# H. Halberstam 

## H.-E. Richert

## A new look at Brun's sieve

Mémoires de la S. M. F., tome 25 (1971), p. 97-106
[http://www.numdam.org/item?id=MSMF_1971__25__97_0](http://www.numdam.org/item?id=MSMF_1971__25__97_0)
© Mémoires de la S. M. F., 1971, tous droits réservés.
L'accès aux archives de la revue « Mémoires de la S. M. F.» (http://smf. emath.fr/Publications/Memoires/Presentation.html) implique l'accord avec les conditions générales d'utilisation (http://www.numdam.org/conditions). Toute utilisation commerciale ou impression systématique est constitutive d'une infraction pénale. Toute copie ou impression de ce fichier doit contenir la présente mention de copyright.

Colloque Th. Nombres [1969, Bordeaux]
Bull. Soc. math. France,
Mémoire 25, 1971, p. 97 à 106

## A NEW LOOK AT BRUN'S SIEVE

* 

by
H. HALBERSTAM (Joint work with H. -E. RICHERT)
-:-:-:-

1.     - Let $a$ be a sequence of (not necessarily distinct)integers, and let $P$ be a set of primes. The aim of any 'small' sieve method is to estimate the sifting function

$$
s(a ; p, z):=|\{a: a \in a,(a, p(z))=l\}|
$$

where $z \geq 2$,

$$
P(z)=\prod_{\substack{p \in P \\ p<z}} p,
$$

and $|\} \mid$ denotes the cardinality of the set $\}$. In order to make progress we require some information about the distribution of $a$ in certain arithmetic progressions ; to this end we postulate the existence of a real number $X>1$ and a non-negative multiplicative function $\omega$ such that

$$
\text { (i) } \quad \omega(p)=0 \quad \text { if } \quad p \notin p
$$

and
(ii) the 'remainders' $R_{d}:=\sum^{a \in Q} 1-\frac{\omega(d)}{d} X \quad(\mu(d) \neq 0, d \mid P(z))$
are small (perhaps only on average); note that $R_{l}=|Q|-X$, so that $X$ is seen to be a convenient approximation to the number of elements in $a$.

Let

$$
W(z)=\prod_{p<z}\left(1-\frac{\omega(p)}{p}\right) ;
$$

on probabilistic grounds we expect $\mathrm{XW}(\mathrm{z})$ to give the true order of magnitude of $S(a s, z)$. Indeed, we have easily that

$$
S(Q ; P, z)=\sum_{a \in Q} \sum_{d \mid(a, P(z))} \mu(d)=x W(z)+\sum_{d \mid P(z)} \mu(d) R_{d},
$$

and if we now assume, as we shall do throughout, that

[^0]( $\Omega$ )
$$
\omega(P) \leq A \text { for some constant } A \geq 1
$$
and
(P)
$$
\left|R_{d}\right| \leq \omega(d) \quad \text { if } \quad d \mid P(z)
$$
then
\[

$$
\begin{equation*}
S(a ; p, z)=X W(z)+O\left((A+1)^{\pi(z)}\right) \tag{1.1}
\end{equation*}
$$

\]

It is clear that (1.1) gives an asymptotic formula, or an acceptable estimate, for $S(Q ; p, z)$ only if $z$ is about of order $\log X ;(1.1)$ is therefore generally far too weak to be of much use. The first principal result we wish to describe here is the following powerful extension of (1.1):

THEOREM 1. Suppose that ( $\Omega$ ) and (R) hold, and suppose also that


If $U=\log X / \log z$ and $X \geq z$, then

$$
s(a ; p, z)=\operatorname{XW}(z)\left\{1+0\left(e^{-u\left(\log u-\log \log 3 u-\log A e^{2}\right)}\right)+O\left(e^{-\sqrt{\log X})\}}\right.\right.
$$

Theorem 1 is of a kind known as a 'fundamental lemma'; it is important in the study of additive functions (see [l], lemma 1.4 for a weaker result of this kind) and also in the theory of representations by 'quasi-primes' (numbers free of small prime factors) (see e.g. [2] ).

