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CORRESPONDENCE HOMOMORPHISMS FOR SINGULAR VARIETIES

by E.M. FRIEDLANDER^{(*)†} and B. MAZUR^(*)

In this paper, Y and X will be (reduced) projective complex varieties. Homology will be singular homology of underlying topological spaces with \mathbb{Z} -coefficients, unless specifically signalled otherwise.

If Y is smooth and connected of dimension n , and if Z is an effective algebraic cycle in $Y \times X$ equidimensional of dimension r over Y , then the fundamental class $[Z] \in H_{2n+2r}(Y \times X)$ determines a homomorphism in homology

$$\phi_Z : H_*(Y) \rightarrow H_{*+2r}(X)$$

given by the composition of the Poincaré duality isomorphism \mathcal{D} and slant product with $[Z]$:

$$H_*(Y) \xrightarrow{\mathcal{D}} H^{2n-*}(Y) \xrightarrow{\smile [Z]} H_{*+2r}(X).$$

We refer to ϕ_Z as the *correspondence homomorphism* in homology attached to Z .

It is not known to us whether one can naturally attach “correspondence homomorphisms” in homology to equidimensional algebraic cycles in general if the smoothness hypothesis on Y is dropped. Nonetheless, we think that a perfectly legitimate requirement for any theory of “geometric (equidimensional) correspondences” between projective varieties is that there be naturally associated correspondence homomorphisms in homology.

By a *Chow correspondence* (of relative dimension $r \geq 0$) we mean a continuous algebraic map $f : Y \rightarrow \mathcal{C}_r(X)$, a morphism from the semi-normalization of Y to the Chow monoid $\mathcal{C}_r(X)$ of effective r -cycles on

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X (cf. [F1]). A Chow correspondence f has an associated cycle Z_f in $Y \times X$; if Y is normal, then every (effective) algebraic cycle in $Y \times X$ equidimensional of fiber dimension r over Y is the cycle associated to a unique Chow correspondence $f : Y \rightarrow \mathcal{C}_r(X)$ (cf. [FL]).

In an earlier paper, we obtained a correspondence homomorphism $\phi_f : \tilde{H}_*(Y; \mathbb{Q}) \rightarrow H_{*+2r}(X; \mathbb{Q})$ associated to a Chow correspondence $f : Y \rightarrow \mathcal{C}_r(X)$ whose domain of definition is the subspace $\tilde{H}_*(Y; \mathbb{Q}) \subset H_*(X; \mathbb{Q})$ of classes of lowest weight for the Mixed Hodge Structure on the rational homology of a connected variety Y . In this paper, we construct natural correspondence homomorphisms

$$\Phi_f : H_*(Y) \rightarrow H_{*+2r}(X)$$

attached to Chow correspondences f for general Y such that $\Phi_f \otimes \mathbb{Q}$ restricts to ϕ_f whenever Y is connected. Furthermore, we show that Φ_f factors through a refinement $\tilde{\Phi}_f : H_*(Y) \rightarrow H_{*+2r}(V_f)$, where $V_f \subset X$ denotes the projection to X of the support of the cycle $Z_f \subset Y \times X$ associated to f .

Our construction of Φ_f enables us to extend results of [FM] to possibly singular varieties. Among the examples presented in section 2 are mappings constructed in [FM] only when the domain of the mapping is smooth. Indeed, Theorem 4.3 extends to singular varieties the main result of [FM] concerning filtrations on the homology of projective varieties.

We show that a natural refinement $\langle f \rangle$ of the correspondence homomorphism Φ_f is precisely the total Chern class of a vector bundle generated by global sections in the special case that f is the classifying map for this bundle. This suggests that $\langle f \rangle$ might be viewed as a characteristic class for an equidimensional family of varieties.

Our paper is organized as follows. In section 1, we construct the correspondence homomorphism Φ_f and its refinement $\langle f \rangle$ associated to a Chow correspondence $f : Y \rightarrow \mathcal{C}_{r,d}(X)$. We show that this construction is well behaved with respect to compositions and has an evident extension in the relative context. Examples are presented in section 2, including the inverse of the Thom isomorphism for vector bundles and the suspension isomorphism for algebraic suspensions. Section 3 presents the proof that our new construction of the correspondence homomorphism determines the same homomorphism as that considered in [FM] on $\tilde{H}_*(Y; \mathbb{Q})$. Finally, section 4 is devoted to comparing filtrations on homology, thereby extending results

of [FM] to singular varieties and refining these results to homology with integral (rather than rational) coefficients.

We anticipate further generalizations of constructions of correspondence homomorphisms (e.g., arising in the context of the “algebraic bivariant cycle complex” of [FG] or possibly in the general framework developed by V. Voevodsky involving his “h-topology” [V]). Is there a theory of “correspondence homomorphisms” in the context of intersection homology?

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1. Homomorphisms associated to Chow correspondences.

In Appendix B of [FM], we discussed weighted maps $g : T \rightarrow S$, $w : T \rightarrow \mathbb{N}$ of simplicial sets and the induced trace maps $g^! \equiv (g, w)^! : \mathbb{Z}[S] \rightarrow \mathbb{Z}[T]$ which induce trace maps in homology $g^! : H_*(S) \rightarrow H_*(T)$. This construction naturally extends to simplicial maps $g : A \rightarrow B$ of simplicial complexes equipped with a “weighting” since the associated map of simplicial sets (defined as the map on nerves of the categories of simplices of A and B) is a weighted map of simplicial sets. These trace maps satisfy an evident naturality property with respect to maps $f : S' \rightarrow S$ of simplicial sets (and $f : A' \rightarrow A$ of simplicial complexes), yielding maps $(f^*g)^! : H_*(S') \rightarrow H_*(T')$, where $T' = S' \times_S T$. In a remark in that appendix, we assert that the homotopy invariance property of the trace construction permits one to consider continuous maps $f : A \rightarrow |S|$ from a space A homotopy equivalent to a simplicial complex to the geometric realization of a simplicial set. Indeed, the generalization one obtains in this way has an unwanted feature : the resulting trace $(f^*g)^!$ is not realized as the trace of the topological pull-back $A \times_{|S|} |T| \rightarrow A$. In the algebro-geometric context of [FM] and of this article, we do indeed require that the transfer is constructed in terms of the geometric fibre-product. To fill this gap in [FM] and for use here, we offer the following proposition.

We introduce the following notation : $SP^d(X)$ denotes the d -fold symmetric product of X with itself and $\Gamma^d(X) \subset SP^d(X) \times X$ denotes the evident incidence correspondence with projection $\gamma : \Gamma^d(X) \rightarrow SP^d(X)$.

PROPOSITION 1.1. — *Let $f : Y \rightarrow SP^d(X)$ be a morphism of complex, quasi-projective varieties and let*

$$g : \Gamma \equiv Y \times_{SP^d(X)} \Gamma^d(X) \rightarrow Y$$

denote the pull-back of γ via f . Then g admits the structure of a simplicial map of simplicial complexes equipped with a natural weighting.

Proof. — We stratify $SP^d(X)$ in the evident way by partitions of the set $\{1, \dots, d\}$: a point $\sigma \in SP^d(X)$ lies in the $d_1 \geq d_2 \geq \dots \geq d_k$ stratum provided that σ consists of k distinct points with multiplicities d_1, \dots, d_k . Since this stratification is algebraic, we may apply the triangulation theorem presented in [H] to conclude $SP^d(X)$ admits a semi-algebraic triangulation subordinate to this stratification. Furthermore, this theorem enables us to choose the triangulation so that $f(Y)$ is a subcomplex. We then triangulate Y and $\Gamma^d(X)$ as follows. We first triangulate the pre-images (under f and γ) of the 0-simplices of $SP^d(X)$; these pre-images are complex algebraic varieties and thereby admit a triangulation. Proceeding by induction on k , we triangulate the pre-image of each k -simplex (which are semi-algebraic sets and thereby admit triangulations) compatible with the triangulation given on the pre-image of the boundary of the simplex. Each simplex in the pre-image admits a further triangulation with the property that points $(\sigma, x) \in \Gamma^d(X)$ in an open simplex all have the same multiplicity in $\sigma \in SP^d(X)$.

