# R.F. ALVAREZ-ESTRADA

## **Rigorous approach to elastic meson-nucleon scattering** in non-relativistic quantum field theory. (I) : integral equation and Schrödinger ket

*Annales de l'I. H. P., section A*, tome 34, nº 3 (1981), p. 253-276 <a href="http://www.numdam.org/item?id=AIHPA">http://www.numdam.org/item?id=AIHPA</a> 1981 34 3 253 0>

© Gauthier-Villars, 1981, tous droits réservés.

L'accès aux archives de la revue « Annales de l'I. H. P., section A » implique l'accord avec les conditions générales d'utilisation (http://www.numdam. org/conditions). Toute utilisation commerciale ou impression systématique est constitutive d'une infraction pénale. Toute copie ou impression de ce fichier doit contenir la présente mention de copyright.

# $\mathcal{N}$ umdam

Article numérisé dans le cadre du programme Numérisation de documents anciens mathématiques http://www.numdam.org/

## C. N. R. S. PHYSIQUE MATHEMATIQUE A

## Rigorous approach to elastic meson-nucleon scattering in non-relativistic quantum field theory (I): integral equation and Schrödinger ket (\*)

by

#### R. F. ALVAREZ-ESTRADA

Departamento de Física Teórica, Facultad de Ciencias Físicas, Universidad Complutense, Madrid-3, Spain

Résumé. — La diffusion élastique d'un boson par un nucléon habillé au-dessous du seuil pour la production d'un autre boson est étudié rigoureusement dans un modèle des champs quantifiés non-relativistes correspondant, physiquement, a l'interaction meson-nucléon à basse énergie. On présente une équation intégrale exacte et singulière pour la diffusion élastique, laquelle tient compte de l'infinité complète des mesons virtuels autour du nucléon. Pour des petites valeurs de la constante de couplage, et en admettant certaines hypothèses techniques, on établie : i) la compacité du noyau de l'équation intégrale singulière et l'existence des solutions de cette dernière dans un espace de Banach, ii) l'existence du vecteur de Schrödinger à dimension infinie qui décrit la diffusion élastique. On donne des bornes qui fournissent une solution partielle du problème posé par le nuage infinie de bosons. Afin de contrôler complètement ce dernier problème, des majorations des classes infinies des diagrammes de Feynman sont nécessaires, lesquelles seront présentées ultérieurement, en un deuxième travail

ABSTRACT. — In a non-relativistic field-theoretic model corresponding physically to the low-energy meson-nucleon interaction, the elastic scattering of a boson by the dressed nucleon below the one-boson production

<sup>(\*)</sup> A very short summary of this work (announcing its main results without proofs) has been contributed to the « Ninth International Conference on the Few-Body Problem », Eugene, Oregón, USA, 17-23 August 1980 (Session on Mathematical and Computational Methods).

threshold is studied rigorously. We present an exact and singular (elasticscattering) integral equation, which includes the whole infinity of virtual bosons around the nucleon. For small coupling constant and under certain technical assumptions, we establish: *i*) the compactness of the kernel of the singular integral equation and the existence of solutions for it in a suitable Banach space, *ii*) the existence of the infinite-dimensional Schrödinger ket describing the elastic scattering. Bounds are given which partly solve the infinite boson cloud problem. In order to control fully the latter, majoration of infinite classes of Feynman diagrams are required, which are presented in a separate paper.

#### 1. INTRODUCTION

A large body of rigorous results exists about scattering in quantum systems of  $n \ge 2$  particles interacting via two-body potentials (see [1-5] and references therein). Far less rigorous information is known about those dynamical models which described  $n \ge 1$  non-relativistic quantum particles interacting with a quantized boson field, and which are associated to physical phenomena like low-energy meson-nucleon scattering [6-7], electron-phonon interactions in solids [8], non-relativistic Quantum Electrodynamics [9], etc., in spite of a vast physical literature regarding them. Mathematical results on scattering in these models appear specifically in [10]. For other rigorous studies about them, see [11-14]. In particular, rigorous scattering integral equations for such models which could play a role analogous to the Lippmann-Schwinger [1] (Faddeev-Yakubovski [4] [15]) equations in two- $(n - n \ge 3)$  body quantum systems, do not exist, to the author's knowledge. On the other hand, rigorous results about scattering in Lee-type models, where the number of bosons in the cloud around the non-relativistic particle is limited, appear in [12], [16].

This work presents a general study of elastic scattering in a model of the above kind corresponding, physically, to low-energy meson-nucleon interaction [6-7]. Since the mesons are massive and a cut-off function is used (like in physical applications to low-energy pion-nucleon scattering), both infrared and ultraviolet divergences are avoided. Nevertheless, two difficulties exist: i) one faces an infinite number of equations, associated to the infinite meson cloud around the nucleon, ii) elastic scattering singularities are present, which give rise to singular integral equations. Our main result is the rigorous construction of the Schrodinger ket describing the elastic scattering of a meson by a dressed nucleon below the one-meson production threshold, for small coupling constant and under certain

assumptions on the cut-off function. Our methods can be generalized, in principle, for increasing values of the coupling constant (f).

This paper is organized as follows. In section 2, we formulate the model (subsection 2.A), summarize and generalize (subsection 2.B) properties of the dressed one-nucleon state and techniques, which will be very useful later in order to solve difficulty i). Generalities about the elastic scattering of a meson by the dressed nucleon are presented in section 3. In section 4, we solve part of the difficulty i). Section 5) presents an exact singular elasticscattering integral equation (subsection 5.A) and a compactness proof for it, therely solving difficulty ii) (subsection 5.B). Certain problems left open are solved or discussed briefly in section 6. Our construction also requires a careful analysis and majoration of the infinite class of all Feynman diagrams contributing to certain (Greens') functions, in order to solve completely the difficulty i) for elastic scattering. Such an analysis, which is rather lengthy, will be presented in a separate paper. For simplicity, we shall consider a model without spin or isospin dependences throughout our work. At the end of the second paper, we shall add the essential remarks in order to include internal degrees of freedom. Our work constitutes a rigorous formulation, to all orders in f, of an approximate (Tamm-Dancoff) approach to low-energy meson-nucleon scattering presented in [17].

N-quantum approximations to models of the type studied in this work have been investigated in [18]. A formal continued fraction approach to non-relativistic Quantum Electrodynamics (with applications to spontaneous and stimulated emission), which differs considerably from our developments, appears in [19].

## 2. THE MODEL AND THE DRESSED ONE-NUCLEON STATE

#### 2.A. Formulation of the model.

Let a non-relativistic spinless particle (nucleon) have bare mass  $m_0$  and position and threemomentum operators  $\bar{x} = (x_L)$ ,  $\bar{p} = (p_j)$ , L, j = 1, 2, 3 $([x_L, p_j] = i\delta_{L,j})$  and let  $\Psi(\bar{q})$  be a bare one-nucleon state with threemomentum  $\bar{q}$ . The nucleon interacts with an indefinite number of scalar bosons (mesons). Let  $a(\bar{k})$ ,  $a^+(\bar{k})$  be the destruction and creation operators for a boson with threemomentum  $\bar{k}$  and strictly positive energy  $\omega(k) \ge \omega_0 > 0$  $([a(\bar{k}), a^+(\bar{k}')] = \delta^{(3)}(\bar{k} - \bar{k}')$ ,  $k = |\bar{k}|)$  and let  $|0\rangle$  be the vacuum. We assume the total hamiltonian to be:

$$H = H_0 + H_1, \qquad H_1 = \frac{\bar{p}^2}{2m_0} + \int d^3 \bar{k} \omega(k) a^+(\bar{k}) a(\bar{k}) \qquad (2.A.1)$$

$$H_{I} = f \int d^{3}\overline{k} \left[ v(k)a(\overline{k}) \exp i\overline{k}\overline{x} + v^{*}(k)a^{+}(\overline{k}) \exp \left(-i\overline{k}\overline{x}\right) \right] \quad (2.A.2)$$

f being a real dimensionless coupling constant and v(k) being a complex cut-off function. The total conserved threemomentum is

$$\bar{\mathbf{P}}_{tot} = \bar{p} + \int d^3 \bar{k} \cdot \bar{k} \cdot a^+(\bar{k}) a(\bar{k}) \, .$$

Let  $\mathscr{H}_{\overline{n}}$ , with  $\frac{\overline{\pi}^2}{2m_0} < \omega_0$ , be the subspace of Hilbert space formed by all kets  $\Psi$  such that  $(\overline{P}_{tot} - \overline{n})\Psi = 0$ . A basis for  $\mathscr{H}_{\overline{n}}$  is formed by the set of all kets

$$\Psi(\bar{q}_{\bar{\pi}}; \bar{k}_1 \dots \bar{k}_n) = \Psi(\bar{q}_{\bar{\pi}}) \otimes \left[ \frac{1}{(n!)^{1/2}} a^+(\bar{k}_1) \dots a^+(\bar{k}_n) \mid 0 \right]$$

$$\bar{q}_{\bar{\pi}} = \bar{\pi} - \sum_{i=1}^n \bar{k}_i$$
(2.A.3)

Throughout this work, we shall assume that

a) 
$$\eta = f \left[ \int d^3 \overline{k} \frac{|v(k)|^2}{\omega(k)} \right]^{1/2} < + \infty \,.$$

b) f is sufficiently small (we shall state this condition more explicitly later, at the appropriate places), c) v(k) and  $\nabla_k v(k)$  are continuous and bounded for any  $\bar{k}$ . Later, we shall have to add further assumptions, namely, assumption d) in section 3, assumptions e) and f) in subsection 4. B, and assumption g) in subsection 5. B. Since they are somewhat technical, it seems more convenient to formulate them when they become necessary.

A standard quadratic-form argument yields, for any normalizable  $\Psi$  (compare with [20]; see also [10-14]):

$$(\Psi, H_{I}\Psi) \leq \eta \, . \left[ \omega_{0}^{-1/2} \, \big\| \, H_{0}^{1/2}\Psi \, \big\|^{2} + \, \omega_{0}^{1/2} \, \| \, \Psi \, \|^{2} \right].$$

Then, if  $\omega_0^{-1/2}$ ,  $\eta < 1$ , well-known theorems imply that H is self-adjoint and bounded below [20].