Special interest attaches to Theorem 1 in respect of the first error term. Levin [3] pointed out in 1965 that Selberg's sieve method is not capable of yielding a result of this degree of precision ; and he went on to suggest that, by constrast, Theorem 1 could be derived by means of certain elaborate extensions of Brun's sieve (attributed by Levin to Buchstab but not traceable in this form by us). In fact, we can show that Theorem 1 follows from the simpler Rademacher [4]Tartakovskij [5] formulation of the Brun method ; moreover, by formalizing Brun's ideas along lines suggested by Levin, we are able to give a surprisingly simple account of the Brun method, leading to theorem 2 below. Theorem 1 then follows by easy stages from Theorem 2.

Seen in this new light, Brun's method appears far from exhausted and may well lead to further developments.

THEOREM 2. Suppose that ( $\Omega$ ) , ( $\Omega_{1}$ ) and (R) hold. Let $b$ be a positive integer and $\lambda$ any positive real number satisfying

$$
\text { (1.2) } \quad \lambda e^{l+\lambda}<1 .
$$

Then

$$
S(a ; p, z) \leq \operatorname{XW}(z)\left\{1+2 \lambda^{2 b+1} \frac{e^{2 \lambda}}{1+\lambda^{2} e^{2+2 \lambda}}\right\}+0\left(z^{2 b+\frac{2.01}{e^{2 \lambda / A}-1}}\right)
$$

and

$$
S(a ; p, z) \geq X W(z)\left\{1-2 \lambda^{2 b} \frac{e^{2 \lambda}}{1+\lambda^{2} e^{2+2 \lambda}}\right\}+0\left(z^{2 b-1+\frac{2 \cdot 01}{e^{2 \lambda / A}-1}}\right)
$$

The conditions ( $\Omega$ ) and (R) could be weakened, without introducing new difficulties ; however, they cover a great many interesting problems, and we have considered it appropriate in this exposition to keep things simple. To illustrate the quality of Theorem 2 we indicate briefly how to prove that
there exist infinitely many integers $n$ such that both $n$ and $n+2$ have at
most 7 prime factors.
(Of course, more elaborate or deeper methods give much better results - see e.g. [6] or [子]).

We take $a=\{n(n+2): n \leq x\}$ and $P$ the set of all primes. We may choose $X=x$, $\omega(2)=1$ and $\omega(p)=2$ for $p>2$, so that ( $R$ ) is satisfied as well as (Si) with $A=2$ and $\left(\Omega_{1}\right)$ with $A_{1}=3$. It is easy to deduce from $(\Omega)$ and ( $\Omega_{1}$ ) that $1 / W(z)<\log ^{2} z$ and it follows from Theorem 2 (with $b=1$ ) that

$$
S(a ; p, z) \geq \frac{x}{2} \prod_{2<p<z}\left(1-\frac{2}{p}\right)\left\{1-\frac{2 \lambda^{2} e^{2 \lambda}}{1-\lambda^{2} e^{2+2 \lambda}}+0\left(x^{-1} z^{1+\frac{2.01}{e^{\lambda}-1}} \log ^{2} z\right)\right\}
$$

Constants $\lambda$ and $u$ can be found to satisfy

$$
1-\frac{2 \lambda^{2} e^{2 \lambda}}{1-\lambda^{2} e^{2+2 \lambda}}>0 \text { and } 1+\frac{2.01}{e^{\lambda}-1}<u<8 \text {, }
$$

and we put $z=x^{I / u}$. Then $S\left(Q ; P, x^{I / u}\right)$ tends to infinity as $x \rightarrow \infty$; in other words, the number of $n \leq x$ for which both $n$ and $n+2$ have all their prime factors $\geq x^{1 / u}$ tends to infinity with $x$. Hence each of $n, n+2$ has at most $u<8$ prime factors, i.e. at most 7 , infinitely often.

Another very simple application of (the upper bound in Theorem 2 (again with $\mathrm{b}=1$ ) gives

THEOREM 3. Suppose that ( $\Omega$ ) , ( $\Omega_{1}$ ) and (R) hold. For any positive number $\mu$, $S(a ; p, z)=O(X W(z))$ if $z \leq X^{\mu}$
and

$$
S(a ; p, z)=O(X W(x)) \text { if } z \geq x^{1 / \mu},
$$

where the constants implied by the 0 -symbols may depend on $\mu$.
Upper estimates of this kind are frequently used in arithmetical investigations, and whenever one meets the phrase "... by Brun's sieve it follows ... " in the literature, an appeal to Theorem 3 will generally justify the claim.