The weighting on γ is that defined [FM] App B : a point $(\sigma, x) \in \Gamma^d(X)$ has weight equal to the multiplicity of x in σ . This is readily seen to provide a weighting of γ as a map of simplicial complexes (provided that the triangulations are chosen as above), so that g is equipped with a natural weighting. □

We conclude that a morphism $Y \rightarrow SP^d(X)$ of complex projective algebraic varieties induces a “Gysin map”

$$g^! : H_*(Y) \rightarrow H^*(Y \times_{SP^d(X)} \Gamma^d(X))$$

and a “correspondence homomorphism”

$$(1.1.1) \quad p_* \circ \tilde{f}_* \circ g^! : H_*(Y) \rightarrow H_*(X)$$

where $\tilde{f} : Y \times_{SP^d(X)} \Gamma^d(X) \rightarrow \Gamma^d(X)$ is the projection onto the second factor and where $p : \Gamma^d(X) \rightarrow X$ is the natural projection.

We can provide a homotopy-theoretic interpretation of this correspondence homomorphism as follows. For any CW complex B , consider the abelian monoid

$$SP(B) \equiv \coprod_{d \geq 0} SP^d(B)$$

and let $Z_0(B)$ denote the “naive group completion” of $SP(B)$, defined as the quotient of $SP(B)^2$ modulo the equivalence relation $(\sigma, \mu) \sim (\sigma', \mu')$ whenever $\sigma + \mu' = \mu + \sigma'$ (cf. [DT], where the notation $AG(B)$ is used instead of $Z_0(B)$). One has a natural isomorphism

$$\delta_B : \pi_*(Z_0(B)) \simeq H_*(B)$$

originally provided by Dold and Thom in [DT]. This isomorphism is characterized by the property that it is a natural equivalence of Eilenberg-Steenrod homology theories on the category of CW complexes such that the composition

$$\delta_B \circ i_{B*} : \pi_*(B) \rightarrow \pi_*(Z_0(B)) \rightarrow H_*(B)$$

equals the Hurewicz homomorphism $\eta_B : \pi_*(B) \rightarrow H_*(B)$, where $i_B : B \rightarrow Z_0(B)$ is the evident inclusion.

Let $f : A \rightarrow SP^d(B)$ be a continuous map of CW complexes and let $f^e : SP^e(A) \rightarrow SP^e(SP^d(B)) \rightarrow SP^{de}(B)$ denote the induced map for each $e \geq 0$. We denote by

$$f^+ : Z_0(A) \rightarrow Z_0(B)$$

the group completion of $\coprod_{d \geq 0} f^e$. Then, we define

$$(1.1.2) \quad \Phi_f \equiv \delta_B \circ f_*^+ \circ \delta_A^{-1} : H_*(A) \rightarrow H_*(B).$$

Since $Z_0(-)$ is a homotopy functor, Φ_f depends only upon the homotopy type of f .

PROPOSITION 1.2. — *Let $f : Y \rightarrow SP^d(X)$ be a morphism of complex projective varieties. Then the maps of (1.1.1) and (1.1.2) associated to f ,*

$$p_* \circ \tilde{f}_* \circ g^! , \Phi_f : H_*(Y) \rightarrow H_*(X)$$

are equal.

Proof. — In [FM] App.B, it is verified that $\tilde{f}_* \circ g^! = \gamma^! \circ f_*$. We easily reduce to the special case where $A = SP^d(X)$, $B = X$, and $f : A \rightarrow B$ is the identity mapping.

Triangulate $\Gamma^d(X) \rightarrow SP^d(X)$ as in Proposition 1.1 and consider the associated map of simplicial sets (with respect to which (1.1.1) is defined), $\tau : T \rightarrow S'$. This weighted map τ and the weighted map

$\gamma : \Gamma^d(S) \rightarrow SP^d(S)$ (where S is the simplicial set associated to the simplicial complex X) have geometric realizations which are related by a homeomorphism which respects weightings. Thus, in our special case, $f = \text{id} : SP^d(X) \rightarrow SP^d(X)$, we may take $g^!$ equal to $\gamma^! : H_*(SP^d(S)) \rightarrow H_*(\Gamma^d(S))$.

We recall that the Dold-Thom isomorphism for a simplicial set S is merely the identification of the (unnormalized) chain complex of the simplicial abelian group $\mathbb{Z}(S)$ with the chain complex $C_*(S)$, thereby providing a (tautological) isomorphism $\delta_S : \pi_*(\mathbb{Z}(S)) \simeq H_*(S)$. Hence, it suffices to prove that

$$p_* \circ \gamma^! = \delta_S \circ (\text{id}_{SP^d(S)}^+)_* \circ \delta_{SP^d(S)}^{-1}.$$

This equality follows from the explicit identification of $p_* \circ \gamma^!$ given in [FM] App. B, as the map in homology induced by the map of chain complexes $C_*(SP^d(S)) \rightarrow C_*(S)$ defined by sending a k -simplex of $SP^d(S)$ (an orbit under the symmetric group of the k -simplices of S^d) to the sum with multiplicities of the underlying k -simplices of S . □

Now let us consider Chow correspondences $f : Y \rightarrow C_{r,d}(X)$ whose relative dimension r is not necessarily 0. Let

$$C_r(X) \equiv \coprod_{d \geq 0} C_{r,d}(X)$$

denote the Chow monoid of effective r -cycles on X . The isomorphism class of this algebro-geometric abelian monoid is shown by Barlet [B] to be independent of the projective embedding $X \subset P^n$. We shall view $C_r(X)$ as an abelian topological monoid whose topology is inherited from the analytic topology of each $C_{r,d}(X)$. Following P. Lima-Filho [LF], we shall consider the abelian topological group $Z_r(X)$, the “naive group completion” of $C_r(X)$ defined as the quotient space of $C_r(X)^{\times 2}$ by the equivalence relation $(Z_1, Z_2) \sim (Z'_1, Z'_2)$ whenever $Z_1 + Z'_2 = Z_2 + Z'_1$. Thus, when $r = 0$, $C_r(X) = SP(X)$ and $Z_0(X)$ is the abelian topological group considered above (with the same name). As can be seen from its step-by-step construction (cf. [LF], [FG]), $Z_r(X)$ admits the structure of an abelian group object in the category of CW complexes.

In previous work, there have been (at least) four approaches to forming the group completion of the topological monoid $C_r(X)$. Namely, in [F1] the homotopy theoretic group completion $\Omega BC_r(X)$ was considered; this is an H-space homotopy equivalent to a CW complex (cf. [M]) with component monoid a group such that the “natural” map $C_r(X) \rightarrow \Omega BC_r(X)$ has

the effect in homology of localizing the action of $\pi_0(\mathcal{C}_r(X))$ on $H_*(\mathcal{C}_r(X))$ (cf. [MS]). In [LF], [FG], $\Omega BC_r(X)$ was shown to be naturally homotopy equivalent to $Z_r(X)$. In [FM], the simplicial abelian monoid $\text{Lim Sing } \mathcal{C}_r(X)$ was considered, defined as the direct limit of copies of the simplicial abelian monoid of singular simplices of $\mathcal{C}_r(X)$ indexed by a “base system” associated to $\pi_0(\mathcal{C}_r(X))$. This was seen to be equivalent as a simplicial monoid to the group completion of the simplicial monoid $\text{Sing } \mathcal{C}_r(X)$ as well as equivalent to the singular complex of $\Omega BC_r(X)$. Finally, in [FG], the simplicial abelian group $\text{Sing } Z_r(X)$ was replaced by its normalized chain complex $\tilde{Z}_r(X)$.

