

COMPOSITIO MATHEMATICA

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Compositio Mathematica, tome 36, n° 1 (1978), p. 7-35

http://www.numdam.org/item?id=CM_1978__36_1_7_0

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FIBERING HILBERT CUBE MANIFOLDS OVER ANRs

T.A. Chapman and Steve Ferry

1. Introduction

By a Q -manifold we will mean a separable metric manifold modeled on the Hilbert cube Q . Let $f: M \rightarrow B$ be a map of a Q -manifold to an ANR. In this paper we will be concerned with the following question: *Does f fiber, i.e. is f homotopic to the projection map of a fiber bundle $M \rightarrow B$ with fiber a Q -manifold?* In general it is not true that f fibers. For example, a constant map $Q \rightarrow S^1$ does not fiber. In Theorem 1 below we treat the $[0, 1)$ -stable case in which f always fibers, while Theorems 3–7 indicate some of the problems one encounters in the compact cases.

Theorem 1 is not terribly surprising. It is an extension of the well known result that Q manifolds which have the form $M \times [0, 1)$ are homeomorphic if and only if they are homotopy equivalent (see [3, Chapter V]).

THEOREM 1: *If $f: M \rightarrow B$ is a map of a Q -manifold to a locally compact ANR, then the composition $M \times [0, 1) \xrightarrow{\text{proj}} M \xrightarrow{f} B$ fibers.*

Of course, there is an analogue of this result for l_2 -manifolds, where l_2 is separable infinite-dimensional Hilbert space.

THEOREM 2: *If $f: M \rightarrow B$ is a map of an l_2 -manifold to a topologically complete separable metric ANR, then f fibers.*

In the compact cases below we immediately encounter obstructions to repeating the proofs of Theorems 1 and 2. By making enough connectivity assumptions so that these obstructions vanish, we obtain the following result. See §2 for a review of the undefined terms.

THEOREM 3: *Let $f: M \rightarrow B$ be a map of a compact Q -manifold to a compact, connected ANR B which is simple homotopy equivalent to a finite n -complex. If the homotopy fiber $\mathcal{F}(f)$ of f is homotopy equivalent to a finite n -connected complex K , then there is an obstruction in the Whitehead group $\text{Wh } \pi_1(M)$ which vanishes iff f fibers. Moreover, if $n = 1$ we only need assume that $\text{Wh } \pi_1(K) = 0$, and if $n = 2$ we only need assume that K is 1-connected.*

As a special case of Theorem 3 we obtain an infinite-dimensional version of Casson's fibering theorem [2].

COROLLARY: *If $M \rightarrow S^2$ is a map of a compact Q -manifold to S^2 such that $\mathcal{F}(f)$ is homotopy equivalent to a finite 1-connected complex, then f fibers.*

In Theorems 4–7 we specialize to the cases in which the base B is homotopy equivalent to a wedge of 1-spheres. The main tool is given in Theorem 4 and the main result is given in Theorem 5.

THEOREM 4: *Let (\mathcal{E}, p, B) be a Hurewicz fibration such that B is a compact ANR homotopy equivalent to a wedge of n 1-spheres and the fiber F is homotopy equivalent to a finite connected complex. Then \mathcal{E} is fiber homotopy equivalent to a compact Q -manifold fiber bundle over B iff an obstruction lying in a quotient of the direct sum of n copies of $\text{Wh } \pi_1(F)$ vanishes. Given that this obstruction vanishes, there is a 1–1 correspondence between simple equivalence classes of such bundles and a quotient of a subgroup of $\text{Wh } \pi_1(F)$.*

For an explanation of the last sentence in the above statement we refer the reader to §5.

THEOREM 5: *Let $f: M \rightarrow B$ be a map of a compact Q -manifold to a compact ANR which is homotopy equivalent to a wedge of n 1-spheres and assume that the homotopy fiber $\mathcal{F}(f)$ is homotopy equivalent to a finite connected complex. There are two obstructions to f fibering. The first one lies in a quotient of the direct sum of n copies of $\text{Wh } \pi_1 \mathcal{F}(f)$. If this obstruction vanishes, the second one is defined and lies in a quotient of $\text{Wh } \pi_1(M)$.*

In Theorem 6 we treat the special case of Theorem 5 in which B is homotopy equivalent to S^1 . Here the situation is considerably simplified and what we obtain is an infinite-dimensional version of Farrell's fibering theorem [10].

THEOREM 6: *Let $f: M \rightarrow B$ be a map of a compact Q -manifold to a compact ANR which is homotopy equivalent to S^1 and for which the homotopy fiber $\mathcal{F}(f)$ is homotopy equivalent to a finite connected complex. There are two obstructions to f fibering. They are independently defined and both lie in $\text{Wh } \pi_1(M)$.*

We remark that one of the obstructions obtained here is just Farrell's obstruction for the finite-dimensional case, but the infinite-dimensional nature of the problem requires another obstruction.

Finally, in Theorem 7 we classify equivalence classes of Q -manifold fiber bundle projections over nice ANRs.

THEOREM 7: *Let $f, f_1: M \rightarrow B$ be homotopic compact Q -manifold fiber bundle projections, where B is a compact ANR homotopy equivalent to a wedge of n 1-spheres, and let F be the connected fiber of $f: M \rightarrow B$. There are two obstructions to finding a homeomorphism $h: M \rightarrow M$ such that $fh = f_1$ and h is homotopic to the identity. The first lies in $\text{Wh } \pi_1(F)$, and if it vanishes the second is defined and lies in a quotient of the direct sum of n copies of $\mathcal{P}(F)$.*

Here $\mathcal{P}(F)$ is the group of all isotopy classes of homeomorphisms of F to itself which are homotopic to the identity. It is a quotient of π_0 of the concordance group of F , which has been algebraically investigated by [12]. See §2 for further details.

We now say a few words about the organization of the material in this paper. §2 contains some preliminary results and in §3 we prove Theorems 1 and 2. In §§4–8 we prove Theorems 3–7. In §9 we prove a result (Theorem 8) which calculates the kernel of a certain map of Whitehead groups. This generalizes a result of Farrell [9]. Theorem 8 may be paraphrased as follows. Let (\mathcal{E}, p, B) be a Hurewicz fibration, where B is a finite wedge of 1-spheres and the fiber F has the homotopy type of a finite complex. If i is the inclusion map $i: F \hookrightarrow \mathcal{E}$, then Theorem 8 computes the kernel of

$$i_*: \text{Wh } \pi_1(F) \rightarrow \text{Wh } \pi_1(\mathcal{E}).$$

The constructions in §9 are made more geometric by replacing \mathcal{E} with a finite “wedge” of mapping tori.

2. Preliminaries

If $p: E \rightarrow B$ is a map and $B_1 \subset B$, we use $E \mid B_1$ to denote $p^{-1}(B_1)$ and we let $E_b = p^{-1}(b)$, for each $b \in B$. If $p': E' \rightarrow B$ is another map,

then $f: E \rightarrow E'$ is said to be *fiber preserving* (f.p.) provided that $f(E_b) = E'_b$, for each $b \in B$. The restriction of f to E_b is denoted by $f_b: E_b \rightarrow E'_b$. A f.p. map $f: E \rightarrow E'$ is said to be a *fiber homotopy equivalence* (f.h.e.) if there exists a f.p. map $g: E' \rightarrow E$ such that fg and gf are f.p. homotopic to their respective identities. We will abbreviate ordinary homotopy equivalence by h.e.

If $f: E \rightarrow B$ is any map, where B is path connected, then we define

$$\mathcal{E}(f) = \{(e, \omega) \in E \times B^I \mid f(e) = \omega(0)\}$$

(B^I is the space of paths in B .) Define $p: \mathcal{E}(f) \rightarrow B$ by $p(e, \omega) = \omega(1)$. $p: \mathcal{E}(f) \rightarrow B$ is the *mapping path fibration* of $f: E \rightarrow B$. There is a h.e. $g: E \rightarrow \mathcal{E}(f)$ such that $pg \simeq f$. For any $b_0 \in B$, the fiber of $\mathcal{E}(f)$ over b_0 is

$$\mathcal{F}(f) = p^{-1}(b_0) = \{(e, \omega) \mid f(e) = \omega(0), \omega(1) = b_0\}.$$

$\mathcal{F}(f)$ is called the *homotopy fiber* of $f: E \rightarrow B$.

The following result will be used several times in the sequel. For a proof see [8] for the case in which B is a countable complex and see [14] for the general case.

THEOREM 2.1: *Let $p: E \rightarrow B$, $p': E' \rightarrow B$ be Hurewicz fibrations, where B is a connected ANR, and let $h: E \rightarrow E'$ be a f.p. map such that $h_{b_0}: E_{b_0} \rightarrow E'_{b_0}$ is a h.e., for some $b_0 \in B$. Then h is a f.h.e.*

The above result gives us the following useful theorem.

THEOREM 2.2: *Let $p: E \rightarrow B$, $p': E' \rightarrow B$ be Hurewicz fibrations, where E, B and all the fibers have the homotopy types of countable complexes. If $f: E \rightarrow E'$ is a h.e. such that $p'f \simeq p$, then f is homotopic to a f.h.e.*

PROOF: Assume that B is connected and choose $b_0 \in B$, $e_0 \in E_{b_0}$. The condition $p'f \simeq p$ gives us a homotopy $H: E \times I \rightarrow B$ such that $H_0 = p$ and $H_1 = p'f$. Lifting H we get a homotopy $\tilde{H}: E \times I \rightarrow E'$ for which $\tilde{H}_1 = f$. Then $g = \tilde{H}_0: E \rightarrow E'$ is homotopic to f and g is f.p. The homotopy exact sequences of the two fibrations give us a commutative diagram,

$$\begin{array}{ccccccccc} \cdots & \rightarrow & \pi_{n+1}(E, e_0) & \rightarrow & \pi_{n+1}(B, b_0) & \rightarrow & \pi_n(E_{b_0}, e_0) & \rightarrow & \pi_n(E, e_0) & \rightarrow & \pi_n(B, b_0) & \rightarrow & \cdots \\ & & \downarrow g_* & & \downarrow ld & & \downarrow g_{b_0} & & \downarrow g_* & & \downarrow ld & & \\ \cdots & \rightarrow & \pi_{n+1}(E', e'_0) & \rightarrow & \pi_{n+1}(B, b_0) & \rightarrow & \pi_n(E'_{b_0}, e'_0) & \rightarrow & \pi_n(E', e'_0) & \rightarrow & \pi_n(B, b_0) & \rightarrow & \cdots \end{array}$$

Here $e'_0 = g(e_0)$ and by the five lemma $(g \mid E_{e'_0})_*$ is a *h.e.* Then we apply Theorem 2.1. ■

In the sequel we will need a considerable amount of Q -manifold machinery. Our basic reference for this is [3]. It would be time consuming to give a complete description of the material from [3] which we will need, but here is a list of some of the highlights.

1. Z -sets and Z -set unknotting ([3, Theorem 19.4]).
2. The classification theorem for simple equivalences in terms of homeomorphisms on Q -manifolds ([3, Theorem 38.1]).
3. The triangulation theorem for Q -manifolds ([3, Theorem 36.2]).
4. The ANR theorem, which says that every locally compact ANR times Q is a Q -manifold ([3, Theorem 44.1]).

It will be convenient to know how to change bases in fibering problems.

THEOREM 2.3: *Consider $f: M \rightarrow B$, where M is a compact Q -manifold, and B is a compact ANR, and let $g: B \rightarrow B'$ be a simple equivalence of B to another compact ANR. Then f fibers iff gf fibers.*

PROOF: Since $g: B \rightarrow B'$ is a simple equivalence we have a homeomorphism $\beta: B \times Q \rightarrow B' \times Q$ which is homotopic to $g \times \text{id}$. Choose a homeomorphism $\alpha: M \times Q \rightarrow M$ homotopic to the projection map. Assuming that f fibers we have a fiber bundle projection map $p: M \rightarrow B$. It is easy to check that the composition

$$M \xrightarrow{\alpha^{-1}} M \times Q \xrightarrow{p \times \text{id}} B \times Q \xrightarrow{\beta} B' \times Q \xrightarrow{\text{proj}} B'$$

is a fiber bundle projection homotopic to gf . ■

In a similar fashion we can establish the following $[0, 1)$ -stable result.

