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## On Drinfeld modular forms of higher rank

par ERNST-ULRICH GEKELER

*to the memory of David Goss*

RÉSUMÉ. Nous étudions les formes modulaires pour le groupe  $\Gamma = \mathrm{GL}(r, \mathbb{F}_q[T])$  sur l'espace symétrique  $\Omega^r$  de Drinfeld, où  $r \geq 2$ . Parmi nos résultats, on a l'existence d'une racine  $(q-1)$ -ième (à une constante près)  $h$  de la fonction discriminant  $\Delta$ , la description de la (dé-)croissance des formes élémentaires  $g_1, g_2, \dots, g_{r-1}, \Delta$  dans le domaine fondamental  $\mathcal{F}$  de  $\Gamma$ , et la réduction de ces formes sur la partie centrale  $\mathcal{F}_o$  de  $\mathcal{F}$ . Nous étudions avec plus de détail le cas de  $r = 3$ .

ABSTRACT. We study Drinfeld modular forms for the modular group  $\Gamma = \mathrm{GL}(r, \mathbb{F}_q[T])$  on the Drinfeld symmetric space  $\Omega^r$ , where  $r \geq 2$ . Results include the existence of a  $(q-1)$ -th root (up to constants)  $h$  of the discriminant function  $\Delta$ , the description of the growth/decay of the standard forms  $g_1, g_2, \dots, g_{r-1}, \Delta$  on the fundamental domain  $\mathcal{F}$  of  $\Gamma$ , and the reduction of these forms on the central part  $\mathcal{F}_o$  of  $\mathcal{F}$ . The results are exemplified in detail for  $r = 3$ .

### Introduction

Let  $\mathbb{F} = \mathbb{F}_q$  be a finite field and  $A = \mathbb{F}_q[T]$  be the polynomial ring in an indeterminate  $T$ , with field of fractions  $K = \mathbb{F}_q(T)$ . Furthermore,  $K_\infty = \mathbb{F}_q((1/T))$  is the completion of  $K$  at infinity, with completed algebraic closure  $\mathbb{C}_\infty$ . The Drinfeld symmetric space  $\Omega^r \subset \mathbb{P}^{r-1}(\mathbb{C}_\infty)$ , where  $r \geq 2$ , is acted upon by  $\Gamma := \mathrm{GL}(r, A)$ , and the quotient  $\Gamma \backslash \Omega^r$  parametrizes classes of  $A$ -lattices  $\Lambda$  of rank  $r$  in  $\mathbb{C}_\infty$ , that is, of Drinfeld modules of rank  $r$ . Such a Drinfeld module  $\phi$ , corresponding to  $\omega \in \Omega^r$ , is given by an operator polynomial

$$\phi_T(X) = TX + g_1X^q + \dots + g_{r-1}X^{q^{r-1}} + g_rX^{q^r},$$

where the coefficients  $g_i = g_i(\omega)$  depend on  $\omega$ , and the discriminant  $\Delta := g_r$  is nowhere zero. The dependence is such that the  $g_i$  are modular forms for  $\Gamma$ , i.e., holomorphic, with a functional equation of the usual type under

$\omega \mapsto \gamma\omega$  ( $\gamma \in \Gamma$ ), and regular at infinity. For  $r = 2$ , such Drinfeld modular forms (and their generalizations to congruence subgroups of  $\Gamma = \text{GL}(2, A)$ ) were introduced by David Goss in his 1977 Harvard thesis and his papers [10, 11, 12], and further studied by the present author in the 1980's. The aim of this work is to generalize results known for  $r = 2$  (notably about the growth/decay of such forms, and the location of their zeroes) to larger ranks  $r$ .

The plan of the paper is as follows.

In the first section, we sketch the background on Drinfeld modules/modular forms and introduce notation. It doesn't contain any new material. In the second section, the relationship between  $\Omega^r$  and the Bruhat–Tits building  $\mathcal{BT}$  of  $\text{PGL}(r, K_\infty)$  is explained. This enables us to visualize the fundamental domain  $\mathcal{F} \subset \Omega^r$  for  $\Gamma$  via a standard Weyl chamber  $W$  in the realization  $\mathcal{BT}(\mathbb{R})$  of  $\mathcal{BT}$ .

We introduce the basic division functions  $\mu_i$  ( $1 \leq i \leq r$ ) in Section 3. The  $\mu_i$  form an  $\mathbb{F}$ -basis of the  $T$ -torsion of the generic Drinfeld module  $\phi^\omega$ , where  $\omega$  runs through  $\Omega^r$ . They are modular forms of negative weight  $-1$  for the congruence subgroup  $\Gamma(T)$  of  $\Gamma$ , and are the key objects to get control over the  $g_i$  and  $\Delta$ . As a first consequence, we construct the form  $h$ , which satisfies  $h^{q-1} = \frac{(-1)^r}{T} \Delta$  and is modular of weight  $(q^r - 1)/(q - 1)$  and type 1, see Theorem 3.8.

The systematic study of the  $\mu_i$  is given in Section 4. We give the increments of  $\log_q |\mu_i(\omega)|$ , regarded as functions on the Weyl chamber  $W$ , when  $\mathbf{k} \in W(\mathbb{Z})$  is replaced by a neighboring vertex  $\mathbf{k}'$  (Proposition 4.10). From this we deduce similar results for  $\Delta$  and the  $g_i$  (Theorem 4.13 and its Corollaries 4.15 and 4.16). These results contain certain combinatorial numbers  $v_{\mathbf{k},i}^{(\ell)}$ , which are investigated in the fifth section. We find an explicit and easy-to-evaluate expression in (5.3), which gives the final version Theorem 5.5 of Theorem 4.13 on the increments of  $\log_q |\Delta(\omega)|$ . We also find the direction of largest descent of  $|\Delta|$ ; surprisingly, it strongly depends on the starting point  $\mathbf{k}$  (Theorem 5.9).

In Section 6 we study the behavior of  $g_1, \dots, g_{r-1}, g_r = \Delta$  on

$$\mathcal{F}_o = \{(\omega_1, \dots, \omega_{r-1}, 1) \in \Omega^r \mid |w_1| = \dots = |w_{r-1}| = 1\}$$

and the canonical reductions of the vanishing loci  $V(g_i) \cap \mathcal{F}_o$  in  $\Omega^r(\overline{\mathbb{F}})$  (Theorem 6.2). In particular,  $V(g_i) \cap \mathcal{F}_o$  is non-empty.

In the final section, the case of  $r = 3$  is considered in more detail. Besides tables with values of some of the functions treated, we give a brief study of  $g_1$  at the wall  $\mathcal{F}_2$  of  $\mathcal{F}$  (where the zeroes of  $g_1$  are located), and of  $g_2$  at  $\mathcal{F}_1$  (which encompasses the zeroes of  $g_2$ ).

**Notation.**

- $\mathbb{F}$  denotes throughout the finite field  $\mathbb{F}_q$  with  $q$  elements, with algebraic closure  $\overline{\mathbb{F}}$ , and  $\mathbb{F}^{(m)}$  is the unique field extension of degree  $m$  of  $\mathbb{F}$  in  $\overline{\mathbb{F}}$ .
- $A = \mathbb{F}[T]$  is the polynomial ring in an indeterminate  $T$ , with field of fractions  $K = \mathbb{F}(T)$ . The completion at infinity of  $K$  is  $K_\infty = \mathbb{F}((\pi))$ , with ring of integers  $O_\infty = \mathbb{F}[[\pi]]$ , where  $\pi := T^{-1}$ . We write  $\mathbb{C}_\infty$  for the completed algebraic closure of  $K_\infty$ ,  $O_{\mathbb{C}_\infty}$  for its ring of integers, and fix an identification of  $\overline{\mathbb{F}}$  with the residue class field of  $O_{\mathbb{C}_\infty}$ . Then  $x \mapsto \bar{x}$  is the canonical map from  $O_{\mathbb{C}_\infty}$  to  $\overline{\mathbb{F}}$ , with congruence relation  $x \equiv y \iff \bar{x} = \bar{y}$ . We normalize the absolute value  $|\cdot|$  on  $K_\infty$  by  $|T| = q$  and also write  $|\cdot|$  for its unique extension to  $\mathbb{C}_\infty$ .
- $\log : \mathbb{C}_\infty^* \rightarrow \mathbb{Q}$  is the map  $x \mapsto \log_q |x|$ , and  $\deg : A \rightarrow \{-\infty\} \cup \mathbb{N}_0$  is the degree map, with  $\deg(0) = -\infty$ , with the usual conventions. For some fixed natural number  $r \geq 2$ ,  $G$  denotes the group scheme  $\mathrm{GL}(r)$ , with its center  $Z$  of scalar matrices, and  $\Gamma = G(A) = \mathrm{GL}(r, A)$ .
- $\#(X)$  is the cardinality of the set  $X$ ,
- $G \backslash X$  the space of  $G$ -orbits of the group  $G$  that acts on  $X$ .
- $\sum'_I$  (resp.  $\prod'_I$ ) is the sum (or product) over the non-zero elements of the index set  $I$ .
- $(x_1 : \dots : x_r)$  are projective coordinates in  $\mathbb{P}^{r-1}$ ; mostly we normalize  $x_r = 1$ ; in this case we write  $(a_1, \dots, a_{r-1}, a_r) = (a_1, \dots, a_{r-1}, 1)$  for the corresponding point.

**1. The basic set-up**

(see e.g. [2], [5], [13, §4], [16]).

A *lattice* in  $\mathbb{C}_\infty$  is a discrete  $\mathbb{F}$ -subspace  $\Lambda$  of  $\mathbb{C}_\infty$ , i.e.,  $\Lambda$  intersects each ball in finitely many points. With such a  $\Lambda$ , we associate its *lattice function*  $e_\Lambda : \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$ ,

$$(1.1) \quad e_\Lambda(z) = z \prod'_{\lambda \in \Lambda} (1 - z/\lambda),$$

where the prime (  $'$  ) indicates the product (or sum in other contexts) over the non-zero elements  $\lambda$  of  $\Lambda$ . Then  $e_\Lambda$  is an entire, surjective,  $\mathbb{F}$ -linear function with kernel  $\Lambda$ , and may be written

$$e_\Lambda(z) = z + \sum_{n \geq 1} \alpha_n(\Lambda) z^{q^n}.$$

The  $\alpha_i$  are modular forms of weight  $q^n - 1$ , i.e.,

$$\alpha_n(c\Lambda) = c^{1-q^n} \alpha_n(\Lambda) \text{ if } c \in \mathbb{C}_\infty^*.$$

The *Eisenstein series*  $E_k(\Lambda)$  is

$$(1.2) \quad E_k(\Lambda) = \sum'_{\lambda \in \Lambda} \lambda^{-k},$$

which accordingly has weight  $k$ . Suppose that  $\Lambda$  is an  $A$ -lattice, that is, a free  $A$ -module of some rank  $r \in \mathbb{N}$ . With  $\Lambda$  we associate the Drinfeld  $A$ -module  $\phi^\Lambda$ , which is characterized by the polynomial

$$(1.3) \quad \phi_T^\Lambda = TX + g_1(\Lambda)X^q + \cdots + g_{r-1}(\Lambda)X^{q^{r-1}} + g_r(\Lambda)X^{q^r},$$

where the coefficients  $g_1, \dots, g_r$  are elements of  $\mathbb{C}_\infty$  and the *discriminant*  $\Delta(\Lambda) = g_r(\Lambda)$  is non-zero. The relation with  $\Lambda$  is through the functional equation

$$(1.4) \quad e_\Lambda(Tz) = \phi_T(e_\Lambda(z)),$$

which allows to determine the  $\alpha_n(\Lambda)$  from the  $g_i(\Lambda)$  and vice versa. In particular, one finds

$$(1.5) \quad g_i(c\Lambda) = c^{1-q^i} g_i(\Lambda).$$

Through  $\Lambda \rightsquigarrow \phi^\Lambda$ , isomorphism classes of Drinfeld  $A$ -modules of rank  $r$  correspond 1 – 1 to classes of  $A$ -lattices of rank  $r$  up to scaling.

From now on we assume  $r \geq 2$ . Choosing an  $A$ -basis  $\{\omega_1, \dots, \omega_r\}$ , the discreteness condition on  $\Lambda$  says that  $\{\omega_1, \dots, \omega_r\}$  is  $K_\infty$ -linearly independent. Therefore we define the *Drinfeld symmetric space*

$$(1.6) \quad \begin{aligned} \Omega^r &:= \left\{ (\omega_1 : \dots : \omega_r) \in \mathbb{P}^{r-1}(\mathbb{C}_\infty) \mid \omega_1, \dots, \omega_r \text{ } K_\infty\text{-linearly independent} \right\} \\ &= \mathbb{P}^{r-1}(\mathbb{C}_\infty) \setminus \cup H, \end{aligned}$$

where  $H$  runs through the hyperplanes of  $\mathbb{P}^{r-1}(\mathbb{C}_\infty)$  defined over  $K_\infty$ . The point set  $\Omega^r$  has a natural structure as rigid analytic space [3, 8] over  $\mathbb{C}_\infty$ , namely as an open admissible subspace of  $\mathbb{P}^{r-1}/\mathbb{C}_\infty$ . Let  $\Gamma$  be the group  $\text{GL}(r, A)$ , which acts as a matrix group from the left on  $\mathbb{P}(\mathbb{C}_\infty)$ , stabilizing  $\Omega^r$ . By the above we find that the map

$$(1.7) \quad \left\{ \begin{array}{l} \text{classes up to scaling of} \\ A\text{-lattices } \Lambda \text{ of rank } r \end{array} \right\} = \left\{ \begin{array}{l} \text{isomorphism classes of} \\ \text{Drinfeld } A\text{-modules of rank } r \end{array} \right\} \xrightarrow{\cong} \Gamma \setminus \Omega^r,$$

which associates with the class of  $\Lambda$  the point  $(\omega_1 : \dots : \omega_r)$  determined by a basis  $\{\omega_1, \dots, \omega_r\}$  of  $\Lambda$ , is well-defined and bijective.

