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## **Percolation on nonamenable products at the uniqueness threshold**

by

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**ABSTRACT.** – Let  $X$  and  $Y$  be infinite quasi-transitive graphs, such that the automorphism group of  $X$  is not amenable. For i.i.d. percolation on the direct product  $X \times Y$ , we show that the set of retention parameters  $p$  where a.s. there is a unique infinite cluster, does not contain its infimum  $p_u$ . This extends a result of Schonmann, who considered the direct product of a regular tree and  $\mathbb{Z}$ . © 2000 Éditions scientifiques et médicales Elsevier SAS

*Key words:* Percolation, Cayley graphs, Amenability

**RÉSUMÉ.** – Soit  $X$  et  $Y$  des graphes infinis quasi-transitifs, tels que le groupe d'automorphismes de  $X$  n'est pas moyennable. Pour la percolation i.i.d. sur le produit direct  $X \times Y$ , nous montrons que l'ensemble des paramètres  $p$  pour lesquels p.s. il y a un unique amas infini ne contient pas son infimum  $p_u$ . Cela étend un résultat de Schonmann, qui considérait le produit direct d'un arbre régulier avec  $\mathbb{Z}$ . © 2000 Éditions scientifiques et médicales Elsevier SAS

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## 1. INTRODUCTION

Let  $X = (V_X, E_X)$  be an infinite, locally finite, connected graph. Say that  $X$  is *transitive* if its automorphism group  $\text{Aut}(X)$  has a single orbit in  $V_X$ ; more generally, if  $\text{Aut}(X)$  has finitely many orbits in  $V_X$ , then  $X$  is called *quasi-transitive*. In i.i.d. bond percolation with retention parameter  $p \in [0, 1]$  on  $X$ , each edge is independently assigned the value 1 (open) with probability  $p$ , and the value 0 (closed) with probability  $1 - p$ . We write  $\mathbf{P}_p^X$ , or simply  $\mathbf{P}_p$ , for the resulting probability measure on  $\{0, 1\}^{E_X}$ . A connected component of open edges is called a *cluster*. The critical parameters for percolation on  $X$  are

$$p_c(X) = \inf \{ p \in [0, 1] : \mathbf{P}_p^X(\exists \text{ an infinite cluster}) = 1 \};$$

$$p_u(X) = \inf \{ p \in [0, 1] : \mathbf{P}_p^X(\exists \text{ a unique infinite cluster}) = 1 \}.$$

We now state our result; further background and references will follow.

**THEOREM 1.1.** – *Let  $X$  and  $Y$  be infinite, locally finite, connected quasi-transitive graphs and suppose that  $\text{Aut}(X)$  is not amenable. Then on the direct product graph  $X \times Y$ ,*

$$\mathbf{P}_{p_u}(\exists \text{ a unique infinite cluster}) = 0.$$

*Remarks.* –

- For the definition of amenable groups, see, e.g., [14].
- Theorem 1.1 and its proof may be adapted to site percolation as well.
- In the case where  $X$  is a regular tree of degree  $d \geq 3$  and  $Y = \mathbb{Z}$ , Theorem 1.1 is due to Schonmann [20].
- Given two graphs  $X = (V_X, E_X)$  and  $Y = (V_Y, E_Y)$ , the *direct product graph*  $X \times Y$  has vertex set  $V_X \times V_Y$ ; the vertices  $(x_1, y_1)$  and  $(x_2, y_2)$  in  $V_X \times V_Y$  are adjacent in  $X \times Y$  iff either  $x_1 = x_2$  and  $[y_1, y_2] \in E_Y$ , or  $y_1 = y_2$  and  $[x_1, x_2] \in E_X$ .
- Our proof of Theorem 1.1 is based on the following ingredients:
  - (i) The characterization of  $p_u$  in terms of connection probabilities between large balls, due to Schonmann [19]; see Theorem 2.1.
  - (ii) The principle that for a (possibly dependent) percolation process, that is invariant under a nonamenable automorphism group, *high marginals yield infinite clusters*. This principle was proved by Häggström [8] for regular trees; it was extended to graphs with a nonamenable automorphism group by Ben-

jamini, Lyons, Peres and Schramm [2]. (See Theorems 2.2 and 2.3 below.)