THEOREM 2.4: *Consider $f: M \rightarrow B$, where M is a Q -manifold and B is a locally compact ANR, and let $g: B \rightarrow B'$ be a *h.e.* of B to another locally compact ANR. Then $M \times [0, 1) \xrightarrow{\text{proj}} M \xrightarrow{f} B$ fibers iff $M \times [0, 1) \xrightarrow{\text{proj}} M \xrightarrow{f} B \xrightarrow{g} B'$ fibers.*

Here is a mild generalization of Anderson's result [1] to fiber bundles over ANRs. The result is also true for ANR Hurewicz fibrations over ANRs.

THEOREM 2.5: *Let $p_1: E_1 \rightarrow B$ and $p_2: E_2 \rightarrow B$ be compact Q -mani-*

fold fiber bundles such that B is a compact connected ANR and let $f: E_1 \rightarrow E_2$ be a f.h.e. If $b_0 \in B$, then $\tau(f) = i_*\chi(B)\tau(f | (E_1)_{b_0})$, where $\chi(B)$ is the Euler characteristic of B and i is the inclusion $(E_2)_{b_0} \hookrightarrow E_2$, and τ denotes Whitehead torsion.

PROOF: For the moment assume that B is a finite complex. Choose any other basepoint $b_1 \in B$. We will first prove that $j_*\tau(f | (E_1)_{b_1}) = i_*\tau(f | (E_1)_{b_0})$, where $j: (E_2)_{b_1} \hookrightarrow E_2$. Choose a path $\omega: I \rightarrow B$ from b_0 to b_1 . Over $\omega(I)$ we have trivial bundles. This induces homeomorphisms $\alpha: (E_1)_{b_0} \rightarrow (E_1)_{b_1}$ and $\beta: (E_2)_{b_0} \rightarrow (E_2)_{b_1}$ so that α is homotopic to $(E_1)_{b_0} \hookrightarrow E_1$ and β is homotopic to $(E_2)_{b_0} \hookrightarrow E_2$. Thus we have a homotopy commutative diagram,

$$\begin{array}{ccc} (E_1)_{b_0} & \xrightarrow{\alpha} & (E_1)_{b_1} \\ f | \downarrow & & \downarrow f | \\ (E_2)_{b_0} & \xrightarrow{\beta} & (E_2)_{b_1} \end{array}$$

Since $\tau(\alpha) = 0$ and $\tau(\beta) = 0$ we have $\tau(f | (E_1)_{b_1}) = \beta_*\tau(f | (E_1)_{b_0})$. Since $j\beta \simeq i$ we get $j_*\tau(f | (E_1)_{b_1}) = i_*\tau(f | (E_1)_{b_0})$. Moreover, if Δ is any simplex in B we can also prove that $\tau(f | (E_1)_{b_0})$ and $\tau(f | (E_1 | \Delta))$ have the same image in $\text{Wh } \pi_1(E_2)$. This follows because if $b_1 \in \Delta$, then we have a homotopy commutative diagram

$$\begin{array}{ccc} (E_1)_{b_1} \hookrightarrow E_1 | \Delta & & \\ f | \downarrow & & \downarrow f | \\ (E_2)_{b_1} \hookrightarrow E_2 | \Delta & & \end{array}$$

where the inclusions are simple equivalences.

We now begin the proof. Let $\dim B = n$ and let B' be the $(n-1)$ -skeleton of B , where $b_0 \in B'$. Then we get restricted fiber bundles

$$p'_1: E_1 | B' \rightarrow B', \quad p'_2: E_2 | B' \rightarrow B',$$

and a f.h.e. $f' = f | (E_1 | B'): E_1 | B' \rightarrow E_2 | B'$. We inductively assume that $\tau(f') = (i')_*\chi(B')\tau(f | (E_1)_{b_0})$, where $i': (E_2)_{b_0} \hookrightarrow E_2 | B'$. Let $\{\Delta_i\}_{i=1}^k$ be the n -simplexes of B . Using the Sum Theorem [6, p. 76] we have

$$\tau(f) = \chi(B')\tau(f | (E_1)_{b_0}) + k\tau(f | (E_1)_{b_0}) - \left(\sum_{i=1}^k \chi(\partial\Delta_i)\tau(f | (E_1)_{b_0}) \right),$$

where we have omitted obvious inclusion-induced maps. Since

$$\chi(B') + k - \sum_{i=1}^k \chi(\partial\Delta_i) = \chi(B)$$

we are done for the case in which B is a finite complex. For the remainder of the proof we show how to reduce the general case to this case.

Our first observation is that if B is any compact Q -manifold, then the above proof goes through. We just replace B by $K \times Q$, for some finite complex K , and argue inductively over the skeleta of K times Q . More generally, if we multiply everything by Q we obtain Q -manifold fiber bundles $E_i \times Q \rightarrow B \times Q$, where $B \times Q$ must be a Q -manifold. We get a *f.h.e.* $f \times ld: E_1 \times Q \rightarrow E_2 \times Q$. The above special case implies that

$$\tau(f \times ld) = (i')_* \chi(B) \tau((f \times ld) \mid (E_1)_{b_0} \times Q),$$

where i' is inclusion. Projecting back to E_2 we get $\tau(f) = i_* \chi(B) \tau(f \mid (E_1)_{b_0})$ and we are done. ■

COROLLARY 2.6: *With $p_i: E_i \rightarrow B$ as above let $g: E_1 \rightarrow E_2$ be a map such that $p_2 g \simeq p_1$ and assume that $\text{Wh } \pi_1((E_1)_{b_0}) = 0$. If g is a *h.e.*, then g is a simple equivalence.*

PROOF: Using Theorem 2.2 we have $g \simeq g'$, where g' is a *f.h.e.* Then

$$\tau(g) = \tau(g') = i_* \chi(B) \tau(g' \mid (E_1)_{b_0}),$$

and $\tau(g' \mid (E_1)_{b_0}) \in \text{Wh } \pi_1(E_2)_{b_0} \cong \text{Wh } \pi_1(E_1)_{b_0} = 0$. ■

We will also need the notion of a mapping torus. For any compactum X and map $\varphi: X \rightarrow X$, the *mapping torus* of φ is the compactum

$$T(\varphi) = X \times [0, 1] / \sim,$$

where \sim is the equivalence relation generated by $(x, 0) \sim (\varphi(x), 1)$. It is clear that there is a natural map $T(\varphi) \rightarrow S^1$ so that each point-inverse is naturally identified with X .

Finally we introduce the group $\mathcal{P}(M)$ needed in Theorem 7. For any compact Q -manifold M let $\mathcal{P}(M)$ denote the group of isotopy classes of homeomorphisms of M which are homotopic to the identity. Here are some facts about $\mathcal{P}(M)$ which appear either explicitly or implicitly in [4].

1. If M is 1-connected, then $\mathcal{P}(M)$ is trivial.
2. $\mathcal{P}(S^1 \times Q) \cong Z_2 \oplus Z_2 \oplus \cdots$,
3. If M is *h.e.* to N , then $\mathcal{P}(M) \cong \mathcal{P}(N)$.
4. $\mathcal{P}(M)$ is always abelian.

If $h: M \rightarrow M$ is a homeomorphism homotopic to the identity, then h determines an isotopy class of homeomorphisms in $\mathcal{P}(M)$. To save notation we will identify h with this isotopy class in $\mathcal{P}(M)$. Thus in §8 a statement such as $f = g$ actually means that f is isotopic to g , where f and g are homeomorphisms homotopic to the identity.

3. Proofs of Theorems 1 and 2

We begin with the proof of Theorem 1. The basic step is the following result.

LEMMA 3.1: *Let N be a Q -manifold, $E \rightarrow S^n$ be a fiber bundle with fiber $N \times [0, 1)$, and let $f: S^n \times N \times [0, 1) \rightarrow E$ be a *f.h.e.* Then f is fiber homotopic to a homeomorphism.*

PROOF: Using Theorem 4.1 of [5] there is a *f.p.* embedding $g: S^n \times N \times [0, 1) \rightarrow E$ such that each $g_x: N \times [0, 1) \rightarrow E_x$ is a Z -embedding and such that g is fiber homotopic to f . Let $S^n \times N \times [0, 1)$ be identified with $S^n \times N \times [0, 1) \times \{0\}$ in $S^n \times N \times [0, 1) \times I$. Our strategy is to show that we have a *f.p.* homeomorphism of pairs,

$$(E, g(S^n \times N \times [0, 1))) \cong (S^n \times N \times [0, 1) \times I, S^n \times N \times [0, 1)).$$

This implies that the inclusion $g(S^n \times N \times [0, 1)) \hookrightarrow E$ is fiber homotopic to a homeomorphism, thus completing the proof of our lemma. Let $D^n \subset S^n$ be any n -cell.

ASSERTION: *There exists a *f.p.* homeomorphism of $D^n \times N \times [0, 1) \times I$ onto $E \upharpoonright D^n$ which agrees with g on $D^n \times N \times [0, 1)$.*

PROOF OF ASSERTION: Choose any *f.p.* homeomorphism $\alpha: D^n \times N \times [0, 1) \times I \rightarrow E \upharpoonright D^n$. We must replace α by α' so that $\alpha' \upharpoonright D^n \times N \times [0, 1) = g$. Consider the *f.p.* Z -embedding

$$g_1 = \alpha^{-1}g: D^n \times N \times [0, 1) \rightarrow D^n \times N \times [0, 1) \times I.$$

It will suffice to construct a *f.p.* homeomorphism of $D^n \times N \times [0, 1) \times I$ onto itself which extends g_1 .

We now use the fact that g_1 is a *f.h.e.* Choose any $b_0 \in D^n$ and consider $(g_1)_{b_0}: N \times [0, 1) \rightarrow N \times [0, 1) \times I$, which is a *h.e.* It follows from [3, Theorem 21.2] that there exists a homeomorphism $u: N \times [0, 1) \times I \rightarrow N \times [0, 1) \times I$ extending $(g_1)_{b_0}$. Define $g_2: D^n \times N \times [0, 1) \rightarrow D^n \times N \times [0, 1) \times I$ by $(g_2)_b = (g_1)_{b_0}$, for all $b \in D^n$. Then g_2 is a “con-

stant" *f.p.* *Z*-embedding. Using the homeomorphism u it is clear that g_2 extends to a *f.p.* homeomorphism of $D^n \times N \times [0, 1) \times I$ onto itself. So, to finish, all we need is a *f.p.* homeomorphism of $D^n \times N \times [0, 1) \times I$ onto itself which composes with g_1 to give g_2 .

To see this, let $\theta_t: D^n \rightarrow D^n$ be a homotopy such that $\theta_0 = id$ and $\theta_1(D^n) = \{b_0\}$. Then define a *f.p.* homotopy

$$\beta_t: D^n \times N \times [0, 1) \rightarrow D^n \times N \times [0, 1) \times I$$

by $(\beta_t)_b = (g_1)_{\theta_t(b)}$. Clearly $\beta_0 = g_1$ and $\beta_1 = g_2$. Moreover, this is a *f.p.* proper homotopy. By Theorem 5.1 of [5] we conclude that there exists a *f.p.* homeomorphism r of $D^n \times N \times [0, 1) \times I$ onto itself such that $rg_1 = g_2$. This completes the proof of the assertion.