From now on we normalize projective coordinates of  $\omega := (\omega_1 : \dots : \omega_r)$  on  $\Omega^r$  by assuming  $\omega_r = 1$ , and write  $(\omega_1, \dots, \omega_r) = (\omega_1, \dots, \omega_{r-1}, 1)$  for the corresponding point. Then  $\gamma = (\gamma_{i,j}) \in \Gamma$  acts as

$$(1.8) \quad \gamma\omega = \text{aut}(\gamma, \omega)^{-1} \left( \dots, \sum_i \gamma_{i,j} \omega_j, \dots \right)$$

with  $\text{aut}(\gamma, \omega) = \sum_{1 \leq j \leq n} \gamma_{n,j} \omega_j$ . If  $\Lambda_\omega$  denotes the lattice  $\sum_{1 \leq i \leq r} A\omega_i$ , the function

$$g_i : \Omega^r \longrightarrow \mathbb{C}_\infty \quad (1 \leq i \leq r)$$

$$\omega \longmapsto g_i(\omega) := g_i(\Lambda_\omega)$$

satisfies

$$(1.9) \quad g_i(\gamma\omega) = \text{aut}(\gamma, \omega)^{q^i - 1}(\omega).$$

Furthermore,  $g_i$  is holomorphic on  $\Omega^r$  in the rigid analytic sense.

Regarding  $g_1, \dots, g_r = \Delta$  as indeterminates of respective weights  $q^i - 1$ , the open subscheme  $M^r$  given by  $\Delta \neq 0$  of

$$\overline{M}^r := \text{Proj } \mathbb{C}_\infty[g_1, \dots, g_r]$$

is a moduli scheme for Drinfeld  $A$ -modules of rank  $r$  over  $\mathbb{C}_\infty$ , that is

$$(1.10) \quad \Gamma \backslash \Omega^r \xrightarrow{\cong} M^r(\mathbb{C}_\infty)$$

$$\text{class of } \omega \longmapsto (g_1(\omega) : \dots : g_r(\omega))$$

is a bijection compatible with the analytic structures on both sides. Now  $\overline{M}^r$  is a natural compactification of  $M^r$  ( $\overline{M}^r$  is a projective  $\mathbb{C}_\infty$ -scheme containing  $M^r$  as an everywhere dense open subscheme), so we can give the following ad hoc definition.

**Definition 1.11.** A modular form of weight  $k \in \mathbb{N}_0$  and type  $m$  (where  $m$  is a class in  $\mathbb{Z}/(q - 1)$ ) for  $\Gamma = \text{GL}(r, A)$  is a function  $f : \Omega^r \longrightarrow \mathbb{C}_\infty$  that

- (i) satisfies  $f(\gamma\omega) = \frac{\text{aut}(\gamma, \omega)^k}{\det(\gamma)^m} f(\omega)$ ,  $\gamma \in \Gamma$ ,  $\omega \in \Omega^r$ ;
- (ii) is holomorphic and
- (iii) is analytic along the divisor  $(\Delta = 0)$  of  $\overline{M}^r(\mathbb{C}_\infty)$ .

Condition (iii) needs some explanation, which in the case  $r = 2$  can be found e.g. in [5]. It is best understood in the following examples.

**Examples 1.12.**

- (i)  $g_i : \omega \longmapsto g_i(\omega) = g_i(\Lambda_\omega)$  is a modular form of weight  $q^i - 1$  and type 0;
- (ii) ditto for  $\alpha_i : \omega \longmapsto \alpha_i(\omega) := \alpha_i(\Lambda_\omega)$ ;
- (iii) For  $k > 0$ ,  $E_k : \omega \longmapsto E_k(\omega) := E_k(\Lambda_\omega)$  is modular of weight  $k$  and type 0. It doesn't vanish identically if and only if  $k \equiv 0 \pmod{q - 1}$ .
- (iv) In Theorem 3.8 we will present a  $(q - 1)$ -th root  $h$  of  $\Delta = g_n$  (more precisely,  $h^{q-1} = \frac{(-1)^r}{T} \Delta$ ) which is modular of weight  $(q^r - 1)/(q - 1)$  and type 1.

It can be shown that the  $\mathbb{C}_\infty$ -algebra of all modular forms of type 0 is a polynomial ring

$$\mathbb{C}_\infty[g_1, \dots, g_r] = \mathbb{C}_\infty[\alpha_1, \dots, \alpha_r] = \mathbb{C}_\infty[E_{q-1}, E_{q^2-1}, \dots, E_{q^r-1}],$$

and the  $\mathbb{C}_\infty$ -algebra of all modular forms of arbitrary types is  $\mathbb{C}_\infty[g_1, \dots, g_{r-1}, h]$ , but we will not use this fact in the present work.

**1.13.** We define the set (recall that  $\omega_r = 1$ )

$$\mathcal{F} := \{\omega \in \Omega^r \mid \omega \text{ satisfies (i) and (ii) below}\},$$

where

- (i)  $|\omega_1| \geq |\omega_2| \geq \dots \geq |\omega_r|$ ;
- (ii) for  $1 \leq i < r$ ,  $|\omega_i| = \min_{a_{i+1}, \dots, a_r \in A^{r-i}} |\omega_i - \sum_{j>i} a_j \omega_j|$ .

As is shown in [4],  $\mathcal{F}$  is an open admissible subspace of the analytic space  $\Omega^r$  and a fundamental domain for  $\Gamma$  on  $\Omega^r$ , in the sense that

**1.14.** Each  $\omega \in \Omega^r$  is  $\Gamma$ -equivalent with at least one and at most finitely many points of  $\mathcal{F}$ .

As uniqueness of the representative fails, this is much weaker than the classical notion of fundamental domain, but is the best we can achieve in our non-archimedean environment. Moreover,

**1.15.** If  $\omega \in \mathcal{F}$  and  $x = \sum_{1 \leq i \leq r} a_i \omega_i$  ( $a_i \in K_\infty$ ) belongs to the  $K_\infty$ -space generated by  $\{\omega_i \mid 1 \leq i \leq r\}$ , then  $|x| = \max_i |a_i \omega_i|$ .

Since modular forms are determined by their restrictions to  $\mathcal{F}$ , natural questions arise.

**Questions 1.16.**

- Describe the behavior of the  $g_i$  on  $\mathcal{F}$ , i.e., their absolute values  $|g_i(\omega)|$ ;
- Describe  $|g_i(\omega)|$  if  $\omega$  “tends to infinity”;
- What are the zero loci  $V(g_i) \cap \mathcal{F}$  of the  $g_i$ ?

and similar questions for other natural modular forms like  $\alpha_n, E_k$ . We will find satisfactory answers to some of these as far as the  $g_i$  (and the  $E_k$ ) are concerned, and leave the case e.g. of the  $\alpha_n$  for further study.

**2. Geometry of  $\Omega^r$  and the Bruhat–Tits building  $\mathcal{BT}$**

(see [1, 2, 16]).

**2.1.** We let  $G$  be the reductive group scheme  $\text{GL}(r)$ , where  $r \geq 2$ , with center  $Z$  of scalar matrices,  $B$  the standard Borel subgroup of upper triangular matrices and  $T \subset B$  the standard torus of diagonal matrices.

The Bruhat–Tits building  $\mathcal{BT}$  of  $G(K_\infty)/Z(K_\infty)$  is a contractible simplicial complex endowed with an effective simplicial action of  $G(K_\infty)/Z(K_\infty)$ . Its set of vertices is

$$V(\mathcal{BT}) = \text{set of homothety classes } [L] \text{ of } O_\infty\text{-lattices} \\ (= \text{free } O_\infty\text{-submodules } L \text{ up to scaling) of rank } r \text{ of } K_\infty^r.$$

As  $G(K_\infty)$  acts transitively on  $V(\mathcal{BT})$ , it may be identified with  $G(K_\infty)/Z(K_\infty) \cdot \mathcal{K}$ , where  $\mathcal{K} = G(O_\infty)$  is the stabilizer of the standard lattice  $L_0 = O_\infty^r$ . The vertices  $[L_0], \dots, [L_m]$  form a simplex if and only if they are represented by lattices  $L_0, \dots, L_m$  such that  $L_0 \supseteq L_1 \supseteq L_2 \supseteq \dots \supseteq L_m \supseteq \pi L_0$ . Thus

- simplices have dimensions less or equal to  $r - 1$ ;
- each simplex is contained in a simplex of maximal dimension  $r - 1$ ;
- simplices are naturally ordered up to cyclic permutations of their vertices.

**2.2.** As usual, we write  $\mathcal{BT}(\mathbb{R})$  for the realization of  $\mathcal{BT}$ ,  $\mathcal{BT}(\mathbb{Q})$  for the subset of  $\mathcal{BT}(\mathbb{R})$  of points with rational barycentric coordinates, and  $\mathcal{BT}(\mathbb{Z})$  for the set  $V(\mathcal{BT})$  of vertices.

Let  $\mathfrak{A}$  be the apartment of  $\mathcal{BT}$  defined by the torus  $T$ , i.e., the full subcomplex with set of vertices

$$\mathfrak{A}(\mathbb{Z}) = V(\mathfrak{A}) = T(K_\infty)[L_0] = \{[L_{\mathbf{k}}] \mid \mathbf{k} = (k_1, \dots, k_r) \in \mathbb{Z}^r\},$$

where

$$L_{\mathbf{k}} = (\pi^{-k_1}O_\infty, \dots, \pi^{-k_r}O_\infty) \subset K_\infty^r.$$

Clearly,  $L_0 = L_{\mathbf{o}}$ , where  $\mathbf{o} = (0, \dots, 0)$  and  $[L_{\mathbf{k}}] = [L_{\mathbf{k}'}]$  if and only if  $\mathbf{k}' - \mathbf{k} = (k, k, \dots, k)$  for some  $k \in \mathbb{Z}$ .  $\mathfrak{A}(\mathbb{R})$  is an euclidean affine space with translation group  $(T(K_\infty)/Z(K_\infty)T(O_\infty)) \otimes \mathbb{R} \cong \mathbb{R}^{r-1}$ . As we dispose of the natural origin  $O = [L_0]$ , we identify  $\mathfrak{A}(\mathbb{R})$  with  $(T(K_\infty)/Z(K_\infty)T(O_\infty)) \otimes \mathbb{R}$ .

We let  $\{\alpha_i \mid 1 \leq i \leq r - 1\}$  be the simple roots of  $T$  with respect to the Borel subgroup  $B$ . That is,  $\alpha_i \in \text{Hom}(T, \mathbb{G}_m)$  is the homomorphism

$$\begin{pmatrix} t_1 & \dots & 0 \\ & \ddots & \\ 0 & \dots & t_r \end{pmatrix} \rightarrow t_i/t_{i+1}$$

from  $T$  to the multiplicative group  $\mathbb{G}_m$ . It induces the linear form, also denoted by  $\alpha_i : \mathfrak{A}(\mathbb{R}) \rightarrow \mathbb{R}$  given on integral points by  $[L_{\mathbf{k}}] \mapsto k_i - k_{i+1}$ .

The choice of  $B$  determines the Weyl chamber  $W = \{x \in \mathfrak{A}(\mathbb{R}) \mid \alpha_i(x) \geq 0 \text{ for } i = 1, 2, \dots, r - 1\}$ . We let  $W_i := \{x \in W \mid \alpha_i(x) = 0\}$  be the  $i$ -th wall of  $W$ . As a matter of fact,  $W$  is a fundamental domain (in the classical sense) for the action of  $\Gamma = G(A)$  on  $\mathcal{BT}(\mathbb{R})$ . That is, each point  $x \in \mathcal{BT}(\mathbb{R})$  is  $\Gamma$ -equivalent with a unique  $y \in W$  (although  $\gamma \in \Gamma$  with  $\gamma x = y$  need not be uniquely determined). We write  $W(\mathbb{Z})$  for  $W \cap \mathfrak{A}(\mathbb{Z})$ ,  $W(\mathbb{Q})$  for  $W \cap \mathfrak{A}(\mathbb{Q})$ , etc.

**2.3.** There is a natural map that relates the symmetric space  $\Omega^r$  with  $\mathcal{BT}$ . We first note that, by the theorem of Goldman–Iwahori [9],  $\mathcal{BT}(\mathbb{R})$  may be naturally identified with the space of homothety classes of real-valued non-archimedean norms on the  $K_\infty$ -vector space  $K_\infty^r$ . Here the vertex  $[L$



corresponds to the class  $[\nu]$  of norms whose unit ball is the  $O_\infty$ -lattice  $L$  in  $K_\infty^r$ . (For the description of  $\lambda(x)$  for non-integral points of  $\mathcal{BT}(\mathbb{R})$ , see [2, Chapitre II]) Observing that each  $\omega = (\omega_1, \dots, \omega_r = 1) \in \Omega^r$  determines a norm  $\nu_\omega$  with values in  $q^\mathbb{Q} \cup \{0\}$  through

$$\nu_\omega(x_1, \dots, x_r) := \left| \sum_{1 \leq i \leq r} x_i \omega_i \right|,$$

we let

$$\lambda : \Omega^r \longrightarrow \mathcal{BT}(\mathbb{Q})$$

be the map induced by  $\omega \longmapsto \nu_\omega$ . This *building map* has the following properties:

- $\lambda$  regarded as a map to  $\mathcal{BT}(\mathbb{Q})$  is surjective;
- $\lambda$  is  $G(K_\infty)$ -equivariant.

