



# ANNALES

DE

# L'INSTITUT FOURIER

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**Topological invariants of analytic sets associated with Noetherian families**

Tome 55, n° 2 (2005), p. 549-571.

[http://aif.cedram.org/item?id=AIF\\_2005\\_\\_55\\_2\\_549\\_0](http://aif.cedram.org/item?id=AIF_2005__55_2_549_0)

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## TOPOLOGICAL INVARIANTS OF ANALYTIC SETS ASSOCIATED WITH NOETHERIAN FAMILIES

by Aleksandra NOWEL <sup>(1)</sup>

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### Introduction.

In [12] Parusiński and Szafraniec proved, that for any regular morphism  $\phi : X \rightarrow W$  of real algebraic sets there exist real polynomials  $g_1, g_2, \dots, g_s$  on  $W$  such that for every  $w \in W$

$$\chi(\phi^{-1}(w)) = \operatorname{sgn} g_1(w) + \operatorname{sgn} g_2(w) + \dots + \operatorname{sgn} g_s(w),$$

where  $\operatorname{sgn} g(w)$  denotes the sign of  $g(w)$ ,  $\chi(A)$  denotes the Euler characteristic of the set  $A$  (compare also the result of Coste and Kurdyka [4]).

Let  $\Omega \subset \mathbb{R}^n$  be a compact semianalytic set and let  $\mathcal{F}$  be a collection of real analytic functions defined in some neighbourhood of  $\Omega$ . With each  $\omega \in \Omega$  we can associate an analytic germ  $Y_\omega = \bigcap_{f \in \mathcal{F}} f^{-1}(0)$  at  $\omega$  and an analytic germ  $X_\omega = \{x \mid x + \omega \in Y_\omega\}$  at 0. Using arguments similar to Parusiński and Szafraniec, and the properties of Noetherian families, we will show (Theorem 4.11) that there exist analytic functions  $v_1, v_2, \dots, v_s$  defined in a neighbourhood of  $\Omega$  such that for each  $\omega \in \Omega$  there exists  $0 < \epsilon_\omega \ll 1$  such that for each  $0 < \epsilon < \epsilon_\omega$

$$\frac{1}{2} \chi(S_\epsilon^{n-1} \cap X_\omega) = \sum_{i=1}^s \operatorname{sgn} v_i(\omega),$$

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<sup>(1)</sup> Research partially supported by the European Community IHP-Network RAAG (HPRN-CT-2001-00271).

*Keywords:* (germs of) semianalytic sets, Noetherian families, (sum of signs of) analytic functions,  $\Omega$ -Noetherian algebra.

*Math. classification:* 14P15, 32B20.

where  $S_\epsilon^{n-1}$  denotes a sphere in  $\mathbb{R}^n$  centered at the origin with the radius  $\epsilon$ .

This result is proven in section 4. In fact it holds in the more general case, where  $\mathcal{F}$  is a family of analytic functions from an  $\Omega$ -Noetherian algebra satisfying some additional assumptions (see Remark after Theorem 4.11). The  $\Omega$ -Noetherian algebras were defined by El Khadiri and Tougeron in [5].

Let  $\Omega$  be a locally closed subset of  $\mathbb{R}^n$ , and let  $\mathcal{O}(\Omega)$  be a subalgebra of the algebra of analytic functions on  $\Omega$  (or on a neighbourhood of  $\Omega$ ) to  $\mathbb{R}$ . Let us identify  $\Omega$  with a subspace of the maximal spectrum  $SM(\mathcal{O}(\Omega))$ . With each point from  $\Omega$  we associate the maximal ideal of  $\mathcal{O}(\Omega)$  consisting of the functions which vanish at this point. The subalgebra  $\mathcal{O}(\Omega)$  is called  $\Omega$ -Noetherian if it is closed under derivation,  $\mathbb{R}[x] \subset \mathcal{O}(\Omega)$  and  $\Omega$ , identified as above with a subspace of the maximal spectrum  $SM(\mathcal{O}(\Omega))$ , is a Noetherian space. El Khadiri and Tougeron have given other examples of  $\Omega$ -Noetherian algebras, for instance

- the algebra of Nash functions (i.e. analytic semialgebraic functions) on  $\Omega$ , where  $\Omega$  is open semialgebraic in  $\mathbb{R}^n$ ,
- the algebra  $\mathbb{R}[x][f_1, \dots, f_q]$ , where  $\mathbb{R}[x] = \mathbb{R}[x_1, \dots, x_n]$  is the ring of polynomials on  $\mathbb{R}^n$ ,  $f_i = e^{Q_i}$ ,  $Q_i \in \mathbb{R}[x]$ .

In sections 1–3 we recall the definition and properties of Noetherian families, proved by El Khadiri and Tougeron in [5] and prove some useful properties of germs of some special complex analytic sets and of Noetherian families. Finally, in section 5, we show some consequences of the main result.

I wish to express my thanks to Professor Z. Szafraniec for suggesting the problem and for many stimulating and fruitful conversations. I am also indebted to Professor A. Parusiński for his accurate comments and to the referee for his helpful remarks.

## 1. Preliminaries.

Let  $A$  be a commutative algebra with an identity element over a commutative field  $\mathbf{k}$  of characteristic zero, and let  $\Gamma$  be a subset of the maximal spectrum  $SM(A)$  of  $A$ . In  $\Gamma$  we have the topology induced by the topology of  $SM(A)$ , i.e.  $F$  is closed in  $\Gamma$  if  $F = \{\gamma \in \Gamma \mid B \subset \gamma\}$  for some  $B \subset A$ .

Following El Khadiri and Tougeron [5] we assume that  $A$  and  $\Gamma$  satisfy the following conditions:

- (a) for all  $\gamma \in \Gamma$  the canonical mapping  $\mathbf{k} \longrightarrow A/\gamma$  is an isomorphism.
- (b)  $\Gamma$  equipped with the topology of  $SM(A)$  is a Noetherian space.

This means that every decreasing sequence of closed sets in  $\Gamma$  is stationary. Consequently any closed set in  $\Gamma$  is a union of finitely many irreducible closed sets.

If  $a \in A$  and  $\gamma \in \Gamma$ , let  $a(\gamma) \in \mathbf{k}$  denote the image of  $a$  under the mapping  $A \longrightarrow A/\gamma \cong \mathbf{k}$ . If  $F$  is a subset of  $\Gamma$ , let  $I(F) = \{a \in A \mid a(\gamma) = 0 \text{ for all } \gamma \in F\}$ . If  $S$  is a subset of  $A$ , let  $V(S) = \{\gamma \in \Gamma \mid a(\gamma) = 0 \text{ for all } a \in S\}$ . Then closed sets in  $\Gamma$  are the sets  $V(S)$ , where  $S \subset A$ . A closed set  $F$  in  $\Gamma$  is irreducible if and only if  $I(F)$  is a prime ideal.

Let  $x = (x_1, \dots, x_n)$ ,  $\mathbf{k} = \mathbb{R}$  or  $\mathbb{C}$ , and denote by  $A[[x]]$  (resp.  $\mathbf{k}[[x]]$ ) the ring of formal power series in  $x$  with coefficients in  $A$  (resp. in  $\mathbf{k}$ ), and by  $\mathbf{k}\{x\}$  the ring of formal power series which are convergent in some neighbourhood of the origin. If  $\gamma \in \Gamma$  and  $f = \sum_{\beta} a_{\beta} x^{\beta} \in A[[x]]$ , let  $f_{\gamma} = \sum_{\beta} a_{\beta}(\gamma) x^{\beta} \in \mathbf{k}[[x]]$ . If  $f = (f_1, \dots, f_p) \in A[[x]]^p$ , we write  $f_{\gamma} = (f_{1,\gamma}, \dots, f_{p,\gamma})$ . Finally if  $N$  is a submodule of  $A[[x]]^p$  generated by  $f_{\alpha}$ , let  $N_{\gamma}$  be the submodule of  $\mathbf{k}[[x]]^p$  generated by  $f_{\alpha,\gamma}$ .

El Khadiri and Tougeron have proved a lot of properties of submodules of  $A[[x]]^p$  (see [5]). We recall some of them.

**THEOREM 1.1** ([5], Proposition 6.2.1). — *Let  $N$  be a submodule of  $A[[x]]^p$ . There exists a submodule  $N' \subset N$ , generated by finitely many elements, such that  $N_{\gamma} = N'_{\gamma}$  for all  $\gamma \in \Gamma$ .*

**THEOREM 1.2** ([5], Proposition 6.8). — *Let  $I$  be an ideal in  $A[[x]]$ . There exists a positive integer  $\mu$  such that*

$$\forall \gamma \in \Gamma \text{ (rad}(I_{\gamma}))^{\mu} \subset I_{\gamma}.$$

Denote by  $A_c[[x]]$  the subring of the ring  $A[[x]]$  such that

$$f \in A_c[[x]] \Leftrightarrow \forall \gamma \in \Gamma \ f_{\gamma} \in \mathbf{k}\{x\}.$$

Theorems 1.1 and 1.2 are valid if we replace  $A[[x]]$  by  $A_c[[x]]$ .

**DEFINITION.** — *A collection  $\mathcal{N}$  of submodules of  $\mathbf{k}[[x]]^p$  (resp. of  $\mathbf{k}\{x\}^p$ ) is called a Noetherian family (parameterized by  $(A, \Gamma)$ ) if there*

exists a couple  $(A, \Gamma)$  satisfying the conditions (a) and (b) given above, and a submodule  $N$  of  $A[[x]]^p$  (resp.  $A_c[[x]]^p$ ) such that  $\mathcal{N} = (N_\gamma)_{\gamma \in \Gamma}$ .

Each subcollection of a Noetherian family is a Noetherian family, a union of two Noetherian families is a Noetherian family (if  $\mathcal{N}_1$  and  $\mathcal{N}_2$  are Noetherian families parametrized resp. by  $(A_1, \Gamma_1)$  and  $(A_2, \Gamma_2)$  then  $\mathcal{N}_1 \cup \mathcal{N}_2$  is parametrized by  $(A_1 \oplus A_2, \Gamma_1 \cup \Gamma_2)$ ).

DEFINITION. — Let  $I$  be an ideal in  $\mathbb{R}\{x\}$  generated by  $f_1, \dots, f_p$  and let  $V(I)$  be the germ of the set of zeros of  $I$  at the origin. The Lojasiewicz exponent of  $I$  is the infimum of all the positive real numbers  $\alpha$  for which there exists a constant  $c > 0$  such that

$$\sum_{i=1}^p |f_i(x)| \geq c d(x, V(I))^\alpha$$

in some neighbourhood of the origin ( $d$  denotes the Euclidean distance and we put  $d(x, \emptyset) = 1$ ).