### 2.B. The dressed one-nucleon state: summary of useful properties.

We shall expand the dressed one-nucleon state  $\Psi_+(\bar{\pi})$  which belongs to  $\mathscr{H}_{\bar{\pi}}((\bar{P}_{tot} - \bar{\pi})\Psi_+(\bar{\pi}) = 0)$  and has physical energy E as:

$$\Psi_{+}(\bar{\pi}) = \Psi(\bar{\pi}) + \sum_{n=1}^{+\infty} \int \left[\prod_{i=1}^{n} d^{3}\bar{k}_{i}\right] \frac{b_{n}(\bar{\pi}; \bar{k}_{1} \dots \bar{k}_{n})}{|e_{n}(E, \bar{\pi}; \bar{k}_{1} \dots \bar{k}_{n})|^{1/2}} \cdot \Psi(\bar{q}_{\bar{\pi}}; \bar{k}_{1} \dots \bar{k}_{n}) \quad (2.B.1)$$

$$e_n(\mathbf{E}, \overline{\pi}; \overline{k}_1 \dots \overline{k}_n) = \mathbf{E} - \sum_{i=1}^n \omega(k_i) - \frac{1}{2m_0} \left(\overline{\pi} - \sum_{i=1}^n \overline{k}_i\right)^2, \quad n \ge 1 \quad (2.B.2)$$

 $\Psi_+(\bar{\pi})$  is normalized so that the coefficient of  $\Psi(\bar{\pi})$  equals one. The *n*-meson amplitude  $b_n(\bar{\pi}; \bar{k}_1 \dots \bar{k}_n)$  is symmetric under interchanges of  $\bar{k}_1 \dots \bar{k}_n$ (its E-dependence is not made explicit). We shall summarize some recurrence relations and bounds regarding the  $b_n$ 's and the nucleon self-energy which will be quite useful later. For brevity, we shall omit the rigorous construction of both  $\Psi_+(\bar{\pi})$  and E for small  $|\bar{\pi}|$  and f based upon such recurrence relations and bounds. It can be carried out by extending directly the proofs presented, for the large-polaron model, in [14]. Using  $(H - E)\Psi_+(\bar{\pi}) = 0$ , one finds  $(e_n^{1/2} = e_n/|e_n|^{1/2}, b_0/|e_0|^{1/2} \equiv 1)$ :

$$b_{n}(\overline{\pi}; \overline{k}_{1} \dots \overline{k}_{n}) = \frac{1}{e_{n}(E, \overline{\pi}; \overline{k}_{1} \dots \overline{k}_{n})^{1/2}} \left\{ \frac{f}{n^{1/2}} \sum_{i=1}^{n} v(k_{i})^{*} \cdot \frac{b_{n-1}(\overline{\pi}; \overline{k}_{1} \dots \overline{k}_{i-1} \overline{k}_{i+1} \dots \overline{k}_{n})}{|e_{n-1}(E, \overline{\pi}; \overline{k}_{1} \dots \overline{k}_{i-1} \overline{k}_{i+1} \dots \overline{k}_{n})|^{1/2}} + f(n+1)^{1/2} \int d^{3}\overline{k}v(k) \frac{b_{n+1}(\overline{\pi}; \overline{k}\overline{k}_{1} \dots \overline{k}_{n})}{|e_{n+1}(E, \overline{\pi}; \overline{k}\overline{k}_{1} \dots \overline{k}_{n})|^{1/2}} \right\}$$
(2.B.3)  
$$E - \frac{\overline{\pi}^{2}}{2} = M(E) \qquad M(E) = f \int d^{3}\overline{k}v(k) \frac{b_{1}(\overline{\pi}; \overline{k})}{|e_{1}(\overline{\pi}; \overline{k})|}$$
(2.B.4)

 $\mathbf{E} - \frac{\pi^2}{2m_0} = \mathbf{M}(\mathbf{E}), \qquad \mathbf{M}(\mathbf{E}) = f \int d^3 \bar{k} v(k) \frac{b_1(\pi; k)}{|e_1(\mathbf{E}, \bar{\pi}; \bar{k})|^{1/2}} \quad (2.B.4)$ 

we shall introduce the L<sup>2</sup>-norms

$$\| b_{n}(\bar{k}_{1}) \|_{2} = \left\{ \int \left[ \prod_{i=2}^{n} d^{3}\bar{k}_{i} \right] | b_{n}(\bar{\pi}; \bar{k}_{1}\bar{k}_{2} \dots \bar{k}_{n})|^{2} \right\}^{1/2},$$

$$n \ge 2; \quad \| b_{1}(\bar{k}_{1}) \|_{2} = | b_{1}(\bar{k}_{1}) | \quad (2.B.5)$$

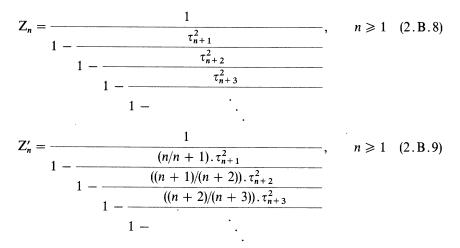
$$\| b_{n} \|_{2} = \left\{ \int d^{3}\bar{k}_{1} [ \| b_{n}(\bar{k}_{1}) \|_{2} ]^{2} \right\}^{1/2}, \qquad n \ge 1$$

and the following functions and continued fractions:

$$\tau_{1} = \left[ f^{2} \int d^{3}\bar{k} \frac{|v(k)|^{2}}{|e_{1}(\mathrm{E},\bar{\pi};\bar{k})|} \right]^{1/2}$$
  
$$\tau_{n} = \left[ \max_{\bar{k}_{1}...\bar{k}_{n-1}} \frac{f^{2}.n}{|e_{n-1}(\mathrm{E},\bar{\pi};\bar{k}_{1}...\bar{k}_{n-1})|} \int d^{3}\bar{k} \frac{|v(k)|^{2}}{|e_{n}(\mathrm{E},\bar{\pi};\bar{k}\bar{k}_{1}...\bar{k}_{n-1})|} \right]^{1/2},$$
  
$$n \ge 2 \quad (2.B.6)$$

$$\sigma_{1}(k_{1}) = \frac{f. |v(k_{1})|}{\omega(k_{1}) - E}$$

$$\sigma_{n}(k_{1}) = \frac{f. |v(k_{1})|}{\{n[(n-1)\omega_{0} + \omega(k_{1}) - E][(n-1)\omega_{0} - E]\}^{1/2}}, \quad n \ge 2$$
(2.B.7)



At least for small  $|\bar{\pi}|$  and |E|, one has: *i*)  $\tau_n < +\infty$ ,  $n \ge 1$ , by virtue of assumption *a*), *ii*)  $\tau_n \to 0$  as  $n \to \infty$ . Then, all  $Z_n$  and  $Z'_n$  converge and are positive for any  $n \ge n_0$  ( $n_0$  depending on *f*), by virtue of some classical theorems about the convergence of continued fractions [21]. Here, we shall assume that *f* is suitably small (recall assumption *b*) in subsection 2. A) so that all  $Z_n$  and  $Z'_n$  converge and are strictly positive for  $n \ge 1$ . Some direct L<sup>2</sup>-majorations of Eq. (2.B.3) yield (they generalize those in section 4 of [14]):

$$\| b_n \|_2 \leq \tau_n \cdot \| b_{n-1} \|_2 + \tau_{n+1} \cdot \| b_{n+1} \|_2, \quad n \geq 1$$

$$\| b_n(\bar{k}_1) \|_2 \leq \sigma_n(k_1) \| b_{n-1} \|_2 + \frac{n-1}{n} \cdot \tau_n \cdot \| b_{n-1}(\bar{k}_1) \|_2$$

$$+ \tau_{n+1} \cdot \| b_{n+1}(\bar{k}_1) \|_2, \quad n \geq 1$$

$$(2.B.10)$$

with the conventions  $||b_0||_2 \equiv 1$ ,  $||b_0(\overline{k_1})||_2 \equiv 0$ . We stress that (2.B.11) is new, as it was unnecessary for the studies carried out in [14]. One proves that the three-term recurrences of inequalities (2.B.10) and (2.B.11) are satisfied by the following inequalities (by using techniques sketched in sections 4 and 5 and Appendix C of [14])

$$\|b_{n}\|_{2} \leq \tau_{n} \cdot Z_{n} \cdot \|b_{n-1}\|_{2}, \quad n \geq 1 \quad (2 \cdot B \cdot 12)$$

$$\|b_{n}(\bar{k}_{1})\|_{2} \leq Z'_{n} \cdot \left\{\frac{n-1}{n} \cdot \tau_{n} \cdot \|b_{n-1}(\bar{k}_{1})\|_{2} + \sigma_{n}(k_{1}) \cdot \|b_{n-1}\|_{2} + \sum_{l=1}^{+\infty} \left(\left[(\tau_{n+1} \cdot Z'_{n+1}) \cdot (\tau_{n+2} \cdot Z'_{n+2}) \dots (\tau_{n+l} \cdot Z'_{n+l})\right] \cdot \sigma_{n+l}(k_{1}) \cdot \|b_{n+l-1}\|_{2}\right\}, \quad n \geq 1 \quad (2 \cdot B \cdot 13)$$

Annales de l'Institut Henri Poincaré-Section A

The bound (2.B.12) implies that  $||b_n||_2 \to 0$  as  $n \to \infty$ . By virtue of the above property *ii*) of the  $\tau_n$ 's, the series on the right-hand-side of (2.B.13) converges. Since  $||b_0(\bar{k}_1)||_2 \equiv 0$ , Eqs. (2.B.7) and the bounds (2.B.12-13) imply the existence of some continuous and positive functions  $\sigma_n^{(1)}(k_1)$  such that:

- a)  $\|b_n(\bar{k}_1)\|_2 \leq [|v(k_1)| \cdot \sigma_n^{(1)}(k_1)]/\omega(k_1)^{1/2}, \quad n \geq 1$
- b)  $\sigma_n^{(1)}(k_1)$  is bounded for any  $k_1$ c)  $\sigma_n^{(1)}(k_1) \rightarrow 0$  as  $n \rightarrow \infty$  for given  $k_1$ .

The second Eq. (2.B.4), the bound (2.B.12) for n = 1 and  $||b_0||_2 = 1$  imply the following bound for the self-energy M(E):

$$|\mathbf{M}(\mathbf{E})| \leq \tau_1^2 \cdot \mathbf{Z}_1 \tag{2.B.14}$$

## 3. ELASTIC SCATTERING OF A MESON BY A DRESSED NUCLEON

We shall study the low-energy elastic scattering of a boson by the dressed nucleon, which had small threemomenta  $\overline{l}$  and  $-\overline{l}$ , respectively, in the remote past, at infinite relative separation. According to Wick's time-independent formulation [22], the incoming state is  $a^+(\overline{l})\Psi_+(-\overline{l})$  and the full (Schrodinger) state is  $\Psi_+(\overline{l}; -\overline{l}) = a^+(\overline{l})\Psi_+(-\overline{l}) + \Psi_{sc}(\overline{l}; -\overline{l})$ ,  $\Psi_{sc}(\overline{l}; -\overline{l})$  being an outgoing ket generated by the interaction. The total energy is  $E_+ = \omega(l) + E(-\overline{l})$ . Since  $(H - E_+)\Psi_+(\overline{l}; -\overline{l}) = 0$ , a simple calculation yields (for similar developments in the static Chew-Low model, see Schweber [6]):

$$(\mathbf{H} - \mathbf{E}_{+})\Psi_{\rm sc}(\overline{l}; -\overline{l}) = -f.v(l)\exp{i\overline{l}.\overline{x}}.\Psi_{+}(-\overline{l})$$
(3.1)

By generalizing Eq. (2.B.1) and noticing that  $\bar{P}_{tot}\Psi_{sc}(\bar{l}; -\bar{l}) = 0$ , we shall expand  $\Psi_{sc}(\bar{l}; -\bar{l})$  formally as

$$\Psi_{\rm sc}(\bar{l}; -\bar{l}) = y_0 \cdot \Psi(\bar{\pi} = \bar{0}) + \sum_{n=1}^{+\infty} \iint \left[ \prod_{i=1}^n d^3 \bar{k}_i \right] y_n(\bar{k}_1 \dots \bar{k}_n)$$
$$\cdot \Psi\left( -\sum_{i=1}^n \bar{k}_i; \bar{k}_1 \dots \bar{k}_n \right) \quad (3.2)$$

 $y_n$  is the probability amplitude for finding  $n \ge 0$  bosons in  $\Psi_{sc}$  and is symmetric under intercharges of  $\overline{k}_1 \dots \overline{k}_n$ . It depends on  $\overline{l}$  and  $E_+$ , but these dependences will not be made explicit. Upon combining Eqs. (3.1-2),

