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## GALOIS CO-DESCENT FOR ÉTALE WILD KERNELS AND CAPITULATION

by M. KOLSTER\* and A. MOVAHHEDI

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### Introduction.

For a number field  $F$ , the classical wild kernel - denoted by  $WK_2(F)$  - is the kernel of all local power norm residue symbols on  $K_2(F)$ , in other words it fits into Moore's exact sequence

$$0 \rightarrow WK_2(F) \rightarrow K_2(F) \rightarrow \bigoplus_v \mu(F_v) \rightarrow \mu(F) \rightarrow 0,$$

where  $v$  runs through all finite and real infinite primes of  $F$ , and  $\mu(F_v)$  and  $\mu(F)$  denote the groups of roots of unity of the local field  $F_v$  and of  $F$ , respectively. For a fixed prime number  $p$ , the  $p$ -primary part  $WK_2(F)\{p\}$  of  $WK_2(F)$  has another description in terms of étale cohomology: For any finite set  $S$  of primes in  $F$  containing the  $p$ -adic primes and the real infinite primes, we have

$$WK_2(F)\{p\} = \ker (H_{\text{ét}}^2(o_F^S, \mathbb{Z}_p(2)) \rightarrow \bigoplus_{v \in S} H^2(F_v, \mathbb{Z}_p(2))).$$

This property immediately leads to the definition of the higher étale wild kernels for  $i \geq 2$ :

$$WK_{2i-2}^{\text{ét}}(F) := \ker (H_{\text{ét}}^2(o_F^S, \mathbb{Z}_p(i)) \rightarrow \bigoplus_{v \in S} H^2(F_v, \mathbb{Z}_p(i))).$$

The étale wild kernels play a similar role in étale cohomology, étale  $K$ -theory and Iwasawa-theory as the  $p$ -primary parts  $A'_F$  of the  $S$ -class groups

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of  $F$ . For these, Galois co-descent is classically described by genus theory. The main result of this paper proves an analogous genus formula for the étale wild kernels of a cyclic extension  $L/F$  of degree  $p$ ,  $p$  odd. Let  $G = \text{Gal}(L/F)$ . We first show that the transfer map  $WK_{2i-2}^{\text{ét}}(L)_G \rightarrow WK_{2i-2}^{\text{ét}}(F)$  is onto except in a very special situation, and we determine its kernel as the cokernel of a certain cup-product which is obtained as follows: Let  $E = F(\mu_p)$ , where  $\mu_p$  consists of the  $p$ -th roots of unity and let  $\Delta = \text{Gal}(E/F)$ . We associate with the extension  $LE/E$  a certain set  $T_{LE/E}$  of primes of  $E$ , consisting of all tamely ramified primes and some undecomposed  $p$ -adic primes. Let  $\text{Br}^T(E)$  denote the subgroup of the Brauer-group which is supported only at primes in  $T_{LE/E}$ , and let  ${}_p\text{Br}^T(E)$  denote the subgroup of all the elements in  $\text{Br}^T(E)$  of exponent  $p$ . The target of the cup-product is the  $(1-i)$ -eigenspace  ${}_p\text{Br}^T(E)^{[1-i]}$ , under the action of the Teichmüller character  $w$ . Now, let  $S$  be the set of primes in  $E$  consisting of the  $p$ -adic primes, the real infinite primes as well as all primes ramified in  $LE$  and denote by  $o_E^S$  the ring of  $S$ -integers in  $E$ . The étale cohomology group  $H_{\text{ét}}^1(o_E^S, \mathbb{Z}_p(i))/p$  injects into the  $(i-1)$ -fold Tate twist of the module  $E^*/E^{*p}$  and hence is isomorphic to  $D_E^{(i)}/E^{*p}(i-1)$ , where  $D_E^{(i)} \subset E^{*p}$  can be viewed as the analog of the Tate kernel ( $i=2$ ). The cup-product is now given by

$$(D_E^{(i)}/E^{*p})^{[1-i]} \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}) \rightarrow ({}_p\text{Br}^T(E))^{[1-i]}.$$

We illustrate the method by finding all Galois  $p$ -extensions of  $\mathbb{Q}$  for which the  $p$ -part of the classical wild kernel is trivial.

We also discuss the Galois co-descent situation for  $p=2$  in the classical case  $i=2$ .

Let  $E_\infty$  denote the cyclotomic  $\mathbb{Z}_p$ -extension of  $E$  with finite layers  $E_n$ . If we assume the Gross Conjecture for  $E_n$  with  $n$  large, for instance if  $E$  is abelian over  $\mathbb{Q}$ , then the groups  $D_{E_n}^{(i)}/E_n^{*p}$  can be described in terms of local conditions at  $p$ -adic primes, and are independent of  $i$ .

Let  $F_\infty$  denote the cyclotomic  $\mathbb{Z}_p$ -extension of  $F$  with finite layers  $F_n$  and let  $A'_n$  denote the  $p$ -part of the  $p$ -class group of  $F_n$ . The classical capitulation kernel is defined as

$$\text{Cap}_0(F_\infty) = \ker(A'_n \rightarrow A'_\infty) \quad \text{for } n \text{ large.}$$

The study of capitulation kernels under Galois extensions is an essential ingredient in the more general problem of comparing Iwasawa-invariants (cp. e.g. [28]). In Section 3 we introduce similar capitulation kernels

$\text{Cap}_{i-1}(F_\infty)$  for all  $i \geq 2$  using étale  $K$ -theory, and show that they have properties similar to  $\text{Cap}_0(F_\infty)$ .

Assume now that  $F$  is totally real, and let  $E^+$  denote the maximal real subfield of  $E = F(\mu_p)$ . A conjecture of Greenberg predicts that  $\varprojlim_n A'_n(E^+)$  is finite. Under this assumption we show that for all odd  $i \geq 3$ :

$$\text{Cap}_{i-1}(F_\infty) \cong A'_n(E^+)^{[1-i]} \cong WK_{2i-2}^{\text{ét}}(F_n) \quad \text{for } n \text{ large.}$$

Therefore the co-descent results from Section 2 imply similar results for  $\text{Cap}_{i-1}(F_\infty)$  and for the eigenspaces  $A'_n(E^+)^{[1-i]}$ , when  $n$  is large.

In Section 4, we briefly discuss how our approach can be applied to the simpler problem of Galois co-descent for étale tame kernels. This has already been studied by Assim ([1], [2]) under Leopoldt’s conjecture.

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### 1. Preliminaries.

In this section we briefly recall some of the basic properties of étale  $K$ -theory and étale cohomology which are subsequently needed. A more detailed account can be found in [3], [20]. Let  $F$  be a number field and  $p$  a fixed prime number. Let  $S$  be a finite set of primes in  $F$ , containing the set  $S_p$  of primes above  $p$  and the set  $S_\infty$  of infinite primes. As usual,  $G_S(F)$  denotes the Galois group over  $F$  of the maximal algebraic extension of  $F$ , which is unramified outside  $S$ . We note that the condition on infinite primes only intervenes if  $p = 2$  and  $F$  is not totally imaginary. Let  $o_F^S$  denote the ring of  $S$ -integers of  $F$ . As is well-known, the étale cohomology groups  $H_{\text{ét}}^k(\text{spec}(o_F^S), \mathbb{Z}/p^n\mathbb{Z}(i))$  of  $\text{spec}(o_F^S)$  coincide with the Galois-cohomology groups  $H^k(G_S(F), \mathbb{Z}/p^n\mathbb{Z}(i))$ , and will be denoted by  $H_{\text{ét}}^k(o_F^S, \mathbb{Z}/p^n\mathbb{Z}(i))$ . Here, as usual,  $\mathbb{Z}/p^n\mathbb{Z}(i)$  denotes the  $i$ -fold Tate twist of  $\mathbb{Z}/p^n\mathbb{Z}$ . Furthermore, let

$$H_{\text{ét}}^k(o_F^S, \mathbb{Z}_p(i)) = \varprojlim_n H_{\text{ét}}^k(o_F^S, \mathbb{Z}/p^n\mathbb{Z}(i))$$

and

$$H_{\text{ét}}^k(o_F^S, \mathbb{Q}_p/\mathbb{Z}_p(i)) = \lim_{\rightarrow} H_{\text{ét}}^k(o_F^S, \mathbb{Z}/p^n\mathbb{Z}(i)).$$

Assume now that  $p$  is either odd or that  $p = 2$  and  $F$  contains  $\sqrt{-1}$ . Then for  $i \geq 2$  and  $k = 1, 2$  the étale cohomology groups  $H_{\text{ét}}^k(o_F^S, \mathbb{Z}_p(i))$  are isomorphic to the higher étale  $K$ -theory groups  $K_{2i-k}^{\text{ét}}(o_F^S)$ , introduced by Dwyer-Friedlander ([8]). Moreover, the relation to Quillen's  $K$ -theory groups  $K_{2i-k}(o_F^S)$  is provided by a Chern character, which yields split surjective maps with finite kernels

$$K_{2i-k}(o_F^S) \otimes \mathbb{Z}_p \rightarrow K_{2i-k}^{\text{ét}}(o_F^S)$$

(cp. [8], [15]), which conjecturally are isomorphisms (recall that for  $p = 2$ ,  $F$  contains  $\sqrt{-1}$ ). Borel's results (cp. [4]) then imply that the groups  $K_{2i-2}^{\text{ét}}(o_F^S)$  are finite and that the groups  $K_{2i-1}^{\text{ét}}(o_F^S)$  are finitely generated of rank  $r_1 + r_2$  if  $i$  is odd, and of rank  $r_2$  if  $i$  is even, where as usual  $r_1$  and  $r_2$  denote the number of real and pairs of conjugate complex embeddings of  $F$ , respectively. We note that the odd étale  $K$ -theory groups are independent of the choice of the set  $S$  of primes: If  $H^*(F, \quad)$  denotes the absolute Galois cohomology groups of  $F$  then, in fact, the localization sequence in étale cohomology (cp. [36, Proposition 1]) implies that

$$H_{\text{ét}}^1(o_F^S, \mathbb{Z}_p(i)) \cong H^1(F, \mathbb{Z}_p(i)) \quad \forall i \geq 2.$$

We therefore simply denote the odd étale  $K$ -theory groups by  $K_{2i-1}^{\text{ét}}(F)$ . The torsion subgroup of  $K_{2i-1}^{\text{ét}}(F)$  is isomorphic to  $H^0(F, \mathbb{Q}_p/\mathbb{Z}_p(i))$ .

In the special case  $i = 2$  more is known: There exist isomorphisms

$$K_2(o_F^S) \otimes \mathbb{Z}_p \rightarrow H_{\text{ét}}^2(o_F^S, \mathbb{Z}_p(2))$$

and

$$K_3^{nd}(F) \otimes \mathbb{Z}_p \rightarrow H^1(F, \mathbb{Z}_p(2))$$

without any restrictions on the prime  $p$  and the number field  $F$  (cp. [36], [22]). Here  $K_3^{nd}(F)$  denotes the indecomposable  $K_3$ -group of  $F$ , i.e.  $K_3(F)$  divided by the image of the Milnor group  $K_3^M(F)$ , which is 2-torsion.

More recently, Kahn ([18]) and Rognes-Weibel ([32]) have determined the kernel and cokernel of the 2-adic Chern character

$$K_{2i-k}(o_F) \otimes \mathbb{Z}_2 \rightarrow H_{\text{ét}}^k(o_F, \mathbb{Z}_2(i)),$$

which in general are non-trivial.

