- = J_0^-(p)$ is of finite order. Then $X_{\text{split}}(p)(\mathbb{Q})$ consists of the cusps and the C.M. points.*

PROOF: Let y be a non cuspidal \mathbb{Z} -section of $\mathcal{X}_{\text{split}} = \mathcal{X}_{\text{split}}(p)$ and x a section of the fibre $(\mathcal{X}_{\text{sp.Car}})_y$. Let $(E, \{A, B\}) (/ \mathbb{Q})$ be a pair which represents y (see [3] VI Proposition (3.2)). Denote by $g_+ = g_+(p)$ the genus of $X_0^+(p) = X_0(p) / \langle w_p \rangle$. If $g_+ = 0$, then $J = J^-$, which has the Mordell-Weil group of finite order (see [10] p. 40, [21] Table 5 pp. 135–141). By Corollary (3.4), $0 = g(x) = cl((\pi(x)) - (w_p \pi w(x)))$. Then $\pi(x) = w_p \pi w(x)$, because $g_0(p) \geq 1$ for $p = 11$ and $p \geq 17$. Then $E \xrightarrow{\sim} E/B (/ \mathbb{Q})$, hence E is an elliptic curve with complex multiplication. If $g_+ > 0$, by Corollary (3.4), $0 = (1 - w_p)g(x) = cl((\pi(x)) + (\pi w(x)) - (w_p(\pi(x)) - (w_p \pi w(x))))$. Then there exists a rational function f on $X_0(p)$ whose divisor $(f) = (\pi(x)) + (\pi w(x)) - (w_p \pi(x)) - (w_p \pi w(x))$. If the degree of $f \leq 1$, by the same way as above, we see that y is a C.M. point. If the degree of $f = 2$, then $X_0(p)$ has the hyperelliptic involution γ such that $\gamma \pi(x) = \pi w(x)$. By Theorem (3.7) above, such a γ exists only when $p = 37$ ($g_0(p) \geq 2$). \square

§4. Effective bound of rational points

In this section, we estimate the number of the \mathbb{Q} -rational points on $X_{\text{split}} = X_{\text{split}}(p)$ for $p \geq 17$. Let y be a non cuspidal \mathbb{Q} -rational point on $X_{\text{split}}(p \geq 17)$ and $x, w(x)$ the sections of the fibre $(X_{\text{sp.Car}})_y$, which are defined over a quadratic field k . The rational prime p splits in k and $x, w(x)$ become \mathbb{Z}_p -sections of the smooth part of $\mathcal{X}_{\text{sp.Car}}$, see (1.4), (3.2). Let \tilde{g} (resp. g) be the morphism of $\mathcal{X}_{\text{split}}^{\text{smooth}}$ (resp. $\mathcal{X}_{\text{sp.Car}}^{\text{smooth}}$) to the Néron $\tilde{J}_{/\mathbb{Z}}$ (resp. $J_{/\mathbb{Z}}$) and $u: J_{/\mathbb{Z}} \rightarrow \tilde{J}_{/\mathbb{Z}}$ the natural morphism as in §2. Then $\tilde{g}(y) = ug(x) = ug(w(x)) = 0$, see (3.4). Denote by $l(p)$ the number of the \mathbb{Z}_p -sections x of $\mathcal{X}_{\text{sp.Car}}$ which satisfy the following conditions $(C_1), (C_2)$:

(C_1) $x \otimes \mathbb{Q}_p$ are neither cusps nor C.M. points.

(C_2) $x \otimes \mathbb{F}_p$ are sections of Z_1^h (see §1(1.1)) and $ug(x) = 0$.

One of the sections x and $w(x)$ of the fibre $(\mathcal{X}_{\text{sp.Car}})_y$ satisfies the condition (C_2) . If a \mathbb{Z}_p -section x of $\mathcal{X}_{\text{sp.Car}}$ satisfies the condition (C_2) and $x \otimes \mathbb{F}_p = 0 \otimes \mathbb{F}_p$, then x is the cusp 0, see (2.5). Denote by $n(p)$ the number of the \mathbb{Z} -sections of $\mathcal{X}_{\text{split}}$ whose generic fibres are neither cusps nor C.M. points. Then $n(p) \leq l(p)$. Estimating $l(p)$, we get the following.

THEOREM (4.1): $n(p) \leq \dim J - \dim \tilde{J}$ for $p \geq 17$.

Example: $l(37) = 1$, see (5.A).

For a point $z \in Z^h(\mathbb{F}_p), z \neq 0 \otimes \mathbb{F}_p$,

$$m(z) = \text{Minimum}_{\omega \in \text{Cot } \tilde{J}_{/\mathbb{Z}_p} \otimes \mathbb{F}_p} \{ \text{the order of zero of } \omega \text{ at } z \}.$$

Let $l(z) = l(p, z)$ be the number of the \mathbb{Z}_p -sections of $\mathcal{X}_{\text{sp.Car}}$ which satisfy the conditions $(C_1), (C_2)$ above and

$$(C_z) \pi(x) \otimes \mathbb{F}_p = z,$$

where $\pi: \mathcal{X}_{\text{sp.Car}} \rightarrow \mathcal{X} = \mathcal{X}_0(p)$ is the canonical morphism (see §1). We estimate $l(p)$ by the following way. Firstly, we show that there exist at most $m(z) + 1$ \mathbb{Z}_p -sections of $\mathcal{X}_{\text{sp.Car}}$ which satisfy the conditions $(C_2), (C_z)$ above. Secondly, we show that the Deuring lifting (see e.g., [8] Part 13§5) satisfies the conditions $(C_2), (C_z)$ above. Then $l(z) \leq m(z)$ for $z \in Z^h(\mathbb{F}_p), z \neq 0 \otimes \mathbb{F}_p$. Finally, using the Riemann-Roch theorem, we estimate $\sum_z m(z)$.

LEMMA (4.2): $l(z) \leq m(z)$.

