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# On the Cyclicity of the Unramified Iwasawa Modules of the Maximal Multiple $\mathbb{Z}_p$ -Extensions Over Imaginary Quadratic Fields

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RÉSUMÉ. Pour un nombre premier impair  $p$ , on s'intéresse au nombre de générateurs des modules d'Iwasawa non ramifiés des  $\mathbb{Z}_p$ -extensions multiples maximales sur l'algèbre d'Iwasawa. Dans notre article précédent, sous plusieurs hypothèses sur un corps quadratique imaginaire, nous avons obtenu une condition nécessaire et suffisante de cyclicité du module d'Iwasawa sur l'algèbre d'Iwasawa. Le présent travail fournit des méthodes de calcul et des exemples numériques des modules d'Iwasawa qui sont cycliques en tant que modules sur l'algèbre d'Iwasawa. Nous remarquons que nos méthodes ne supposent pas la véracité de la conjecture de Greenberg généralisée.

ABSTRACT. For an odd prime number  $p$ , we study the number of generators of the unramified Iwasawa modules of the maximal multiple  $\mathbb{Z}_p$ -extensions over the Iwasawa algebra. In our previous paper, under several assumptions for an imaginary quadratic field, we obtained a necessary and sufficient condition for the cyclicity of the Iwasawa module over the Iwasawa algebra. The present work provides computational methods and numerical examples of Iwasawa modules that are cyclic as modules over the Iwasawa algebra. We remark that our methods do not require the assumption that Greenberg's generalized conjecture holds.

## 1. Introduction

Let  $p$  be a prime number,  $\mathbb{Z}_p$  the ring of  $p$ -adic integers,  $K$  an algebraic number field of finite degree, and  $K_\infty^c$  the cyclotomic  $\mathbb{Z}_p$ -extension of  $K$ . One of the most important objects in classical Iwasawa theory is the Galois group  $X_{K_\infty^c}$  of the maximal unramified abelian pro- $p$  extension of  $K_\infty^c$ . The Galois group  $\text{Gal}(K_\infty^c/K)$  acts on  $X_{K_\infty^c}$  by the inner automorphism, and it is well known that  $X_{K_\infty^c}$  is a finitely generated torsion  $\mathbb{Z}_p[[\text{Gal}(K_\infty^c/K)]]$ -module. We introduce here a well-known fact which we can reduce the computation of the number of generators of  $X_{K_\infty^c}^c$  to the computation of only the  $p$ -Sylow subgroup  $A_K$  of the ideal class group of  $K$ . If  $p$  does not split

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in  $K$  and is totally ramified in  $K_\infty^c/K$ , then the  $\text{Gal}(K_\infty^c/K)$ -coinvariant of  $X_{K_\infty^c}$  is isomorphic to  $A_K$ , and hence Nakayama’s lemma tells us that the number of generators of  $X_{K_\infty^c}$  as a  $\mathbb{Z}_p[[\text{Gal}(K_\infty^c/K)]]$ -module coincides with  $\dim_{\mathbb{F}_p}(A_K/pA_K)$  (see [15, Proposition 13.22]). In particular,  $X_{K_\infty^c}$  is cyclic as a  $\mathbb{Z}_p[[\text{Gal}(K_\infty^c/K)]]$ -module if and only if  $A_K$  is cyclic as an abelian group.

The objective of our study is to generalize the basic facts to the case of multiple  $\mathbb{Z}_p$ -extensions. In other words, for the Galois group  $X_{\tilde{K}}$  of the maximal unramified abelian pro- $p$  extension of the maximal multiple  $\mathbb{Z}_p$ -extension  $\tilde{K}$  of  $K$ , we aim to describe the number of generators of  $X_{\tilde{K}}$  as a  $\mathbb{Z}_p[[\text{Gal}(\tilde{K}/K)]]$ -module, and also to determine the conditions under which  $X_{\tilde{K}}$  is  $\mathbb{Z}_p[[\text{Gal}(\tilde{K}/K)]]$ -cyclic. There is an important conjecture called Greenberg’s generalized conjecture, which states that  $X_{\tilde{K}}$  would be pseudo-null as a  $\mathbb{Z}_p[[\text{Gal}(\tilde{K}/K)]]$ -module. Much evidence supporting the validity of this conjecture has been reported. However, this important conjecture does not seem to give the number of generators of  $X_{\tilde{K}}$ . Therefore, we considered that it would be worthwhile to describe the number of generators of  $X_{\tilde{K}}$  as a  $\mathbb{Z}_p[[\text{Gal}(\tilde{K}/K)]]$ -module, to give necessary and sufficient conditions for  $X_{\tilde{K}}$  to be  $\mathbb{Z}_p[[\text{Gal}(\tilde{K}/K)]]$ -cyclic, to provide these numerical examples and so on. We expect that these studies will help us to gain a deeper understanding of various other properties of  $X_{\tilde{K}}$ .

In our previous paper [10], we gave some conditions under which  $X_{\tilde{K}}$  will be  $\mathbb{Z}_p[[\text{Gal}(\tilde{K}/K)]]$ -cyclic for imaginary quadratic fields  $K$ . In this paper, we provide methods for computing such conditions and many examples. In the remainder of this section, we will prepare the notation and introduce the theorems in [10] (Theorems 1.1, 1.2). In Section 2, we describe our method for computing the conditions in Theorem 1.1 and provide some examples to which we can apply Theorem 1.1. In Section 3, we introduce the result of Sumida, which consists of a classification of the Iwasawa modules of  $\mathbb{Z}_p$ -rank 2. In Section 4, we describe a method for computing the conditions in Theorem 1.2 and provide some examples to which we can apply Theorem 1.2.

**1.1. Conditions for  $X_{\tilde{K}}$  to be  $\mathbb{Z}_p[[\text{Gal}(\tilde{K}/K)]]$ -cyclic.** Let  $p$  be an odd prime number, and  $\tilde{K}$  an imaginary quadratic field in which  $p$  does not split. Denote by  $K_\infty^c$  and  $K_\infty^{\text{an}}$  the cyclotomic  $\mathbb{Z}_p$ -extension and the anti-cyclotomic  $\mathbb{Z}_p$ -extension of  $K$ , respectively. Let  $\tilde{K} = K_\infty^c K_\infty^{\text{an}}$ . Then  $\tilde{K}$  is the maximal multiple  $\mathbb{Z}_p$ -extension over  $K$  and  $\text{Gal}(\tilde{K}/K) \cong \mathbb{Z}_p^2$ . Fix a topological generator  $\tilde{\sigma}$  (resp.  $\tilde{\tau}$ ) of  $\text{Gal}(\tilde{K}/K_\infty^{\text{an}})$  (resp.  $\text{Gal}(\tilde{K}/K_\infty^c)$ ). Then there exists a ring isomorphism between the complete group ring  $\mathbb{Z}_p[[\text{Gal}(\tilde{K}/K)]]$  and the formal power series ring  $\mathbb{Z}_p[[S, T]]$  by sending  $\tilde{\sigma}$

and  $\tilde{\tau}$  to  $1 + S$  and  $1 + T$ , respectively. Note that this ring isomorphism depends on the choice of topological generators  $\tilde{\sigma}$  and  $\tilde{\tau}$ . Also, we have the commutative diagram

$$\begin{CD} \mathbb{Z}_p[[\text{Gal}(\tilde{K}/K)]] @>\sim>> \mathbb{Z}_p[[S, T]] \\ @VVV @VVV \\ \mathbb{Z}_p[[\text{Gal}(K_\infty^c/K)]] @>\sim>> \mathbb{Z}_p[[S]], \end{CD}$$

where the left vertical arrow is induced by the projection  $\text{Gal}(\tilde{K}/K) \rightarrow \text{Gal}(K_\infty^c/K)$ , the right vertical arrow is defined by substituting  $T = 0$ , and the lower horizontal arrow is induced by sending  $\tilde{\sigma}|_{K_\infty^c}$  to  $1 + S$ . We identify  $\mathbb{Z}_p[[\text{Gal}(\tilde{K}/K)]]$  (resp.  $\mathbb{Z}_p[[\text{Gal}(K_\infty^c/K)]]$ ) with  $\mathbb{Z}_p[[S, T]]$  (resp.  $\mathbb{Z}_p[[S]]$ ) via the isomorphism above. For any algebraic number field  $F$ , denote by  $X_F$  the Galois group of the maximal unramified abelian pro- $p$  extension  $L_F$  of  $F$ . If  $F$  is a finite extension of the rational number field  $\mathbb{Q}$ , denote by  $A_F$  the  $p$ -Sylow subgroup of the ideal class group of  $F$ .

It is known that, for any  $\mathbb{Z}_p$ -extension  $K_\infty$  of  $K$ ,  $X_{K_\infty}$  is a finitely generated torsion  $\mathbb{Z}_p[[\text{Gal}(K_\infty/K)]]$ -module. Similarly,  $X_{\tilde{K}}$  is a finitely generated torsion  $\mathbb{Z}_p[[S, T]]$ -module by Greenberg [5]. Moreover, for the cyclotomic  $\mathbb{Z}_p$ -extension  $K_\infty^c$ ,  $X_{K_\infty^c}$  is a finitely generated free  $\mathbb{Z}_p$ -module by Ferrero and Washington [2] and by [15, Proposition 13.28]. By Nakayama's lemma, the number of generators of  $X_{K_\infty^c}$  (resp.  $X_{\tilde{K}}$ ) as a  $\mathbb{Z}_p[[S]]$ -module (resp. a  $\mathbb{Z}_p[[S, T]]$ -module) coincides with  $\dim_{\mathbb{F}_p} X_{K_\infty^c}/(p, S)X_{K_\infty^c}$  (resp.  $\dim_{\mathbb{F}_p} X_{\tilde{K}}/(p, S, T)X_{\tilde{K}}$ ). Furthermore, since  $p$  does not split in  $K$ , we have

$$\dim_{\mathbb{F}_p} X_{K_\infty^c}/(p, S)X_{K_\infty^c} = \dim_{\mathbb{F}_p} A_K/pA_K.$$

We introduce the Iwasawa invariants and the characteristic ideals. Let  $\mathcal{O}$  be the ring of integers of a finite extension over the field  $\mathbb{Q}_p$  of  $p$ -adic numbers, and  $M$  a finitely generated torsion  $\mathcal{O}[[S]]$ -module. By the structure theorem of  $\mathcal{O}[[S]]$ -modules, there is an  $\mathcal{O}[[S]]$ -homomorphism

$$\varphi: M \rightarrow \left( \bigoplus_i \mathcal{O}[[S]]/(\pi^{m_i}) \right) \oplus \left( \bigoplus_j \mathcal{O}[[S]]/(f_j(S)^{n_j}) \right)$$

with finite kernel and finite cokernel, where  $m_i, n_j$  are non-negative integers,  $\pi$  is a prime element in  $\mathcal{O}$ , and  $f_j(S) \in \mathcal{O}[S]$  are distinguished irreducible polynomials. We let

$$\text{char}(M) = \left( \prod_i \pi^{m_i} \prod_j f_j(S)^{n_j} \right),$$

which is an ideal in  $\mathcal{O}[[S]]$  and called the characteristic ideal of  $M$ . We define the Iwasawa  $\mu$ -invariant  $\mu(K_\infty/K)$  and the Iwasawa  $\lambda$ -invariant  $\lambda(K_\infty/K)$

of a  $\mathbb{Z}_p$ -extension  $K_\infty$  by  $\sum_i m_i$  and  $\sum_j n_j \deg f_j$  for  $M = X_{K_\infty}$ , which is regarded as a  $\mathbb{Z}_p[[S]]$ -module.