- (iii) The *shadowing method* used in Pemantle and Peres [16] to prove that there is no automorphism-invariant measure on spanning trees in any nonamenable direct product  $X \times Y$  of the type considered in Theorem 1.1.

The first two ingredients are explained in the next section; (ii) was used in [3] to prove that percolation at level  $p_c$  on any nonamenable Cayley graph has no infinite clusters. Section 3 contains the proof of Theorem 1.1, and we will point out there where the shadowing method is used.

## 2. BACKGROUND

In an infinite tree, clearly  $p_u = 1$ , and in quasi-transitive amenable graphs, the arguments of Burton and Keane [5] yield that  $p_u = p_c$  (see [6]). Examples of transitive graphs where  $p_c < p_u < 1$  were provided by Grimmett and Newman [7], Benjamini and Schramm [4] and Lalley [11]. The conjecture stated in [4] that  $p_c < p_u$  on any nonamenable Cayley graph, is still open. Benjamini and Schramm also conjectured that on any quasi transitive graph, for all  $p > p_u$  there is a unique infinite cluster  $\mathbf{P}_p$ -a.s. This was established by Häggström and Peres [9] under a unimodularity assumption, and by Schonmann [19] in general. The latter paper also contains the following useful expression for  $p_u$ :

**THEOREM 2.1** ([19]). – *Let  $X$  be any quasi-transitive graph. Then*

$$p_u(X) = \inf \left\{ p: \lim_{R \rightarrow \infty} \inf_{x, z \in V_X} \mathbf{P}_p(B_R(x) \leftrightarrow B_R(z)) = 1 \right\}, \quad (2.1)$$

*Notation.* – Let  $(V, E)$  be a locally finite graph.

- For  $K_1, K_2 \subset V$ , we write  $K_1 \leftrightarrow K_2$  for the event that there is an open path from some vertex in  $K_1$  to some vertex in  $K_2$ .
- For  $x, z \in V$  and  $F \subset E$ , denote by  $\text{dist}(x, z; F)$  the minimal length of a path in  $F$  from  $x$  to  $z$ .
- For  $x \in V$  and  $R > 0$ , let  $B_R(x) := \{z \in V: \text{dist}(x, z; E) \leq R\}$ .

In [10], Theorem 2.1 is used to show that  $p_u(\Gamma) \leq p_c(\mathbb{Z}^d)$  for any graph  $\Gamma$  which is a direct product of  $d$  infinite connected graphs of bounded degree.

Next, we discuss the relation between nonamenability and invariant percolation. Let  $X$  be a locally finite graph, and endow the automorphism group  $\text{Aut}(X)$  with the topology of pointwise convergence. Then any closed subgroup  $G$  of  $\text{Aut}(X)$  is locally compact, and the stabilizer  $S(x) = S_G(x) := \{g \in G: gx = x\}$  of any vertex  $x$  is compact. We start with a qualitative statement.

**THEOREM 2.2** ([2, Theorem 5.1]). – *Let  $X$  be a locally finite graph and let  $G$  be a closed subgroup of  $\text{Aut}(X)$ . Then  $G$  is nonamenable iff there exists a threshold  $\eta_G > 0$ , such that if a  $G$ -invariant site percolation  $\Lambda$  on  $X$  satisfies  $\mathbf{P}[x \notin \Lambda] < \eta_G$  for all  $x \in V_X$ , then  $\Lambda$  has infinite clusters with positive probability.*

The proof of this result in [2] uses a method of Adams and Lyons [1], that does not yield any estimate for the threshold  $\eta_G$ . Although Theorem 2.2 suffices for the proof of Theorem 1.1, we take this opportunity to complete the discussion of quantitative thresholds from Section 4 of [2]. This avoids the nonconstructive definition of amenability via invariant means, and will also allow us to obtain quantitative bounds on the intrinsic graph metric within the unique percolation cluster for  $p > p_u$ . (See the second remark in Section 4.)