(2.A.1-2) and (2.B.1-2), one derives the basic recurrence for the  $y_n$ 's (compare with Eq. (2.B.3)):

**T** \

$$e_{n}(\mathbf{E}_{+}, \overline{0}; \overline{k}_{1} \dots \overline{k}_{n}) \cdot y_{n}(\overline{k}_{1} \dots \overline{k}_{n}) = \frac{f \cdot v(l) \cdot b_{n}(-\overline{l}; \overline{k}_{1} \dots \overline{k}_{n})}{|e_{n}(\mathbf{E}(-\overline{l}), -\overline{l}; \overline{k}_{1} \dots \overline{k}_{n})|^{1/2}} \\ + \frac{f}{n^{1/2}} \cdot \sum_{i=1}^{n} v(k_{i})^{*} \cdot y_{n-1}(\overline{k}_{1} \dots \overline{k}_{i-1}\overline{k}_{i+1} \dots \overline{k}_{n}) \\ + f(n+1)^{1/2} \int d^{3}\overline{k} \cdot v(k)y_{n+1}(\overline{k}\overline{k}_{1} \dots \overline{k}_{n}), \qquad n \ge 0 \quad (3.3) \\ e_{0}(\mathbf{E}_{+}, \overline{0}) = \mathbf{E}_{+}, \qquad y_{-1} = 0 \\ \frac{b_{0}(-\overline{l})}{|e_{0}(\mathbf{E}(-\overline{l}), -\overline{l})|^{1/2}} = 1 \qquad (3.4)$$

where all  $b_n$ 's,  $n \ge 1$ , are regarded as known. Unlike the coefficient of  $\Psi(\overline{\pi})$ in Eq. (2.B.1), which equals one by virtue of the normalization condition for  $\Psi_+(\bar{\pi})$ , here  $y_0$  has to be determined from the recurrence (3.3), like all other  $y_n$ 's,  $n \ge 1$ . Eqs. (3.3) have only a formal sense in principle, since some  $e_n$ 's could vanish and, hence, the  $y_n$ 's should exhibit typical scattering singularities.

We shall add the assumption: d) f, and  $l = |\bar{l}|$  are so small that

$$\mathbf{E}(-\overline{l}) = \mathbf{E}(l) < \omega_0, \ \mathbf{E}_+ \ge \omega_0 + \mathbf{E}(-\overline{l}) > 0$$

and  $E_+ < 2\omega_0$  hold. These conditions imply respectively that

$$e_n(\mathbf{E}(-\bar{l}), -\bar{l}; \bar{k}_1 \dots \bar{k}_n) < 0$$

for  $n \ge 1$  and any  $\overline{k}_1 \dots \overline{k}_n$ ,  $e_0(\mathbf{E}_+, \overline{0}) > 0$  and  $e_n(\mathbf{E}_+, \overline{0}; \overline{k}_1 \dots \overline{k}_n) < 0$ for  $n \ge 2$  and any  $\overline{k}_1 \dots \overline{k}_n$  and allow for  $e_1(E_+, \overline{0}; \overline{k}_1)$  to change sign and vanish as  $\overline{k}_1$  varies. Notice that, at least for small f and l, E(0) < 0 and E( $-\overline{l}$ ) increases and becomes less negative (and, perhaps, even positive) as l increases. Then, the elastic scattering threshold is

$$\omega_0 + E(0)(E_+ \ge \omega_0 + E(0) > 0)$$

We are restricting ourselves to small *l* such that only elastic scattering occurs and boson production is energetically forbidden.

The above statements and some preliminary study of the recurrence (3.3)indicate that all  $y_n$ 's,  $n \ge 0$ , can be determined rigorously through the following three-step construction, which constitutes the plan of our work:

1) Solve partially the set of all Eqs. (3.3) for  $n \ge 2$  and obtain  $y_2$  in terms of  $y_1$  and all  $b_n$ 's,  $n \ge 2$ , treated as known inhomogeneous terms. Since  $e_n < 0$ for  $n \ge 2$ , the kernels for such a set are free of scattering singularities, but one has to cope with an infinite number of equations. The recurrence relations and bounds summarized in subsection 2.B will be quite useful. Moreover, a detailed study and majoration of all contributing Feynman diagrams (presented in a separate paper) will be required.

2) Consider Eq. (3.3) for n = 1, plug into it the solution for  $y_2$  in terms of  $y_1$  obtained in step 1 and the expression for  $y_0$  implied by Eq. (3.3) for n = 0 and solve for  $y_1$ . Here, one has to deal with one integral equation displaying elastic scattering singularities.

3) Extend the work started in step 1 so as to complete the construction of  $y_0$  and all  $y_n$ 's,  $n \ge 2$ , once  $y_1$  has been determined in step 2.

#### 4. **PARTIAL SOLUTION OF** EQS (3.3) **FOR** $n \ge 2$ **IN TERMS OF** $y_1$ (STEP 1)

### 4.A. An alternative formulation of Eqs (3.3) for $n \ge 2$ .

For later convenience, let us divide Eq. (3.3) for  $n \ge 2$  by

$$e_n(\mathbf{E}_+, \overline{0}; \overline{k}_1 \dots \overline{k}_n)^{1/2} \neq 0(e_n^{1/2} \dots |e_n|^{1/2} = e_n)$$

and introduce

Then, the set formed by all Eqs. (3.3) for  $n \ge 2$  becomes the following inhomogeneous linear equation for Y:

$$Y = Y_0^{(1)} + Y_0^{(2)} + W.Y$$
 (4.A.4)

where  $Y_0^{(1)}$  and  $Y_0^{(2)}$  are regarded as given inhomogeneous terms and W is the corresponding kernel, which is unambiagously defined through the right-hand-side of Eq. (3.3) for  $n \ge 2$ , the division by  $e_n^{1/2}$  and Eq. (A.4.1). One has, in a formal sense, at least:

$$Y = \sum_{i=1}^{2} d^{(i)}; \qquad d^{(i)} = (\mathbb{1} - W)^{-1} \cdot Y_{i}^{(0)}, \qquad i = 1, 2$$
$$d^{(i)} = \begin{pmatrix} d_{2}^{(i)}(\bar{k}_{1}\bar{k}_{2}) \\ \vdots \\ d_{n}^{(i)}(\bar{k}_{1}\ldots\bar{k}_{n}) \\ \vdots \\ \vdots \end{pmatrix} \qquad (4.A.5)$$

where 1 denotes the corresponding unit operator.

### 4.B. Rigorous construction of $d^{(1)}$ .

Since none of the  $e_n$ 's,  $n \ge 2$ , appearing in W and  $Y_1^{(0)}$  vanish for any  $\overline{k}_1 \dots \overline{k}_n$ , a suitable extension of the techniques sketched in subsection 2. B will allow to construct  $d^{(1)}$  rigorously. Thus, a posteriori, we shall realize the interest of having introduced  $y'_n$  (Eq. (4.A.1)). Notice that  $d_n^{(1)}$ ,  $n \ge 2$ , satisfy the following recurrence relations:

$$\begin{aligned} d_{n}^{(1)}(\bar{k}_{1} \dots \bar{k}_{n}) &= \frac{1}{e_{n}(E_{+}, \bar{0}; \bar{k}_{1} \dots \bar{k}_{n})^{1/2}} \left\{ \frac{f.v(l)b_{n}(-\bar{l}; \bar{k}_{1} \dots \bar{k}_{n})}{|e_{n}(E(-\bar{l}), -\bar{l}; \bar{k}_{1} \dots \bar{k}_{n})|^{1/2}} + \frac{f}{n^{1/2}} \sum_{i=1}^{n} v(k_{i})^{*} \right. \\ &\cdot \frac{d_{n-1}^{(1)}(\bar{k}_{1} \dots \bar{k}_{i-1}\bar{k}_{i+1} \dots \bar{k}_{n})}{|e_{n-1}(E_{+}, \bar{0}; \bar{k}_{1} \dots \bar{k}_{i-1}\bar{k}_{i+1} \dots \bar{k}_{n})|^{1/2}} + f.(n+1)^{1/2} \int d^{3}\bar{k}v(k) \\ &\cdot \frac{d_{n+1}^{(1)}(\bar{k}\bar{k}_{1} \dots \bar{k}_{n})}{|e_{n+1}(E_{+}, \bar{0}; \bar{k}, \bar{k}_{1} \dots \bar{k}_{n})|^{1/2}} \right\} \qquad n \ge 2, \ d_{1}^{(1)} \equiv 0 \qquad (4.B.1) \end{aligned}$$

In fact, if  $d^{(0)} = 0$ , then  $d^{(2)} = 0$  and one has  $Y = d^{(1)} = Y_0^{(1)} + Wd^{(1)}$ , whose explicit form is (4.B.1). Upon introducing the L<sup>2</sup>-norms  $||d_n^{(1)}(\bar{k}_1)||_2$ and  $||d_n^{(1)}||_2$ ,  $n \ge 2$ , through Eqs. (2.B.5) with  $b_n$  replaced by  $d_n^{(1)}$ , and

carrying out majorations similar to those leading from Eq. (2.B.4) to (2.B.10) and (2.B.11), one finds

$$\begin{split} \| d_{n}^{(1)} \|_{2} &\leq \alpha_{n} \| b_{n} \|_{2} + \tau_{n} \| d_{n-1}^{(1)} \|_{2} + \tau_{n+1} \| d_{n+1}^{(1)} \|_{2}, \\ n \geq 2, \| d_{1}^{(1)} \|_{2} \equiv 0 \quad (4.B.2) \\ \| d_{n}^{(1)}(\bar{k}_{1}) \|_{2} &\leq \left[ \alpha_{n} \cdot \| b_{n}(\bar{k}_{1}) \|_{2} + \sigma_{n}(k_{1}) \cdot \| d_{n-1}^{(1)} \|_{2} \right] + \frac{n-1}{n} \cdot \tau_{n} \cdot \| d_{n-1}^{(1)}(\bar{k}_{1}) \|_{2} \\ &+ \tau_{n+1} \cdot \| d_{n+1}^{(1)}(\bar{k}_{1}) \|_{2}, \qquad n \geq 2, \| d_{1}^{(1)}(\bar{k}_{1}) \|_{2} \equiv 0 \quad (4.B.3) \\ \alpha_{n} = f \cdot v(l) \cdot \{ \left[ \max_{\bar{k}_{1} \dots \bar{k}_{n}} | e_{n}(E_{+}, \bar{0}; \bar{k}_{1} \dots \bar{k}_{n}) |^{1/2} \right] \\ &\cdot \left[ \max_{\bar{k}_{1} \dots \bar{k}_{n}} | e_{n}(E(-\bar{l}), -\bar{l}; \bar{k}_{1} \dots \bar{k}_{n}) |^{1/2} \right] \}^{-1} \qquad n \geq 2 \quad (4.B.4) \end{split}$$

where the actual  $\tau_n$  and  $\sigma_n$  are still given by Eqs. (2.B.6) and (2.B.7), but with E replaced by E<sub>+</sub>. The recurrences (4.B.2) and (4.B.3) have structures similar to (2.B.10) and (2.B.11) respectively. Then, by applying the same arguments which led from (2.B.10), (2.B.11) to (2.B.12) and (2.B.13) respectively, one arrives at the following explicit recurrences of bounds for  $||d_n^{(1)}||_2$  and  $||d_n^{(1)}(\bar{k}_1)||_2$  (which satisfy the recurrences (4.B.2) and (4.B.3), respectively):

$$\| d_{n}^{(1)} \|_{2} \leq Z_{n} \left\{ \alpha_{n} \cdot \| b_{n} \|_{2} + \tau_{n} \cdot \| d_{n-1}^{(1)} \|_{2} + \sum_{l=1}^{+\infty} \left[ (\tau_{n+1} \cdot Z_{n+1}) \cdot (\tau_{n+2} \cdot Z_{n+2}) \dots (\tau_{n+l} \cdot Z_{n+l}) \right] \cdot (\alpha_{n+l} \| b_{n+l} \|_{2}) \right\},$$

$$n \geq 2, \quad \| d_{1}^{(1)} \|_{2} = 0 \quad (4.B.5)$$

$$\| d_{n}^{(1)}(\bar{k}_{1}) \|_{2} \leq Z_{n}' \left\{ \left[ \alpha_{n} \cdot \| b_{n}(\bar{k}_{1}) \|_{2} + \sigma_{n}(k_{1}) \cdot \| d_{n-1}^{(1)} \|_{2} \right] + \frac{n-1}{n} \tau_{n} \cdot \| d_{n-1}^{(1)}(\bar{k}_{1}) \|_{2} \right\}$$