Now we introduce the theorems in [10] which give conditions for  $X_{\widetilde{K}}$  to be  $\mathbb{Z}_p[[\text{Gal}(\widetilde{K}/K)]]$ -cyclic.

**Theorem 1.1** ([10, Theorem 1.6]). *Let  $p$  be an odd prime number and  $K$  an imaginary quadratic field such that  $p$  does not split.*

(i) (trivial case) *Assume that  $L_K \cap \widetilde{K} = K$ , then*

$$\dim_{\mathbb{F}_p}(X_{\widetilde{K}}/(p, S, T)X_{\widetilde{K}}) = \dim_{\mathbb{F}_p}(A_K/pA_K).$$

(ii) *Suppose that  $L_K \cap \widetilde{K} \neq K$ , and that  $\dim_{\mathbb{F}_p}(A_K/pA_K) = 1$ .*

(ii-a) *If  $\lambda(K_\infty^c/K) = 1$ , then  $\dim_{\mathbb{F}_p}(X_{\widetilde{K}}/(p, S, T)X_{\widetilde{K}}) = 1$ .*

(ii-b) *If  $\lambda(K_\infty^c/K) \geq 2$ , then*

$$\dim_{\mathbb{F}_p}(X_{\widetilde{K}}/(p, S, T)X_{\widetilde{K}}) = \begin{cases} 1 & \text{if } L_K \subset \widetilde{K}, \\ 2 & \text{otherwise.} \end{cases}$$

**Theorem 1.2** ([10, Theorem 5.12]). *Let  $p$  be an odd prime number, and  $K$  an imaginary quadratic field such that  $p$  does not split. Assume that both  $\text{Gal}(L_K/L_K \cap \widetilde{K})$  and  $\text{Gal}(L_K \cap \widetilde{K}/K)$  are non-trivial. Suppose the following conditions:*

- $\dim_{\mathbb{F}_p}(A_K/pA_K) = 2$  and  $\text{Gal}(L_K/L_K \cap \widetilde{K})$  is a direct summand of  $\text{Gal}(L_K/K)$ .
- $\lambda(K_\infty^c/K) = 2$ .
- Let  $\alpha, \beta \in \mathbb{Q}_p$  be the roots of the distinguished polynomial generating  $\text{char}(X_{K_\infty^c})$ . Then  $\alpha \neq \beta$ .

We denote by  $\text{ord}$  the normalized additive valuation on the valuation ring  $\mathcal{O}$  of  $\mathbb{Q}_p(\alpha, \beta)$ . We may assume that  $\text{ord}(\alpha) \leq \text{ord}(\beta)$ . Let  $x_2 \in X_{K_\infty^c}$  be a preimage of a generator of  $\text{Gal}(L_K/L_K \cap \widetilde{K})$  by the map  $X_{K_\infty^c} \rightarrow \text{Gal}(L_K/K)$ . Also, we denote by the vector  $(\mu_{21}, \mu_{22})$  the image of  $x_2 \otimes 1$  under the injective map

$$X_{K_\infty^c} \otimes_{\mathbb{Z}_p} \mathcal{O} \rightarrow \mathcal{O}[[S]]/(S - \alpha) \oplus \mathcal{O}[[S]]/(S - \beta)$$

defined in Section 3. We regard  $\mu_{21}, \mu_{22} \in \mathcal{O}$  by the natural isomorphisms of  $\mathcal{O}$ -algebras  $\mathcal{O}[[S]]/(S - \alpha) \cong \mathcal{O}$  and  $\mathcal{O}[[S]]/(S - \beta) \cong \mathcal{O}$ . Then,  $X_{\widetilde{K}}$  is  $\mathbb{Z}_p[[S, T]]$ -cyclic if and only if one of the following holds:

- (i)  $k > 0$ ,  $\text{ord}(\beta - \alpha) - k < \text{ord}(\alpha)$ ,
- (ii)  $k > 0$ ,  $\text{ord}(\beta - \alpha) - k = \text{ord}(\alpha)$ ,  $\text{ord}(\mu_{21}) = 0$ ,
- (iii)  $k = 0$ ,  $\text{ord}(\beta - \alpha) = \text{ord}(\alpha)$ ,  $n_1 < n_2$ ,  $\text{ord}(\mu_{21}) = 0$ ,
- (iv)  $k = 0$ ,  $\text{ord}(\beta - \alpha) = \text{ord}(\alpha)$ ,  $n_1 \geq n_2$ ,  $\begin{cases} \text{ord}(\mu_{21}) = 0, \\ \text{ord}(\mu_{22}) = \text{ord}(\beta) - \text{ord}(\alpha), \end{cases}$

where each  $n_1$  and  $n_2$  is defined by

$$p^{n_1} = \# \text{Gal}(L_K \cap \widetilde{K}/K) \quad \text{and} \quad p^{n_2} = \# \text{Gal}(L_K/L_K \cap \widetilde{K}),$$

respectively, and  $k$  will be defined in Section 3.

**Remark 1.3** (Erratum). In [10], the definition of  $\mathcal{O}$  (see p. 423 and Theorem 5.12 in [10]) needs to be corrected as above. Also, the assumption that both  $\text{Gal}(L_K/L_K \cap \widetilde{K})$  and  $\text{Gal}(L_K \cap \widetilde{K}/K)$  are non-trivial and the assumption that  $\text{ord}(\alpha) \leq \text{ord}(\beta)$  are dropped in Theorem 5.12 of [10].

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### 2. Examples of Theorem 1.1

In this section, we will give examples of Theorem 1.1. As in the previous section, let  $p$  be an odd prime number, and  $K$  an imaginary quadratic field in which  $p$  does not split. We denote by  $\mathfrak{X}_K$  the Galois group of the maximal abelian pro- $p$  extension  $M_K/K$  unramified outside the primes lying above  $p$ . Let  $E_K$  be the unit group of  $K$ . Let  $E_K^{(1)} = \{u \in E_K \mid u \equiv 1 \pmod{\mathfrak{p}}\}$ , where  $\mathfrak{p}$  is the prime of  $K$  lying above  $p$ . Note that  $E_K^{(1)}$  is trivial unless  $K = \mathbb{Q}(\sqrt{-3})$  and  $p = 3$ . By class field theory, we have the following exact sequence:

$$0 \rightarrow \text{Tor}_{\mathbb{Z}_p} \left( U_{\mathfrak{p}}^{(1)} / \overline{\varphi(E_K^{(1)})} \right) \rightarrow \text{Tor}_{\mathbb{Z}_p} \mathfrak{X}_K \rightarrow \text{Gal}(L_K/L_K \cap \widetilde{K}) \rightarrow 0,$$

where  $U_{\mathfrak{p}}^{(1)}$  is the group of the principal units in the completion of  $K$  with respect to  $\mathfrak{p}$ ,  $\varphi: E_K^{(1)} \rightarrow U_{\mathfrak{p}}^{(1)}$  is the natural homomorphism, and  $\overline{\varphi(E_K^{(1)})}$  is the closure of  $\varphi(E_K^{(1)})$  in  $U_{\mathfrak{p}}^{(1)}$ . We know  $L_K \cap \widetilde{K} \subset K_{\infty}^{\text{an}}$ . Combining the exact sequence above with the following lemma, we can determine the integer  $n$  such that  $L_K \cap \widetilde{K} = K_n^{\text{an}}$ .

**Lemma 2.1** (Fujii [3, Lemma 4.3]). *Let  $I_K(p)$  be the group of fractional ideals of  $K$  prime to  $p$  and  $S_K(p^n)$  the Strahl group of  $K$  modulo  $p^n$ , which*

consists of all fractional principal ideals  $(\alpha)$  of  $K$  satisfying  $\alpha \equiv 1 \pmod{p^n}$ . Let  $p^N = p \exp(A_K)$ , where  $\exp(A_K)$  is the exponent of  $A_K$ . If

$$(I_K(p)/S_K(p^n)) \otimes \mathbb{Z}_p \cong A \oplus \mathbb{Z}/p^{N_1}\mathbb{Z} \oplus \mathbb{Z}/p^{N_2}\mathbb{Z}$$

for some abelian group  $A$  and some integers  $N_1, N_2$  satisfying  $N + 2 \leq n, N < N_i$  ( $i = 1, 2$ ), then we have  $\text{Tor}_{\mathbb{Z}_p} \mathfrak{X}_K \cong A$ , non-canonically.

We also use the following criterion to determine whether  $L_K \subset \widetilde{K}$ .

**Lemma 2.2** (Minardi [9, Corollary of Proposition 6.B]). *Let  $K = \mathbb{Q}(\sqrt{-d})$  with a square-free positive integer  $d$ . If  $p = 3$  and  $d \not\equiv 3 \pmod{9}$ , then  $L_K \subset \widetilde{K}$  if and only if the class number of  $\mathbb{Q}(\sqrt{3d})$  is not divisible by 3.*

Using Lemmas 2.1, 2.2 above and referring to Fukuda’s table for the  $\lambda$ -invariants of imaginary quadratic fields ([4]), we get the following examples.

**Example 2.3.** Let  $p = 7$  and  $K = \mathbb{Q}(\sqrt{-71})$ . Then the prime 7 is inert in  $K$ . In this case we have  $\lambda(K_\infty^c/K) = 1$ . We can check that  $A_K \cong \mathbb{Z}/7\mathbb{Z}$  and that  $L_K \cap \widetilde{K} = K$  by Lemma 2.1. Hence  $X_{\widetilde{K}}$  is cyclic as a  $\mathbb{Z}_p[[\text{Gal}(\widetilde{K}/K)]]$ -module by Theorem 1.1 (i).

**Example 2.4.** Let  $p = 3$  and  $K = \mathbb{Q}(\sqrt{-61})$ . Then the prime 3 is inert in  $K$ . In this case we have  $\lambda(K_\infty^c/K) = 1$ . We can check that  $A_K \cong \mathbb{Z}/3\mathbb{Z}$  and  $L_K \subset \widetilde{K}$  by Lemma 2.2. Hence  $X_{\widetilde{K}}$  is cyclic as a  $\mathbb{Z}_p[[\text{Gal}(\widetilde{K}/K)]]$ -module by Theorem 1.1 (ii-a).

**Example 2.5.** Let  $p = 3$  and  $K = \mathbb{Q}(\sqrt{-1207})$ . Then the prime 3 is inert in  $K$ . In this case we have  $\lambda(K_\infty^c/K) = 2$ . We can check that  $A_K \cong \mathbb{Z}/3^2\mathbb{Z}$  and that  $L_K \subset \widetilde{K}$  by Lemma 2.2. Hence  $X_{\widetilde{K}}$  is cyclic as a  $\mathbb{Z}_p[[\text{Gal}(\widetilde{K}/K)]]$ -module by Theorem 1.1 (ii-b).