PROOF: Let x be a \mathbb{Z}_p -section of $\mathcal{X}_{\text{sp.Car}}$ which satisfies the conditions $(C_2), (C_z)$ for $z \in Z^h(\mathbb{F}_p), z \neq 0 \otimes \mathbb{F}_p$. The morphism $ug = uC_1 - uC_p$ (see

§2) is defined by

$$\begin{aligned} \mathcal{X}_{\text{sp.Car}} \otimes \mathbb{Z}_p^{\text{smooth}} &\xrightarrow{\pi \times w, \pi w} \mathcal{X} \otimes \mathbb{Z}_p^{\text{smooth}} \times \mathcal{X} \otimes \mathbb{Z}_p^{\text{smooth}} \\ &\rightarrow \tilde{J}_{/\mathbb{Z}_p} \times \tilde{J}_{/\mathbb{Z}_p} \rightarrow \tilde{J}_{/\mathbb{Z}_p} \\ (\text{the cusp } 0) &\mapsto 0, (x_1, x_2) \mapsto x_1 - x_2 \end{aligned}$$

Consider the morphism uC_1 of $\mathcal{X}_{\text{sp.Car}} \otimes \mathbb{Z}_p^{\text{smooth}}$ to $\tilde{J}_{/\mathbb{Z}_p}$:

$$\begin{array}{ccc} (uC_1)^*: \widehat{\mathcal{O}_{\tilde{J}_{/\mathbb{Z}_p}, uC_1(x)}} &\rightarrow & \widehat{\mathcal{O}_{\mathcal{X}_{\text{sp.Car}} \otimes \mathbb{Z}_p, x}} \\ \parallel & & \parallel \\ \mathbb{Z}_p[[t_1, \dots, t_{\tilde{g}}]] & & \mathbb{Z}_p[[q]] \end{array}$$

where $\tilde{g} = \dim \tilde{J}$. By Proposition (2.3) and by the fact that π is isomorphic formally along the section x (see §1), we see that for an integer i , $1 \leq i \leq \tilde{g}$,

$$(uC_1)^*(t_i) \equiv a_m q^m + a_{m+1} q^{m+1} + \dots \pmod{p}$$

with $m = m(z) + 1$ and $a_m \in \mathbb{Z}_p^\times$. Similarly, we see that $(uC_p)^*(t_i) \equiv a'_{pm} q^{pm} + a'_{pm+1} q^{pm+1} + \dots \pmod{p}$ with $a'_{pm} \in \mathbb{Z}_p^\times$, see (1.1), (2.5). By the condition (C_2) , $uC_1(x) = uC_p(x)$. $\tilde{J}_{/\mathbb{Z}_p} \otimes \mathbb{F}_p$ is a split torus $\mathbb{G}_m \times \dots \times \mathbb{G}_m = \text{Spec } \mathbb{F}_p[u_1, u_1^{-1}, \dots, u_{\tilde{g}}, u_{\tilde{g}}^{-1}]$ (see [15], [10] Appendix). The section $uC_1(x) \otimes \mathbb{F}_p = uC_p(x) \otimes \mathbb{F}_p$ is defined by $(u_1, \dots, u_{\tilde{g}}) = (c_1, \dots, c_{\tilde{g}})$ for $c_i \in \mathbb{F}_p^\times$. Let v be the morphism: $\tilde{J}_{/\mathbb{Z}_p} \times \tilde{J}_{/\mathbb{Z}_p} \rightarrow \tilde{J}_{/\mathbb{Z}_p}$, $(x_1, x_2) \mapsto x_1 - x_2$. Then $v^*(u_j - 1) = c_j^{-1}(u_j \otimes 1 - c_j) + c_j(1 \otimes u_j^{-1} - c_j^{-1}) + (u_j \otimes 1 - c_j)(1 \otimes u_j^{-1} - c_j^{-1})$. For an integer k , $(ug)^*(u_k - 1) = c_k^{-1} b_m q^m + \dots$ with $b_m \in \mathbb{F}_p^\times$. Then $(ug)^*(t_i) \equiv b'_m q^m + \dots \pmod{p}$ with $b'_m \in \mathbb{Z}_p^\times$. In the following, we show that there exists a C.M. point satisfying the conditions (C_2) , (C_z) . Let $E(/F_p)$ be an elliptic curve with the modular invariant $j(E) = j(z)$. Then the triple $(E, \ker(\text{Ver}), \ker(\text{Frob}))$ represents $x \otimes \mathbb{F}_p$, see §1(1.1). Let F be the Deuring lifting of E (see e.g., [8] Part 13 §5), which is defined over a subfield K of \mathbb{Q}_p^{ur} (see loc. cit., Theorem 13). Let $\alpha, \bar{\alpha}$ be the endomorphisms of F such that $\alpha \otimes \bar{\mathbb{F}}_p = \text{Ver}$ and $\bar{\alpha} \otimes \bar{\mathbb{F}}_p = \text{Frob}$ (see loc.cit., Theorem 12). Put $A = \ker(\alpha : F \rightarrow F)$ and $B = \ker(\bar{\alpha} : F \rightarrow F)$. Then the triple (F, A, B) represents a \mathcal{O}_K -section \tilde{x} of $\mathcal{X}_{\text{sp.Car}}$ such that $\tilde{x} \otimes \bar{\mathbb{F}}_p = x \otimes \bar{\mathbb{F}}_p$. By the same way as in Proposition (3.3), we can see that $(F/B, F_p/B) \sim (F, A)$. Then, $g(\tilde{x}) \equiv 0$. The rest of this lemma owes to the following sublemma.

SUBLEMMA (4.3): *Let $f(t) = \sum_{n \geq 1} a_n t^n$ be a formal power series with $a_n \in W(\bar{\mathbb{F}}_p)$. Suppose that $f(t) \equiv a_r t^r + \dots \pmod{p}$ with $a_r \not\equiv 0 \pmod{p}$. Then there are at most r solutions of $f(t) = 0$ in $pW(\bar{\mathbb{F}}_p)$. If $r = 2$ and $a_1 \neq 0$, there exist two solutions of $f(t) = 0$ in $pW(\bar{\mathbb{F}}_p)$. \square*