The following result is due to B. Kahn (cp. [16, Theorem 2.1, Proposition 6.1]):

**THEOREM 1.1.** — *Let  $L/F$  be a Galois extension of number fields with Galois group  $G$  and let  $S$  be a finite set of primes in  $F$ , containing the primes which are ramified in  $L$ . There is an exact sequence*

$$0 \rightarrow H^1(G, K_3^{nd}(L)) \rightarrow K_2(o_F^S) \rightarrow K_2(o_L^S)^G \rightarrow H^2(G, K_3^{nd}(L)) \rightarrow 0.$$

The following étale analog is well-known (cp. [1], [5]), however we include a proof, since the sources are not easily accessible:

**THEOREM 1.2.** — *Let  $p$  be an odd prime and let  $L/F$  be a Galois  $p$ -extension of number fields with Galois group  $G$ . Let  $S$  be a finite set of primes, containing the primes above  $p$  and the primes which ramify in  $L$ . Then for  $i \geq 2$  there is an exact sequence*

$$0 \rightarrow H^1(G, K_{2i-1}^{\text{ét}}(L)) \rightarrow K_{2i-2}^{\text{ét}}(o_F^S) \rightarrow K_{2i-2}^{\text{ét}}(o_L^S)^G \rightarrow H^2(G, K_{2i-1}^{\text{ét}}(L)) \rightarrow 0.$$

*Proof.* — Consider the Hochschild-Serre spectral sequence

$$E_2^{pq} = H^p(G, H_{\text{ét}}^q(o_L^S, \mathbb{Z}_p(i))) \Rightarrow H_{\text{ét}}^{p+q}(o_F^S, \mathbb{Z}_p(i)).$$

Since  $H_{\text{ét}}^0(o_L^S, \mathbb{Z}_p(i)) = 0$  ([36, Lemme 7]), all terms  $E_2^{p0}$  vanish. On the other hand,  $cd_p(G_S(F)) = 2$  and hence  $H_{\text{ét}}^q(o_F^S, \mathbb{Z}_p(i)) = H_{\text{ét}}^q(o_L^S, \mathbb{Z}_p(i)) = 0$  for all  $q \geq 3$ . The spectral sequence therefore yields

$$E^1 \cong E_{\infty}^{01} \cong E_2^{01},$$

i.e. an isomorphism

$$K_{2i-1}^{\text{ét}}(F) \cong K_{2i-1}^{\text{ét}}(L)^G,$$

as well as the exact sequence

$$0 \rightarrow E_2^{11} \rightarrow E^2 \rightarrow E_2^{02} \rightarrow E_2^{21} \rightarrow 0,$$

which is precisely the claim. □

As a by-product, we obtained the fact that the odd étale  $K$ -groups satisfy Galois descent. Note that this, in the form

$$H^1(F, \mathbb{Z}_p(i)) \cong H^1(L, \mathbb{Z}_p(i))^G,$$

remains true for  $p = 2$ .

On the other hand we have Galois co-descent for the even étale  $K$ -theory groups  $K_{2i-2}^{\text{ét}}(o_F^S)$ :

PROPOSITION 1.3. — *Let  $p$  be odd and  $L/F$  a Galois  $p$ -extension of number fields with Galois-group  $G$ . If  $S$  contains the primes above  $p$  and the ramified primes of  $L/F$ , then*

$$K_{2i-2}^{\text{ét}}(o_L^S)_G \cong K_{2i-2}^{\text{ét}}(o_F^S).$$

*Proof.* — This follows as above using the Tate spectral sequence (cp. [35], [17], [26]).  $\square$

Now  $K_{2i-2}^{\text{ét}}(o_L^S)$  is finite, and hence this proposition together with Theorem 1.2 yields

COROLLARY 1.4. — *For any cyclic  $p$ -extension  $L/F$  ( $p$  odd) of number fields with Galois group  $G$ , the quotient*

$$\frac{|H^2(G, K_{2i-1}^{\text{ét}}(L))|}{|H^1(G, K_{2i-1}^{\text{ét}}(L))|}$$

is trivial.

Remark 1.5. — The previous results depended only upon two facts:

$$cd_p(G_S(F)) \leq 2 \quad \text{and} \quad H^0(G_S(F), \mathbb{Z}_p(i)) = 0.$$

Therefore analogous results also hold for example for finite extensions of local fields, thus, for a Galois  $p$ -extension  $E/F$  of local fields with Galois group  $G$ , we have an exact sequence

$$\begin{aligned} 0 \rightarrow H^1(G, H^1(E, \mathbb{Z}_p(i))) &\rightarrow H^2(F, \mathbb{Z}_p(i)) \\ &\rightarrow H^2(E, \mathbb{Z}_p(i))^G \rightarrow H^2(G, H^1(E, \mathbb{Z}_p(i))) \rightarrow 0, \end{aligned}$$

and an isomorphism

$$H^2(E, \mathbb{Z}_p(i))_G \cong H^2(F, \mathbb{Z}_p(i)).$$

Again, in the case  $i = 2$ , more information on co-descent is available, i.e. no restrictions on  $F$  are necessary to also include results concerning the 2-primary part.

The following result is easily obtained from [16, Théorème 5.1] :

PROPOSITION 1.6. — *Let  $L/F$  be a finite Galois extension of number fields with Galois group  $G$  and let  $S$  be a finite set of primes in  $F$ , containing the primes which ramify in  $L/F$ . Then, there is a short exact sequence*

$$0 \rightarrow K_2(o_L^S)_G \rightarrow K_2(o_F^S) \rightarrow \bigoplus_{v \in S_\infty^r} \mu_2 \rightarrow 0,$$

where  $S_\infty^r$  consists of the real infinite primes in  $F$  which ramify in  $L$ .

Finally, we recall the definition of the higher étale wild kernels (cp. [3], [20], [29]):

$$WK_{2i-2}^{\text{ét}}(F) = \ker(H_{\text{ét}}^2(o_F^S, \mathbb{Z}_p(i)) \rightarrow \bigoplus_{v \in S} H^2(F_v, \mathbb{Z}_p(i))).$$

The definition is independent of the choice of the set  $S$  containing  $S_p$ , and part of the Poitou-Tate duality sequence yields the exact sequence

$$0 \rightarrow WK_{2i-2}^{\text{ét}}(F) \rightarrow K_{2i-2}^{\text{ét}}(o_F^S) \rightarrow \bigoplus_{v \in S} H^2(F_v, \mathbb{Z}_p(i)) \rightarrow H^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i))^* \rightarrow 0,$$

where  $*$  indicates the Pontrjagin dual. Moreover by local duality

$$H^2(F_v, \mathbb{Z}_p(i)) \cong H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i))^*.$$

The groups  $H^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i))$  and  $H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i))$  are finite cyclic for  $i \neq 1$ .

The étale wild kernels are the analogs of the  $p$ -part of the classical wild kernel  $WK_2(F)$  - defined for any number field  $F$  - which occurs in Moore's exact sequence of power norm symbols (cp. [23]):

$$0 \rightarrow WK_2(F) \rightarrow K_2(F) \rightarrow \bigoplus_v \mu(F_v) \rightarrow \mu(F) \rightarrow 0,$$

where  $v$  runs through all finite primes and all real infinite primes of  $F$ , and  $\mu(F_v)$  and  $\mu(F)$  denote the group of roots of unity of  $F_v$  and of  $F$  respectively. If  $S$  is a finite set of primes in  $F$  containing  $S_p$  and  $S_\infty$ , then we obtain an exact sequence of finite groups

$$0 \rightarrow WK_2(F)\{p\} \rightarrow K_2(o_F^S)\{p\} \rightarrow \bigoplus_{v \in S} \mu(F_v)\{p\} \rightarrow \mu(F)\{p\} \rightarrow 0.$$

Here, for an abelian group  $A$ , we use the notation  $A\{p\}$  for the  $p$ -primary part of  $A$ .



## 2. Galois co-descent for the étale wild kernel.

Let  $p$  be an odd prime and let  $L/F$  be a cyclic extension of number fields of degree  $p$  with Galois group  $G$ . In this section, for any local or global field  $K$ , we denote by  $K_\infty$  the cyclotomic  $\mathbb{Z}_p$ -extension of  $K$  with finite layers  $K_n$ . We also assume that  $i \geq 2$ . We obtain necessary and sufficient conditions for the étale wild kernel  $WK_{2i-2}^{\text{ét}}(L)$  to satisfy Galois co-descent. This approach also yields a genus"-formula comparing the sizes of  $WK_{2i-2}^{\text{ét}}(L)^G$  and  $WK_{2i-2}^{\text{ét}}(F)$ . Let  $S$  be the finite set of primes in  $F$ , containing the set  $S_p$  of all primes above  $p$ , as well as all primes which ramify in  $L$ . We denote by  $S_L$  the set of primes in  $L$  above  $S$ . Moreover, let  $\tilde{\Theta}_{v \in S} H^2(F_v, \mathbb{Z}_p(i))$  be the kernel of the surjection

$$\bigoplus_{v \in S} H^2(F_v, \mathbb{Z}_p(i)) \rightarrow H^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i))^*.$$

Then by definition of the étale wild kernel from section 1, we have the following short exact sequence:

$$0 \rightarrow WK_{2i-2}^{\text{ét}}(F) \rightarrow K_{2i-2}^{\text{ét}}(o_F^S) \rightarrow \tilde{\bigoplus}_{v \in S} H^2(F_v, \mathbb{Z}_p(i)) \rightarrow 0.$$

By Proposition 1.3 the group  $K_{2i-2}^{\text{ét}}(o_L^S)$  satisfies Galois co-descent. The following commutative diagram:

$$\begin{array}{ccccccc} WK_{2i-2}^{\text{ét}}(L)_G & \rightarrow & K_{2i-2}^{\text{ét}}(o_L^S)_G & \rightarrow & \left( \tilde{\bigoplus}_{w \in S_L} H^2(L_w, \mathbb{Z}_p(i)) \right)_G & \rightarrow & 0 \\ \downarrow & & \downarrow \wr & & \downarrow & & \\ 0 & \rightarrow & WK_{2i-2}^{\text{ét}}(F) & \rightarrow & K_{2i-2}^{\text{ét}}(o_F^S) & \rightarrow & \tilde{\bigoplus}_{v \in S} H^2(F_v, \mathbb{Z}_p(i)) \rightarrow 0 \end{array}$$

then shows that

$$\begin{aligned} \text{coker}(WK_{2i-2}^{\text{ét}}(L)_G \rightarrow WK_{2i-2}^{\text{ét}}(F)) \\ \cong \ker \left( \left( \tilde{\bigoplus}_{w \in S_L} H^2(L_w, \mathbb{Z}_p(i)) \right)_G \rightarrow \tilde{\bigoplus}_{v \in S} H^2(F_v, \mathbb{Z}_p(i)) \right) \end{aligned}$$

and

$$\begin{aligned} \ker(WK_{2i-2}^{\text{ét}}(L)_G \rightarrow WK_{2i-2}^{\text{ét}}(F)) \\ \cong \text{coker} \left( K_{2i-2}^{\text{ét}}(o_L^S)^G \rightarrow \left( \tilde{\bigoplus}_{w \in S_L} H^2(L_w, \mathbb{Z}_p(i)) \right)^G \right). \end{aligned}$$

Before we compute the first group we need a preliminary result: Let  $M/N$  be a cyclic extension of degree  $p$ ,  $p$  odd, of global or local fields of

characteristic  $\neq p$ , and let  $G$  denote the Galois group of  $M/N$ . Furthermore, let  $N_\infty$  denote the cyclotomic  $\mathbb{Z}_p$ -extension of  $N$ .