**Example 2.6.** Let  $p = 3$  and  $K = \mathbb{Q}(\sqrt{-186})$ . Then the prime 3 is ramified in  $K$ . In this case we have  $\lambda(K_\infty^c/K) = 2$ . We can check that  $A_K \cong \mathbb{Z}/3\mathbb{Z}$  and that  $L_K \subset \widetilde{K}$  by Lemma 2.2. Hence  $X_{\widetilde{K}}$  is cyclic as a  $\mathbb{Z}_p[[\text{Gal}(\widetilde{K}/K)]]$ -module by Theorem 1.1 (ii-b).

**Example 2.7.** Let  $p = 3$  and  $K = \mathbb{Q}(\sqrt{-6382})$ . Then the prime 3 is inert in  $K$ . In this case we have  $\lambda(K_\infty^c/K) = 2$ . We can check that  $A_K \cong \mathbb{Z}/3^2\mathbb{Z}$  and that  $K \neq L_K \cap \widetilde{K}$  and  $L_K \not\subset K$ . Hence  $X_{\widetilde{K}}$  is not cyclic as a  $\mathbb{Z}_p[[\text{Gal}(\widetilde{K}/K)]]$ -module by Theorem 1.1 (ii-b).

### 3. The results of Sumida

In this section, we prepare the notation to provide examples of Theorem 1.2. Assume that  $\lambda(K_\infty^c/K) = 2$ . Let  $\alpha, \beta \in \overline{\mathbb{Q}_p}$  be the roots of the distinguished polynomial  $f(S)$  generating  $\text{char}(X_{K_\infty^c})$ . In the following, we denote  $\mathbb{Q}_p(\alpha, \beta)$  by  $E$ . Let  $\mathcal{O}_E, \pi_E$ , and  $\text{ord}_E$  be the ring of integers in  $E$ , a

prime element of  $E$ , and the normalized additive valuation on  $E$  such that  $\text{ord}_E(\pi_E) = 1$ , respectively. Then

$$f(S) = (S - \alpha)(S - \beta) \in \mathcal{O}_E[S], \quad \alpha, \beta \in \pi_E \mathcal{O}_E.$$

We let  $\Lambda_E := \mathcal{O}_E[[S]]$ , the ring of formal power series over  $\mathcal{O}_E$ .

For a finitely generated torsion  $\Lambda_E$ -module  $M$ , we denote the  $\Lambda_E$ -isomorphism class of  $M$  by  $[M]_E$ .

We consider finitely generated torsion  $\Lambda_E$ -modules whose characteristic ideals are  $(f(S))$ , and define the set  $\mathcal{M}_{f(S)}^E$  by

$$\mathcal{M}_{f(S)}^E = \left\{ [M]_E \mid \begin{array}{l} M \text{ is a finitely generated torsion } \Lambda_E\text{-module,} \\ \text{char}(M) = (f(S)) \text{ and } M \text{ is free over } \mathcal{O}_E \end{array} \right\}.$$

Sumida [14] classified all the elements of  $\mathcal{M}_{f(S)}^E$  for any given separable and reduceble distinguished polynomial  $f(S)$  of degree 2. (For more complete classification for any given distinguished polynomial of degree 2, see Koike [6].)

Let us introduce a special case of their results. Assume that  $\alpha$  and  $\beta$  are distinct. Let  $[M]_E$  be an element of  $\mathcal{M}_{f(S)}^E$ . Since  $M$  has no non-trivial finite  $\Lambda_E$ -submodule, there exists an injective  $\Lambda_E$ -homomorphism

$$\varphi: M \hookrightarrow \Lambda_E/(S - \alpha) \oplus \Lambda_E/(S - \beta)$$

with finite cokernel. For each isomorphic class  $[M]_E$ , we fix a representative  $M \in [M]_E$  and  $\varphi$  as above, and regard  $M$  as a submodule in  $\Lambda_E/(S - \alpha) \oplus \Lambda_E/(S - \beta)$ . By using the canonical isomorphism  $\Lambda_E/(S - \alpha) \cong \mathcal{O}_E (g(S) \mapsto g(\alpha))$ , we define an isomorphism

$$\iota: \mathcal{E} = \Lambda_E/(S - \alpha) \oplus \Lambda_E/(S - \beta) \longrightarrow \mathcal{O}_E^{\oplus 2}$$

by  $(g_1(S), g_2(S)) \mapsto (g_1(\alpha), g_2(\beta))$ . We identify  $\mathcal{E}$  with  $\mathcal{O}_E^{\oplus 2}$  via  $\iota$ . Thus an element in  $\mathcal{E}$  is expressed as  $(a_1, a_2) \in \mathcal{O}_E^{\oplus 2}$ . Since the rank of  $M$  as an  $\mathcal{O}_E$ -module is equal to two, we can write  $M$  of the form

$$M = \langle (a, b), (c, d) \rangle_{\mathcal{O}_E} \subset \Lambda_E/(S - \alpha) \oplus \Lambda_E/(S - \beta),$$

where  $\langle * \rangle_{\mathcal{O}_E}$  is the  $\mathcal{O}_E$ -submodule generated by  $*$ . Furthermore, using this notation, we can express the action of  $S$  by

$$S(a, b) = (\alpha a, \beta b).$$

Then Sumida proved the following:

**Proposition 3.1** (Sumida [14, Proposition 10]). *Let  $f(S)$  be the polynomial in the above. Then we have*

$$\mathcal{M}_{f(S)}^E = \{ [M(k)]_E \mid 0 \leq k \leq \text{ord}_E(\beta - \alpha) \},$$

where

$$M(k) = \langle (1, 1), (0, \pi_E^k) \rangle_{\mathcal{O}_E} \subset \Lambda_E/(S - \alpha) \oplus \Lambda_E/(S - \beta).$$



Furthermore, we have

$$M(k) \cong M(k') \iff k = k'.$$

The integer  $k$  in the above proposition is defined up to  $\Lambda_E$ -isomorphism. Consider the isomorphism class  $[X_{K_\infty^c} \otimes_{\mathbb{Z}_p} \mathcal{O}_E]_E$ . Then there exists a unique integer  $k$  such that  $[X_{K_\infty^c} \otimes_{\mathbb{Z}_p} \mathcal{O}_E]_E = [M(k)]_E$ . We define the integer  $k$  in Theorem 1.2 in this way.

The following result is well-known, but we give a proof for convenience.

**Corollary 3.2.** *Using the same notation as above, the condition  $k = \text{ord}_E(\beta - \alpha)$  holds if and only if  $M$  is  $\Lambda_E$ -cyclic.*

*Proof.* We have only to show that if  $k = \text{ord}_E(\beta - \alpha)$ , then  $M(k)$  is  $\Lambda_E$ -cyclic. In fact, then the converse follows simultaneously from Proposition 3.1, since the  $\Lambda_E$ -isomorphism class of  $\Lambda_E$ -cyclic in  $\mathcal{M}_{f(S)}^E$  is only  $[\Lambda_E/(f(S))]$ . Assume that  $k = \text{ord}_E(\beta - \alpha)$ . Then

$$(S - \alpha)(1, 1) = (0, \beta - \alpha) = (p\text{-adic unit}) \cdot (0, \pi_E^k),$$

so  $M(k)$  is generated by the single element  $(1, 1)$ . □

In the remainder of this section, we introduce a method to compute  $k$  in Proposition 3.1 for a given element of  $\mathcal{M}_{f(S)}^E$  by the higher Fitting ideals, which is briefly introduced in Kurihara [8].

For a commutative ring  $R$  and a finitely presented  $R$ -module  $M$ , we consider the following exact sequence:

$$R^m \xrightarrow{f} R^n \rightarrow M \rightarrow 0,$$

where  $m$  and  $n$  are positive integers. For an integer  $i \geq 0$  such that  $0 \leq i < n$ , the  $i$ -th Fitting ideal of  $M$  is defined to be the ideal of  $R$  generated by all  $(n - i) \times (n - i)$  minors of the matrix corresponding to  $f$ . We denote the  $i$ -th Fitting ideal of  $M$  by  $\text{Fitt}_{i,R}(M)$ . This definition does not depend on the choice of the exact sequence above (see [12]).

Let  $M$  be a  $\Lambda_E$ -module satisfying  $[M]_E \in \mathcal{M}_{f(S)}^E$  and  $[M]_E = [M(k)]_E$  for some non-negative integer  $k$  with  $0 \leq k \leq \text{ord}_E(\beta - \alpha)$ . Since  $(1, 1)$  and  $(0, \pi_E^k)$  constitute an  $\mathcal{O}_E$ -basis of  $M(k)$  by Proposition 3.1 and

$$\begin{aligned} S(1, 1) &= (\alpha, \beta) \\ &= \alpha(1, 1) + (\beta - \alpha)\pi_E^{-k}(0, \pi_E^k), \\ S(0, \pi_E^k) &= \beta(0, \pi_E^k), \end{aligned}$$

we have an exact sequence of  $\Lambda_E$ -modules

$$0 \rightarrow \Lambda_E^{\oplus 2} \xrightarrow{h} \Lambda_E^{\oplus 2} \rightarrow M(k) \rightarrow 0$$

such that the matrix  $A_h$  corresponding to the  $\Lambda_E$ -homomorphism  $h$  is of the form

$$A_h = \begin{pmatrix} S - \alpha & (\beta - \alpha)\pi_E^{-k} \\ 0 & S - \beta \end{pmatrix}.$$

Therefore

$$\text{Fitt}_{0,\Lambda_E}(M(k)) = ((S - \alpha)(S - \beta)), \text{Fitt}_{1,\Lambda_E}(M(k)) = (S - \alpha, (\beta - \alpha)\pi_E^{-k}).$$

Now, let  $M = X_{K_\infty^c} \otimes_{\mathbb{Z}_p} \mathcal{O}_E$  and  $\omega_n(S) := (1 + S)^{p^n} - 1 \in \mathbb{Z}_p[S]$ . Then the above exact sequence induces an exact sequence of  $\Lambda_E/(\omega_n(S))$ -modules

$$(\Lambda_E/(\omega_n(S)))^{\oplus 2} \rightarrow (\Lambda_E/(\omega_n(S)))^{\oplus 2} \rightarrow (X_{K_\infty^c}/\omega_n(S)X_{K_\infty^c}) \otimes_{\mathbb{Z}_p} \mathcal{O}_E \rightarrow 0.$$

Therefore we have the following:

**Proposition 3.3.** *Using the same notation as above,*

$$\begin{aligned} \text{Fitt}_{1,\Lambda_E/(\omega_n(S))}((X_{K_\infty^c}/\omega_n(S)X_{K_\infty^c}) \otimes_{\mathbb{Z}_p} \mathcal{O}_E) \\ = (S - \alpha, (\beta - \alpha)\pi_E^{-k}, \omega_n(S)) / (\omega_n(S)). \end{aligned}$$

If we take sufficiently large  $n$ , then we can get  $k$  in Proposition 3.1 by the equation in Proposition 3.3. Indeed, in Section 4, we compute  $k$  in Proposition 3.1 for some Iwasawa modules associated with imaginary quadratic fields.