We define the Lawson homology groups to be the homotopy groups of any of these group completions (or the homology groups of the chain complex $\tilde{Z}_r(X)$). Thus,

$$\begin{aligned} L_r H_{*+2r}(X) &= \pi_*(\Omega BC_r(X)) \\ &= \pi_*(Z_r(X)) = \pi_*(\text{Lim Sing } \mathcal{C}_r(X)) = H_*(\tilde{Z}_r(X)). \end{aligned}$$

Various homotopy-theoretic properties of $Z_r(X)$ which we require have been proved for $\Omega BC_r(X)$, $\text{Lim Sing } \mathcal{C}_r(X)$, and $\tilde{Z}_r(X)$ in [F1], [FM], and [FG]. The equivalences discussed above justify our use of these references.

In [FM], the join pairing

$$\# : \mathcal{C}_r(X) \times \mathcal{C}_0(P^1) \rightarrow \mathcal{C}_{r+1}(X \# P^1)$$

(sending an irreducible subvariety $Z \subset X \subset P^n$ and point $t \in P^1$ to the cone on Z with vertex t) and the Lawson suspension equivalence $Z_{r+1}(X \# P^1) \rightarrow Z_{r-1}(X)$, defined up to homotopy, are combined to provide a pairing

$$(1.2.1) \quad s : Z_r(X) \wedge S^2 \rightarrow Z_{r-1}(X).$$

In [FG], the homotopy type of this pairing is shown to be independent of the projective embedding $X \subset P^n$. We shall also denote by s the maps

$$(1.2.2) \quad Z_r(X) \rightarrow \Omega^2 Z_{r-1}(X) \quad , \quad \pi_*(Z_r(X)) \rightarrow \pi_{*+2}(Z_{r-1}(X))$$

the first being the adjoint of (1.2.1) and the second being the map in homotopy induced by (1.2.1).

If $f : Y \rightarrow C_{r,d}(X)$ is a Chow correspondence (of relative dimension $r \geq 0$), let $f^e : SP^e(Y) \rightarrow SP^e(C_{r,d}(X)) \rightarrow C_{r,de}(X)$ denote the induced map for each $e \geq 0$, and let

$$(1.2.3) \quad f^+ : Z_0(Y) \rightarrow Z_r(X)$$

denote the group completion of $\coprod_{e \geq 0} f^e$.

DEFINITION 1.3. – *The correspondence homomorphism Φ_f associated to the Chow correspondence $f : Y \rightarrow C_{r,d}(X)$ is the following composition*

$$\begin{aligned} \delta_X \circ s^r \circ (f^+)_* \circ \delta_Y^{-1} : H_*(Y) &\rightarrow \pi_*(Z_0(Y)) \\ &\rightarrow \pi_*(Z_r(X)) \rightarrow \pi_{*+2r}(Z_0(X)) \rightarrow H_{*+2r}(X). \end{aligned}$$

If f restricts to $f_1 : V \rightarrow C_{r,d}(W)$, then the relative correspondence homomorphism is defined to be the composition

$$\begin{aligned} \Phi_{f,f_1} &\equiv \delta_{X,W} \circ s^r \circ (f^+)_* \circ (\delta_{Y,V})^{-1} : H_*(Y, V) \simeq \pi_*(Z_0(Y)/Z_0(V)) \\ &\rightarrow \pi_*(Z_r(X)/Z_r(W)) \rightarrow \pi_{*+2r}(Z_0(X)/Z_0(W)) \simeq H_{*+2r}(X, W). \end{aligned}$$

If V is empty, then we denote this composition by

$$\Phi_f : H_*(Y) \rightarrow H_{*+2r}(X, W).$$

Given Chow correspondences $f : Y \rightarrow C_{r,d}(X)$ and $g : W \rightarrow C_{r,e}(X)$, we obtain the Chow correspondence

$$f + g : Y \times W \rightarrow C_{r,d+e}(X)$$

using the additive structure $C_{r,d}(X) \times C_{r,e}(X) \rightarrow C_{r,d+e}(X)$. The equality

$$(f + g)^+ = f^+ + g^+ : Z_0(Y \times W) \rightarrow Z_r(X)$$

immediately implies the following useful property of the correspondence homomorphism :

$$(1.3.1) \quad \Phi_{f+g} = \Phi_g \circ pr_{g*} + \Phi_f \circ pr_{f*}.$$

We recall the graph mapping

$$\Gamma_f : Z_k(Y) \rightarrow Z_{r+k}(X)$$

associated to a Chow correspondence $f : Y \rightarrow C_r(X)$ as considered in [F2], defined as the group completion of the composition

$$\text{tr} \circ f_* : C_k(Y) \rightarrow C_k(C_r(X)) \rightarrow C_{r+k}(X)$$

where f_* is the map functorially induced by f (cf. [F1], 2.9) and tr is the trace map of [FL], 7.1. In the special case $k = 0$, Γ_f equals f^+ of (1.2.3). Since the graph mapping commutes with the s -operation ([F2], 2.3), we obtain for m, k with $m - 2k \geq 0$ the following commutative diagram

$$(1.3.2) \quad \begin{array}{ccc} L_k H_m(Y) & \xrightarrow{(\Gamma_f)^*} & L_{r+k} H_{2r+m}(X) \\ \delta_Y \circ s^k \downarrow & & \downarrow \delta_X \circ s^{r+k} \\ H_m(Y) & \xrightarrow{\Phi_f} & H_{m+2r}(X). \end{array}$$

As defined in [F2], 2.6, the composition product $g \cdot f : Y \rightarrow \mathcal{C}_{r+s}(T)$ of Chow correspondences $f : Y \rightarrow \mathcal{C}_r(X)$, $g : X \rightarrow \mathcal{C}_s(T)$ is defined to be the composition

$$g \cdot f = \text{tr} \circ g_* \circ f : Y \rightarrow \mathcal{C}_r(X) \rightarrow \mathcal{C}_r(\mathcal{C}_s(T)) \rightarrow \mathcal{C}_{r+s}(T).$$

PROPOSITION 1.4. — *Let Y, X, T be projective varieties and consider Chow correspondences*

$$f : Y \rightarrow \mathcal{C}_r(X) \quad , \quad g : X \rightarrow \mathcal{C}_s(T).$$

Then the correspondence homomorphism associated to the composition product defined above is given as the composition of the correspondence homomorphisms :

$$\Phi_{g \cdot f} = \Phi_g \circ \Phi_f : H_*(Y) \rightarrow H_{*+2r+2s}(T).$$

Proof. — We compare the following diagram

$$\begin{array}{ccccc} L_0 H_*(Y) & \xrightarrow{(\Gamma_f)^*} & L_r H_{2r+*}(X) & \xrightarrow{(\Gamma_g)^*} & L_{r+s} H_{*+2r+2s}(T) \\ \downarrow & & \downarrow & & \downarrow \\ H_*(Y) & \xrightarrow{\Phi_f} & H_{*+2r}(X) & \xrightarrow{\Phi_g} & H_{*+2r+2s}(T) \end{array}$$

to the square

$$\begin{array}{ccc} L_0 H_*(Y) & \xrightarrow{(\Gamma_{g \cdot f})^*} & L_{r+s} H_{*+2r+2s}(T) \\ \downarrow & & \downarrow \\ H_*(Y) & \xrightarrow{\Phi_{g \cdot f}} & H_{*+2r+2s}(T) \end{array}$$

where the vertical maps are the natural homomorphisms from Lawson homology to singular homology. By (1.3.2), these diagrams commute. By [F2], 2.7, the composition of the upper row of the first diagram equals the upper arrow of the square. The proposition now follows, since the left vertical arrow of each diagram is an isomorphism. \square

As observed in [F2], the graph mapping $\Gamma_f : Z_k(Y) \rightarrow Z_{r+k}(X)$ associated to a Chow correspondence $f : Y \rightarrow \mathcal{C}_r(X)$ admits a refinement

$$\tilde{\Gamma}_f : Z_k(Y) \rightarrow Z_{r+k}(V_f),$$

where $V_f = \text{pr}_{X*}(|Z_f|)$ is the projection to X of the support of the cycle Z_f on $Y \times X$ associated to f , giving the commutative triangle

$$(1.4.1) \quad \begin{array}{ccc} H_*(Y) & & \\ & \searrow \tilde{\Phi}_f & \\ \Phi_f \downarrow & & H_{*+2r}(V_f) \\ & \swarrow & \\ H_{*+2r}(X) & & \end{array}$$

DEFINITION 1.5. — *Let $f : Y \rightarrow \mathcal{C}_{r,d}(X)$ be a Chow correspondence. The total characteristic class $\langle f \rangle$ of f is the homotopy class of the composition*

$$s^r \circ \Gamma_f \circ i_Y : Y \rightarrow Z_0(Y) \rightarrow Z_r(X) \rightarrow \Omega^{2r} Z_0(X)$$

where $i_Y : Y \rightarrow Z_0(Y)$ is the natural inclusion $Y = C_{0,1}(Y) \subset C_0(Y) \rightarrow Z_0(Y)$.