There are two maps relating the cohomology groups  $H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k))$  and  $H^0(M, \mathbb{Q}_p/\mathbb{Z}_p(k))$ , where we assume  $k \in \mathbb{Z}$ ,  $k \neq 0$ : The natural map  $H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k)) \rightarrow H^0(M, \mathbb{Q}_p/\mathbb{Z}_p(k))$  and the norm map  $H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k)) \rightarrow H^0(M, \mathbb{Q}_p/\mathbb{Z}_p(k))$ . The first one induces an isomorphism

$$H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k)) \xrightarrow{\sim} H^0(M, \mathbb{Q}_p/\mathbb{Z}_p(k))^G,$$

which implies immediately that either both groups are trivial or both groups are non-trivial. Assume now that  $H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k))$  is non-trivial. Then the order of  $H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k))$  is the maximal power  $p^m$ , such that the Galois group  $\text{Gal}(N(\mu_{p^m})/N)$  has exponent  $k$ . If  $M \not\subset N_\infty$ , then  $[M(\mu_{p^m}) : M] = [N(\mu_{p^m}) : N]$ , and therefore  $G$  acts trivially on  $H^0(M, \mathbb{Q}_p/\mathbb{Z}_p(k))$ . Therefore, in this case, the natural map is an isomorphism, and hence the norm map has both kernel and cokernel of order  $p$ . On the other hand, if  $M \subset N_\infty$  and say  $H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k)) \cong (\mathbb{Z}/p^m\mathbb{Z})(k)$ , then  $H^0(M, \mathbb{Q}_p/\mathbb{Z}_p(k)) \cong (\mathbb{Z}/p^{m+1}\mathbb{Z})(k)$ , and -  $p$  being odd - the norm

$$(\mathbb{Z}/p^{m+1}\mathbb{Z})(k) \rightarrow (\mathbb{Z}/p^m\mathbb{Z})(k)$$

is surjective, and therefore induces an isomorphism

$$H^0(M, \mathbb{Q}_p/\mathbb{Z}_p(k))^G \xrightarrow{\sim} H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k)).$$

We summarize:

LEMMA 2.1. — Let  $k \in \mathbb{Z}$ ,  $k \neq 0$  and  $H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k)) \neq 0$ .

i) If  $M \not\subset N_\infty$ , then  $G$  acts trivially on  $H^0(M, \mathbb{Q}_p/\mathbb{Z}_p(k))$ , and hence the natural map

$$H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k)) \rightarrow H^0(M, \mathbb{Q}_p/\mathbb{Z}_p(k))$$

is an isomorphism, whereas the norm map has kernel and cokernel of order  $p$ .

ii) If  $M \subset N_\infty$ , then  $G$  acts non-trivially on  $H^0(M, \mathbb{Q}_p/\mathbb{Z}_p(k))$  and the norm induces an isomorphism

$$H^0(M, \mathbb{Q}_p/\mathbb{Z}_p(k))^G \xrightarrow{\sim} H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k)).$$

The non-vanishing of  $H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k))$  can be characterized as follows: Let  $d = [N(\mu_p) : N]$ . Then

$$H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k)) \neq 0 \Leftrightarrow k \equiv 0 \pmod{d}.$$

Let us now study the question of co-descent for  $\tilde{\bigoplus}_{w \in S_L} H^2(L_w, \mathbb{Z}_p(i))$ . Using local duality the problem is equivalent to computing the cokernel of the map

$$\tilde{\bigoplus}_{v \in S} H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \rightarrow \left( \tilde{\bigoplus}_{w \in S_L} H^0(L_w, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \right)^G.$$

As we noted above, we have isomorphisms

$$H^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \cong H^0(L, \mathbb{Q}_p/\mathbb{Z}_p(1-i))^G$$

and

$$\bigoplus_{v \in S} H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \cong \left( \bigoplus_{w \in S_L} H^0(L_w, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \right)^G,$$

hence the above cokernel is isomorphic to the kernel of

$$H^0(L, \mathbb{Q}_p/\mathbb{Z}_p(1-i))_G \rightarrow \left( \bigoplus_{w \in S_L} H^0(L_w, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \right)_G.$$

We consider the commutative diagram

$$\begin{array}{ccccc} H^0(L, \mathbb{Q}_p/\mathbb{Z}_p(1-i))_G & \rightarrow & \left( \bigoplus_{w \in S_L} H^0(L_w, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \right)_G & & \\ & & \downarrow & & \downarrow \\ 0 & \rightarrow & H^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) & \rightarrow & \bigoplus_{v \in S} H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \end{array}$$

induced by the norm maps. It is now clear that the map in the top row is *not* injective, if and only if Galois co-descent fails globally for  $H^0(L, \mathbb{Q}_p/\mathbb{Z}_p(1-i))$ , but holds locally for all  $w \in S_L$ , in which case the kernel is of order  $p$ . If  $v \in S$  is decomposed in  $L$ , then obviously co-descent holds. We now define  $T_{L/F}^{(i)}$  to be the set of *undecomposed* primes  $v \in S$ , such that Galois co-descent fails for  $H^0(L_w, \mathbb{Q}_p/\mathbb{Z}_p(1-i))$ . By Lemma 2.1, an undecomposed prime  $v$  lies in  $T_{L/F}^{(i)}$  if and only if  $H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \neq 0$  and  $L_w \not\subset F_{v,\infty}$ . Let  $d = [F(\mu_p) : F]$ . Then it is clear from the definition that

$$T_{L/F}^{(i)} = T_{L/F}^{(j)} \quad \text{if } i \equiv j \pmod{d}.$$

Let us analyze this set a little further:

LEMMA 2.2. — i)  $T_{L/F}^{(i)}$  contains all tamely ramified primes:

$$S \setminus S_p \subset T_{L/F}^{(i)} \subset S.$$

ii) Assume that  $L \not\subset F_\infty$  and  $i \equiv 1 \pmod d$ . Then, for large  $n$ , the set  $T_{L_n/F_n}^{(i)}$  contains all undecomposed  $p$ -adic primes.

*Proof.* — Let  $v$  be any prime in  $S \setminus S_p$ . Then  $F_v$  contains the  $p$ -th roots of unity  $\mu_p$ , which shows that  $H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \neq 0$ . Moreover,  $F_{v,\infty}$  is the maximal unramified pro- $p$ -extension of  $F_v$ , which shows that  $L_w \not\subset F_{v,\infty}$ . This proves i). To prove ii), it suffices to choose  $n$  large enough so that no  $p$ -adic prime of  $L_n$  decomposes in  $L_{n+1}$ .  $\square$

We can now formulate our first result in terms of the set  $T_{L/F}^{(i)}$ .

PROPOSITION 2.3. — *The canonical map*

$$WK_{2i-2}^{\text{ét}}(L)_G \rightarrow WK_{2i-2}^{\text{ét}}(F)$$

*induced by the corestriction is surjective precisely in the following situations:*

- i)  $T_{L/F}^{(i)} \neq \emptyset$ ;
- ii)  $T_{L/F}^{(i)} = \emptyset$  and either  $i \not\equiv 1 \pmod d$  or  $L \subset F_\infty$ .

*In the exceptional case where  $T_{L/F}^{(i)} = \emptyset$ ,  $i \equiv 1 \pmod d$  and  $L \not\subset F_\infty$ , the cokernel of  $WK_{2i-2}^{\text{ét}}(L)_G \rightarrow WK_{2i-2}^{\text{ét}}(F)$  is cyclic of order  $p$ .*

*Remark 2.4.* — The possibility of the failure of Galois co-descent in Proposition 2.3 was already observed in [2]. The situations where this happens are easily described: First of all we must have  $i \equiv 1 \pmod d$  and  $L \not\subset F_\infty$ , in which case, for any  $n$ , the set  $T_{L/F}^{(i)} = \emptyset$  if and only if  $T_{L_n/F_n}^{(i)} = \emptyset$ . Now, choose  $n$  large enough, such that no  $p$ -adic prime in  $L_n$  decomposes in  $L_{n+1}$ . By Lemma 2.2, the set  $T_{L_n/F_n}^{(i)} = \emptyset$  precisely when  $L_n/F_n$  is unramified and all  $p$ -adic primes of  $F_n$  split in  $L_n$ . Thus, the exceptional case occurs for  $L/F$  if and only if the following two conditions hold:

- i)  $i \equiv 1 \pmod d$ ;
- ii)  $\varprojlim_n A'_n \neq 0$  and  $L_\infty/F_\infty$  is an unramified cyclic extension of degree  $p$ , in which all primes above  $p$  split.

*Example 2.5.* — Assume that the prime  $p$  is irregular and let  $F = \mathbb{Q}(\mu_p)$ . Then  $F$  possesses a cyclic extension  $L$  of degree  $p$  inside the Hilbert  $p$ -class field, which is disjoint from  $F_\infty$ . Therefore the canonical map  $WK_{2i-2}^{\text{ét}}(L)_G \rightarrow WK_{2i-2}^{\text{ét}}(F)$  is not surjective for any  $i \geq 2$ .

We recall that by Lemma 2.1, the natural map

$$H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \rightarrow H^0(L_w, \mathbb{Q}_p/\mathbb{Z}_p(1-i))$$

is an isomorphism for  $v \in T_{L/F}^{(i)}$ , and hence the norm map

$$H^0(L_w, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \rightarrow H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i))$$

can be identified with the  $p$ -th power map on  $H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i))$ , which is induced by the  $p$ -th power map on  $\mathbb{Q}_p/\mathbb{Z}_p(1-i)$ . Hence we have an exact sequence for  $v \in T_{L/F}^{(i)}$ :

$$\begin{aligned} 0 \rightarrow H^0(F_v, \mathbb{Z}/p\mathbb{Z}(1-i)) &\rightarrow H^0(L_w, \mathbb{Q}_p/\mathbb{Z}_p(1-i))_G \\ &\rightarrow H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \rightarrow H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i))/p \rightarrow 0. \end{aligned}$$

The dual sequence then reads:

$$\begin{aligned} 0 \rightarrow {}_pH^2(F_v, \mathbb{Z}_p(i)) &\rightarrow H^2(F_v, \mathbb{Z}_p(i)) \\ &\rightarrow H^2(L_w, \mathbb{Z}_p(i))^G \rightarrow H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i)) \rightarrow 0. \end{aligned}$$

If we compare this sequence with the one mentioned in Remark 1.5, we see that we have an isomorphism

$$H^2(G, H^1(L_w, \mathbb{Z}_p(i))) \cong H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i)).$$

We make this isomorphism more explicit in Proposition 2.9.