### 4. Examples of Theorem 1.2

In this section, we give examples of Theorem 1.2. We use the same notation as in the previous section and suppose that the assumption in Theorem 1.2 holds.

**4.1. Setting.** We let  $\Lambda = \mathbb{Z}_p[[S]]$  and  $K = \mathbb{Q}(\sqrt{-d})$ , where  $d$  is a positive square-free integer. For each  $n \geq 0$ , we denote by  $K_n^c$  the intermediate field of the cyclotomic  $\mathbb{Z}_p$ -extension  $K_\infty^c$  such that  $K_n^c$  is the unique cyclic extension over  $K$  of degree  $p^n$ . Let  $A_{K_n^c}$  be the  $p$ -Sylow subgroup of the ideal class group of  $K_n^c$ . Then, by class field theory, we have  $X_{K_\infty^c} \cong \varprojlim A_{K_n^c}$ , where the inverse limit is taken with respect to the relative norms. As in Section 1,  $X_{K_\infty^c}$  is a finitely generated torsion  $\Lambda$ -module via a fixed isomorphism

$$(4.1) \quad \mathbb{Z}_p[[\text{Gal}(K_\infty^c/K)]] \cong \mathbb{Z}_p[[S]] \quad (\sigma \leftrightarrow 1 + S),$$

where  $\sigma$  is a topological generator of  $\text{Gal}(K_\infty^c/K)$ . Let  $f(S)$  be the distinguished polynomial which generates  $\text{char}(X_{K_\infty^c})$ . Since it is known that  $X_{K_\infty^c}$  is a free  $\mathbb{Z}_p$ -module, we have  $[X_{K_\infty^c}]_{\mathbb{Q}_p} \in \mathcal{M}_{f(S)}^{\mathbb{Q}_p}$ . We can calculate the polynomial  $f(S) \bmod p^n$  for small  $n$  numerically by Mizusawa's program Iwapoly.ub [11, Research, Programing, Approximate Computation of Iwasawa Polynomials by UBASIC].

Let  $E = \mathbb{Q}_p(\alpha, \beta)$ . Note that  $f(S)$  is separable by the assumption in Theorem 1.2.

Hence, as in Proposition 3.1, there exists an integer  $k$  with  $0 \leq k \leq \text{ord}_E(\beta - \alpha)$ , which depends only on the isomorphism class of  $X_{K_\infty^c}$ , and an  $\mathcal{O}_E$ -basis  $\mathbf{e}_1, \mathbf{e}_2$  of  $X_{K_\infty^c} \otimes_{\mathbb{Z}_p} \mathcal{O}_E$  such that the homomorphism on  $\Lambda_E$ -modules

$$(4.2) \quad \begin{aligned} X_{K_\infty^c} \otimes_{\mathbb{Z}_p} \mathcal{O}_E &\hookrightarrow \Lambda_E/(S - \alpha) \oplus \Lambda_E/(S - \beta); \\ \mathbf{e}_1 &\mapsto (1, 1), \quad \mathbf{e}_2 \mapsto (0, \pi_E^k) \end{aligned}$$

is injective. In the case of  $k = 0$ , we have  $X_{K_\infty^c} \otimes_{\mathbb{Z}_p} \mathcal{O}_E \cong \Lambda_E/(S - \alpha) \oplus \Lambda_E/(S - \beta)$ . In this case we use the standard basis  $\{(1, 0), (0, 1)\}$  instead of  $\{(1, 1), (0, 1)\}$  and *redefine*  $\mathbf{e}_1, \mathbf{e}_2$  so that

$$\mathbf{e}_1 \mapsto (1, 0), \quad \mathbf{e}_2 \mapsto (0, 1)$$

by the map (4.2). We regard  $X_{K_\infty^c} \otimes_{\mathbb{Z}_p} \mathcal{O}_E$  as a  $\Lambda_E$ -submodule of  $\Lambda_E/(S - \alpha) \oplus \Lambda_E/(S - \beta)$  by the above injection. We also regard  $X_{K_\infty^c} \subset X_{K_\infty^c} \otimes_{\mathbb{Z}_p} \mathcal{O}_E$  by the injection  $x \mapsto x \otimes 1$ . We can take generators  $x_1$  and  $x_2$  of  $X_{K_\infty^c}$  satisfying the following condition (CG) (see [10, Section 4]):

**Condition of generator (CG).**

- $x_1$  and  $x_2$  generate  $X_{K_\infty^c}$  as a  $\mathbb{Z}_p$ -module.
- The image of  $x_1$  in  $\text{Gal}(L_K/K)$  maps to a generator of  $\text{Gal}(L_K \cap \widetilde{K}/K)$  by the natural projection. The image of  $x_2$  in  $\text{Gal}(L_K/K)$  becomes 0 in  $\text{Gal}(L_K \cap \widetilde{K}/K)$ .

We assumed that  $\text{Gal}(L_K/L_K \cap \widetilde{K})$  is a direct summand of  $\text{Gal}(L_K/K)$  as in Theorem 1.2. In other words, there exists an isomorphism

$$(4.3) \quad \text{Gal}(L_K/K) \cong \text{Gal}(L_K \cap \widetilde{K}/K) \oplus \text{Gal}(L_K/L_K \cap \widetilde{K}).$$

Write  $A_K$  as  $A_K \cong \mathbb{Z}/p^{n_1}\mathbb{Z} \oplus \mathbb{Z}/p^{n_2}\mathbb{Z}$  for some positive integers  $n_1, n_2$ . By exchanging  $n_1$  and  $n_2$  with each other if necessary, we may assume that the order of  $\text{Gal}(L_K \cap \widetilde{K}/K)$  is  $p^{n_1}$  as in Theorem 1.2. Moreover, as Section 5 in [10], we may assume that the order of the projection of  $x_1$  in  $\text{Gal}(L_K/K)$  is just  $p^{n_1}$  by (4.3). Then we have

$$(4.4) \quad A_K \otimes_{\mathbb{Z}_p} \mathcal{O}_E \cong \mathcal{O}_E/\pi_E^{N_1} \mathcal{O}_E \oplus \mathcal{O}_E/\pi_E^{N_2} \mathcal{O}_E,$$

where  $N_i = en_i$  ( $i = 1, 2$ ) and  $e$  is the ramification index in  $E/\mathbb{Q}_p$ . Note that the projection of  $x_2$  in  $\text{Gal}(L_K/K)$  generates  $\text{Gal}(L_K/L_K \cap \widetilde{K})$  whose order is  $p^{n_2}$ .

We denote by  $(\mu_{11}, \mu_{12})$  (resp.  $(\mu_{21}, \mu_{22})$ ) the image of  $x_1 \otimes 1$  (resp.  $x_2 \otimes 1$ ) under the map (4.2). Then we can write

$$\begin{aligned} x_1 &= \lambda_{11}\mathbf{e}_1 + \lambda_{12}\mathbf{e}_2 = (\mu_{11}, \mu_{12}), \\ x_2 &= \lambda_{21}\mathbf{e}_1 + \lambda_{22}\mathbf{e}_2 = (\mu_{21}, \mu_{22}) \end{aligned}$$

for some  $\lambda_{ij} \in \mathcal{O}_E$ . Note that  $\lambda_{21} = \mu_{21}$  in both cases where  $k = 0$  and those where  $k > 0$ , and that  $\lambda_{11}\lambda_{22} - \lambda_{12}\lambda_{21} \in \mathcal{O}_E^\times$ . Moreover, if  $k = 0$ , then  $\lambda_{ij} = \mu_{ij}$  ( $i, j = 1, 2$ ) since we take  $\mathbf{e}_1 = (1, 0), \mathbf{e}_2 = (0, 1)$ .

We can easily check the condition (i) in Theorem 1.2. On the other hand, it is not easy to check the condition (ii), (iii), and (iv) in Theorem 1.2. Indeed, we need to compute the  $p$ -adic valuations of  $\mu_{21}$  and  $\mu_{22}$ . In the following subsections, we consider the method of computation of  $\text{ord}_E(\mu_{21})$  and  $\text{ord}_E(\mu_{22})$ .

**4.2. Computing  $\text{ord}_E(\mu_{21})$  and  $\text{ord}_E(\mu_{22})$  in Theorem 1.2.**

**Lemma 4.1.** *Using the same notation as in Section 4.1, we have the following:*

(i) *If  $k > 0$ , we have*

$$Sx_1 = \frac{\alpha\lambda_{11}\lambda_{22} - \beta\lambda_{12}\lambda_{21} - \gamma\lambda_{11}\lambda_{21}}{\det(\lambda_{ij})_{ij}}x_1 + \frac{(\beta - \alpha)\lambda_{11}\lambda_{12} + \gamma\lambda_{11}^2}{\det(\lambda_{ij})_{ij}}x_2,$$

$$Sx_2 = \frac{(\alpha - \beta)\lambda_{21}\lambda_{22} - \gamma\lambda_{21}^2}{\det(\lambda_{ij})_{ij}}x_1 + \frac{-\alpha\lambda_{12}\lambda_{21} + \beta\lambda_{11}\lambda_{22} + \gamma\lambda_{11}\lambda_{21}}{\det(\lambda_{ij})_{ij}}x_2,$$

where  $\gamma := (\beta - \alpha)\pi_E^{-k}$ .

(ii) *If  $k = 0$ , we have*

$$Sx_1 = \frac{\alpha\lambda_{11}\lambda_{22} - \beta\lambda_{12}\lambda_{21}}{\det(\lambda_{ij})_{ij}}x_1 + \frac{(\beta - \alpha)\lambda_{11}\lambda_{12}}{\det(\lambda_{ij})_{ij}}x_2,$$

$$Sx_2 = \frac{(\alpha - \beta)\lambda_{21}\lambda_{22}}{\det(\lambda_{ij})_{ij}}x_1 + \frac{-\alpha\lambda_{12}\lambda_{21} + \beta\lambda_{11}\lambda_{22}}{\det(\lambda_{ij})_{ij}}x_2.$$

*Proof.* Let  $\delta = 0$  or  $1$  according to whether or not  $k = 0$ . Then we have

$$\begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} \lambda_{11} & \lambda_{12} \\ \lambda_{21} & \lambda_{22} \end{pmatrix} \begin{pmatrix} \mathbf{e}_1 \\ \mathbf{e}_2 \end{pmatrix}, \quad S \begin{pmatrix} \mathbf{e}_1 \\ \mathbf{e}_2 \end{pmatrix} = \begin{pmatrix} \alpha & \gamma\delta \\ 0 & \beta \end{pmatrix} \begin{pmatrix} \mathbf{e}_1 \\ \mathbf{e}_2 \end{pmatrix}.$$

Therefore we have

$$S \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} \lambda_{11} & \lambda_{12} \\ \lambda_{21} & \lambda_{22} \end{pmatrix} \begin{pmatrix} \alpha & \gamma\delta \\ 0 & \beta \end{pmatrix} \begin{pmatrix} \lambda_{11} & \lambda_{12} \\ \lambda_{21} & \lambda_{22} \end{pmatrix}^{-1} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}.$$

We obtain the results from this equation. □

Let  $A$  be the coefficient of  $x_1$  in the right hand side of each equation of  $Sx_2$  in Lemma 4.1:

$$A = \begin{cases} \frac{(\alpha - \beta)\lambda_{21}\lambda_{22} - \gamma\lambda_{21}^2}{\det(\lambda_{ij})_{ij}} & \text{if } k > 0 \\ \frac{(\alpha - \beta)\mu_{21}\mu_{22}}{\det(\mu_{ij})_{ij}} & \text{if } k = 0. \end{cases}$$

Note that  $A \in \mathbb{Z}_p$ , since  $x_1, x_2$  are elements in the  $\mathbb{Z}_p[[S]]$ -module  $X_{K_\infty}$ . Then, we obtain the following theorem, which reduces computing  $\text{ord}_E(\mu_{21})$  and  $\text{ord}_E(\mu_{22})$  in Theorem 1.2 (ii)(iii)(iv) to computing  $\text{ord}_E(A)$ .