We shall not prove Theorem 1 in detail. In view of Theorem 3 we may clearly suppose that $u$ is large ; and a careful computation shows that the choices

$$
\begin{array}{ll}
b=\left[\frac{u}{2}-\frac{u}{\log u}\right], & \lambda=\frac{A \log u}{u} \\
b=\left[\frac{u}{4}\right] \quad \text { if } \log z \geq 2 \log ^{2} u, \\
b & , \lambda=\frac{4 A}{u} \quad \text { if } \log z<2 \log ^{2} u
\end{array}
$$

in Theorem 2 lead to Theorem 1.
2. - It remains to prove Theorem 2. In view of (1.1) we may suppose throughout that $z$ is sufficiently large.

Let $x_{1}$ and $x_{2}$ be arithmetical functions, taking the values 0 and 1 only, on the set of positive divisors of $P(z)$, such that for $v=1$ and 2

$$
\begin{equation*}
x_{v}(1)=1 \tag{2.1}
\end{equation*}
$$

$$
\begin{equation*}
x_{v}(d)=1 \text { implies } x_{v}(t)=1 \text { for all } t \mid d \tag{2.2}
\end{equation*}
$$

(2.3)

$$
\begin{equation*}
x_{\nu}(t)=1, \mu(t)=(-1)^{\nu} \text { imply } x_{\nu}(p t)=1 \text { for all } \tag{2.3}
\end{equation*}
$$

$p<q(t), p \mid P(z)$, where, if $n>1, q(n)$ is the least prime factor of $n$, and $q(1)=\infty$. Taking $t=1$ in (2.3) (and therefore $v=2$ ) shows that (2.3) incorporates the special condition
(2.4) $\quad x_{2}(p)=1$ for all $p \mid P(z)$.

It is clear that we may think of $X_{1}, X_{2}$ as characteristic functions of two sets $\theta_{1}, \theta_{2}$ of divisors of $\mathrm{P}(\mathrm{z})$, but it turns out to be much more efficient to work directly with $x_{1}$ and $x_{2}$ rather than through the structures of $\theta_{1}$ and $A_{2}$. Qe record the following, virtually obvious, identity.

LEMMA 1. If $p t \mid p(z), p<q(t)$, then

$$
x_{v}(t)-x_{v}(p t)=(-1)^{v-1} \mu(t) x_{v}(t)\left\{1-x_{v}(p t)\right\} \quad(v=1,2)
$$

Proof. Both sides vanish if $x_{\nu}(t)=x_{\nu}(p t)$, and by (2.2) this is always the case if $x_{\nu}(p t)=1$. Hence we may suppose that $x_{v}(p t)=0, x_{v}(t)=1$. Then, by (2.3), $\mu(t)=(-1)^{\nu-1}$, and so both sides are equal to 1 .

The relevance of the functions $x_{1}$ and $x_{2}$ is embodied in the next Lemma.
LEMMA 2. For each $n \mid P(z)$, define

$$
\tau_{\nu}(n)=\sum_{d \mid n} \mu(d) x_{\nu}(d) \quad(\nu=1,2) ;
$$

then $\tau_{1}(1)=1=\tau_{2}(1)$, and

$$
\tau_{2}(n) \leq \sum_{d \mid n} \mu(d) \leq \tau_{1}(n) \quad \text { for all } \quad n \mid P(z)
$$

Proof. If $n=1$ the result is obvious from (2.1). Suppose then that $n>1$, in which case we have to show that

$$
\begin{equation*}
(-1)^{\nu} \tau_{\nu}(n) \leq 0 \quad \text { if } n>1, n \mid P(z) \quad(\nu=1,2) \tag{2.5}
\end{equation*}
$$