+ 
$$\sum_{l=1}^{n} [(\tau_{n+1}, Z'_{n+1}), (\tau_{n+2}, Z'_{n+2}), \dots, (\tau_{n+l}, Z'_{n+l})], [\alpha_{n+l}, || b_{n+l}(\overline{k}_1) ||_2$$

$$+ \sigma_{n+l}(k_1) \| d_{n+l-1}^{(1)} \|_2 \}, \qquad n \ge 2, \| d_1^{(1)}(k_1) \|_2 = 0 \qquad (4.B.6)$$

Let f be so small that all  $Z_n$  and  $Z'_n$ ,  $n \ge 2$ , are finite and strictly positive (this agrees with and makes explicit assumption b) in subsection 2.A). Then, by using the properties of  $||b_n||_2$  and  $||b_n(\overline{k_1})||_2$  established in subsection 2.B, and using similar techniques, it is easy to prove that

a) both series on the right-hand-sides of (4.B.5) and (4.B.6) converge

b) 
$$||d_n^{(1)}||_2 \to 0 \text{ as } n \to \infty \text{ and } \sum_{n=2}^{+\infty} [||d_n^{(1)}||_2]^2 < +\infty$$

c) there exist continuous and positive functions  $\sigma_n^{(2)}(k_1)$  such that c1)  $\|d_n^{(1)}(\bar{k}_1)\|_2 \leq [|v(k_1)| . \sigma_n^{(2)}(k_1)]/\omega(k_1)^{1/2}$ c2)  $\sigma_n^{(2)}(k_1)$  is bounded for any  $k_1$ c3)  $\sigma_n^{(2)}(k_1) \to 0$  if  $n \to \infty$ , for given  $k_1$ . On the other hand, by expanding  $(1 - W)^{-1}$ , one gets

+ ∞

$$d^{(1)} = \left[ \mathbb{1} + \sum_{n=1}^{\infty} \mathbf{W}^n \right] \cdot \mathbf{Y}_1^{(0)}$$
(4.B.7)

It is obvious that the series for each  $d_n^{(1)}(\bar{k}_1 \dots \bar{k}_n)$ ,  $n \ge 2$ , which results from (4.B.7) coincides with the one obtained by successive iterations of (4.B.1). By majorizing directly such a series for  $d_n^{(1)}$  (via Minkowski and Schwartz inequalities, etc.), one finds two majorizing series for  $\|d_n^{(1)}\|_2$ and  $\| d_n^{(1)}(\overline{k}_1) \|_2$  which, in turn, can be summed up into the right-hand-sides of (4.B.5) and (4.B.6), respectively. The detailed check, term by term, of the last statement is not difficult, but rather cumbersome, and will be omitted (compare with section 4 of [14]).

Notice that the recurrences (4.B.2) and (4.B.3) provide a particularly convenient method for majorizing all  $d_n^{(1)}$ 's, which avoids the detailed study of the individual terms of the series (4.B.7). We stress the fact that the bounds (4.B.5) and (4.B.6) establish the convergence of the series (**4**.**B**.7).

We shall assume that the boson energy  $\omega(k)$  is such that

e) if  $e_n(\mathbf{E}, \overline{\pi}; \overline{k}_1 \dots \overline{k}_n)$ ,  $n \ge 2$ , is non-vanishing for any  $\overline{k}_1 \dots \overline{k}_n$  and small or vanishing  $|\bar{\pi}|$  at the given  $E(E \leq E_{+})$ , then

$$\left|\nabla_{k_1}\frac{1}{e_n(\mathbf{E},\overline{\pi};\overline{k}_1\ldots\overline{k}_n)^{1/2}}\right| \leq \sigma^{(3)} \cdot \frac{1}{|e_n(\mathbf{E},\overline{\pi};\overline{k}_1\ldots\overline{k}_n)|^{1/2}}$$

for any  $\bar{k}_1 \dots \bar{k}_n$ ,  $n \ge 2$ ,  $\sigma^{(3)}$  being a positive and finite constant. f) for the given  $E_+$ ,  $e_1(E_+, \bar{0}; \bar{k}_1) = [k_1 - k_1^{(0)}(E_+)] \cdot e_1^{(0)}(k_1)$  where  $k_1^{(0)}(E_+) > 0$  and  $e_1^{(0)}(k_1) \neq 0$  for any  $k_1 \ge 0$ . These assumptions are automatically fulfilled by the typical non-relativistic boson energy

$$\omega(k) = \omega_0 + \omega_1 k^2, \qquad \omega_1 \ge 0.$$

For a relativistic meson energy, namely,

$$\omega(k) = (\omega_0^2 + \omega_1' k^2)^{1/2}, \qquad \omega_1' \ge 0,$$

the corresponding  $e_1^{(0)}(k_1)$  may have its own zeroes, generically denoted by  $x_1$ , which would violate assumption f). One can still allow for the relativistic  $\omega(k)$ , provided that f) be replaced by

f') v(k) vanishes identically for  $k > x_0$ ,  $x_0$  being smaller than all  $x_1$ , so that the only effective zero of  $e_1(E_+, \overline{0}; \overline{k_1})$  below  $x_0$  is  $k_1^{(0)}(E_+)$ . It is always possible to choose v(k) so that assumptions b), f'), and g) (to be

Annales de l'Institut Henri Poincaré-Section A

formulated in subsection 5.B) hold simultaneously and, hence, the main results of this work remain valid.

By applying  $\nabla_{\bar{k}_1}$  to the whole recurrence (2.B.3), one generates a new recurrence for  $\nabla_{\bar{k}_1} b_n(\bar{k}_1 \dots \bar{k}_n)$ ,  $n \ge 1$ , which also contains  $b_n$ ,  $\nabla_{\bar{k}_1} e_n$  and  $\nabla_{\bar{k}_1} \iota(k_1)$ . Upon majorizing this new recurrence by using assumption e) and techniques similar to those leading to (2.B.11), one gets a three-term recurrence of inequalities of the type

$$\nabla_{\bar{k}_1} b_1(\bar{k}_1) \| \leq \alpha_1^{(1)}(\bar{k}_1) + \tau_2 \cdot \| \nabla_{\bar{k}_1} b_2(\bar{k}_1) \|_2$$
(4.B.8)

$$\|\nabla_{\bar{k}_{1}}b_{2}(\bar{k}_{1})\|_{2} \leq \alpha_{2}^{(1)}(\bar{k}_{1}) + \frac{1}{2} \cdot \tau_{2} \cdot |\nabla_{\bar{k}_{1}}b_{1}(\bar{k}_{1})| + \tau_{3} \cdot \|\nabla_{\bar{k}_{1}}b_{3}(\bar{k}_{1})\|_{2} \quad (4.B.9)$$

$$\|\nabla_{\bar{k}_{1}}b_{n}(\bar{k}_{1})\|_{2} \leq \alpha_{n}^{(1)}(\bar{k}_{1}) + \frac{n-1}{n} \cdot \tau_{n} \|\nabla_{\bar{k}_{1}}b_{n-1}(\bar{k}_{1})\|_{2} + \tau_{n+1} \cdot \|\nabla_{\bar{k}_{1}}b_{n+1}(\bar{k}_{1})\|_{2}, \qquad n \geq 3 \quad (4.B.10)$$

Here,

$$\|\nabla_{\bar{k}_{1}}b_{n}(\bar{k}_{1})\|_{2} \equiv \left[\int d^{3}\bar{k}_{2} \dots d^{3}\bar{k}_{n} |\nabla_{\bar{k}_{1}}b_{n}(\bar{k}_{1}\bar{k}_{2} \dots \bar{k}_{n})|^{2}\right]^{1/2}, \qquad n \geq 2$$

and  $\alpha_l^{(1)}(\bar{k}_1)$ ,  $l \ge 1$ , whose expressions are omitted for brevity, depend on v,  $\nabla_{\bar{k}_1}v(k_1)$ ,  $||b_n||_2$  and  $||b_n(\bar{k}_1)||_2$ . In turn, the latter two are regarded as known, by virtue of (2. B. 12-13). By applying to the recurrence (4. B. 8-10) methods analogous to those yielding (2. B. 13) and the results a), b) and c) at the end of subsection 2. B, one arrives at

$$|\nabla_{\bar{k}_1} b_1(\bar{k}_1)| \leq \sigma^{(4)}(\bar{k}_1) | v(k_1) | + \sigma^{(5)}(\bar{k}_1) \cdot |\nabla_{\bar{k}_1} v(k_1)| \quad (4.B.11)$$

where  $\sigma^{(i)}(\bar{k}_1)$ , i = 4, 5, are continuous, positive and bounded for any  $\bar{k}_1$ . Similarly, by taking  $\nabla_{\bar{k}1}$  in the recurrence (4.B.1) and majorizing (using again assumption *e*)), one derives the analogue of (4.B.8-10) for

$$\|\nabla_{\bar{k}_{1}}d_{n}^{(1)}(\bar{k}_{1})\|_{2} \bigg( \equiv \left[\int d^{3}\bar{k}_{2} \dots d^{3}\bar{k}_{n} |\nabla_{\bar{k}_{1}}d_{n}^{(1)}(\bar{k}_{1}\bar{k}_{2} \dots \bar{k}_{n})|^{2}\right]^{1/2} \bigg), \qquad n \ge 2.$$

Finally, by extending the methods which led from (4.B.8-10) to (4.B.11) and using (4.B.8-11), one derives

$$\|\nabla_{\bar{k}_1} d_2^{(1)}(\bar{k}_1)\|_2 \leq \sigma^{(6)}(\bar{k}_1) \cdot |v(k_1)| + \sigma^{(7)}(\bar{k}_1) \cdot |\nabla_{\bar{k}_1} v(k_1)| \quad (4.B.12)$$

 $\sigma^{(i)}(\overline{k}_1)$ , i = 6, 7 being also continuous, positive and bounded for any  $\overline{k}_1$ . The bounds (4.B.11-12) will be useful in section 5.