Let us now consider the problem of the surjectivity of the homomorphism

$$K_{2i-2}^{\text{ét}}(\mathcal{O}_L^S)^G \rightarrow \left( \bigoplus_{w \in S_L} H^2(L_w, \mathbb{Z}_p(i)) \right)^G.$$

If  $T_{L/F}^{(i)} = \emptyset$ , then we assume that either  $i \not\equiv 1 \pmod{d}$ , or that  $L \subset F_\infty$ , so that we have Galois co-descent for  $(\bigoplus_{w \in S_L} H^2(L_w, \mathbb{Z}_p(i)))$ , i.e.  $WK_{2i-2}^{\text{ét}}(L)_G \rightarrow WK_{2i-2}^{\text{ét}}(F)$  is surjective. In particular this implies that

the map  $\beta$  in the following commutative diagram is surjective:

$$\begin{array}{ccccccc}
 & & & 0 & & & 0 \\
 & & & \downarrow & & & \downarrow \\
 & & \oplus_{v \in T_{L/F}^{(i)}} ({}_p H^2(F_v, \mathbb{Z}_p(i))) & \rightarrow & & & B \\
 & & \downarrow & & & & \downarrow \\
 K_{2i-2}^{\text{ét}}(o_F^S) & \rightarrow & \oplus_{v \in S} H^2(F_v, \mathbb{Z}_p(i)) & \rightarrow & H^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i))^* & \rightarrow & 0 \\
 \downarrow & & \downarrow & & \downarrow & & \\
 K_{2i-2}^{\text{ét}}(o_L^S)^G & \xrightarrow{\alpha} & \oplus_{v \in S} (\oplus_{w|v} H^2(L_w, \mathbb{Z}_p(i)))^G & \xrightarrow{\beta} & (H^0(L, \mathbb{Q}_p/\mathbb{Z}_p(1-i))^*)^G & \rightarrow & 0 \\
 \downarrow & & \downarrow & & \downarrow & & \\
 H^2(G, K_{2i-1}^{\text{ét}}(L)) & \xrightarrow{\alpha'} & \oplus_{v \in T_{L/F}^{(i)}} H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i)) & \xrightarrow{\beta'} & C & \rightarrow & 0 \\
 \downarrow & & \downarrow & & \downarrow & & \\
 0 & & 0 & & 0 & & 
 \end{array}$$

Here we define  $B$  and  $C$  to be the kernel and cokernel of the homomorphism

$$H^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i))^* \rightarrow (H^0(L, \mathbb{Q}_p/\mathbb{Z}_p(1-i))^*)^G$$

respectively, hence both  $B$  and  $C$  are either trivial or of order  $p$ . More precisely, by Lemma 2.1, they are non-trivial if and only if  $i \equiv 1 \pmod d$  and  $L \not\subset F_\infty$ . In this diagram the columns are exact and also the rows, except possibly at  $\oplus_{v \in S} (\oplus_{w|v} H^2(L_w, \mathbb{Z}_p(i)))^G$  and  $\oplus_{v \in T_{L/F}^{(i)}} H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i))$ . Note that

$$\ker \beta / \text{im } \alpha = \text{coker} \left( K_{2i-2}^{\text{ét}}(o_L^S)^G \rightarrow \left( \bigoplus_{w \in S_L} H^2(L_w, \mathbb{Z}_p(i)) \right)^G \right)$$

is precisely the cokernel we want to study.

An easy diagram chase shows:

LEMMA 2.6. — *The surjection*

$$\ker \beta / \text{im } \alpha \rightarrow \ker \beta' / \text{im } \alpha'$$

is an isomorphism if the map

$$\bigoplus_{v \in T_{L/F}^{(i)}} {}_p H^2(F_v, \mathbb{Z}_p(i)) \rightarrow B$$

is surjective (otherwise, its kernel is of order at most  $p$ ).

In particular, this settles the case  $T_{L/F}^{(i)} = \emptyset$ :

COROLLARY 2.7. — *If  $T_{L/F}^{(i)} = \emptyset$ , then  $WK_{2i-2}^{\text{ét}}(L)_G \cong WK_{2i-2}^{\text{ét}}(F)$  if and only if either  $i \not\equiv 1 \pmod d$  or  $L \subset F_\infty$ .*

Thus, for example, in the cyclotomic  $\mathbb{Z}_p$ -extension, the wild kernels satisfy Galois codescent, whereas, in general, the  $p$ -class groups do not.

Let us assume now that  $T_{L/F}^{(i)} \neq \emptyset$ . Then  $L$  is disjoint from  $F_\infty$ , and therefore the kernel  $B$  is non-trivial if and only if  $i \equiv 1 \pmod d$ . In this case  $B$  is clearly isomorphic to  ${}_pH^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i))^*$ , and we can characterize the surjectivity of the map  $\bigoplus_{v \in T_{L/F}^{(i)}} {}_pH^2(F_v, \mathbb{Z}_p(i)) \rightarrow {}_pH^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i))^*$  as follows:

LEMMA 2.8. — *If  $T_{L/F}^{(i)} \neq \emptyset$  and  $i \equiv 1 \pmod d$ , then*

$$\bigoplus_{v \in T_{L/F}^{(i)}} {}_pH^2(F_v, \mathbb{Z}_p(i)) \rightarrow {}_pH^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i))^*$$

*is surjective if and only if at least one of the primes in  $T_{L/F}^{(i)}$  is undecomposed in the first layer  $F_1$  of the cyclotomic  $\mathbb{Z}_p$ -extension  $F_\infty/F$ .*

*Proof.* — It is clear that the map in question is surjective if and only if  $|H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i))| = |H^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i))|$  for at least one prime  $v \in T_{L/F}^{(i)}$ . On the other hand  $|H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i))| > |H^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i))|$  if and only if  $v$  splits in  $F_1$ . □

We note that any finite place  $v$  in  $F$  is finitely decomposed in  $F_\infty$ . Therefore, if  $n$  is large enough, all the primes in  $T_{L_n/F_n}^{(i)}$  will be undecomposed in  $F_{n+1}$ . If  $i \equiv 1 \pmod d$ , we will assume that  $T_{L/F}^{(i)}$  contains at least one prime, which is undecomposed in  $F_1$ . We are then left with the determination of  $|\ker \beta' / \text{im } \alpha'|$ .

The order of  $\ker \beta'$  is clearly equal to

$$|\ker \beta'| = \frac{p^{|T_{L/F}^{(i)}|}}{|H^0(F, \mathbb{Z}/p\mathbb{Z}(1-i))|}.$$

To determine the order of  $\text{im } \alpha'$  we construct a canonical homomorphism

$$H^2(G, H^1(L, \mathbb{Z}_p(i))) \rightarrow H^2(F, \mathbb{Z}/p\mathbb{Z}(i)),$$

which gives rise to a commutative diagram

$$\begin{array}{ccc} H^2(G, H^1(L, \mathbb{Z}_p(i))) & \rightarrow & \bigoplus_{v \in T_{L/F}^{(i)}} H^2(G, H^1(L_v, \mathbb{Z}_p(i))) \\ \downarrow & & \wr \downarrow \\ H^2(F, \mathbb{Z}/p\mathbb{Z}(i)) & \rightarrow & \bigoplus_{v \in T_{L/F}^{(i)}} H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i)) \end{array}$$

and will factor the map  $\alpha'$ .

PROPOSITION 2.9. — *Let  $M/N$  be a cyclic extension of degree  $p$  of local or global fields of characteristic  $\neq p$ , where  $p$  is an arbitrary prime. Let  $G = \text{Gal}(M/N)$ . There is a canonical map*

$$H^2(G, H^1(M, \mathbb{Z}_p(i))) \rightarrow H^2(N, \mathbb{Z}/p\mathbb{Z}(i))$$

with kernel isomorphic to

$$(H^1(N, \mathbb{Z}_p(i))/p \cap N_{M/N}(H^1(M, \mathbb{Z}/p\mathbb{Z}(i)))) / N_{M/N}(H^1(M, \mathbb{Z}_p(i))/p).$$

*Proof.* — We first note that the exact sequence

$$0 \rightarrow \mathbb{Z}_p(i) \rightarrow \mathbb{Z}_p(i) \rightarrow \mathbb{Z}/p\mathbb{Z}(i) \rightarrow 0$$

induces an injection

$$H^1(N, \mathbb{Z}_p(i))/p \hookrightarrow H^1(N, \mathbb{Z}/p\mathbb{Z}(i)),$$

and therefore we can view  $H^1(N, \mathbb{Z}_p(i))/p$  as a subgroup of  $H^1(N, \mathbb{Z}/p\mathbb{Z}(i))$ , and similarly for  $M$ . Since  $G$  is cyclic, we have a canonical isomorphism

$$H^2(G, H^1(M, \mathbb{Z}_p(i))) \cong \hat{H}^0(G, H^1(M, \mathbb{Z}_p(i))) \otimes H^2(G, \mathbb{Z}_p)$$

given by the cup-product. Here  $\hat{H}$  denotes Tate-cohomology. Now the group  $H^1(M, \mathbb{Z}_p(i))$  satisfies Galois descent as we have seen in the proof of Theorem 1.2, even in the case  $p = 2$ . Hence

$$\begin{aligned} \hat{H}^0(G, H^1(M, \mathbb{Z}_p(i))) &\cong H^1(N, \mathbb{Z}_p(i))/N_{M/N}(H^1(M, \mathbb{Z}_p(i))) \\ &\cong (H^1(N, \mathbb{Z}_p(i))/p)/N_{M/N}(H^1(M, \mathbb{Z}_p(i))/p). \end{aligned}$$

Now  $H^2(G, \mathbb{Z}_p) \cong H^1(G, \mathbb{Q}_p/\mathbb{Z}_p) \cong H^1(G, \mathbb{Z}/p\mathbb{Z})$ , since  $G$  is cyclic of order  $p$ , and we have the cup-product

$$H^1(N, \mathbb{Z}/p\mathbb{Z}(i)) \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}) \rightarrow H^2(N, \mathbb{Z}/p\mathbb{Z}(i))$$

whose kernel is equal to

$$N_{M/N}(H^1(M, \mathbb{Z}/p\mathbb{Z}(i))) \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}).$$

To see this, we may assume without loss of generality that  $N$  contains  $\mu_p$ , in which case this product is just a twisted version of the standard cup-product into the Brauer group of  $F$ . Restricting the last morphism to the subgroup  $H^1(N, \mathbb{Z}_p(i))/p \otimes H^1(G, \mathbb{Z}/p\mathbb{Z})$  yields the result.  $\square$



Let us return now to the situation considered before:  $p$  is odd and  $L/F$  is a cyclic extension of number fields of degree  $p$  with Galois group  $G$ . We are going to compare the global and local maps constructed in Proposition 2.9. Let  $C_v := \text{coker}(H^2(F_v, \mathbb{Z}_p(i)) \rightarrow (\bigoplus_{w|v} H^2(L_w, \mathbb{Z}_p(i))))^G$ . Then by definition

$$C_v = 0 \Leftrightarrow v \notin T_{L/F}^{(i)}$$

and  $C_v = H^2(G, H^1(L_w, \mathbb{Z}_p(i))) \cong H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i))$  if  $v \in T_{L/F}^{(i)}$ . The following commutative diagram:

$$\begin{array}{ccc} H^2(G, K_{2i-1}^{\text{ét}}(L)) & \rightarrow & \prod_v C_v \\ \downarrow & & \downarrow \\ 0 \rightarrow H^2(F, \mathbb{Z}/p\mathbb{Z}(i)) & \rightarrow & \prod_v H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i)) \end{array}$$

then shows that the image of  $H^2(G, K_{2i-1}^{\text{ét}}(L))$  in  $\prod_v H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i))$  is in fact contained in  $\bigoplus_{v \in T_{L/F}^{(i)}} H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i))$ . The injectivity of the localization map  $H^2(F, \mathbb{Z}/p\mathbb{Z}(i)) \rightarrow \prod_v H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i))$  is proved for instance in [33, Section 2, Lemma 7]. We can now conclude:

LEMMA 2.10. — *The canonical map  $H^2(G, K_{2i-1}^{\text{ét}}(L)) \rightarrow H^2(F, \mathbb{Z}/p\mathbb{Z}(i))$  induces the map*

$$\alpha' : H^2(G, K_{2i-1}^{\text{ét}}(L)) \rightarrow \bigoplus_{v \in T_{L/F}^{(i)}} H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i)).$$

Furthermore:

$$\ker \alpha' \cong [K_{2i-1}^{\text{ét}}(F)/p \cap N_{L/F}(H^1(L, \mathbb{Z}/p\mathbb{Z}(i))]/N_{L/F}(K_{2i-1}^{\text{ét}}(L)/p)$$

and

$$|\text{im } \alpha'| = [K_{2i-1}^{\text{ét}}(F)/p : K_{2i-1}^{\text{ét}}(F)/p \cap N_{L/F}(H^1(L, \mathbb{Z}/p\mathbb{Z}(i))].$$

Combining this with the calculation of  $\ker \beta'$  provides the main result of this section, a “genus formula” for the étale wild kernels for cyclic extensions of degree  $p$ :

THEOREM 2.11. — *Let  $L/F$  be a cyclic extension of number fields of degree  $p$ ,  $p$  odd, with Galois group  $G$ . Assume that  $T_{L/F}^{(i)} \neq \emptyset$  and that some  $v \in T_{L/F}^{(i)}$  is undecomposed in  $F_1$  if  $i \equiv 1 \pmod{d}$ . Then the natural map  $WK_{2i-2}^{\text{ét}}(L)_G \rightarrow WK_{2i-2}^{\text{ét}}(F)$  is surjective and its kernel has order*

$$\frac{p^{|T_{L/F}^{(i)}|}}{|H^0(F, \mathbb{Z}/p\mathbb{Z}(1-i))| \cdot [K_{2i-1}^{\text{ét}}(F)/p : K_{2i-1}^{\text{ét}}(F)/p \cap N_{L/F}(H^1(L, \mathbb{Z}/p\mathbb{Z}(i))]}.$$

*Remark 2.12.* — Let us consider the special case that  $i \equiv 1 \pmod d$ , and that all  $p$ -adic primes of  $L$  are undecomposed in  $L_\infty$ . Then  $T_{L/F}^{(i)}$  contains all undecomposed  $p$ -adic primes as well as all tamely ramified primes. Hence we can rewrite the formula in the preceding theorem as

$$\frac{|WK_{2i-2}^{\acute{e}t}(L)^G|}{|WK_{2i-2}^{\acute{e}t}(F)|} = \frac{\prod_{\mathfrak{p}|p} d_{\mathfrak{p}}(L/F) \cdot \prod_{\mathfrak{p} \nmid p} e_{\mathfrak{p}}(L/F)}{[L : F] \cdot [K_{2i-1}^{\acute{e}t}(F)/p : K_{2i-1}^{\acute{e}t}(F)/p \cap N_{L/F}(H^1(L, \mathbb{Z}/p\mathbb{Z}(i)))]}$$

Here  $d_{\mathfrak{p}}(L/F)$  and  $e_{\mathfrak{p}}(L/F)$  denote the local degrees and the ramification indices, respectively. If we replace the étale  $K$ -theory index by the index  $[U'_F : U'_F \cap N_{L/F}(L^*)]$  for the  $p$ -units  $U'_F$ , then this becomes precisely the genus formula for the  $p$ -class groups. We will return to this peculiarity later on.

*Example 2.13.* — 1) Take  $p = i = 3$  and  $F = \mathbb{Q}$  the field of rationals. Since  $K_4(\mathbb{Z})$  is trivial (cp. [30], [31], [32]), so is  $WK_4^{\acute{e}t}(\mathbb{Z})$ . We are going to give an infinite family of cubic fields  $L$  such that  $WK_4^{\acute{e}t}(L) = 0$ . For this, consider the set of primes (see also [37, Remarks page 182])

$$\begin{aligned} P &= \{ \ell ; \ell \equiv 1 \pmod 3 \text{ and } 3^{\frac{\ell-1}{3}} \equiv 1 \pmod \ell \} \\ &= \{ \ell ; \ell \equiv 1 \pmod 3 \text{ and } \sqrt[3]{3} \in \mathbb{Z}/\ell\mathbb{Z} \}. \end{aligned}$$

Obviously, by Hensel's lemma, we have

$$\begin{aligned} P &= \{ \ell ; \mu_3 \subset \mathbb{Q}_\ell \text{ and } \sqrt[3]{3} \in \mathbb{Q}_\ell \} \\ &= \{ \ell ; \ell \text{ splits in } \mathbb{Q}(\mu_3, \sqrt[3]{3}) \}. \end{aligned}$$

We are interested in the infinite family (of density  $\frac{1}{6} - \frac{1}{18}$ ) of the primes  $\ell$  in  $P$  which do not split in  $\mathbb{Q}(\mu_9, \sqrt[3]{3})$ . Now let  $L$  be the cubic extension of  $\mathbb{Q}$  contained in  $\mathbb{Q}(\mu_\ell)$  and  $G = G(L/\mathbb{Q})$ . Then  $T_{L/\mathbb{Q}}^{(3)} = \{\ell\}$  and, according to Theorem 2.11, the wild kernel  $WK_4^{\acute{e}t}(L) = 0$ .

2) In this example, we are going to determine the Galois  $p$ -extensions  $M$  of  $\mathbb{Q}$ , for which the  $p$ -part of the classical wild kernel is trivial. The two cases  $p = 3$  and  $p \geq 5$  are completely different due to the fact that in the latter case the considered fields do not contain the maximal real subfield  $\mathbb{Q}(\mu_p)^+$  of the cyclotomic field  $\mathbb{Q}(\mu_p)$ . For  $p \geq 5$ , the Galois  $p$ -extensions  $M$  of  $\mathbb{Q}$  for which  $WK_2(M)\{p\} = 0$  are exactly the layers  $\mathbb{Q}_n$  of the  $\mathbb{Z}_p$ -extension  $\mathbb{Q}_\infty/\mathbb{Q}$ . Indeed, since  $\mathbb{Q}_\infty$  is the maximal  $p$ -ramified

pro- $p$ -extension of  $\mathbb{Q}$ , we see that the maximal  $p$ -ramified extension of  $\mathbb{Q}$  contained in  $M$  is a layer  $\mathbb{Q}_n$  of  $\mathbb{Q}_\infty$ . If  $M = \mathbb{Q}_n$  then, by Corollary 2.7,  $WK_2(M)\{p\} = 0$ . Otherwise, choose a tower of degree  $p$  cyclic extensions

$$\mathbb{Q}_n = M_0 \subset M_1 \subset \cdots \subset M_r = M.$$

Since  $T_{M_1/\mathbb{Q}_n}^{(2)} \neq \emptyset$ , we have  $WK_2(M_1)\{p\} \neq 0$  (Theorem 2.11). Moreover, for each intermediate extension  $M_{\nu+1}/M_\nu$ , the canonical map

$$WK_2(M_{\nu+1})\{p\}_{G(M_{\nu+1}/M_\nu)} \rightarrow WK_2(M_\nu)\{p\}$$

is surjective (Proposition 2.3), which shows that  $WK_2(M)\{p\} \neq 0$ . A number field  $M$  for which  $H_{\text{ét}}^2(o_M, \mathbb{Z}/p\mathbb{Z}) = 0$ , is called  $p$ -rational [25], [24]. Moreover, if  $M$  contains  $\mathbb{Q}(\mu_p)^+$ , then it is also called  $p$ -regular [10]. The  $p$ -regularity of  $M$  is simply expressed by the triviality of the  $p$ -part of the tame kernel  $K_2(o_M)$ . As the  $\mathbb{Q}_n$  are not the only  $p$ -extensions of  $\mathbb{Q}$  which are  $p$ -rational, we notice that, for  $p \geq 5$ , among the  $p$ -extensions  $M$  of  $\mathbb{Q}$ , some are  $p$ -rational but have a non-trivial  $WK_2(M)\{p\}$ . Now take  $p = 3$ . Then by Moore's exact sequence  $WK_2(M)\{3\} = 0$  if and only if the tame kernel  $K_2(o_M)$  has no 3-torsion. Hence the number field  $M$  is 3-rational or 3-regular. In this case,  $WK_2(M)\{3\} = 0$  if and only if outside the prime 3, the 3-extension  $M/\mathbb{Q}$  is at most ramified at one prime  $l$ , which is inert in the  $\mathbb{Z}_3$ -extension  $\mathbb{Q}_\infty/\mathbb{Q}$  (cp. [10], [25], [24]).

Let us have a closer look at the cup-product

$$K_{2i-1}^{\text{ét}}(F)/p \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}) \rightarrow H^2(F, \mathbb{Z}/p\mathbb{Z}(i)),$$

which occurred in the proof of Proposition 2.9, and describe the maps  $\alpha'$  and  $\beta'$ . Let  $E = F(\mu_p)$  and  $\Delta = \text{Gal}(E/F)$ . Over  $E$  we have

$$H^2(E, \mathbb{Z}/p\mathbb{Z}(i)) \cong H^2(E, \mathbb{Z}/p\mathbb{Z}(1))(i-1) \cong {}_p\text{Br}(E)(i-1),$$

where  $\text{Br}(E)$  stands for the Brauer group of  $E$ . The set  $T_{LE/E}^{(i)}$  is independent of  $i$ , and we simply denote it by  $T_{LE/E}$ . Obviously, every prime in  $E$  which lies above a prime in  $T_{L/F}^{(i)}$  belongs to  $T_{LE/E}$ . Conversely, let  $v_E$  be a prime in  $T_{LE/E}$ , and let  $v$  denote the prime of  $F$  below  $v_E$ . Then  $v \in T_{L/F}^{(i)}$  if and only if  $H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i)) \neq 0$ . Let  $\text{Br}^T(E)$  denote the subgroup of  $\text{Br}(E)$  of all isomorphism classes of central simple  $E$ -algebras split outside  $T_{LE/E}$ . It is now easy to see that

$$\ker \beta' \cong ({}_p\text{Br}^T(E)(i-1))^\Delta \cong ({}_p\text{Br}^T(E))^{[1-i]},$$

where  $\omega$  denotes the Teichmüller character of  $\Delta$ , and  $A^{[j]}$  denotes the  $j$ -th eigenspace of  $\omega$  acting on a  $\Delta$ -module  $A$ .