If $\text{Ext}^1(H_{i-1}(X), H_i(X)) = 0$ for all $i > 0$ so that the identification $Z_0(X) \simeq \prod_i K(H_i(X), i)$ is naturally determined up to homotopy, then we view $\langle f \rangle$ as a total cohomology class

$$\langle f \rangle \in \prod_i H^i(Y, H_{2r+i}(X)).$$

Observe that $\langle f \rangle$, the homotopy class of $s^r \circ \Gamma_f \circ i_Y$, naturally determines the correspondence homomorphism Φ_f .

2. Examples.

If a subvariety $Z \subset Y \times X$ is flat over Y of relative dimension $r \geq 0$, then $Z = Z_f$ for one and only one Chow correspondence $f : Y \rightarrow \mathcal{C}_{r,d}(X)$. One way to see this is to appeal to Hilbert schemes : the flat “family” $Z \rightarrow Y$ is equivalent to a map $Y \rightarrow \text{Hilb}_r(X)$ which naturally maps to $\mathcal{C}_r(X)$. Alternatively, any cycle Z on $Y \times X$ each component of which dominates some component of Y determines a generically defined map $\phi_Z : Y \dashrightarrow \mathcal{C}_{r,d}(X)$. The flatness of Z over Y implies that the specializations of the generic fibres of Z at some closed point of Y depend only upon $y \in Y$ and not the “path” of specialization. This is equivalent to the assertion that ϕ extends to a continuous algebraic map. The uniqueness of such an extension is clear.

The following summarizes this first example of a Chow correspondence.

Example 2.1. — A flat map $g : X \rightarrow Y$ of relative dimension $r \geq 0$ determines a Chow correspondence $f : Y \rightarrow \mathcal{C}_r(X)$ which sends a point $y \in Y$ to the Chow point of the cycle $[X_y]$ associated to the scheme-theoretic fibre of g above y .

Example 2.2. — Let E be a rank r (algebraic) vector bundle over Y and let $P(E \oplus 1), P(E)$ denote the projective bundles associated to the bundles $E \oplus 1, E$. Let

$$f_E : Y \rightarrow \mathcal{C}_r(P(E \oplus 1))$$

denote the Chow correspondence associated to the flat projection $P(E \oplus 1) \rightarrow Y$. Then the associated relative correspondence homomorphism

$$\Phi_E \equiv \Phi_{f_E} : H_*(Y) \rightarrow H_{*+2r}(P(E \oplus 1), P(E))$$

is an isomorphism.

Moreover, the inverse of Φ_E is given by cap product with the Thom class $\tau_E \in H^{2r}(P(E \oplus 1), P(E))$.

Proof. — Following Lima-Filho [LF], we let $Z_r(U)$ denote $Z_r(Y)/Z_r(W)$ whenever $i : W \subset Y$ is a closed subvariety of the projective variety Y with complement U . As an object in the derived category, the complex $\tilde{Z}_r(U)$ (defined as the normalized chain complex of the simplicial abelian group

Sing $Z_r(X)$) depends only upon U and not the projective closure $U \subset Y$ [FG], 1.6. Thus, we may write $Z_r(V(E))$ for $Z_r(P(E \oplus 1))/Z_r(P(E))$, where $V(E)$ denotes the quasi-projective variety associated to the symmetric algebra of the dual of E as an O_Y -module. Moreover, $\Gamma_{f_E} : Z_0(Y) \rightarrow Z_r(V(E))$ is then identified with flat pull-back of cycles via $\pi : V(E) \rightarrow Y$.

Flat pull-back determines a map of distinguished triangles of chain complexes (arising from the localization property of Lawson homology; cf [FG], 1.6)

$$\begin{array}{ccccc} \tilde{Z}_0(W) & \rightarrow & \tilde{Z}_0(Y) & \rightarrow & \tilde{Z}_0(U) \\ \downarrow & & \downarrow & & \downarrow \\ \tilde{Z}_r(V(i^*E)) & \rightarrow & \tilde{Z}_r(V(E)) & \rightarrow & \tilde{Z}_r(V(E|_U)). \end{array}$$

Arguing by induction on the dimension of Y , we must show that the relative correspondence homomorphism

$$\Phi_E : H_*(Y, W) \rightarrow H_{*+2r}(P(E \oplus 1), P(E) \cup P(i^*(E \oplus 1)))$$

is an isomorphism with inverse given by cap product with τ_E whenever E restricted to U is trivial. A similar argument further reduces the proof to the special case in which E is the trivial rank r bundle on Y .

We are thus reduced to verifying that the composition

$$Z_0(Y) \rightarrow Z_r(Y \times P^r) \rightarrow \Omega^{2r}(Z_0(Y \times P^r)/Z_0(Y \times P^{r-1}))$$

induces the evident isomorphism in homotopy groups. Using the representation of the s -map given in (1.2.1) and representing S^{2r} as P^r/P^{r-1} , we may interpret this composition as the map sending $y \in Y$ to the map $P^r/P^{r-1} \rightarrow Z_0(Y \times P^r)/Z_0(Y \times P^{r-1})$ induced by $P^r \rightarrow Z_0(Y \times P^r)$ sending $t \in P^r$ to (y, t) . The required isomorphism in homotopy groups is now a special case of the general observation for any simplicial set T that the natural map $\mathbb{Z}(T) \rightarrow \Omega\mathbb{Z}(\sigma(T))$ induces the evident isomorphism in homotopy groups, where $\sigma(T)$ is the (topologist’s) suspension of T . \square

The following example, essentially a special case of our previous example, is a generalization to possibly singular varieties Y of the “suspension isomorphism” of [FM], App. A. Recall that the r -th algebraic suspension $\Sigma^r X \subset P^{n+r}$ of $X \subset P^n$ equals the algebraic join $X \# P^{r-1}$.

Example 2.3. — Consider the Chow correspondence $\nu_r : X \rightarrow C_{r,1}(\Sigma^r X)$ associated to the cycle $\Sigma^r(\Delta/X) \subset X \times \Sigma^r X$ consisting of

pairs (x, y) with $y \in x \# P^{r-1} \subset P^{n+r}$. Then the graph mapping

$$\Gamma_{\nu_r} : Z_k(X) \rightarrow Z_{k+r}(\Sigma^r X)$$

equals the map which sends a k -cycle to its r -th algebraic suspension. Moreover, the associated correspondence homomorphism

$$\Phi_{\nu_r} : H_*(X) \rightarrow H_{*+2r}(X \# P^{r-1})$$

is an isomorphism.

Proof. — Γ_{ν_r} sends a k -dimensional subvariety $Y \subset X$ to the projection via $\text{pr}_2 : Y \times \Sigma^r X \rightarrow \Sigma^r X$ of the cycle associated to the restriction of ν_r to Y , $Y \rightarrow C_{r,1}(\Sigma^r X)$. This projection is readily seen to be the r -th algebraic suspension of Y , so that Γ_{ν_r} is the map which sends a k -cycle to its r -th algebraic suspension. Since the graph mapping commutes with the s -operation, we factor $s^r \circ \Gamma_{\nu_r}$ as

$$(s \circ \Gamma_{\nu_r})^r : Z_0(X) \rightarrow \Omega^2 Z_0(\Sigma X) \rightarrow \dots \rightarrow \Omega^{2r} Z_0(\Sigma^r X)$$

where $\nu = \nu_1 : \Sigma^i X \rightarrow C_{1,1}(\Sigma(\Sigma^i X))$. Thus, it suffices to consider the case $r = 1$.