## 4.C. An expression for $d_2^{(2)}$ in terms of $y_1$ .

Our next task is to construct mathematically the first component  $d_2^{(2)}(\bar{k}_1\bar{k}_2)$  of  $(1 - W)^{-1}Y_2^{(0)}$ . Unfortunately, it is difficult since  $d_2^{(2)}$  depends on  $y_1$ , which contains elastic scattering singularities, so that the majoration

techniques used in subsection 4.B for  $d_n^{(1)}$ ,  $n \ge 2$ , cannot be extended to  $d_2^{(2)}$  and other methods have to be applied. Let us consider all possible contributions to  $d_2^{(2)}(\bar{k}_1\bar{k}_2)$  which arise from the formal expansion

$$\left[\mathbb{1} + \sum_{n=1}^{+\infty} \mathbf{W}^n\right] \mathbf{Y}_2^{(0)}$$

(through a detailed analysis for n = 1, 2, 3, 4 and a suitable induction for larger n). Then, one shows without difficulty that

a) there exist, in a formal sense at least, four functions  $s_i$ , i = 0, 1, 2, 3such that

$$\begin{aligned} d_{2}^{(2)}(\bar{k}_{1}\bar{k}_{2}) &= s_{0}(\bar{k}_{1}\bar{k}_{2}) \cdot d^{(0)}(\bar{k}_{1}\bar{k}_{2}) + \int d^{3}\bar{k}_{1}' \cdot s_{1}(\bar{k}_{1}\bar{k}_{2};\bar{k}_{1}')d^{(0)}(\bar{k}_{1}'\bar{k}_{2}) \\ &+ \int d^{3}\bar{k}_{2}'s_{2}(\bar{k}_{1}\bar{k}_{2};\bar{k}_{2}') \cdot d^{(0)}(\bar{k}_{1}\bar{k}_{2}') \\ &+ \int d^{3}\bar{k}_{1}'d^{3}\bar{k}_{2}'s_{3}(\bar{k}_{1}\bar{k}_{2};\bar{k}_{1}'\bar{k}_{2}')d^{(0)}(\bar{k}_{1}'\bar{k}_{2}') \quad (4.C.1) \end{aligned}$$

b) all  $s_i$ , i = 0, 1, 2, 3, are free of  $\delta$ -functions of threemomenta.

- c1)  $s_0(\bar{k}_1\bar{k}_2) = s_0(\bar{k}_2\bar{k}_1)$
- c2)  $s_1(\bar{k}_2\bar{k}_1;\bar{k}_2') = s_2(\bar{k}_1\bar{k}_2;\bar{k}_2')$

c3) 
$$s_3(k_1k_2; k'_1k'_2) = s_3(\overline{k}_2\overline{k}_1; \overline{k}'_1\overline{k}'_2)$$

Since  $d^{(0)}(\overline{k}_1\overline{k}_2) = d^{(0)}(\overline{k}_2\overline{k}_1)$ , the properties c1), c2) and c3) imply

 $d_{2}^{(2)}(\overline{k}_{1}\overline{k}_{2}) = d_{2}^{(2)}(\overline{k}_{2}\overline{k}_{1})$ 

The structure of (5.C.1) suggests that the  $s_i$  can be regarded as a kind of Green's functions.

Let f be sufficiently small (recall assumption b) in subsection 2.A). Then, one can establish rigorously the existence of the four functions  $s_{i}$ , i = 0, 1, 2, 3 satisfying the above properties a), b) and c) and the following ones

. . .

$$\begin{aligned} d1) &|s_{0}(\bar{k}_{1}\bar{k}_{2})| \text{ is continuous and bounded for any } \bar{k}_{1}, \bar{k}_{2} \\ d2) &|s_{1}(\bar{k}_{1}\bar{k}_{2}; \bar{k}_{1}')| \leqslant s_{1,M}(\bar{k}_{1}\bar{k}_{2}; \bar{k}_{1}') \cdot \left[\frac{f^{2} \cdot |v(k_{1})| \cdot |v(k_{1}')|}{|e_{2}(E_{+}, \bar{0}; \bar{k}_{1}\bar{k}_{2})|^{1/2} \cdot |e_{2}(E_{+}, \bar{0}; \bar{k}_{1}\bar{k}_{2})|^{1/2}}\right] \\ &|s_{2}(\bar{k}_{1}\bar{k}_{2}; \bar{k}_{2}')| \leqslant s_{2,M}(\bar{k}_{1}\bar{k}_{2}; \bar{k}_{2}') \cdot \left[\frac{f^{2} \cdot |v(k_{2})| \cdot |v(k_{2}')|}{|e_{2}(E_{+}, \bar{0}; \bar{k}_{1}\bar{k}_{2})|^{1/2} \cdot |e_{2}(E_{+}, \bar{0}; \bar{k}_{1}\bar{k}_{2})|^{1/2}}\right] \\ &s_{1,M}(\bar{k}_{1}\bar{k}_{2}; \bar{k}_{1}') = s_{2,M}(\bar{k}_{2}\bar{k}_{1}; \bar{k}_{1}') \\ d3) &|s_{3}(\bar{k}_{1}\bar{k}_{2}; \bar{k}_{1}'\bar{k}_{2}')| \\ &\leqslant s_{3,M}(\bar{k}_{1}\bar{k}_{2}; \bar{k}_{1}'\bar{k}_{2}') \cdot \left[\frac{f^{4} \cdot |v(k_{1})| \cdot |v(k_{2})| \cdot |v(k_{1}')| \cdot |v(k_{2}')|}{|e_{2}(E_{+}, \bar{0}; \bar{k}_{1}\bar{k}_{2})|^{1/2}} \right] \\ \end{aligned}$$

d4)  $s_{1,M}$ ,  $s_{2,M}$  and  $s_{3,M}$  are positive, continuous and bounded for any  $\overline{k}_1, \overline{k}_2, \overline{k}'_1, \overline{k}'_2$ .

 $d5) \left| \frac{\partial^{n_1}}{\partial k_{1,\alpha}^{n_1}} \frac{\partial^{n_2}}{\partial k_{2,\beta}^{n_2}} s_0(\bar{k}_1 \bar{k}_2) \right| \text{ is bounded for any } \bar{k}_1, \bar{k}_2, \text{ if } n_1 + n_2 < +\infty,$  $(\alpha, \beta = 1, 2, 3)$  $d6) \left| \frac{\partial^{n_1}}{\partial k_1^{n_1}} \cdot \frac{\partial^{n_2}}{\partial k_2^{n_2}} \cdot \frac{\partial^{n_3}}{\partial k_1^{n_3}} s_2(\bar{k}_1 \bar{k}_2; \bar{k}_2') \right|$  $\leq \left[ \sum_{k=0}^{n_1} \sum_{k=0}^{n_2} s_{2,\mathbf{M};\alpha\beta\gamma}^{(h_1,h_2)}(\bar{k}_1\bar{k}_2;\bar{k}_2';n_1n_2n_3), \left| \frac{\partial^{h_1}v(k_2)^*}{\partial k_{2,\alpha}^{h_1}} \right|, \left| \frac{\partial^{h_2}v(k_2')}{\partial k_{2,\beta}^{\prime h_2}} \right| \right]$  $\cdot \frac{1}{|e_2(E_+, \bar{0}; \bar{k}_1 \bar{k}_2)|^{1/2} \cdot |e_2(E_+, \bar{0}; \bar{k}_1 \bar{k}_2)|^{1/2}}$ 

where  $\sum_{i=1}^{3} n_i < +\infty$ , all  $s_{2,M;\alpha\beta\gamma}^{(h_1,h_2)}$  are positive, continuous and bounded

for any  $\overline{k}_1, \overline{k}_2, \overline{k}'_2$  and so on for  $s_1$ 

$$d7) \left| \frac{\partial^{n_1}}{\partial k_{1,\alpha}^{n_1}} \cdot \frac{\partial^{n_2}}{\partial k_{1,\beta}^{n_3}} \cdot \frac{\partial^{n_3}}{\partial k_{2,\gamma}^{n_3}} \cdot \frac{\partial^{n_4}}{\partial k_{2,\delta}^{n_4}} s_3(\bar{k}_1\bar{k}_2;\bar{k}_1'\bar{k}_2') \right|$$

$$\leq \left\{ \sum_{h_1=0}^{n_1} \sum_{h_2=0}^{n_2} \sum_{h_3=0}^{n_3} \sum_{h_4=0}^{n_4} \left| \frac{\partial^{h_1}v(k_1)^*}{\partial k_{1,\alpha}^{h_1}} \right| \cdot \frac{\partial^{h_2}v(k_2)^*}{\partial k_{2,\beta}^{h_2}} \right| \cdot \left| \frac{\partial^{h_3}v(k_1')}{\partial k_{1,\gamma}^{n_3}} \right| \cdot \left| \frac{\partial^{h_4}v(k_2')}{\partial k_{2,\delta}^{n_4}} \right|$$

$$\cdot s_{3,M;\alpha\beta\gamma\delta}^{(h_1,h_2,h_3,h_4)}(\bar{k}_1\bar{k}_2;\bar{k}_1'\bar{k}_2';n_1n_2n_3n_4) \right\}$$

$$\cdot \frac{1}{|e_2(E_+,\bar{0};\bar{k}_1\bar{k}_2)|^{1/2} \cdot |e_2(E_+,\bar{0};\bar{k}_1'\bar{k}_2')|^{1/2}}$$
where  $\sum_{i=1}^{3} n_i < +\infty$  and all  $s_{3,M;\alpha\beta\gamma\delta}^{(h_1,h_2,h_3,h_4)}$  are positive, continuous and bounded

W for any  $\overline{\overline{k}_1}$ ,  $\overline{\overline{k}_2}$ ,  $\overline{\overline{k}'_1}$ ,  $\overline{\overline{k}'_2}$ .

d8) each  $s_i$ , i = 0, 1, 2, 3, is really a function only of the scalar products of the vectors which appear as its arguments (no privileged directions exist). Thus,  $s_0$  depends only on  $\overline{k}_1^2$ ,  $\overline{k}_2^2$ ,  $\overline{k}_1$ .  $\overline{k}_2$  and so on for the others.

Notice that all  $s_i$ , i = 0, 1, 2, 3, depend on  $E_+$  and, through it, also on l, and that they do not have an additional and explicit *I*-dependence. In fact, i) they are uniquely determined by the kernel W, which depends on E<sub>+</sub> and which does not have an explicit  $\overline{l}$ -dependence, ii) E<sub>+</sub> depends on  $\omega(l)$ and  $E(-\bar{l})$  both of which only depend on *l*.

The proof of the above results, which are essential for the rigorous construction of  $y_1$ , requires a careful study and majoration of all Feynman

diagrams which, arising from  $\left[1 + \sum_{n=1}^{+\infty} W^n\right] \cdot Y_2^{(0)}$ , contribute to the func-

tions  $s_i$ , i = 0, 1, 2, 3. Such a proof, which is rather lengthy, and explicit estimates will be given in a forthcoming paper. Our methods also provide the basis for an effective construction of all  $s_i$ , i = 0, 1, 2, 3, in the form  $s_i = s_{i,F} + s_{i,R}$ , where  $s_{i,F}$  is the sum of a finite number of Feynman diagrams (say, all perturbative contributions to  $s_i$  up to some order  $f^{2N}$ ) and  $s_{i,R}$  is the remainder. In fact, the techniques to be presented in such a forthcoming paper will allow to majorize all  $|s_{i,R}|$ .