**Theorem 4.2.** *Using the same notation as above, we assume that  $\text{ord}_E(\alpha) \leq \text{ord}_E(\beta)$  as in Theorem 1.2.*

(a) *Suppose that  $k > 0$ . Then  $\text{ord}_E(\mu_{21}) = 0$  if and only if*

$$\text{ord}_E(A) = \text{ord}_E(\beta - \alpha) - k.$$

(b) *Suppose that  $k = 0$  and  $\text{ord}_E(\beta - \alpha) = \text{ord}_E(\alpha)$ .*

(b-i) *Assume that  $n_1 < n_2$ . Then  $\text{ord}_E(\mu_{21}) = 0$  if and only if*

$$\text{ord}_E(A) = \text{ord}_E(\alpha).$$

(b-ii) *Assume that  $n_1 \geq n_2$ . Then  $\text{ord}_E(\mu_{21}) = 0$  and  $\text{ord}_E(\mu_{22}) = \text{ord}_E(\beta) - \text{ord}_E(\alpha)$  if and only if*

$$\text{ord}_E(A) = \text{ord}_E(\beta).$$

*Proof.*

(a). Suppose that  $k > 0$ . Note that  $\lambda_{11}\lambda_{22} - \lambda_{12}\lambda_{21} \in \mathcal{O}_E^\times$ . Hence

$$\begin{aligned} \text{ord}_E(A) &= \text{ord}_E(\lambda_{21}) + \text{ord}_E((\alpha - \beta)\lambda_{22} - (\beta - \alpha)\lambda_{21}\pi_E^{-k}) \\ &= \text{ord}_E(\lambda_{21}) + \text{ord}_E(\alpha - \beta) - k + \text{ord}_E(\lambda_{21} + \lambda_{22}\pi_E^k). \end{aligned}$$

Assume that  $\text{ord}_E(\mu_{21}) = \text{ord}_E(\lambda_{21}) = 0$ . Then  $\text{ord}_E(\lambda_{21} + \lambda_{22}\pi_E^k) = 0$  since  $k > 0$ , and hence

$$\text{ord}_E(A) = \text{ord}_E(\alpha - \beta) - k.$$

Conversely, if  $\text{ord}_E(A) = \text{ord}_E(\beta - \alpha) - k$ , then we have

$$\text{ord}_E(\lambda_{21}) + \text{ord}_E(\lambda_{21} + \lambda_{22}\pi_E^k) = 0.$$

This implies that  $\text{ord}_E(\mu_{21}) = \text{ord}_E(\lambda_{21}) = 0$ .

(b). Similarly, if we suppose that  $k = 0$  and  $\text{ord}_E(\beta - \alpha) = \text{ord}_E(\alpha)$ , then we obtain

$$(4.5) \quad \text{ord}_E(A) = \text{ord}_E(\mu_{21}) + \text{ord}_E(\mu_{22}) + \text{ord}_E(\alpha).$$

On the other hand, using [10, Lemma 5.2], we have

$$(4.6) \quad A_K \otimes_{\mathbb{Z}_p} \mathcal{O}_E \cong \mathcal{O}_E/\alpha\mathcal{O}_E \oplus \mathcal{O}_E/\beta\mathcal{O}_E.$$

(b-i). Suppose that  $n_1 < n_2$ . Comparing (4.4) with (4.6), we have  $N_1 = \text{ord}_E(\alpha) < N_2 = \text{ord}_E(\beta)$ , since we assumed that  $\text{ord}_E(\alpha) \leq \text{ord}_E(\beta)$ . This induces  $\text{ord}_E(\mu_{12}) > 0$ . In fact <sup>1</sup>, if  $\mu_{12} \in \mathcal{O}_E^\times$ , then the  $\mathcal{O}_E$ -submodule generated by the projection of  $x_1 = (\mu_{11}, \mu_{12}) \in \Lambda_E/(S-\alpha) \oplus \Lambda_E/(S-\beta)$  in  $A_K \otimes_{\mathbb{Z}_p} \mathcal{O}_E$  is isomorphic to  $\mathcal{O}_E/\pi_E^{N_2}$ . On the other hand, by our assumption

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<sup>1</sup>The same argument appears in [10, Lemma 5.10].

of  $x_1$  (see the paragraph following the condition (CG)), the projection of  $x_1$  in  $\text{Gal}(L_K/K)$  generates a subgroup which is isomorphic to  $\mathbb{Z}/p^{n_1}\mathbb{Z}$ . This implies  $N_1 = N_2$ , which is a contradiction. Thus,  $\text{ord}_E(\mu_{12}) > 0$ . This implies that, in the case (b-i),

$$\text{ord}_E(\mu_{22}) = 0$$

holds, since  $\mu_{11}\mu_{22} - \mu_{12}\mu_{21} \in \mathcal{O}_E^\times$ . Combining (4.5) with this, it follows immediately that  $\text{ord}_E(\mu_{21}) = 0$  if and only if  $\text{ord}_E(A) = \text{ord}_E(\alpha)$ .

(b-ii). Suppose that  $n_1 \geq n_2$ . If  $\text{ord}_E(\mu_{21}) = 0$  and  $\text{ord}_E(\mu_{22}) = \text{ord}_E(\beta) - \text{ord}_E(\alpha)$  hold, then

$$\text{ord}_E(A) = \text{ord}_E(\beta)$$

by (4.5). Conversely, assume that  $\text{ord}_E(A) = \text{ord}_E(\beta)$ . Then

$$\text{ord}_E(\mu_{21}) + \text{ord}_E(\mu_{22}) = \text{ord}_E(\beta) - \text{ord}_E(\alpha)$$

by (4.5). Since  $\mu_{11}\mu_{22} - \mu_{12}\mu_{21} \in \mathcal{O}_E^\times$ , we have only to consider two cases where  $\text{ord}_E(\mu_{21}) = 0$  and where  $\text{ord}_E(\mu_{22}) = 0$ . If  $\text{ord}_E(\mu_{21}) = 0$ , then  $\text{ord}_E(\mu_{22}) = \text{ord}_E(\beta) - \text{ord}_E(\alpha)$ . Next, we consider the case where  $\text{ord}_E(\mu_{22}) = 0$ . Since we assumed that  $\text{ord}_E(\alpha) \leq \text{ord}_E(\beta)$ , we have  $N_1 = \text{ord}_E(\beta) \geq N_2 = \text{ord}_E(\alpha)$ . This induces

$$\text{ord}_E(\alpha) = \text{ord}_E(\beta).$$

In fact<sup>2</sup>, since  $N_2 = \text{ord}_E(\alpha)$ , we know that  $\alpha x_2 \in SX_{K_\infty^c} \otimes_{\mathbb{Z}_p} \mathcal{O}_E$ . Therefore, there exist some  $s, t \in \mathcal{O}_E$ ,

$$\alpha(\mu_{21}, \mu_{22}) = S(s(1, 0) + t(0, 1)) = s(\alpha, 0) + t(0, \beta).$$

Hence  $\alpha\mu_{22} = t\beta$ . Combining  $\mu_{22} \in \mathcal{O}_E^\times$  with this and  $\text{ord}_E(\beta) \geq \text{ord}_E(\alpha)$ , we have  $\text{ord}_E(\alpha) = \text{ord}_E(\beta)$ . Therefore we obtain

$$\text{ord}_E(\mu_{21}) = \text{ord}_E(\beta) - \text{ord}_E(\alpha) = 0.$$

This completes the proof. □

Our strategy is to compute  $A$  modulo some power of  $p$  by calculating the Galois action on ideal classes of a large enough intermediate subfield and to compare its order  $\text{ord}_E(A)$  with  $\text{ord}_E(\beta - \alpha) - k$ , etc.

**4.3. A method of computing.** In this section, we give a method of computing  $\text{ord}_E(A)$  in Theorem 4.2. Since  $p$  does not split in  $K$ , we have  $\Lambda$ -isomorphisms

$$\psi_n: X_{K_\infty^c}/\omega_n(S)X_{K_\infty^c} \xrightarrow{\sim} A_{K_n^c}$$

for any non-negative integers  $n$ , where  $\omega_n(S) = (1 + S)^{p^n} - 1$  (see [15, Proposition 13.22]). We fix a non-negative integer  $n$  which satisfies the following condition ( $CK_n^c$ ):

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<sup>2</sup>The same argument appears in [10, Lemma 5.11].

**Condition of  $K_n^c$  ( $CK_n^c$ ).**

- If  $k > 0$ , then  $0 < \text{ord}_E(\beta - \alpha) - k < \text{ord}_E(p^{n_1+n})$ .
- If  $k = 0$  and  $n_1 < n_2$ , then  $0 < \text{ord}_E(\alpha) < \text{ord}_E(p^{n_1+n})$ .
- If  $k = 0$  and  $n_1 \geq n_2$ , then  $0 < \text{ord}_E(\beta) < \text{ord}_E(p^{n_1+n})$ .

Here,  $\text{ord}_E(\beta - \alpha) - k \neq 0$  by Corollary 3.2. Recall that the Iwasawa  $\lambda$ -invariant of  $K_\infty^c/K$  is 2. Hence  $A_{K_n^c}$  is generated by two elements as a  $\mathbb{Z}_p$ -module. Since the order of  $\text{Gal}(L_K \cap \widetilde{K}/K)$  is  $p^{n_1}$ , we have  $L_K \cap \widetilde{K} = K_{n_1}^{\text{an}}$ , where  $K_{n_1}^{\text{an}}$  is the  $n_1$ -th layer of the anti-cyclotomic  $\mathbb{Z}_p$ -extension  $K_\infty^{\text{an}}/K$ .

For a fractional ideal  $\mathfrak{a}$  in  $K_n^c$ , we denote its ideal class by  $[\mathfrak{a}]$ . Also, for readability, we denote additively the operation on  $A_{K_n^c}$ . We take generators  $[\mathfrak{b}_1], [\mathfrak{b}_2]$  of  $A_{K_n^c}$  satisfying the following:

- (i)  $[\mathfrak{b}_1] = s[\mathfrak{Q}_1], [\mathfrak{b}_2] = t[\mathfrak{L}_1]$  for some non-negative integers  $s, t$  and for some prime ideals  $\mathfrak{Q}_1, \mathfrak{L}_1$ .
- (ii)  $\mathfrak{Q}_1, \mathfrak{L}_1$  are prime ideals in  $K_n^c$  lying above primes  $q, \ell$ , respectively.
- (iii)  $q$  and  $\ell$  split completely in  $K_n^c/\mathbb{Q}$ , respectively.