THEOREM 1.3 ([5], Proposition 8.3). — Let  $(I_\gamma)_{\gamma \in \Gamma}$  be a Noetherian family of ideals of  $\mathbb{R}\{x\}$ . Then the family of the Lojasiewicz exponents  $\mathcal{L}(I_\gamma)$  of  $I_\gamma$  is bounded.

Let  $(\bar{A}, \bar{\Gamma})$  be a second couple satisfying conditions (a) and (b). A change of parametrization is a morphism of  $\mathbf{k}$ -algebras  $\phi : A \rightarrow \bar{A}$  such that  $\phi_* : \text{Spec } \bar{A} \rightarrow \text{Spec } A$  induces a morphism from  $\bar{\Gamma}$  onto  $\Gamma$ . If  $\mathcal{N} = (N_\gamma)_{\gamma \in \Gamma}$  is a Noetherian family and  $\bar{N}$  is the submodule of  $\bar{A}[[x]]^p$  (resp.  $\bar{A}_c[[x]]^p$ ) generated by  $\tilde{\phi}(N)$  then  $\mathcal{N} = (\bar{N}_{\bar{\gamma}})_{\bar{\gamma} \in \bar{\Gamma}}$  and  $(\bar{A}, \bar{\Gamma})$  is a new parametrization of this family (here  $\tilde{\phi} : A[[x]]^p \rightarrow \bar{A}[[x]]^p$  is a natural extension of  $\phi$ ). A composition of changes of parametrization is a change of parametrization.

THEOREM 1.4 ([6], Proposition 6.6). — Let  $N$  be a submodule of  $A[[x]]^p$ . There exist a change of parametrization  $\phi : (A, \Gamma) \rightarrow (\bar{A}, \bar{\Gamma})$ , a finite partition  $(\bar{\Gamma}_i)_{i \in I}$  of  $\bar{\Gamma}$ , ideals  $p_1, \dots, p_s$  of  $\bar{A}[[x]]$ , submodules  $N_1, \dots, N_s$  of  $\bar{A}[[x]]^p$  and constants  $s_i \leq s$ ,  $i \in I$ , such that for all  $\bar{\gamma} \in \bar{\Gamma}_i$  if  $\gamma = \phi_*(\bar{\gamma})$ :

- (1)  $p_{1, \bar{\gamma}}, \dots, p_{s_i, \bar{\gamma}}$  are prime ideals of  $\mathbf{k}[[x]]$  and if  $j > s_i$  then  $p_{j, \bar{\gamma}} = \mathbf{k}[[x]]$ .
- (2)  $N_{j, \bar{\gamma}}$  is  $p_{j, \bar{\gamma}}$ -primary if  $1 \leq j \leq s_i$  and  $N_{j, \bar{\gamma}} = \mathbf{k}[[x]]^p$  if  $j > s_i$ .
- (3)  $N_\gamma = N_{1, \bar{\gamma}} \cap \dots \cap N_{s_i, \bar{\gamma}}$  and it is a reduced primary decomposition of  $N_\gamma$ .

**THEOREM 1.5** ([5], Proposition 6.4). — *Let  $N, N'$  be submodules of  $A[[x]]^p$ . There exist a change of parametrization  $\phi : (A, \Gamma) \rightarrow (\bar{A}, \bar{\Gamma})$  and a submodule  $\bar{N}$  of  $\bar{A}[[x]]^p$  such that for all  $\bar{\gamma} \in \bar{\Gamma}$  if  $\gamma = \phi_*(\bar{\gamma})$ :*

$$\bar{N}_{\bar{\gamma}} = N_{\gamma} \cap N'_{\gamma}.$$

### 2. Germs of analytic sets.

Let  $f : (\mathbb{C}^n, 0) \rightarrow (\mathbb{C}, 0)$  be a germ of a holomorphic function at the origin and let  $r(z) = z_1^2 + \dots + z_n^2$  for  $z = (z_1, \dots, z_n) \in \mathbb{C}^n$ . Denote by  $\mathcal{G}$  the germ at the origin of the analytic set

$$\bigcap_{i < j} \left\{ z \in \mathbb{C}^n \mid \det \begin{bmatrix} \frac{\partial r}{\partial z_i} & \frac{\partial r}{\partial z_j} \\ \frac{\partial f}{\partial z_i} & \frac{\partial f}{\partial z_j} \end{bmatrix} = 0 \right\} = \bigcap_{i < j} \left\{ z \in \mathbb{C}^n \mid \det \begin{bmatrix} z_i & z_j \\ \frac{\partial f}{\partial z_i} & \frac{\partial f}{\partial z_j} \end{bmatrix} = 0 \right\},$$

i.e.  $z \in \mathcal{G}$  if and only if  $\nabla r(z) = \left( \frac{\partial r}{\partial z_1}(z), \dots, \frac{\partial r}{\partial z_n}(z) \right)$  and  $\nabla f(z) = \left( \frac{\partial f}{\partial z_1}(z), \dots, \frac{\partial f}{\partial z_n}(z) \right)$  are linearly dependent.

Denote by  $\mathcal{G}'$  the germ of the set  $\overline{\mathcal{G} \setminus f^{-1}(0)}$  at the origin. We will show, that  $\mathcal{G}' \cap f^{-1}(0) = \mathcal{G}' \cap r^{-1}(0)$ .

**LEMMA 2.1.** —  $\mathcal{G} \cap r^{-1}(0) \subset f^{-1}(0)$ .

*Proof.* — Assume that  $(\mathcal{G} \cap r^{-1}(0)) \setminus (\mathcal{G} \cap f^{-1}(0)) \neq \emptyset$ . According to the curve selection lemma there exists an analytic curve  $\gamma = (\gamma_1, \dots, \gamma_n)$  such that  $\gamma(0) = 0$  and  $\gamma \setminus \{0\} \subset (\mathcal{G} \cap r^{-1}(0)) \setminus (\mathcal{G} \cap f^{-1}(0))$ . Then we have  $r(\gamma(t)) \equiv 0$ . Hence

$$(1) \quad \frac{d}{dt} r(\gamma(t)) = \frac{\partial r}{\partial z_1}(\gamma(t)) \frac{d\gamma_1}{dt}(t) + \dots + \frac{\partial r}{\partial z_n}(\gamma(t)) \frac{d\gamma_n}{dt}(t) \equiv 0.$$

Since  $\nabla r(z) \neq 0$  for  $z \neq 0$  and  $\gamma(t) \in \mathcal{G}$ ,

$$\forall t \exists_{c(t)} \nabla f(\gamma(t)) = c(t) \nabla r(\gamma(t)).$$

Thus by (1) we have  $\frac{d}{dt} f(\gamma(t)) \equiv 0$ , so  $f \circ \gamma = \text{const}$ . Since  $(f \circ \gamma)(0) = 0$ ,  $\gamma \subset f^{-1}(0)$  — a contradiction. □

**LEMMA 2.2.** —  $\mathcal{G}'$  is a germ of an analytic set.

*Proof.* — Germs of sets  $\mathcal{G}, \mathcal{G} \cap f^{-1}(0)$  are analytic, so the representative of  $\mathcal{G} \setminus f^{-1}(0)$  is an analytically constructible set. The complex closure

of an analytically constructible set is analytic, so the representative of the germ  $\mathcal{G}'$  is an analytic set ([8], Proposition IV 8.3.5).  $\square$

LEMMA 2.3. —  $\mathcal{G}' = \mathcal{G}_1 \cup \dots \cup \mathcal{G}_p$ , where  $\mathcal{G}_1, \dots, \mathcal{G}_p$  are the irreducible components of  $\mathcal{G}$  such that  $\mathcal{G}_i \setminus f^{-1}(0) \neq \emptyset$  for  $i = 1, \dots, p$ . Moreover,  $\mathcal{G}_i \setminus f^{-1}(0)$  is dense in  $\mathcal{G}_i$ .

*Proof.* — According to [8], Theorem IV 2.10.5,  $\mathcal{G}' = \mathcal{G}_1 \cup \dots \cup \mathcal{G}_p$ . Since each germ  $\mathcal{G}_i$  is irreducible, [8], Proposition IV 2.8.3, implies that  $\mathcal{G}_i \cap f^{-1}(0)$  is nowhere dense in  $\mathcal{G}_i$ , so  $\mathcal{G}_i \setminus f^{-1}(0) = \mathcal{G}_i \setminus (\mathcal{G}_i \cap f^{-1}(0))$  is dense in  $\mathcal{G}_i$ .  $\square$

LEMMA 2.4. — Let  $\mathcal{G}_1, \dots, \mathcal{G}_p$  be defined as in Lemma 2.3. Let  $\mathcal{G}_i \setminus r^{-1}(0) = \bigcup A_{i,k}$  be a decomposition into finitely many disjoint analytic submanifolds. Then for each  $i, k$  the restriction of  $r$  to the set  $A_{i,k}$  has no critical points in some neighbourhood of the origin.

*Proof.* — Fix  $i, k$  and assume that the set of critical points of  $r|_{A_{i,k}}$  is nonempty. Then it is analytically constructible. According to the curve selection lemma there is a curve  $\gamma$  such that  $\gamma(0) = 0$  and  $\gamma \setminus \{0\}$  is contained in the set of critical points of  $r|_{A_{i,k}}$ . Then the function  $r|_{A_{i,k}} \circ \gamma$  is constant. We have  $r(\gamma(0)) = r(0) = 0$ , so  $r|_{A_{i,k}} \circ \gamma \equiv 0$ . But it contradicts  $\gamma \cap r^{-1}(0) = \emptyset$ . So the set of critical points of  $r|_{A_{i,k}}$  is empty.  $\square$

We will say that an analytic set has a *Whitney stratification*, if it has such a stratification whose every two strata satisfy Whitney conditions **a** and **b**.

THEOREM 2.5 (see e.g. [18] Theorem 19.2, [1] Theorem 9.7.11). *Any analytic set has a Whitney stratification. Any stratification  $(E_i)_{i \in I}$  of this set has a Whitney refinement, i.e. there exists a Whitney stratification  $(F_j)_{j \in J}$  such that each stratum  $E_i$  is a union of some strata of  $(F_j)_{j \in J}$ .*

LEMMA 2.6. —  $\mathcal{G}' \cap f^{-1}(0) \setminus r^{-1}(0) = \emptyset$ .