Say that a subgroup  $G$  of  $\text{Aut}(X)$  is quasi-transitive if it has finitely many orbits in  $V_X$ . Let  $\mu$  be the left Haar measure on  $G$ , and denote  $\mu_*(v) := \mu[S(v)]$  for  $v \in V_X$ . For any finite set  $K \subset V_X$ , denote by  $\partial K$  the set of vertices in  $V_X \setminus K$  adjacent to  $K$ , and let  $\mu_*(K) := \sum_{x \in K} \mu_*(x)$ . Define

$$\kappa_G := \inf \left\{ \frac{\mu_*(\partial K)}{\mu_*(K)} : K \subset V_X \text{ is finite nonempty} \right\}.$$

For  $x \in V_X$  and  $\omega \subset V_X$ , denote by  $\mathcal{C}(x, \omega)$  the connected component of  $x$  in  $\omega$  with respect to the edges induced from  $E_X$ . (This component is empty if  $x \notin \omega$ .)

The next theorem combines several results from [2]; we will provide the additional arguments needed below.

**THEOREM 2.3.** – *Let  $X$  be a locally finite graph, and suppose that  $G$  is a closed quasi-transitive subgroup of  $\text{Aut}(X)$ . Choose a complete set  $\{v_1, \dots, v_L\}$  of representatives in  $V_X$  of the orbits of  $G$ . Then*

- (i)  $G$  is nonamenable iff  $\kappa_G > 0$ .

(ii) Let  $\Lambda$  be a  $G$ -invariant site percolation on  $X$ . If  $\kappa_G > 0$ , then

$$\sum_{i=1}^L \mathbf{P}[|\mathcal{C}(v_i, \Lambda)| < \infty] \leq \sum_{i=1}^L \frac{\kappa_G + \deg(v_i)}{\kappa_G} \mathbf{P}[v_i \notin \Lambda]. \quad (2.2)$$

Consequently, if

$$\forall x \in V_X, \quad \mathbf{P}[x \notin \Lambda] < \frac{\kappa_G}{\kappa_G + \deg(x)}, \quad (2.3)$$

then  $\Lambda$  has infinite clusters with positive probability.

(The threshold in (2.3) is sharp for regular trees, see Häggström [8, Theorem 8.1].)

To prove Theorem 2.3, we need the following version of the *mass transport principle*, obtained from Corollary 3.7 in [2] by setting  $a_i \equiv 1$ :

LEMMA 2.4. – *Let  $X$ ,  $G$  and  $\{v_1, \dots, v_L\}$  be as in Theorem 2.3. Suppose that the function  $f : V_X \times V_X \rightarrow [0, \infty]$  is invariant under the diagonal action of  $G$ . Then*

$$\sum_{i=1}^L \sum_{z \in V_X} f(v_i, z) = \sum_{j=1}^L \sum_{u \in V_X} f(u, v_j) \frac{\mu_*(u)}{\mu_*(v_j)}.$$

*Proof of Theorem 2.3. –*

- (i) This follows from Theorem 3.9 and Lemma 3.10 in [2].
- (ii) Let  $v, z \in V_X$  and  $\omega \subset V_X$ . If  $v \in \omega$ , the component  $\mathcal{C}(v, \omega)$  is finite, and  $z \in \partial\mathcal{C}(v, \omega)$ , then define

$$f_0(v, z, \omega) = \frac{\mu_*(z)}{\mu_*(\partial\mathcal{C}(v, \omega))};$$

otherwise, take  $f_0(v, z, \omega) = 0$ . For any vertex  $v$ , clearly

$$\sum_{z \in V_X} f_0(v, z, \omega) = \mathbf{1}_{\{0 < |\mathcal{C}(v, \omega)| < \infty\}}. \quad (2.4)$$