In fact, the Chebotarev density theorem ensures the existence of such prime ideals  $\mathfrak{Q}_1, \mathfrak{L}_1$ . Let  $\mathfrak{q}, \bar{\mathfrak{q}}, \mathfrak{l}$ , and  $\bar{\mathfrak{l}}$  be prime ideals in  $K$  such that  $q\mathcal{O}_K = \mathfrak{q}\bar{\mathfrak{q}}$  and  $\ell\mathcal{O}_K = \mathfrak{l}\bar{\mathfrak{l}}$ . We write

$$\begin{aligned} q\mathcal{O}_{K_n^c} &= \mathfrak{Q}_1\bar{\mathfrak{Q}}_1 \cdots \mathfrak{Q}_{p^n}\bar{\mathfrak{Q}}_{p^n}, & \mathfrak{Q}_i &| \mathfrak{q}, & \bar{\mathfrak{Q}}_i &| \bar{\mathfrak{q}} \quad (i = 1, \dots, p^n), \\ \ell\mathcal{O}_{K_n^c} &= \mathfrak{L}_1\bar{\mathfrak{L}}_1 \cdots \mathfrak{L}_{p^n}\bar{\mathfrak{L}}_{p^n}, & \mathfrak{L}_i &| \mathfrak{l}, & \bar{\mathfrak{L}}_i &| \bar{\mathfrak{l}} \quad (i = 1, \dots, p^n), \end{aligned}$$

where  $\mathfrak{Q}_i, \bar{\mathfrak{Q}}_i, \mathfrak{L}_i$ , and  $\bar{\mathfrak{L}}_i$  are prime ideals in  $\mathcal{O}_{K_n^c}$ . Since the norm map  $N_{K_n^c/K}: A_{K_n^c} \rightarrow A_K$  is surjective, we have

$$(4.7) \quad \text{Gal}(L_K/K) = \left\langle \left( \frac{L_K/K}{\mathfrak{q}} \right)^s, \left( \frac{L_K/K}{\mathfrak{l}} \right)^t \right\rangle,$$

where  $\left( \frac{L_K/K}{\mathfrak{q}} \right), \left( \frac{L_K/K}{\mathfrak{l}} \right)$  are the Frobenius endomorphism of  $\mathfrak{q}, \mathfrak{l}$ , respectively. By our assumption (4.3), there exist non-negative integers  $u, v$  such that  $s | u, t | v$  and

$$(4.8) \quad \text{Gal}(L_K/L_K \cap \widetilde{K}) = \text{Gal}(L_K/K_{n_1}^{\text{an}}) = \left\langle \left( \frac{L_K/K}{\mathfrak{q}} \right)^u, \left( \frac{L_K/K}{\mathfrak{l}} \right)^v \right\rangle.$$

Let  $Q$  be the field corresponding to the subgroup generated by  $\left( \frac{L_K/K}{\mathfrak{q}} \right)^s$ . Then, by exchanging  $[\mathfrak{b}_1]$  and  $[\mathfrak{b}_2]$  with each other if necessary, we may

assume that  $L_K = QK_{n_1}^{\text{an}}$ . Furthermore, by using the commutative diagram

$$\begin{array}{ccc}
 X_{K_\infty^c} & & \\
 \downarrow & & \\
 X_{K_\infty^c}/\omega_n(S)X_{K_\infty^c} & \xrightarrow[\psi_n]{\sim} & A_{K_n^c} \\
 \downarrow & & \downarrow N_{K_n^c/K} \\
 X_{K_\infty^c}/SX_{K_\infty^c} & \xrightarrow[\psi_0]{\sim} & A_K,
 \end{array}$$

we know that there exist  $x_1, x_2 \in X_{K_\infty^c}$  such that

$$\psi_n(x_1 \bmod \omega_n(S)) = s[\mathfrak{Q}_1], \quad \psi_n(x_2 \bmod \omega_n(S)) = u[\mathfrak{Q}_1] + v[\mathfrak{L}_1].$$

By Nakayama’s lemma and our assumptions, we obtain  $X_{K_\infty^c} = \langle x_1, x_2 \rangle$  and  $A_{K_n^c} = \langle s[\mathfrak{Q}_1], u[\mathfrak{Q}_1] + v[\mathfrak{L}_1] \rangle$ .

These  $x_1$  and  $x_2$  satisfy the condition (CG). Moreover, the projection of  $x_1$  in  $\text{Gal}(L_K/K)$  generates  $\text{Gal}(L_K/Q) \cong \mathbb{Z}/p^{n_1}\mathbb{Z}$ . So we adopt these  $x_1$  and  $x_2$ , which we have constructed up to modulo  $\omega_n(S)$  computationally, as those taken in Section 4.1.

Finally, because  $\mathbb{Z}_p[\text{Gal}(K_n^c/K)] \cong \Lambda/\omega_n(S)\Lambda$ , we get some  $A', B' \in \mathbb{Z}_p \cap \mathbb{Q}$  such that

$$(4.9) \quad \bar{S}([u\mathfrak{Q}_1 + v\mathfrak{L}_1]) = A'(s[\mathfrak{Q}_1]) + B'(u[\mathfrak{Q}_1] + v[\mathfrak{L}_1])$$

in  $A_{K_n^c}$ , where  $\bar{S} = S \bmod \omega_n(S)$ . Since

$$A_{K_n^c} \cong \mathbb{Z}/p^{n_1+n}\mathbb{Z} \oplus \mathbb{Z}/p^{n_2+n}\mathbb{Z}$$

by [6, Proposition 2.2], the order of  $s[\mathfrak{Q}_1]$  in the ideal class group  $A_{K_n^c}$  is  $p^{n_1+n}$ . Therefore,  $A'$  is determined up to mod  $p^{n_1+n}$ . In particular, if  $A' \equiv 0 \pmod{p^{n_1+n}}$ , then we may assume that  $A' = 0$ . Since the image of  $x_1$  in  $A_{K_n^c}$  by the commutative diagram above is  $s[\mathfrak{Q}_1]$ , we know that  $A$  in Section 4.2 satisfies  $A \equiv A' \pmod{p^{n_1+n}}$ . Hence, if  $A' \not\equiv 0 \pmod{p^{n_1+n}}$ , then  $\text{ord}_E(A')$  is uniquely determined and

$$\text{ord}_E(A) = \text{ord}_E(A') < \text{ord}_E(p^{n_1+n}).$$

On the other hand, if  $A' \equiv 0 \pmod{p^{n_1+n}}$ , then  $\text{ord}_E(A')$  is infinity by the choice of  $A'$ . Then we have the following.

**Theorem 4.3.** *Using the same notation as above, we suppose the assumption in Theorem 1.2. We fix a non-negative integer  $n$  which satisfies the condition  $(CK_n^c)$ . Then  $X_{\tilde{K}}$  is cyclic as a  $\mathbb{Z}_p[[\text{Gal}(\tilde{K}/K)]]$ -module if and only if one of the following holds:*

- (i)  $k > 0, \quad \text{ord}_E(\beta - \alpha) - k < \text{ord}_E(\alpha),$
- (ii)  $k > 0, \quad \text{ord}_E(\beta - \alpha) - k = \text{ord}_E(\alpha), \quad \text{ord}_E(A') = \text{ord}_E(\beta - \alpha) - k,$
- (iii)  $k = 0, \quad \text{ord}_E(\beta - \alpha) = \text{ord}_E(\alpha), \quad n_1 < n_2, \quad \text{ord}_E(A') = \text{ord}_E(\alpha),$



(iv)  $k = 0$ ,  $\text{ord}_E(\beta - \alpha) = \text{ord}_E(\alpha)$ ,  $n_1 \geq n_2$ ,  $\text{ord}_E(A') = \text{ord}_E(\beta)$ , where  $A'$  is given by (4.9).

*Proof.* The condition (i) is the same as in Theorem 1.2 (i). Consider the case (ii). By Theorem 1.2 (ii) and Theorem 4.2 (a), we have only to show that  $\text{ord}_E(A') = \text{ord}_E(\beta - \alpha) - k$  if and only if  $\text{ord}_E(A) = \text{ord}_E(\beta - \alpha) - k$ . First, suppose that  $\text{ord}_E(A') = \text{ord}_E(\beta - \alpha) - k$  holds. If  $A \equiv 0 \pmod{p^{n_1+n}}$ , then we obtain  $A' \equiv 0 \pmod{p^{n_1+n}}$  and  $\text{ord}_E(A') = \infty$  by the above definition, which contradicts the assumption. Hence  $A \not\equiv 0 \pmod{p^{n_1+n}}$  and  $\text{ord}_E(A) = \text{ord}_E(A') = \text{ord}_E(\beta - \alpha) - k$ . Conversely, suppose  $\text{ord}_E(A) = \text{ord}_E(\beta - \alpha) - k$  holds. Then, by the assumption about  $\text{ord}_E(\beta - \alpha) - k$ , we have  $A \not\equiv 0 \pmod{p^{n_1+n}}$ . Hence  $\text{ord}_E(A') = \text{ord}_E(A) = \text{ord}_E(\beta - \alpha) - k$ .

The rest is the same as in (ii). □

#### 4.4. Examples of Theorem 1.2.

**Example 4.4.** Let  $p = 3$  and  $K = \mathbb{Q}(\sqrt{-12394})$ . Using PARI/GP [13], we have  $A_K \cong \mathbb{Z}/9\mathbb{Z} \oplus \mathbb{Z}/3\mathbb{Z}$ . By Lemma 2.1, we have  $L_K \cap \widetilde{K} = K_2^{\text{an}}$ . Indeed, we have  $(I(3)/S(3^5)) \otimes \mathbb{Z}_3 \cong \mathbb{Z}/3\mathbb{Z} \oplus \mathbb{Z}/3^4\mathbb{Z} \oplus \mathbb{Z}/3^6\mathbb{Z}$ . Hence we get  $\text{Gal}(L_K/L_K \cap \widetilde{K}) \cong \mathbb{Z}/3\mathbb{Z}$ . This implies that  $L_K \cap \widetilde{K} = K_2^{\text{an}}$ . Moreover, using [1, Theorem 2], we obtain

$$S^{18} + 18S^{16} + 1069S^{14} - 4372S^{12} + 152180S^{10} - 1347136S^8 + 2053184S^6 + 36414976S^4 - 166023168S^2 + 203063296$$

as a defining polynomial of  $K_2^{\text{an}}$  over  $\mathbb{Q}$ . By Mizusawa’s program Iwapoly.ub, we have

$$f(S) \equiv S^2 + 90S + 189 \pmod{3^5}.$$

Let  $E$  be the minimal splitting field of  $f(S)$ . We let  $f(S) = (S - \alpha)(S - \beta)$ , where  $\alpha$  and  $\beta \in E$ . Then we have  $\alpha + \beta \equiv -90 \pmod{3^5}$ . Thus we get  $(\alpha - \beta)^2 = (\alpha + \beta)^2 - 4\alpha\beta \equiv 90^2 - 4 \cdot 189 \equiv 2^4 \cdot 3^3 \cdot 17 \pmod{3^5}$ . Since the discriminant of  $f(S)$  is  $2^4 \cdot 3^3 \cdot 17 \pmod{3^5}$ ,  $E/\mathbb{Q}_p$  is a ramified extension and we get  $\text{ord}_E(\alpha - \beta) = 3$ . By the table in [6], we obtain

$$X_{K_\infty} \otimes_{\mathbb{Z}_p} \mathcal{O}_E \cong \langle (1, 1), (0, \pi_E^2) \rangle_{\mathcal{O}_E},$$

which implies that  $k = 2$  in Theorem 1.2. Since we have  $\text{ord}_E(\alpha - \beta) = 3$ , we obtain  $\text{ord}_E(\alpha - \beta) - k = 1 < 3$ .