But then if $q(n)=p$ and $n=p m$, so that $p<q(t)$ for every $t \mid m$, we have

$$
\begin{aligned}
& \tau_{v}(\mathrm{n})=\sum\left\{\mu(\mathrm{t}) \mathrm{x}_{\nu}(\mathrm{t})+\mu(\mathrm{pt}){\chi_{\nu}}(\mathrm{pt})\right\}=\sum \mu(\mathrm{t})\left\{\mathrm{x}_{\nu}(\mathrm{t})-\mathrm{x}_{\nu}(\mathrm{pt})\right\} \\
& t|m \quad t| m \\
& =(-1)^{v-1} \sum_{t \mid m} x_{v}(t)\left\{1-x_{v}(p t)\right\}
\end{aligned}
$$

by Lemma 1 ; and (2.5) follows at once.
We are now in a position to begin the argument. We have, by lemma 2 ,

$$
\begin{aligned}
(-1)^{\nu} S(a ; p, z)= & \sum(-1)^{\nu} \sum_{a \in a \quad \mu(d) \geq \sum(-1)^{\nu} \tau_{v}((a, P(z)))}^{d \mid(a, P(z)) \quad a \in a} \\
= & (-1)^{\nu} X \sum_{d \mid P(z)} \frac{\mu(d) x_{v}(d)}{d} \omega(d)+ \\
& +(-1)^{\nu} \sum_{d} \mu(d) x_{v}^{\prime}(d) R_{d} \\
& \geq(-1)^{\nu} X_{v}(z)-\sum_{d \mid P(z)} x_{v}(d) \omega(d) \quad(v=1,2)
\end{aligned}
$$

using (R), where

$$
\begin{equation*}
L_{v}(z)=\sum_{d \mid P(z)} \mu(d) x_{v}(d) \frac{\omega(d)}{d} \quad(v=1,2) . \tag{2.7}
\end{equation*}
$$

Our aim must be to construct $X_{1}, X_{2}$ consistently with conditions (2.1), (2.2) and (2.3) so that the remainder terms
(2.8)

$$
\sum_{d \mid P(z)} x_{v}(d) \omega(d) \quad(\nu=1,2)
$$

in (2.6) are small while $L_{\nu}(z)$ is, for each of $v=1$ and $v=2$, bounded above and below by a constant positive multiple of $W(z)$. We note that $L(z)=W(z)$ if $X_{\nu}(d)=l$ for every divisor $d$ of $P(z)$. The connection between $L_{\nu}(z)$ and $W(z)$ emerge very clearly in the following identity.

LEMMA 3. Let $\mathrm{p}^{+}$denote the successor of p in $P$, and write

$$
P(u, v)=\prod_{\substack{u \leq p<v \\ p \in P}} p=P(v) / P(u)
$$

Then, for $v=1$ and 2 ,

$$
L_{v}(z)=W(z)\left\{1+(-1)^{v-1} \sum_{p<z} \frac{\omega(p)}{p} \frac{W(p)}{W(z)} \sum_{t \mid P\left(p^{+}, z\right)} x_{v}(t)\left\{1-x_{v}(p t)\right\} \frac{\omega(t)}{t}\right\} .
$$

Proof. We substitute the (obvious) relation

$$
x_{v}(d)=1-\sum_{p \mid d}\left\{x_{v}\left(\left(d, P\left(p^{+}, z\right)\right)\right)-x_{v}((d, P(p, z)))\right\} \quad(d \mid P(z))
$$

in (2.7), so that

$$
L_{v}(z)=\sum_{d \mid P(z)}\left(\mu(d)+\sum_{p \mid d} \mu\left(\frac{d}{p}\right)\left\{x_{v}\left(\left(d, P\left(p^{+}, z\right)\right)\right)-x_{v}((d, P(p, z)))\right\}\right) \frac{\omega(d)}{d} ;
$$

and if we now write $d=\delta p t$ where $\delta|P(p), t| P\left(p^{+}, z\right)$, we obtain

$$
L_{\nu}(z)=W(z)+\sum_{p<z} \frac{\omega(p)}{p} \sum_{\delta \mid P(p)} \mu(\delta) \frac{\omega(\delta)}{\delta} \sum_{t \mid P\left(p^{+}, z\right)} \mu(t) \frac{x_{v}(t)-x_{v}(p t)}{t} \omega(t) .
$$

An application of Lemma 1 to the innermost sum on the right completesthe proof. (Note that division by $W(z)$ is justified in view of condition ( $\Omega_{1}$ ) which ensures that $\omega(p) / p$ is bounded away from 1 ).