Since  $v$  can be adjacent to at most  $\deg(v)$  components of  $\omega$ ,

$$\begin{aligned} \sum_{u \in V_X} f_0(u, v, \omega) \frac{\mu_*(u)}{\mu_*(v)} &= \sum_{u \in V_X} \mathbf{1}_{\{v \in \partial\mathcal{C}(u, \omega)\}} \frac{\mu_*(u)}{\mu_*(\partial\mathcal{C}(u, \omega))} \\ &\leq \frac{\deg(v)}{\kappa_G} \mathbf{1}_{\{v \notin \omega\}}. \end{aligned} \quad (2.5)$$

The function  $f(v, z) := \mathbf{E}f_0(v, z, \Lambda)$  is invariant under the diagonal action of  $G$ . By (2.4) and (2.5), for any  $v \in V_X$  we have  $\sum_{z \in V_X} f(v, z) = \mathbf{P}[0 < |\mathcal{C}(v, \Lambda)| < \infty]$  and

$$\sum_{u \in V_X} f(u, v) \frac{\mu_*(u)}{\mu_*(v)} \leq \frac{\deg(v)}{\kappa_G} \mathbf{P}[v \notin \Lambda].$$

Taking  $v = v_i$  and summing over  $i$ , we obtain from Lemma 2.4 that

$$\sum_{i=1}^L \mathbf{P}[0 < |\mathcal{C}(v_i, \Lambda)| < \infty] \leq \sum_{i=1}^L \frac{\deg(v_i)}{\kappa_G} \mathbf{P}[v_i \notin \Lambda]. \tag{2.6}$$

Since  $\mathbf{P}[|\mathcal{C}(v_i, \Lambda)| < \infty] = \mathbf{P}[0 < |\mathcal{C}(v_i, \Lambda)| < \infty] + \mathbf{P}[v_i \notin \Lambda]$ , (2.2) follows. Finally, if (2.3) holds, then the right-hand side of (2.2) is less than  $L$ , so at least one of the probabilities on the left-hand side of (2.2) is less than 1.  $\square$

### 3. PROOF OF NONUNIQUENESS AT $p_u$

We will use the canonical coupling of the percolation processes for all  $p$ , obtained by equipping the edges of a graph  $(V, E)$  with i.i.d. random variables  $\{U(e)\}_{e \in E}$ , uniform in  $[0, 1]$ . Denote by  $\mathbf{P}$  the resulting product measure on  $[0, 1]^E$ . For each  $p$ , the edge set  $\mathcal{E}(p) := \{e \in E : U(e) \leq p\}$  has the same distribution as the set of open edges under  $\mathbf{P}_p$ . Denote by  $\mathcal{C}(w, p)$  the connected component of a vertex  $w$  in the subgraph  $(V, \mathcal{E}(p))$ , and for  $W \subset V$ , write  $\mathcal{C}(W, p) := \bigcup_{w \in W} \mathcal{C}(w, p)$ . We need the following easy lemma.

LEMMA 3.1. – *Consider the coupling defined above on a graph  $(V, E)$ , and fix  $p_1 < p_2$  in  $[0, 1]$ . For any two sets  $K, W \subset V$  and  $M < \infty$ , denote by  $A_M(K, W; p_1)$  the event that infinitely many vertices in  $\mathcal{C}(K, p_1)$  are within distance at most  $M$  from  $\mathcal{C}(W, p_1)$ . Then*

$$\mathbf{P}[K \leftrightarrow W \text{ in } \mathcal{E}(p_2) \mid A_M(K, W; p_1)] = 1.$$