Therefore  $X_{\widetilde{K}}$  is cyclic as a  $\mathbb{Z}_p[[\text{Gal}(\widetilde{K}/K)]]$ -module by Theorem 1.2 (i).

We can also obtain the same result as above by the following.

**Proposition 4.5.** *We use the same notation as in Section 4.3. Suppose the following conditions:*

- (i)  $A_K \cong \mathbb{Z}/p^{m_1}\mathbb{Z} \oplus \mathbb{Z}/p^{m_2}\mathbb{Z}$  ( $m_1 < m_2$ ),
- (ii)  $L_K \cap \widetilde{K} = K_{m_2}^{\text{an}}$ ,

(iii)  $\text{ord}_E(\alpha) = \text{ord}_E(\beta)$ .

Then  $X_{\widetilde{K}}$  is cyclic as a  $\mathbb{Z}_p[[\text{Gal}(\widetilde{K}/K)]]$ -module.

*Proof.* Using [10, Lemma 5.2], we have

$$A_K \otimes_{\mathbb{Z}_p} \mathcal{O}_E \cong \begin{cases} \mathcal{O}_E/\alpha\mathcal{O}_E \oplus \mathcal{O}_E/\beta\mathcal{O}_E & \text{if } \text{ord}_E(\beta - \alpha) - k \geq m, \\ \mathcal{O}_E/(\beta - \alpha)\pi_E^{-k}\mathcal{O}_E \oplus \mathcal{O}_E/\frac{\alpha\beta}{(\beta-\alpha)\pi_E^{-k}}\mathcal{O}_E. & \text{if } \text{ord}_E(\beta - \alpha) - k < m, \end{cases}$$

where  $m = \min\{\text{ord}_E(\alpha), \text{ord}_E(\beta)\}$ . This implies that  $k > 0$  by assumptions (i) and (iii). Hence we have  $\text{ord}_E(\beta - \alpha) - k < m$ . Moreover,  $\text{Gal}(L_K/L_K \cap \widetilde{K})$  is a direct summand of  $\text{Gal}(L_K/K)$  by (ii). By Theorem 1.2(i), we get the conclusion.  $\square$

By Proposition 4.5 and Table 4.2, which is obtained by Mizusawa’s program Iwapoly.u**b**, we obtain Table 4.1. The second, the third and the fifth columns in Table 4.1 imply that the examples in the table satisfy (i), (ii) and (iii) in Proposition 4.5, respectively.

TABLE 4.1.

| $d$   | $A_K$   | $L_K \cap \widetilde{K}$ | $E/\mathbb{Q}_3$ | $(\text{ord}_E(\alpha), \text{ord}_E(\beta))$ | $\text{ord}_E(\alpha - \beta)$ | $k$ | $X_{\widetilde{K}}$ |
|-------|---------|--------------------------|------------------|---|--------------------------------|-----|---------------------|
| 5703  | (3, 9)  | $K_2^{\text{an}}$        | ramified         | (3,3)   | 3                              | 2   | cyclic              |
| 12394 | (3, 9)  | $K_2^{\text{an}}$        | ramified         | (3,3)   | 3                              | 2   | cyclic              |
| 50293 | (3, 9)  | $K_2^{\text{an}}$        | ramified         | (3,3)   | 3                              | 2   | cyclic              |
| 54931 | (3, 9)  | $K_2^{\text{an}}$        | ramified         | (3,3)   | 3                              | 2   | cyclic              |
| 89269 | (3, 27) | $K_3^{\text{an}}$        | unramified       | (2,2)   | 3                              | 2   | cyclic              |

(The integer  $k$  is defined by (4.2).)

TABLE 4.2.

| $d$   | a generator of $\text{char}(X_{K_\infty^c}) \bmod 3^5$ |
|-------|--|
| 5703  | $S^2 + 63S + 135$                                      |
| 12394 | $S^2 + 63S + 27$                                       |
| 50293 | $S^2 + 54S + 189$                                      |
| 54931 | $S^2 + 135S + 216$                                     |
| 89269 | $S^2 + 63S + 81$                                       |

The following example is a case in which  $X_{\widetilde{K}}$  is not cyclic as a  $\mathbb{Z}_p[[\text{Gal}(\widetilde{K}/K)]]$ -module.

**Example 4.6.** Let  $p = 3$  and  $K = \mathbb{Q}(\sqrt{-42619})$ . Using PARI/GP, we have  $A_K \cong \mathbb{Z}/3\mathbb{Z} \oplus \mathbb{Z}/3\mathbb{Z}$ . We have  $L_K \cap \widetilde{K} = K_1^{\text{an}}$ . Hence  $\text{Gal}(L_K/L_K \cap \widetilde{K})$  is a direct summand of  $\text{Gal}(L_K/K)$ . We get

$$f(S) \equiv S^2 + 573S + 981 \pmod{3^7}.$$

By Hensel’s Lemma, there exist  $\alpha, \beta \in \mathbb{Z}_p$  such that  $f(S) = (S - \alpha)(S - \beta)$ . Then we have  $\alpha + \beta \equiv -573 \pmod{3^7}$ . Thus we get  $(\alpha - \beta)^2 = (\alpha + \beta)^2 - 4\alpha\beta \equiv 573^2 - 4 \cdot 981 \equiv 3^6 \pmod{3^7}$ . Hence we have the  $p$ -adic order  $\text{ord}_p(\alpha - \beta) = 3$ . In this case, although [6] could not determine the isomorphism class of  $X_{K_\infty^c}$ , we can determine it using the method in Section 3 as follows.

We compute

$$A_{K_2^c} = \mathbb{Z}/27\mathbb{Z} [\mathfrak{b}_1] \oplus \mathbb{Z}/27\mathbb{Z} [\mathfrak{b}_2]$$

for some ideals  $\mathfrak{b}_1$  and  $\mathfrak{b}_2$  in  $\mathcal{O}_{K_2^c}$ . Take a generator  $\bar{\rho}$  of  $\text{Gal}(K_2^c/K)$ . These  $\mathfrak{b}_1$ ,  $\mathfrak{b}_2$ , and  $\bar{\rho}$  are computed by PARI/GP. We will not describe the complicated computation of  $\bar{\rho}$  due to space limitations. There is a topological generator  $\rho \in \text{Gal}(K_\infty^c/K)$  such that  $\rho$  is an extension of  $\bar{\rho}$ . Note that we have the isomorphism (4.1) by fixing the topological generator  $\sigma$ , and that we regard  $X_{K_\infty^c}$  as a  $\mathbb{Z}_p[[S]]$ -module by the isomorphism. We can easily check that  $\text{ord}_p(\alpha)$ ,  $\text{ord}_p(\beta)$ ,  $\text{ord}_p(\alpha - \beta)$ , and  $\mathcal{M}_{f(S)}^{\mathbb{Q}_p}$  do not depend on the choice of  $\sigma$ , although  $f(S)$  depends on the choice of  $\sigma$ . Therefore, since we do not use the form of  $f(S)$  in the rest of this example, we may replace  $\sigma$  with  $\rho$ . We also compute that

$$\bar{\sigma}[\mathfrak{b}_1] = 4[\mathfrak{b}_1], \quad \bar{\sigma}[\mathfrak{b}_2] = 4[\mathfrak{b}_2].$$

Hence we have

$$\text{Fitt}_{1, \mathbb{Z}_p[[S]]/(\omega_2(S))}(X_{K_\infty^c}/\omega_2(S)X_{K_\infty^c}) = (S - 3, 27, \omega_2(S))/(\omega_2(S)).$$

Using Proposition 3.3, we obtain  $k = 0$ . Indeed, applying Proposition 3.3 for  $n = 2$ , we have

$$\begin{aligned} (S - \alpha, (\beta - \alpha)\pi^{-k}, \omega_2(S))/(\omega_2(S)) &= (S - 3, 27, \omega_2(S))/(\omega_2(S)) \\ &= (S - 3, 27, \omega_2(3))/(\omega_2(S)) \\ &= (S - 3, 27)/(\omega_2(S)), \end{aligned}$$

since  $\text{ord}_p(\omega_2(3)) = 3$ . Thus we have  $\text{ord}_p((\beta - \alpha)\pi^{-k}) \geq 3$  and  $k = 0$ .

We can easily check that none of the conditions in Theorem 1.2 holds. Therefore  $X_{\widetilde{K}}$  is not cyclic as a  $\mathbb{Z}_p[[\text{Gal}(\widetilde{K}/K)]]$ -module by Theorem 1.2.

By the same methods as in Example 4.6 for  $p = 3$  and by Table 4.4, which is obtained by Mizusawa’s program Iwapoly.ub, we obtain Table 4.3.

On the other hand, using Theorems 1.2(iv) and 4.3(iv), we obtain the following example in which  $X_{\widetilde{K}}$  is cyclic as a  $\mathbb{Z}_p[[\text{Gal}(\widetilde{K}/K)]]$ -module.

TABLE 4.3.

| $d$   | $A_K$  | $L_K \cap \widetilde{K}$ | $E/\mathbb{Q}_3$   | $(\text{ord}_E(\alpha), \text{ord}_E(\beta))$ | $\text{ord}_E(\alpha - \beta)$ | $k$ | $X_{\widetilde{K}}$ |
|-------|--------|--------------------------|--------------------|---|--------------------------------|-----|---------------------|
| 32137 | (3, 3) | $K_1^{\text{an}}$        | $E = \mathbb{Q}_p$ | (1,1)   | 2                              | 0   | non-cyclic          |
| 34989 | (3, 3) | $K_1^{\text{an}}$        | ramified           | (2,2)   | 5                              | 3   | non-cyclic          |
| 42619 | (3, 3) | $K_1^{\text{an}}$        | $E = \mathbb{Q}_p$ | (1,1)   | 3                              | 0   | non-cyclic          |

(The integer  $k$  is defined by (4.2).)