Now let G be the homeomorphism group $\mathcal{H}(N \times [0, 1) \times I, N \times [0, 1))$, the space of all homeomorphisms of $N \times [0, 1) \times I$ onto itself which are the identity on $N \times [0, 1)$. For each $b \in S^n$ let $\Phi(b)$ be the space of all homeomorphisms $\varphi: N \times [0, 1) \times I \rightarrow E_b$ such that $\varphi = g_b$ on $N \times [0, 1)$. This makes $E \rightarrow S^n$ into a fiber bundle with structure group G , which we call a *G*-bundle (see [16, p. 90]). We will show that E is trivial as a *G*-bundle. This will imply that there is a *f.p.* homeomorphism of pairs,

$$(E, g(S^n \times N \times [0, 1))) \cong (S^n \times N \times [0, 1) \times I, S^n \times N \times [0, 1)),$$

as was our strategy. To show that E is trivial for all n , all we have to do is prove that G is contractible.

Choose any $h \in G$. If $f: [0, 1) \times I \rightarrow [0, 1) \times I$ is any homeomorphism which is the identity on $[0, 1) \times \{0\}$, then it is easy to isotope f to a homeomorphism $f' rel [0, 1) \times \{0\}$, where f' is also the identity on $\{0\} \times I$. This same idea easily shows that h is isotopic to $h' rel N \times [0, 1)$, where h' is the identity on $N \times \{0\} \times I$. Using a variation of the well known Alexander trick define $h'_t = id$ and for $0 \leq t < 1$ define

$$h'_t = \begin{cases} id, & \text{on } N \times [0, t) \times I \\ \varphi_t^{-1} h' \varphi_t, & \text{on } N \times [t, 1) \times I, \end{cases}$$

where $\varphi_t: N \times [t, 1) \times I \rightarrow N \times [0, 1) \times I$ is defined by linearly homeomorphing $[t, 1)$ to $[0, 1)$. Then h'_t defines an isotopy of h' to $id rel (N \times \{0\} \times I) \cup (N \times [0, 1))$. All of these isotopies depend continuously on h . Thus G is contractible. ■

REMARK: The above method of proof can be used to prove that a *f.h.e.* between any two fiber bundles, with fiber $N \times [0, 1)$, is fiber homotopic to a homeomorphism.

We now use Lemma 3.1 to prove the following result.

LEMMA 3.2: *Let $\mathcal{E} \rightarrow B$ be a Hurewicz fibration over a countable complex and assume that all the fibers are h.e. to countable complexes. Then \mathcal{E} is f.h.e. to a fiber bundle over B with fiber a Q -manifold.*

PROOF: Without loss of generality assume that B is connected and use [3, Theorem 28.1] to choose a Q -manifold N which is h.e. to the fibers of $\mathcal{E} \rightarrow B$. We will induct over the n -skeleta of B, B_n , to inductively build our fiber bundle. For $n = 0$ it is clear that $\mathcal{E} \upharpoonright B_0$ is f.h.e. to a fiber bundle over B_0 with fiber $N \times [0, 1)$. Passing to the inductive step assume $n \geq 0$ and let $f_1: \mathcal{E} \upharpoonright B_n \rightarrow E_1$ be a f.h.e., where $E_1 \rightarrow B_n$ is a fiber bundle with fiber $N \times [0, 1)$. We will extend f_1 to a f.h.e. $f: \mathcal{E} \upharpoonright B_{n+1} \rightarrow E$, where $E \rightarrow B_{n+1}$ is a fiber bundle extending $E_1 \rightarrow B_n$. For simplicity of notation we assume that $B_{n+1} = B_n \cup \Delta$, where Δ is a single $(n + 1)$ -simplex.

By restriction we get a f.h.e. $f_0: \mathcal{E} \upharpoonright \partial\Delta \rightarrow E_1 \upharpoonright \partial\Delta$. By Theorem 2.1 it suffices to extend f_0 to a f.p. map $f_2: \mathcal{E} \upharpoonright \Delta \rightarrow E_2$, where $E_2 \rightarrow \Delta$ is a fiber bundle extending $E_1 \upharpoonright \partial\Delta \rightarrow \partial\Delta$. Since $\mathcal{E} \upharpoonright \partial\Delta$ is f.h.e. to $\partial\Delta \times N \times [0, 1)$, we may replace $\mathcal{E} \upharpoonright \partial\Delta$ by $\partial\Delta \times N \times [0, 1)$ and consider the following reduction of the problem: *If $f_0: \partial\Delta \times N \times [0, 1) \rightarrow E_1 \upharpoonright \partial\Delta$ is a f.h.e., then f_0 extends to a f.p. map $f_2: \Delta \times N \times [0, 1) \rightarrow E_2$.*

To see how this reduction implies the general case choose a f.h.e. $\alpha: \Delta \times N \times [0, 1) \rightarrow \mathcal{E} \upharpoonright \Delta$, let $\alpha_0 = \alpha \upharpoonright \partial\Delta \times N \times [0, 1)$, and let $\beta: \mathcal{E} \upharpoonright \partial\Delta \rightarrow \partial\Delta \times N \times [0, 1)$ be a fiber homotopy inverse of α_0 . Given a f.h.e. $f_0: \mathcal{E} \upharpoonright \partial\Delta \rightarrow E_1 \upharpoonright \partial\Delta$, we get a f.h.e. $f_0\alpha_0\beta: \mathcal{E} \upharpoonright \partial\Delta \rightarrow E_1 \upharpoonright \partial\Delta$. The reduction implies that $f_0\alpha_0$ extends, and since β extends it follows that $f_0\alpha_0\beta$ extends. Since f_0 is fiber homotopic to $f_0\alpha_0\beta$ we conclude that f_0 extends.

To verify the reduction we first use Lemma 3.1 to see that f_0 is fiber homotopic to a homeomorphism $\alpha: \partial\Delta \times N \times [0, 1) \rightarrow E_1 \upharpoonright \partial\Delta$. Thus all we have to do is show how to extend α to a f.p. map $\tilde{\alpha}: \Delta \times N \times [0, 1) \rightarrow E_2$. Define

$$E_2 = (E_1 \upharpoonright \partial\Delta) \underset{\alpha}{\cup} (\Delta \times N \times [0, 1)),$$

where the attaching is made by α . Then α automatically extends to a f.p. map of $\Delta \times N \times [0, 1)$ onto E_2 . ■

Finally, we will need the following result.

LEMMA 3.3: *If $f: M \rightarrow B$ is a map between locally compact ANRs, where B is connected, then the homotopy fiber of f has the homotopy type of a countable complex.*

PROOF: For definiteness choose a basepoint $b_0 \in B$. Let $\alpha: M \rightarrow Q \times [0, 1)$ be any closed embedding and define $f': M \rightarrow B \times Q \times [0, 1)$ by $f' = (f, \alpha)$. Choose the basepoint $b'_0 = (b_0, 0, 0)$ in $B \times Q \times [0, 1)$ and consider the homotopy fiber $\mathcal{F}(f')$.

ASSERTION 1: $\mathcal{F}(f)$ is h.e. to $\mathcal{F}(f')$.

PROOF: Define $\varphi: \mathcal{F}(f) \rightarrow \mathcal{F}(f')$ by $\varphi(x, \omega) = (x, \omega')$, where ω' follows a straight-line path from $(f(x), \alpha(x))$ to $(f(x), 0, 0)$, for $0 \leq t \leq \frac{1}{2}$, and for $\frac{1}{2} \leq t \leq 1$, ω' follows the path ω in $B \times \{0\} \times \{0\} \equiv B$ from $(f(x), 0, 0)$ to b'_0 . Define $\psi: \mathcal{F}(f') \rightarrow \mathcal{F}(f)$ by $\psi(x, \omega) = (x, \omega'')$, where $\omega'' = \text{proj} \circ \omega$ ($\text{proj}: B \times Q \times [0, 1) \rightarrow B$). We leave it as an easy exercise for the reader to prove that φ and ψ are homotopy inverses.

ASSERTION 2: $\mathcal{F}(f')$ is an ANR.

PROOF: Observe that f' is a closed embedding. Consider the space

$$\Omega = (B \times Q \times [0, 1), b'_0)^{(l,1)} \subset (B \times Q \times [0, 1))^l,$$

the space of paths ending at b'_0 . It follows from [13] that Ω is an ANR. Clearly $\mathcal{F}(f')$ is a closed subset of $M \times \Omega$. Choose $(x, \omega) \in M \times \Omega$ which is close to $\mathcal{F}(f')$. Then we must have $\omega(0)$ close to $f'(x)$. If they are sufficiently close, then there is a canonical path in $B \times Q \times [0, 1)$ from $f'(x)$ to $\omega(0)$. By composing this canonical path with ω we obtain a new path $\omega' \in \Omega$ which starts at $f'(x)$ and ends at b'_0 . Thus $(x, \omega) \rightarrow (x, \omega')$ defines a retraction $r: U \rightarrow \mathcal{F}(f')$, for U some suitable neighborhood of $\mathcal{F}(f')$ in $M \times \Omega$. Therefore $\mathcal{F}(f')$ is an ANR.

Finally, it follows from [15] that the ANR $\mathcal{F}(f')$ has the homotopy type of a countable complex. ■

PROOF OF THEOREM 1: We are given a map $f: M \rightarrow B$, where B is a locally compact ANR. It follows from [15] that B is a h.e. to a countable complex, and therefore by Theorem 2.4 we may assume that B is a countable complex. Without loss of generality assume that B is connected. Let $p: \mathcal{E} \rightarrow B$ be the mapping path fibration with fiber $\mathcal{F}(f)$, and let $g: M \rightarrow \mathcal{E}$ be a h.e. such that $pg \cong f$. Using Lemma 3.2 there is a fiber bundle $q: E \rightarrow B$, with fiber a Q -manifold N , which is f.h.e. to $p: \mathcal{E} \rightarrow B$. We therefore obtain a h.e. $g': M \rightarrow E$ such that

$g' \simeq f$. Then $g' \times id: M \times [0, 1) \rightarrow E \times [0, 1)$ is homotopic to a homeomorphism $h: M \times [0, 1) \rightarrow E \times [0, 1)$ by [3]. Clearly

$$M \times [0, 1) \xrightarrow{h} E \times [0, 1) \xrightarrow{proj} E \longrightarrow B$$

is a fiber bundle projection homotopic to $f \circ proj: M \times [0, 1) \rightarrow B$. ■

PROOF OF THEOREM 2: The machinery we have used for the proof of Theorem 1 has analogues for l_2 -manifolds. The knowledgeable reader can easily supply the details. ■

4. Proof of Theorem 3 and its Corollary

For the proof of Theorem 3 we will first need the following result.

LEMMA 4.1: *Let N be a compact Q -manifold, $E \rightarrow S^n$ be a fiber bundle with fiber N , and let $f: S^n \times N \rightarrow E$ be a f.h.e. If N is $(n+1)$ -connected, then f is fiber homotopic to a homeomorphism. Moreover, if $n=0$ we only need assume that $\text{Wh } \pi_1(N) = 0$, and if $n=1$ we only need assume that N is 1-connected.*

PROOF: Following the proof of Lemma 3.1, f is homotopic to a f.p. Z -embedding $g: S^n \times N \rightarrow E$. It suffices to show that we have a f.p. homeomorphism of pairs,

$$(E, g(S^n \times N)) \cong (S^n \times N \times I, S^n \times N).$$

If $n=0$ it follows from the assumption $\text{Wh } \pi_1(N) = 0$ that each inclusion $g_b(N) \hookrightarrow E_b$ is homotopic to a homeomorphism. Since $S^n = \{b_1, b_2\}$ this is all we need for our desired f.p. homeomorphism of pairs.