PROOF OF THEOREM (4.1): By Lemma (4.2), $l(p) \leq m(p) = \Sigma m(z)$. Put $g = g_0(p) = \dim J$, $\tilde{g} = \tilde{g}_0(p) = \dim \tilde{J}$ and let $g_+ = g_+(p)$ be the genus of $X_0^+(p) = X_0(p)/\langle w_p \rangle$. Let α_i ($1 \leq i \leq r = g - 2g_+ + 1$) be the \mathbb{F}_p -rational supersingular points and $\beta_i, \beta_i^{(p)}$ ($1 \leq i \leq g_+$) the non \mathbb{F}_p -rational supersingular points on $\mathcal{X} \otimes \mathbb{F}_p$. Put $D_1 = \Sigma_i(\alpha_i)$, $D_2 = \Sigma_i(\beta_i) + \Sigma_i(\beta_i^{(p)})$ and $D_0 = \Sigma_z m(z)(z)$. Then $\text{Cot } \tilde{J}_{/\mathbb{Z}_p} \otimes \bar{\mathbb{F}}_p$ can be regarded as a \tilde{g} -dimensional subspace of $H^0(Z' \otimes \bar{\mathbb{F}}_p, \Omega^1(-D_0 + D_1 + D_2))$ (see [11] Corollary (1.1), [3] p. 162 (2.3)). For an effective divisor $D < D_1 + D_2$, put $V(D) = \text{Cot } \tilde{J}_{/\mathbb{Z}_p} \otimes \bar{\mathbb{F}}_p \cap H^0(Z' \otimes \bar{\mathbb{F}}_p, \Omega^1(-D_0 + D))$ and let S be the set of the divisors $\{D < D_1 + D_2 \mid D > 0, V(D) \neq \{0\}\}$. Take a divisor $D_{(1)} \in S$ such that $\deg D_{(1)} \leq \deg D$ for all $D \in S$. Then $\deg D_{(1)} \geq m(p) + 2$. The fundamental involution w_p acts by (-1) on $\text{Cot } \tilde{J}_{/\mathbb{Z}_p} \otimes \bar{\mathbb{F}}_p$ and $w_p(\beta_i) = \beta_i^{(p)}$ (see [15], [10] Appendix), so that if $\omega \in \text{Cot } \tilde{J}_{/\mathbb{Z}_p} \otimes \bar{\mathbb{F}}_p$ has a pole at β_i (resp. $\beta_i^{(p)}$), then ω has also a pole at $\beta_i^{(p)}$ (resp. β_i). Therefore, $\dim V(D + (\beta_i) + (\beta_i^{(p)})) \leq \dim V(D) + 1$ for $D < D_1 + D_2$. We can choose the divisors $D_{(1)} < D_{(2)} < \dots < D_{(\tilde{g})}$ such that $D_{(i)} \in S$ and $\dim V(D_{(i)}) = i$ for the integers $i, 1 \leq i \leq \tilde{g}$. Put $D_{(1)} = E + F$ with $E < D_1$ and $F < D_2$, and let $s, 2t$ be the degrees of E and F , respectively. Then $\tilde{g} = \dim \tilde{J}_{/\mathbb{Z}_p} \otimes \bar{\mathbb{F}}_p \leq (g - 2g_+ + 1) - s + (g_+ - t) + 1$. Therefore, we get the following:

$$s + t \leq g - g_+ - \tilde{g} + 2$$

$$0 \leq s \leq g - 2g_+ + 1$$

$$0 \leq t \leq g_+$$

$$m(p) + 2 \leq s + 2t$$

$$l(p) \leq m(p).$$

In particular, $l(p) \leq g - \tilde{g}$. \square

§5. Further results

We here discuss the cases for $p = 13$ and 37 .

(5.A) A result for $p = 37$

Let $f_+ = q - 2q^2 - 3q^3 + \dots$ (resp. $f_- = q + q^3 + \dots$) be the new form on $\Gamma_0(37)$ of weight 2 with the eigen value $+1$ (resp. -1) of w_{37} , see [1]. Put $\omega_+ = f_+ dq/q$ and $\omega_- = f_- dq/q$, which are basis of $H^0(\mathcal{X}, \Omega)$ ($\mathcal{X} \rightarrow \text{Spec } \mathbb{Z}$ is regular ($p = 37$), see [3] VI §6). On $Z \simeq \mathcal{X}_0(1) \otimes \mathbb{F}_{37} = \mathbb{P}^1(j) \otimes \mathbb{F}_{37}$,

$$\omega_+ = \frac{-dj}{j^2 - 6j - 6} \quad \omega_- = \frac{-(j-6)dj}{(j^2 - 6j - 6)(j-8)}$$

(see [3] p. 162 (2.3), [21] Table 6, pp. 142–144). There are at most two \mathbb{Z}_{37} -section of $\mathcal{X}_{\text{sp.Car}} = \mathcal{X}_{\text{sp.Car}}(37)$ which satisfy the condition (C_2) and (C_z) for the point $z \in \mathbb{Z}^h$ with the modular invariant $j(z) = 6$. One of them is the Deuring lifting of z whose ring of endomorphisms $\mathcal{O} = \mathbb{Z}[(-1 + 7\sqrt{-3})/2]$. The class number of the order \mathcal{O} is two (e.g., [8] Part 8 Theorem 7). The modular curve $X_0(37)$ is defined by the equation:

$$Z^2 = -f^6 - 9f^4 - 11f^2 + 37,$$

where $f = f_+/f_-$ and $Z = 1 + q + \dots$ ($q = \exp(2\pi\sqrt{-1}z)$, see [12] §5). The fundamental involution w_{37} acts by $w_{37}^*(Z, f) = (Z, -f)$ and the hyperelliptic involution S acts by $S^*(Z, f) = (-Z, f)$, see loc.cit.. Let \tilde{z} be the Deuring lifting of $z \in \mathbb{Z}^h$ with the modular invariant $j(\tilde{z}) \equiv 6 \pmod{37}$. Let K be the Hilbert class field associated with \mathcal{O} . The rational prime 37 splits in K . Fix an embedding of K into \mathbb{Q}_{37} . For $\tau \in \text{Gal}(K/\mathbb{Q})$, $ug(\tilde{z}^\tau) = (ug(\tilde{z}))^\tau = 0$ and $\tilde{z}^\tau \otimes \mathbb{F}_{37}$ is a section of $Z_1^h \cup Z_1^h$, see (1.1), (1.4). Choose $\tau_i \in \text{Gal}(K/\mathbb{Q})$ ($\tau_i = \text{id.}$, $i = 1, 2$) such that $\tilde{z}^{\tau_i} \otimes \mathbb{F}_{37}$ are the sections of Z_1^i . Then $\tilde{z}_i = \tilde{z}^{\tau_i}$ satisfy the condition (C_2) in §4. By the uniqueness of the Deuring lifting (see [8] Part 13 Theorem (13)), the modular invariant $j(\tilde{z}_2) \equiv 6 \pmod{37}$. Put $\omega = (ug)^*(\omega_-)$. Then $\omega(\tilde{z}_1 \otimes \mathbb{F}_{37}) = 0$ and $\omega(\tilde{z}_2 \otimes \mathbb{F}_{37}) \neq 0$. Therefore, $\omega(z_1) \neq 0$ (, because if $\omega(\tilde{z}_1) = 0$, then $\omega(\tilde{z}_2) = \omega(\tilde{z}_1)^{\tau_2} = 0$). There exists a \mathbb{Z}_{37} -section of $\mathcal{X}_{\text{sp.Car}}(37)$ which satisfies the conditions (C_1) , (C_2) , see (4.2), (4.3). We here discuss it. Put $\tau = \exp(2\pi\sqrt{-1}/3)$, $\tau_1 = 1 - 10\tau$, $\tau_2 = 1 + 11\tau$, $L = \mathbb{Z} + \mathbb{Z}\tau$ and $E = \mathbb{C}/L$. Denote by δ_0, δ_∞ and δ_i ($1 \leq i \leq 36$) the points on $X_0(37)$ which are represented by the pairs $(E, (\frac{1}{37}\mathbb{Z}\tau_1 + L)/L)$, $(E, (\frac{1}{37}\mathbb{Z}\tau_2 + L)/L)$ and $(E, (\frac{1}{37}\mathbb{Z}(\tau_1 + i\tau_2) + L)/L)$, respectively. Let H be the subgroup of $(\mathbb{Z}/37\mathbb{Z})^\times$ generated by 11 mod 37. Then $\delta_i = \delta_j$ if and only if $i \equiv j \pmod{H}$. Let ϵ_\pm be the points defined by $(f^{-1}, f^{-3}Z) = (0, \pm\sqrt{-1})$. The field of rational functions on $X_0(37)$ is $\mathbb{Q}(j(z), j(37z))$. The divisors of the rational functions $j(z)$, $f - 1$ and $f + 1$ are $(j(z)) = (\delta_0) + (\delta_\infty) + 3\sum_{i \pmod{H}} (\delta_i) - (\infty) - 37(0)$, $(f - 1) = (\infty) + (\gamma_\infty) - (\epsilon_+) - (\epsilon_-)$ and $(f + 1) = (0) + (\gamma_0) - (\epsilon_+) - (\epsilon_-)$, where $\gamma_\infty = S(\infty)$ and $\gamma_0 = S(0)$. We can easily see that $\mathbb{Z}[1/2 \cdot 37, X, Y]/(X^2 + Y^6 + 9Y^4 + 11Y^2 - 37)$ is smooth. Then the modular function $j(z)$ is of the form

$$j(z) = \frac{p(f) + q(f)Z}{(f - 1)(f + 1)^{37}}$$

with some polynomials $p(Y), q(Y) \in \mathbb{Q}[Y]$. The points defined by $(Z, f) = (\pm\sqrt{37}, 0)$ correspond to the elliptic curves $(/\mathbb{Q}(\sqrt{37}))$ with complex multiplication, so that $q(0) \neq 0$. The cusps $\infty, 0$ are defined respectively by $(Z, f) = (4, 1)$ and $(4, -1)$, so that $p(1) + 4q(1) \neq 0$ and $p(-1) + 4q(-1) \neq 0$. The non cuspidal points γ_∞, γ_0 are defined respectively by $(Z, f) = (-4, 1)$ and $(-4, -1)$, so that $p(1) - 4q(1) =$

$p(-1) - 4q(-1) = 0$. Therefore, $q(\pm 1) \neq 0$. The special fibre $\epsilon_{\pm} \otimes \mathbb{F}_{37}$ of the fixed points ϵ_{\pm} of Sw_{37} is the supersingular point ($/\mathbb{F}_{37}$). $X_0(37)(\mathbb{Q}) = \{0, \infty, \gamma_0, \gamma_{\infty}\}$, see [12] §5. For the rational points on $X_{\text{split}}(37)$, we get the following.

PROPOSITION (5.1): *If $n(37) = 1$, then there exists a \mathbb{Q} -rational solution of the equation $q(Y) = 0$. Conversely, if $q(Y) = 0$ has a \mathbb{Q} -rational solution, then $n(37) = 1$.*

PROOF: Firstly, suppose that there exists a \mathbb{Q} -rational point y on $X_{\text{split}}(37)$ which is neither a cusp nor a C.M. point. Let $x, w(x)$ be the sections of the fibre $(X_{\text{sp.Car}})_y$, which are defined over a quadratic field k and $w(x) = x^{\sigma}$ for $1 \neq \sigma \in \text{Gal}(k/\mathbb{Q})$, see (3.2). As was seen in the proof of Theorem (3.9), there exists a rational function $g(/\mathbb{Q})$ on $X_0(37)$ of degree 2 whose divisor $(g) = (\pi(x)) + (\pi w(x)) - (w_{37}\pi(x)) - (w_{37}\pi w(x))$. Then $S\pi(x) = \pi w(x) (= \pi(x)^{\sigma})$, so that $a = f(\pi(x)) \in \mathbb{Q}$ (and $a \neq \pm 1$). Let $b (\in k)$ be the square root of $-a^6 - 9a^4 - 11a^2 + 37$. We may assume that the points $\pi(x), \pi w(x)$ are defined by $(Z, f) = (b, a)$ and $(-b, a)$, respectively. The modular invariant $j(\pi(x)) = j(\pi w(x))$ of $\pi(x)$ and $\pi w(x) = S\pi(x)$ is written by $\{p(a) + q(a)b\}/(a-1)(a+1)^{37} = \{p(a) - q(a)b\}/(a-1)(a+1)^{37}$. Hence, $q(a) = 0$. Conversely, suppose that the equation $q(Y) = 0$ has a solution $Y = a \in \mathbb{Q}$. Let $z, S(z)$ be the points on $X_0(37)$ which are defined by $(Z, f) = (b, a)$ and $(-b, a)$ for a square root b of $-a^6 - 9a^4 - 11a^2 + 37$. As $a \neq \pm 1$, so that $\mathbb{Q}(b)$ is a quadratic field and $z \neq S(z)$, $S(z) = z^{\sigma}$ for $1 \neq \sigma \in \text{Gal}(\mathbb{Q}(b)/\mathbb{Q})$. The modular invariant $j(z) = j(z^{\sigma}) \in \mathbb{Q}$. If z is a C.M. point, then z is represented by an elliptic curve $E (/ \mathbb{Q})$ with $\mathbb{Q}(b)$ -rational subgroup A of rank 37. Then z^{σ} is represented by the pair (E, A^{σ}) , and $(E, A^{\sigma}) \sim (E/A, E_{37}/A)$, i.e., $z^{\sigma} = w_{37}(z)$. As noted before, $a \neq 0$, so that z is not a C.M. point. Let F be an elliptic curve defined over \mathbb{Q} with the modular invariant $j(F) = j(z)$, and ρ the representation of the Galois action of $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ on the 37-torsion points $F_{37}(\overline{\mathbb{Q}})$. There is a quadratic extension K of $\mathbb{Q}(b)$ such that $\rho(\text{Gal}(\overline{\mathbb{Q}}/K))$ is contained in a Borel subgroup ($\subset GL_2(\mathbb{F}_{37})$). Then $\rho(\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}))$ is contained in a Borel subgroup or in the normalizer of a split Cartan subgroup, see [19] §2, [9] §2 p. 120. The first case does not occur, because z is not \mathbb{Q} -rational. \square