Since  $K_{2i-1}^{\text{ét}}(E)/p$  is contained in  $H^1(E, \mathbb{Z}/p\mathbb{Z}(i)) \cong (E^*/E^{*p})(i-1)$ , there exists a subgroup  $D_E^{(i)}$  of  $E^*$  containing  $E^{*p}$  - the analog of the Tate-kernel in case  $i = 2$  - such that

$$K_{2i-1}^{\text{ét}}(E)/p \cong (D_E^{(i)}/E^{*p})(i-1),$$

and hence

$$K_{2i-1}^{\text{ét}}(F)/p \cong ((D_E^{(i)}/E^{*p})(i-1))^\Delta \cong (D_E^{(i)}/E^{*p})^{[1-i]}.$$

Note that for  $i \equiv 1 \pmod d$  we can similarly define  $D_F^{(i)}$ , and clearly in this case  $(D_E^{(i)}/p)^\Delta \cong D_F^{(i)}/p$ . The considerations after Proposition 2.9 now show that the cup-product over  $E$  is explicitly given as

$$(D_E^{(i)}/E^{*p})(i-1) \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}) \rightarrow {}_p\text{Br}^T(E)(i-1),$$

where

$$D_E^{(i)}/E^{*p} \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}) \rightarrow {}_p\text{Br}^T(E),$$

is the classical cup-product  $x \otimes \chi \mapsto (\chi, x)$  (cp. [34, Chap. XIV]). Descending to  $F$ , we see that the image of  $\alpha'$  is precisely the image of the cup-product

$$(D_E^{(i)}/E^{*p})^{[1-i]} \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}) \rightarrow ({}_p\text{Br}^T(E))^{[1-i]}.$$

We can therefore reformulate the condition for Galois co-descent of the wild kernel as follows:

**THEOREM 2.14.** — *Let  $L/F$  be a cyclic extension of number fields of degree  $p$ ,  $p$  odd, with Galois group  $G$ . Assume that  $T_{L/F}^{(i)} \neq \emptyset$  and that some  $v \in T_{L/F}^{(i)}$  is undecomposed in  $F_1$  if  $i \equiv 1 \pmod d$ . Then the étale wild kernel  $WK_{2i-2}^{\text{ét}}(L)$  satisfies Galois co-descent if and only if the cup-product*

$$(D_E^{(i)}/E^{*p})^{[1-i]} \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}) \rightarrow ({}_p\text{Br}^T(E))^{[1-i]}$$

is surjective.

In the special case where  $i \equiv 1 \pmod d$ , the condition can be reformulated as: The cup-product

$$D_F^{(i)}/F^{*p} \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}) \rightarrow {}_p\text{Br}^{T_{L/F}^{(i)}}(F)$$

is surjective. Moreover, in this special case the genus-formula simplifies to:

$$\frac{|WK_{2i-2}^{\acute{e}t}(L)^G|}{|WK_{2i-2}^{\acute{e}t}(F)|} = \frac{p^{|T_{L/F}^{(i)}|-1}}{[D_F^{(i)} : D_F^{(i)} \cap N_{L/F}(L^*)]}.$$

Since  $i \geq 2$ , we can reinterpret the cup-product as a Galois symbol: Let  $EL = E(\sqrt[i]{\delta})$ . Then

$$(D_E^{(i)}/E^{*p})(i-1) \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}) = (D_E^{(i)}/E^{*p}) \otimes H^1(G, \mu_p)(i-2),$$

and therefore the cup-product over  $E$  is the  $(i-2)$ -th twist of the Galois symbol

$$D_E^{(i)}/E^{*p} \otimes H^1(G, \mu_p) \rightarrow \mu_p \otimes {}_p\text{Br}^T(E).$$

The Kummer radical  $H^1(G, \mu_p)$  is generated by  $\delta$ , and the map is given by (cp. [23])

$$x \otimes \delta \mapsto \zeta_p \otimes \left[ \left( \frac{x, \delta}{E} \right) \right],$$

where  $\zeta_p$  is a primitive  $p$ th root of unity and  $\left[ \left( \frac{x, \delta}{E} \right) \right]$  denotes the isomorphism class of the cyclic algebra  $(\frac{x, \delta}{E})$ , with generators  $u, v$  and relations:  $u^p = x, v^p = \delta, vu = \zeta_p uv$ .

In general, not much is known about the higher ‘‘Tate-kernels’’  $D_E^{(i)}$  defined by  $K_{2i-1}^{\acute{e}t}(E)/p \cong (D_E^{(i)}/E^{*p})$ . However, for  $n$  large, the groups  $D_{E_n}^{(i)}/E_n^{*p}$  can be characterized in terms of local conditions, if we assume the Gross conjecture, which we describe next: Let  $F$  be any number field. For a finite prime  $v$  in  $F$  let  $\hat{F}_v = \varprojlim F_v^*/F_v^{*p^k}$ , let  $\hat{U}_v = \mathbb{Z}_p \otimes U_v$  and let  $\mathcal{N}_v \subset \hat{F}_v$  denote the group of norms from the cyclotomic  $\mathbb{Z}_p$ -extension of  $F_v$ . Thus  $\mathcal{N}_v = \hat{U}_v$  if  $v \notin S_p$ , and for  $v \in S_p$  we have the following characterization:

$$a \in \mathcal{N}_v \Leftrightarrow \log_p(N_{F_v/\mathbb{Q}_p}(a)) = 0,$$

where  $\log_p$  denotes the  $p$ -adic logarithm normalized by  $\log_p(p) = 0$  (cp. [9], [19]). There is a natural homomorphism

$$g_F : \mathbb{Z}_p \otimes U_F' \rightarrow \bigoplus_{v|p} \hat{F}_v^*/\mathcal{N}_v$$

and the Gross kernel  $GK(F) := \ker g_F$  has  $\mathbb{Z}_p$ -rank  $r_1(F) + r_2(F) + \delta_F$ , where  $\delta_F \geq 0$  is the Gross defect.  $GK(F)$  is therefore characterized by the

following local conditions:

$$\epsilon \in GK(F) \Leftrightarrow \epsilon \in \mathbb{Z}_p \otimes U'_F \quad \text{and} \quad \log_p(N_{F_v/\mathbb{Q}_p}(\epsilon)) = 0 \quad \forall v \in S_p.$$

The Gross Conjecture postulates that  $\delta_F = 0$ , which is true for instance for abelian fields  $F$ . Let - as before -  $E = F(\mu_p)$  and  $\Delta = \text{Gal}(E/F)$ . The following result was proved for  $i = 2$  in [19, Theorem 2.5]. The method was extended to higher étale  $K$ -theory in [5].

THEOREM 2.15. — *For  $n$  large there is an exact sequence*

$$0 \rightarrow K_{2i-1}^{\text{ét}}(E_n)/p \rightarrow (\ker g_{E_n}/p)(i-1) \rightarrow (\mathbb{Z}/p\mathbb{Z})^{\delta_n} \rightarrow 0,$$

where  $\delta_n$  denotes the Gross defect for the field  $E_n$ .

COROLLARY 2.16. — *Assume that the Gross Conjecture holds for  $E_n$ ,  $n$  large. Then*

$$D_{E_n}^{(i)}/E_n^{*p} \cong GK(E_n)/p \quad \text{for } n \text{ large.}$$

*In particular, for  $n$  large, the groups  $D_{E_n}^{(i)}/E_n^{*p}$  are independent of  $i$ .*

So far in this section we have ignored the prime 2. Let us briefly discuss the case  $p = 2$  in the classical situation  $i = 2$ , where special attention has to be paid to real infinite primes in  $F$ . Let  $L = F(\sqrt{\delta})$  be a quadratic extension of number fields with Galois group  $G$ . Denote by  $T_{L/F}$  the set of finite primes in  $F$  which consists of all ramified non-dyadic primes and of all undecomposed dyadic primes  $v$  of  $F$ , for which either  $\mu(L_w)\{2\} = \mu(F_v)\{2\}$  or  $L_w$  is not contained in the cyclotomic  $\mathbb{Z}_2$ -extension of  $F_v$ , where  $w$  is the prime above  $v$  in  $L$ . Also, denote by  $D_F$  the subgroup of  $F^*$  of all elements  $x$ , such that  $\{-1, x\} = 1$  in  $K_2(F)$ . This is the classical Tate-kernel. Then the following results can be proved along the same lines as for odd  $p$ :

PROPOSITION 2.17. — *The canonical map  $WK_2(L)\{2\}_G \rightarrow WK_2(F)\{2\}$  is surjective precisely in the following situations, and has cokernel of order 2 otherwise:*

- i)  $|\mu(L)\{2\}| > |\mu(F)\{2\}|$  and  $L \subset F_\infty$ .
- ii)  $|\mu(L)\{2\}| > |\mu(F)\{2\}|$ ,  $L \not\subset F_\infty$  and  $\mu(L_w)\{2\} = \mu(L)\{2\}$  for some  $w \mid v$ ,  $v \in T_{L/F}$ .
- iii)  $\mu(L)\{2\} = \mu(F)\{2\}$  and  $\mu(L_w)\{2\} = \mu(F_v)\{2\}$  for some  $v \in T_{L/F}$ .

We note in particular that the map  $WK_2(L)\{2\}_G \rightarrow WK_2(F)\{2\}$  is always surjective if a non-dyadic prime of  $F$  is ramified in  $L$ .

**THEOREM 2.18.** — *Let  $L/F$  be a relative quadratic extension with Galois group  $G$ .*

a) *If  $|\mu(L)\{2\}| > |\mu(F)\{2\}|$  and  $L \subset F_\infty$ , then  $WK_2(L)\{2\}_G \cong WK_2(F)\{2\}$ .*

b) *If either  $|\mu(L)\{2\}| = |\mu(F)\{2\}|$  or  $L \not\subset F_\infty$ , and if either a real infinite prime of  $F$  ramifies in  $L$  or if  $|\mu(F_v)\{2\}| = |\mu(F)\{2\}|$  for some prime  $v \in T_{L/F}$ , then*

$$\frac{|WK_2(L)\{2\}_G|}{|WK_2(F)\{2\}|} = \frac{2^{|T_{L/F}|-1}}{[D_F : D_F \cap N_{L/F}(L^*)]}.$$

In [6], Browkin and Schinzel computed the 2-rank of the wild kernel of a quadratic number field and obtained a complete list of quadratic number fields with trivial 2-primary wild kernels. A combination of their results with the genus formula in Theorem 2.18 and methods of [12] yield a complete list of bi-quadratic fields with trivial 2-primary wild kernels. Details will appear elsewhere.

### 3. Capitulation kernels.

Let  $p$  be an odd prime and let  $F_\infty/F$  be an arbitrary  $\mathbb{Z}_p$ -extension of  $F$  with finite layers  $F_n$ . Let  $A'_n = A'(F_n)$  denote the  $p$ -part of the  $p$ -class group of  $F_n$  and  $A'_\infty = \varinjlim A'_n$ . We define the capitulation kernel  $\text{Cap}_0(F_\infty/F_n) = \ker(A'_n \rightarrow A'_\infty)$ . As is well-known (cp. [13]) these kernels stabilize, more precisely, the norm  $N_{F_m/F_n} : \text{Cap}_0(F_\infty/F_m) \rightarrow \text{Cap}_0(F_\infty/F_n)$  is an isomorphism for  $n$  large and  $m \geq n$  and we set  $\text{Cap}_0(F_\infty) = \varprojlim \text{Cap}_0(F_\infty/F_n)$ .

**Remark 3.1.** — Let  $A_n$  denote the  $p$ -part of the (usual) class group of  $F_n$  and let  $A_\infty = \varinjlim A_n$ . Once again, the capitulation kernels  $\ker(A_n \rightarrow A_\infty)$  stabilize, and we can consider  $\tilde{\text{Cap}}(F_\infty) = \varprojlim \ker(A_n \rightarrow A_\infty)$ . We note that in general  $\tilde{\text{Cap}}(F_\infty) \neq \text{Cap}_0(F_\infty)$ . Indeed, from the explicit examples elaborated by Greenberg in [11, section 8], it is not hard to see

that if we take  $F = \mathbb{Q}(\sqrt{142})$ ,  $p = 3$ , and let  $F_\infty$  be the cyclotomic  $\mathbb{Z}_3$ -extension of  $F$ , then  $\text{Cap}(F_\infty) \cong \mathbb{Z}/3\mathbb{Z}$ , whereas  $\text{Cap}_0(F_\infty)$  is trivial. From a  $K$ -theoretic point of view,  $\text{Cap}_0(F_\infty)$  is the appropriate object to study.