TABLE 4.4.

| $d$   | a generator of $\text{char}(X_{K_\infty^c}) \bmod 3^7$ |
|-------|--|
| 32137 | $S^2 + 1047S + 1386$                                   |
| 34989 | $S^2 + 66S + 117$                                      |
| 42619 | $S^2 + 573S + 981$                                     |

**Example 4.7.** Let  $p = 3$  and  $K = \mathbb{Q}(\sqrt{-2437})$ . We will prove that  $X_{\widetilde{K}}$  is a  $\mathbb{Z}_p[[\text{Gal}(\widetilde{K}/K)]]$ -cyclic module using PARI/GP. In this case we have  $\text{Cl}_K \cong \mathbb{Z}/6\mathbb{Z} \oplus \mathbb{Z}/3\mathbb{Z}$  and  $\text{Cl}_{K_1^c} \cong \mathbb{Z}/3906\mathbb{Z} \oplus \mathbb{Z}/9\mathbb{Z}$ . Hence we have  $A_K \cong \mathbb{Z}/3\mathbb{Z} \oplus \mathbb{Z}/3\mathbb{Z}$  and  $A_{K_1^c} \cong \mathbb{Z}/9\mathbb{Z} \oplus \mathbb{Z}/9\mathbb{Z}$ . On the other hand, we have

$$f(S) \equiv S^2 + 9S + 9 \pmod{3^3}$$

by Mizusawa’s program Iwapoly.ub. Let  $E$  be the minimal splitting field of  $f(S)$ . We set  $f(S) = (S - \alpha)(S - \beta)$ , where  $\alpha$  and  $\beta \in E$ . Since the discriminant of  $f(S)$  is  $45 \pmod{3^3}$ ,  $E/\mathbb{Q}_p$  is an unramified extension and we get  $\text{ord}_E(\alpha - \beta) = 1$ . By the table in [6], we obtain

$$X_{K_\infty^c} \otimes_{\mathbb{Z}_p} \mathcal{O}_E \cong \langle (1, 0), (0, 1) \rangle_{\mathcal{O}_E},$$

which implies that  $k = 0$  in Theorem 1.2.

By Lemma 2.1, we have  $L_K \cap \widetilde{K} = K_1^{\text{an}}$ . Indeed, we have  $(I(3)/S(3^4)) \otimes \mathbb{Z}_3 \cong \mathbb{Z}/3\mathbb{Z} \oplus \mathbb{Z}/3^3\mathbb{Z} \oplus \mathbb{Z}/3^4\mathbb{Z}$ . Hence  $\text{Gal}(L_K/L_K \cap \widetilde{K})$  is a direct summand of  $\text{Gal}(L_K/K)$ . Using [1, Theorem 2], we obtain

$$x^6 - 20x^4 + 100x^2 + 38992$$

as a defining polynomial of  $K_1^{\text{an}}$  over  $\mathbb{Q}$ . We can check that both 53 and 251 are primes which split completely in  $K_1^c/\mathbb{Q}$ . We set

$$\begin{aligned} \mathfrak{q} &= (251, -18 + \sqrt{-2437}), \\ \mathfrak{l} &= (53, 1 + \sqrt{-2437}), \end{aligned}$$

which are prime ideals in  $K$  lying above 251, 53, respectively. Using PARI/GP, we compute prime ideals  $\mathfrak{Q}_i, \overline{\mathfrak{Q}}_i, \mathfrak{L}_i, \overline{\mathfrak{L}}_i$  in  $\mathcal{O}_{K_1^c}$  ( $i = 1, 2, 3$ )

which satisfy

$$\begin{aligned} 251\mathcal{O}_{K_1^c} &= \mathfrak{Q}_1\overline{\mathfrak{Q}_1} \cdots \mathfrak{Q}_3\overline{\mathfrak{Q}_3}, \\ 53\mathcal{O}_{K_1^c} &= \mathfrak{L}_1\overline{\mathfrak{L}_1} \cdots \mathfrak{L}_3\overline{\mathfrak{L}_3} \end{aligned}$$

and  $\mathfrak{Q}_i \mid \mathfrak{q}$ ,  $\overline{\mathfrak{Q}_i} \mid \overline{\mathfrak{q}}$ ,  $\mathfrak{L}_i \mid \mathfrak{l}$ ,  $\overline{\mathfrak{L}_i} \mid \overline{\mathfrak{l}}$  for  $i = 1, 2, 3$ . We also compute

$$\text{Cl}_{K_1^c} = \mathbb{Z}/(434 \cdot 9)\mathbb{Z} [\mathfrak{c}_1] \oplus \mathbb{Z}/9\mathbb{Z} [\mathfrak{c}_2]$$

for some ideals  $\mathfrak{c}_1$  and  $\mathfrak{c}_2$  in  $\mathcal{O}_{K_1^c}$ , which was computed by PARI/GP. Pick one of  $\mathfrak{Q}_i \mid \mathfrak{q}$  (resp.  $\mathfrak{L}_i \mid \mathfrak{l}$ ) and we may assume that it is  $\mathfrak{Q}_1$  (resp.  $\mathfrak{L}_1$ ). As in Section 4.3, we take generators  $\{434[\mathfrak{Q}_1], 434[\mathfrak{L}_1]\}$  of  $A_{K_1^c}$ ; in other words,

$$A_{K_1^c} = \mathbb{Z}/9\mathbb{Z} \ 434[\mathfrak{Q}_1] \oplus \mathbb{Z}/9\mathbb{Z} \ 434[\mathfrak{L}_1].$$

This implies that both  $s$  and  $t$  in Section 4.3 are 434.

Now, to obtain a representation as (4.9), we consider the Galois action of  $\text{Gal}(K_1^c/K)$  to  $[\mathfrak{Q}_1]$  and  $[\mathfrak{L}_1]$ . Write  $[\mathfrak{Q}_1]$  and  $[\mathfrak{L}_1]$  as linear forms of  $[\mathfrak{c}_1]$  and  $[\mathfrak{c}_2]$ :

$$[\mathfrak{Q}_1] = 2677[\mathfrak{c}_1] + [\mathfrak{c}_2], \quad [\mathfrak{L}_1] = 3004[\mathfrak{c}_1] + 8[\mathfrak{c}_2].$$

On the other hand, we can compute

$$\begin{aligned} \text{Gal}(L_K/K_1^{\text{an}}) &= \left\langle \left( \frac{L_K/K}{\mathfrak{q}} \right) \cdot \left( \frac{L_K/K}{\mathfrak{l}} \right) \right\rangle \\ &= \left\langle \left( \frac{L_K/K}{\mathfrak{q}} \right)^{434} \cdot \left( \frac{L_K/K}{\mathfrak{l}} \right)^{434} \right\rangle; \end{aligned}$$

in other words, both  $u$  and  $v$  in Section 4.3 are 434. Let  $\bar{\rho}$  be a generator of  $\text{Gal}(K_1^c/K)$ , which was computed by PARI/GP. We will not describe the complicated computation of  $\bar{\rho}$  due to space limitations. Then, by computation of  $\bar{\rho}[\mathfrak{c}_1]$  and  $\bar{\rho}[\mathfrak{c}_2]$ , we can write  $\bar{\rho}[\mathfrak{Q}_1]$  and  $\bar{\rho}[\mathfrak{L}_1]$  as linear forms of  $[\mathfrak{c}_1]$  and  $[\mathfrak{c}_2]$ :

$$\begin{aligned} \bar{\rho}[\mathfrak{Q}_1] &= 2659[\mathfrak{c}_1] + 4[\mathfrak{c}_2], \\ \bar{\rho}[\mathfrak{L}_1] &= 1318[\mathfrak{c}_1] + 8[\mathfrak{c}_2]. \end{aligned}$$

Take a topological generator  $\rho \in \text{Gal}(K_\infty^c/K)$  such that  $\rho$  is an extension of  $\bar{\rho}$ . As in Example 4.6, we may replace  $\sigma$ , which gives the isomorphism (4.1), with  $\rho$ , since  $\text{ord}_p(\alpha)$ ,  $\text{ord}_p(\beta)$ ,  $\text{ord}_p(\alpha - \beta)$ , and  $\mathcal{M}_{f(S)}^{\mathbb{Q}_p}$  do not depend on the choice of  $\sigma$  and we do not use the form of  $f(S)$  in the rest of this example. Since  $\mathbb{Z}_p[\text{Gal}(K_1^c/K)] \cong \Lambda/\omega_1(S)\Lambda$ , we get

$$\begin{aligned} \bar{S}[\mathfrak{Q}_1] &= -\frac{9156}{18412}[\mathfrak{Q}_1] + \frac{8049}{18412}[\mathfrak{L}_1], \\ \bar{S}[\mathfrak{L}_1] &= -\frac{13488}{18412}[\mathfrak{Q}_1] + \frac{1686}{18412}[\mathfrak{L}_1], \end{aligned}$$

where  $\bar{S} = S \bmod \omega_1(S)$ . Using the commutative diagram before Theorem 4.2, we can take  $x_1, x_2 \in X_{K_\infty}$  such that

$$\psi_1(x_1 \bmod \omega_1(S)) = 434[\mathfrak{Q}_1], \quad \psi_1(x_2 \bmod \omega_1(S)) = 434[\mathfrak{Q}_1] + 434[\mathfrak{L}_1].$$

These equations imply that (4.9) becomes

$$Sx_2 \bmod \omega_1(S) = -\frac{32379}{18412}x_1 + \frac{9735}{18412}x_2 \bmod \omega_1(S).$$

Thus  $A'$  in Section 4.3 is  $-\frac{32379}{18412}$ . We have  $\text{ord}_E\left(\frac{32379}{18412}\right) = 1 = \text{ord}_E(\beta)$ . This means that  $X_{\tilde{K}}$  is a cyclic  $\mathbb{Z}_p[[\text{Gal}(\tilde{K}/K)]]$ -module by Theorem 4.3 (iv).

By the same methods as in Example 4.7 and Table 4.6, which are obtained by [1, Theorem 2] and PARI/GP, respectively, we obtain Table 4.5.

TABLE 4.5.

| $d$  | $A_K$  | $L_K \cap \tilde{K}$ | $E/\mathbb{Q}_3$   | $(\text{ord}_E(\alpha), \text{ord}_E(\beta))$ | $\text{ord}_E(\alpha - \beta)$ | $k$ | $X_{\tilde{K}}$ |
|------|--------|----------------------|--------------------|---|--------------------------------|-----|-----------------|
| 2437 | (3, 3) | $K_1^{\text{an}}$    | unramified         | (1,1)   | 1                              | 0   | cyclic          |
| 3886 | (3, 3) | $K_1^{\text{an}}$    | $E = \mathbb{Q}_p$ | (1,1)   | 1                              | 0   | cyclic          |
| 4027 | (3, 3) | $K_1^{\text{an}}$    | $E = \mathbb{Q}_p$ | (1,1)   | 1                              | 0   | cyclic          |
| 7977 | (3, 3) | $K_1^{\text{an}}$    | unramified         | (1,1)   | 1                              | 0   | cyclic          |

(The integer  $k$  is defined by (4.2).)

TABLE 4.6.

| $d$  | Defining polynomial of $K_1^{\text{an}}$               |
|------|--|
| 2437 | $x^6 - 20x^4 + 100x^2 + 38992$                         |
| 3886 | $x^6 - 66x^4 + 1089x^2 + 62176$                        |
| 4027 | $x^6 - 44x^4 + 484x^2 + 4027$                          |
| 7977 | $x^6 - 2x^5 - 53x^4 + 126x^3 + 8634x^2 - 1944x + 1296$ |

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