We view ΣX as $P(O_X(1) \oplus 1)/P(O_X(1))$. By [FG], 1.6, the projection $P(O_X(1) \oplus 1)/P(O_X(1)) \rightarrow (\Sigma X, pt)$ induces a quasi-isomorphism of chain complexes

$$\tilde{Z}_r(P(O_X(1) \oplus 1))/\tilde{Z}_r(P(O_X(1))) \simeq \tilde{Z}_r(\Sigma X)/\tilde{Z}_r(pt).$$

Since $\nu : X \rightarrow C_{1,1}(\Sigma X) \subset C_1(\Sigma X)$ factors through $X \rightarrow C_1(P(O_X(1) \oplus 1))$, the asserted isomorphism now follows from that of Example 2.2. \square

We shall have occasion to use the following relative form of the suspension map as first introduced in [FM].

Example 2.4. — Consider the Chow correspondence

$$\nu_{r/Y} \equiv \times \circ (1 \times \nu_r) : Y \times X \rightarrow Y \times C_{r,1}(\Sigma^r X) \rightarrow C_r(Y \times \Sigma^r X).$$

Then the graph mapping

$$\Gamma_{\nu_{r/Y}} : Z_k(Y \times X) \rightarrow Z_{r+k}(Y \times \Sigma^r X)$$

equals the r -th fibre-wise (over Y) algebraic suspension mapping Σ_Y^r as introduced in [FM], App.A. Moreover, the associated correspondence homomorphism

$$\Sigma_{Y*}^r \equiv \Phi_{\nu_{r/Y}} : H_*(Y \times X) \rightarrow H_{*+2r}(Y \times \Sigma^r X)$$

sends $b \otimes c \in H_i(Y) \otimes H_j(X)$ to $b \otimes \Sigma_*^r(c)$.

Proof. — The identification of $\Gamma_{\nu_r/Y}$ with Σ_Y^r is easily verified using the observation that any point $y \times x \in Y \times X$ is mapped by ν_r/Y to $y \times (x \# P^{r-1})$.

To identify Σ_{Y*}^r on $b \otimes c$ we use the fact proved in [F2], 1.5 that $s^r : \pi_*(Z_r(X)) \otimes \pi_{2r}(Z_0(P^r)) \rightarrow \pi_{*+2r}(Z_0(X))$ is induced by $Z_r(X) \times P^r \rightarrow Z_r(X \times P^r) \rightarrow Z_0(X)$, where the last map is the Gysin map of [FG]. The map sending $b \otimes c$ to $b \otimes \Sigma_*^r(c)$ is determined by the upper row of the following diagram, whereas $\Phi_{\nu_r/Y}$ is determined by the lower row :

$$\begin{array}{ccccc}
 Z_0(Y) \times Z_0(X) \times P^r & \rightarrow & Z_0(Y) \times Z_r(\Sigma^r X) \times P^r & & \\
 \downarrow & & \downarrow & & \\
 Z_0(Y \times X) \times P^r & \rightarrow & Z_r(Y \times \Sigma^r X) \times P^r & & \\
 & & \rightarrow & Z_0(Y) \times Z_r(\Sigma^r X \times P^r) & \rightarrow & Z_0(Y) \times Z_0(X) \\
 & & & \downarrow & & \downarrow \\
 & & \rightarrow & Z_r(Y \times \Sigma^r X \times P^r) & \rightarrow & Z_0(Y \times \Sigma^r X).
 \end{array}$$

The commutativity of this diagram follows from the naturality of the Gysin map [FG], 3.4.d. □

The following proposition justifies our view of $\langle f \rangle$ as a characteristic class of the Chow correspondence $f : Y \rightarrow \mathcal{C}_r(X)$. Because P^n has homology only in even dimensions, we may view $\langle f \rangle$ associated to a Chow correspondence $f : Y \rightarrow \mathcal{C}_r(P^n)$ as a cohomology class $\langle f \rangle \in \prod_i H^i(Y, H_{2r+i}(P^n))$.

PROPOSITION 2.5. — *Let $f : Y \rightarrow \text{Grass}_{N-r}(P^N) = \mathcal{C}_{N-r,1}(P^N)$ be the classifying map associated to the data of a rank r vector bundle E on Y provided with $N + 1$ generating global sections. Then*

$$\langle f \rangle \in \prod_i H^i(Y, H_{2N-2r+i}(P^N)) = \prod_{j=0}^{j=r} H^{2j}(Y, \mathbb{Z})$$

equals the total Chern class of E .

Proof. — Clearly, it suffices to take f to be the identity id , corresponding to the universal algebraic vector bundle of rank r over $G = \text{Grass}_{N-r}(P^N)$ generated by $N + 1$ global sections.

By Example 2.3, the correspondence homomorphism for the Chow correspondence $\nu_r : P^r \rightarrow \text{Grass}_{N-r}(P^N)$ induces an isomorphism

$$(2.5.1) \quad \Phi_{\nu_r} : H_*(P^r) \rightarrow H_{*+2N-2r}(P^N).$$

Moreover, using the identification of Φ_E as the inverse of cap product with τ_E and the identification of Φ_{ν_r} in terms of iterates of $\Phi_{O(1)}$, we conclude that (2.5.1) sends the oriented generator of $H_{2j}(P^r)$ to the oriented generator of $H_{2j+2N-2r}(P^N)$.

By Example 2.3, $\Phi_{\nu_r} = \delta_{P^N} \circ s^{N-r} \circ \Sigma^{N-r} \circ (\delta_{P^r})^{-1}$. Using the identification of Φ_{ν_r} on $H_*(P^r)$ achieved above and the usual splitting $Z_0(P^r) \simeq \prod_i K(\mathbb{Z}, 2i)$, we conclude that $\epsilon_r : P^r \rightarrow Z_0(P^r)$ is homotopic to the composition $s^{N-r} \circ \Sigma^{N-r} \circ \epsilon_r$. This implies that

$$s^{N-r} \circ \Sigma^{N-r} : Z_0(P^r) \rightarrow Z_{N-r}(P^N) \rightarrow Z_0(P^r)$$

is homotopic to the identity.

We consider the following diagram

$$\begin{array}{ccccc} P^r \rightarrow Z_0(P^r) & = & Z_0(P^r) & \simeq & \prod_{j=0}^r K(\mathbb{Z}, 2j) \\ \Sigma^{N-r} \downarrow & & & & \downarrow \\ G \rightarrow Z_{N-r}(P^N) & \xrightarrow{s^{N-r}} & \Omega^{2N-2r} Z_0(P^N) & \simeq & \prod_{i=N-r}^N K(\mathbb{Z}, 2i - 2N - 2r) \end{array}$$

whose splittings are chosen in the usual manner and whose right vertical arrow is the evident equivalence. The commutativity of this diagram follows from our verification that $s^{N-r} \circ \Sigma^{N-r}$ is homotopic to the identity. The bottom row of this diagram determines $\langle f \rangle$, whereas the homotopy type of the composition

$$G \rightarrow Z_{N-r}(P^N) \xrightarrow{(\Sigma^{N-r})^{-1}} Z_0(P^r) \simeq \prod_{j=0}^r K(\mathbb{Z}, 2j)$$

is shown by Lawson-Michelsohn [LM] to be the total Chern class of the universal bundle over G . □

Recall that the cohomology $H^*(P(E))$ of the projectivization $P(E)$ of a rank r vector bundle E over Y is multiplicatively isomorphic to $H^*(Y) \otimes H^*(P^{r-1})$ if and only if the total Chern class $c(E)$ vanishes in positive degrees.

QUESTION 2.6. — *Let $f : Y \rightarrow \mathcal{C}_r(X)$ be a Chow correspondence. What are the implications (if any) for $H^*(|Z_f|)$ of the condition that $\langle f \rangle$ be trivial?*

3. Reformulations.

The purpose of this section is to demonstrate that $\Phi_f \otimes \mathbb{Q}$ restricts to the correspondence homomorphism ϕ_f on $\tilde{H}_*(Y, \mathbb{Q})$, the domain of definition of ϕ_f as constructed in [FM].