## 5. THE ELASTIC SCATTERING INTEGRAL EQUATION FOR $y_1$ (STEP 2)

### 5.A. Derivation of the elastic scattering integral equation.

Let us consider Eq. (3.3) for n = 1 and replace in it  $y_2(\overline{k}_0 \overline{k}_1)$  by

$$|e_2(\mathbf{E}_+, \overline{\mathbf{0}}; \overline{k}\overline{k}_1)|^{-1/2} \cdot \left[\sum_{i=1}^2 d_2^{(i)}(\overline{k}\overline{k}_1)\right]$$

where, in turn,  $d_2^{(2)}(\bar{k},\bar{k}_1)$  is to be substituted by the right-hand-side of Eq. (4. C. 1) and  $d_2^{(1)}(\bar{k}_0\bar{k}_1)$  is a known function (as it is given by the convergent series which results from (4. A. 5) for n = 2 and (4. B. 7) and it depends only on completely known functions). Moreover, let us express  $d^{(0)}$  in terms of  $y_1$  via the second Eq. (4. A. 3), use Eq. (3. 3) for n = 0 in order to eliminate  $y_0$  in terms of  $y_1$  and rearrange terms. Then, Eq. (3.3) for n = 1 yields finally the following inhomogeneous, linear and singular integral equation for  $y_1$ :

$$y_{1}(\bar{k}_{1}) = \mathbf{D}(k_{1})^{-1} \cdot y_{in}(\bar{k}_{1}) + \int d^{3}\bar{k}'_{1} \cdot \mathbf{D}(k_{1})^{-1} \cdot \left[\mathbf{A}(\bar{k}_{1}, \bar{k}'_{1}) + \frac{f^{2}v(k_{1})^{*}v(k'_{1})}{e_{0}(\mathbf{E}_{+}, \bar{0})}\right] y(\bar{k}'_{1}) \quad (5.A.1)$$

$$y_{in}(\bar{k}_1) = D_1(\bar{k}_1) + \frac{f^2 v(k_1)^* v(l)}{e_0(E_+, \bar{0})}$$
(5.A.2)

$$\mathbf{D}(k_1) = e_1(\mathbf{E}_+, \bar{\mathbf{0}}; \bar{k}_1) + i\varepsilon - \mathbf{D}_2(k_1)$$
(5.A.3)

$$D_{1}(\overline{k}_{1}) = \frac{f \cdot v(l) \cdot b_{1}(-l; k_{1})}{|e(E(-\overline{l}), -\overline{l}; \overline{k}_{1})|^{1/2}} + 2^{1/2} \cdot f \cdot \int d^{3}\overline{k}' \frac{v(k') \cdot d_{2}^{(1)}(\overline{k}'\overline{k}_{1})}{|e_{2}(E_{+}, \overline{0}; \overline{k}'\overline{k}_{1})|^{1/2}} \quad (5.A.4)$$

$$\begin{aligned} \mathbf{D}_{2}(k_{1}) &= f^{2} \Biggl[ \int d^{3}\bar{k}' \frac{|v(k')|^{2} s_{0}(\bar{k}'\bar{k}_{1})}{e_{2}(\mathbf{E}_{+},\bar{0};\bar{k}'\bar{k}_{1})} \\ &+ \int d^{3}\bar{k}' d^{3}\bar{k}'' \frac{v(k')v(k'')^{*} s_{1}(\bar{k}'\bar{k}_{1};\bar{k}'')}{|e_{2}(\mathbf{E}_{+},\bar{0};\bar{k}'\bar{k}_{1})|^{1/2} \cdot e_{2}(\mathbf{E}_{+},\bar{0};\bar{k}_{1}\bar{k}'')^{1/2}} \Biggr] \quad (5.A.5) \\ \mathbf{A}(\bar{k}_{1},\bar{k}'_{1}) &= \frac{f^{2}v(k_{1})^{*}v(k'_{1})s_{0}(\bar{k}'_{1}\bar{k}_{1})}{e_{2}(\mathbf{E}_{+},\bar{0};\bar{k}'_{1}\bar{k}_{1})} \\ &+ f^{2}\int d^{3}\bar{k}' \frac{v(k_{1})^{*}v(k'_{1})s_{1}(\bar{k}'\bar{k}_{1};\bar{k}'_{1})}{|e_{2}(\mathbf{E}_{+},\bar{0};\bar{k}'\bar{k}_{1})|^{1/2} \cdot e_{2}(\mathbf{E}_{+},\bar{0};\bar{k}_{1}\bar{k}'_{1})^{1/2}} \\ &+ f^{2}\int d^{3}\bar{k}' \frac{|v(k')|^{2} \cdot s_{2}(\bar{k}'\bar{k}_{1};\bar{k}'_{1})}{|e_{2}(\mathbf{E}_{+},\bar{0};\bar{k}'\bar{k}_{1})|^{1/2} \cdot e_{2}(\mathbf{E}_{+},\bar{0};\bar{k}'\bar{k}'_{1})^{1/2}} \\ &+ f^{2}\int d^{3}\bar{k}' \frac{v(k')^{*}v(k'_{1}) \cdot s_{2}(\bar{k}'_{1}\bar{k};\bar{k}')}{|e_{2}(\mathbf{E}_{+},\bar{0};\bar{k}'\bar{k}_{1})|^{1/2} \cdot e_{2}(\mathbf{E}_{+},\bar{0};\bar{k}'\bar{k}'_{1})^{1/2}} \\ &+ f^{2}\int d^{3}\bar{k}' d^{3}\bar{k}'' \frac{v(k'')^{*}v(k'_{1}) \cdot s_{2}(\bar{k}',\bar{k};\bar{k};\bar{k}')}{|e_{2}(\mathbf{E}_{+},\bar{0};\bar{k}'\bar{k}'_{1})|^{1/2}} \\ &+ \int d^{2}\int d^{3}\bar{k}' d^{3}\bar{k}'' \frac{v(k'')^{*}v(k'_{1})}{|e_{2}(\mathbf{E}_{+},\bar{0};\bar{k}'\bar{k}'_{1})|^{1/2}} \\ &+ \int d^{2}\int d^{3}\bar{k}' d^{3}\bar{k}'' \frac{v(k'')^{*}v(k')}{|e_{2}(\mathbf{E}_{+},\bar{0};\bar{k}'\bar{k}'_{1})|^{1/2}} \\ &+ \int d^{2}\int d^{3}\bar{k}' d^{3}\bar{k}'' \frac{v(k'')^{*}v(k')}{|e_{2}(\mathbf{E}_{+},\bar{0};\bar{k}'\bar{k}'_{1})|^{1/2}} \\ &+ \int d^{2}\int d^{3}\bar{k}' d^{3}\bar{k}'' \frac{v(k'')^{*}v(k')}{|e_{2}(\mathbf{E}_{+},\bar{0};\bar{k}'\bar{k}'_{1})|^{1/2}} \\ &+ \int d^{2}\int d^{3}\bar{k}' d^{3}\bar{k}'' \frac{v(k'')^{*}v(k')}{|e_{2}(\mathbf{E}_{+},\bar{0};\bar{k}'\bar{k}'\bar{k}'_{1})|^{1/2}} \\ &+ \int d^{2}\int d^{3}\bar{k}' d^{3}\bar{k}'' \frac{v(k'')^{*}v(k')}{|e_{2}(\mathbf{E}_{+},\bar{0};\bar{k}'\bar{k}'\bar{k}'_{1})|^{1/2}} \\ &+ \int d^{2}\int d^{3}\bar{k}' \frac{v(k'')^{*}v(k')}{|e_{2}(\mathbf{E}_{+},\bar{0};\bar{k$$

Remarks. — 1) Since  $e_1(E_+, \overline{0}; \overline{k}_1)$  vanishes for some  $\overline{k}_1$  (recall assumption d) in section 3)) and in order to ensure the correct elastic-scattering singularities and outgoing wave behavior for  $y_1$ , we have replaced  $e_1(E_+, \overline{0}; \overline{k}_1)$  by  $e_1(E_+, \overline{0}; \overline{k}_1) + i\varepsilon$  ( $\varepsilon \to 0^+$ ), according to the general prescriptions of scattering theory [1].

2) By virtue of properties d1, d2 and d4 in subsection 4. C and assumption a) in subsection 2. A,  $D_2(k_1)$  is bounded for any  $\overline{k_1}$ , vanishes if  $k_1 \rightarrow \infty$  and, for any  $\overline{k_1}$ , becomes as small as desired if f is suitably small.

3) The results  $d_1$ - $d_4$ ) in subsection 4. C imply

$$|\mathbf{A}(\bar{k}_1, \bar{k}_1')| \leq \mathbf{A}_{\mathsf{M}}(\bar{k}_1, \bar{k}_1') \cdot |v(k_1)| \cdot |v(k_1')| \cdot [\omega(k_1) \cdot \omega(k_1')]^{-1/2},$$

where  $A_M(\bar{k}_1, \bar{k}'_1)$  is bounded for any  $\bar{k}_1, \bar{k}'_1$  and vanishes if  $k_1$  or  $k'_1$  approach infinity. Moreover, A becomes as small as one likes, provided that f be adequately small.

4) By using

$$\left|\int \frac{d^3 \bar{k}' v(k') d_2^{(1)}(\bar{k}' \bar{k}_1)}{|\bar{e}_2(\mathbf{E}_+, \bar{\mathbf{0}}; \bar{k}' \bar{k}_1)|^{1/2}}\right| \leq \left[\int d^3 \bar{k}' \frac{|v(k')|^2}{|\bar{e}_2(\mathbf{E}_+, \bar{\mathbf{0}}; \bar{k}' \bar{k}_1)|}\right]^{1/2} \cdot \left\|d_2^{(1)}(\bar{k}_1)\right\|_2$$

and the results a) in subsection 2.B (between Eqs. (2.B.13) and (2.B.14)) and c1) in subsection 4.B (between (4.B.6) and (4.B.7)), one gets

$$|\mathbf{D}_{1}(k_{1})| \leq \mathbf{D}_{1,\mathbf{M}}(k_{1}). |v(k_{1})| . \omega(k_{1})^{-1/2}$$

where  $D_{1,M}(\overline{k}_1)$  is bounded for any  $\overline{k}_1$  and vanishes if  $k_1 \to \infty$ .

#### R. F. ALVAREZ-ESTRADA

5) By using assumption a), the results d1)-d4) in subsection 4.C, the results obtained for  $b_1$  (subsection 2.B) and  $d_2^{(1)}$  (subsection 4.B) and Eqs. (5.A.4), (5.A.6), it is easy to prove that  $\int d^3\bar{k}_1 |D_1(\bar{k}_1)|^2 < +\infty$  and  $\int d^3\bar{k}_1 d^3\bar{k}_1' |A(\bar{k}_1, \bar{k}_1')|^2 < +\infty$ .

6) From Eqs. (5.A.3), (5.A.5) and (2.B.2) for  $\overline{\pi} = \overline{0}$ , the result d8) and the comment just after it in subsection 4.C, it follows that  $D_2(k_1)$  and, hence,  $D(k_1)$  only depend on  $k_1$  and  $E_+$  (but not on the direction of  $\overline{k_1}$ ).

Let f be suitably small (recall assumption b) in subsection 2.A). Then, by using assumption f) in subsection 4.A, the above remark 6), and noticing that  $D_2(k_1)$  becomes as small as desired for suitably small f, one has

$$\mathbf{D}(k_1) = [k_1 - (k'_1(\mathbf{E}_+) + i\varepsilon)]\mathbf{D}'(k_1)$$
(5.A.7)

where  $k'_1(\mathbf{E}_+) > 0$  and  $\mathbf{D}'(k_1) \neq 0$  for  $k_1 \ge 0$ . Notice that:

i)  $k'_1(E_+) - k_1^{(0)}(E_+)$  and  $D'(k_1) - e_1^{(0)}(k_1)(k_1^{(0)}(E_+), e_1^{(0)}(k_1)$  being the same as in assumption f)) approach zero as  $f^2$ , if  $f \to 0$ ,

*ii*)  $D'(k_1)/e_1^{(0)}(k_1) \rightarrow 1$  as  $k_1 \rightarrow \infty$ , since  $D_2(k_1) \rightarrow 0$  (recall remark 2) above).