*Proof.* — Fix  $i \in \{1, \dots, p\}$ . We will show that

$$\mathcal{G}_i \cap f^{-1}(0) \setminus r^{-1}(0) = \emptyset.$$

The set  $\mathcal{G}_i$  admits a Whitney stratification such that  $\mathcal{G}_i \cap f^{-1}(0)$ , as well as  $\mathcal{G}_i \setminus r^{-1}(0)$  is a union of strata. According to Lemma 2.4 the restriction  $r|_{A_{i,k}}$  is a submersion for each  $k$ .

Assume that  $z_0 \in \mathcal{G}_i \cap f^{-1}(0) \setminus r^{-1}(0)$ . Let  $A$  be the stratum such that  $z_0 \in A$  and let  $\bigcup_j B_j$  be the union of all strata  $B_j \subset \mathcal{G}_i \setminus f^{-1}(0)$  such that  $A \subset \overline{B_j}$ . According to Lemma 2.3 there is at least one nonempty stratum satisfying this condition. Denote  $Z = A \cup \bigcup_j B_j$ .

We will show, that  $z_0$  is not isolated in  $\bigcup_j B_j \cap r^{-1}(r(z_0))$ , using the following Thom-Mather theorem:

**THEOREM 2.7** ([16] Theorem 4.3.1). — *Let  $X = \bigcup X_\alpha$  be an analytic space admitting a Whitney stratification. For each  $x \in X_\alpha$ , each local embedding  $X \subset \mathbb{C}^n$  in a neighbourhood of  $x$ , and each local retraction  $\rho : \mathbb{C}^n \rightarrow X_\alpha$  there exist an open neighbourhood  $U$  of  $x$  in  $\mathbb{C}^n$  and a homeomorphism compatible with  $\rho$  such that, denoting  $V = U \cap X_\alpha$  and  $\Pi_2 : (\rho^{-1}(x) \cap X \cap U) \times V \rightarrow V$  — the projection on the second variable, we have*

$$\begin{array}{ccc} X \cap U & \simeq & (\rho^{-1}(x) \cap X \cap U) \times V \\ \rho|_{X \cap U} \searrow & & \swarrow \Pi_2 \\ & & V \end{array}$$

inducing for each  $\overline{X_\beta}$  containing  $X_\alpha$  the analogous homeomorphism

$$\begin{array}{ccc} \overline{X_\beta} \cap U & \simeq & (\rho^{-1}(x) \cap \overline{X_\beta} \cap U) \times V \\ \rho|_{\overline{X_\beta} \cap U} \searrow & & \swarrow \Pi_2 \\ & & V \end{array} .$$

The set  $Z$  satisfies the assumptions of the theorem. Fix  $B_j \neq \emptyset$  and denote  $k = \dim_{\mathbb{C}} A$ . Since  $\tilde{r} := r|_A$  has no critical points, there exist  $r_2, \dots, r_k : \mathbb{C}^n \rightarrow \mathbb{C}$  defined in some neighbourhood of  $z_0$  such that, denoting  $\tilde{r}_i = r_i|_A$ ,  $d\tilde{r}(z_0), d\tilde{r}_2(z_0), \dots, d\tilde{r}_k(z_0)$  are linearly independent. Take  $R = (r, r_2, \dots, r_k) : \mathbb{C}^n \rightarrow \mathbb{C}^k$ .  $A$  is transversal to  $R^{-1}(R(z_0))$  and crosses it at  $z_0$ . Denote  $\tilde{R} = R|_A$ , then  $\text{rank } D\tilde{R}(z_0) = k$ . So  $\tilde{R} : (A, z_0) \rightarrow (\mathbb{C}^k, R(z_0))$  is an analytic diffeomorphism. Denote by  $S : (\mathbb{C}^k, R(z_0)) \rightarrow (A, z_0)$  the inverse of  $\tilde{R}$ .

Let define a local retraction  $\rho : \mathbb{C}^n \rightarrow A$ ,  $\rho(z) = (S \circ R)(z)$ . According to Theorem 2.7 there exist a neighbourhood  $U$  of  $z_0$  and a homeomorphism  $h$  such that, for  $V = U \cap A$

$$\begin{array}{ccc} \overline{B_j} \cap U & \xrightarrow{h} & (\rho^{-1}(z_0) \cap \overline{B_j} \cap U) \times V \\ \rho|_{\overline{B_j} \cap U} \searrow & & \swarrow \Pi_2 \\ & & V \end{array} .$$

We have  $(\rho^{-1}(z_0) \cap \overline{B_j} \cap U) \times V = (R^{-1}(R(z_0)) \cap \overline{B_j} \cap U) \times V \subset (r^{-1}(r(z_0)) \cap \overline{B_j} \cap U) \times V$ . Since  $A \subset \overline{B_j}$ , there exist a sequence  $(z_n) \subset B_j$



such that  $z_n \rightarrow z_0$ . Let  $(y_n) \subset (R^{-1}(R(z_0)) \cap \overline{B_j} \cap U)$  be such that  $z_n = h^{-1}(y_n, \rho(z_n))$ . Then  $y_n \rightarrow z_0$  and  $(y_n) \subset r^{-1}(r(z_0))$ .

Hence  $z_0$  is not isolated in  $\bigcup_j B_j \cap r^{-1}(r(z_0))$ , so by the curve selection lemma there is a curve  $\gamma$  such that  $\gamma(0) = z_0$  and  $\gamma \setminus \{z_0\} \subset \bigcup_j B_j \cap r^{-1}(r(z_0))$ .

Because  $\gamma \subset \mathcal{G}_i \subset \mathcal{G}$  and  $r|_{A_{i,k}}$  are submersions, we can deduce as above, using arguments from the proof of Lemma 2.1, that  $f$  is constant along  $\gamma$  and  $f(\gamma(0)) = f(z_0) = 0$ , so  $f \equiv 0$  along  $\gamma$ . But  $\gamma \setminus \{z_0\} \subset \mathcal{G}_i \setminus f^{-1}(0)$ , a contradiction. Then  $\mathcal{G}' \cap f^{-1}(0) \setminus r^{-1}(0) = \emptyset$ . □

Hence we obtain

COROLLARY 2.8. —  $\mathcal{G}' \cap f^{-1}(0) = \mathcal{G}' \cap r^{-1}(0)$ .

### 3. Properties of Noetherian families.

Assume that  $\Omega \subset \mathbb{R}^n$  is a semianalytic compact subset and denote by  $\mathcal{A}(\Omega)$  the algebra of real analytic functions defined in a neighbourhood of  $\Omega$ . We can treat  $\mathbb{R}^n$  as a subspace of  $\mathbb{C}^n$ , so  $\Omega \subset \mathbb{C}^n$  and we denote by  $\mathcal{H}(\Omega)$  the algebra of complex analytic functions defined in a neighbourhood of  $\Omega$ .

El Khadiri and Tougeron have proven (see [5]), that if  $\mathcal{O}(\Omega) = \mathcal{A}(\Omega)$  or  $\mathcal{H}(\Omega)$ , then  $\mathcal{O}(\Omega)$  is an  $\Omega$ -Noetherian algebra, so  $\Omega$  is a Noetherian space with the topology induced from  $SM(\mathcal{O}(\Omega))$  (by identifying  $\omega \in \Omega$  with the ideal  $p_\omega = \{f \in \mathcal{O}(\Omega) \mid f(\omega) = 0\}$ ,  $\{\bigcap_{f \in B} f^{-1}(0) \cap \Omega\}_{B \subset \mathcal{O}(\Omega)}$  is the family of closed sets in  $\Omega$ ), and the pair  $(\mathcal{O}(\Omega), \Omega)$  satisfies conditions (a) and (b) from the section 1. Notice that since  $\Omega$  is a Noetherian space, for every closed (with respect to the topology induced by the topology on the maximal spectrum) subset  $D$  of  $\Omega$  there exist  $f_1, \dots, f_p \in \mathcal{O}(\Omega)$  such that  $D = \bigcap_{i=1}^p f_i^{-1}(0) \cap \Omega$ , so  $D$  is an intersection of  $\Omega$  and an analytic set.

The result of Frisch [7] says, that  $\mathcal{A}(\Omega)$  is Noetherian and if  $\Omega$  admits a fundamental system of Stein neighborhoods, then  $\mathcal{H}(\Omega)$  is also Noetherian.

If  $f \in \mathcal{A}(\Omega)$  and  $\omega \in \Omega$ , we denote  $\tilde{f} = \sum_{\alpha} \frac{1}{\alpha!} D^\alpha f x^\alpha$ ,  $\tilde{f}_\omega = \sum_{\alpha} \frac{1}{\alpha!} D^\alpha f(\omega) x^\alpha$ . Of course  $\tilde{f} \in \mathcal{A}(\Omega)_c[[x]]$ .

Define  $\tilde{f}_\omega^C : (\mathbb{C}^n, 0) \rightarrow \mathbb{C}$  as  $\tilde{f}_\omega^C = \sum_{\alpha} \frac{1}{\alpha!} D^\alpha f(\omega) z^\alpha$ , then  $\tilde{f}^C = \sum_{\alpha} \frac{1}{\alpha!} D^\alpha f z^\alpha \in \mathcal{H}(\Omega)_c[[x]]$ .

**THEOREM 3.1.** — *Let  $f \in \mathcal{A}(\Omega)$ . There is  $N_0 > 0$  such that for each  $N \geq N_0$ ,  $\omega \in \Omega$  there exist  $\epsilon_\omega > 0$  and  $c_\omega > 0$  such that if  $\epsilon \in (0; \epsilon_\omega)$  and  $x \in S_\epsilon^{n-1} \setminus \tilde{f}_\omega^{-1}(0)$  is a critical point of  $\tilde{f}_\omega|_{S_\epsilon^{n-1}}$  then*

$$|\tilde{f}_\omega(x)| \geq \frac{1}{c_\omega} \|x\|^{2N}.$$

*Proof.* — Let  $r(z) = z_1^2 + \dots + z_n^2$  for  $z \in \mathbb{C}^n$ . Let define  $M^{ij} = \det \begin{bmatrix} \frac{\partial r}{\partial z_i} & \frac{\partial r}{\partial z_j} \\ \frac{\partial \tilde{f}^C}{\partial z_i} & \frac{\partial \tilde{f}^C}{\partial z_j} \end{bmatrix}$ . Then  $M^{ij} \in \mathcal{H}(\Omega)_c[[x]]$ ,  $M_\omega^{ij}$  are germs of complex analytic functions at the origin. Let  $\mathcal{G}_\omega = V((M_\omega^{ij})_{i < j})$  for  $\omega \in \Omega$ . According to Lemma 2.3 for each  $\omega \in \Omega$  there exist  $p(\omega)$ ,  $l(\omega)$  and a decomposition into irreducible components  $\mathcal{G}_\omega = \mathcal{G}_{1,\omega} \cup \dots \cup \mathcal{G}_{p(\omega),\omega} \cup \dots \cup \mathcal{G}_{l(\omega),\omega}$  such that  $\mathcal{G}'_\omega := \overline{\mathcal{G}_\omega \setminus (f_\omega^C)^{-1}(0)} = \mathcal{G}_{1,\omega} \cup \dots \cup \mathcal{G}_{p(\omega),\omega}$ .