We now introduce a partition

$$
\begin{equation*}
2=z_{r}<z_{r-1}<\ldots<z_{1}<z_{0}=z \tag{2.9}
\end{equation*}
$$

of $[2, z]$ and group the primes of $P$ that are less than $z$ into sub-sets corresponding to the intervals $\left[z_{n}, z_{n-1}\right)(n=1,2, \ldots, r)$. Using the obvious fact that

$$
W(p) \leq W\left(z_{n}\right) \quad \text { if } \quad z_{n} \leq p<z_{n-1}
$$

we derive the

COROLLARY.

$$
L_{v}(z)=W(z) \quad\left\{1+\theta \sum_{n=1}^{r} \frac{W\left(z_{n}\right)}{W(z)} \sum_{z_{n} \leq p<z_{n-1}} \frac{\omega(p)}{p} \sum_{t \mid P\left(p^{+}, z\right)} \frac{x_{v}(t)\left\{1-x_{v}(p t)\right\}}{t} \omega(t)\right\}
$$

where $\nu=1$ or 2 and $|\theta| \leq 1$.
There is, as Levin has indicated, considerable latitude in the choice of the functions $X_{v}$ (or, what amounts to the same thing, the sets $\dot{\theta}_{\nu}$ ). However, for our present purpose Brun's choice, as modified by Tantakovskij, suffices. Let b be a positive integer. For $v=1$ or 2 , and each $n=1, \ldots, r$, put

$$
\begin{align*}
x_{v}(d) & =1 \text { if } d \mid P\left(z_{n}, z\right) \text { and } v(d) \leq 2 b-v+2 n-1  \tag{2.10}\\
& =0 \text { if } d \mid P(z) \text { otherwise. }
\end{align*}
$$

Then $x_{1}, x_{2}$ obviously satisfy (2.1) and (2.2). To check (2.3), suppose that $t \mid P\left(z_{n}, z\right)$ and $X_{\nu}(t)=1$. Then $v(t) \leq 2 b-v+2 n-1$; if also $\mu(t)=(-1)^{\nu}=(-1)^{2 b-\nu+2 n-2}$, then $v(t)=2 b-v+2 n-1$ is impossible. Hence $v(t)<2 b-v+2 n-1$, and so, if $p<q(t)$ and $p \mid P(z), v(p t) \leq 2 b-v+2 n-1$; but $\mathrm{pt} \mid \mathrm{P}\left(\mathrm{z}_{\mathrm{m}}, \mathrm{z}\right)$ for some $\mathrm{m} \leq \mathrm{n}$, and it follows that $\mathrm{X}_{\nu}(\mathrm{pt})=1$. Thus $\mathrm{x}_{1}, \mathrm{x}_{2}$ satisfy (2.3) too.

Let us now interpret the innermost sum (over $t$ ) on the right of Lemma 3 , Corollary, in the light of the choice (2.10). Here $t$ makes a contribution to the sum only if $x_{\nu}(t)=1, X_{\nu}(p t)=0$; since both, $t$ and $p t$ divide $P\left(z_{n}, z\right)$, it follows that $\nu(t) \leq 2 b-v+2 n-1$ and $\psi(p t)>2 b-v+2 n-1$, whence $\nu(t)=2 b-v+2 n-1$. Writing $\mathrm{pt}=\mathrm{d}$ we have, therefore, that

$$
L_{v}(z)=W(z)\left\{1+\theta \sum_{n=1}^{r} \frac{W\left(z_{n}\right)}{W(z)} \sum_{\substack{d \mid P\left(z_{n}, z\right)}} \frac{\omega(d)}{d}\right\},|\theta| \leq 1 \quad(v=1,2)
$$

* In other words, $\theta_{\nu}$ consists of all divisors $d$ of $P(z)$ with the property that if $d \mid P\left(z_{n}, z\right)$ then $v(d) \leq 2 b-\nu+2 n-1(\nu(d)$ denotes the number of prime factors of $d$ ) ; thus if $d \in \theta_{\nu}$, $d$ has relatively few large prime factors.
and so, by an elementary inequality for elementary symmetric functions (clearly the sum over $d$ is the $(2 b-v+2 n)$-th elementary symmetric function of the arguments $\left.\omega(\mathrm{p}) / \mathrm{p}, \mathrm{z}_{\mathrm{n}} \leq \mathrm{p}<\mathrm{z}\right)$,