We shall introduce

$$y_1'(\bar{k}_1) = \mathbf{D}(\bar{k}_1) \cdot y_1(\bar{k}_1)$$
  
$$\mathbf{A}_1(\bar{k}_1, \bar{k}_1') = [\mathbf{D}'(k_1')]^{-1} \cdot \left[\mathbf{A}(\bar{k}_1, \bar{k}_1') + \frac{f^2 v(k_1)^* v(k_1')}{e_0(\mathbf{E}_+, \bar{\mathbf{0}})}\right] \quad (5.A.8)$$

so that Eq. (5.A.1) becomes the desired elastic scattering integral equation

$$y_1'(\bar{k}_1) = y_{in}(\bar{k}_1) + \int d^3 \bar{k}_1' \frac{A_1(\bar{k}_1, \bar{k}_1')}{k_1' - [k_1'(E_+) + i\varepsilon]} \cdot y_1'(\bar{k}_1') \quad (5.A.9)$$

Using standard abstract notation and manipulating, Eq. (5.A.9) reads

$$y'_{1} = y_{in} + \hat{A} \cdot y'_{1}, \quad y'_{1}(\bar{k}_{1}) \equiv \langle \bar{k}_{1} | y'_{1} \rangle, \quad y_{in}(\bar{k}_{1}) \equiv \langle \bar{k}_{1} | y_{in} \rangle \quad (5.A.10)$$

$$\frac{A_{1}(\bar{k}_{1}, \bar{k}'_{1})}{k_{1} - [k'_{1}(E_{+}) + i\varepsilon]} \equiv \langle \bar{k}_{1} | \hat{A} | \bar{k}'_{1} \rangle$$

$$= \int_{0}^{+\infty} dk''_{1} \frac{\langle \bar{k}_{1} | \hat{\Delta}(k''_{1}) | \bar{k}'_{1} \rangle}{k''_{1} - [k'_{1}(E_{+}) + i\varepsilon]} \quad (5.A.11)$$

$$\langle \bar{k}_{1} | \hat{\Delta}(k_{1}'') | \bar{k}_{1}' \rangle = \int_{-1}^{+1} d \left( \cos \theta_{1}'' \right) \int_{0}^{2\pi} d\varphi_{1}'' \cdot \delta \left[ \cos \theta_{1}'' - \cos \theta_{1}' \right] \\ \delta \left[ \varphi_{1}'' - \varphi_{1}' \right] \cdot \delta \left[ k_{1}'' - k_{1}' \right] \cdot A_{1}(\bar{k}_{1}, \bar{k}_{1}'') \quad (5.A.12)$$

where  $(\theta'_1, \phi'_1)$  and  $(\theta''_1, \phi''_1)$  are the polar angles determining  $\bar{k}'_1$  and  $\bar{k}''_1$ .

#### 5.B. Rigorous solution of the elastic scattering integral equation.

Some time ago, Lovelace presented a compactness proof for the Lippmann-Schwinger equation in two-particle potential scattering [23]. As we shall see, it is possible to extend such a proof to Eq. (5.A.9). We shall give the essential arguments and bounds for that purpose, and omit certain details which can be found in [23]. Let us consider the Banach space  $C_1$ of all complex functions  $\Phi(\bar{k}_1)$  such that both  $\Phi(\bar{k}_1)$  and  $\nabla_{\bar{k}_1}\Phi(\bar{k}_1)$  are continuous and bounded in magnitude for any  $\bar{k}_1$ . The norm in  $C_1$ , which makes the latter a Banach space, is

$$||| \Phi ||| = \max_{\bar{k}_1} |\Phi(\bar{k}_1)| + \sigma^{(8)} \cdot \max_{\bar{k}_1} |\nabla_{\bar{k}_1} \Phi(\bar{k}_1)|$$
(5.B.1)

 $\sigma^{(8)}$  being a strictly positive constant such that both terms in Eq. (5.B.1) have the same dimensions (for instance,  $\sigma^{(8)} = \omega_0 > 0$ ).

We shall make the following additional assumption: g) v(k) is such that

g1) 
$$|k_{1}^{\prime 2} \cdot A_{1}(\overline{k}_{1}, \overline{k}_{1}^{\prime})| \leq \frac{\sigma^{(10)}}{1 + \sigma^{(9)} \left[\ln(k_{1}^{\prime}/\omega_{0})\right]^{2}}$$
$$|k_{1}^{\prime 2} \nabla_{\overline{k}_{1}} A_{1}(\overline{k}_{1}, \overline{k}_{1}^{\prime})| \leq \frac{\sigma^{(11)}}{1 + \sigma^{(9)} \left[\ln(k_{1}^{\prime}/\omega_{0})\right]^{2}}$$

are true uniformly for any  $\bar{k}_1$  and  $\bar{k}'_1$ ,  $\sigma^{(i)}$ , i = 9, 10 and 11 being certain non-negative constants, with  $\sigma^{(9)} > 0$  strictly, and

g2) both

$$\begin{aligned} & k_{1}^{\prime 1/2} \bigg[ \left( 2k_{1}^{\prime} + \frac{k_{1}^{\prime 2}}{\sigma^{(8)}} \right) | \mathbf{A}_{1}(\bar{k}_{1}, \bar{k}_{1}^{\prime}) | + k_{1}^{\prime 2} \left| \frac{\partial \mathbf{A}_{1}(\bar{k}_{1}, \bar{k}_{1}^{\prime})}{\partial k_{1}^{\prime}} \right| \bigg] \\ & k_{1}^{\prime 1/2} \bigg[ \left( 2k_{1}^{\prime} + \frac{k_{1}^{\prime 2}}{\sigma^{(8)}} \right) | \nabla_{\bar{k}_{1}} \mathbf{A}(\bar{k}_{1}, \bar{k}_{1}^{\prime}) | + k_{1}^{\prime 2} \left| \nabla_{\bar{k}_{1}} \frac{\partial \mathbf{A}_{1}(\bar{k}_{1}, \bar{k}_{1}^{\prime})}{\partial k_{1}^{\prime}} \right| \bigg] \end{aligned}$$

are uniformly bounded for any  $\overline{k}_1$  and  $\overline{k}'_1$ .

By recalling the second Eq. (5.A.8), majorizing it and Eq. (5.A.6), recalling Eq. (5.A.7) and the comments below it and using the results d1) to d7) in order to make v and  $\nabla_{\bar{k}}v$  appear, one concludes that there always exist cut-off functions v such that both g1) and g2) hold. We shall not write down the resulting conditions on v and  $\nabla_{\bar{k}}v$ , which are straightforward but rather cumbersome. Notice that g1) and g2) generalize, respectively, the conditions named (2.11) and (2.14) in Lovelace's paper [23]. Moreover, both  $\sigma^{(10)}$  and  $\sigma^{(11)}$  become arbitrarily small, if f is suitably small.

The main steps necessary for solving Eq. (5.A.9) in C<sub>1</sub> are the following.

1) Assumption c) and our previous results in subsections 2.B and 4.A (recall the result a) in subsection 2.B, the result c1) in subsection 4.B,

the bounds (4.B.11-12) and Eqs. (5.A.2) and (5.A.4)) imply that  $b_1(-\bar{l}; \bar{k}_1)$ ,

$$\int d^{3}\bar{k}' \frac{v(k')d_{2}^{(1)}(\bar{k}'\bar{k}_{1})}{|e_{2}(E_{+},\bar{0};\bar{k}'\bar{k}_{1})|^{1/2}},$$

D<sub>1</sub>( $\overline{k}_1$ ) and  $y_{in}(\overline{k}_1)$  all belong to C<sub>1</sub>. 2) If  $\Phi$  belongs to C<sub>1</sub>, so does  $\widehat{\Delta}(k_1'')\Phi$ , and one has

$$||| \hat{\Delta}(k_1'')\Phi ||| \le \sigma^{(12)}(k_1''). ||| \Phi |||$$

uniformly in  $k_1''$ , where

$$\sigma^{(1\,2)}(k_1'') = \underset{\bar{k}_1}{\operatorname{Max}} \left[ k_1''^2 \int_{-1}^{+1} d\left(\cos \theta_1''\right) \int_{0}^{2\pi} d\varphi_1'' \left| A_1(\bar{k}_1, \bar{k}_1'') \right| \right] + \sigma^{(8)} \cdot \underset{\bar{k}_1}{\operatorname{Max}} \left[ k_1''^2 \int_{-1}^{+1} d\left(\cos \theta_1''\right) \int_{0}^{2\pi} d\varphi_1'' \left| \nabla_{\bar{k}_1} A_1(\bar{k}_1, \bar{k}_1'') \right| \right] \quad (5.B.2)$$

This bound implies that  $\hat{\Delta}(k_1''=0)=0$ .

3) Step 2) and assumption g1) imply

$$||| \hat{\Delta}(k_1'') \Phi ||| \leq \frac{\sigma^{(13)}}{1 + \sigma^{(9)} \cdot [\ln(k_1''/\omega_0)]^2} \cdot ||| \Phi |||$$
 (5.B.3)

uniformly in  $k_1''$ ,  $\sigma^{(13)}$  being a positive constant (compare with (2.10) in [23]).

4) If  $\Phi$  belongs to C<sub>1</sub>, so does  $\frac{d\hat{\Delta}(k_1'')}{d(k_1''^{1/2})}\Phi$ . Moreover, by virtue of assumption g2), one has  $\left\| \frac{d\hat{\Delta}(k_1'')}{d(k_1''^{1/2})} \Phi \right\| \leq \sigma^{(14)}(k_1'')$ .  $\| \Phi \|$ , uniformly in  $k_1''$ , where  $\sigma^{(14)}(k_1'') = 2 \cdot k_1''^{3/2} \left\{ \max_{\bar{k}_1} \int_{-1}^{+1} d(\cos\theta_1'') \int_{0}^{2\pi} d\varphi_1'' \left[ \left( 2 + \frac{k_1''}{\sigma^{(8)}} \right) |A_1(\bar{k}_1, \bar{k}_1'')| + k_1'' \left| \frac{\partial A_1(\bar{k}_1, \bar{k}_1'')}{\partial k_1''} \right| \right] \right]$ +  $\sigma^{(8)}$ . Max  $\int_{\bar{r}}^{+1} d(\cos\theta_1'') \int_{0}^{2\pi} d\varphi_1'' \left[ \left(2 + \frac{k_1''}{\sigma^{(8)}}\right) |\nabla_{\bar{k}_1} A_1(\bar{k}_1, \bar{k}_1'')| \right]$  $+ k_1'' \left| \nabla_{\overline{k}_1} \frac{\partial \mathbf{A}_1(\overline{k}_1, \overline{k}_1'')}{\partial k''} \right| \right] \right\} \quad (5.B.4)$ 

5) Steps 2) and 3) imply the following Hölder conditions:

$$\begin{aligned} \|\|(\hat{\Delta}(k_1'') - \hat{\Delta}(k_2''))\Phi\|\| &\leq \sigma^{(1\,5)} \cdot \|k_1'' - k_2''\| \cdot \|\|\Phi\|\|, \quad k_2'' > 0 \\ \|\|\hat{\Delta}(k_1'')\Phi\|\| &\leq \sigma^{(1\,6)} \cdot k_1''^{1/2} \cdot \|\|\Phi\|\| \end{aligned} (5.B.5)$$

 $\sigma^{(15)}$  and  $\sigma^{(16)}$  being positive constants (compare with [23]).