**2.4.** The description of  $\lambda$  is at the base of describing the geometry of  $\Omega^r$ . Viz, the pre-images  $\lambda^{-1}(\sigma)$  of simplices  $\sigma$  of  $\mathcal{BT}$  are affinoid spaces (even rational subdomains of  $\mathbb{P}^{r-1}(\mathbb{C}_\infty)$ ), which are glued together according to the incidence relations in  $\mathcal{BT}$ . In what follows, we describe the pre-images of vertices  $v$ . Since  $G(K_\infty)$  acts transitively, it suffices to restrict to the case  $v = [L_o]$ .

**2.5.** As is immediate from the definition of  $\lambda$ , each  $(\omega_1, \dots, \omega_{r-1}, 1) \in \lambda^{-1}([L_o])$  satisfies  $|\omega_1| = \dots = |\omega_r| = 1$ . We let  $x \longmapsto \bar{x}$  be the reduction map from the valuation ring  $O_{\mathbb{C}_\infty}$  to its residue class field  $\bar{\mathbb{F}}$ . For  $\omega_1, \dots, \omega_r \in O_{\mathbb{C}_\infty}$  with  $|\omega_i| = 1$ , we have:  $\{\omega_1, \dots, \omega_r\}$  is  $K_\infty$ -linearly independent  $\iff \{\omega_1, \dots, \omega_r\}$  is  $O_\infty$ -linearly independent  $\iff \{\bar{\omega}_1, \dots, \bar{\omega}_r\}$  is  $\mathbb{F}$ -linearly independent, by Nakayama’s lemma. Hence  $\lambda^{-1}([L_o])$  is the inverse image under the reduction map  $\mathbb{P}^{r-1}(\mathbb{C}_\infty) = \mathbb{P}^{r-1}(O_{\mathbb{C}_\infty}) \xrightarrow{\text{red}} \mathbb{P}^{r-1}(\bar{\mathbb{F}})$  of the complement of the union of the finitely many hyperplanes  $H \subset \mathbb{P}^{r-1}(\bar{\mathbb{F}})$  which are defined over  $\mathbb{F}$ .

In contrast with the normalization  $\omega_r = 1$  of (1.7), we assume until the end of §2.5 that points  $\omega = (\omega_1 : \dots : \omega_r)$  of  $\mathbb{P}^{r-1}(\mathbb{C}_\infty)$  are given in coordinates with  $\max |\omega_i| = 1$ . Let  $H$  be defined by the vanishing of the linear form  $\ell_H : \mathbb{F}^r \longrightarrow \mathbb{F}$ . Using the inclusions  $\mathbb{F} \hookrightarrow \bar{\mathbb{F}} \hookrightarrow O_{\mathbb{C}_\infty} \hookrightarrow \mathbb{C}_\infty$ , we extend it uniquely to an  $O_{\mathbb{C}_\infty}$ -linear form also labelled  $\ell_H : O_{\mathbb{C}_\infty}^r \longrightarrow O_{\mathbb{C}_\infty}$ .

Put  $S_H := \{\omega = (\omega_1 : \dots : \omega_r) \in \mathbb{P}^{r-1}(O_{\mathbb{C}_\infty}) \mid |\ell_H(\omega_1, \dots, \omega_r)| < 1\}$ , which is well-defined independently of choices made. Then

$$\lambda^{-1}([L_o]) = \mathbb{P}^{r-1}(O_{\mathbb{C}_\infty}) \setminus \cup S_H,$$

where  $H$  runs through the hyperplanes of  $\mathbb{P}^{r-1}(\bar{\mathbb{F}})$ , i.e., the finitely many points of the dual space  $\check{\mathbb{P}}(\bar{\mathbb{F}})$ . It is well-known that such a space is an admissible open affinoid subspace of the analytic space  $\mathbb{P}^{r-1}/\mathbb{C}_\infty$ , and in fact a rational subdomain [3, 8]. Its canonical reduction is the scheme  $\mathbb{P}^{r-1}/\mathbb{F} \setminus \cup H$ ,

$H$  as above. We put  $\Omega^r(\overline{\mathbb{F}}) : \mathbb{P}^{r-1}(\overline{\mathbb{F}}) \setminus \cup H(\overline{\mathbb{F}})$  for its underlying set of geometric points.

**2.6.** The relationship between the fundamental domains  $\mathcal{F} \subset \Omega^r$  and  $W \subset \mathfrak{A}(\mathbb{R}) \subset \mathcal{BT}(\mathbb{R})$  is simply

$$\lambda(\mathcal{F}) = W(\mathbb{Q}), \lambda^{-1}(W) = \mathcal{F},$$

as a direct consequence of the definitions. For later use, we fix some notation. For  $1 \leq i \leq r - 1$  we let  $\mathcal{F}_i = \lambda^{-1}(W_i) = \{\omega \in \mathcal{F} \mid |\omega_i| = |\omega_{i+1}|\}$  be the  $i$ -th wall of  $\mathcal{F}$ . Recall that we have normalized  $\omega_r = 1$ . Therefore, for  $\mathbf{k} = (k_1, k_2, \dots, k_r) \in \mathbb{N}_0^r$  with  $k_1 \geq k_2 \geq \dots \geq k_r = 0$ , the pre-image  $\mathcal{F}_{\mathbf{k}} := \lambda^{-1}([L_{\mathbf{k}}])$  of the vertex  $[L_{\mathbf{k}}]$  of  $\mathcal{BT}$  equals  $\{\omega \in \mathcal{F} \mid |\omega| = q^{k_i}, 1 \leq i \leq r\}$ .

**2.7.** Next we consider holomorphic functions on  $\Omega^r$ . For an admissible open  $U \subset \Omega^r$ , let  $\mathcal{O}(U)$  be the ring of holomorphic functions on  $U$ , with unit group  $\mathcal{O}(U)^*$ . For  $U$  affinoid, we let  $\|f\|_U$  be the spectral norm  $\sup_{x \in U} |f(x)|$  of  $f \in \mathcal{O}(U)$ . It follows from §2.5 that for each vertex  $v$  and each  $f \in \mathcal{O}(\lambda^{-1}(v))^*$ ,  $f$  has constant absolute value  $|f(x)| = \|f\|_{\lambda^{-1}(v)}$ . (Upon scaling, we may assume  $\|f\|_{\lambda^{-1}(v)} = 1$ . Then the reduction  $\bar{f}$  of  $f$  is a rational function on  $\mathbb{P}^{r-1}(\overline{\mathbb{F}})$  with zeroes or poles at most along the  $\mathbb{F}$ -rational hyperplanes, so  $f$  itself has constant absolute value 1.)

Suppose now that  $f \in \mathcal{O}(\Omega^r)^*$  is a global unit. Then its absolute value  $|f|$  is constant on fibers of  $\lambda$ , that is,  $|f|$  may be considered as a function on  $\mathcal{BT}(\mathbb{Q})$ . Instead of  $|f|$ , we mostly consider

$$\log f := \log_q |f|.$$

That function interpolates linearly, i.e., if  $x = \sum t_i v_i$  belongs to the simplex  $\{v_i\}$  with barycentric coordinates  $t_i$ , then  $\log f(x) = \sum t_i \log f(v_i)$ .

**2.8.** We can say more. Let  $e = (v, w)$  be an oriented 1-simplex of  $\mathcal{BT}$ , an *arrow* for short. We define the *van der Put value* of  $f$  on  $e$  through

$$P(f)(e) := \log_q \frac{|f(w)|}{|f(v)|} = \log f(w) - \log f(v).$$

It is an integer, which can be determined as follows. Apparently,

- (i)  $P(f)(\bar{e}) + P(f)(e) = 0$ , if  $\bar{e}$  is the arrow  $e$  with reverse orientation, and
- (ii)  $\sum_e P(f)(e) = 0$ , if the  $e$  run through the arrows of a closed path in  $\mathcal{BT}$ .

Now suppose that  $e = (v, w)$  with  $v = [L], w = [L']$ , where  $\pi L \subset L' \subset L$  and  $\dim_{\mathbb{F}}(L/L') = 1$ . Call such an arrow *special*. By §2.5, the special arrows with origin  $o(e) = v$  correspond one-to-one to the points of the dual projective space  $\check{\mathbb{P}}(L/\pi L)$  over  $\mathbb{F}$ .

If  $f$  is normalized such that  $|f| = 1$  on  $\lambda^{-1}(v)$  then its reduction  $\bar{f}$  has vanishing order  $m \in \mathbb{Z}$  along the hyperplane  $H$  of  $\mathbb{P}(L/\pi L) = \mathbb{P}^{r-1}(\mathbb{F})$  that corresponds to  $L'$  (see §2.5). Then  $P(f)(e) = -m$  (positive if  $\bar{f}$  has a pole along  $H$ ). As each  $e$  is homotopic with a path composed of special arrows, (i) and (ii) suffice to determine  $P(f)(e)$ .

We note another property of  $P(f)$ . As  $\bar{f}$  is a rational function on  $\mathbb{P}(L/\pi L) \times \bar{\mathbb{F}} \cong \mathbb{P}^{r-1}/\bar{F}$  with zeroes and poles at most at the  $\mathbb{F}$ -rational hyperplanes, it may be written as

$$\bar{f} = \text{const} \prod \ell_H^{m(H)}$$

with  $m(H) \in \mathbb{Z}$ ,  $\sum m(H) = 0$ , where  $H$  runs through the  $\mathbb{F}$ -rational hyperplanes and  $\ell_H$  is a linear form corresponding to  $H$ . This shows that

$$(iii) \sum_{\substack{e \text{ special} \\ o(e)=v}} P(f)(e) = 0 \quad \text{for each vertex } v,$$

where the sum is extended over the special arrows  $e$  with origin  $o(e) = v$ . We let  $\mathbf{H}(\mathcal{BT}, \mathbb{Z})$  be the group of  $\mathbb{Z}$ -valued functions on the set of arrows (=oriented 1-simplices) of  $\mathcal{BT}$  that satisfy conditions (i), (ii) and (iii).

**Proposition 2.9.** *The van der Put map*

$$P : \mathcal{O}(\Omega^r)^* \longrightarrow \mathbf{H}(\mathcal{BT}, \mathbb{Z})$$

$$f \longmapsto P(f),$$

where  $P(f)$  evaluates on the arrow  $e = (v, w)$  as

$$P(f)(e) = \log f(w) - \log f(v) = \log_q \left| \frac{f(w)}{f(v)} \right|$$

is a well-defined group homomorphism and equivariant with respect to the natural actions of  $G(K_\infty)$ . Its kernel is the subgroup  $\mathbb{C}_\infty^*$  of non-zero constant functions on  $\Omega^r$ .

*Proof.* The well-definedness comes from the preceding considerations; homomorphism and  $G(K_\infty)$ -equivariance are then obvious. Further,  $\ker(P) = \mathbb{C}_\infty^*$  is a formal consequence of the fact ([16] Proposition 4) that  $\Omega^r$  is a Stein space [14]. □

**Remark 2.10.** Marius von der Put defined the above map  $P$  and derived its main properties in [15] in the case  $r = 2$ . This was the starting point for the study of the action of arithmetic groups on  $\mathbf{H}(\mathcal{BT}, \mathbb{Z})$  in [7]. Our present aim is to calculate the invertible function  $\Delta$  on  $\Omega^r$  (and the companion functions  $g_1, \dots, g_{r-1}$ ) by determining  $P(\Delta)$ . In view of §2.6, it suffices to find  $P(\Delta)(e)$  for arrows  $e$  that belong to the Weyl chamber  $W$ .