For any simplicial set T , there are natural maps

$$SP^e(SP^d(T)) \rightarrow SP^{de}(T)$$

which induce a *transfer*

$$\mathrm{tr} : \mathbb{Z}(\mathbb{Z}(T)) \rightarrow \mathbb{Z}(T).$$

This leads to a *homology transfer*

$$\tau_* \equiv \delta_T \circ \mathrm{tr}_* \circ (\delta_{\mathbb{Z}(T)})^{-1} : H_*(\mathbb{Z}(T)) \rightarrow H_*(T).$$

Similarly, for a CW complex B , we obtain

$$\mathrm{tr} : Z_0(Z_0(B)) \rightarrow Z_0(B) \quad , \quad \tau_* : H_*(Z_0(B)) \rightarrow H_*(B)$$

where tr is induced by the abelian group structure on $Z_0(B)$.

Recall that the Hurewicz homomorphism $\eta_B : \pi_*(B) \rightarrow H_*(B)$ for any C.W. complex B is the composition

$$\eta_B = \delta_B \circ i_* : \pi_*(B) \rightarrow \pi_*(Z_0(B)) \rightarrow H_*(B)$$

where $i : B \rightarrow Z_0(B)$ is the natural inclusion (cf. [DT]). We record the following immediate consequence of the fact that $\mathrm{tr} : Z_0(A) \rightarrow A$ induced by the abelian group structure of a topological abelian group A satisfies the condition $\mathrm{tr} \circ i = \mathrm{id}_A$.

LEMMA 3.1. — *Let A be a CW complex with the structure of an abelian topological group. Then*

$$\xi_A \equiv \mathrm{tr}_* \circ (\delta_A)^{-1} : H_*(A) \rightarrow \pi_*(A)$$

has the property that $\xi_A \circ \eta_A$ equals the identity of $\pi_(A)$.* □

The following reformulation of the correspondence homomorphism Φ_f involves only maps in homology, so that it lends itself more readily to comparison with the constructions of [FM].

PROPOSITION 3.2. — We define Φ_r as

$$\Phi_r \equiv \tau_* \circ (\Sigma^{r+1})^{-1} \circ (\#)_* \circ (1 \otimes [P^r]) :$$

$$\begin{aligned} H_*(Z_r(X)) \rightarrow H_{*+2r}(Z_r(X) \times P^r) \rightarrow H_{*+2r}(Z_{r+1}(X \# P^r)) \\ \rightarrow H_{*+2r}(Z_0(X)) \rightarrow H_{*+2r}(X). \end{aligned}$$

Then

$$\Phi_r = \delta_X \circ s^r \circ \xi_{Z_r(X)}.$$

Let $f : Y \rightarrow C_{r,d}(X)$ be a Chow correspondence of relative dimension $r \geq 0$. Then

$$\Phi_f = \Phi_r \circ j_* \circ f_* : H_*(Y) \rightarrow H_*(C_{r,d}(X)) \rightarrow H_*(Z_r(X)) \rightarrow H_{*+2r}(X).$$

In particular, if $r = 0$, then $\Phi_f = \tau_* \circ j_* \circ f_*$.

Proof. — In [F2], 1.5, the r -th iterate of the s -map is shown to be induced by the pairing

$$(\Sigma^{r+1})^{-1} \circ \# : Z_r(X) \times P^r \rightarrow Z_{r+1}(X \# P^r) \rightarrow Z_0(X),$$

where Σ^{r+1} is the map which sends a cycle to its $r + 1$ -st algebraic suspension. Consider the following diagram, whose commutativity follows immediately from the naturality of the Dold-Thom isomorphism and tr_* :

$$\begin{array}{ccccc} \pi_*(Z_r(X)) \otimes \pi_{2r}(Z_0(P^r)) & \xrightarrow{(\#)_*} & \pi_{*+2r}(Z_{r+1}(X \# P^r)) & \xrightarrow{(\Sigma^{r+1})^{-1}} & \pi_{*+2r}(Z_0(X)) \\ \xi_{Z_r(X)} \otimes \delta_{P^r}^{-1} \uparrow & & \xi_{Z_{r+1}(X \# P^r)} \uparrow & & \uparrow \xi_{Z_0(X)} \\ H_*(Z_r(X)) \times H_{2r}(P^r) & \xrightarrow{(\#)_*} & H_{*+2r}(Z_{r+1}(X \# P^r)) & \xrightarrow{(\Sigma^{r+1})^{-1}} & H_{*+2r}(Z_0(X)) \end{array}$$

in which the map $(\#)_*$ on the tensor product of homotopy groups is the natural map induced from the bilinear mapping of groups $\# : Z_r(X) \times Z_0(P^r) \rightarrow Z_{r+1}(X \# P^r)$. Since the lower row when composed with τ_* determines Φ_r and since $\tau_* = \delta_X \circ \xi_{Z_0(X)}$, the commutativity of this diagram implies the equality

$$\Phi_r = \delta_X \circ s^r \circ \xi_{Z_r(X)}.$$

The equality $\Gamma_f = \text{tr} \circ j_* \circ f_* : Z_0(Y) \rightarrow Z_r(X)$ implies the commutativity of the square

$$\begin{array}{ccccc}
 H_*(Y) & \xrightarrow{(\delta_Y)^{-1}} & \pi_*(Z_0(Y)) & \xrightarrow{(\Gamma_f)_*} & \pi_*(Z_r(X)) \\
 = \uparrow & & & & \uparrow \xi_{Z_r(X)} \\
 H_*(Y) & \xrightarrow{f_*} & H_*(C_{r,d}(X)) & \xrightarrow{j_*} & H_*(Z_r(X)).
 \end{array}$$

Since the composition of δ_X and the concatenation of the upper rows of the two squares determine Φ_f , we conclude the asserted equality

$$\Phi_f = \Phi_r \circ j_* \circ f_* \quad \square$$

We recall that for e sufficiently large, there exists a map $\psi_e : C_{r+1,d}(X \# P^r) \rightarrow C_{0,de}(X)$ with the property that $\Sigma^{r+1} \circ \psi_e$ is algebraically homotopic to multiplication by e (cf. [F], 3.5). Thus, ψ_e represents e times the Lawson inverse of Σ^{r+1} .

PROPOSITION 3.3. — *The correspondence homomorphism $\Phi_f : H_*(Y) \rightarrow H_{*+2r}(X)$ sends a class $c \in H_*(Y)$ to*

$$(\Phi_{\psi_{e+1}\#f} - \Phi_{\psi_e\#f})(c \times [P^r]) = \tau_* \circ j_* \circ (\psi_{e+1}\#f - \psi_e\#f)_*(c \times [P^r])$$

where $\psi_e\#f$ denotes the composition

$$Y \times P^r \xrightarrow{f \times 1} C_{r,d}(X) \times P^r \xrightarrow{\#} C_{r+1,d}(X \# P^r) \xrightarrow{\psi_e} C_{0,de}(X)$$

and $j : C_{0,de}(X) \rightarrow Z_0(X)$ is the natural inclusion.

Proof. — The equality $\Phi_{\psi_e\#f} = \tau_* \circ j_* \circ (\psi_e\#f)_*$ is given by Proposition 3.2. To compare this with Φ_f , we consider the following diagram :

$$\begin{array}{ccccccc}
 Y \times P^r & \rightarrow & C_{r,d}(X) \times P^r & \rightarrow & C_{r+1,d}(X \# P^r) & \xrightarrow{\psi_e} & C_{0,de}(X) \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 Y \times P^r & \rightarrow & Z_r(X) \times P^r & \rightarrow & Z_{r+1}(X \# P^r) & \xrightarrow{(\Sigma^{r+1})^{-1}} & Z_0(X) \xrightarrow{e} Z_0(X)
 \end{array}$$

whose vertical arrows are the natural inclusions and the composition of whose top row is $\psi_e\#f$. Then all squares but the right-most square commute, whereas the right-most square commutes up to homotopy. Proposition 3.2 implies that the map in homology induced by the bottom row when applied to $c \times [P^r]$ and then composed with τ_* yields $\Phi_f(c)$ for any

$c \in H_*(Y)$. The homotopy commutativity of the diagram implies the asserted identification of $\Phi_f(c)$. □

THEOREM 3.4. — *Let $f : Y \rightarrow C_{r,d}(X)$ be a Chow correspondence with Y smooth of dimension n , and let Z be the associated equidimensional geometric correspondence in $Y \times X$. Then*

$$\Phi_f = \phi_Z : H_*(Y) \rightarrow H_{*+2r}(X)$$

where ϕ_Z is defined as the composition of the Poincaré duality isomorphism \mathcal{D} and slant product with $[Z]$.