*Proof.* – On the event  $A_M(K, W; p_1)$ , there are infinitely many paths  $\{\psi_j\}$  of length at most  $M$  from  $\mathcal{C}(K, p_1)$  to  $\mathcal{C}(W, p_1)$ . Each of these paths intersects at most finitely many of the others, so we can extract an infinite

subcollection  $\{\psi'_j\}$  of edge-disjoint paths. Thus on  $A_M(K, W; p_1)$ ,

$$\mathbf{P}[\psi'_j \text{ open in } \mathcal{E}(p_2) \mid \mathcal{E}(p_1)] \geq (p_2 - p_1)^M$$

for each  $j$ , and the assertion follows.  $\square$

*Proof of Theorem 1.1.* – We will show that in  $X \times Y$ , if

$$\mathbf{P}_p[\exists \text{ a unique infinite cluster}] = 1, \tag{3.1}$$

then  $p > p_u$ . Let  $G = \text{Aut}(X)$ , and fix a threshold  $\eta_G > 0$  as in Theorem 2.2. (By Theorem 2.3, we can take  $\eta_G = \kappa_G / (\kappa_G + D_X)$  where  $D_X := \max_{x \in V_X} \text{deg}(x)$ .) Denote by  $\mathcal{C}_\infty(p)$  the unique infinite cluster in  $\mathcal{E}(p)$ , and define

$$\Gamma_1 = \Gamma_1(r) := \{v \in V_{X \times Y} : B_r(v) \cap \mathcal{C}_\infty(p) \neq \emptyset\}.$$

By (3.1) and quasi-transitivity of  $X \times Y$ , there exists  $r$  such that

$$\forall v \in V_{X \times Y}, \quad \mathbf{P}[v \notin \Gamma_1(r)] < \eta_G/6. \tag{3.2}$$

Next, define

$$\Gamma_2 = \Gamma_2(r, n) := \{v \in V_{X \times Y} : \forall v_0, v_1 \in B_{r+1}(v) \cap \mathcal{C}_\infty(p), \\ \text{dist}(v_0, v_1; \mathcal{E}(p)) < n\}.$$

Once  $r$  is chosen, we can find  $n$  such that

$$\forall v \in V_{X \times Y}, \quad \mathbf{P}[v \notin \Gamma_2(r, n)] < \eta_G/6. \tag{3.3}$$

Denote by  $D = D_{X \times Y}$  the maximal degree in  $X \times Y$ .

CLAIM. – Fix  $r, n$  as above. If

$$p_* > p - \frac{\eta_G}{6D^{r+n}}, \tag{3.4}$$

then

$$\lim_{R \rightarrow \infty} \inf_{v^1, v^2 \in V_{X \times Y}} \mathbf{P}_{p_*}[B_R(v^1) \leftrightarrow B_R(v^2)] = 1. \tag{3.5}$$

By Theorem 2.1, the last equation yields that  $p_u \leq p_*$ , so the claim implies that

$$p_u \leq p - \frac{\eta_G}{6D^{r+n}}. \tag{3.6}$$



To prove the claim, choose  $p_1, p_2$  such that

$$p_1 < p_2 < p_* \quad \text{and} \quad p - p_1 < \frac{\eta_G}{6D^{r+n}}. \tag{3.7}$$

Use the canonical coupling variables  $\{U(e)\}$  to define

$$\Gamma_3 = \Gamma_3(r, n, p_1) := \{v \in V_{X \times Y} : U(e) \notin [p_1, p] \text{ for all edges } e \text{ in } B_{r+n}(v)\}.$$

Since  $D^{r+n}$  bounds the number of edges in a ball of radius  $r + n$  in  $X \times Y$ , (3.7) gives

$$\forall v \in V_{X \times Y}, \quad \mathbf{P}[v \notin \Gamma_3(r, n, p_1)] < \eta_G/6.$$

Let  $\Gamma_\diamond := \Gamma_1(r) \cap \Gamma_2(r, n) \cap \Gamma_3(r, n, p_1)$ , and note that  $\mathbf{P}[(x, y) \notin \Gamma_\diamond] < \eta_G/2$  for any  $(x, y) \in V_{X \times Y}$ . The “shadowing method” which is the key to our argument, is based on defining a site percolation on  $X$  that requires “good behavior” simultaneously in two levels,  $X \times \{y_0\}$  and  $X \times \{y_1\}$ . Fix  $y_0, y_1 \in V_Y$ , and consider

$$\Lambda := \{x \in V_X : (x, y_0) \in \Gamma_\diamond \text{ and } (x, y_1) \in \Gamma_\diamond\}.$$