(5.B) Some results for $p = 13$

Because of the fact that $X_0(13) \sim \mathbb{P}^1$, we can not apply the same method as for the other primes $p \geq 11$. We here discuss the case $p = 13$ under additional conditions. Let y be a non cuspidal \mathbb{Q} -rational point on $X_{\text{split}}(13)$, which is represented by a pair $(E, \{A, B\})$ for an elliptic curve defined over \mathbb{Q} . Then the triple (E, A, B) represents a point on $X_{\text{sp.Car}}(13)$, which is defined over a quadratic field k , see (3.2). Consider the represen-

tation ρ_2 of the Galois action of $G = \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ on the 2-torsion points $E_2(\overline{\mathbb{Q}})$. If y is a C.M. point, then $\rho_2(G_k) \not\subseteq GL_2(\mathbb{F}_2)$, where $G_k = \text{Gal}(\overline{\mathbb{Q}}/k)$. We set the following condition (C):

$$(C) \quad \rho_2(G_k) \not\subseteq GL_2(\mathbb{F}_2).$$

Under the condition (C) above, there occur the following three cases:

$$(C-1) \quad \rho_2(G) \simeq \mathbb{Z}/2\mathbb{Z}.$$

$$(C-2) \quad \rho_2(G) \subsetneq \mathbb{Z}/3\mathbb{Z}.$$

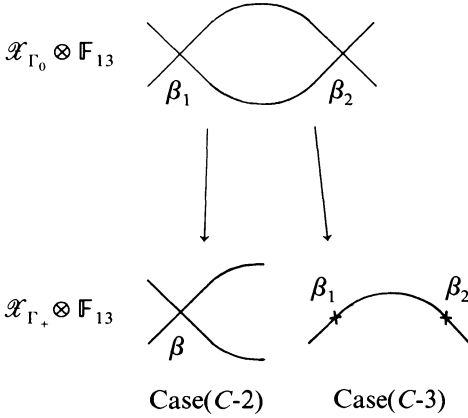
$$(C-3) \quad \rho_2(G) \simeq GL_2(\mathbb{F}_2) \quad \text{and} \quad \rho_2(G_k) \simeq \mathbb{Z}/3\mathbb{Z}.$$

Denote by \mathcal{X}_Γ the modular curve ($/\mathbb{Z}$) corresponding to the finite adèlic modular group $\Gamma \subset GL_2(\hat{\mathbb{Z}})$ (see §1(1.1)), and put $X_\Gamma = \mathcal{X}_\Gamma \otimes \mathbb{Q}$. In the case (C-1), let Γ_0, Γ_1 and Γ respectively the modular groups $\Gamma_0 = \Gamma_0(26)$, $\Gamma_1 = \Gamma_0(2) \cap \Gamma_{\text{sp.Car}}(13)$ and $\Gamma = \Gamma_0(2) \cap \Gamma_{\text{split}}(13)$. In the case (C-2) (resp. (C-3)), let Γ_0, Γ_1 and Γ respectively the modular groups $\Gamma_0 = \Gamma_{\text{non.sp.Car}}(2) \cap \Gamma_0(13)$, $\Gamma_1 = \Gamma_{\text{non.sp.Car}}(2) \cap \Gamma_{\text{sp.Car}}(13)$ and $\Gamma = \Gamma_{\text{non.sp.Car}}(2) \cap \Gamma_{\text{split}}(13)$ (resp. $\Gamma = \left\langle \Gamma_1, \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \right\rangle$), where $\Gamma_{\text{non.sp.Car}}(2) = \{g \in GL_2(\hat{\mathbb{Z}}) \mid g^3 \equiv 1 \pmod{2}\}$. Under the condition (C- i), (y, E) represents a non cuspidal \mathbb{Q} -rational point on X_Γ . In the rest of this section, we prove the following.

THEOREM (5.2): *Let X_Γ be as above. Then $X_\Gamma(\mathbb{Q})$ consists of the cusps and the C.M. points.*

Define the involutions w of X_{Γ_1} by: Case (C-1): $(E, A, B, C) \mapsto (E, B, A, C)$, Case (C-2): $(E, A, B, \alpha \bmod \mathbb{F}_4^\times) \mapsto (E, B, A, \alpha \bmod \mathbb{F}_4^\times)$, Case (C-3): $(E, A, B, \alpha \bmod \mathbb{F}_4^\times) \mapsto (E, B, A, \alpha' \bmod \mathbb{F}_4^\times)$, where A, B are subgroups of rank 13, $C \simeq \mathbb{Z}/2\mathbb{Z}$ and α, α' are the 2-level structures such that $\alpha \not\equiv \alpha' \bmod \mathbb{F}_4^\times$, $\mathbb{F}_4^\times \subsetneq GL_2(\mathbb{F}_2)$. Then $X_\Gamma = X_{\Gamma_1}/\langle w \rangle$. Define the involution w_0 of X_{Γ_0} by: Case (C-1): $(E, A, C) \mapsto (E/A, E_{13}/A, (C+A)/A)$, Case (C-2): $(E, A, \alpha \bmod \mathbb{F}_4^\times) \mapsto (E, A, \alpha' \bmod \mathbb{F}_4^\times)$, Case (C-3): $(E, A, \alpha \bmod \mathbb{F}_4^\times) \mapsto (E/A, E_{13}/A, \alpha' \bmod \mathbb{F}_4^\times)$, where α, α' are the 2-level structures such that $\alpha \not\equiv \alpha' \bmod \mathbb{F}_4^\times$. Let J be the jacobian variety of X_{Γ_0} , π the canonical morphism of \mathcal{X}_{Γ_1} to \mathcal{X}_{Γ_0} and put $J^- = J/(1 + w_0)J$. In the case (C-1), X_{Γ_0} is of genus 2 and $J^-(\mathbb{Q}) \simeq \mathbb{Z}/3\mathbb{Z}$ (see [21] Table 1, pp. 81–113). In the cases (C-2) and (C-3), X_{Γ_0} is of genus 1. The modular curve $X_{\Gamma(2) \cap \Gamma_0(13)}$ is isomorphic over \mathbb{Q} to $X_0(4 \cdot 13)$ (see [3] IV Proposition (3.16): $\Gamma_0(4 \cdot 13) = g\{\Gamma(2) \cap \Gamma_0(13)\}g^{-1}$ for $g = \begin{pmatrix} 0 & -1 \\ 2 & 0 \end{pmatrix}h$