We want to consider the analog of these kernels in higher étale  $K$ -theory.

Let again  $S$  be a finite set of primes in  $F$  containing  $S_p$ . To simplify notation, we put

$$\tilde{K}_{2i-1}^{\text{ét}}(F_\infty) = \varinjlim K_{2i-1}^{\text{ét}}(F_n)$$

and

$$\tilde{K}_{2i-2}^{\text{ét}}(o_\infty^S) = \varinjlim K_{2i-2}^{\text{ét}}(o_n^S),$$

where  $o_n^S$  denotes the ring of  $S$ -integers in  $F_n$ , i.e. the integral closure of  $o_F^S$  in  $F_n$ . We now define for  $i \geq 2$ :

$$\text{Cap}_{i-1}(F_\infty/F_n) = \ker(K_{2i-2}^{\text{ét}}(o_n^S) \rightarrow \tilde{K}_{2i-2}^{\text{ét}}(o_\infty^S)).$$

The following result implies in particular that the definition is independent of the choice of the finite set  $S$  containing  $S_p$ . Let  $\Gamma_n$  denote the Galois group of  $F_\infty/F_n$  with the usual convention  $\Gamma_0 = \Gamma$ .

PROPOSITION 3.2. — *For  $i \geq 2$  there is a short exact sequence*

$$0 \rightarrow H^1(\Gamma_n, \tilde{K}_{2i-1}^{\text{ét}}(F_\infty)) \rightarrow K_{2i-2}^{\text{ét}}(o_n^S) \rightarrow \tilde{K}_{2i-2}^{\text{ét}}(o_\infty^S)^{\Gamma_n} \rightarrow 0.$$

*Proof.* — For each  $m \geq n$ , Theorem 1.2 gives an exact sequence

$$\begin{aligned} 0 \rightarrow H^1(\Gamma_n/\Gamma_m, K_{2i-1}^{\text{ét}}(F_m)) &\rightarrow K_{2i-2}^{\text{ét}}(o_n^S) \\ &\rightarrow K_{2i-2}^{\text{ét}}(o_m^S)^{\Gamma_n/\Gamma_m} \rightarrow H^2(\Gamma_n/\Gamma_m, K_{2i-1}^{\text{ét}}(F_m)) \rightarrow 0. \end{aligned}$$

From Corollary 1.4 we see that the orders of the groups  $H^2(\Gamma_n/\Gamma_m, K_{2i-1}^{\text{ét}}(F_m))$  are bounded independently of  $m$  by the order of  $K_{2i-2}^{\text{ét}}(o_n^S)$ , and therefore the limit

$$H^2(\Gamma_n, \tilde{K}_{2i-1}^{\text{ét}}(F_\infty)) = \varinjlim H^2(\Gamma_n/\Gamma_m, K_{2i-1}^{\text{ét}}(F_m))$$

is finite. On the other hand, this group is divisible, since  $cd_p(\Gamma_n) = 1$ , hence trivial. □



In the classical case  $i = 1$ , it was shown by Iwasawa (cp. [13, Theorem 12]) that

$$\text{Cap}_0(F_\infty/F_n) \cong H^1(\Gamma_n, U'_\infty),$$

where  $U'_\infty = \varinjlim U'_n$  and  $U'_n$  denotes the group of  $p$ -units of  $F_n$ . Therefore Proposition 3.2 gives, in particular, the following higher-dimensional analog of this result:

COROLLARY 3.3. — For  $i \geq 2$

$$\text{Cap}_{i-1}(F_\infty/F_n) \cong H^1(\Gamma_n, \tilde{K}_{2i-1}^{\text{ét}}(F_\infty)).$$

To go further, we quote the following general result of Kahn (cp. [16, Proposition 6.2]), which he attributes to Nguyen Quang Do:

LEMMA 3.4. — Let  $A$  be a discrete torsion free  $\Gamma$ -module. Assume that for all integers  $n \geq 0$ :

- i)  $H^0(\Gamma_n, A)$  is finitely generated;
- ii)  $H^1(\Gamma_n, A)$  is finite;
- iii)  $H^2(\Gamma_n, A) = 0$ .

Then the groups  $H^1(\Gamma_n, A)$  stabilize, in particular  $\varprojlim H^1(\Gamma_n, A)$  is finite.

Let

$$\tilde{K}_{2i-1}^{\text{ét}}(F_n) = K_{2i-1}^{\text{ét}}(F_n)/\text{torsion}$$

and

$$\tilde{K}_{2i-1}^{\text{ét}}(F_\infty) = \tilde{K}_{2i-1}^{\text{ét}}(F_\infty)/\text{torsion}.$$

We want to apply the previous lemma with  $A = \tilde{K}_{2i-1}^{\text{ét}}(F_\infty)$ . From the exact sequence

$$0 \rightarrow H^0(F_\infty, \mathbb{Q}_p/\mathbb{Z}_p(i)) \rightarrow \tilde{K}_{2i-1}^{\text{ét}}(F_\infty) \rightarrow \tilde{K}_{2i-1}^{\text{ét}}(F_\infty) \rightarrow 0,$$

we deduce the exact sequence

$$\begin{aligned} 0 \rightarrow \tilde{K}_{2i-1}^{\text{ét}}(F_n) \rightarrow \tilde{K}_{2i-1}^{\text{ét}}(F_\infty)^{\Gamma_n} \rightarrow H^1(\Gamma_n, H^0(F_\infty, \mathbb{Q}_p/\mathbb{Z}_p(i))) \\ \rightarrow H^1(\Gamma_n, \tilde{K}_{2i-1}^{\text{ét}}(F_\infty)) \rightarrow H^1(\Gamma_n, \tilde{K}_{2i-1}^{\text{ét}}(F_\infty)) \rightarrow 0, \end{aligned}$$

as well as an isomorphism

$$H^2(\Gamma_n, \tilde{K}_{2i-1}^{\text{ét}}(F_\infty)) \cong H^2(\Gamma_n, \tilde{K}_{2i-1}^{\text{ét}}(F_\infty)).$$

The proof of Proposition 2.2 showed that  $H^2(\Gamma_n, \tilde{K}_{2i-1}^{\acute{e}t}(F_\infty)) = 0$ , and hence we see that  $\bar{K}_{2i-1}^{\acute{e}t}(F_\infty)$  satisfies the assumptions of the previous lemma. We obtain the fact that the groups  $H^1(\Gamma_n, \bar{K}_{2i-1}^{\acute{e}t}(F_\infty))$  stabilize and therefore that  $\lim_{\leftarrow} H^1(\Gamma_n, \bar{K}_{2i-1}^{\acute{e}t}(F_\infty))$  is finite. To obtain the same result for the groups  $H^1(\Gamma_n, \tilde{K}_{2i-1}^{\acute{e}t}(F_\infty))$  and their limit, we look at the term  $H^1(\Gamma_n, H^0(F_\infty, \mathbb{Q}_p/\mathbb{Z}_p(i)))$  in the above exact sequence: The group  $H^0(F_\infty, \mathbb{Q}_p/\mathbb{Z}_p(i))$  is either  $\mathbb{Q}_p/\mathbb{Z}_p(i)$  or finite. In the first case, Tate’s Lemma implies that  $H^1(\Gamma_n, H^0(F_\infty, \mathbb{Q}_p/\mathbb{Z}_p(i))) = 0$ , hence

$$H^1(\Gamma_n, \tilde{K}_{2i-1}^{\acute{e}t}(F_\infty)) \cong H^1(\Gamma_n, \bar{K}_{2i-1}^{\acute{e}t}(F_\infty)).$$

In the second case,  $H^1(\Gamma_n, H^0(F_\infty, \mathbb{Q}_p/\mathbb{Z}_p(i)))$  stabilizes for  $n$  large, and hence in any case we obtain:

PROPOSITION 3.5. — *The groups  $\text{Cap}_{i-1}(F_\infty/F_n)$  stabilize; more precisely, the corestriction maps*

$$\text{Cap}_{i-1}(F_\infty/F_{n+1}) \rightarrow \text{Cap}_{i-1}(F_\infty/F_n)$$

*are surjective for all  $n$  and  $\lim_{\leftarrow} \text{Cap}_{i-1}(F_\infty/F_n)$  is finite.*

We now define

$$\text{Cap}_{i-1}(F_\infty) = \lim_{\leftarrow} \text{Cap}_{i-1}(F_\infty/F_n).$$

Now let us specialize and take  $F_\infty/F$  to be the cyclotomic  $\mathbb{Z}_p$ -extension. As in the case  $i = 1$ , the finite groups  $\text{Cap}_{i-1}(F_\infty)$  then have various characterizations in terms of Iwasawa-theory. Let  $E = F(\mu_p)$ , let  $E_\infty = F(\mu_{p^\infty})$  be the cyclotomic  $\mathbb{Z}_p$ -extension of  $E$  and identify  $\Gamma_n$  with the Galois group of  $E_\infty/E_n$ . We first describe  $\text{Cap}_{i-1}(E_\infty)$ . Let  $\mathcal{X}_\infty$  denote the standard Iwasawa-module for  $E_\infty$ , i.e. the Galois group over  $E_\infty$  of the maximal abelian  $p$ -ramified pro- $p$ -extension of  $E_\infty$ . Denote by  $\text{tor}_\Lambda \mathcal{X}_\infty$  the torsion part of  $\mathcal{X}_\infty$  as a module over  $\Lambda = \mathbb{Z}_p[[\Gamma]]$ . As is well-known, there exists an injective homomorphism ([11, Theorem 3])

$$\mathcal{X}_\infty/\text{tor}_\Lambda \mathcal{X}_\infty \rightarrow \Lambda^{r_2(E)}$$

with finite cokernel  $H$ . The following result is due to Iwasawa ([13] for  $i = 1$ , to Coates ([7]) for  $i = 2$  and to Nguyen Quang Do([27, section 4]) in general:

THEOREM 3.6. — *For all  $i \geq 1$  and all  $n \geq 0$ , there are canonical isomorphisms*

$$\text{Cap}_{i-1}(E_\infty/E_n) \cong H^*(i)_{\Gamma_n}.$$

Since  $H$  is finite, the group  $\Gamma_n$  acts trivially on  $H^*(i)$  for all  $i$  provided  $n$  is large enough. Therefore, as abstract groups, all capitulation kernels  $\text{Cap}_{i-1}(E_\infty)$  are isomorphic to  $H$ .

Let  $\Delta = \text{Gal}(E/F)$  and let  $d$  denote the order of  $\Delta$ . Now clearly

$$\text{Cap}_{i-1}(F_\infty) = \text{Cap}_{i-1}(E_\infty)^\Delta.$$

Theorem 3.6 shows that  $\text{Cap}_{i-1}(E_\infty)$  and  $\text{Cap}_{j-1}(E_\infty)$  are isomorphic as  $\Delta$ -modules for  $i \equiv j \pmod{d}$ . Therefore we obtain the following periodicity result:

**COROLLARY 3.7.** — *Let  $p$  be odd and let  $F_\infty/F$  be the cyclotomic  $\mathbb{Z}_p$ -extension of  $F$ . Then*

$$\text{Cap}_{i-1}(F_\infty) \cong \text{Cap}_{j-1}(F_\infty)$$

for all  $i, j \geq 1$ ,  $i \equiv j \pmod{d}$ .