Proof. — For $r = 0$, the equality $\Phi_f = \phi_Z$ follows from Proposition 1.2 and the verification in [FM], 4.5 that $\phi_Z = p_* \circ \gamma_d^! \circ f_*$.

Let $T(e)$ in $Y \times P^r \times X$ denote the cycle associated to $\psi_e \# f : Y \times P^r \rightarrow C_{0,de}(X)$ for e sufficiently large as in Proposition 3.3. Let W in $(Y \times P^r) \times (X \# P^r)$ denote the cycle associated to the map $f \# 1 : Y \times P^r \rightarrow C_{r+1,d}(X \# P^r)$. We consider the following diagram

$$\begin{array}{ccccc}
 H_*(Y) & \xrightarrow{\times[P^r]} & H_{*+2r}(Y \times P^r) & = & H_{*+2r}(Y \times P^r) \\
 \mathcal{D} \downarrow & & \mathcal{D} \downarrow & & \downarrow \mathcal{D} \\
 H^{2n-*}(Y) & \xrightarrow{pr_1^*} & H^{2n-*}(Y \times P^r) & = & H^{2n-*}(Y \times P^r) \\
 \searrow [Z] \downarrow & & \searrow [W] \downarrow & & \downarrow \searrow [T(e+1)] - [T(e)] \\
 H_{*+2r}(X) & \xrightarrow{\Sigma_*^{r+1}} & H_{*+4r+2}(X \# P^r) & \xleftarrow{\Sigma_*^{r+1}} & H_{*+2r}(X).
 \end{array}$$

The composition of the maps of the right vertical column is $\phi_{T(e+1)} - \phi_{T(e)}$, which by the special case $r = 0$ equals $\Phi_{\psi_{e+1} \# f} - \Phi_{\psi_e \# f}$. Thus, Proposition 3.3 implies that the composition of the maps of the upper row and right column is Φ_f .

Since Σ_*^{r+1} is an isomorphism by Example 2.3, to prove the theorem it suffices to prove the commutativity of the diagram. Only the two lower squares require verification. The commutativity of the left lower square is given by the following equalities for any $\alpha \in H^{2n-*}(Y)$:

$$\Sigma_*^{r+1}(\alpha \searrow [Z]) = \alpha \searrow (\Sigma_Y^{r+1})_*([Z]) = pr_1^*(\alpha) \searrow [W]$$

where Σ_Y denotes the fibrewise suspension over Y . The first of these equalities follows from Example 2.4 and a standard property of slant

products (cf [D]). To verify the second equality, observe that

$$(\Sigma_Y^{r+1})_*([Z]) = [\Sigma_Y^{r+1}(Z)]$$

by (1.3.1). Thus, the second equality follows from the projection formula for slant product (cf. [D], 11.7) and the fact that the projection $\text{pr}_{1,3} : Y \times P^r \times \Sigma^{r+1}(X) \rightarrow Y \times \Sigma^{r+1}(X)$ sends W to $\Sigma_Y^{r+1}(Z)$. (The generic fibre of W over $Y \times P^r$ is a cycle on $\Sigma^{r+1}X$ with Chow point the image under $\Sigma^{r+1} : C_{r,d}(X) \rightarrow C_{2r+1,d}(X \# P^r)$ of the Chow point of the generic fibre of Z over Y ; since Σ^{r+1} sends the Chow point of a cycle to the Chow point of the $(r+1)$ -st suspension of that cycle, we equate $\text{pr}_{1,3}W$ and $\Sigma_Y^{r+1}(Z)$ by comparing generic fibres over Y .)

To prove the commutativity of the right lower square, we demonstrate the equalities

$$\Sigma_*^{r+1}(\beta \setminus [T(e)]) = \beta \setminus \Sigma_*^{r+1}([T(e)]) = \beta \setminus e \cdot [W]$$

for any $\beta \in H^{2n-*}(Y \times P^r)$ and any $e > 0$. The first of these equalities follows as above from Example 2.4. To prove the second, observe that $\Sigma^{r+1}T(e), eW$ are the associated cycles of the Chow correspondences

$$\nu_{r+1} \circ \psi_e \# f, e \cdot (\# \circ f \times 1) : Y \times P^r \rightarrow C_{r+1}(X \# P^r).$$

Since multiplication by e is algebraically homotopic to $\nu_{r+1} \circ \psi_e$, the last equality follows from the following sublemma.

SUBLEMMA. — *Let $F : Y \times A^1 \rightarrow C_{r,d}(X)$ be a continuous algebraic map relating $f, g : Y \rightarrow C_{r,d}(X)$. Then the associated cycles Z_f, Z_g in $Y \times X$ are rationally equivalent.*

Proof. — Let Z_F denote the cycle in $Y \times A^1 \times X$ associated to F . As shown in [FM], the cycles Z_f, Z_g are given by the intersection-theoretic pull-backs of Z_F via the standard inclusions $i_0, i_1 : Y \times X \rightarrow Y \times A^1 \times X$:

$$Z_f = i_0^!(Z_F), \quad Z_g = i_1^!(Z_F).$$

On the other hand, since Z_F is flat over A^1 , $i_t^!(Z_F)$ equals the fibre associated to the geometric fibre of Z_F above t for any point $t \in A^1$.

For any Chow correspondence $f : Y \rightarrow C_{r,d}(X)$, a map

$$\phi_f : \tilde{H}_*(Y; \mathbb{Q}) \rightarrow H_{*+2r}(X; \mathbb{Q})$$

is defined in [FM], 4.2, where $\tilde{H}_*(Y; \mathbb{Q}) \subset H_*(Y; \mathbb{Q})$ consists of homology classes of lowest weight with respect to the Mixed Hodge Structure on $H_*(Y; \mathbb{Q})$. The map ϕ_f is defined using a resolution of singularities $q : Y' \rightarrow Y$ (i.e., Y' is smooth and q is proper and birational) by the condition that

$$\phi_f(c) = \phi_{Z'}(c')$$

where $c' \in H_*(Y'; \mathbb{Q})$ satisfies $p(c') = c$ and where Z' is the cycle associated to $f \circ q : Y' \rightarrow C_{r,d}(X)$. □

The following is an immediate corollary of Theorem 3.4 and the naturality of Φ_f .

COROLLARY 3.5. — *For any Chow correspondence $f : Y \rightarrow C_{r,d}(X)$, the correspondence homomorphism $\phi_f : \tilde{H}_*(Y; \mathbb{Q}) \rightarrow H_{*+2r}(X; \mathbb{Q})$ constructed in [FM] is the restriction to $\tilde{H}_*(Y; \mathbb{Q}) \subset H_*(Y; \mathbb{Q})$ of $\Phi_f \otimes \mathbb{Q}$.* □

4. Comparison of filtrations.

In this section, we use Φ_f to define and compare filtrations on $H_*(X)$.