### 3. The division functions

For  $\omega = (\omega_1, \dots, \omega_{r-1}, 1) \in \Omega^r$ , we let  $\Lambda_\omega$  be the  $A$ -lattice  $\Lambda_\omega = \sum_{1 \leq i \leq r} A\omega_i$ , with lattice function  $e_\omega := e_{\Lambda_\omega}$  and Drinfeld module  $\phi^\omega = \phi^{\Lambda_\omega}$ . Its  $T$ -division polynomial (1.3) may be factored as

$$(3.1) \quad \phi_T^\omega = \Delta(\omega) \prod (X - \mu),$$

where  $\mu$  runs through the set of its zeroes, which form an  $r$ -dimensional vector space  ${}_T\phi^\omega$  over  $A/(T) = \mathbb{F}$ . If  $\{u\}$  is a system of representatives for  $\Lambda_\omega/T\Lambda_\omega$  then  ${}_T\phi^\omega = \{e_\omega(\frac{u}{T})\}$ . In particular, the

$$(3.2) \quad \mu_i(\omega) := e_\omega\left(\frac{\omega_i}{T}\right) \quad (1 \leq i \leq r)$$

constitute an  $\mathbb{F}$ -basis of  ${}_T\phi^\omega$ . Given  $\mathbf{u} = (u_1, \dots, u_r) \in \mathbb{F}^r$ , we let

$$\mu_{\mathbf{u}} := \sum_{1 \leq i \leq r} u_i \mu_i.$$

As functions of  $\omega$  the  $\mu_{\mathbf{u}}$  are holomorphic (this follows e.g. from Proposition 3.4 below) and vanish nowhere on  $\Omega^r$ . Furthermore, for  $\gamma \in \Gamma = \text{GL}(r, A)$ , the functional equation

$$(3.3) \quad \mu_{\mathbf{u}}(\gamma\omega) = \text{aut}(\gamma, \omega)^{-1} \mu_{\mathbf{u}\gamma}(\omega)$$

holds, where  $\mathbf{u}\gamma$  is right matrix multiplication by  $\gamma$  on the row vector  $\mathbf{u} \in \mathbb{F}^r = (A/(T))^r$ . (The proof is by straightforward calculation and thus omitted.) Hence  $\mu_{\mathbf{u}}(\gamma\omega) = \text{aut}(\gamma, \omega)^{-1} \mu_{\mathbf{u}}(\omega)$  if  $\gamma \in \Gamma(T) = \{\gamma \in \Gamma \mid \gamma \equiv 1 \pmod{T}\}$ . That is,  $\mu_{\mathbf{u}}$  is modular of weight  $-1$  for the congruence subgroup  $\Gamma(T)$ . It is useful to dispose of the following well-known interpretation as reciprocal of an Eisenstein series.

**Proposition 3.4.**

$$\mu_{\mathbf{u}}(\omega)^{-1} = \sum_{\substack{\mathbf{a} \in K^r \\ \mathbf{a} \equiv T^{-1}\mathbf{u} \pmod{A^r}}} \frac{1}{a_1\omega_1 + \dots + a_r\omega_r}$$

*Proof.* Let  $E_{\mathbf{u}}(\omega)$  be the right hand side. It is equal to the lattice sum  $\sum_{\lambda \in \Lambda_\omega} \frac{1}{T^{-1}\mathbf{u}\omega + \lambda}$ , where  $\mathbf{u}\omega = \sum u_i \omega_i$ . Next we note that the derivative  $e'_\Lambda$  of a lattice function is the constant 1. Therefore, taking logarithmic derivatives,

$$\frac{1}{e_\Lambda(z)} = \frac{e'_\Lambda(z)}{e_\Lambda(z)} = \sum_{\lambda \in \Lambda} \frac{1}{z - \lambda}$$

as meromorphic functions on  $\mathbb{C}_\infty$ . We get

$$E_{\mathbf{u}}(\omega) = \sum_{\lambda \in \Lambda_\omega} \frac{1}{T^{-1}\mathbf{u}\omega + \lambda} = e_\omega\left(\frac{\mathbf{u}\omega}{T}\right)^{-1} = \mu_{\mathbf{u}}(\omega)^{-1}. \quad \square$$

From (3.1) and (1.3) we find

$$(3.5) \quad \Delta(\omega) = T \prod'_{\mathbf{u} \in \mathbb{F}^r} \mu_{\mathbf{u}}(\omega)^{-1} = T \prod'_{\mathbf{u} \in \mathbb{F}^r} E_{\mathbf{u}}(\omega).$$

More generally, we may express all the coefficients  $g_i(\omega)$  of  $\phi_T^\omega$  through the  $\mu_{\mathbf{u}}$ , viz: The polynomial

$$X^{q^r} \phi_T^\omega(X^{-1}) = \Delta + g_{r-1} X^{q^r - q^{r-1}} + \dots + g_1 X^{q^r - q} + T X^{q^r - 1}$$

has the  $\mu_{\mathbf{u}}^{-1}$  ( $\mathbf{u} \neq \mathbf{o}$ ) as its zeroes; therefore by Vieta

$$(3.6) \quad g_i(\omega) = T \cdot s_{q^i - 1} \{ \mu_{\mathbf{u}}^{-1} \mid \mathbf{o} \neq \mathbf{u} \in \mathbb{F}^r \},$$

$T$  times the  $(q^i - 1)$ -th elementary symmetric function of the  $\mu_{\mathbf{u}}^{-1} = E_{\mathbf{u}}$ . Our strategy will be to study the behavior and notably the absolute values of the  $\mu_{\mathbf{u}}$  on the fundamental domain  $\mathcal{F}$  in order to get information about  $\Delta$  and the  $g_i$ .

**3.7.** We call  $\mathbf{o} \neq \mathbf{u} = (u_1, \dots, u_r) \in \mathbb{F}^r$  *monic* if  $u_i = 1$  for the largest subscript  $i$  with  $u_i \neq 0$ . The monic elements are representatives for the action of  $\mathbb{F}^*$  on  $\mathbb{F}^r \setminus \{0\}$ . Accordingly,  $\mu_{\mathbf{u}}$  is monic if  $\mathbf{u}$  is monic.

**Theorem 3.8.** *We define the function  $h$  on  $\Omega^r$  by*

$$h(\omega) := \prod_{\substack{\mathbf{u} \in \mathbb{F}^r \\ \text{monic}}} \mu_{\mathbf{u}}(\omega)^{-1}.$$

*Then  $h^{q-1}(\omega) = \frac{(-1)^r}{T} \Delta(\omega)$ , and  $h$  is modular of weight  $(q^r - 1)/(q - 1)$  and type 1 for  $\Gamma$ .*

*Proof.* For  $c \in \mathbb{F}^*$  we have  $\mu_{c\mathbf{u}} = c\mu_{\mathbf{u}}$ , so

$$T^{-1} \Delta = \prod'_{\mathbf{u}} \mu_{\mathbf{u}}^{-1} = \prod_{\substack{\mathbf{u} \text{ monic} \\ c \in \mathbb{F}^*}} \mu_{c\mathbf{u}}^{-1} = \prod_{\mathbf{u} \text{ monic}} (-\mu_{\mathbf{u}}^{1-q}) = (-1)^r h^{q-1},$$

where we have used  $\prod_{c \in \mathbb{F}^*} c = -1$  and  $(-1)^{(q^r - 1)/(q - 1)} = (-1)^r$ . We must show that for  $\gamma \in \Gamma = G(A) = \text{GL}(r, A)$  the relation

$$(*) \quad h(\gamma\omega) = \frac{\text{aut}(\gamma, \omega)^{(q^r - 1)/(q - 1)}}{\det \gamma} h(\omega)$$

holds. If  $\gamma \in \Gamma(T)$ , this follows immediately from (3.3), as in this case  $\det(\gamma) = 1$  and  $\mathbf{u}\gamma = \mathbf{u}$  for each  $\mathbf{u} \in \mathbb{F}^r$ . Now  $\Gamma$  is a semi-direct product  $G(\mathbb{F})$  and  $\Gamma(T)$ , and it suffices to verify (\*) for  $\gamma \in G(\mathbb{F})$ .

Let  $M$  be the set of monics  $\mathbf{u} \in \mathbb{F}^r$ . For each  $\gamma \in G(\mathbb{F})$ , the set  $M\gamma$  is still a set of representatives of  $(\mathbb{F}^r \setminus \{0\})/\mathbb{F}^*$ , that is  $M\gamma = \{c_{\mathbf{u}}(\gamma)\mathbf{u} \mid \mathbf{u} \in M\}$  with scalars  $c_{\mathbf{u}}(\gamma) \in \mathbb{F}^*$ . Taking the product of (3.3) over the  $\mathbf{u} \in M$ , we find

$$h(\gamma\omega) = \text{aut}(\gamma, \omega)^{(q^r - 1)/(q - 1)} h(\omega) \cdot c^{-1}(\gamma)$$

with  $c(\gamma) = \prod_{\mathbf{u} \in M} c_{\mathbf{u}}(\gamma) \in \mathbb{F}^*$ . As  $\text{aut}(\gamma, \mathbf{u})$  is a factor of automorphy, we find that  $c : G(\mathbb{F}) \rightarrow \mathbb{F}^*$  is a homomorphism, which necessarily is a power of the determinant. To find the exponent, it suffices to test on the matrix  $\tau = \text{diag}(t, 1, \dots, 1)$ . Then  $\text{aut}(\tau, \boldsymbol{\omega}) = 1$  and

$$c_{\mathbf{u}}(\tau) = \begin{cases} 1, & \text{if } \mathbf{u} \neq (1, 0, \dots, 0) \\ t, & \text{if } \mathbf{u} = (1, 0, \dots, 0). \end{cases}$$

This yields  $c(\tau) = t = \det(\tau)$  and thus  $c(\gamma) = \det(\gamma)$  for each  $\gamma \in G(\mathbb{F})$ .  $\square$

**Remark 3.9.** We leave aside the question of the “right” normalization of  $h$  and  $\Delta$ , i.e., scalings such that  $h^{q-1} = \pm\Delta$ . For the case of  $r = 2$ , the rationality of expansion coefficients yields natural arithmetic normalizations such that  $h^{q-1} = -\Delta$  [5].

### 4. Absolute values of modular forms

In this section we determine  $|\mu_i(\boldsymbol{\omega})|$  for  $\boldsymbol{\omega} \in \mathcal{F}$  and draw conclusions.

**4.1.** We assume that  $\boldsymbol{\omega} = (\omega_1, \dots, \omega_r)$  with  $\omega_r = 1$ ,  $|\omega_i| = q^{k_i}$  with  $k_i \in \mathbb{Q}$ ,  $k_1 \geq k_2 \geq \dots \geq k_r = 0$ . Now

$$\mu_i = \mu_i(\boldsymbol{\omega}) = e_{\boldsymbol{\omega}}\left(\frac{\omega_i}{T}\right) = \frac{\omega_i}{T} \prod'_{\lambda \in \Lambda_{\boldsymbol{\omega}}} \left(1 - \frac{\omega_i}{T\lambda}\right)$$

and

$$\left|1 - \frac{\omega_i}{T\lambda}\right| = \begin{cases} 1, & \text{if } |T\lambda| > |\omega_i| \\ \left|\frac{\omega_i}{T\lambda}\right|, & \text{if } |T\lambda| \leq |\omega_i|. \end{cases}$$

The latter results from §1.15 if  $|T\lambda| = |\omega_i|$ . Therefore,  $|\mu_i|$  is the finite product

$$(4.2) \quad |\mu_i(\boldsymbol{\omega})| = \left|\frac{\omega_i}{T}\right| \prod'_{\substack{\lambda \\ |T\lambda| \leq |\omega_i|}} \left|\frac{\omega_i}{T\lambda}\right|.$$

A closer look to this formula reveals (for details, see [4, Proposition 3.4]):

**Proposition 4.3.**

(i) For the  $\mu_i = \mu_i(\boldsymbol{\omega})$  the following inequalities hold:

$$|\mu_1| \geq |\mu_2| \geq \dots \geq |\mu_r|.$$

For some  $i$  with  $1 \leq i < r$  we have equality  $|\mu_i| = |\mu_{i+1}|$  if and only if  $|\omega_i| = |\omega_{i+1}|$ .

(ii) Let  $\mu_{\mathbf{u}} = \sum_{1 \leq i \leq r} u_i \mu_i$  be as in (3.2). The absolute value  $|\mu_{\mathbf{u}}(\boldsymbol{\omega})|$  equals  $\mu_i(\boldsymbol{\omega})$ , where  $i$  is minimal with  $u_i \neq 0$ .

Moreover, under the same assumptions ([4, Corollary 3.6]):

**Proposition 4.4.** If  $g_i(\boldsymbol{\omega}) = 0$  for some  $1 \leq i < r$  then  $|\omega_{r-i}| = |\omega_{r-i+1}|$ .

**Remarks 4.5.**

- (1) The reverse numbering in Proposition 4.4 comes from the fact that  $\omega_r, \omega_{r-1}, \dots, \omega_1$  in this order forms a successive minimum basis for  $\Lambda_\omega$ .
- (2) Let  $V(g_i)$  be the vanishing locus of the function  $g_i$  on  $\Omega^r$ . Proposition 4.4 asserts that  $V(g_i) \cap \mathcal{F}$  is contained in  $\lambda^{-1}(W_{r-i}) = \mathcal{F}_{r-i}$ , see §2.6.

To evaluate (4.2), we may in view of §2.7 assume that  $\lambda(\omega)$  is a vertex  $[L_{\mathbf{k}}] \in W(\mathbb{Z})$ , i.e.,  $\omega \in \mathcal{F}_{\mathbf{k}}$ . Thus, in addition to the assumptions in §4.1, from now on

$$\mathbf{k} = (k_1, \dots, k_r) \in \mathbb{N}_0^r.$$

**4.6.** The case  $\mathbf{k} = \mathbf{o} = (0, \dots, 0)$  is simple. Here (4.2) and Proposition 4.3 give  $|\mu_i(\omega)| = |T|^{-1} = |\mu_{\mathbf{u}}(\omega)|$  for each  $\mathbf{o} \neq \mathbf{u} \in \mathbb{F}^r$ . With (3.5) we find

$$|\Delta(\omega)| = |T|^{q^r} \text{ and } \log \Delta(\omega) = q^r,$$

valid for  $\omega \in \mathcal{F}_{\mathbf{o}}$ .