If $n \geq 1$ we proceed as in Lemma 3.1 and show that $E \rightarrow S^n$ may be regarded as a G -bundle, where G is the homeomorphism group $\mathcal{H}(N \times I, N)$. All we need to do is show that $E \rightarrow S^n$ is trivial as a G -bundle. For this it suffices to prove that G is $(n-1)$ -connected. It follows from [4] and [11] that $\pi_0(G) = 0$ for N 1-connected, and in general $\pi_{k-1}(G) = 0$ for N $(k+1)$ -connected. ■

LEMMA 4.2: *Let $\mathcal{E} \rightarrow B$ be a Hurewicz fibration over a finite n -complex and assume that all the fibers are h.e. to a compact Q -manifold N . If N is n -connected, then \mathcal{E} is f.h.e. to a fiber bundle over B with fiber N . Moreover, if $n=1$ we only need assume $\text{Wh } \pi_1(N) = 0$,*

and if $n = 2$ we only need assume N to be 1-connected.

PROOF: Using Lemma 4.1 we can prove Lemma 4.2 just as Lemma 3.2 followed from Lemma 3.1. ■

PROOF OF THEOREM 3: We are given a map $f: M \rightarrow B$, of a compact Q -manifold to a compact, connected ANR B which is simple equivalent to a finite n -complex. By Theorem 2.3 we may assume that B is a finite n -complex. Let $\mathcal{E} \rightarrow B$ be the mapping path fibration and use Lemma 4.2 to conclude that \mathcal{E} is *f.h.e.* to a fiber bundle $p: E \rightarrow B$, whose fiber is a compact Q -manifold. Thus we have a homotopy equivalence $g: E \rightarrow M$ such that $fg \simeq p$. We define our obstruction to be $\tau(g) \in \text{Wh } \pi_1(M)$.

To see that $\tau(g)$ is well-defined we assume that there is another such *h.e.* $g_1: E_1 \rightarrow M$, where $E_1 \rightarrow B$ is a fiber bundle whose fiber is a compact Q -manifold. It follows from Corollary 2.6 that the torsion of the composition $g^{-1}g_1: E_1 \rightarrow E$ is zero, thus $\tau(g) = \tau(g_1)$.

If $\tau(g) = 0$, then g is homotopic to a homeomorphism $h: E \rightarrow M$, and f is therefore homotopic to the bundle projection $M \xrightarrow{h^{-1}} E \rightarrow B$. On the other hand assume that f is homotopic to a bundle projection $M \rightarrow B$. The *h.e.* $g: E \rightarrow M$ must have zero torsion by Corollary 2.6. ■

PROOF OF THE COROLLARY: The homotopy sequence of $f: M \rightarrow B$ gives us an exact sequence

$$\pi_1 \mathcal{F}(f) \rightarrow \pi_1(M) \rightarrow \pi_1(S^2),$$

thus $\pi_1(M) = 0$ and $\text{Wh } \pi_1(M) = 0$. This implies that our obstruction to fibering is zero. ■

5. Proof of Theorem 4

We first introduce some notation which will be used throughout this section. Let $\mathcal{E} \rightarrow B$ represent a Hurewicz fibration, where B is a compact ANR *h.e.* to a wedge of n 1-spheres. Choose a basepoint $b_0 \in B$ and assume that \mathcal{E}_{b_0} is *h.e.* to a finite connected complex. Let $\{\alpha_i\}_{i=1}^n$ be a collection of maps, $\alpha_i: (S^1, *) \rightarrow (B, b_0)$, such that $\{\alpha_i\}_{i=1}^n$ freely generates $\pi_1(B, b_0)$. Each map α_i may be regarded as a map of $(I, \partial I)$ to (B, b_0) , and the homotopy lifting criterion implies that α_i can be covered by a map $\tilde{\alpha}_i: \mathcal{E}_{b_0} \times I \rightarrow \mathcal{E}$ such that $(\tilde{\alpha}_i)_0 = id$. We call

$\varphi_i = (\tilde{\alpha}_i)_! : \mathcal{E}_{b_0} \rightarrow \mathcal{E}_{b_0}$ a *characteristic map* corresponding to α_i . It is well-known that φ_i is a *h.e.* and its homotopy class is uniquely determined.

DEFINITION OF THE OBSTRUCTION: Define a homomorphism

$$\theta : \text{Wh } \pi_1(\mathcal{E}_{b_0}) \rightarrow \text{Wh } \pi_1(\mathcal{E}_{b_0}) \oplus \cdots \oplus \text{Wh } \pi_1(\mathcal{E}_{b_0}) \quad (n \text{ copies})$$

by sending τ in $\text{Wh } \pi_1(\mathcal{E}_{b_0})$ to $((ld - (\varphi_1)_*)\tau, \dots, (ld - (\varphi_n)_*)\tau)$, where $*$ as usual indicates induced homomorphisms on Whitehead groups. Choose any *h.e.* h of \mathcal{E}_{b_0} to a finite complex K . We define our obstruction, $\mathcal{O}_i(\mathcal{E})$, to be the image of

$$(h_*^{-1}\tau(h\varphi_1h^{-1}), \dots, h_*^{-1}\tau(h\varphi_nh^{-1}))$$

in $\text{Cokernel } (\theta) = \text{Wh } \pi_1(\mathcal{E}_{b_0}) \oplus \cdots \oplus \text{Wh } \pi_1(\mathcal{E}_{b_0}) / \text{Image } (\theta)$. (Here h^{-1} is a homotopy inverse of h .)

LEMMA 5.1: $\mathcal{O}_i(\mathcal{E})$ is well defined.

PROOF: Let $g : \mathcal{E}_{b_0} \rightarrow L$ be any other *h.e.* from \mathcal{E}_{b_0} to a finite complex. We must prove that $(h_*^{-1}\tau(h\varphi_1h^{-1}), \dots, h_*^{-1}\tau(h\varphi_nh^{-1}))$ and $(g_*^{-1}\tau(g\varphi_1g^{-1}), \dots, g_*^{-1}\tau(g\varphi_ng^{-1}))$ have the same image in $\text{Cokernel } (\theta)$. Let $k : L \rightarrow K$ be a *h.e.* such that $kg \simeq h$. For each i we have

$$\begin{aligned} h_*^{-1}\tau(h\varphi_ih^{-1}) &= (kg)_*^{-1}\tau(kg\varphi_i g^{-1}k^{-1}) \\ &= g_*^{-1}k_*^{-1}\tau(k) + g_*^{-1}\tau(g\varphi_i g^{-1}) + (\varphi_i)_* g_*^{-1}\tau(k^{-1}), \end{aligned}$$

where the last equality follows from the formula for the torsion of a composition (see [6, p. 72]). The same formula gives us $\tau(k) + k_*\tau(k^{-1}) = 0$. Substituting this into the above equation gives us

$$h_*^{-1}\tau(h\varphi_ih^{-1}) = g_*^{-1}\tau(g\varphi_i g^{-1}) - (ld - (\varphi_i)_*)g_*^{-1}\tau(k^{-1}).$$

$$(h_*^{-1}\tau(h\varphi_1h^{-1}), \dots, h_*\tau(h\varphi_nh^{-1})) - (g_*^{-1}\tau(g\varphi_1g^{-1}), \dots, g_*^{-1}\tau(g\varphi_ng^{-1}))$$

lies in $\text{Image } (\theta)$. ■

We will need the following classification result.

LEMMA 5.2: Let $\mathcal{E} \rightarrow B$ and $\mathcal{E}' \rightarrow B$ be Hurewicz fibrations of the type described at the beginning of this section, with characteristic maps $\varphi_i : \mathcal{E}_{b_0} \rightarrow \mathcal{E}_{b_0}$ and $\varphi'_i : \mathcal{E}'_{b_0} \rightarrow \mathcal{E}'_{b_0}$. Then a *h.e.* $h : \mathcal{E}_{b_0} \rightarrow \mathcal{E}'_{b_0}$ extends to a *f.h.e.* of \mathcal{E} onto \mathcal{E}' iff h homotopy commutes with all of the characteristic maps, i.e. $\varphi'_i h \simeq h\varphi_i$ for each i .

PROOF: This follows immediately from Theorem *C* of [17]. ■

PROOF OF THEOREM 4: The proof naturally splits into two parts.

I. *Existence*. First assume that \mathcal{E} is *f.h.e.* to a fiber bundle $E \rightarrow B$ with fiber a compact Q -manifold. Let $\{\psi_i\}_{i=1}^n$ be the characteristic maps of $E \rightarrow B$. If $f: \mathcal{E} \rightarrow E$ is a *f.h.e.* and $h = f|_{\mathcal{E}_{b_0}}: \mathcal{E}_{b_0} \rightarrow E_{b_0}$, then by Lemma 5.2 we have $\psi_i h \simeq h\varphi_i$, for each i . Up to simple homotopy type we may regard E_{b_0} as a finite complex, so in order to prove that $\mathcal{O}_1(\mathcal{E}) = 0$ it will certainly suffice to prove that $\tau(\psi_i) = 0$. Since $E \rightarrow B$ is a fiber bundle its characteristic maps may be chosen to be homeomorphisms. But homeomorphisms of Q -manifolds are always simple equivalences.

On the other hand assume that $\mathcal{O}_1(\mathcal{E}) = 0$. Then there is a compact Q -manifold N and a *h.e.* $h: \mathcal{E}_{b_0} \rightarrow N$ such that

$$\theta(\tau) = (h_*^{-1}\tau(h\varphi_1h^{-1}), \dots, h_*^{-1}\tau(h\varphi_nh^{-1})),$$

for some torsion $\tau \in \text{Wh } \pi_1(\mathcal{E}_{b_0})$. Thus $(ld - (\varphi_i)_*)\tau = h_*^{-1}\tau(h\varphi_ih^{-1})$. Choose a compact Q -manifold M and a *h.e.* $f: N \rightarrow M$ such that $\tau(f) = -f_*h_*(\tau)$. Then we calculate (again using the composition formula):

$$\begin{aligned} \tau(fh\varphi_i(fh)^{-1}) &= \tau(f) + f_*\tau(h\varphi_ih^{-1}) + f_*h_*(\varphi_i)_*h_*^{-1}\tau(f^{-1}) \\ &= -f_*h_*(\tau) + f_*h_*(ld - (\varphi_i)_*)\tau + f_*h_*(\varphi_i)_*h_*^{-1}f_*^{-1}(f_*h_*(\tau)) \\ &= 0. \end{aligned}$$

Let $\psi_i = fh\varphi_i(fh)^{-1}: M \rightarrow M$ and let $g_i: M \rightarrow M$ be any homeomorphism homotopic to ψ_i (which exists since ψ_i has zero torsion).

Let B' be a wedge of n 1-spheres and let b'_0 be the wedge point. For each i let $T(g_i)$ be the mapping torus of g_i and let E' be the space formed by sewing the $T(g_i)$ together along their common base, M . Then we have a natural projection $p': E' \rightarrow B'$ so that

- (1) $E' \rightarrow B'$ is a fiber bundle with fiber M ,
- (2) $E'_{b'_0}$ is the common base of the $T(g_i)$,
- (3) the characteristic maps of $E' \rightarrow B'$ are $\{g_i\}_{i=1}^n$ (corresponding to loops α'_i in B').