with $h \in GL_2(\hat{\mathbb{Z}})$ such that $h \equiv 1 \pmod{4}$ and $h \equiv \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \pmod{13}$. In the cases (C-2) and (C-3), the double covering $X_{\Gamma_0} \rightarrow X_0(13)$ ramifies at the cusps 0 and ∞ . The class $cl((0) - (\infty))$ is of order 2 and $J(\mathbb{Q}) \simeq \mathbb{Z}/2\mathbb{Z}$ (see [21] Table 1). Let ω be the base of $H^0(X_{\Gamma_0}, \Omega^1)$ (in the cases (C-2), (C-3)), then $w_0^* \omega = -\omega$ (see [21] Table 3 pp. 116–122), so that $J^- = J$.



where $X_{\Gamma_+} = X_{\Gamma_0} / \langle w_0 \rangle$. Define the morphism g of X_{Γ_1} to J by $\mapsto cl((\pi(x)) - (w_0 \pi w(x)))$. Then g induces the morphism g^- of X_{Γ} to J^- :

$$\begin{array}{ccc} X_{\Gamma_1} & \xrightarrow{\text{can.}} & X_{\Gamma} \\ g \downarrow & \subseteq & \downarrow g^- \\ J & \xrightarrow{\text{can.}} & J^- \end{array}$$

Denote also by g (resp. g^-) the morphism of $\mathcal{X}_{\Gamma_1}^{\text{smooth}}$ (resp. $\mathcal{X}_{\Gamma}^{\text{smooth}}$) to the Néron model $J_{/\mathbb{Z}}$ (resp. $J_{/\mathbb{Z}}^-$). The modular curve $X_0(13) \xrightarrow{j} X_0(1)$ is defined by the following equation (Fricke, see [13]):

$$j(X) = (X^2 + 5X + 13)(X^4 + 7X^3 + 20X^2 + 19X + 1)^3 / X. \tag{5.3}$$

The modular curve $X_{\text{sp.Car}}(13)$ is the normalization of the curve defined by the equation:

$$0 = \frac{j(X) - j(Y)}{X - Y}. \tag{5.4}$$

Let y be a non cuspidal \mathbb{Q} -rational point on $X_{\text{split}}(13)$ and $x, w(x)$ the sections of the fibre $(X_{\text{sp.Car}}(13))_y$, which are defined over a quadratic field k . Then $w(x) = x^\sigma$ for $1 \neq \sigma \in \text{Gal}(k/\mathbb{Q})$ (see (3.2)) and $x, w(x)$

correspond to the points defined by $(X, Y) = (a, a^\sigma)$ and (a^σ, a) for $a \in k$, respectively.

LEMMA (5.5): *Under the notation as above. Suppose that y has potentially good reduction at a prime \mathfrak{q} of k . Then $(\text{ord}_{\mathfrak{q}} a, \text{ord}_{\mathfrak{q}} a^\sigma) = (0, 0)$ if $\mathfrak{q} \nmid 13$, $= (0, 0)$, $(1, 0)$ or $(0, 1)$ if $\mathfrak{q} \mid 13$.*

PROOF: By the assumption, $\text{ord}_{\mathfrak{q}} j(y) \geq 0$. If $\mathfrak{q} \nmid 13$, by the equation (5.3) above, we can easily see that $\text{ord}_{\mathfrak{q}} a = \text{ord}_{\mathfrak{q}} a^\sigma = 0$. The rational prime 13 splits in k , see (3.2). If $\mathfrak{q} \mid 13$, $\text{ord}_{\mathfrak{q}} a, \text{ord}_{\mathfrak{q}} a^\sigma = 0$ or 1. By the equation (5.4) above, $(\text{ord}_{\mathfrak{q}} a, \text{ord}_{\mathfrak{q}} a^\sigma) \neq (1, 1)$. \square

For a rational prime q , let I_q be the inertia subgroup $\text{Gal}(\overline{\mathbb{Q}}_q/\mathbb{Q}_q^{ur})$. There exists an elliptic curve E defined over \mathbb{Q} with independent subgroups A, B of rank 13 such that the set $\{A, B\}$ is \mathbb{Q} -rational and the pair $(E, \{A, B\})$ represents y . Let ρ_4 be the representation of the Galois action of $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ on the 4-torsion points $E_4(\overline{\mathbb{Q}})$.

LEMMA (5.6): *Under the notation as above. If a rational prime q ramifies in k , then the modular invariant $j(y) \equiv 1728 \pmod{q}$. If moreover $q \neq 2$, $\rho_4(I_q)$ contains a subgroup isomorphic to $\mathbb{Z}/4\mathbb{Z}$.*

PROOF: If q ramifies in k , then $q \neq 13$ (see (3.2)) and $y \otimes \mathbb{F}_q$ is a ramification point of the double covering $\mathcal{X}_{\text{sp.Car}}(13) \otimes \mathbb{F}_q \rightarrow \mathcal{X}_{\text{split}}(13) \otimes \mathbb{F}_q$. Then $j(y) \equiv 1728 \pmod{q}$. Let ρ be the representation of the Galois action on the 13-torsion points $E_{13}(\overline{\mathbb{Q}})$. Then for a rational prime $q \neq 2, 13$, $\rho_4(I_q) \simeq \rho(I_q)(\hookrightarrow \text{SL}_2(\mathbb{F}_{13}))$ (see [19] §5). Let $q \neq 2$ be a rational prime which ramifies in k and \mathfrak{q} the prime of k lying over q with the inertial subgroup $I_{\mathfrak{q}} = \text{Gal}(\overline{k}_{\mathfrak{q}}/k_{\mathfrak{q}}^{ur})$. For $\tau \in I_{\mathfrak{q}} \setminus I_{\mathfrak{q}}$, $\rho(\tau)$ is not contained in the split Cartan subgroup $\text{Aut } A(\overline{\mathbb{Q}}) \times \text{Aut } B(\overline{\mathbb{Q}})$ and $\det \rho(\tau) = 1$. Then the order of $\rho_4(\tau)$ (= the order of $\rho(\tau)$) = 4. \square

PROOF OF THEOREM (5.2): Let y be a non cuspidal \mathbb{Q} -rational point on X_{Γ} and $x, w(x)$ the sections of the fibre $(X_{\Gamma})_y$, which are defined over a quadratic field k . By the same way as in Proposition (2.5), (3.1), we see that y has potentially good reduction at the rational prime $q = 13$.