**4.7.** For  $1 \leq \ell < r$  we let  $\mathbf{k}_\ell$  be the vector  $(1, 1, \dots, 1, 0, \dots, 0)$  with  $\ell$  ones. Inside the euclidean space  $\mathfrak{A}(\mathbb{R})$ ,  $\{\mathbf{k}_\ell\}$  is the set of co-roots of the simple roots  $\{\alpha_1, \dots, \alpha_{r-1}\}$ , i.e.,  $\alpha_i(\mathbf{k}_\ell) = \delta_{i,\ell}$  (Kronecker symbol), and  $W(\mathbb{Z}) = W \cap \mathfrak{A}(\mathbb{Z})$  is the set of non-negative integral combinations of the  $\mathbf{k}_\ell$ .

**4.8.** Recall that “log” is the real-valued function  $\log_q |\cdot|$  on  $\mathbb{C}_\infty^*$ . As  $\log \mu_i(\omega)$  depends only on the coordinates  $\mathbf{k} \in \mathbb{N}_0^r$  of  $\omega$ , we write  $\log \mu_i(\mathbf{k})$  for that quantity. It is fully determined by the ascending length filtration on the  $\mathbb{F}$ -vector space  $\Lambda_\omega$ . To make this precise, we need the

**Definition 4.9.** For  $\mathbf{k}$  as before and  $1 \leq i \leq r$ , we put

$$V_{\mathbf{k},i} := \{(a_{i+1}, \dots, a_r) \in A^{r-i} \mid \deg a_j < k_i - k_j, i < j \leq r\},$$

an  $\mathbb{F}$ -vector subspace of  $A^{r-i}$  of dimension  $(r - i)k_i - (k_{i+1} + \dots + k_r)$ . (Although  $k_r = 0$ , it is useful to keep it present in the notation.) For  $i \leq \ell < r$  we define the subset

$$V_{\mathbf{k},i}^{(\ell)} := \left\{ \mathbf{a} = (a_{i+1}, \dots, a_r) \in V_{\mathbf{k},i} \mid \begin{array}{l} \max_{i < j \leq \ell} (k_j + \deg a_j) \\ < \max_{i < j \leq r} (k_j + \deg a_j) \\ \text{or } \mathbf{a} = \mathbf{o} \end{array} \right\}.$$

Further,  $v_{\mathbf{k},i} := \#(V_{\mathbf{k},i})$ ,  $v_{\mathbf{k},i}^{(\ell)} = \#(V_{\mathbf{k},i}^{(\ell)})$ . The condition defining  $V_{\mathbf{k},i}^{(\ell)}$  is empty for  $\ell = i$ , so  $V_{\mathbf{k},i}^{(i)} = V_{\mathbf{k},i}$ , and  $V_{\mathbf{k},i}^{(r-1)} \subset V_{\mathbf{k},i}^{(r-2)} \subset \dots \subset V_{\mathbf{k},i}^{(i)}$ .

We are mainly interested in the growth of  $\log \mu_i(\mathbf{k})$  under  $\mathbf{k} \rightsquigarrow \mathbf{k}' := \mathbf{k} + \mathbf{k}_\ell$ , which is described by the quantities just introduced.

**Proposition 4.10.** *Let  $1 \leq i \leq r, 1 \leq \ell < r$ . Then*

$$\log \mu_i(\mathbf{k} + \mathbf{k}_\ell) - \log \mu_i(\mathbf{k}) = \begin{cases} v_{\mathbf{k},i}^{(\ell)}, & i \leq \ell \\ 0, & i > \ell. \end{cases}$$

*Proof.* Let  $\boldsymbol{\omega} = (\omega_1, \dots, \omega_r) \in \mathcal{F}_{\mathbf{k}}, \boldsymbol{\omega}' = (T\omega_1, \dots, T\omega_\ell, \omega_{\ell+1}, \dots, \omega_r) \in \mathcal{F}_{\mathbf{k}'}$  with  $\mathbf{k}' = \mathbf{k} + \mathbf{k}_\ell$ . If  $i > \ell$  then the product (4.2) for  $|\mu_i(\boldsymbol{\omega})|$  doesn't change upon replacing  $\boldsymbol{\omega}$  with  $\boldsymbol{\omega}'$ . So assume  $i \leq \ell$ . The factors  $|\frac{\omega_i}{T\lambda}|$  in (4.2) correspond to

$$\lambda = a_{i+1}\omega_{i+1} + \dots + a_r\omega_r, \text{ where } \mathbf{o} \neq \mathbf{a} = (a_{i+1}, \dots, a_r) \in V_{\mathbf{k},i}.$$

Again replacing  $\boldsymbol{\omega}$  with  $\boldsymbol{\omega}'$ , such a factor is multiplied by  $q$  if  $|a_{i+1}\omega_{i+1} + \dots + a_\ell\omega_\ell| < |\lambda|$  (i.e.,  $\mathbf{a} \in V_{\mathbf{k},i}^{(\ell)}$ ), and is unchanged if  $|a_{i+1}\omega_{i+1} + \dots + a_\ell\omega_\ell| = |\lambda|$ , as follows from §1.15. Ditto,  $|\frac{\omega'_i}{T}| = q|\frac{\omega_i}{T}|$ . Beyond those factors coming from the product for  $|\mu_i(\boldsymbol{\omega})|$ , the product (4.2) for  $|\mu_i(\boldsymbol{\omega}')|$  contains factors  $|\frac{\omega'_i}{T\lambda'}|$  with  $|\omega_i| < |T\lambda'| \leq |\omega'_i|$ , but for these, due to §4.1 applied to the primed situation,  $|T\lambda'| = |\omega'_i|$  holds, and so they don't contribute to the product. □

Recall that  $W(\mathbb{Z}) = W \cap \mathfrak{A}(\mathbb{Z})$  is ordered through the product order on the coefficients  $a_\ell \in \mathbb{N}_0$  of  $\mathbf{k} = \sum a_\ell \mathbf{k}_\ell$ . We extend this order to  $W(\mathbb{Q})$ , i.e., allow coefficients in  $\mathbb{Q}_{\geq 0}$ .

**Corollary 4.11.** *The function  $\log \mu_i$  on  $W(\mathbb{Q})$  strictly increases in directions  $\mathbf{k}_\ell$  for  $\ell \geq i$  and is constant in directions  $\mathbf{k}_\ell, \ell < i$ . In particular,  $\log \mu_r$  is constant on  $W(\mathbb{Q})$  with value  $-1$ , and for  $i < r, \mathbf{k}_i$  is a direction of maximal growth of  $\log \mu_i$ .*

*Proof.* This is Proposition 4.10, together with the fact that  $\log \mu_i$  interpolates linearly from  $W(\mathbb{Z})$  to  $W(\mathbb{Q})$ , the inequalities  $v_{\mathbf{k},i}^{(r-1)} \leq v_{\mathbf{k},i}^{(r-2)} \leq \dots \leq v_{\mathbf{k},i}^{(i)}$ , and §4.6. □

Next, for  $\mathbf{o} \neq \mathbf{u} \in \mathbb{F}^r$  let  $\mu_{\mathbf{u}} = \sum u_i \mu_i$  be as in the last section. As before,  $\log \mu_{\mathbf{u}}(\boldsymbol{\omega})$  depends only on  $\mathbf{k} = \lambda(\boldsymbol{\omega})$ , so we write  $\log \mu_{\mathbf{u}}(\mathbf{k})$  for  $\log \mu_{\mathbf{u}}(\boldsymbol{\omega})$ , and similarly  $\log \Delta(\mathbf{k})$  for  $\log \Delta(\boldsymbol{\omega})$ . With Proposition 4.3 we find

$$(4.12) \quad \sum'_{\mathbf{u} \in \mathbb{F}^r} \log \mu_{\mathbf{u}}(\mathbf{k}) = (q - 1) \sum_{1 \leq i \leq r} q^{r-i} \log \mu_i(\mathbf{k}),$$

which gives a similar equation for the increment under  $\mathbf{k} \rightsquigarrow \mathbf{k}' = \mathbf{k} + \mathbf{k}_\ell$ .



**Theorem 4.13.**

- (i) Let  $e$  be the arrow  $e = (\mathbf{k}, \mathbf{k}') = ([L_{\mathbf{k}}], [L_{\mathbf{k}'}])$  in  $W(\mathbb{Z})$ , where  $\mathbf{k}' = \mathbf{k} + \mathbf{k}_\ell$ ,  $\mathbf{k}_\ell = (1, 1, \dots, 1, 0, \dots, 0)$  with  $\ell$  ones. The van der Put function  $P(\Delta)$  evaluates on  $e$  as

$$P(\Delta)(e) = -(q - 1) \sum_{1 \leq i \leq \ell} q^{r-i} v_{\mathbf{k},i}^{(\ell)}$$

with the numbers  $v_{\mathbf{k},i}^{(\ell)}$  of Definition 4.9. Ditto,

$$P(h)(e) = - \sum_{1 \leq i \leq \ell} q^{r-i} v_{\mathbf{k},i}^{(\ell)}.$$

- (ii) For  $\omega \in \mathcal{F}_{\mathbf{k}}$  the formula

$$\log \Delta(\omega) = q^r + \sum_e P(\Delta)(e)$$

holds, where  $e$  runs through the arrows of shape  $(\mathbf{k}', \mathbf{k}' + \mathbf{k}_\ell)$  of any path in  $W(\mathbb{Z})$  with origin  $\mathbf{o}$  and endpoint  $\mathbf{k}$ .

*Proof.* (i) is (4.12) combined with (3.5). For (ii) we also use §4.6. □

**Remarks 4.14.**

- (i) The sum in the formula for  $\log \Delta(\omega)$  could more suggestively be written as a path integral  $\int_{\mathbf{o}}^{\mathbf{k}} P(\Delta)(e)de$ , which depends only on the homotopy class of the path connecting  $\mathbf{o}$  to  $\mathbf{k}$  in  $W(\mathbb{Z})$ .
- (ii) The arrows  $(\mathbf{o}, \mathbf{k}_\ell)$  are those emanating from  $\mathbf{o}$  in the unique  $(r - 1)$ -simplex  $\sigma_0$  in  $W$  that contains  $\mathbf{o}$ . For  $\mathbf{k}_\ell, \mathbf{k}_m$  with  $\ell \neq m$  and the arrow  $e = (\mathbf{k}_\ell, \mathbf{k}_m)$ , we may calculate  $P(\Delta)(e)$  as the difference  $P(\Delta)(\mathbf{o}, \mathbf{k}_m) - P(\Delta)(\mathbf{o}, \mathbf{k}_\ell)$ . As each arrow  $e$  in  $W(\mathbb{Z})$  belongs to a unique translate  $\sigma_{\mathbf{k}} = \mathbf{k} + \sigma_0$  of  $\sigma_0$  (i.e., if  $e$  is not parallel with some  $\mathbf{k}_\ell$ , it has a unique representation as  $e = (\mathbf{k} + \mathbf{k}_\ell, \mathbf{k} + \mathbf{k}_m)$  with some  $1 \leq \ell, m < r$ ), we find similarly  $P(\Delta)(e) = P(\Delta)(\mathbf{k}, \mathbf{k} + \mathbf{k}_m) - P(\Delta)(\mathbf{k}, \mathbf{k} + \mathbf{k}_\ell)$ .

Below there are some consequences of the preceding considerations.

**Corollary 4.15.** *The function  $\Delta$  is strictly monotonically decreasing on  $W(\mathbb{Q})$ .*

*Proof.* All the numbers  $v_{\mathbf{k},i}^{(\ell)}$  are strictly positive, so this follows from Theorem 4.13(i) and §2.7. □

Suppose that  $\mathbf{x} \in W(\mathbb{Q})$  doesn't lie on the wall  $W_{r-i}$ ,  $1 \leq i < r$ . For  $\omega \in \lambda^{-1}(\mathbf{x})$  we have  $|\omega_{r-i}| > |\omega_{r-i+1}|$ , thus by Proposition 4.3(i)  $|\mu_{r-i}(\omega)| > |\mu_{r-i+1}(\omega)|$ . By Proposition 4.3(ii) each of the  $(q^i - 1)$  values  $\mu_{\mathbf{u}}(\omega)$  where  $\mathbf{o} \neq \mathbf{u} = (u_1, \dots, u_r) \in \mathbb{F}^r$ ,  $u_1 = u_2 = \dots = u_{r-i} = 0$ , is strictly less

in absolute value than any  $\mu_{\mathbf{u}}(\omega)$  with some  $u_1, \dots, u_{r-i} \neq 0$ . Hence the reverse inequality holds for the reciprocals  $\mu_{\mathbf{u}}(\omega)^{-1}$ , and the term

$$\prod'_{\substack{\mathbf{u} \in \mathbb{F}^r \\ u_1 = \dots = u_{r-i} = 0}} \mu_{\mathbf{u}}(\omega)^{-1}$$

dominates (and hence determines the absolute value) in the sum for the elementary symmetric function  $s_{q^i-1}\{\mu_{\mathbf{u}}(\omega)^{-1}\}$ .

By (3.6) and describing the  $\mu_{\mathbf{u}}$  through the  $\mu_i$ , we find the following result, which complements Proposition 4.4.