We have  $I(\mathcal{G}_\omega) = I(\mathcal{G}_{1,\omega}) \cap \dots \cap I(\mathcal{G}_{p(\omega),\omega}) \cap \dots \cap I(\mathcal{G}_{l(\omega),\omega})$  and  $I(\mathcal{G}'_\omega) = I(\mathcal{G}_{1,\omega}) \cap \dots \cap I(\mathcal{G}_{p(\omega),\omega})$ . Denote  $J_{j,\omega} = I(\mathcal{G}_{j,\omega})$ .  $\mathcal{G}_{j,\omega}$  are irreducible components of a complex analytic germ  $\mathcal{G}_\omega$ , so  $J_{j,\omega}$  are prime and  $I(\mathcal{G}_\omega) = J_{1,\omega} \cap \dots \cap J_{l(\omega),\omega}$  is a reduced prime decomposition.

Denote by  $\mathcal{J}$  the ideal in  $\mathcal{H}(\Omega)_c[[x]]$  generated by  $M^{ij}$ ,  $i < j$ , so  $\mathcal{J}_\omega = (M_\omega^{ij})_{i < j}$ . Then, by the local Hilbert Nullstellensatz,  $\text{rad}(\mathcal{J}_\omega) = I(\mathcal{G}_\omega)$  and then  $J_{1,\omega}, \dots, J_{l(\omega),\omega}$  are minimal prime ideals associated with the ideal  $\mathcal{J}_\omega$ . According to Theorem 1.4, there exist a change of parametrization  $\phi : (\mathcal{H}(\Omega), \Omega) \rightarrow (A, \Gamma)$ , a finite partition  $(\Gamma_i)_{i \in I}$  of  $\Gamma$ , ideals  $p_1, \dots, p_s$  of  $A_c[[x]]$  and constants  $s_i \leq s$ ,  $i \in I$ , such that for all  $\gamma \in \Gamma_i$ , if  $\omega = \phi_*(\gamma)$  then  $p_{1,\gamma}, \dots, p_{s_i,\gamma}$  are minimal prime ideals associated with  $\mathcal{J}_\omega$ . Because of uniqueness of such ideals, for each  $j \in \{1, \dots, l(\omega)\}$  there exists  $q \in \{1, \dots, s_i\}$  such that  $J_{j,\omega} = p_{q,\gamma}$ .

According to Theorem 1.5 there exist a change of parametrization  $\phi : (A, \Gamma) \rightarrow (\overline{A}, \overline{\Gamma})$  and ideals  $\overline{N}^Q$  of  $\overline{A}_c[[x]]$ ,  $Q \subset \{1, \dots, s\}$ , such that for all  $\overline{\gamma} \in \overline{\Gamma}_i$ , if  $\gamma = \phi_*(\overline{\gamma})$  then  $\overline{N}_{\overline{\gamma}}^Q = \bigcap_{j \in Q} p_{j,\gamma}$ .

A finite union of Noetherian families is a Noetherian family, so let  $\mathcal{K} = (K_{\overline{\gamma}})_{\overline{\gamma} \in \overline{\Gamma}}$  be a Noetherian family containing all families  $(\overline{N}_{\overline{\gamma}}^Q)_{\overline{\gamma} \in \overline{\Gamma}}$ ,  $Q \subset \{1, \dots, s\}$ . Then  $\mathcal{K}$  contains all  $I(\mathcal{G}'_\omega)$  for  $\omega \in \Omega$ . Let  $(M_{\overline{\gamma}})_{\overline{\gamma} \in \overline{\Gamma}}$  denote the Noetherian family  $(\tilde{f}_\omega^C)_{\omega \in \Omega}$  after the change of parametrization  $\phi' : (\mathcal{H}(\Omega), \Omega) \rightarrow (\overline{A}, \overline{\Gamma})$  which is a composition of changes of parametrization. According to Theorem 1.2

$$\exists_{N_0 > 0} \forall_{N \geq N_0} \forall_{\overline{\gamma} \in \overline{\Gamma}} (\text{rad}(K_{\overline{\gamma}} + M_{\overline{\gamma}}))^N \subset (K_{\overline{\gamma}} + M_{\overline{\gamma}}).$$

According to Corollary 2.8, for each  $\omega \in \Omega$  we have  $V(I(\mathcal{G}'_\omega) + (r)) = \mathcal{G}'_\omega \cap r^{-1}(0) = \mathcal{G}'_\omega \cap (\tilde{f}_\omega^C)^{-1}(0) = V(I(\mathcal{G}'_\omega) + (\tilde{f}_\omega^C))$ . By the local Hilbert Nullstellensatz,  $\text{rad}(I(\mathcal{G}'_\omega) + (r)) = \text{rad}(I(\mathcal{G}'_\omega) + (\tilde{f}_\omega^C))$ . For each  $\omega \in \Omega$  there exists  $\bar{\gamma} \in \bar{\Gamma}$  such that  $I(\mathcal{G}'_\omega) = K_{\bar{\gamma}}$ , and then

$$\begin{aligned} (I(\mathcal{G}'_\omega) + (r))^{N_0} &\subset (\text{rad}(I(\mathcal{G}'_\omega) + (r)))^{N_0} = (\text{rad}(I(\mathcal{G}'_\omega) + (\tilde{f}_\omega^C)))^{N_0} \\ &= (\text{rad}(K_{\bar{\gamma}} + M_{\bar{\gamma}}))^{N_0} \subset (K_{\bar{\gamma}} + M_{\bar{\gamma}}) = (I(\mathcal{G}'_\omega) + (\tilde{f}_\omega^C)). \end{aligned}$$

Let  $g_{i,\omega}$  be the generators of  $I(\mathcal{G}'_\omega)$ . Then  $r^{N_0} = a_\omega \tilde{f}_\omega^C + \sum_i c_{i,\omega} g_{i,\omega}$  for some germs of complex analytic functions  $a_\omega, c_{i,\omega}$ .

Let  $0 < \epsilon_\omega \ll 1$  be such that representatives of the germs  $\tilde{f}_\omega^C, a_\omega$  and all  $c_{i,\omega}, g_{i,\omega}$  are defined on  $\{z \in \mathbb{C}^n \mid \|z\| < \epsilon_\omega\}$ . If  $0 < \epsilon < \epsilon_\omega$  and  $x$  is a critical point of  $\tilde{f}_\omega|_{S_\epsilon^{n-1}}$  such that  $x \notin \tilde{f}_\omega^{-1}(0)$  then  $x \in \mathcal{G}'_\omega$  and for each  $i$  we have  $g_{i,\omega}(x) = 0$ . Then  $r^{N_0}(x) = a_\omega(x)\tilde{f}_\omega(x)$ , so

$$\exists_{c_\omega > 0} \forall_{N \geq N_0} r^N(x) \leq r^{N_0}(x) = |a_\omega(x)| |\tilde{f}_\omega(x)| \leq c_\omega |\tilde{f}_\omega(x)|.$$

Thus

$$|\tilde{f}_\omega(x)| \geq \frac{1}{c_\omega} r^N(x) = \frac{1}{c_\omega} \|x\|^{2N}.$$

□

**COROLLARY 3.2.** — *Let  $f \in \mathcal{A}(\Omega)$ . Then there is  $\alpha = 2N_0 + 1$  such that for each  $\omega \in \Omega$  there exists  $0 < \epsilon_\omega \ll 1$  such that if  $0 < \epsilon < \epsilon_\omega$  and  $x \in S_\epsilon^{n-1} \setminus \tilde{f}_\omega^{-1}(0)$  is a critical point of  $\tilde{f}_\omega|_{S_\epsilon^{n-1}}$  then*

$$|\tilde{f}_\omega(x)| \geq \|x\|^\alpha.$$

#### 4. Families of germs of real analytic functions.

Let  $\mathbf{k} = \mathbb{R}$  or  $\mathbf{k} = \mathbb{C}$  and let  $\mathbf{m}$  be the maximal ideal of  $\mathbf{k}[[x]] = \mathbf{k}[[x_1, \dots, x_n]]$ . Let  $\mathcal{F}_p = \bigoplus_p \mathbf{m} \subset \mathbf{k}[[x]]^p$ . If  $g \in \mathcal{F}_p$ , then  $g = (g_1, \dots, g_p)$ , where

$$g_j = \sum_{|\alpha| \geq 1} \frac{a_j^\alpha}{\alpha!} x^\alpha \quad (\text{i.e. } a_j^\alpha = D^\alpha g_j(0)).$$

Let  $\Psi_1, \dots, \Psi_s$  be formal power series in  $x$  with coefficients which depend polynomially on  $a_j^\alpha$ , where  $|\alpha| \geq 1$  and  $1 \leq j \leq p$ . If  $g = (g_1, \dots, g_p) \in \mathcal{F}_p$ , we denote by  $\Psi_{i,g}$  the formal power series obtained by

putting  $a_j^\alpha = D^\alpha g_j(0)$  in  $\Psi_i$ . Let  $I_g$  be the ideal of  $\mathbf{k}[[x]]$  generated by  $\Psi_{1,g}, \dots, \Psi_{s,g}$ .

Denote by  $W_h$  the set  $\{g \in \mathcal{F}_p \mid \dim_{\mathbf{k}}(\mathbf{k}[[x]]/I_g) > h\}$ . Then, by [17], Corollary II.5.2, we have  $W_h = \{g \in \mathcal{F}_p \mid \dim_{\mathbf{k}}(I_g + \mathfrak{m}^{h+1}/\mathfrak{m}^{h+1}) < \binom{n+h}{n} - h\}$ . We consider  $\mathbf{k}[[x]] + \mathfrak{m}^{h+1}/\mathfrak{m}^{h+1}$  which is an affine space of finite dimension. The space  $I_g + \mathfrak{m}^{h+1}/\mathfrak{m}^{h+1}$  is its linear subspace generated by  $x^\alpha \Psi_{i,g}$ , where  $\alpha \in \mathbb{N}^n, 0 \leq |\alpha| \leq h$ . The above description of  $W_h$  involves only finitely many coefficients of the series.