$\Lambda$  is a  $G$ -invariant site percolation on  $X$ , with  $\mathbf{P}[x \notin \Lambda] < \eta_G$  for every vertex  $x$ . Thus

$$\mathbf{P}[\Lambda \text{ has an infinite component}] > 0, \tag{3.8}$$

by Theorem 2.2. Since the event in (3.8) is  $G$ -invariant and determined by the i.i.d. variables in the canonical coupling, it must have probability 1. (The action of  $G$  on  $X$  has infinite orbits, whence the induced action on the random field  $\{U_e\}_{e \in E_X}$  is ergodic.)

Our next task is to verify that for any infinite path with vertices  $\{x_j\}_{j \geq 1}$  in  $\Lambda$ , its lift  $\xi_0 := \{(x_j, y_0)\}_{j \geq 1}$  to  $X \times \{y_0\}$ , is “shadowed” by an infinite path with edges in  $\mathcal{E}(p_1)$ , that remains a bounded distance from  $\xi_0$ . Indeed, the ball  $B_r(x_j, y_0)$  contains a point  $v_j^0$  in  $\mathcal{C}_\infty(p)$  by the definition of  $\Gamma_1$ , and there is a path in  $\mathcal{E}(p_1)$  from  $v_j^0$  to  $v_{j+1}^0$  by the definitions of  $\Gamma_2$  and  $\Gamma_3$ . Concatenating these finite paths gives an infinite path with edges in  $\mathcal{E}(p_1)$ , that intersects  $B_r(x_j, y_0)$  for each  $j \geq 1$ . Similarly, there is an infinite path with edges in  $\mathcal{E}(p_1)$ , that intersects  $B_r(x_j, y_1)$  for each  $j \geq 1$ .

Therefore, Lemma 3.1 with  $M = 2r + \text{dist}(y_0, y_1; E_Y)$  implies that for any  $x_1 \in V_X$ ,

$$\mathbf{P}[B_r(x_1, y_0) \leftrightarrow B_r(x_1, y_1) \text{ in } \mathcal{E}(p_2) \mid \mathcal{C}(x_1, \Lambda) \text{ is infinite}] = 1. \quad (3.9)$$

Let  $\varepsilon > 0$ . Since the event in (3.8) has probability 1, there exists  $R_0$  such that for all  $x \in V_X$ ,

$$\mathbf{P}[B_{R_0}(x) \text{ intersects an infinite component of } \Lambda] > 1 - \varepsilon. \quad (3.10)$$

Let  $R = R_0 + r$ . By (3.9), (3.10) and the triangle inequality,

$$\mathbf{P}[B_R(x, y_0) \leftrightarrow B_R(x, y_1) \text{ in } \mathcal{E}(p_2)] > 1 - \varepsilon. \quad (3.11)$$

Finally, consider two arbitrary vertices  $v^1 = (x^1, y^1)$  and  $v^2 = (x^2, y^2)$  in  $V_{X \times Y}$ . For  $y \in V_Y$ , let

$$H_y := \{B_R(x^1, y^1) \leftrightarrow B_R(x^1, y) \text{ and } B_R(x^2, y^2) \leftrightarrow B_R(x^2, y) \text{ in } \mathcal{E}(p_2)\}.$$

By (3.11),  $\mathbf{P}[H_y] > 1 - 2\varepsilon$  for any  $y \in V_Y$ . Consequently,

$$\mathbf{P}[H_y \text{ for infinitely many } y] > 1 - 2\varepsilon. \quad (3.12)$$

On this event, the sets  $\mathcal{C}(B_R(v^1), p_2)$  and  $\mathcal{C}(B_R(v^2), p_2)$  come infinitely often within distance  $\text{dist}(x^1, x^2; E_X) + 2R$  from each other. As  $p_* > p_2$ , we obtain from Lemma 3.1 and (3.12) that

$$\mathbf{P}[B_R(v^1) \leftrightarrow B_R(v^2) \text{ in } \mathcal{E}(p_*)] > 1 - 2\varepsilon.$$