**Corollary 4.16.** *The coefficient form  $g_i$  has no zeroes on  $\mathcal{F} \setminus \mathcal{F}_{r-i}$ . For  $\omega \in \mathcal{F} \setminus \mathcal{F}_{r-i}$ ,  $\log g_i(\omega)$  depends only on  $\mathbf{x} = \lambda(\omega)$ , and is given by*

$$\log g_i(\omega) = 1 - (q - 1) \sum_{0 \leq j < i} q^j \log \mu_{r-j}(\omega).$$

If  $\omega \in \mathcal{F}_{r-i}$ , the right hand side is still an upper bound for  $\log g_i(\omega)$ , which is attained in  $\lambda^{-1}(\mathbf{x})$ . In particular,  $\log g_1(\omega)$  is constant with value  $q$  on  $\mathcal{F} \setminus \mathcal{F}_{r-1}$  and  $\log g_1(\omega) \leq q$  for  $\omega \in \mathcal{F}_{r-1}$ .

*Proof.* The assertion for  $\omega \in \mathcal{F} \setminus \mathcal{F}_{r-i}$  has been shown, and it is obvious that the right hand side is an upper bound if  $\omega \in \mathcal{F}_{r-i}$ . The set of those  $\omega' \in X := \lambda^{-1}(\mathbf{x})$  where  $|g_i(\omega')|$  is less than the upper bound is the inverse image of a closed proper subvariety of the canonical reduction of  $X$ , and is therefore strictly contained in  $X$ . □

As we have seen, the vanishing locus of  $g_i$  satisfies

$$\lambda(V(g_i) \cap \mathcal{F}) \subset W_{r-i}(\mathbb{Q}).$$

This is in stark contrast with the behavior of Eisenstein series, which all have their zeroes in  $\mathcal{F}_{r-1}$ .

**Proposition 4.17.** *The vanishing locus  $V(E_k)$  of the  $k$ -th Eisenstein series  $E_k$  ( $0 < k \equiv 0 \pmod{q-1}$ ) intersected with  $\mathcal{F}$  is contained in  $\mathcal{F}_{r-1}$ .*

*Proof.* Suppose that  $\omega \in \mathcal{F} \setminus \mathcal{F}_{r-1}$ , i.e.,  $|\omega_{r-1}| > |\omega_r| = 1$ . Then the terms of maximal absolute value in

$$E_k(\omega) = \sum'_{\mathbf{a} \in A^r} \frac{1}{(a_1\omega_1 + \dots + a_r\omega_r)^k}$$

are those with  $a_1 = \dots = a_{r-1} = 0$ ,  $a_r \in \mathbb{F}^*$ . But  $\sum_{a_r \in \mathbb{F}^*} a_r^{-k} = -1$ , so  $E_k(\omega) = -1 +$  terms of lower size cannot vanish. □

### 5. The increments of $\log \Delta$

In this section we perform some more detailed calculations with the numbers  $v_{\mathbf{k},i}^{(\ell)}$  of Definition 4.9. We keep the set-up of the last section:  $\mathbf{k} = (k_1, \dots, k_r) \in \mathbb{N}_0^r$ ,  $k_1 \geq k_2 \geq \dots \geq k_r = 0$ , and  $1 \leq i \leq \ell < r$ . The increment  $-P(\Delta)(\mathbf{k}, \mathbf{k} + \mathbf{k}_\ell)$  under  $\mathbf{k} \rightsquigarrow \mathbf{k} + \mathbf{k}_\ell$  of the function  $\log(\prod'_{u \in \mathbb{F}^r} \mu_u)$  on  $W(\mathbb{Z})$  is expressed in Theorem 4.13 through the  $v_{\mathbf{k},i}^{(\ell)}$ . For brevity, we label it as

$$(5.1) \quad I_{\mathbf{k}}^{(\ell)} := -P(\Delta)(\mathbf{k}, \mathbf{k} + \mathbf{k}_\ell).$$

We further define for  $\nu \in \mathbb{N}_0$ :

$$\begin{aligned} s_\nu^{(\ell)} &= \#\{j \mid \ell < j \leq r \text{ and } k_j = \nu\} \\ t_\nu^{(\ell)} &= \#\{j \mid i < j \leq \ell \text{ and } k_j = \nu\} \\ r_\nu &= \#\{j \mid 1 \leq j \leq r \text{ and } k_j = \nu\}. \end{aligned}$$

Further, for  $0 \leq m < k_1$ ,

$$\begin{aligned} b_\ell(m) &= \sum_{0 \leq \nu \leq m} s_\nu^{(\ell)} \\ c(m) &= \sum_{0 \leq \nu \leq m} (m - \nu)r_\nu, \end{aligned}$$

all of which depend on the fixed data  $\mathbf{k}, i, \ell$ .

Any  $\mathbf{a} = (a_{i+1}, \dots, a_r) \in V_{\mathbf{k},i}$  (cf. Definition 4.9) will be written as  $\mathbf{a} = (\mathbf{a}^{(1)}, \mathbf{a}^{(2)})$ ,  $\mathbf{a}^{(1)} = (a_{i+1}, \dots, a_\ell) \in A^{\ell-i}$ ,  $\mathbf{a}^{(2)} = (a_{\ell+1}, \dots, a_r) \in A^{r-\ell}$ . For  $0 \leq m < k_i - k_r = k_i$ , put

$$V(m) := \left\{ \mathbf{a}^{(2)} \mid \max_{\ell < j \leq r} (\deg a_j + k_j) = m \right\}.$$

Further (as  $\deg 0 = -\infty$ ),  $V(-\infty) := \{0\}$ . Then

$$V := \bigcup_{m < k_i}^\bullet V(m)$$

is an  $\mathbb{F}$ -vector space of dimension  $\sum_{i < j \leq r} (k_i - k_j)$ , which exhausts all possibilities for  $\mathbf{a}^{(2)}$ , and

$$V_{\mathbf{k},i}^{(\ell)} = \left\{ (\mathbf{a}^{(1)}, \mathbf{a}^{(2)}) \in V_{\mathbf{k},i} \mid \begin{array}{l} \max_{i < j \leq \ell} (\deg a_j + k_j) < m \\ \text{if } \mathbf{a}^{(2)} \in V(m), m \geq 0, \\ \text{and } \mathbf{a}^{(1)} = \mathbf{o} \text{ if } \mathbf{a}^{(2)} = \mathbf{o} \end{array} \right\}.$$

Further, for any fixed  $0 \leq m < k_i$ , the disjoint union

$$W(m) := \bigcup_{m' \leq m}^\bullet V(m')$$

is an  $\mathbb{F}$ -space of dimension  $\sum_{0 \leq \nu \leq m} (m + 1 - \nu) s_\nu^{(\ell)}$ , as we see from counting conditions for  $\mathbf{a}^{(2)}$  to belong to  $W(m)$ . Hence, by evaluating  $\#W(m) - \#W(m - 1)$  and a small calculation, we find

$$(5.2) \quad \#V(m) = (q^{b_\ell(m)} - 1)q^{\sum_{\nu \leq m} (m - \nu) s_\nu^{(\ell)}}.$$

For each  $\mathbf{a}^{(2)} \in V(m)$ , where  $m \geq 0$ , some  $\mathbf{a}^{(1)}$  yields an element  $(\mathbf{a}^{(1)}, \mathbf{a}^{(2)})$  of  $V_{\mathbf{k}, i}^{(\ell)}$  if and only if  $\deg a_j < m - k_j$  ( $i < j \leq \ell$ ). Such  $\mathbf{a}^{(1)}$  form an  $\mathbb{F}$ -vector space of dimension  $\sum_{i < j \leq \ell} (m - k_j) = \sum_{0 \leq \nu < m} (m - \nu) t_\nu^{(\ell)}$ . So

$$\begin{aligned} v_{\mathbf{k}, i}^{(\ell)} &= 1 + \sum_{0 \leq m < k_i} \#V(m) \cdot q^{\sum_{0 \leq \nu < m} (m - \nu) t_\nu^{(\ell)}} \\ &= 1 + \sum_{0 \leq m < k_i} (q^{b_\ell(m)} - 1)q^{\sum_{0 \leq \nu \leq m} (m - \nu) (s_\nu^{(\ell)} + t_\nu^{(\ell)})}. \end{aligned}$$

Note that  $s_\nu^{(\ell)} + t_\nu^{(\ell)} = \#\{j > i \mid k_j = \nu\}$ . If now  $j \leq i$  with  $k_j = \nu$  then  $\nu = k_j \geq k_i > m$ , so we may replace  $s_\nu^{(\ell)} + t_\nu^{(\ell)}$  with  $\{j \mid 1 \leq j \leq r, k_j = \nu\} = r_\nu$  in the above sum. Therefore,

$$(5.3) \quad v_{\mathbf{k}, i}^{(\ell)} = 1 + \sum_{0 \leq m < k_i} (q^{b_\ell(m)} - 1)q^{c(m)}.$$

Hence the increment under  $\mathbf{k} \rightsquigarrow \mathbf{k} + \mathbf{k}_\ell$  of  $\log(\prod'_{\mathbf{u} \in \mathbb{F}^r} \mu_{\mathbf{u}})$  is given by

$$\begin{aligned} I_{\mathbf{k}}^{(\ell)} &= (q - 1) \sum_{1 \leq i \leq \ell} q^{r-i} v_{\mathbf{k}, i}^{(\ell)} \\ (5.4) \quad &= (q - 1) \sum_{1 \leq i \leq \ell} q^{r-i} (1 + \sum_{0 \leq m < k_i} (q^{b_\ell(m)} - 1)q^{c(m)}) \\ &= q^r - q^{r-\ell} + (q - 1) \sum_{0 \leq m < k_1} (q^{b_\ell(m)} - 1)q^{c(m)} \sum_{\substack{1 \leq i \leq \ell \\ k_i > m}} q^{r-i}. \end{aligned}$$

Note that the condition  $k_i > m$  in the last sum is an upper bound for  $i$ ; it decreases if  $m$  increases. Although complicated, the formula is explicit and easy to evaluate. So our final result for  $P(\Delta)$  is

**Theorem 5.5.** *Let  $e = (\mathbf{k}, \mathbf{k}')$  with  $\mathbf{k}' = \mathbf{k} + \mathbf{k}_\ell$  be as in Theorem 4.13. Then*

$$P(\Delta)(e) = -(q^r - q^{r-\ell}) - (q - 1) \sum_{0 \leq m < k_1} (q^{b_\ell(m)} - 1)q^{c(m)} \sum_{\substack{1 \leq i \leq \ell \\ k_i > m}} q^{r-i}.$$

We may read off several qualitative properties. How does  $I_k^{(\ell)}$  change under  $\ell \rightsquigarrow \ell + 1$ , where  $1 \leq \ell < r - 1$ ? We first observe that

$$(5.6) \quad b_{\ell+1}(m) = \begin{cases} b_\ell(m) - 1, & \text{if } k_{\ell+1} \leq m \\ b_\ell(m), & \text{if } k_{\ell+1} > m \end{cases}$$

and  $b_\ell(m + 1) \geq b_\ell(m)$ . Further,

$$c(m + 1) = c(m) + \sum_{0 \leq \nu < m} r_\nu,$$

where  $\sum_{0 \leq \nu < m} r_\nu \geq r_0 > 0$ . By (5.4), comparing termwise,

$$(5.7) \quad \begin{aligned} I_k^{(\ell+1)} - I_k^{(\ell)} &= (q - 1)q^{r-\ell-1} + (q - 1) \sum_{0 \leq m < k_{\ell+1}} (q^{b_\ell(m)} - 1)q^{c(m)}q^{r-\ell-1} \\ &\quad - (q - 1)^2 \sum_{k_{\ell+1} \leq m < k_1} q^{b_\ell(m)-1+c(m)} \sum_{\substack{1 \leq i \leq \ell \\ k_i > m}} q^{r-i} \\ &=: (q - 1)q^{r-\ell-1} + (q - 1) \sum_{0 \leq m < k_{\ell+1}} B(m) \\ &\quad - (q - 1)^2 \sum_{k_{\ell+1} \leq m < k_1} B(m), \end{aligned}$$

where the last equation defines the  $B(m)$  for  $m < k_{\ell+1}$ ,  $m \geq k_{\ell+1}$ , respectively. (5.7) holds since for  $m < k_{\ell+1}$ ,  $b_{\ell+1}(m) = b_\ell(m)$  but

$$\sum_{\substack{1 \leq i \leq \ell+1 \\ k_i > m}} q^{r-i} = \sum_{\substack{1 \leq i \leq \ell \\ k_i > m}} q^{r-i} + q^{r-\ell-1},$$

and for  $m \geq k_{\ell+1}$ ,  $b_{\ell+1}(m) = b_\ell(m) - 1$ , but the sum  $\sum_{\substack{1 \leq i \leq \ell \\ k_i > m}} q^{r-i}$  doesn't change upon  $\ell \rightsquigarrow \ell + 1$ . Note that all the  $B(m)$  are positive. We claim

$$(5.8) \quad q^{r-\ell-1} + \sum_{0 \leq m < k_{\ell+1}} B(m) < (q - 1)B(k_{\ell+1}),$$

provided that  $k_{\ell+1} < k_1$ .