Let  $\Psi_{i,g}^{\alpha,\beta}, |\beta| \leq h, |\alpha| \leq h$  be the coefficients at  $x^\beta$  in the series  $x^\alpha \Psi_{i,g}$ . Then the set  $W_h$  is the set of such  $g \in \mathcal{F}_p$ , for which all the minors of the matrix  $(\Psi_{i,g}^{\alpha,\beta})$  of degree  $\binom{n+h}{n} - h$  vanish ( $(i, \alpha)$  is a row index,  $\beta$  is a column index).

THEOREM 4.1 ([17], Lemma VII.5.3). — *The sets  $W_h$  are algebraic and*

$$\{g \in \mathcal{F}_p \mid \dim_{\mathbf{k}}(\mathbf{k}[[x]]/I_g) < \infty\} = \mathcal{F}_p \setminus \bigcap_{h=0}^{\infty} W_h.$$

We will say that a germ of an analytic mapping  $F = (F^1, \dots, F^n) : (\mathbb{R}^n, 0) \rightarrow (\mathbb{R}^n, 0)$  has an algebraically isolated zero at the origin if  $\dim_{\mathbb{R}} \mathbb{R}[[x]]/(P_1, \dots, P_n) < \infty$ , where  $P_i = \sum_{\alpha} \frac{1}{\alpha!} D^\alpha F^i(0)x^\alpha$ . If  $0 \in \mathbb{C}^n$  is isolated in the inverse image of 0 for the complexification of  $F$  then the origin is an algebraically isolated zero of  $F$ .

By  $\deg_0 F$  we denote the local topological degree at the origin of the mapping  $F$  which has an isolated zero at the origin.

Recall that a closed subset of  $\Omega$  has to be understood with respect to the topology induced from  $SM(\mathcal{A}(\Omega))$ . We will say that a closed subset of  $\Omega$  is irreducible if it is not a union of two its proper closed subsets. Every closed subset  $D$  of a Noetherian space  $\Omega$  has a decomposition into finitely many irreducible components, i.e.  $D = \bigcup_{i=1}^k D_i$ , where every  $D_i$  is a closed irreducible subset of  $D$  and  $D_i \not\subset \bigcup_{j \neq i} D_j$ .

Let  $D \subset \Omega$  be a closed subset. Denote  $J = \{f \in \mathcal{A}(\Omega) \mid f|_D \equiv 0\}$ , and define

$$\mathcal{A}(D) := \mathcal{A}(\Omega)/J.$$

If  $D$  is irreducible then  $J$  is a prime ideal and  $\mathcal{A}(D)$  is an integral domain.

Denote by  $\mathcal{S}_n(D)$  the set of families  $\{F_\omega = (F_\omega^1, \dots, F_\omega^n) : (\mathbb{R}^n, 0) \rightarrow$

$(\mathbb{R}^n, 0)\}_{\omega \in D}$  of analytic germs at the origin such that

$$\forall_{1 \leq i \leq n} \exists_{f_i \in \mathcal{A}(\Omega)_c[[x]]} \forall_{\omega \in D} F_\omega^i(x) = f_i(\omega, x).$$

In particular if

$$\forall_{1 \leq i \leq n} \exists_{h_i \in \mathcal{A}(\Omega)} \forall_{\omega \in D} F_\omega^i(x) = h_i(x + \omega),$$

then  $\{F_\omega\}_{\omega \in D} \in \mathcal{S}_n(D)$ .

LEMMA 4.2. — *Assume that a closed subset  $D \subset \Omega$  is irreducible,  $\{F_\omega\}_{\omega \in D} \in \mathcal{S}_n(D)$  and  $0 \in \mathbb{R}^n$  is isolated in  $F_\omega^{-1}(0)$  for all  $\omega \in D$ . Then there exist a proper closed subset  $\Sigma \subset D$ , and a family  $\{G_\omega\}_{\omega \in D} \in \mathcal{S}_n(D)$  such that*

- (i)  $\forall_{\omega \in D \setminus \Sigma} G_\omega$  has an algebraically isolated zero at the origin,
- (ii)  $\forall_{\omega \in D} \deg_0 F_\omega = \deg_0 G_\omega$ .

*Proof.* — For  $\omega \in D$  we define the germ  $G_\omega$ :

$$G_\omega(x) = F_\omega(x) + a(x_1^k, \dots, x_n^k),$$

where  $k$  is a positive integer,  $a \neq 0$ . We have  $G_\omega^i(x) = f_i(\omega, x) + ax_i^k$ , so  $G_\omega^i$  is a real analytic germ. Let  $c_{i\alpha} \in \mathcal{A}(D)$  be residue classes of  $\frac{1}{\alpha!} D^\alpha G_\omega^i(0) \in \mathcal{A}(\Omega)$ , and let associate with  $G_\omega^i$  the formal power series

$$P_i(\omega, x) = \sum_{\alpha} c_{i\alpha}(\omega)x^\alpha \in \mathcal{A}(D)_c[[x]].$$

According to Theorem 4.1 the set  $\{\omega \in D \mid \dim_{\mathbb{R}}(\mathbb{R}[[x]]/(P_1(\omega, \cdot), \dots, P_n(\omega, \cdot))) < \infty\} = D \setminus \bigcap_{h=0}^{\infty} \Sigma_h$ , where  $\Sigma_h = \{\omega \in D \mid \dim_{\mathbb{R}}(\mathbb{R}[[x]]/(P_1(\omega, \cdot), \dots, P_n(\omega, \cdot))) > h\}$  are closed in  $D$ . Indeed,  $\Sigma_h$  is the intersection of the zero sets of some compositions of  $c_{i\alpha}$  and polynomials. So  $\Sigma = \bigcap_{h=0}^{\infty} \Sigma_h$  is a closed subset of  $D$  such that the origin is algebraically isolated in  $G_\omega^{-1}(0) \subset \mathbb{R}^n$  for  $\omega \in D \setminus \Sigma$ .

Using arguments similar as in the proof of [15], Lemma 1.3, we can show, that  $\Sigma$  is a proper subset of  $D$ . We have

$$P_i(\omega, x) = G_\omega^i(x) = F_\omega^i(x) + ax_i^k = f_i(\omega, x) + ax_i^k$$

for  $x$  sufficiently close to the origin. Fix  $\omega_0 \in D$ . The set

$$A = \{a \in \mathbb{R} \setminus \{0\} \mid \dim_{\mathbb{R}}(\mathbb{R}[[x]]/(f_1(\omega_0, x) + ax_1^k, \dots, f_n(\omega_0, x) + ax_n^k)) > h\}$$

is finite for  $h$  sufficiently large.

Indeed, denote  $H_a^i(x) = af_i(\omega_0, x) + x_i^k$  for  $a \in \mathbb{R}$ . Then  $H_0^i = x_i^k$  and we have  $\dim_{\mathbb{R}}(\mathbb{R}[[x]]/(x_1^k, \dots, x_n^k)) = k^n$ . Then according to Theorem 4.1 the set

$$A' = \{a \in \mathbb{R} \mid \dim_{\mathbb{R}}(\mathbb{R}[[x]]/(H_a^1, \dots, H_a^n)) > h\}$$

is algebraic and  $0 \notin A'$  for  $h > k^n$ , so  $A'$  is finite for  $h > k^n$ . If  $a \neq 0$  then we have  $H_{\frac{1}{a}}^i(x) = \frac{1}{a}P_i(\omega_0, x)$ , so  $A$  is also finite for  $h > k^n$ .

Take  $a \notin A$  in the definition of  $G_\omega$ , then

$$\omega_0 \notin \Sigma_h = \{\omega \in D \mid \dim_{\mathbb{R}}(\mathbb{R}[[x]]/(P_1(\omega, \cdot), \dots, P_n(\omega, \cdot))) > h\},$$

so  $\Sigma_h \neq D$  for  $h$  sufficiently large and  $\Sigma$  is a proper subset of  $D$ .

Let  $I_\omega \subset \mathbb{R}\{x\}$  be the ideal generated by germs  $F_\omega^1, \dots, F_\omega^n$ . Theorem 1.3 implies that the Łojasiewicz exponent of  $I_\omega$  is bounded:

$$\exists_M \forall_{\omega \in D} \alpha_\omega = \inf\{\alpha \mid \exists_{c>0} \sum_{i=1}^n |F_\omega^i(x)| \geq cd(x, V_0(I_\omega))^\alpha\} \leq M.$$

The origin is isolated in the zero set of  $F_\omega$ , so

$$\exists_M \forall_{\omega \in D} \exists_{c_\omega > 0} \sum_{i=1}^n |F_\omega^i(x)| \geq c_\omega d(x, V_0(I_\omega))^{\alpha_\omega} = c_\omega d(x, \{0\})^{\alpha_\omega} \geq c_\omega \|x\|^M$$

for  $x$  near 0.

Hence if we take  $k > M$  in the definition of  $G_\omega$  then there exists  $c_\omega > 0$  such that

$$\begin{aligned} \|tG_\omega(x) + (1-t)F_\omega(x)\| &= \|F_\omega(x) + at(x_1^k, \dots, x_n^k)\| \\ &\geq c_\omega \|x\|^M - at\|(x_1^k, \dots, x_n^k)\| \geq \frac{c_\omega}{2} \|x\|^M, \end{aligned}$$

where  $0 \leq t \leq 1$ ,  $x$  near 0 (see [12]).

Then  $\deg_0 F_\omega = \deg_0 G_\omega$ . □

LEMMA 4.3. — *Under the assumptions of Lemma 4.2 there exist  $q_1, \dots, q_t \in \mathcal{A}(\Omega)$  and a proper closed subset  $\Sigma \subset D$  such that for  $\omega \in D \setminus \Sigma$*

$$\deg_0 F_\omega = \operatorname{sgn} q_1(\omega) + \dots + \operatorname{sgn} q_t(\omega).$$

*Proof.* — According to Lemma 4.2 we can assume that  $\{F_\omega\}_{\omega \in D}$  is a family in  $\mathcal{S}_n(D)$  for which there exists a proper closed subset  $\Sigma' \subset D$  such that  $F_\omega$  has an algebraically isolated zero at the origin for  $\omega \in D \setminus \Sigma'$ .