Since  $\varepsilon > 0$  is arbitrary, we have established (3.5) and the claim. This implies (3.6) and the theorem.  $\square$

#### 4. CONCLUDING REMARKS

- *Nonamenability and isoperimetric inequalities.* Say that an infinite graph  $X$  is nonamenable if

$$\inf \left\{ \frac{|\partial K|}{|K|} : K \subset V_X \text{ is finite nonempty} \right\} > 0. \quad (4.1)$$

In Theorem 1.1 we assumed that the group  $\text{Aut}(X)$  is nonamenable. Could this assumption be replaced by the weaker assumption that

the graph  $X$  is nonamenable? (These assumptions are equivalent if  $\text{Aut}(X)$  is quasi-transitive and unimodular, see Salvatori [17].)

- *Intrinsic distance within the infinite cluster.* In the setup of Theorem 1.1, denote by  $D$  the maximal degree in  $X \times Y$ . For  $p > p_u = p_u(X \times Y)$ , choose  $r = r(p)$  and  $n = n(p)$  to satisfy (3.2) and (3.3). Then (3.4) implies that

$$D^{r+n} > \frac{\eta_G}{6(p - p_u)}. \quad (4.2)$$

If  $p_u > p_c$  then  $\sup_{p > p_u} r(p) < \infty$ , so (4.2) yields a bound on the distribution of the intrinsic distance between vertices in the unique infinite cluster.

- *Kazhdan groups.* Lyons and Schramm [13] proved that  $p_u < 1$  for Cayley graphs of Kazhdan groups. The present author observed that their argument can be modified to prove nonuniqueness at  $p_u$  on these graphs; see [13].
- *Planar graphs.* Benjamini and Schramm (unpublished) showed that for i.i.d. percolation on a planar nonamenable transitive graph, there is a unique infinite cluster for  $p = p_u$ . (As noted by the referee, for Cayley graphs of cocompact Fuchsian groups of genus at least 2, this can be inferred from [11].) It is an open problem to find a geometric characterization of nonamenable transitive graphs that satisfy uniqueness at  $p_u$ .
- *Minimal spanning forests and  $p_u$ .* The impetus for this note was a suggestion by I. Benjamini and O. Schramm, that uniqueness for i.i.d. percolation at  $p = p_u$  on a transitive graph  $X$ , should be closely related to connectedness of the “free minimal spanning forest” (FMSF) on  $X$ ; this is a random subgraph  $(V_X, F)$  of  $X$ , obtained by labeling the edges in  $E_X$  by i.i.d. uniform variables, and removing any edge that has the highest label in a cycle. Indeed, Schramm (personal communication) has recently observed that connectedness of the FMSF implies uniqueness at  $p_u$ ; the converse fails for certain free products, but it is open whether it holds for transitive graphs that satisfy  $p_c < p_u < 1$ .
- *The contact process.* Let  $T_d$  be a regular tree of degree  $d \geq 3$ . Pemantle [15] considered the contact process on  $T_d$  with infection rate  $\lambda$ . He showed that if  $d \geq 4$ , then the critical parameter for global survival,  $\lambda_1(T_d)$ , is strictly smaller than the critical parameter for local survival,  $\lambda_2(T_d)$ ; the result was extended to  $T_3$  by Liggett [12]. Zhang [21] showed that the contact process on  $T_d$  does not survive

locally at the parameter  $\lambda_2(T_d)$ , and that for larger values of  $\lambda$ , the so called “complete convergence theorem” holds. The proof by Schonmann [20] of nonuniqueness for percolation at level  $p_u$  on  $T \times \mathbb{Z}$ , was motivated by these results of Zhang and alternative proofs of them in Salzano and Schonmann [18]. Can the proof of Theorem 1.1 be adapted to show that for any graph  $X$  with  $\text{Aut}(X)$  nonamenable, the contact process does not survive locally at the parameter  $\lambda_2(X)$ ?

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