Proof.

$$\begin{aligned}
 & q^{r-\ell-1} + \sum_{0 \leq m < k_{\ell+1}} B(m) \\
 & \leq q^{r-\ell-1} \sum_{0 \leq m < k_{\ell+1}} q^{b_{\ell}(m)+c(m)} \\
 & \leq q^{r-\ell-1} \sum_{0 \leq m < k_{\ell+1}} q^{b_{\ell}(k_{\ell+1})-1+c(m)} \leq q^{r-\ell-2+b_{\ell}(k_{\ell+1})+c(k_{\ell+1})} \\
 & \leq q^{r-3+b_{\ell}(k_{\ell+1})+c(k_{\ell+1})} < (q-1)q^{b_{\ell}(k_{\ell+1})+c(k_{\ell+1})-1}q^{r-1} \\
 & \leq (q-1)B(k_{\ell+1}). \quad \square
 \end{aligned}$$

As a consequence of (5.7) and (5.8),  $I_{\mathbf{k}}^{(\ell+1)} - I_{\mathbf{k}}^{(\ell)}$  is negative if there is at least one  $m$  with  $k_{\ell+1} \leq m < k_1$ , i.e., if  $k_{\ell+1} < k_1$ . Otherwise,  $I_{\mathbf{k}}^{(\ell+1)} - I_{\mathbf{k}}^{(\ell)}$  is positive. In view of (5.1) we have shown the following result.

**Theorem 5.9.** *Let  $\mathbf{k} = (k_1, k_2, \dots, k_r) \in \mathbb{N}_0^r$  with  $k_1 \geq k_2 \geq \dots \geq k_r = 0$ ,  $1 \leq \ell < r$  and  $e_{\ell}$  the arrow  $(\mathbf{k}, \mathbf{k} + \mathbf{k}_{\ell})$  in  $W(\mathbb{Z})$ . Suppose that  $k_1 = \dots = k_t > k_{t+1}$ . The values of  $P(\Delta)$  satisfy*

$$\begin{aligned}
 P(\Delta)(e_1) &> P(\Delta)(e_2) > \dots > P(\Delta)(e_t) \\
 &< P(\Delta)(e_{t+1}) < \dots < P(\Delta)(e_{r-1}).
 \end{aligned}$$

That is,  $e_t$  points to the well-defined direction of largest decay of  $|\Delta|$  from  $\mathcal{F}_{\mathbf{k}}$ .

### 6. The vanishing of modular forms on $\mathcal{F}_{\mathbf{o}}$

We describe the zero loci of the  $g_i$  in  $\mathcal{F}_{\mathbf{o}}$  and their canonical reductions.

**6.1.** We let  $\|f\| = \|f\|_{\mathcal{F}_{\mathbf{o}}}$  be the spectral norm of the holomorphic function  $f$  on  $\mathcal{F}_{\mathbf{o}}$ , and denote by “ $\equiv$ ” the congruence of elements of  $O_{\mathbb{C}_{\infty}}$  modulo its maximal ideal, and  $\bar{x}$  = reduction of  $x \in O_{\mathbb{C}_{\infty}}$  in its residue class field  $\bar{\mathbb{F}}$ . Thus from Corollary 4.16 along with (4.2),  $\|g_i\| = q^i$  for  $1 \leq i \leq r$ , including the case  $g_r = \Delta$ . As  $g_i = Ts_{q^{i-1}}\{\mu_{\mathbf{u}}^{-1} \mid 0 \neq \mathbf{u} \in \mathbb{F}^r\}$ , we have for  $\omega \in \mathcal{F}_{\mathbf{o}} : |g_i(\omega)| < \|g_i\| \iff |s_{q^{i-1}}\{T^{-1}\mu_{\mathbf{u}}^{-1}\}| < 1$ . Now by (4.2),

$$T\mu_{\mathbf{u}}(\omega) \equiv \omega_{\mathbf{u}} = \sum_{1 \leq i \leq r} u_i \omega_i.$$

Hence the above is equivalent with  $|s_{q^{i-1}}\{\omega_{\mathbf{u}}^{-1}\}| < 1$  and with  $\alpha_i(\omega) \equiv 0$ , where the  $\alpha_i$  are the coefficients of the lattice function

$$e_{L_{\omega}} = z \prod'_{\mathbf{u} \in \mathbb{F}^r} \left(1 - \frac{z}{\omega_{\mathbf{u}}}\right) = \sum_{0 \leq i \leq r} \alpha_i(\omega) z^i \quad (\alpha_0 = 1),$$

$L_\omega := \sum_{1 \leq i \leq r} \mathbb{F}\omega_i$ . (Of course the present  $\alpha_i$ , those of (1.1), mustn't be confused with the roots  $\alpha_i$  of Sections 3 and 4, which don't appear in this section.)

More conceptually we have

$$\begin{aligned} \phi_T^\omega(X) &= TX \prod'_u \left(1 - \frac{X}{\mu_u}\right) = TX + \sum_{1 \leq i \leq r} g_i(\omega)X^{q^i} \\ &= Te_{L'}(X) \quad (\text{where } L' = \sum_{1 \leq i \leq r} \mathbb{F}\mu_i) \\ &= e_{TL'}(TX). \end{aligned}$$

As  $TL' \equiv L_\omega$  (i.e., the respective basis vectors satisfy  $T\mu_i \equiv \omega_i$ ),

$$e_{TL'}(X) = X + \sum_{1 \leq i \leq r} T^{-q^i} g_i(\omega)X^{q^i} \equiv \sum_{0 \leq i \leq r} \alpha_i(\omega)X^{q^i} = e_{L_\omega}(X),$$

where the congruence is coefficientwise. Together, the condition  $\alpha_i(\omega) \equiv 0$  for  $|g_i(\omega)| < \|g_i\|$  depends only on the reduction  $\bar{L} = \sum_{1 \leq i \leq r} \mathbb{F}\bar{\omega}_i$  of  $L_\omega$  in  $\bar{\mathbb{F}}$ . We let  $\bar{\alpha}_i(\bar{\omega})$  be the respective coefficient of  $e_{\bar{L}}$  (which of course equals the reduction of  $\alpha_i(\omega)$ ), regarded as a function of  $\bar{\omega} \in \Omega^r(\bar{\mathbb{F}})$ .

**Theorem 6.2.** *We let  $V(g_i) \cap \mathcal{F}_o$  be the vanishing locus of  $g_i$  on  $\mathcal{F}_o$ . Its image under the canonical reduction map  $\text{red} : \mathcal{F}_o \rightarrow \Omega^r(\bar{\mathbb{F}})$  is the vanishing locus  $V(\bar{\alpha}_i)$ . In particular,  $V(g_i) \cap \mathcal{F}_o$  is non-empty.*

*Proof.* From the preceding,  $\text{red} : V(g_i) \cap \mathcal{F}_o \rightarrow \Omega^r(\bar{\mathbb{F}})$  takes its values in  $V(\bar{\alpha}_i)$ . Once surjectivity onto  $V(\bar{\alpha}_i)$  is established, the non-emptiness of  $V(g_i) \cap \mathcal{F}_o$  results from the non-emptiness of  $V(\bar{\alpha}_i)$ , which in turn is a consequence of [6, (1.12)]. (For example  $\bar{\alpha}_1, \dots, \bar{\alpha}_{r-1}$  have a common zero at  $\bar{\omega}$  if the entries of  $\bar{\omega}_1, \dots, \bar{\omega}_{r-1}, \bar{\omega}_r = 1$  lie in  $\mathbb{F}^{(r)}$ .)

To show the surjectivity of  $\text{red} : V(g_i) \cap \mathcal{F}_o \rightarrow V(\bar{\alpha}_i)$ , it suffices, by Hensel's lemma, to verify that at least one of the partial derivatives  $\frac{\partial}{\partial \omega_j}(T^{-q^i} g_i)(\omega)$  at  $\omega \in \text{red}^{-1}(V(\bar{\alpha}_i))$  has absolute value 1. Fix such an  $\omega$ , and let  $D_j = \frac{\partial}{\partial \omega_j}$ . Then

$$|D_j(T^{-q^i} g_i)(\omega) = 1| \iff |D_j \alpha_i(\omega)| = 1 \iff D_j \bar{\alpha}_i(\bar{\omega}) \neq 0 \text{ in } \bar{\mathbb{F}}.$$

(By abuse of notation, we also write  $D_j$  for the derivative with respect to  $\bar{\omega}_j$ .) In the proposition below we show that the determinant

$$\det_{1 \leq i, j < r} (D_j \bar{\alpha}_i(\bar{\omega}))$$

doesn't vanish (regardless of the (non-) vanishing of  $\bar{\alpha}_i(\bar{\omega})$ ), which gives the result. □

**Proposition 6.3.** *Let  $\omega_1, \dots, \omega_r \in \overline{\mathbb{F}}$  be  $\mathbb{F}$ -linearly independent with lattice  $\Lambda_\omega = \sum \mathbb{F}\omega_i$  and lattice function*

$$e_{\Lambda_\omega}(z) = z \prod'_{\lambda \in \Lambda_\omega} (1 - z/\lambda) = z + \sum_{1 \leq i \leq r} \alpha_i(\omega) z^{q^i}.$$

Write  $D_j$  for  $\frac{\partial}{\partial \omega_j}$ . Then for all  $r' \leq r$ , the functional determinant

$$\det_{1 \leq i, j \leq r'} (D_j \alpha_i(\omega))$$

doesn't vanish.

*Proof.* For  $i \geq 0$ , we let  $e_i(\omega)$  be the  $(q^i - 1)$ -th Eisenstein series of  $\Lambda_\omega$ ,

$$e_i(\omega) = \sum'_{\mathbf{a}=(a_1, \dots, a_r) \in \mathbb{F}^r} (a_1 \omega_1 + \dots + a_r \omega_r)^{1-q^i}$$

(which gives  $e_0(\omega) = -1$ ). It is known ([6, (1.5) and (1.6)]) that for  $k > 0$

$$\alpha_k = \sum_{0 \leq i < k} \alpha_i (e_{k-i})^{q^i}$$

holds. Thus for any  $D = D_1, \dots, D_r$ ,

$$D(\alpha_k) = \sum_{1 \leq i < k} D(\alpha_i) e_{k-i}^{q^i} + D(e_k),$$

which implies that for  $r' \leq r$ ,

$$\det_{1 \leq i, j \leq r'} (D_j(\alpha_i)) = \det_{1 \leq i, j \leq r'} (D_j(e_i)).$$

We will show the non-vanishing of the right hand side. For any  $\mathbb{F}$ -linear map  $\varphi : \Lambda_\omega \rightarrow \mathbb{F}$  we define

$$M(\varphi) := \sum'_{\lambda \in \Lambda_\omega} \frac{\varphi(\lambda)}{\lambda}.$$

Then  $D_j(e_i)(\omega) = \sum'_{\mathbf{a} \in \mathbb{F}^r} \frac{a_j}{(a_1 \omega_1 + \dots + a_r \omega_r)^{q^i}} = M(\varphi_j)^{q^i}$ , where  $\varphi_j : (a_1 \omega_1 + \dots + a_r \omega_r) \mapsto a_j$ .

Hence  $\det_{1 \leq i, j \leq r'} (D_j(e_i)(\omega)) = \det_{1 \leq i, j \leq r'} (M(\varphi_j)^{q^i})$  is a determinant of Moore type ([13, 1.13]), which doesn't vanish if and only if the  $M(\varphi_j)$  are  $\mathbb{F}$ -linearly independent, where  $1 \leq j \leq r'$ . Now

$$\begin{aligned} M : \text{Hom}_{\mathbb{F}}(\Lambda_\omega, \mathbb{F}) &\longrightarrow \overline{\mathbb{F}} \\ \varphi &\longmapsto M(\varphi) \end{aligned}$$

is linear, and the  $M(\varphi_j)$  ( $1 \leq j \leq r$ ) are linearly independent provided  $M$  is injective. This is asserted by the next lemma. □



**Lemma 6.4.** *Let  $V$  be a finite-dimensional  $\mathbb{F}$ -subspace of  $\overline{\mathbb{F}}$ . For any non-trivial functional  $\varphi : V \rightarrow \mathbb{F}$ , the quantity*

$$M(\varphi) = \sum'_{v \in V} \frac{\varphi(v)}{v}$$

*doesn't vanish.*

*Proof.* Let  $U$  be the kernel of  $\varphi$ ,  $x \in V \setminus U$ . Write

$$\begin{aligned} M(\varphi) &= \sum_{c \in \mathbb{F}} \sum'_{u \in U} \frac{\varphi(u + cx)}{u + cx} = \varphi(x) \sum_{c \in \mathbb{F}} \sum'_{u \in U} \frac{c}{u + cx} \\ &= \varphi(x) \sum_{0 \neq c \in \mathbb{F}} \sum_{u \in U} \frac{1}{c^{-1}u + x} = -\varphi(x) \sum_{u \in U} \frac{1}{u + x}. \end{aligned}$$

Let  $e_U$  be the lattice function of  $U$ ; then

$$\frac{1}{e_U(x)} = \left( \frac{e'_U}{e_U} \right) (x) = \sum_{u \in U} \frac{1}{x - u}$$

by logarithmic derivation; so  $M(\varphi) = -\frac{\varphi(x)}{e_U(x)} \neq 0$ . □

Now the proof of Theorem 6.2 is complete.

### 7. The case $r = 3$

As an example for the preceding, we present more details in the case  $r = 3$ . Again,  $\mathbf{k} = (k_1, k_2, k_3)$  with  $k_1 \geq k_2 \geq k_3 = 0$ ,  $1 \leq i \leq 3$ , and  $\ell = 1, 2$ , and  $e$  is the arrow  $(\mathbf{k}, \mathbf{k} + \mathbf{k}_\ell)$  in  $W(\mathbb{Z})$ . Proposition 4.10 yields the following values for  $P(\mu_i)(e)$ .