Taking  $\mathcal{A} = \mathcal{A}(D)$  (an integral domain) we can follow the arguments of [12], Lemma 3.3 (in particular studying  $\deg_0 F_\omega$  in the context of

Eisenbud and Levine Theorem). They imply that there exist a proper closed subset  $\Sigma \subset D$  such that  $\Sigma' \subset \Sigma$ , and a symmetric matrix  $T$  whose entries belong to  $\mathcal{A}(D)$  such that for every  $\omega \in D \setminus \Sigma$  the matrix  $T(\omega)$  is non-degenerate and  $\deg_0 F_\omega = \text{signature } T(\omega)$ . Let  $\tilde{q}_1, \dots, \tilde{q}_t \in \mathcal{A}(D)$  be the elements of the diagonal of  $T$  after making  $T$  diagonal by a change of variables over the rational fractions on  $\mathcal{A}(D)$  and multiplying by the squares of the denominators of the entries. Then, if we enlarge  $\Sigma$  in such a way, that the zeros of the denominators belong to  $\Sigma$ , and take  $q_i \in \mathcal{A}(\Omega)$  such that  $\tilde{q}_i$  is the residue class of  $q_i$ ,  $i = 1, \dots, t$ , we have

$$\deg_0 F_\omega = \text{sgn } q_1(\omega) + \dots + \text{sgn } q_t(\omega)$$

for  $\omega \in D \setminus \Sigma$ . □

LEMMA 4.4. — Assume that  $\tilde{\Omega} \subset \Omega$  is a closed subset and  $0 \in \mathbb{R}^n$  is isolated in  $F_\omega^{-1}(0)$  for  $\omega \in \tilde{\Omega}$ . Then there exist  $v_1, \dots, v_s \in \mathcal{A}(\Omega)$  and a proper closed subset  $\Sigma \subset \tilde{\Omega}$  such that for  $\omega \in \tilde{\Omega} \setminus \Sigma$  we have

$$\deg_0 F_\omega = \text{sgn } v_1(\omega) + \dots + \text{sgn } v_s(\omega).$$

*Proof.* — Induction on the number of irreducible components of  $\tilde{\Omega}$ .

If  $\tilde{\Omega}$  is irreducible then Lemma 4.3 implies the result.

Assume that  $\tilde{\Omega} = D_1 \cup D_2 \cup \dots \cup D_m$  is a decomposition of  $\tilde{\Omega}$  into irreducible components. Denote  $\Omega' = D_2 \cup \dots \cup D_m$ . Let  $h_1 \in \mathcal{A}(\Omega)$ ,  $h_2 \in \mathcal{A}(\Omega)$  be non-negative and such that

$$h_1 \equiv 0 \text{ on } D_1, \quad h_1 \not\equiv 0 \text{ on } \Omega',$$

$$h_2 \equiv 0 \text{ on } \Omega', \quad h_2 \not\equiv 0 \text{ on } D_1.$$

According to Lemma 4.3 and the inductive assumption, there exist  $q_1, \dots, q_t, p_1, \dots, p_{t'} \in \mathcal{A}(\Omega)$  and proper closed subsets  $\Sigma_1 \subset D_1$ ,  $\Sigma_2 \subset \Omega'$  such that for  $\omega \in D_1 \setminus \Sigma_1$  we have

$$\deg_0 F_\omega = \text{sgn } q_1(\omega) + \dots + \text{sgn } q_t(\omega)$$

and for  $\omega \in \Omega' \setminus \Sigma_2$  we have

$$\deg_0 F_\omega = \text{sgn } p_1(\omega) + \dots + \text{sgn } p_{t'}(\omega).$$

Let  $\Sigma = \Sigma_1 \cup \Sigma_2 \cup (h_1^{-1}(0) \cap \Omega') \cup (h_2^{-1}(0) \cap D_1)$ , then

$$\deg_0 F_\omega = \sum_{i=1}^t \text{sgn } h_2(\omega) q_i(\omega) + \sum_{j=1}^{t'} \text{sgn } h_1(\omega) p_j(\omega)$$

for  $\omega \in \tilde{\Omega} \setminus \Sigma$ . We take  $s = t + t'$ ,  $v_i(\omega) = h_2(\omega)q_i(\omega)$  for  $i = 1, \dots, t$  and  $v_i(\omega) = h_1(\omega)p_{i-t}(\omega)$  for  $i = t + 1, \dots, s$ . □

We will use below the following fact (see [12]):

Let  $h \in \mathcal{A}(\Omega)$  be non-negative and such that  $h^{-1}(0) \cap \Omega = \Sigma$  (such  $h$  exists because  $\Omega$  is a Noetherian space). Then

$$\sum \operatorname{sgn} h(\omega)v_i(\omega) = \sum \operatorname{sgn} v_i(\omega)$$

for  $\omega \in \Omega \setminus \Sigma$  and

$$\sum \operatorname{sgn} h(\omega)v_i(\omega) = 0$$

for  $\omega \in \Sigma$ .

Similarly, let  $p_1, \dots, p_r \in \mathcal{A}(\Omega)$ , then

$$\sum \operatorname{sgn} p_j(\omega) + \sum \operatorname{sgn}(-h(\omega)p_j(\omega)) = 0$$

for  $\omega \in \Omega \setminus \Sigma$  and

$$\sum \operatorname{sgn} p_j(\omega) + \sum \operatorname{sgn}(-h(\omega)p_j(\omega)) = \sum \operatorname{sgn} p_j(\omega)$$

for  $\omega \in \Sigma$ .

So we have

$$\begin{aligned} & \sum \operatorname{sgn} h(\omega)v_i(\omega) + \sum \operatorname{sgn} p_j(\omega) + \sum \operatorname{sgn}(-h(\omega)p_j(\omega)) \\ &= \begin{cases} \sum \operatorname{sgn} v_i(\omega), & \omega \in \Omega \setminus \Sigma \\ \sum \operatorname{sgn} p_j(\omega), & \omega \in \Sigma. \end{cases} \end{aligned}$$

**THEOREM 4.5.** — *Let  $\{F_\omega\}_{\omega \in \Omega} \in \mathcal{S}_n(\Omega)$  and let  $0 \in \mathbb{R}^n$  be isolated in  $F_\omega^{-1}(0)$  for each  $\omega \in \Omega$ . Then there exist  $v_1, \dots, v_s \in \mathcal{A}(\Omega)$  such that for  $\omega \in \Omega$*

$$\operatorname{deg}_0 F_\omega = \operatorname{sgn} v_1(\omega) + \dots + \operatorname{sgn} v_s(\omega).$$

*Proof.* — According to Lemma 4.4 there exist a proper closed subset  $\Sigma_1 \subset \Omega$  and  $u_1, \dots, u_{s(1)} \in \mathcal{A}(\Omega)$  such that for  $\omega \in \Omega \setminus \Sigma_1$

$$\operatorname{deg}_0 F_\omega = \operatorname{sgn} u_1(\omega) + \dots + \operatorname{sgn} u_{s(1)}(\omega).$$

Let  $\Omega_1 = \Sigma_1$ ; using Lemma 4.4 again, we obtain  $\Sigma_2 \subset \Sigma_1$  and  $w_1, \dots, w_{s(2)} \in \mathcal{A}(\Omega)$  such that for  $\omega \in \Omega_1 \setminus \Sigma_2$

$$\operatorname{deg}_0 F_\omega = \operatorname{sgn} w_1(\omega) + \dots + \operatorname{sgn} w_{s(2)}(\omega).$$

Continuing this construction we obtain a descending family of proper closed subsets

$$\Omega \supset \Sigma_1 \supset \Sigma_2 \supset \dots$$



$\Omega$  is a Noetherian space, so this family has to be finite and for some positive integer  $k$  we have  $\Sigma_k = \emptyset$ .

Now we apply the above fact and the proof is complete. □

Let us recall that if  $f \in \mathcal{A}(\Omega)$  then we denote  $\tilde{f} = \sum_{\alpha} \frac{1}{\alpha!} D^{\alpha} f x^{\alpha} \in \mathcal{A}(\Omega)_c[[x]]$ , and if  $h = \sum_{\alpha} h_{\alpha} x^{\alpha} \in \mathcal{A}(\Omega)[[x]]$  then we denote  $h_{\omega} = \sum_{\alpha} h_{\alpha}(\omega) x^{\alpha}$ .

Let  $\mathcal{F} \subset \mathcal{A}(\Omega)$ . For each  $\omega \in \Omega$  let  $I_{\omega} \subset \mathbb{R}\{x\} = \mathbb{R}\{x_1, \dots, x_n\}$  denote the ideal generated by  $\{\tilde{f}_{\omega} \mid f \in \mathcal{F}\}$ , and let  $X_{\omega}$  denote a representative of  $V_0(I_{\omega})$ . We will show, that there exist  $v_1, v_2, \dots, v_s \in \mathcal{A}(\Omega)$  such that

$$\forall \omega \in \Omega \exists_{0 < \epsilon_{\omega} \ll 1} \forall_{0 < \epsilon < \epsilon_{\omega}} \frac{1}{2} \chi(S_{\epsilon}^{n-1} \cap X_{\omega}) = \sum_{i=1}^s \operatorname{sgn} v_i(\omega),$$

where  $S_{\epsilon}^{n-1} = \{x \in \mathbb{R}^n \mid \|x\| = \epsilon\}$  and  $\chi(A)$  is the Euler characteristic of the set  $A$ .

LEMMA 4.6. — *There exist  $h_1, h_2, \dots, h_q \in \mathcal{A}(\Omega)_c[[x]]$  such that for  $\omega \in \Omega$*

$$X_{\omega} = V_0(h_{1,\omega}, \dots, h_{q,\omega}).$$

*Proof.* — Denote by  $I$  the ideal in  $\mathcal{A}(\Omega)_c[[x]]$  generated by the set  $\{\tilde{h} \mid h \in \mathcal{F}\}$ . Theorem 1.1 implies that there is an ideal  $I' = (h_1, \dots, h_q) \subset \mathcal{A}(\Omega)_c[[x]]$  generated by finitely many elements such that

$$\forall \omega \in \Omega I_{\omega} = I'_{\omega},$$

where  $I'_{\omega} = (h_{1,\omega}, \dots, h_{q,\omega})$ . We have

$$X_{\omega} = V_0(I_{\omega}) = V_0(I'_{\omega}) = V_0(h_{1,\omega}, \dots, h_{q,\omega}).$$

□

*Remark.* — Since  $\mathcal{A}(\Omega)$  is Noetherian, this lemma is clear for  $\mathcal{A}(\Omega)$ , but it is valid for any  $\Omega$ -Noetherian algebra instead of  $\mathcal{A}(\Omega)$ .