Annales de l'Institut Henri Poincaré-Section A

6) If  $\Phi$  belongs to C<sub>1</sub>,  $\hat{A}\Phi$  also belongs to C<sub>1</sub>. Moreover, Eqs. (5.A. 10-11) and steps 2), 3), 4) and 5) yield:

i) if 
$$k'_{1}(E_{+}) > 0$$
  

$$||| \hat{A}\Phi ||| \leq \left\{ \frac{\sigma^{(13)}}{1 + [\ln (k'_{1}(E_{+})/\omega_{0})]^{2}} \left[ \pi + \left| \ln \frac{k_{0} - k'_{1}(E_{+})}{k'_{1}(E_{+})} \right| \right] + \sigma^{(15)} \cdot k_{0} + \sigma^{(13)} \int_{k_{0}}^{+\infty} \frac{dk''_{1}}{[k''_{1} - k'_{1}(E_{+})][1 + \sigma^{(9)} [\ln (k''_{1}/\omega_{0})]^{2}]} \right\} \cdot ||| \Phi ||| \quad (5.B.6)$$

 $k_0$  being an arbitrary finite momentum, with  $k_0 > k'_1(E_+)$ .

*ii*) if  $E_+$  is such that  $k'_1(E_+) = 0$  and  $k'_0$  is arbitrary but strictly positive  $\|\|\hat{A}\Phi\|\|$ 

$$\leq \left[ 2\sigma^{(16)} \cdot k_0^{\prime 1/2} + \sigma^{(13)} \int_{k_0}^{+\infty} \frac{dk_1^{\prime\prime}}{k_1^{\prime\prime} \cdot [1 + [\ln(k_1^{\prime\prime}/\omega_0)]^2]} \right] \cdot ||| \Phi ||| \quad (5.B.7)$$

Notice that the two integrals appearing in (5.B.6) and (5.B.7) converge and that  $\sigma^{(i)}$ , i = 13, 14, 15 and 16 become as small as desired as  $f \rightarrow 0$ .

From the above properties, and extending Lovelace's arguments [23], it follows that: a)  $\hat{A}$  is a compact (and, hence, a continuous and bounded) operator in  $C_1$ , b)  $\hat{A}$  can be arbitrarily closely approximated by an operator of finite rank and, hence, Eq. (5.A.9) can be approximated by a finite matrix equation as accurately as desired, c) for suitably small f, the series for  $y'_1(\bar{k}_1)$  formed by all successive iterations of Eq. (5.A.9) converges in  $C_1$  (as the two constants multiplying  $||| \Phi |||$  on the right-hand-sides of (5.B.6) and (5.B.7) are less than unity). Further results can be obtained by applying to the kernel  $\hat{A}$  and Eq. (5.A.9) other standard properties of compact operators. For brevity, we shall omit them.

## 6. CONSTRUCTION OF $y_0$ AND $y_n$ , $n \ge 2$ (STEP 3)

Using Eqs. (5.A.7) and the first Eq. (5.A.8), Eq. (3.3) for n = 0 becomes

$$y_{0} = \frac{1}{e_{0}(\mathbf{E}_{+}, \overline{0})} \left\{ f \cdot v(l) + f \cdot \int_{0}^{+\infty} \frac{dk}{k - [k'_{1}(\mathbf{E}_{+}) + i\varepsilon]} \\ \cdot \left[ \frac{k^{2}}{\mathbf{D}'_{1}(k)} \cdot \int_{-1}^{+1} d(\cos\theta) \int_{0}^{2\pi} d\varphi \cdot v(k) \cdot y'_{1}(\overline{k}) \right] \right\} \quad (6.1)$$

Here,  $(\theta, \varphi)$  are the polar angles of  $\overline{k}$  and  $y'_1(\overline{k})$  is the solution of Eq. (5.A.9), which exists and belongs to C<sub>1</sub> (at least, for small f). By extending the techniques used to establish step 5) above, one proves easily that the singular integral in Eq. (6.1) converges, which implies the finiteness of  $y_0$ .

Similar methods allow to establish the convergence of all integrals

in Eq. (5.C.1), when  $d^{(0)}$  is expressed in terms of  $y'_1$  via the second Eq. (4.A.3). This implies that  $D(k_1).D(k_2).d_2^{(2)}(\overline{k_1}\overline{k_2})$  and

$$\nabla_{\overline{k}_i} \left[ \mathbf{D}(k_1) \mathbf{D}(k_2) d_2^{(2)}(\overline{k}_1 \overline{k}_2) \right], \qquad i = 1, 2$$

are continuous and bounded for any  $\overline{k}_1, \overline{k}_2$ . This, by virtue of the results obtained in subsection 4.B and Eq. (4.A.5), completes the characterization of  $y_2$ .

Once  $y_0$ ,  $y_1$  and  $y_2$  are known, the construction of  $y_n$  for  $n \ge 3$  poses no problem of principle, although it is rather cumbersome. We shall sketch the determination of  $y_3$  only. Let us consider all Eqs. (3.3) for  $n \ge 3$  and, using Eq. (4.A.1), cast them as

 $Y' = Y_1'^{(0)} + Y_2'^{(0)} + W'Y',$ 

where (j, h) = (2, 3), (1, 3), (1, 2) for i = 1, 2, 3. Y' and  $Y_1^{(0)}$  are given by the right-hand-sides of Eqs. (4.A.2) respectively, with the first component omitted and W' is the corresponding new kernel. The construction of  $(\mathbb{I} - W')^{-1}$ .  $Y_1^{(0)}$  proceeds by generalizing directly that of  $d^{(1)}$  in subsection 4. B. Clearly,  $y'_3$  equals the first component of  $(\mathbb{I} - W')^{-1}$ .  $Y_1^{(0)}$ , plus that of  $(\mathbb{I} - W')^{-1}$ .  $Y_2^{(0)}$ . In turn, the latter is given by the generalization of Eq. (5.C.1), with: *i*) new functions  $s'_j$ ,  $j = 0, 1, \ldots, 8$ , instead of  $s_i$ , which can be constructed by generalizing the techniques to be presented in a separate paper, *ii*)  $d^{(0)}$  replaced by the non-vanishing component of  $Y_2^{(0)}$ , which is already known. The elastic scattering amplitude could be determined, in principle, in terms of  $\Psi_{sc}(\overline{l}; - \overline{l})$ , by generalizing directly the developments given in Schweber [6].

We stress that the techniques used in this and the following paper provide basis for: *i*) effective reductions of the actual elastic scattering problem in a field-theoretic model to *n*-body problems (as they control the contributions of all possible Feynman diagrams), *ii*) non-perturbative studies, for increasing values in f, (following the spirit of subsection 5. C of [14]). In order not to make this work longer, we shall omit them and the discussion of some open problems.

Annales de l'Institut Henri Poincaré-Section A

#### ACKNOWLEDGEMENTS

The author is indebted to Instituto de Estudios Nucleares, Junta de Energia Nuclear, Madrid, for partial financial support.

#### REFERENCES

- [1] R. G. NEWTON, Scattering Theory of Waves and Particles, Mc Graw-Hill, New York, 1966.
- [2] V. de ALFARO and T. REGGE, Potential Scattering, North Holland, Amsterdam, 1965.
- [3] B. SIMON, Quantum Mechanics for Hamiltonians Defined as Quadratic Forms, Princeton University Press, Princeton, N. J., 1971.
  - M. REED and B. SIMON, Methods of Modern Mathematical Physics, vol. III : Scattering Theory, Academic Press, 1979.
- [4] L. D. FADDEEV, Trudy Steklov Math. Inst., t. 69, 1963.
- [5] K. HEPP, Helvetia Physica Acta, t. 42, 1969, p. 425.
  - J. GINIBRE and M. MOULIN, Ann. Inst. Henri Poincaré, t. 21, 1974, p. 97.
  - J. M. COMBES, « Scattering Theory in Quantum Mechanics and Asymptotic Completeness » in Mathematical Problems in Theoretical Physics, *Lecture Notes in Physics*, nº 80, Springer-Verlag, Berlin, 1978.
- [6] S. S. SCHWEBER, An Introduction to Relativistic Quantum Field Theory, section 12d, Harper and Row, New York, 1961.
  - E. M. HENLEY and W. THIRRING, *Elementary Quantum Field Theory*, Part III, Mc Graw-Hill, New York, 1962.
- [7] M. STINGL and A. T. STELBOVICS, J. Phys. G., t. 4, 1978, p. 1371, 1389.
- Review talks by K. HOLINDE (p. 21-47) and A. W. THOMAS, in Few Body Systems and Nuclear Forces II, *Lecture Notes in Physics*, t. 87, Springer-Verlag, Berlin, 1978.
- [8] W. JONES and N. H. MARCH, Theoretical Solid State Physics, t. 2: Non-Equilibrium and Disorder, chapter 9, John Wiley, London, 1973.
- [9] W. H. LOUISELL, Quantum Statistical Properties of Radiation, John Wiley, New York, 1973.
- [10] J. P. ECKMANN, Comm. Math. Phys., t. 18, 1970, p. 247.
- S. ALBEVERIO, J. Math. Phys., t. 14, 1973, p. 1800 and Helv. Phys. Acta, t. 45, 1972, p. 303.
- [11] S. ALBEVERIO, An Introduction to Some Mathematical Aspects of Scattering Theory in Models of Quantum Fields, in Scattering Theory in Mathematical Physics, Reidel, The Netherlands, 1974.
  - Y. KATO, Bound States and Asymptotic Fields in Model Field Theories, in International Symposium on Mathematical Problems in Theoretical Physics, *Lecture Notes in Physics*, n° 39, Springer-Verlag, Berlin, 1975.
- [12] K. HEPP, Theorie de la Renormalisation, Lecture Notes in Physics, t. 2, Springer-Verlag, Berlin, 1969.
- [13] J. FROHLICH, Fortsch. der Phys. t. 22, 1974, p. 159 and Ann. Inst. Henri Poincaré, t. 19, 1973, p. 1.
- [14] R. F. ALVAREZ-ESTRADA, Ann. Inst. Henri Poincaré, t. 31, 1979, p. 141.
- [15] O. A. YAKUBOVSKY, J. Nucl. Phys. (USSR), t. 5, 1967, p. 1312.
- [16] R. SCHRADER, Comm. Math. Phys., t. 10, 1968, p. 155.
- [17] A. BETHE and F. de HOFFMANN, Mesons and Fields. Vol. II : Mesons, section 40. Row, Evanston and Co. Illinois, 1955.

#### R. F. ALVAREZ-ESTRADA

- [18] L. M. SCARFONE, J. A. BURNS, J. Math. Phys., t. 18, 1977, p. 2031.
- [19] S. SWAIN, J. Phys., A, t. 8, 1975, p. 1277.
- [20] E. NELSON, J. Math. Phys., t. 5, 1964, p. 1190.
- [21] H. S. WALL, Analytic Theory of Continued Fractions, Van Nostrand, New York, 1948.
- [22] G. C. WICK, Rev. Mod. Phys., t. 27, 1955, p. 339.
- [23] C. LOVELACE, Phys. Rev., t. 135B, 1964, p. 1225.

(Manuscrit reçu le 28 avril 1980)