Case (C-1): Changing x by $w(x)$, if necessary, we may assume that $x \otimes \mathbb{F}_{13}$ is represented by $(F, \ker(\text{Frob}), \ker(\text{Ver}), C)$, where F is an elliptic curve defined over \mathbb{F}_{13} and C is a subgroup of order 2 such that $\text{Frob}(C) = C$, see (1.1), (1.4), (3.2). Let $(\tilde{F}, \tilde{A}, \tilde{B})$ be the Deuring lifting of $(F, \ker(\text{Frob}), \text{Ker}(\text{Ver}))$ and α the endomorphism of \tilde{F} corresponding to Frob by the reduction map, see (4.2). Let \tilde{C} be the subgroup of \tilde{F} of rank 2 whose reduction $(\text{mod } 13) = C$. Then the reductions of \tilde{C} and $\alpha(\tilde{C}) \pmod{13}$ are $C = \text{Frob}(C)$. Then $\alpha(\tilde{C}) = \tilde{C}$. Let \tilde{x} be the point on

X_{Γ_1} which is represented by $(\tilde{F}, \tilde{A}, \tilde{B}, \tilde{C})$. By the same way as in Lemma (4.2), we see that $\pi(\tilde{x}) = w_0 \pi w(\tilde{x})$, hence $g(\tilde{x}) = 0$. Because $J^-(\mathbb{Q}) \simeq \mathbb{Z}/3\mathbb{Z}$, $g^-(y) = 0$, see (3.4). The form $0 \neq \omega \in \text{Cot } J_{\mathbb{Z}}^- \otimes \mathbb{F}_{13}$ has one simple zero on each irreducible component of $x_0(26) \otimes \mathbb{F}_{13}$ (see [11] Corollary (1.1), [3] p. 162 (2.3)). Therefore, there exists at most one \mathbb{Q} -rational point on X_{Γ_1} which is neither a cusp nor a C.M. point, see the proof of Theorem (4.1). Let w_2 be the involution of X_{Γ_1} defined by $(E, \{A, B\}, C) \mapsto (E/C, \{(A+C)/C, (B+C)/C\}, E_2/C)$. If y is not a C.M. point, then $w_2(y) \neq y$. Therefore, y is a C.M. point.

Case (C-3): There exists an elliptic curve F defined over \mathbb{F}_{13} such that $(F, \ker(\text{Frob}), \ker(\text{Ver}), \alpha \bmod \mathbb{F}_4^\times)$ represents $x \otimes \mathbb{F}_{13}$, where α is a 2-level structure and $\mathbb{F}_4^\times \subset GL_2(\mathbb{F}_2)$. The rational prime 13 splits in k (see (3.2)) and $\rho_2(G_k) \subset \mathbb{F}_4^\times$. Then $(F, A, \alpha \bmod \mathbb{F}_4^\times) \simeq (F/B, F_{13}/B, \alpha \bmod \mathbb{F}_4^\times)$, i.e., $\pi(x) \otimes \mathbb{F}_{13} = w_0 \pi w(x) \otimes \mathbb{F}_{13}$, see (3.3). Because $J = J^-$ has the Mordell-Weil group $\simeq \mathbb{Z}/2\mathbb{Z}$, $g^-(y) = 0$. Then y is a C.M. point, see the proof of Theorem (3.9).

Case (C-2): There corresponds to y an elliptic curve E defined over \mathbb{Q} which satisfies the condition (C-2). The double covering $X_{\Gamma_0} \rightarrow X_0(13)$ ramifies at the cusps and $J = J^-$ has the Mordell-Weil group $\simeq \mathbb{Z}/2\mathbb{Z}$. Let $0, \infty$ and z_i be the cusps on X_{Γ_1} lying over respectively $0, \infty$ and x_i on $X_{\text{sp.Car}}(13)$, see (2.5). Let J_s^0 be the connected component of $J_{\mathbb{Z}/13} \otimes \mathbb{F}_{13}$ of the unity. We see that $\pi(x) \otimes \mathbb{F}_{13} \neq w_0 \pi w(x) \otimes \mathbb{F}_{13}$ and $g(x) \bmod J_s^0 = cl((0) - (\infty)) \bmod J_s^0 (\neq J_s^0)$. For a rational prime $q \nmid 26$, if $x \otimes \overline{\mathbb{F}}_q = z_i \otimes \overline{\mathbb{F}}_q$, then $g(x) = g(z_i) = 0$. Let $\omega \in H^0(\tilde{\mathcal{X}}_{\Gamma_0} \otimes \mathbb{Z}[1/2], \Omega) \simeq \text{Cot } J_{\mathbb{Z}[1/2]}$ (see [11] Corollary (1.1), (2.3)), where $\tilde{\mathcal{X}}_{\Gamma_0} \rightarrow \text{Spec } \mathbb{Z}$ is the minimal model. Then $\omega(0) = -\omega(\infty)$ is a unit of $\mathbb{Z}[1/2]$. For a rational prime $q \neq 2$, $g^* \omega(0) \neq 0 \bmod q$, $g^* \omega(\infty) \neq 0 \bmod q$ (cf. the proof of (2.5)). Therefore, y has potentially good reduction at the primes $q \neq 2$, see (2.5), (3.1). By Lemma (5.6), only the prime $q = 2$ ramifies in k and E has potentially good reduction at $q = 2$. Hence, E has everywhere potentially good reduction. Then $k = \mathbb{Q}(\sqrt{-1})$, because the prime 13 splits in k . Then y corresponds to a point defined by $(X, Y) = (a, a^o)$ for $a \in \mathbb{Z}[\sqrt{-1}]$, see (5.5). As y is a \mathbb{Q} -rational point, so the modular invariant $j(y) = j(a) \in \mathbb{Q}$. Using Lemma (5.5), (5.3), we see that y is a C.M. point corresponding to one of the points defined by $a = -3 \pm 2\sqrt{-1}$ and $-2 \pm 3\sqrt{-1}$. \square

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(Oblatum 6-I-1982 & 22-II-1983)

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Appendix

Here, we give an another proof of the theorems of Kenku in [4,5].