COROLLARY 4.7. — *There exists  $h = h_1^2 + \dots + h_q^2 \in \mathcal{A}(\Omega)_c[[x]]$  such that  $X_{\omega} = V_0(h_{\omega})$  for each  $\omega \in \Omega$ .*

Now we will show that for any  $h \in \mathcal{A}(\Omega)_c[[x]]$  such that  $h(0) = 0$  there exists such  $k > 0$  that for all  $\omega \in \Omega$  there exists  $\epsilon_{\omega} > 0$  such that

$$g_{\omega}(x) = h_{\omega}(x) - (x_1^2 + \dots + x_n^2)^k$$

has an isolated critical point at the origin and for  $0 < \epsilon < \epsilon_\omega$

$$\chi(S_\epsilon^{n-1} \cap \{h_\omega \leq 0\}) = 1 - \text{deg}_0 \nabla g_\omega,$$

where  $\nabla g_\omega = \left(\frac{\partial g_\omega}{\partial x_1}, \dots, \frac{\partial g_\omega}{\partial x_n}\right) : (\mathbb{R}^n, 0) \longrightarrow (\mathbb{R}^n, 0)$ .

We will strictly follow the proof of [14], Theorem 1.

Denote  $r(x) = x_1^2 + \dots + x_n^2$ . Assume that  $h_\omega, r$  are the representatives of germs defined on an open neighbourhood  $U$  of the origin. Define

$$V_\omega = \{(x, \epsilon, y) \in U \times \mathbb{R} \times \mathbb{R} \mid r(x) = \epsilon^2, \text{rank}(d r(x), d h_\omega(x)) \leq 1, y = h_\omega(x)\}.$$

Let  $\pi : \mathbb{R}^n \times \mathbb{R} \times \mathbb{R} \longrightarrow \mathbb{R} \times \mathbb{R}$  be the projection.  $V_\omega$  is an analytic set and  $\pi : V_\omega \longrightarrow \pi(V_\omega)$  is proper in some neighbourhood of the origin. Hence  $\pi(V_\omega)$  is closed and subanalytic in some neighbourhood of the origin.

Denote  $Y_1 = \mathbb{R} \times \{0\}$ ,  $Y_2^\omega = \overline{\pi(V_\omega) \setminus Y_1}$ . Then  $Y_2^\omega$  is subanalytic. If  $\epsilon \neq 0$  then

$$\pi(V_\omega) \cap \{\epsilon\} \times \mathbb{R} = \{\epsilon\} \times \{\text{the set of critical values of } h_\omega|_{S_\epsilon^{n-1}}\}.$$

Since  $h_\omega$  is analytic,  $\pi(V_\omega) \cap \{\epsilon\} \times \mathbb{R}$  is finite. Hence  $\dim \pi(V_\omega) = \dim Y_2^\omega = 1$ , and then 0 is an isolated point of  $Y_1 \cap Y_2^\omega$ .

According to Corollary 3.2. there exists a constant  $\alpha > 0$  such that for  $\omega \in \Omega$

$$|y| = |h_\omega(x)| \geq \|x\|^\alpha = \epsilon^\alpha$$

for each  $(\epsilon, y) \in Y_2^\omega$  such that  $\epsilon < \epsilon_\omega$  and  $y$  is sufficiently close to the origin. Let  $k > \alpha$  be an integer. Define  $g_\omega(x) = h_\omega(x) - r^k(x)$ .

Set

$$V'_\omega = \{(x, \epsilon, y) \in U \times \mathbb{R} \times \mathbb{R} \mid r(x) = \epsilon^2, \text{rank}(d r(x), d g_\omega(x)) \leq 1, y = g_\omega(x)\}.$$

Because  $\text{rank}(d r(x), d g_\omega(x)) = \text{rank}(d r(x), d h_\omega(x))$ ,

$$V'_\omega = \{(x, \epsilon, y) \in U \times \mathbb{R} \times \mathbb{R} \mid r(x) = \epsilon^2, \text{rank}(d r(x), d h_\omega(x)) \leq 1, y = h_\omega(x) - \epsilon^{2k}\}.$$

Define  $G(\epsilon, y) = (\epsilon, y - \epsilon^{2k})$ . Then  $\pi(V'_\omega) = G(\pi(V_\omega))$ , so we have

$$\pi(V'_\omega) \cap \mathbb{R} \times \{0\} = \{(0, 0)\}$$

in some neighbourhood of the origin. Hence, if  $\epsilon \neq 0$  is sufficiently close to the origin, 0 is a regular value of  $g_\omega|_{S_\epsilon^{n-1}}$  and then  $g_\omega$  has an isolated critical point at the origin.

According to [14], Lemma 1, we have

$$\chi(S_\epsilon^{n-1} \cap \{h_\omega \leq 0\}) = 1 - \text{deg}_0 \nabla g_\omega.$$

Hence, applying Theorem 4.5 and the fact, that for  $\omega \in \Omega$  and sufficiently small  $\epsilon > 0$  if  $h(0) \neq 0$  then  $\chi(S_\epsilon^{n-1} \cap \{h_\omega \leq 0\})$  is equal to 0 or 2, we obtain:

**THEOREM 4.8.** — *If  $f \in \mathcal{A}(\Omega)$  then there exist  $v_1, v_2, \dots, v_s \in \mathcal{A}(\Omega)$  such that for  $\omega \in \Omega$  there exists  $0 < \epsilon_\omega \ll 1$  such that for  $0 < \epsilon < \epsilon_\omega$*

$$\chi(S_{\omega,\epsilon}^{n-1} \cap \{f \leq 0\}) = \chi(S_\epsilon^{n-1} \cap \{\tilde{f}_\omega \leq 0\}) = \sum_{i=1}^s \text{sgn } v_i(\omega),$$

where  $S_{\omega,\epsilon}^{n-1}$  denotes a sphere in  $\mathbb{R}^n$  centered at  $\omega$  with the radius  $\epsilon$ .

**LEMMA 4.9.** — *If  $f \in \mathcal{A}(\Omega)$  then there exist  $h_1, h_2, \dots, h_s \in \mathcal{A}(\Omega)$  such that for  $\omega \in \Omega$  there exists  $0 < \epsilon_\omega \ll 1$  such that for  $0 < \epsilon < \epsilon_\omega$*

$$\begin{aligned} & \frac{1}{2}(\chi(S_{\omega,\epsilon}^{n-1} \cap \{f \geq 0\}) \pm \chi(S_{\omega,\epsilon}^{n-1} \cap \{f \leq 0\})) \\ &= \frac{1}{2}(\chi(S_\epsilon^{n-1} \cap \{\tilde{f}_\omega \geq 0\}) \pm \chi(S_\epsilon^{n-1} \cap \{\tilde{f}_\omega \leq 0\})) = \sum_{i=1}^s \text{sgn } h_i(\omega). \end{aligned}$$

*Proof.* — Let define  $g(\omega, t) = tf(\omega)$ , where  $\omega$  belongs to some neighbourhood of  $\Omega$ ,  $t \in [-1; 1]$ . The set  $\Omega \times [-1, 1]$  is compact and semianalytic, so  $g \in \mathcal{A}(\Omega \times [-1, 1])$ .

Then  $g \geq 0$  if  $f \geq 0$  and  $t \geq 0$  or if  $f \leq 0$  and  $t \leq 0$ . Hence for  $t > 0$

$$\chi(S_{\omega,\epsilon}^{n-1} \cap \{f \geq 0\}) = 2 - \chi(S_{(\omega,t),\epsilon}^n \cap \{g \geq 0\})$$

and

$$\chi(S_{\omega,\epsilon}^{n-1} \cap \{f \leq 0\}) = 2 - \chi(S_{(\omega,-t),\epsilon}^n \cap \{g \geq 0\})$$

for  $\epsilon$  sufficiently small.

According to Theorem 4.8 there exist  $g_1, g_2, \dots, g_s$  in  $\mathcal{A}(\Omega \times [-1; 1])$  such that

$$\forall_{(\omega,t) \in \Omega \times [-1;1]} \exists_{0 < \epsilon_{(\omega,t)} \ll 1} \forall_{0 < \epsilon < \epsilon_{(\omega,t)}} \chi(S_{(\omega,t),\epsilon}^n \cap \{g \geq 0\}) = \sum_{i=1}^s \text{sgn } g_i(\omega, t).$$

For  $0 < \epsilon < \epsilon_{(\omega,t)}$  we obtain

$$\begin{aligned} & \frac{1}{2}(\chi(\{f \geq 0\} \cap S_{\omega,\epsilon}^{n-1}) - \chi(\{f \leq 0\} \cap S_{\omega,\epsilon}^{n-1})) \\ &= \frac{1}{2} \lim_{t \rightarrow 0^+} (2 - \chi(S_{(\omega,t),\epsilon}^n \cap \{g \geq 0\}) - 2 + \chi(S_{(\omega,-t),\epsilon}^n \cap \{g \geq 0\})) \\ &= \frac{1}{2} \lim_{t \rightarrow 0^+} (\chi(S_{(\omega,-t),\epsilon}^n \cap \{g \geq 0\}) - \chi(S_{(\omega,t),\epsilon}^n \cap \{g \geq 0\})) \\ &= \frac{1}{2} \lim_{t \rightarrow 0^+} \sum_{i=1}^s (\text{sgn } g_i(\omega, -t) - \text{sgn } g_i(\omega, t)). \end{aligned}$$

Let  $\Omega = D_1 \cup \dots \cup D_m$  be the decomposition into irreducible components. Fix  $j$ . We can assume, that  $g_i \not\equiv 0$  on  $D_j \times [-1; 1]$ . For all  $i = 1, 2, \dots, s$  there exists  $h_i \in \mathcal{A}(\Omega \times [-1; 1])$  and a non-negative integer  $k_i$  such that  $g_i(\omega, t) = t^{k_i} h_i(\omega, t)$ , and  $h_i \not\equiv 0$  on  $D_j \times \{0\}$ . Let  $\Sigma := \{\omega \in D_j \mid \exists_{i=1, \dots, s} h_i(\omega, 0) = 0\}$ , then  $\Sigma$  is proper and closed subset of  $D_j$ . For  $\omega \in D_j \setminus \Sigma$

$$\frac{1}{2} \lim_{t \rightarrow 0^+} \sum_{i=1}^s (\text{sgn } g_i(\omega, -t) - \text{sgn } g_i(\omega, t)) = \sum_{i=1}^s \text{sgn } h'_i(\omega),$$

where  $h'_i(\omega) = -h_i(\omega, 0)$  if  $k_i$  is odd, and  $h'_i(\omega) = 0$  if  $k_i$  is even. Obviously  $h'_i \in \mathcal{A}(\Omega)$ .