Also (cf. (2.6) and (2.8) ) a simple combinatorial argument shows that, with our choice (2.IO),

$$
\begin{align*}
\sum_{\mathrm{d} \mid \mathrm{P}(\mathrm{z})} x_{v}(\mathrm{~d}) \quad \omega(\mathrm{d}) & \leq\left(1+\sum_{\mathrm{p}<\mathrm{z}} \omega(\mathrm{p})\right)^{2 b-v+1} \prod_{\mathrm{n}=1}^{\mathrm{r}-1}\left(1+\sum_{\mathrm{p}<z_{n}} \omega(\mathrm{p})\right)^{2}  \tag{2.I2}\\
& \leq(1+A \pi(\mathrm{z}))^{2 b-v+1} \prod_{\mathrm{n}=1}^{r-1}\left(1+A \pi\left(z_{n}\right)\right)^{2}
\end{align*}
$$

by $(\Omega)$; and to take the estimations in (2.II) and (2.I2) further we must now choose a convenient partition (2.9).

Let $\Lambda$ be a real number satisfying $0<\Lambda \leq 1$, and define $\left\{z_{n}\right\}$ by

$$
\begin{equation*}
z_{r}=2, \log z_{n}=e^{-n \Lambda} \quad \log z \quad(n=1,2, \ldots, r-1) \tag{2.I3}
\end{equation*}
$$

where $r$ is chosen so that $\log z_{r-1}=e^{-(r-1) \Lambda} \log z>\log 2$ but $e^{-r \Lambda} \log z \leq \log 2$, in other words, so that

$$
\begin{equation*}
e^{(r-1) \Lambda}<\frac{\log z}{\log 2} \leq e^{r \Lambda} \tag{2.I4}
\end{equation*}
$$

Remembering that we may assume $z$ to be sufficiently large, a simple calculation combining (2.I2), (2.I3) and (2.I4) shows that

$$
\begin{equation*}
\sum_{d \mid P(z)} x_{v}(d) \omega(d)=0\left(z^{2 b-v+1+\frac{2}{e^{\Lambda}-1}}\right) ; \quad v=1,2 . \tag{2.I5}
\end{equation*}
$$

It remains to deal with $L_{V}(z)$. We shall see that $\Lambda$ can be chosen in such a way that

$$
\begin{equation*}
W\left(z_{n}\right) / W(z) \leq e^{2 n \lambda} \quad(n=1,2, \ldots, r) ; \tag{2.I6}
\end{equation*}
$$

if we assume (2.I6), then

$$
\begin{aligned}
\sum_{z_{n} \leq p<q} \frac{\omega(p)}{p} \leq \sum_{z_{n} \leq p<z} \log \left(1-\frac{\omega(p)}{p}\right)^{-1}= & \log \frac{W\left(z_{n}\right)}{W(z)} \leq 2 n \lambda \\
& (n=1, \ldots, r)
\end{aligned}
$$

so that, by (2.II),

$$
L_{v}(z)=W(z)\left\{1+\theta \sum_{n=1}^{r} e^{2 n \lambda} \frac{(2 n \lambda)^{2 b-v+2 n}}{(2 b-v+2 n)!}\right\},|\theta| \leq 1 ; v=1,2
$$

Since $(2 b-v+2 n)!\geq(2 n)!(2 n)^{2 b-v}$, the sum on the right is at most

$$
\sum_{n=1}^{r} \lambda^{2 b-v} \frac{(2 n / e)^{2 n}}{(2 n)!}\left(\lambda e^{1+\lambda}\right)^{2 n} ;
$$

but $\left(\mathrm{m}^{-1}\right)^{m} / \mathrm{m}$ ! is a decreasing function of $m$, so that this sum is, in turn, at most

$$
2 e^{-2} \lambda^{2 b-v} \sum_{n=1}^{\infty}\left(\lambda e^{1+\lambda}\right)^{2 n}=2 \lambda^{2 b-\nu+2} \frac{e^{2 \lambda}}{1-\lambda^{2} e^{2+2 \lambda}}
$$