THEOREM (Kenku, loc. cit.): *The \mathbf{Q} -rational points on $X_0(p, 13)$ are the cusps, for $p = 3, 5$ and 7 .*

PROOF: We use the following results.

(A.1) (Berkovic [2]). There exists a factor $(/\mathbf{Q})$ of the jacobian variety of $X_0(N)$ whose Mordell-Weil group is of finite order, for $N = 39, 65$ and 91 .

(A.2) (see [11] §4). If x is a non cuspidal \mathbf{Q} -rational point on $X_0(N)$ for the integer as above, then x has potentially good reduction at the primes $q \neq 2$.

Let x be a non cuspidal \mathbf{Q} -rational point on $X_0(p \cdot 13)$ for $p = 3, 5$ or 7 . Then x is represented by an elliptic curve E defined over \mathbf{Q} with subgroup A of rank 13 and C of rank p which are defined over \mathbf{Q} (see [3] VI Proposition (3.2)). Let λ (resp. ρ_p) be the representation of the Galois action of $G = \text{Gal}(\overline{\mathbf{Q}}/\mathbf{Q})$ on $A(\overline{\mathbf{Q}})$ (resp. on the p -torsion points $E_p(\overline{\mathbf{Q}})$). For a rational prime q , let I_q be the inertia subgroup $\text{Gal}(\overline{\mathbf{Q}}_q/\mathbf{Q}_q^{ur})$ and λ_q the restriction of λ to I_q . If $q \nmid 6 \cdot p \cdot 13$, $\rho_p(I_q) \simeq \lambda(I_q)$ is isomorphic to a subgroup of $\mathbf{Z}/4\mathbf{Z}$ or $\mathbf{Z}/6\mathbf{Z}$ (see [19] §5). If $q = 3$ and $p \neq 3$, $\rho_p(I_3) \simeq \lambda(I_3)$ is isomorphic to a subgroup of $SL_2(\mathbf{Z}/4\mathbf{Z})$ (see loc.cit.), so that $\lambda(I_3)$ is isomorphic to a subgroup of $\mathbf{Z}/4\mathbf{Z}$ or $\mathbf{Z}/6\mathbf{Z}$. If x has potentially multiplicative reduction at $q = 2$, then $\lambda_2^2 = 1$. If x has potentially good reduction at $q = 2$, then $\rho_p(I_2) \simeq \lambda(I_2)$ is isomorphic to a subgroup of $SL_2(\mathbf{F}_3)$ (see loc.cit.), so that $\lambda(I_2)$ is isomorphic to a subgroup of $\mathbf{Z}/4\mathbf{Z}$ or $\mathbf{Z}/6\mathbf{Z}$. By our assumption, $\rho_p(G)$ is contained in a Borel subgroup of $GL_2(\mathbf{F}_p)$, so that for any rational prime $q \neq p$, $\rho_p(I_q)$ is isomorphic to a subgroup of $\mathbf{Z}/6\mathbf{Z}$ if $p = 3$ or 7 , and to one of $\mathbf{Z}/4\mathbf{Z}$ if $p = 5$. Further, as λ is a character of G , so $\lambda_p^6 = 1$ if $p = 3$ or 7 , and $\lambda_p^4 = 1$ if $p = 5$. Therefore, $\lambda_q^6 = 1$ if $p = 3$ or 7 , and $\lambda_q^4 = 1$ if $p = 5$ for the rational primes $q \neq 13$. Put $e = 6$ if $p = 3$ or 7 , and $e = 4$ if $p = 5$. Then the order of $\lambda_p(I_{13})$ divides e , so that E has good reduction over the extension of \mathbf{Q}_{13}^e of degree e , (A.2), loc.cit. Let θ_{13} be the cyclotomic character induced by the Galois action of G on $\mu_{13}(\overline{\mathbf{Q}})$. Put $\chi_{13} = \theta_{13}^r$ for an integer r . Then by the fundamental property of the finite flat group schemes (see (1.2)), $\chi_{13}^e = \theta_{13}^a$ for an integer a , $0 \leq a \leq e$. Therefore, $re \equiv a \pmod{12}$, so $a = 0$ or e (see [11] §5). Changing E by E/A , if necessary, we may assume that $\lambda_{13}^e = 1$. Then $\lambda^6 = 1$ if $p = 3$ or 7 , and $\lambda^4 = 1$ if $p = 5$. Denote also by λ the corresponding character of the idèle group \mathbf{Q}_A^\times of \mathbf{Q} . For a rational prime $q \nmid 26$, put $\nu_q = \lambda \text{ proj}(\mathbf{Q}_A^\times \xrightarrow{\sim} \mathbf{Z}_q^\times \times \mathbf{Z} \rightarrow \mathbf{Z}_q^\times)$. Let k_q be the subfield of $\overline{\mathbf{Q}}_q$ corresponding to the character ν_q . Then k_q is a totally ramified extension of \mathbf{Q}_q . Let \mathcal{O}_q be the ring of integers of k_q . Then $E_{/\mathcal{O}_q}$ is an elliptic curve (see (A.2)). Therefore, for each rational prime $q \nmid 26$, we have the relation: $\lambda(\sigma_q) + q\lambda(\sigma_q)^{-1} \equiv \text{Tr}(\sigma_q) \pmod{13}$, where σ_q is the Frobenius element of the prime of k_q and $\text{Tr}(\sigma_q)$ is the trace of σ_q on the Tate module $T_{13}(E_{/\mathcal{O}_q})(\overline{\mathbf{F}}_q)$ (see [11] §6). Then we should have the following congruences

$$1 + q^6 \equiv \text{Tr}(\sigma_q^6) \pmod{13} \text{ if } p = 3 \text{ or } 7,$$

$$1 + q^4 \equiv \text{Tr}(\sigma_q^4) \pmod{13} \text{ if } p = 5,$$

for any rational prime $q \nmid 26$. But, the congruences above are not satisfied for $q = 3$ if $p = 3$ or 7 , and for $q = 5$ if $p = 5$. \square