Let $u: B \rightarrow B'$ be a *h.e.* such that $u(b_0) = b'_0$ and $u\alpha_i \simeq \alpha'_i$, for each i . Form the pull-back, $E = \{(b, e') \mid u(b) = p'(e')\}$:

$$\begin{array}{ccc} E & \longrightarrow & E' \\ p \downarrow & & \downarrow p' \\ B & \xrightarrow{u} & B' \end{array}$$

Then $p: E \rightarrow B$ is a fiber bundle with fiber M . Since $g_i \simeq fh\varphi_i(fh)^{-1}$ and since the g_i are the characteristic maps of $E \rightarrow B$ we conclude by Lemma 5.2 that \mathcal{E} is *f.h.e.* to E . ■

II. *Classification.* Define G to be the subgroup of $\text{Wh } \pi_1(\mathcal{E}_{b_0})$ consisting of all elements τ such that $(n-1)\tau = (ld - (\varphi_1)_*)\tau_1 + \cdots + (ld - (\varphi_n)_*)\tau_n$, for torsions $\tau_i \in \text{Wh } \pi_1(\mathcal{E}_{b_0})$. We prove that the simple equivalence classes of compact Q -manifold fiber bundles over B which are *f.h.e.* to \mathcal{E} are in 1–1 correspondence with the quotient group $H = \text{Kernel } (\theta) / (\text{Kernel } (\theta) \cap G)$, where two Q -manifold fiber bundles, $E_1 \rightarrow B$ and $E_2 \rightarrow B$, are in the same *simple equivalence class* if there exists a simple homotopy equivalence from E_1 to E_2 which is also a *f.h.e.* Choose a fixed compact Q -manifold fiber bundle $E \rightarrow B$ and a *f.h.e.* $f: \mathcal{E} \rightarrow E$. Choose any other compact Q -manifold fiber bundle $E_1 \rightarrow B$ and *f.h.e.* $f_1: \mathcal{E} \rightarrow E_1$. Put $h = f|_{\mathcal{E}_{b_0}}$ and $h_1 = f_1|_{\mathcal{E}_{b_0}}$. Then we get a *h.e.* $hh_1^{-1}: (E_1)_{b_0} \rightarrow E_{b_0}$ and a torsion $\tau(hh_1^{-1}) \in \text{Wh } \pi_1(E_{b_0})$.

ASSERTION 1: $h_*^{-1}\tau(hh_1^{-1}) \in \text{Kernel } (\theta)$.

PROOF: It follows from Lemma 5.2 that $(hh_1^{-1})\psi_i^1 \simeq \psi_i(hh_1^{-1})$, for each i , where the ψ_i are the characteristic maps for $E \rightarrow B$ and the ψ_i^1 are the characteristic maps for $E_1 \rightarrow B$. Since $E \rightarrow B$ and $E_1 \rightarrow B$ are compact Q -manifold fiber bundles we must have $\tau(\psi_i) = \tau(\psi_i^1) = 0$. Thus

$$\tau(hh_1^{-1}) = \tau(hh_1^{-1}\psi_i^1) = \tau(\psi_i h h_1^{-1}) = (\psi_i)_* \tau(hh_1^{-1}),$$

or $(ld - (\psi_i)_*)\tau(hh_1^{-1}) = 0$. Since $h\varphi_i \simeq \psi_i h$ we can easily check that $ld - (\varphi_i)_* h_*^{-1}\tau(hh_1^{-1}) = 0$. This proves Assertion 1.

We then define $R(h_1)$ to be the image of $h_*^{-1}\tau(hh_1^{-1})$ in H . Thus R is a function from the collection of *f.h.e.*'s $f_1: \mathcal{E} \rightarrow E_1$ to the group H . There are several properties of R which need to be established in order to finish the proof of Theorem 4.

ASSERTION 2: R is onto.

PROOF: Choose any $\tau \in \text{Kernel } (\theta)$. Thus $(ld - (\varphi_i)_*)\tau = 0$ for each i . Choose a *h.e.* g of E_{b_0} to a compact Q -manifold N such that $\tau(g) = -g_* h_*(\tau)$. (Recall that $g: E_{b_0} \rightarrow N$ can be chosen so that $\tau(g^{-1}) = -g_*^{-1}\tau(g) \in \text{Wh } \pi_1(E_{b_0})$ realizes any torsion in $\text{Wh } \pi_1(E_{b_0})$.) A simple torsion calculation gives us $\tau(gh\varphi_i(gh)^{-1}) = 0$. Just as in the proof of Theorem 4 (Part I) we can construct a compact Q -manifold fiber bundle $E_1 \rightarrow B$ such that $(E_1)_{b_0} = N$ and a *f.h.e.* $f_1: \mathcal{E} \rightarrow E_1$ such that $h_1 = f_1|_{\mathcal{E}_{b_0}} = gh$. Then $R(f_1)$ is the image of $h_*^{-1}\tau(hh_1^{-1})$ in H .

Computing, we have

$$h_*^{-1}\tau(hh_1^{-1}) = h_*^{-1}\tau(h(gh)^{-1}) = h_*^{-1}\tau(g^{-1}) = -h_*^{-1}g_*^{-1}\tau(g) = \tau.$$

This completes Assertion 2.

ASSERTION 3: *If $f_1: \mathcal{E} \rightarrow E_1$ and $f_2: \mathcal{E} \rightarrow E_2$ are f.h.e.'s of \mathcal{E} to compact Q -manifold fiber bundles, then $f_2f_1^{-1}: E_1 \rightarrow E_2$ is a simple equivalence iff $R(f_1) = R(f_2)$.*

PROOF: Assume that $f_2f_1^{-1}$ is a simple equivalence. It follows from Theorem 2.5 that $0 = \tau(f_2f_1^{-1}) = j_*(1-n)\tau(h_2h_1^{-1})$, where j is the inclusion $(E_2)_{b_0} \hookrightarrow E_2$. Using Theorem 8 we have

$$(h_2)_*^{-1}(n-1)\tau(h_2h_1^{-1}) = (ld - (\varphi_1)_*)\tau_1 + \cdots + (ld - (\varphi_n)_*)\tau_n,$$

for torsions $\tau_i \in \text{Wh } \pi_1(\mathcal{E}_{b_0})$. Thus $(h_2)_*^{-1}\tau(h_2h_1^{-1}) \in \text{Kernel}(\theta) \cap G$. Computing, we have

$$\begin{aligned} h_*^{-1}\tau(hh_1^{-1}) - h_*^{-1}\tau(hh_2^{-1}) &= \tau(h_1^{-1}) - \tau(h_2^{-1}) = (h_2)_*^{-1}\tau(h_2) + \tau(h_1^{-1}) \\ &= (h_2)_*^{-1}\tau(h_2h_1^{-1}) \in \text{Kernel}(\theta) \cap G. \end{aligned}$$

This proves that $R(h_1) = R(h_2)$.

On the other hand assume that $R(f_1) = R(f_2)$. From the above calculations we see that $(h_2)_*^{-1}\tau(h_2h_1^{-1}) \in \text{Kernel}(\theta) \cap G$. This implies that there are torsions $\tau_1, \dots, \tau_n \in \text{Wh } \pi_1((E_2)_{b_0})$ such that

$$(n-1)\tau(h_2h_1^{-1}) = (ld - (\psi_1^2)_*)\tau_1 + \cdots + (ld - (\psi_n^2)_*)\tau_n,$$

where the ψ_i^2 are the characteristic maps for $E_2 \rightarrow B$. It follows from Theorem 2.5 that $\tau(f_2f_1^{-1}) = j_*(1-n)\tau(h_2h_1^{-1})$ and it follows from Theorem 9.1 that

$$j_*((ld - (\psi_1^2)_*)\tau_1 + \cdots + (ld - (\psi_n^2)_*)\tau_n) = 0. \quad \blacksquare$$

6. Proof of Theorem 5

We will need some general notation. Let $f: M \rightarrow B$ be the map given in the statement of Theorem 4. Let $p: \mathcal{E} \rightarrow B$ be the mapping path fibration of $f: M \rightarrow B$ which has fiber $\mathcal{F}(f) = \mathcal{E}_{b_0}$ and let $g: M \rightarrow \mathcal{E}$ be a h.e. such that $pg \simeq f$.

The First Obstruction. We define our first obstruction to be

$$\mathcal{O}_1(f) = \mathcal{O}_1(\mathcal{E}) \in \text{Cokernel}(\theta),$$

where $\mathcal{O}_1(\mathcal{E})$ was defined in §5. Recall that $\mathcal{O}_1(f)$ vanishes iff \mathcal{E} is f.h.e. to a compact Q -manifold fiber bundle.

PROOF OF THEOREM 5 (Part I). We show that the vanishing of $\mathcal{O}_1(f)$ is a necessary condition for f to fiber. Assume that $f \simeq f'$, where f' is the projection map of a compact Q -manifold fiber bundle. Then by Theorem 2.2 we must have g homotopic to a $f.h.e.$ from the bundle $f': M \rightarrow B$ to the fibration $\mathcal{E} \rightarrow B$. Thus $\mathcal{O}_1(f) = 0$. ■

The Second Obstruction. Assume that $\mathcal{O}_1(f) = 0$ and let $h: M \rightarrow E$ be a $h.e.$ such that $qh \simeq f$, where $q: E \rightarrow B$ is a compact Q -manifold fiber bundle. Let i be the inclusion map $\mathcal{E}_{b_0} \hookrightarrow \mathcal{E}$ and define $\mathcal{O}_2(f)$ to be the image of the torsion $h_*^{-1}\tau(h)$ in $\text{Wh } \pi_1(M)/(1-n)g_*^{-1}i_* \text{Kernel}(\theta)$.

LEMMA 6.1: $\mathcal{O}_2(f)$ is well-defined.

PROOF: Let $h_1: M \rightarrow E_1$ be an alternate choice for h . We must prove that

$$g_*h_*^{-1}\tau(h) - g_*(h_1)_*^{-1}\tau(h_1) \in (1-n)i_* \text{Kernel}(\theta).$$

Using Theorem 2.2 we see that h_1h^{-1} is homotopic to a $f.h.e.$ $\alpha: E \rightarrow E_1$. Thus by Theorem 2.5 we calculate

$$\tau(h_1h^{-1}) = \tau(h_1) - (h_1)_*h_*^{-1}\tau(h) = (1-n)\tau,$$

where τ is the torsion of the $h.e.$ $h_1h^{-1}|_{E_{b_0}}$. It follows from the proof of Theorem 4 (Part II) that $(ld - (\psi_i)_*)\tau = 0$, for each i , where the ψ_i are the characteristic maps for $E_1 \rightarrow B$. So, multiplying both sides of the above equation by $g_*(h_1)_*^{-1}$ we get what we need. ■

PROOF OF THEOREM 5 (Part II): Assume that $f \simeq f'$, where $f': M \rightarrow B$ is a compact Q -manifold fiber bundle. Since $\mathcal{O}_2(f)$ is well-defined we may choose $E = M$ and $h = id$. Clearly $\mathcal{O}_2(f) = 0$.

On the other hand assume that $\mathcal{O}_2(f) = 0$. This means that $h_*^{-1}\tau(h) = g_*^{-1}(1-n)i_*(\tau)$, for some $\tau \in \text{Kernel}(\theta)$. We may write h as g_1g , where $g_1: \mathcal{E} \rightarrow E$ is a $f.h.e.$ Choose a compact Q -manifold N and a $h.e.$ $\alpha: E_{b_0} \rightarrow N$ such that $\tau(\alpha) = -\alpha_*((g_1)_{b_0})_*(\tau)$. Calculating we get

$$\begin{aligned} \tau(\alpha\psi_i\alpha^{-1}) &= \tau(\alpha) + \alpha_*(\psi_i)_*\tau(\alpha^{-1}) \\ &= \tau(\alpha) - \alpha_*(\psi_i)_*\alpha_*^{-1}\tau(\alpha) \\ &= -\alpha_*((g_1)_{b_0})_*(\tau) + \alpha_*(\psi_i)_*\alpha_*^{-1}\alpha_*((g_1)_{b_0})_*(\tau) \\ &= -\alpha_*(ld - (\psi_i)_*)((g_1)_{b_0})_*(\tau), \end{aligned}$$

which is zero because $\tau \in \text{Kernel}(\theta)$. (Recall that ψ_i is a characteristic map for $E \rightarrow B$, which must have 0 torsion because it can be chosen to be a homeomorphism.) Using the proof of Theorem 4 (Part

II) we can construct a compact Q -manifold fiber bundle $E_1 \rightarrow B$ such that $(E_1)_{b_0} = N$ and a *f.h.e.* $\tilde{\alpha}: E \rightarrow E_1$ extending α . Put $j: (E_1)_{b_0} \hookrightarrow E_1$ and calculate to get

$$\begin{aligned} \tau(\tilde{\alpha}g_1g) &= \tau(\tilde{\alpha}) + (\tilde{\alpha})_*\tau(g_1g) \\ &= j_*(1-n)\tau(\alpha) + (\tilde{\alpha})_*h_*g_*^{-1}(1-n)i_*(\tau) \\ &= -j_*(1-n)\alpha_*((g_1)_{b_0})_*(\tau) + (\tilde{\alpha})_*(g_1)_*(1-n)i_*(\tau), \end{aligned}$$

which is easily seen to be zero. Thus $\tilde{\alpha}g_1g: M \rightarrow E_1$ is homotopic to a homeomorphism which implies that f is homotopic to a compact Q -manifold fiber bundle projection. ■

7. Proof of Theorem 6

We first introduce some notation for this section. It follows from Theorem 2.3 that we may replace B by S^1 . Let $p: \mathcal{E} \rightarrow S^1$ be the mapping path fibration of $f: M \rightarrow S^1$, where $\mathcal{F}(f) = \mathcal{E}_{b_0}$, and let $h: M \rightarrow \mathcal{E}$ be a fixed *h.e.* so that $ph \simeq f$.