Next we would like to discuss another well-known relation between capitulation kernels and Iwasawa-theory: We continue to assume that  $E_\infty = F(\mu_{p^\infty})$  is the cyclotomic  $\mathbb{Z}_p$ -extension of  $E = F(\mu_p)$ , and that  $p$  is odd. As usual, let  $X'_\infty$  denote the Galois group over  $E_\infty$  of the maximal abelian unramified pro- $p$ -extension of  $E_\infty$ , in which all primes above  $p$  are completely decomposed. Thus  $X'_\infty \cong \varprojlim_n A'_n(E)$ . The co-invariants  $(X'_\infty)_{\Gamma}$  have been described by Jaulent as a group of logarithmic classes  $\tilde{cl}(E)$  which can be interpreted as the class field theory analog of the wild kernels corresponding to the case  $i = 1$ . The Galois co-descent for these modules  $\tilde{cl}(E)$  has been studied in [14]. Now, let  $(X'_\infty)^0$  denote the maximal finite submodule of  $X'_\infty$ . It is well-known (cp. [21]) that

$$\text{Cap}_0(E_\infty) \cong (X'_\infty)^0.$$

On the other hand, we have for all  $n \geq 0$  and all  $i \geq 2$ , an isomorphism

$$(X'_\infty(i-1))_{\Gamma_n} \cong WK_{2i-2}^{\text{ét}}(E_n)$$

(cp. 33, section 6, Lemma 1)), and therefore

$$\begin{aligned} \ker(WK_{2i-2}^{\text{ét}}(E_n) \rightarrow WK_{2i-2}^{\text{ét}}(E_m)) \\ \cong \ker((X'_\infty(i-1))_{\Gamma_n} \rightarrow (X'_\infty(i-1))_{\Gamma_m}) \\ \cong (X'_\infty)^0(i-1) \end{aligned}$$

for  $n$  large and  $m$  sufficiently larger than  $n$ . If we define

$$\tilde{W}K_{2i-2}^{\text{ét}}(E_\infty) = \varinjlim W K_{2i-2}^{\text{ét}}(E_n),$$

then we obtain

PROPOSITION 3.8. — For  $i \geq 2$  and  $n$  sufficiently large we have:

$$\text{Cap}_{i-1}(E_\infty) \cong \ker(WK_{2i-2}^{\text{ét}}(E_n) \rightarrow \tilde{W}K_{2i-2}^{\text{ét}}(E_\infty)) \cong (X'_\infty)^0(i-1)$$

as  $\Delta$ -modules.

For the original field  $F$  and the cyclotomic  $\mathbb{Z}_p$ -extension  $F_\infty/F$  this implies:

$$\text{Cap}_{i-1}(F_\infty) = \ker(WK_{2i-2}^{\text{ét}}(F_n) \rightarrow \tilde{W}K_{2i-2}^{\text{ét}}(F_\infty)) \cong ((X'_\infty)^0(i-1))^\Delta.$$

Again let  $\omega$  denote the Teichmüller character on  $\Delta$ . We have

$$((X'_\infty)^0(i-1))^\Delta \cong ((X'_\infty)^0)^{[1-i]} \cong (X'_\infty)^{[1-i]0},$$

and hence

$$\text{Cap}_{i-1}(F_\infty) \cong (X'_\infty)^{[1-i]0} \cong \text{Cap}_0(E_\infty)^{[1-i]}$$

for all  $i \geq 1$ . We therefore obtain a decomposition of  $\text{Cap}_0(E_\infty)$  into eigenspaces:

$$\text{Cap}_0(E_\infty) \cong \bigoplus_{j=0}^{d-1} \text{Cap}_j(F_\infty)$$

with  $\text{Cap}_j(F_\infty)$  being isomorphic to the  $(d-j)$ -th eigenspace of  $\text{Cap}_0(E_\infty)$ . The following result gives the connection with Section 2:

PROPOSITION 3.9. — For  $i \geq 2$ , the following statements are equivalent:

- i)  $\text{Cap}_{i-1}(F_\infty) \cong WK_{2i-2}^{\text{ét}}(F_n)$  for large  $n$ .
- ii)  $X'_\infty^{[1-i]}$  is finite.

Proof. — As already mentioned we have for  $i \geq 2$ :

$$(X'_\infty(i-1))_{\Gamma_n} \cong WK_{2i-2}^{\text{ét}}(E_n),$$

hence

$$X'_\infty(i-1) \cong \varprojlim W K_{2i-2}^{\text{ét}}(E_n),$$

and therefore

$$X'_\infty^{[1-i]} \cong \varprojlim WK_{2i-2}^{\text{ét}}(F_n).$$

The equivalence of i) and ii) is now obvious.  $\square$

Let us assume now that the base field  $F$  is totally real. Then  $E$  is a CM-field with maximal real subfield  $E^+$ . Since obviously the plus-part of the group  $H$  is trivial in this situation, Theorem 3.6 implies that  $\text{Cap}_{i-1}(F_\infty) = 0$  for all even  $i \geq 2$ , hence that the minus-part of  $\text{Cap}_0(E_\infty)$  vanishes:  $\text{Cap}_0(E_\infty)^- = 0$ . Let  $X_\infty$  denote the Galois group of the maximal abelian unramified pro- $p$ -extension of  $E_\infty$ . Greenberg's Conjecture (cp. [11]) for the cyclotomic  $\mathbb{Z}_p$ -extension  $F_\infty$  of the totally real field  $F$  is equivalent to the fact that  $X_\infty^\Delta$  is finite. Clearly this implies that  $(X'_\infty)^\Delta$  is also finite, and the converse implication is true if one assumes for example that Leopoldt's Conjecture holds for the layers  $F_n$  of  $F_\infty/F$ . We will refer to Greenberg's Conjecture in the form:  $(X'_\infty)^\Delta$  is finite. In fact we will consider Greenberg's Conjecture for the field  $E^+$ . Using Proposition 3.9, we can summarize:

PROPOSITION 3.10. — *Let  $F$  be a totally real number field,  $p$  an odd prime,  $E = F(\mu_p)$  and  $E^+$  the maximal real subfield of  $E$ . Furthermore, let  $F_\infty$  denote the cyclotomic  $\mathbb{Z}_p$ -extension of  $F$  and  $E_\infty$  the cyclotomic  $\mathbb{Z}_p$ -extension of  $E$ . Then:*

i)  $\text{Cap}_0(E_\infty)^- = 0$ , i.e.  $\text{Cap}_{i-1}(F_\infty) = 0$  for all even  $i \geq 2$ .

ii)  $\text{Cap}_{i-1}(F_\infty) \cong WK_{2i-2}^{\text{ét}}(F_n)$  for large  $n$  and all odd  $i \geq 3$ , if and only if Greenberg's Conjecture holds for  $E^+$ .

As an immediate consequence of part ii), we obtain that under Greenberg's Conjecture the étale wild kernels  $WK_{2i-2}^{\text{ét}}(F_n)$  show the same periodic behaviour as the capitulation kernels for  $n$  large and  $i \geq 3$  odd. On the other hand, under Greenberg's Conjecture for  $E^+$ , we also have  $\text{Cap}_0(E_\infty^+) = \text{Cap}_0(E_\infty)^+ = A'_n(E)^+$  for  $n$  large; hence for all  $i \geq 3$  odd:

$$\text{Cap}_{i-1}(F_\infty) \cong A'_n(E)^{[1-i]} \cong WK_{2i-2}^{\text{ét}}(F_n) \quad \text{for } n \text{ large.}$$

Therefore, the Galois co-descent results of Section 2 also apply to both  $\text{Cap}_{i-1}(F_\infty)$  and the eigenspaces  $A'_n(E)^{[1-i]}$  of  $A'_n(E^+)$  for  $n$  large. In particular:

THEOREM 3.11. — *Let  $L/F$  be a cyclic extension of totally real number fields of degree  $p$ ,  $p$  odd, with Galois group  $G$  and let  $E = F(\mu_p)$ . Assume Greenberg's conjecture holds for  $E^+$ ,  $LE^+$  and the Gross*

conjecture holds for  $E_n$ ,  $n$  large. Then for  $i \geq 3$  odd,  $n$  large and  $T_{L_n/F_n} \neq \emptyset$ , Galois co-descent holds for  $\text{Cap}_{i-1}(L_\infty)$  and  $A'_n(LE)^{[1-i]}$  if and only if the cup-product

$$(GK(E_n)/p)^{[1-i]} \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}) \rightarrow {}_p\text{Br}^T(E_n)^{[1-i]}$$

is surjective.

*Remark 3.12.* — If  $i \equiv 1 \pmod d$ , then, under the assumptions of Theorem 3.11, we can compare the genus formulae for  $WK_{2i-2}^{\text{ét}}(L_n)$  and  $A'_n(L)$  to obtain for large  $n$ :

$$[U'_n : U'_n \cap N_{L_n/F_n}(L_n^*)] = [GK(F_n) : GK(F_n) \cap N_{L_n/F_n}(L_n^*)],$$

a result which one can also prove directly.

#### 4. Galois co-descent for the étale tame kernel.

In this final section we briefly discuss how the methods of Section 2 can be used to study the much easier problem of Galois co-descent for the étale tame kernels again for cyclic extensions  $L/F$  of degree  $p$ ,  $p$  odd. Results for arbitrary finite Galois  $p$ -extensions have been obtained by Assim (cp. [1], [2]) in terms of primitive ramification, however under the assumption that Leopoldt’s Conjecture holds for the fields  $L(\mu_{p^n})$  for all  $n$ . Let  $S$  be the finite set of primes of  $F$ , consisting of the set  $S_p$  and the tamely ramified primes in  $L/F$ . We have the following exact sequence:

$$0 \rightarrow K_{2i-2}^{\text{ét}}(o_F) \rightarrow K_{2i-2}^{\text{ét}}(o_F^S) \rightarrow \bigoplus_{v \in S \setminus S_p} H^2(F_v, \mathbb{Z}_p(i)) \rightarrow 0,$$

which, combined with Proposition 1.3, shows that the canonical map

$$K_{2i-2}^{\text{ét}}(o_L)_G \rightarrow K_{2i-2}^{\text{ét}}(o_F)$$

is always surjective and that the kernel of this map is isomorphic to the cokernel of the map

$$K_{2i-2}^{\text{ét}}(o_L^S)^G \rightarrow \left( \bigoplus_{w \in S'_L} H^2(L_w, \mathbb{Z}_p(i)) \right)^G,$$

where  $S'_L$  consists of the primes in  $L$  above  $S \setminus S_p$ . We recall that  $S \setminus S_p$  is always contained in  $T_{L/F}^{(i)}$ . The following is now clear from the results in Section 2:

THEOREM 4.1. — *The kernel of the surjective map  $K_{2i-2}^{\text{ét}}(o_L)_G \rightarrow K_{2i-2}^{\text{ét}}(o_F)$  is isomorphic to the cokernel of the map*

$$K_{2i-1}^{\text{ét}}(F)/p \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}) \rightarrow \bigoplus_{v \in S \setminus S_p} H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i)).$$

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