	$\ell = 1$	$\ell = 2$
$i = 3$	0	0
$i = 2$	0	$q^{k_2}$
$i = 1$	$q^{2k_1 - k_2}$	$q^{k_2 + 1}(q^{2k_1 - 2k_2 - 1} + 1)/(q + 1)$

TABLE 7.1. Values for  $P(\mu_i)(e)$

From specializing (5.4) (or directly from Theorem 4.13 and Table 7.1, which in this case is easier), we find

$$\begin{aligned} (7.2) \quad P(\Delta)(e) &= -(q - 1)q^{2k_1 - k_2 + 2} && (\ell = 1) \\ &= -\frac{(q - 1)}{(q + 1)}q^{k_2 + 1}(q^{2k_1 - 2k_2 + 1} + q^2 + q + 1) && (\ell = 2). \end{aligned}$$

Below we draw the fundamental domain  $W$  and the first few values of  $P(\Delta)$  on the arrows of  $W(\mathbb{Z})$ . The vertex  $\mathbf{k} = (k_1, k_2, 0)$  is labelled by  $(k_1, k_2)$ . Arrows  $a, b, \dots, \ell$  are oriented east or northeast.

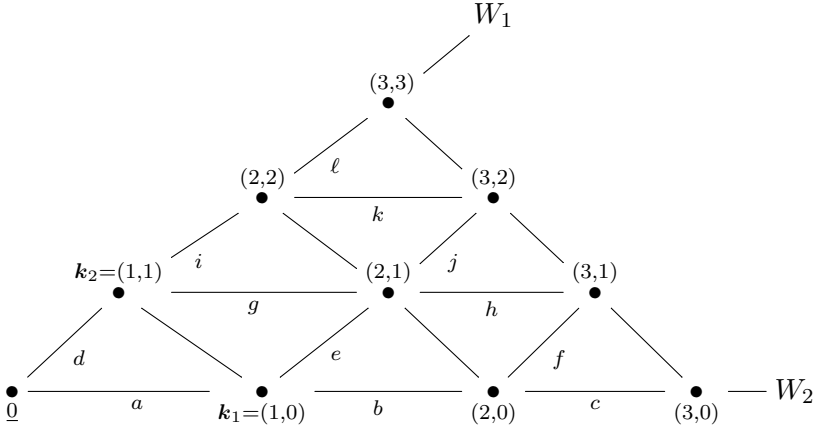


FIGURE 7.3. The Weyl chamber  $W$

For simplicity, we give the values of  $-(q - 1)^{-1}P(\Delta)$  on the oriented arrows  $a, \dots, \ell$ .

(a)	$q^2$	(g)	$q^3$
(b)	$q^4$	(h)	$q^5$
(c)	$q^6$	(i)	$q^2(q + 1)$
(d)	$q(q + 1)$	(j)	$q^2(q^2 + 1)$
(e)	$q(q^2 + 1)$	(k)	$q^4$
(f)	$q(q^4 - q^3 + q^2 + 1)$	(l)	$q^3(q + 1)$

**7.4.** The behavior of  $g_1$  and  $g_2$  is easy to describe. First,  $g_1(\omega)$  is constant with value  $q^q$  on  $\mathcal{F} \setminus \mathcal{F}_2$ , and that value is an upper bound for  $|g_1(\omega)|$  for  $\omega \in \mathcal{F}_2$  (attained in  $\lambda^{-1}(\lambda(\omega))$ ).

Let  $\|\cdot\|_{\mathbf{k}}$  denote the spectral norm of holomorphic functions on  $\mathcal{F}_{\mathbf{k}}$ . By abuse of notation, we also write  $P(f)(e) = P(f)(\mathbf{k}, \mathbf{k}') := \log_q \|f\|_{\mathbf{k}'} - \log_q \|f\|_{\mathbf{k}}$  even when  $f \neq 0$  possibly has zeroes. Then Corollary 4.16 together with Table 7.1 shows that

$$P(g_2)(\mathbf{k}, \mathbf{k} + \mathbf{k}_\ell) = -(q - 1)q^{k_2+1} \quad \text{if } \ell = 2 \text{ and } 0 \text{ if } \ell = 1.$$

Hence the spectral norm of  $g_2$  on  $\mathcal{F}_{\mathbf{k}}$  (which agrees with its absolute value if  $\mathbf{k} \notin W_1$ ) is obtained by integrating  $P(g_2)(e)$  along any path in  $W(\mathbb{Z})$  from  $\mathbf{o}$  to  $\mathbf{k}$ , taking into account that  $\|g_2\|_{\mathbf{o}} = q^{q^2}$ .

**7.5.** At  $\mathcal{F}_k$  with  $k \in W_{3-i}(\mathbb{Z})$ , the  $g_i$  ( $i = 1, 2$ ) can have smaller absolute values than their spectral norms, or even zeroes. This can be analyzed similar to the case  $k = \mathbf{o}$  handled in the last section. We restrict to do this in the most simple cases of

- $g_1$  on  $\mathcal{F}_k$ ,  $k = (k, 0, 0)$ ,  $k > 0$  and
- $g_2$  on  $\mathcal{F}_k$ ,  $k = (1, 1, 0)$ .

**7.6.** We consider  $k = (k, 0, 0)$  with  $k > 0$ . Note that  $(\omega_1, \omega_2, 1) \mapsto (T^k \omega_1, \omega_2, 1)$  is an isomorphism  $\mathcal{F}_\mathbf{o} \xrightarrow{\cong} \mathcal{F}_k$  of analytic spaces, which we use to describe the canonical reduction from  $\mathcal{F}_k$  to  $\Omega^3(\overline{\mathbb{F}})$ .

As  $g_1(\omega) = (T^q - T)E_{q-1}(\omega)$  with the Eisenstein series  $E_{q-1}$  (see, e.g. [5, 2.10]) and  $\|E_{q-1}\|_k = 1$  (which follows as in the proof of Proposition 4.17), we only have to study the reduction of  $E_{q-1}$ . Now for  $\omega \in \mathcal{F}_k$ ,

$$E_{q-1}(\omega) = \sum'_{(a,b,c) \in A^3} \frac{1}{(a\omega_1 + b\omega_2 + c)^{q-1}} \equiv \sum'_{(b,c) \in \mathbb{F}^2} \frac{1}{(b\omega_2 + c)^{q-1}},$$

where  $\equiv$  is congruence modulo the maximal ideal of  $O_{\mathbb{C}_\infty}$ . Hence

$$|E_{q-1}(\omega)| < 1 \iff \sum'_{(b,c) \in \mathbb{F}^2} \frac{1}{(b\bar{\omega}_2 + c)^{q-1}} = 0 \iff \bar{\omega}_2 \in \mathbb{F}^{(2)} \setminus \mathbb{F},$$

where the last equivalence is well-known (e.g. [6, Corollary 2.9]). As the zeroes of the finite rank-two Eisenstein series  $\sum_{(b,c) \in \mathbb{F}^2} (b\bar{\omega} + c)^{1-q}$  are simple (loc. cit.), they may be lifted to zeroes of  $E_{q-1}$ . Therefore the reduction map

$$\begin{aligned} \text{red} : \mathcal{F}_k &\longrightarrow \Omega^3(\overline{\mathbb{F}}) \\ (T\omega_1, \omega_2, 1) &\longmapsto (\bar{\omega}_1, \bar{\omega}_2, 1) \end{aligned}$$

restricted to  $V(g_1) \cap \mathcal{F}_k = V(E_{q-1}) \cap \mathcal{F}_k$  is onto

$$Y := \left\{ (\omega_1, \omega_2, 1) \in \Omega^3(\overline{\mathbb{F}}) \mid \omega_2 \in \mathbb{F}^{(2)} \setminus \mathbb{F} \right\} = \coprod_{\omega_2 \in \mathbb{F}^{(2)} \setminus \mathbb{F}} \left\{ \omega_1 \in \overline{\mathbb{F}} \setminus \mathbb{F}^{(2)} \right\} \times \{ \omega_2 \},$$

which is not connected.

**7.7.** Next we describe the form  $g_2$  on  $\mathcal{F}_k$ , where  $k = (1, 1, 0)$ . This is more complicated, as  $g_2$  is not an Eisenstein series.

Instead, we have  $g_2 = Ts_{q^2-1} \{ \mu_u^{-1} \mid \mathbf{o} \neq u \in \mathbb{F}^3 \}$  (see (3.6)). Now for  $\omega = (\omega_1, \omega_2, 1) \in \mathcal{F}_k$ ,

$$|\mu_1(\omega)| = |\mu_2(\omega)| = 1 > |\mu_3(\omega)| = q^{-1}.$$

In fact

$$|\mu_i(\omega)| \equiv \frac{\omega_i}{T} \prod'_{c \in \mathbb{F}} \left( 1 - c \frac{\omega_i}{T} \right) = \left( \frac{\omega_i}{T} \right) - \left( \frac{\omega_i}{T} \right)^q \text{ for } i = 1, 2,$$

while  $\mu_3(\omega) = T^{-1}$  + terms of smaller size. Therefore, for any  $\mu_{\mathbf{u}} = a\mu_1 + b\mu_2 + c\mu_3$  ( $\mathbf{o} \neq \mathbf{u} = (a, b, c) \in \mathbb{F}^3$ ),

$$|\mu_{\mathbf{u}}(\omega)| = q^{-1} \text{ if } (a, b) = (0, 0) \text{ and } |\mu_{\mathbf{u}}(\omega)| = 1 \text{ if } (a, b) \neq (0, 0),$$

in which case

$$(7.8) \quad \mu_{\mathbf{u}}(\omega) \equiv \left( \frac{a\omega_1 + b\omega_2}{T} \right) - \left( \frac{a\omega_1 + b\omega_2}{T} \right)^q.$$

Consider the polynomial  $\Delta(\omega)^{-1}\phi_T^\omega(X)$ :

$$(7.9) \quad \frac{T}{\Delta}X + \frac{g_1}{\Delta}X^q + \frac{g_2}{\Delta}X^{q^2} + X^{q^3} = \prod_{\mathbf{u} \in \mathbb{F}^3} (X - \mu_{\mathbf{u}}).$$

(All the functions  $g_1, g_2, \Delta, \mu_{\mathbf{u}}$  have to be evaluated at  $\omega \in \mathcal{F}_{\mathbf{k}}$ .) From Figure 7.3 and §7.4,  $|\frac{T}{\Delta}| < 1$ ,  $|\frac{g_1}{\Delta}| = 1$  and  $|\frac{g_2}{\Delta}| \leq 1$ . Therefore the polynomial in (7.9) satisfies

$$\Delta^{-1}\phi_T(X) \equiv \left( \prod' (X - \bar{\mu}) \right)^q =: (X^{q^2} + sX^q + tX)^q,$$

where  $\bar{\mu}$  runs through the rank-two  $\mathbb{F}$ -lattice  $L$  in  $\overline{\mathbb{F}}$  generated by the canonical reductions  $\bar{\mu}_1 = (\omega_1/T) - (\omega_1/T)^q$  and  $\bar{\mu}_2 = (\omega_2/T) - (\omega_2/T)^q$ . Here  $X^{q^2} + sX^q + tX$  is the monic  $\mathbb{F}$ -linear polynomial associated with  $L \subset \overline{\mathbb{F}}$ . In the coordinate functions  $\bar{\omega}_1, \bar{\omega}_2$  on the canonical reduction  $\Omega^3(\overline{\mathbb{F}})$  of  $\mathcal{F}_{\mathbf{k}}$  (i.e.,  $\bar{\omega}_i = (\overline{\omega_i/T})$ ,  $i = 1, 2$ ) we can state:

$$|g_2(\omega)| < \|g_2\|_{\mathbf{k}} \iff \left| \frac{g_2(\omega)}{\Delta(\omega)} \right| < 1 \iff s = 0 \iff \frac{\bar{\omega}_1 - \bar{\omega}_1^q}{\bar{\omega}_2 - \bar{\omega}_2^q} \in \mathbb{F}^{(2)}$$

(and that quantity is then necessarily in  $\mathbb{F}^{(2)} \setminus \mathbb{F}$ ). That is,  $\text{red} : \mathcal{F}_{\mathbf{k}} \rightarrow \Omega^3(\overline{\mathbb{F}})$  maps  $V(g_2) \cap \mathcal{F}_{\mathbf{k}}$  to the set

$$Y = \left\{ (\bar{\omega}_1, \bar{\omega}_2, 1) \in \Omega^3(\overline{\mathbb{F}}) \mid \frac{\bar{\omega}_1 - \bar{\omega}_1^q}{\bar{\omega}_2 - \bar{\omega}_2^q} \in \mathbb{F}^{(2)} \right\}.$$

With similar but more complicated considerations not presented here, we find for arbitrary  $\mathcal{F}_{\mathbf{k}} \subset \mathcal{F}_1$  (i.e.,  $\mathbf{k} = (k, k, 0)$  with  $k \geq 1$ ) the same condition: For  $\omega \in \mathcal{F}_{\mathbf{k}}$  with canonical reduction  $(\bar{\omega}_1, \bar{\omega}_2, 1)$ , inequality  $|g_2(\omega)| < \|g_2\|_{\mathbf{k}}$  holds if and only if  $(\bar{\omega}_1, \bar{\omega}_2, 1) \in Y$ .

Unlike the case studied in §7.6, we cannot immediately conclude that  $\text{red} : V(g_2) \cap \mathcal{F}_{\mathbf{k}} \rightarrow Y$  is surjective, as the trivial case of Hensel’s lemma doesn’t apply. So these questions and their generalizations to larger  $r$  need more investigation.

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