It follows form this, (2.6) and (2.15) that, for $\nu=1,2$,

$$
\begin{gather*}
(-1)^{\nu} s(a ; p, z) \geq(-1)^{\nu} \operatorname{XW}(z)\left\{1+\theta 2 \lambda^{2 b-\nu+2} \frac{e^{2 \lambda}}{1-\lambda^{2} e^{2+2 \lambda}}\right\}  \tag{2.I7}\\
\left.+0\left(z \quad 2 b-\nu+1+\frac{2}{e^{\Lambda}-1}\right)\right\}
\end{gather*}
$$

provided that $\Lambda, 0<\Lambda \leqslant 1$, is such that (2.16) is true. (The reader will have noticed that various approximations have been made in the preceding argument which could be improved or avoided, egg. for small $n$, if anything were to be gained by it).

We therefore consider (2.I6). By a well-known result we deduce from ( $\Omega$ ) that

$$
\sum_{w \leq p<z} \frac{\omega(p) \log p}{p} \leq A\left(\log \frac{z}{w}+1\right) \quad \text { if } \quad 2 \leq w \leq z,
$$

and from this we can deduce, using $\left(\Omega_{1}\right)$, that

$$
\frac{W(W)}{W(z)} \leq\left(\frac{\log z}{\log w}\right)^{A}\left\{1+0\left(\frac{1}{\log w}\right)\right\} \quad \text { if } \quad 2 \leq w \leq z .
$$

Hence

$$
\frac{W\left(z_{n}\right)}{W(z)} \leq e^{n \Lambda A}\left(1+\frac{B e^{n \Lambda}}{\log z}\right)=\exp \left\{n \Lambda A+\log \left(1+B \frac{e^{n \Lambda}}{\log z}\right)\right\}, 1 \leq n \leq r
$$

for some suitable constant $B$. By (2.14)

$$
\begin{aligned}
\frac{1}{n} \log \left(1+B \frac{e^{n \Lambda}}{\log z}\right) & \leq \frac{1}{r} \log \left(1+B \frac{e^{r \Lambda}}{\log z}\right) \\
& \leq \frac{\Lambda}{\log \left(\frac{\log z}{\log 2}\right)} \log \left(1+B \frac{e}{\log 2}\right)
\end{aligned}
$$

whence

$$
\frac{W\left(z_{n}\right)}{W(z)} \leq \exp \quad n \wedge A\left(1+\left\{\frac{B_{1}}{\log \log z}\right)\right\}, \quad n=1, \ldots, r \text {. }
$$

Thus (2.16) follows on choosing

$$
\begin{equation*}
\Lambda=\frac{2 \lambda}{A}\left(1+\frac{B_{1}}{\log \log z}\right)^{-1} \tag{2.I8}
\end{equation*}
$$

and $0<\Lambda \leq 1$ since $\lambda<\frac{1}{2}, A \geq 1$ and $z$ is large. Our argument is now complete, and Theorem 2 follows readily from (2.17) and (2.18).

## -:-:-:-

## BIBLIOGRAPHY

[1] J. KUBILIUS. - Probabilistic Methods in the Theory of Numbers (AMS Translations of math. Monographs Vol. II, I964).
[2] A.F. LAVRIK. - Sov. Math. 4 (I963), I355-I359.
[3] B.V. LEVIN. - Uspehi Mat. Nauk $20: 5$ (I25) (I965), 2I4-220.
[4] H. RADEMACHER. - Abh. Hamburg 3(I924), I2-30.
[5] W. TARTAKOVSKIJ. - Doklady Akad. Nauk SSSR 23 (I939), I2I-I25, I26-I29.
[6] H. HALBERSTAM, W.B. JURKAT and H.E. RICHERT. - C.R.Acad. Sc. Paris 264 (I967), 920-923.
[7] H.E. RICHERT. - Mathematika I6 (I969), I-22.


[^0]:    * This lecture will appear, in expanded form, in a forthcoming book by Halberstam and Richert on Sieve Methods (Makham, Chicago).