In the other hand

$$\begin{aligned} & \frac{1}{2} (\chi(\{f \geq 0\} \cap S_{\omega, \epsilon}^{n-1}) + \chi(\{f \leq 0\} \cap S_{\omega, \epsilon}^{n-1})) \\ &= \frac{1}{2} \lim_{t \rightarrow 0^+} (2 - \chi(S_{(\omega, t), t}^n \cap \{g \geq 0\}) + 2 - \chi(S_{(\omega, -t), t}^n \cap \{g \geq 0\})) \\ &= \frac{1}{2} \lim_{t \rightarrow 0^+} (4 - \chi(S_{(\omega, -t), t}^n \cap \{g \geq 0\}) - \chi(S_{(\omega, t), t}^n \cap \{g \geq 0\})) \\ &= 2 - \frac{1}{2} \lim_{t \rightarrow 0^+} \sum_{i=1}^s (\text{sgn } g_i(\omega, -t) + \text{sgn } g_i(\omega, t)). \end{aligned}$$

As above for  $\omega \in D_j \setminus \Sigma$

$$\frac{1}{2} \lim_{t \rightarrow 0^+} \sum_{i=1}^s (\text{sgn } g_i(\omega, -t) + \text{sgn } g_i(\omega, t)) = \sum_{i=1}^s \text{sgn } h''_i(\omega),$$

where  $h''_i(\omega) = h_i(\omega, 0)$  if  $k_i$  is even, and  $h''_i(\omega) = 0$  if  $k_i$  is odd.

We have proven that  $\frac{1}{2}(\chi(\{f \geq 0\} \cap S_{\omega, \epsilon}^{n-1}) \pm \chi(\{f \leq 0\} \cap S_{\omega, \epsilon}^{n-1}))$  is a sum of signs of analytic functions on  $D_j \setminus \Sigma$ . As in proofs of Lemma 4.4 and Theorem 4.5, proceeding by induction we can complete the proof.  $\square$

**COROLLARY 4.10.** — *If  $f \in \mathcal{A}(\Omega)$  then there exist  $g_1, g_2, \dots, g_q \in \mathcal{A}(\Omega)$  such that for  $\omega \in \Omega$  there exists  $0 < \epsilon_\omega \ll 1$  such that for each  $0 < \epsilon < \epsilon_\omega$*

$$\frac{1}{2} \chi(S_{\omega, \epsilon}^{n-1} \cap V_0(f)) = \frac{1}{2} \chi(S_\epsilon^{n-1} \cap V_0(\tilde{f}_\omega)) = \sum_{i=1}^q \text{sgn } g_i(\omega).$$

*Proof.* — We have

$$\chi(S_\epsilon^{n-1} \cap V_0(\tilde{f}_\omega)) = \chi(S_\epsilon^{n-1} \cap \{\tilde{f}_\omega \leq 0\}) + \chi(S_\epsilon^{n-1} \cap \{\tilde{f}_\omega \geq 0\}) - \chi(S_\epsilon^{n-1}),$$

so according to Lemma 4.9

$$\frac{1}{2}\chi(S_\epsilon^{n-1} \cap V_0(\tilde{f}_\omega)) = \sum_{i=1}^s h_i(\omega) - \frac{1 + (-1)^{n-1}}{2}.$$

□

Corollary 4.7 and Corollary 4.10 imply:

**THEOREM 4.11.** — *There exist  $v_1, v_2, \dots, v_q \in \mathcal{A}(\Omega)$  such that*

$$\forall \omega \in \Omega \exists_{0 < \epsilon_\omega \ll 1} \forall_{0 < \epsilon < \epsilon_\omega} \frac{1}{2}\chi(S_\epsilon^{n-1} \cap X_\omega) = \sum_{i=1}^q \text{sgn } v_i(\omega).$$

*Remark.* — Following the proof of the Lemma 4.9 one can check that this result is true also if instead of  $\mathcal{A}(\Omega)$  we take any  $\Omega$ -Noetherian algebra  $\mathcal{O}(\Omega)$  ( $\Omega$  is a locally closed subset of  $\mathbb{R}^n$ ) such that:

- 1) there exists a subset  $I \subset \mathbb{R}$  containing a neighbourhood of 0 such that  $\mathcal{O}(\Omega \times I)$  is  $\Omega \times I$ -Noetherian and there is a natural inclusion  $\mathcal{O}(\Omega) \subset \mathcal{O}(\Omega \times I)$ .
- 2) For  $g \in \mathcal{O}(\Omega \times I)$  and an irreducible component  $D$  of  $\Omega$  if  $g \not\equiv 0$  on  $D \times I$  then there exist  $h \in \mathcal{O}(\Omega \times I)$  and a non-negative integer  $k$  that  $g(\omega, t) = t^k h(\omega, t)$  for  $\omega \in D$  and  $t$  sufficiently close to 0,  $h(\cdot, 0) \in \mathcal{O}(\Omega)$ , and  $h \not\equiv 0$  on  $D \times \{0\}$ .

The algebra of Nash functions on an open semialgebraic set  $\Omega \subset \mathbb{R}^n$  satisfies these assumptions.

For the algebra  $\mathbb{R}[x][f_1, \dots, f_q]$  defined in the Introduction we can define the algebra  $\mathbb{R}[x, t][F_1, \dots, F_q]$ , where  $F_i : \mathbb{R}^n \times [-1; 1] \rightarrow \mathbb{R}$ ,  $F_i(x, t) = f_i(x)$ . It is  $\mathbb{R}^n \times [-1; 1]$ -Noetherian and  $F_1, \dots, F_q$  do not depend on the last variable, so it has the property 2).

### 5. Sums of signs of real analytic functions.

Let  $Y \subset \mathbb{R}^n$  be a real compact semianalytic set. Suppose that a function  $\phi : Y \rightarrow \mathbb{Z}$  admits a presentation as a finite sum

$$\phi = \sum_i m_i \mathbf{1}_{Y_i},$$

where the  $m_i$ 's are integers, the  $Y_i$ 's are semianalytic subsets of  $Y$  and where  $\mathbf{1}_{Y_i}$  denotes the characteristic function of the subset  $Y_i$ .

We can choose  $Y_i$  such that they are compact semianalytic subsets of  $Y$ . Following [9] and [2] we define the Euler integral, the link of  $\phi$ , and the duality operator  $D$  on  $\phi$ :

$$\int_Y \phi = \sum_i m_i \chi(Y_i),$$

$$\Lambda\phi(y) = \int_Y \phi \mathbf{1}_{S_{y,\epsilon}^{n-1}},$$

where  $\epsilon$  is sufficiently small,

$$D\phi(y) = \phi(y) - \Lambda\phi(y).$$

Let  $\Omega$ , as above, be a compact semianalytic subset of  $\mathbb{R}^n$ . We will say, that a function  $g : \Omega \rightarrow \mathbb{Z}$  is a *sum of signs of analytic functions* if there exist  $v_1, v_2, \dots, v_s \in \mathcal{A}(\Omega)$  such that  $g(\omega) = \sum_{i=1}^s \text{sgn } v_i(\omega)$ . Then in fact  $g$  is defined on a compact semianalytic neighbourhood  $Y$  of  $\Omega$ . In that case, for  $\omega \in \text{int } Y \supset \Omega$  we have:

$$\Lambda g(\omega) = \int_Y g \mathbf{1}_{S_{\omega,\epsilon}^{n-1}} = \int_{S_{\omega,\epsilon}^{n-1}} g = \sum_{i=1}^s (\chi(A_i \cap S_{\omega,\epsilon}^{k-1}) - \chi(B_i \cap S_{\omega,\epsilon}^{k-1}))$$

where  $A_i = \{v_i \geq 0\}$ ,  $B_i = \{v_i \leq 0\}$ ,  $\epsilon$  is sufficiently small.

Using Theorem 4.11, Lemma 4.9, and arguments like in [12], Corollary 6.3 and Theorem 6.4, we can show similar results as the main result of [4].

Suppose that  $f$  is an analytic function defined in a neighbourhood of  $\Omega$ . Then  $X = f^{-1}(0)$  is an analytic set defined in a neighbourhood of  $\Omega$ .

According to Theorem 4.11, there exist  $v_1, v_2, \dots, v_q \in \mathcal{A}(\Omega)$  such that for each  $\omega \in \Omega$  there exists  $0 < \epsilon_\omega \ll 1$  such that for each  $0 < \epsilon < \epsilon_\omega$ ,  $\frac{1}{2}\chi(S_\epsilon^{n-1} \cap X_\omega) = \sum_{i=1}^q \text{sgn } v_i(\omega)$ . Let  $\Omega = \Omega_1 \cup \dots \cup \Omega_m$  be a decomposition into irreducible components. Assume that  $v_i$  does not vanish identically on  $\Omega_1$  for  $i = 1, \dots, l \leq q$ . Taking  $v = v_1 v_2 \dots v_l$  and  $\Sigma = \{\omega \in \Omega_1 \mid v(\omega) = 0\} \cup \bigcup_{i=2}^m \Omega_i$  we obtain:

**COROLLARY 5.1.** — *There exist a proper closed subset  $\Sigma \subset \Omega$ , an integer  $\mu = l - 1$ , and an analytic function  $v \in \mathcal{A}(\Omega)$ , such that  $v$  does not vanish on  $\Omega \setminus \Sigma$  and*

$$\forall \omega \in \Omega \setminus \Sigma \quad \exists 0 < \epsilon_\omega \ll 1 \quad \forall 0 < \epsilon < \epsilon_\omega \quad \frac{1}{2}\chi(S_\epsilon^{n-1} \cap X_\omega) = \mu + \text{sgn } v(\omega) \pmod{4}.$$

*In particular, for such  $\omega$ ,  $\frac{1}{2}\chi(S_\epsilon^{n-1} \cap X_\omega) = \mu + 1 \pmod{2}$ .*

**THEOREM 5.2.** — *If  $g : \Omega \rightarrow \mathbb{Z}$  is a sum of signs of analytic functions  $v_1, v_2, \dots, v_s \in \mathcal{A}(\Omega)$  (in particular if  $g(\omega) = \frac{1}{2}\chi(S_\epsilon^{n-1} \cap X_\omega)$ ),*

then the function  $\frac{1}{2}\Lambda g$ , as well as  $\frac{1}{2}(g + Dg)$ , is integer-valued and it is a sum of signs of analytic functions.

*Proof.* — We have

$$\Lambda g(\omega) = \sum_{i=1}^s (\chi(\{v_i(\omega) \geq 0\} \cap S_{\omega, \epsilon}^{n-1}) - \chi(\{v_i(\omega) \leq 0\} \cap S_{\omega, \epsilon}^{n-1}))$$

for  $\epsilon$  sufficiently small, so the theorem is implied by Lemma 4.9.  $\square$

So, proceeding the same way as McCrory and Parusiński in [10] one may get a large family of topological invariants associated with  $\Omega \subset X$ .

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Manuscrit reçu le 22 juin 2004,  
accepté le 11 novembre 2004.

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