We use $\varphi: \mathcal{F}(f) \rightarrow \mathcal{F}(f)$ for a characteristic map corresponding to a choice of a generator for $\pi_1(S^1)$.

The First Obstruction. The first obstruction is just the obstruction $\mathcal{O}_1(f)$ of Theorem 5. We must show that the group in which $\mathcal{O}_1(f)$ lies is isomorphic to a subgroup of $\text{Wh } \pi_1(M)$. This is the group

$$\text{Cokernel}(\theta) = \text{Wh } \pi_1\mathcal{F}(f)/(ld - \varphi_*) \text{Wh } \pi_1\mathcal{F}(f).$$

If i is the inclusion map $\mathcal{F}(f) \hookrightarrow \mathcal{E}$, then it is shown in Theorem 8 that $\text{Kernel}(i_*) = (ld - \varphi_*) \text{Wh } \pi_1\mathcal{F}(f)$. Thus $\text{Cokernel}(\theta)$ is isomorphic with a subgroup of $\text{Wh } \pi_1(\mathcal{E}) \cong \text{Wh } \pi_1(M)$.

The Second Obstruction. We will need some more notation. Choose a finite complex K and a *h.e.* $g: \mathcal{F}(f) \rightarrow K$, and let $\psi: K \rightarrow K$ be the map $g\varphi g^{-1}$. Represent S^1 by $\{e^{2\pi it} \mid 0 \leq t \leq 1\}$, where $b_0 = 1$, and let $T(\psi) \rightarrow S^1$ be the natural map of the mapping torus to S^1 . The fibers of $T(\psi) \rightarrow S^1$ are all naturally identified with K .

We leave it as a manageable exercise for the reader to construct a *h.e.* $\alpha: \mathcal{E} \rightarrow T(\psi)$ such that $\alpha \mid \mathcal{E}_{b_0} = g$, α takes $\mathcal{E} \mid \{e^{2\pi it} \mid \frac{1}{2} \leq t \leq 1\}$ to $T(\psi) \mid \{e^{2\pi it} \mid \frac{1}{2} \leq t \leq 1\}$, and α is *f.p.* over $\{e^{2\pi it} \mid 0 \leq t \leq \frac{1}{2}\}$. We then define our second obstruction to be

$$\mathcal{O}_2(f) = h_*^{-1}\alpha_*^{-1}\tau(\alpha h) \in \text{Wh } \pi_1(M),$$

where $h: M \rightarrow \mathcal{E}$ is as chosen above.

LEMMA 7.1: $\mathcal{O}'_2(f)$ is well-defined.

PROOF: Let $g_1: \mathcal{F}(f) \rightarrow K_1$, $\psi_1 = g_1 \varphi g_1^{-1}$, $\alpha_1: \mathcal{E} \rightarrow T(\psi_1)$ be alternate choices. We must prove that

$$h_*^{-1} \alpha_*^{-1} \tau(\alpha h) = h_*^{-1} (\alpha_1)_*^{-1} \tau(\alpha_1 h),$$

and for this it suffices to prove that $\tau(\alpha_1 \alpha^{-1}) = 0$. (Just use the formula for the torsion of a composition.)

We may choose α^{-1} so that α^{-1} takes $T(\psi) | \{e^{2\pi i t} | \frac{1}{2} \leq t \leq 1\}$ to $\mathcal{E} | \{e^{2\pi i t} | \frac{1}{2} \leq t \leq 1\}$ and α^{-1} is *f.p.* over $(e^{2\pi i t} | 0 \leq t \leq \frac{1}{2})$. Write $T(\psi) = A \cup B$ and $T(\psi_1) = A_1 \cup B_1$, where

$$\begin{aligned} A &= T(\psi) | \{e^{2\pi i t} | 0 \leq t \leq \frac{1}{2}\}, & A_1 &= T(\psi_1) | \{e^{2\pi i t} | 0 \leq t \leq \frac{1}{2}\}, \\ B &= T(\psi) | \{e^{2\pi i t} | \frac{1}{2} \leq t \leq 1\}, & B_1 &= T(\psi_1) | \{e^{2\pi i t} | \frac{1}{2} \leq t \leq 1\}. \end{aligned}$$

Then $\alpha_1 \alpha^{-1}$ restricts to give *h.e.*'s of A to A_1 , B to B_1 and $A \cap B$ to $A_1 \cap B_1$. Using the Sum Theorem for torsion we have

$$\tau(\alpha_1 \alpha^{-1}) = a\tau(\alpha_1 \alpha^{-1} | A) + b\tau(\alpha_1 \alpha^{-1} | B) - c\tau(\alpha_1 \alpha^{-1} | A \cap B),$$

where a , b and c are inclusion-induced homomorphisms into $\text{Wh } \pi_1 T(\psi_1)$. It is easy to see that $a\tau(\alpha_1 \alpha^{-1} | A) = b\tau(\alpha_1 \alpha^{-1} | B)$. Clearly $A \cap B = K' \cup K''$ (two disjoint copies of K) and $A_1 \cap B_1 = K'_1 \cup K''_1$ (two disjoint copies of K_1). Computing torsions we get

$$\tau(\alpha_1 \alpha^{-1} | A \cap B) = \tau(\alpha_1 \alpha^{-1} | K') + \tau(\alpha_1 \alpha^{-1} | K''),$$

where we have omitted the necessary inclusion-induced homomorphisms. It is easy to see that

$$c\tau(\alpha_1 \alpha^{-1} | K') = c\tau(\alpha_1 \alpha^{-1} | K'') = a\tau(\alpha_1 \alpha^{-1} | A),$$

and therefore $\tau(\alpha_1 \alpha^{-1}) = 0$ by the above formula. ■

PROOF OF THEOREM 6: We first assume that $f \simeq f'$, where $f': M \rightarrow S^1$ is the projection map of a compact Q -manifold fiber bundle. It follows from the proof of Theorem 5 (Part I) that $\mathcal{O}_1(f) = 0$. By Theorem 2.2 we have $h \simeq h': M \rightarrow \mathcal{E}$, where h' is a *f.h.e.* Since $\mathcal{O}'_2(f)$ is well-defined we may choose $\alpha: \mathcal{E} \rightarrow T(\psi) = M$ to be $(h')^{-1}: \mathcal{E} \rightarrow M$, where ψ is a characteristic homeomorphism of the bundle $f: M \rightarrow S^1$. Then $\tau(\alpha h) = 0$ and consequently $\mathcal{O}'_2(f) = 0$.

On the other hand assume that $\mathcal{O}_1(f) = 0$ and $\mathcal{O}'_2(f) = 0$. Since $\mathcal{O}_1(f) = 0$ we have a *f.h.e.* $\alpha: \mathcal{E} \rightarrow E$, where $E \rightarrow S^1$ is a compact Q -manifold fiber bundle. In the definition of $\mathcal{O}'_2(f)$ we may take $T(\psi) = E$. Then $\mathcal{O}'_2(f) = 0$ implies that we have $\tau(\alpha h) = 0$. Thus αh is homotopic to a homeomorphism. ■

8. Proof of Theorem 7

We will first need some preliminary results on homotopies. Our main result is Corollary 8.3.

LEMMA 8.1: *With M and B as in the statement of Theorem 7, let $F: M \times I \rightarrow B$ be a map such that $F_0 = F_1$. Then $F \simeq G \text{ rel } M \times \{0, 1\}$, where $G: M \times I \rightarrow B$ is of the form $G(m, t) = r_t F_0(m)$, for some homotopy $r: B \times I \rightarrow B$ satisfying $r_0 = r_1 = \text{id}$.*

PROOF: Let $\Delta \subset B^I$ be the set of maps $\alpha: I \rightarrow B$ such that $\alpha(0) = \alpha(1)$. There is a natural map $p: \Delta \rightarrow B$ given by $p(\alpha) = \alpha(0)$. This map is a fibration. The fiber is a disjoint union of contractible open subsets (B is a $K(\pi, 1)$ and the fiber is ΩB .)

Let $\bar{\Delta}$ be the space obtained from Δ by identifying $\alpha \sim \alpha'$ iff α is homotopic to α' rel $\{0, 1\}$. Certainly $\bar{\Delta}$ is a covering space of B where the components of $\bar{\Delta}$ correspond to free homotopy classes of loops and the sheets in a component correspond to π_1 acting on based loops.

There is a natural map (the quotient) $q: \Delta \rightarrow \bar{\Delta}$ covering the identity on B . This map takes components in the fiber of Δ to points in the fiber of $\bar{\Delta}$ in a 1-1 fashion. By Theorem 2.1, q is a *f.h.e.* and has a fiber homotopy inverse, $q_1: \bar{\Delta} \rightarrow \Delta$. We can therefore find a *f.p.* deformation retraction $s: \Delta \times I \rightarrow \Delta$ such that $s_0 = \text{id}$ and $s_1(\Delta) = q_1(\bar{\Delta})$.

Each $m \in M$ determines a loop in B by $m \rightarrow F_t(m)$, $0 \leq t \leq 1$. This defines a map $k: M \rightarrow \Delta$ such that $F_t(m) = k(m)(t)$. Define $\bar{G}: M \times I \rightarrow \Delta$ by $\bar{G}_u(m) = s_u k(m)$. Then $\bar{G}_0(m)[t] = F_t(m)$, $\bar{G}_u(m)[0] = \bar{G}_u(m)[1] = f(m)$ and $\bar{G}_1(m)$ is a path depending only on $f(m)$. Defining $G_t(m) = \bar{G}_1(m)[t]$ we have a homotopy from F_0 to F_1 . Because $G_t(m)$ depends only on $f(m)$, we can write $G_t(m) = r_t F_0(m)$, for some $r: B \times I \rightarrow B$ satisfying $r_0 = r_1 = \text{id}$. ■

REMARK: The above result is true (with the same proof) for B any $K(\pi, 1)$.