DEFINITION 4.1. — *Let r, i be non-negative integers. The r -th **geometric subgroup** (whose cohomological formulation was considered by A. Grothendieck in [G])*

$$G_r H_{2r+i}(X) \subset H_{2r+i}(X)$$

is the subgroup generated by elements of $H_{2r+i}(X)$ which lie in the image of maps $f_ : H_{2r+i}(W) \rightarrow H_{2r+i}(X)$ as $f : W \rightarrow X$ ranges over morphisms with domain W of dimension $\leq r+i$. The r -th **correspondence subgroup***

$$C_r H_{2r+i}(X) \subset H_{2r+i}(X)$$

*is the subgroup generated by elements of $H_{2r+i}(X)$ which lie in the image of correspondence homomorphisms $\Phi_f : H_i(Y) \rightarrow H_{2r+i}(X)$ as f 's range over Chow correspondences $f : Y \rightarrow C_{r,d}(X)$ with Y projective of dimension $\leq i$ and $d \geq 0$. The r -th **topological subgroup***

$$T_r H_{2r+i}(X) \subset H_{2r+i}(X)$$

is the image of the composition

$$\pi_i(Z_r(X)) \xrightarrow{s^r} \pi_{i+2r}(Z_0(X)) \xrightarrow{\delta_X} H_{i+2r}(X).$$

LEMMA 4.2. — As in Proposition 3.2, let

$$\Phi_r : H_i(Z_r(X)) \rightarrow H_{i+2r}(X)$$

denote the composition $\tau_* \circ (\Sigma^{r+1})^{-1} \circ (\#)_* \circ (1 \otimes [P^r])$. Then for $i = 0$, the image of Φ_r is the group generated by the image of $\pi_0(\mathcal{C}_r(X))$. Furthermore, for $i > 0$, the image of Φ_r equals that of its restriction to $H_i(\mathcal{C}_r(X))$.

Proof. — The assertion for $i = 0$ follows from the observations that $\pi_0(Z_r(X))$ is the group completion of $\pi_0(\mathcal{C}_r(X))$ and that $H_0(Z_r(X))$ is the free abelian group on $\pi_0(Z_r(X))$.

For $i > 0$, we recall from [FM] that

$$H_*(Z_r(X)) = H_*(\mathcal{C}_r(X)) \otimes_{\mathbb{Z}[\pi_0(\mathcal{C}_r(X))]} \mathbb{Z}[\pi_0(Z_r(X))].$$

Thus, to complete the proof, it suffices to show for any effective r -cycle Z on X that “addition of Z ” induces $(+Z)_* : H_i(\mathcal{C}_r(X)) \rightarrow H_i(\mathcal{C}_r(X))$ which commutes with Φ_r . This readily follows from (1.3.1). \square

The following theorem is a generalization of the main result of [FM] to the case of singular varieties. Furthermore, our theorem is a refinement of that of [FM] even for smooth varieties, for it is provided a comparison of filtrations on homology with *integer coefficients*.

THEOREM 4.3. — Let r, i be non-negative integers. Then for any projective variety X

$$T_r H_{2r+i}(X) \subset C_r H_{2r+i}(X) \subset G_r H_{2r+i}(X).$$

Moreover,

$$T_r H_{2r+i}(X) \otimes \mathbb{Q} = C_r H_{2r+i}(X) \otimes \mathbb{Q}.$$

Proof. — The (elementary) equalities

$$C_r H_{2r}(X) = T_r H_{2r}(X) = G_r H_{2r}(X)$$

are proved in [FM], 7.1; we assume below that $i > 0$.

We recall from Proposition 3.2 the definition

$$\Phi_r \equiv \tau_* \circ (\Sigma^{r+1})^{-1} \circ (\#)_* \circ (1 \otimes [P^r])$$

and we define

$$\Phi_{r,d} \equiv \Phi_r \circ j_{r,d*} : H_*(C_{r,d}(X)) \rightarrow H_{*+2r}(X)$$

where $j_{r,d} : C_{r,d}(X) \rightarrow Z_r(X)$ is the natural inclusion. Since any correspondence homomorphism Φ_f factors through some $\Phi_{r,d}$ by Proposition 3.2,

$$C_r H_{2r+i}(X) \subset \Phi_r(H_i(Z_r(X))).$$

For any $d > 0$, we intersect $C_{r,d}(X)$ with hypersurfaces $H_1, \dots, H_{t(d)}$ ($\dim C_{r,d}(X) = t(d) + i$), such that H_j contains the singular locus and all irreducible components of dimension $< t(d) + i - (j - 1)$ of $C_{r,d}(X) \cap H_1 \cap \dots \cap H_{j-1}$ and furthermore meets properly each irreducible component of dimension $t(d) + i - (j - 1)$ of this intersection. Let

$$f_d : Y_d = C_{r,d}(X) \cap H_1 \cap \dots \cap H_{t(d)} \rightarrow C_{r,d}(X)$$

denote the inclusion; so defined, Y_d has dimension i . The Lefschetz hyperplane theorem for singular varieties (cf. [AF]) applied to these successive intersections implies that

$$\Phi_{f_d}(H_i(Y_d)) = \Phi_{r,d}(H_i(C_{r,d}(X))).$$

We conclude that

$$C_r H_{2r+i}(X) = \Phi_r(H_i(Z_r(X))).$$

In the above discussion, we are applying the Andreotti-Frankel result [AF] to ambient varieties (the varieties $C_{r,d}(X) \cap H_1 \cap \dots \cap H_{j-1}$) which are not necessarily irreducible. Since the statement of the theorem in [AF] requires the ambient variety be irreducible, let us note that their proof does not, in fact, need irreducibility: the essential key to their proof is that the complement of the hypersurface in the ambient variety is a Stein variety. But since (even in the general case of a not necessarily irreducible ambient variety) the hypersurface to be removed contains the singular locus of the ambient variety, the complement of that hypersurface is a disjoint union of Stein varieties and thus itself Stein. Hence, their theorem holds without the assumption of irreducibility.

The equality $\Phi_r = \delta_X \circ s^r \circ \xi_{Z_r(X)}$ of Proposition 3.2 and the equality $\xi_{Z_r(X)} \circ \eta_{Z_r(X)} = 1$ imply the equality

$$(4.3.1) \quad \delta_X \circ s^r = \Phi_r \circ \eta_{Z_r(X)},$$

which immediately implies the inclusion

$$T_r H_{2r+i}(X) \subset C_r H_{2r+i}(X).$$

The Milnor-Moore theorem [MM] implies that

$$\eta_{Z_r(X)} \otimes \mathbb{Q} : \pi_*(Z_r(X)) \otimes \mathbb{Q} \rightarrow H_*(Z_r(X), \mathbb{Q})$$

is an isomorphism onto the primitive elements of the Hopf algebra $H_*(Z_r(X), \mathbb{Q})$. Consequently, by (4.3.1), to prove the asserted equality

$$T_r H_{2r+i}(X) \otimes \mathbb{Q} = C_r H_{2r+i}(X) \otimes \mathbb{Q},$$

it suffices to prove that Φ_r vanishes on decomposable elements. By Lemma 4.2, a decomposable element of $H_*(Z_r(X))$ can be represented as $\Phi_r(u \otimes v)$, for some $u \in H_k(C_r(X))$, $v \in H_\ell(C_r(X))$ with $k, \ell > 0$. In other words, such a decomposable element can be represented as $\Phi_{f+g}(u_Y \otimes u_W)$ for some $f : Y \rightarrow C_r(X)$, $g : W \rightarrow C_r(X)$ with $u_Y \in H_k(Y)$, $u_W \in H_\ell(W)$, $k, \ell > 0$. Since $\text{pr}_{g*}(u_Y \otimes u_W) = 0 = \text{pr}_{f*}(u_Y \otimes u_W)$, the vanishing of $\Phi_{f+g}(u_Y \otimes u_W)$ follows from (1.3.1).

To prove the inclusion $C_r H_{2r+i}(X) \subset G_r H_{2r+i}(X)$, we consider $f : Y \rightarrow C_{r,d}(X)$ with Y of dimension $\leq i$. Then (1.4.1) implies that Φ_f has image contained in the image of $H_{i+2r}(V_f)$, where $V_f = \text{pr}_{X*}(|Z_f|)$. Since the dimension of $|Z_f|$ is $\leq i + r$, we conclude that $\text{im}(\Phi_f) \subset G_r H_{2r+i}(X)$. On the other hand, $C_r H_{2r+i}(X)$ is by definition the subgroup generated by such $\text{im}(\Phi_f)$. \square

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