LEMMA 8.2: *Let us choose B as in Theorem 7 and let $r: B \times I \rightarrow B$ be a homotopy such that $r_0 = r_1 = \text{id}$.*

(1) *If $n \geq 2$, then r is homotopic to the constant identity homotopy rel $B \times \{0, 1\}$.*

(2) *If $n = 1$, then r is homotopic (rel $B \times \{0, 1\}$) to a "standard rotation."*

PROOF: Let \tilde{B} be the universal cover of B and cover r by $\tilde{r}: \tilde{B} \times I \rightarrow \tilde{B}$ so that $\tilde{r}_0 = ld$. \tilde{r}_1 is a deck transformation properly homotopic to ld . It is therefore the identity if $n \geq 2$. Thus, all loops $r_t(b)$, $0 \leq t \leq 1$, are null-homotopic for $n \geq 2$. The component of $\bar{\Delta}$ containing the null-homotopic loops covers B trivially. The cover $\bar{\Delta}$ consists of disjoint trivial sheets for $n = 1$. Thus an argument similar to Lemma 8.1 homotopes r to a constant for $n \geq 2$ and to a “standard rotation” for $n = 1$. (If $B = S^1$, a “standard rotation” is a rotation through an integral multiple of 360° . For $B \simeq S^1$, the homotopy equivalence defines a standard rotation.) ■

COROLLARY 8.3 *Let us choose M, B as in Theorem 7 and let $g_1, g_2: M \rightarrow B$ be homotopic maps. Then any two homotopies from g_1 to g_2*

- (1) *are homotopic (rel g_1 and g_2) for $n \geq 2$, and*
- (2) *differ by a “standard rotation” of B for $n = 1$.*

The First Obstruction. For convenience we will henceforth refer to the fiber bundle $f_1: M \rightarrow B$ as $f_1: M_1 \rightarrow B$. By Theorem 2.2 we see that $ld: M_1 \rightarrow M$ is homotopic to a *f.h.e.* $g: M_1 \rightarrow M$. Choose $b_0 \in B$ so that $F = M_{b_0}$. The first obstruction is $\mathcal{P}_1(f_1) = \tau(g_{b_0}) \in \text{Wh } \pi_1(F)$, where $g_{b_0}: (M_1)_{b_0} \rightarrow F$.

LEMMA 8.4: $\mathcal{P}_1(f_1)$ is well defined.

PROOF: Let $g': M_1 \rightarrow M$ be another *f.h.e.* homotopic to ld . Both g and g' are obtained by lifting homotopies from f_1 to f . Thus g and g' depend only on the homotopy class (rel f_1 and f) of the homotopy from f_1 to f . If $n \geq 2$ we conclude by Corollary 8.3 that $g' \simeq g$ and therefore $\tau(g_{b_0}) = \tau(g'_{b_0})$. For $n = 1$ choose a characteristic map $\varphi: F \rightarrow F$ which is a homeomorphism. By Corollary 8.3 we have $g'_{b_0} = \varphi^k g_{b_0}$, for some $k \geq 0$. Computing we get

$$\tau(g'_{b_0}) = \tau(\varphi^k) + (\varphi^k)_* \tau(g_{b_0}) = (\varphi^k)_* \tau(g_{b_0}).$$

We showed in the proof of Theorem 4 (Part II) that $(ld - \varphi_*)\tau(g_{b_0}) = 0$. Thus $\tau(g'_{b_0}) = \tau(g_{b_0})$. ■

The Second Obstruction. Assume that $\mathcal{P}_1(f_1) = 0$. We have $\tau(g_{b_0}) = 0$ and therefore $g_{b_0}: (M_1)_{b_0} \rightarrow F$ is homotopic to a homeomorphism $g_1: (M_1)_{b_0} \rightarrow F$. Choose characteristic maps $\varphi_i: F \rightarrow F$, $1 \leq i \leq n$, where each φ_i is a homeomorphism. Similarly, choose characteristic maps

$\psi_i: F_1 \rightarrow F_1$, where $F_1 = (M_1)_{b_0}$. Define $\theta: \mathcal{P}(F) \rightarrow \mathcal{P}(F) \oplus \cdots \oplus \mathcal{P}(F)$ by

$$\theta(h) = (\varphi_1^{-1}h\varphi_1h^{-1}, \dots, \varphi_n^{-1}h\varphi_nh^{-1}).$$

It is easy to check that θ is a homomorphism since $\mathcal{P}(F)$ is abelian. We define $\mathcal{P}_2(f_1) \in \text{Cokernel}(\theta)$ to be the image of $(\varphi_1^{-1}g_1\psi_1g_1^{-1}, \dots, \varphi_n^{-1}g_n\psi_ng_n^{-1})$ in $\text{Cokernel}(\theta)$.

LEMMA 4: $\mathcal{P}_2(f_1)$ is well-defined.

PROOF: First assume that $n \geq 2$. Then all we have to do is show that if $g_2: (M_1)_{b_0} \rightarrow F$ is another homeomorphism homotopic to $g_{b_0}: (M_1)_{b_0} \rightarrow F$, then $\alpha = (\varphi_1^{-1}g_1\psi_1g_1^{-1}, \dots, \varphi_n^{-1}g_1\psi_ng_1^{-1})$ and $\beta = (\varphi_1^{-1}g_2\psi_1g_2^{-1}, \dots, \varphi_n^{-1}g_2\psi_ng_2^{-1})$ have the same image in $\text{Cokernel}(\theta)$. Since $\mathcal{P}(F)$ is abelian it is easy to see that

$$\varphi_i^{-1}g_2\psi_ig_2^{-1} = (\varphi_i^{-1}(g_2g_1^{-1})\varphi_i(g_2g_1^{-1})^{-1})(\varphi_i^{-1}g_1\psi_ig_1^{-1}),$$

which implies that $\beta\alpha^{-1} = \theta(g_2g_1^{-1})$.

For $n = 1$ let $g_2: (M_1)_{b_0} \rightarrow F$ be any homeomorphism homotopic to $\varphi^k g_{b_0}$. Then we must show that $\varphi^{-1}g_1\psi g_1^{-1}$ and $\varphi^{-1}g_2\psi g_2^{-1}$ have the same image in $\text{Cokernel}(\theta)$. We have just shown above that $\varphi^{-1}g_2\psi g_2^{-1}$ and $\varphi^{-1}(\varphi^k g_1)\psi(\varphi^k g_1)^{-1}$ have the same image. But

$$\varphi^{-1}(\varphi^k g_1)\psi(\varphi^k g_1)^{-1} = \varphi^k(\varphi^{-1}g_1\psi g_1^{-1})\varphi^{-k},$$

and therefore

$$(\varphi^{-1}(\varphi^k g_1)\psi(\varphi^k g_1)^{-1})(\varphi^{-1}g_1\psi g_1^{-1})^{-1} = \varphi^k(\varphi^{-1}g_1\psi g_1^{-1})\varphi^{-k}(\varphi^{-1}g_1\psi g_1^{-1})^{-1}.$$

So it remains to be shown that any element of the form $\varphi^k h \varphi^{-k} h^{-1}$ lies in $\text{Image}(\theta)$, for $h \in \mathcal{P}(F)$. But this follows from iterated use of the formula

$$\varphi^k h \varphi^{-k} h^{-1} = [\varphi(\varphi^{k-1} h \varphi^{-(k-1)})\varphi^{-1}(\varphi^{k-1} h \varphi^{-(k-1)})^{-1}][\varphi^{k-1} h \varphi^{-(k-1)} h^{-1}]. \quad \blacksquare$$

PROOF OF THEOREM 7: First assume that there is a *f.p.* homeomorphism $h: M_1 \rightarrow M$ such that $h \simeq ld$. Then $g_1 = h \mid (M_1)_{b_0}: (M_1)_{b_0} \rightarrow F$ is a homeomorphism and $\tau(h \mid (M_1)_{b_0}) = 0$. This proves that $\mathcal{P}_1(f_1) = 0$. For the second obstruction it can easily be argued from the existence of h that $g_1\psi g_1^{-1}$ is isotopic to φ_i , for $1 \leq i \leq n$. (Or we can refer to [7].) Therefore $\mathcal{P}_2(f_1) = 0$.

On the other hand assume that $\mathcal{P}_1(f_1) = 0$ and $\mathcal{P}_2(f_2) = 0$. Now $\mathcal{P}_1(f_1) = 0$ implies that there is a homeomorphism $g_1: (M_1)_{b_0} \rightarrow F$ which is homotopic to $g \mid (M_1)_{b_0}: (M_1)_{b_0} \rightarrow F$, where $g: M_1 \rightarrow M$ is a *f.h.e.*

homotopic to ld . Now $\mathcal{P}_2(f_1) = 0$ implies that

$$(\varphi_1^{-1}g_1\psi_1g_1^{-1}, \dots, \varphi_n^{-1}g_n\psi_n g_n^{-1}) = \theta(\alpha),$$

for some $\alpha \in \mathcal{P}(F)$. Thus $\varphi_i^{-1}g_i\psi_i g_i^{-1}$ is isotopic to $\varphi_i^{-1}\alpha\varphi_i\alpha^{-1}$, which implies that $(\alpha^{-1}g_i)\psi_i(\alpha^{-1}g_i)^{-1}$ is isotopic to φ_i , for each i . By [7] this implies that $\alpha^{-1}g_1$ extends to a *f.p.* homeomorphism of M_1 onto M . ■

9. Computation of a Kernel

Our main result is Theorem 8. We will first need the general construction of Lemma 9.1 below. For notation let $X \xrightarrow{f} B$ be a map and let $\tilde{B} \xrightarrow{p} B$ be a covering space. Form the pull-back,

$$\begin{array}{ccc} \tilde{X} & \xrightarrow{\tilde{f}} & \tilde{B} \\ q \downarrow & & \downarrow p \\ X & \xrightarrow{f} & B, \end{array}$$

where $\tilde{X} = \{(x, e) \mid f(x) = p(e)\}$. Each deck transformation $\varphi: \tilde{B} \rightarrow \tilde{B}$ induces a deck transformation $\tilde{\varphi}: \tilde{X} \rightarrow \tilde{X}$ defined by $\tilde{\varphi}(x, e) = (x, \varphi(e))$.

LEMMA 9.1: *Let $X_1 \xrightarrow{f_1} B$ and $X_2 \xrightarrow{f_2} B$ be maps, $\tilde{B} \xrightarrow{p} B$ be a covering space, and let $h: X_1 \rightarrow X_2$ be a homeomorphism such that $f_2 h \simeq f_1$. If the pull-back \tilde{X}_1 is connected, then there exists a homeomorphism $\tilde{h}: \tilde{X}_1 \rightarrow \tilde{X}_2$ such that \tilde{h} covers h and \tilde{h} commutes with the deck transformations of \tilde{X}_1 and \tilde{X}_2 which are induced by the deck transformations of \tilde{B} .*

PROOF: Since $f_2 h \simeq f_1$ there is a homotopy $F: \tilde{X}_1 \times I \rightarrow B$ so that F_0 is the composition $\tilde{X}_1 \xrightarrow{q_1} X_1 \xrightarrow{f_1} B$ and F_1 is the composition $\tilde{X}_1 \xrightarrow{q_1} X_1 \xrightarrow{h} X_2 \xrightarrow{f_2} B$. Note that F_0 can be lifted to $\tilde{X}_1 \xrightarrow{\tilde{f}_1} \tilde{B}$. Therefore $F: \tilde{X}_1 \times I \rightarrow B$ can be lifted to $\tilde{F}: \tilde{X}_1 \times I \rightarrow \tilde{B}$ so that $\tilde{F}_0 = \tilde{f}_1$. This induces a map $\tilde{h}: \tilde{X}_1 \rightarrow \tilde{X}_2$ defined by $\tilde{h}(x, e) = (h(x), \tilde{F}_1(x, e))$. We leave it as an exercise for the reader to check that \tilde{h} fulfills our requirements. ■

LEMMA 9.2: *Let K be a finite complex and let $\varphi: K \rightarrow K$ be a homotopy equivalence. If $T(\varphi)$ is the mapping torus of φ and i is the natural inclusion $K \hookrightarrow T(\varphi)$, then $i_*(ld - \varphi_*) = 0$, where i_* and φ_* are the induced homomorphisms on the Whitehead groups of K and $T(\varphi)$.*

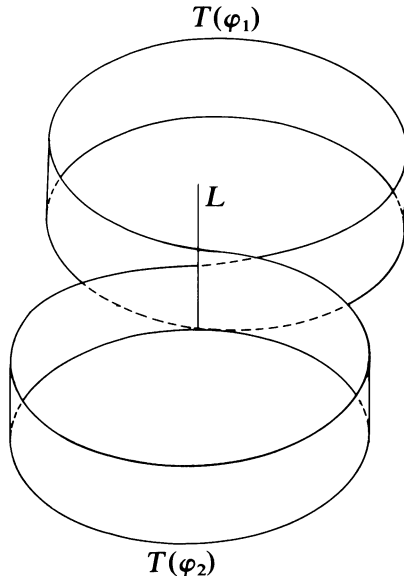
PROOF: Choose any torsion $\tau \in \text{Wh } \pi_1(K)$. We must prove that $i_*(\tau) = i_*\varphi_*(\tau)$. By [6] we may represent τ by a pair $[L, K]$, where L is a finite complex containing K as a deformation retract. This means that $\tau = \tau(f)$, where $f: L \rightarrow K$ is any deformation retraction. It then follows that $\varphi_*\tau(f)$ may be represented by $[L \cup_{\varphi} K, K]$ (we assume that φ is a PL map). Applying i_* we observe that $i_*\tau(f)$ may be represented by $[L \cup T(\varphi), T(\varphi)]$ and $i_*\varphi_*\tau(f)$ may be represented by $[L \cup_{\varphi} T(\varphi), T(\varphi)]$. But $if \approx i\varphi f$, and this implies that $[L \cup T(\varphi), T(\varphi)]$ and $[L \cup_{\varphi} T(\varphi), T(\varphi)]$ represent the same torsion in $\text{Wh } \pi_1(T(\varphi))$. ■

LEMMA 9.3: Let K be a finite connected complex and let $\varphi_i: K \rightarrow K$ be a homotopy equivalence, for $1 \leq i \leq n$. Define X to be the space formed by sewing the mapping tori $T(\varphi_i)$ together along $K \equiv K \times \{0\} \equiv K \times \{1\}$ in $T(\varphi_i)$. Then the kernel of the inclusion-induced map $i_*: \text{Wh } \pi_1(K) \rightarrow \text{Wh } \pi_1(X)$ is

$$G = \{ \tau \in \text{Wh } \pi_1(K) \mid \tau = (ld - (\varphi_1)_*)\tau_1 + \dots + (ld - (\varphi_n)_*)\tau_n \}.$$

PROOF: It follows from Lemma 9.2 that each element of G lies in the kernel of i_* . For the other half we will assume $n = 2$. The other cases can be treated similarly.

Choose any torsion $\tau \in \text{Wh } \pi_1(K)$ for which $i_*(\tau) = 0$. As in Lemma 9.2 we may represent τ by a pair $[L, K]$. The condition $i_*(\tau) = 0$ implies that the inclusion $X \hookrightarrow X \cup L$ is simple. Multiplying by Q and



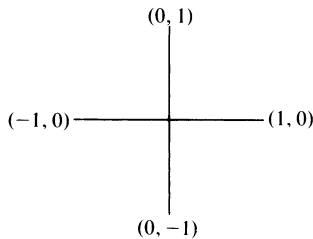
applying [3, Theorem 29.4] there is a homeomorphism $h: X \times Q \rightarrow (X \cup L) \times Q$ which is homotopic to the inclusion. Using Z -set unknotting we may assume that $h \mid X \times \{0\} = id$. There is a natural map $f: X \rightarrow B = S_1^1 \cup S_2^1$ so that K is sent to the wedge point of B and $T(\varphi_i)$ is wrapped once around S_i^1 . We choose notation so that $f^{-1}(b)$ is a copy of K , for each $b \in B$, and passing down the “rays” of $T(\varphi_i)$ covers a path wrapping counterclockwise around S_i^1 . That is, in the representation $T(\varphi_i) = K \times [0, 1] / \sim$, passing from 0 to 1 corresponds to going counterclockwise around S^1 . Let $X_1 = X \cup L$ and define $f_1: X_1 \rightarrow B$ by the composition $X_1 \rightarrow X \xrightarrow{f} B$, where the first map is obtained by taking a deformation retraction of L onto K . Above is a picture of X_1 , where L is represented by a segment added to $K = T(\varphi_1) \cap T(\varphi_2)$.

Form the pull-backs as in Lemma 9.1,

$$\begin{array}{ccc}
 \tilde{X} \xrightarrow{f} \tilde{B} & \tilde{X}_1 \xrightarrow{f_1} \tilde{B} & \times \\
 \downarrow & \downarrow p & \downarrow & \downarrow p \\
 X \xrightarrow{f} B & X_1 \xrightarrow{f_1} B, & &
 \end{array}$$

where \tilde{B} is the universal covering space of B . The homeomorphism h lifts to a homeomorphism $\tilde{h}: \tilde{X} \times Q \rightarrow \tilde{X}_1 \times Q$ for which $\tilde{h} \mid \tilde{X} \times \{0\} = id$ and \tilde{h} commutes with the deck transformations of $\tilde{X} \times Q$ and $\tilde{X}_1 \times Q$ which are induced by the deck transformations of \tilde{B} .

\tilde{B} is a 1-complex such that p takes each vertex to the wedge point of B and p wraps each 1-simplex once around S_1^1 or S_2^1 . Let A_1 be the following subset of the plane.



We may identify A_1 with a subcomplex of \tilde{B} so that p wraps the horizontal 1-simplexes in A_1 around S_1^1 and the vertical 1-simplexes around S_2^1 . Choose notation so that the positive directions on A_1 correspond to the clockwise directions on S_1^1 and S_2^1 . Let T_1 be the

deck transformation of \tilde{B} taking $(0, 0)$ to $(1, 0)$ and let T_2 be the deck transformation taking $(0, 0)$ to $(0, 1)$.

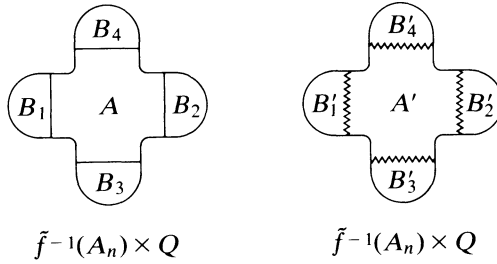
Let

$$A_{1/2} = ([-\frac{1}{2}, \frac{1}{2}] \times \{0\}) \cup (\{0\} \times [-\frac{1}{2}, \frac{1}{2}]) \subset A_1$$

and choose a finite connected subcomplex A_n of \tilde{B} so large that

$$A' = \tilde{h}^{-1}(\tilde{f}_1^{-1}(A_{1/2}) \times Q) \subset \text{Int } \tilde{f}^{-1}(A_n) \times Q.$$

Let $A = \tilde{f}^{-1}(A_{1/2}) \times Q$. Then A and A' divide $\tilde{f}^{-1}(A_n) \times Q$ into components as pictured.



The components are named so that $B_i \cap (\tilde{X} \times \{0\}) = B'_i \cap (\tilde{X} \times \{0\})$, $A \cap B_1 = \tilde{f}^{-1}(\{-\frac{1}{2}, 0\}) \times Q$, $A \cap B_2 = \tilde{f}^{-1}(\{\frac{1}{2}, 0\}) \times Q$, $A \cap B_3 = \tilde{f}^{-1}(\{(0, -\frac{1}{2})\}) \times Q$, and $A \cap B_4 = \tilde{f}^{-1}(\{(0, \frac{1}{2})\}) \times Q$. Additionally, define $K_i = A \cap B_i \cap (\tilde{X} \times \{0\})$ and note that each K_i has a standard identification with K . We observe that the pair $[A, K_i]$ represents the 0 torsion of $\text{Wh } \pi_1(K)$ and $[A', K_i]$ represents the given torsion $\tau \in \text{Wh } \pi_1(K)$.

An easy torsion calculation gives us

$$(*) [f^{-1}(A_n) \times Q, K_1] = [B'_1, K] + (A', K) + (\varphi_1)_*[B'_2, K] + [B'_3, K] + (\varphi_2)_*[B'_4, K].$$

Let $S_i: \tilde{X} \times Q \rightarrow \tilde{X} \times Q$ be the deck transformation induced by T_i . Since \tilde{h} commutes with the induced deck transformations we observe that

$$B'_1 \cup S_1^{-1}(B'_2) = B_1 \cup S_1^{-1}(B_2),$$

$$B'_3 \cup S_2^{-1}(B'_4) = B_3 \cup S_2^{-1}(B_4).$$

Thus

$$[B_1 \cup S_1^{-1}(B_2), K] = [B'_1, K] + [S_1^{-1}(B'_2), K],$$

$$[B_3 \cup S_2^{-1}(B_4), K] = [B'_3, K] + [S_2^{-1}(B'_4), K].$$

It is easy to see that $[S_1^{-1}(B_2), K] = [B'_2, K]$ and $[S_2^{-1}(B_4), K] = [B'_4, K]$. Substituting all this in (*) above we get

$$(**)[\tilde{f}^{-1}(A_n) \times Q, K_1] - [B_1 \cup S_1^{-1}(B_2), K] - [B_3 \cup S_2^{-1}(B_4), K] \\ = ((\varphi_1)_* - ld)[B'_2, K] + ((\varphi_2)_* - ld)[B'_4, K] + [A', K_1].$$

We now compute the left-hand side of (**). Note that

$$[B_1 \cup S_1^{-1}(B_2), K] = [B_1, K] + [B_2, K],$$

$$[B_3 \cup S_2^{-1}(B_4), K] = [B_3, K] + [B_4, K],$$

$$[\tilde{f}^{-1}(A_n) \times Q, K_1] = [B_1, K] + (\varphi_1)_*[B_2, K] + [B_3, K] + (\varphi_2)_*[B_4, K].$$

Substituting this into (**) above we get

$$((\varphi_1)_* - ld)[B_2, K] + ((\varphi_2)_* - ld)[B_4, K] = ((\varphi_1)_* - ld)[B'_2, K] \\ + ((\varphi_2)_* - ld)[B'_4, K] + [A', K_1].$$

This is all we need. ■

THEOREM 8: *Let $\mathcal{E} \rightarrow B$ be a Hurewicz fibration, where B is h.e. to a wedge of n 1-spheres and the fiber $F = \mathcal{E}_{b_0}$ is h.e. to a finite connected complex. If i is the inclusion map $F \hookrightarrow \mathcal{E}$ and $\{\varphi_i\}_{i=1}^n$ is the collection of characteristic maps $\varphi_i: F \rightarrow F$, then the kernel of $i_*: \text{Wh } \pi_1(F) \rightarrow \text{Wh } \pi_1(\mathcal{E})$ is*

$$\{\tau \in \text{Wh } \pi_1(F) \mid \tau = (ld - (\varphi_1)_*)\tau_1 + \cdots + (ld - (\varphi_n)_*)\tau_n\}.$$

PROOF: By taking a h.e. of a wedge of n 1-spheres to B and forming the pull-back, we may assume that B is a wedge of n 1-spheres, $B = S_1^1 \cup \cdots \cup S_n^1$. Choose $b_0 \in B$ to be the wedge point and let $\varphi_i: F \rightarrow F$ be the characteristic maps. Let $\alpha: \mathcal{E}_{b_0} \rightarrow K$ be a h.e. of \mathcal{E}_{b_0} to a finite complex. Define $\psi_i = \alpha\varphi_i\alpha^{-1}: K \rightarrow K$ and form the space $X \rightarrow B$ of Lemma 9.3. We leave it as a manageable exercise for the reader to construct a h.e. $\beta: \mathcal{E} \rightarrow X$ such that

$$\begin{array}{ccc} \mathcal{E} & \xrightarrow{\beta} & X \\ & & \uparrow j \\ \mathcal{E}_{b_0} & \xrightarrow{\quad} & X_{b_0} \\ & \uparrow i & \uparrow j \end{array}$$

homotopy commutes. Then $\text{Kernel}(i_*) = \text{Kernel}(j_*\alpha_*)$ and all we need is Lemma 9.3. ■

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(Oblatum 4–VI–1976 and 22–II–1977)

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