UNIBRANCH ORBIT CLOSURES IN MODULE VARIETIES

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ABSTRACT. – Let A be a finite dimensional associative algebra over an algebraically closed field such that there are, up to isomorphism, only finitely many indecomposable left A-modules. We show that the orbit closures in the associated module varieties are unibranch.

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RÉSUMÉ. – Soit A une algèbre associative avec unité, de dimension finie sur un corps algébriquement clos et telle que A ne possède qu'un nombre fini de modules à gauche indécomposables, à isomorphisme près. Nous montrons que l'adhérence de toute orbite dans la variété associée de modules est unibranche.

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1. Introduction

Throughout the paper, k denotes a fixed algebraically closed field and A an associative finite dimensional k-algebra with identity. Furthermore, mod A stands for the category of finite dimensional left A-modules. A variety means an algebraic reduced k-scheme and a point of a variety is always assumed to be closed.

Let $d \ge 1$ and denote by $\mathbb{M}_d(k)$ the algebra of $d \times d$ -matrices with coefficients in k. The set $\operatorname{mod}_A^d(k)$ of the A-module structures on the vector space k^d , i.e. algebra homomorphisms $M: A \to \mathbb{M}_d(k)$, has a natural structure of an affine variety. Moreover, the general linear group $Gl_d(k)$ acts on $\operatorname{mod}_A^d(k)$ by conjugation and the $Gl_d(k)$ -orbits correspond bijectively to the isomorphism classes of d-dimensional left A-modules. We shall denote by \mathcal{O}_M the orbit in $\operatorname{mod}_A^d(k)$ corresponding to a d-dimensional module M in $\operatorname{mod}_A A$. An interesting problem is to study geometric properties of orbit closures in $\operatorname{mod}_A^d(k)$. It was proved in [1] that the orbit closures are normal Cohen–Macaulay varieties with rational singularities provided A is the path algebra of a Dynkin quiver of type \mathbb{A}_n .

One of the methods to study the geometry of a variety \mathcal{X} is to investigate a resolution of singularities of \mathcal{X} , that is a proper, birational morphism $\mathcal{Y} \to \mathcal{X}$ where \mathcal{Y} is a smooth variety. Let $M \in \mod A$. Our first aim is to construct a resolution of singularities of the orbit closure $\overline{\mathcal{O}}_M$. Recently M. Reineke has constructed a resolution of singularities for the orbit closures of representations of Dynkin quivers (see [5]).

Let $X \in \text{mod } A$, $d = \dim_k M$ and $c = [X, M]_A$. Here and later on,

$$[Y, Z]_A = \dim_k \operatorname{Hom}_A(Y, Z)$$

for any modules $Y, Z \in \text{mod } A$. We denote by add(X) the full subcategory of mod A consisting of the modules isomorphic to a direct summand of X^i for some $i \ge 1$. The canonical action of $\text{Gl}_d(k)$ on the space $\text{Hom}_k(X, k^d)$ induces canonically an action of $\text{Gl}_d(k)$ on the Grassmann variety $\operatorname{Grass}(\operatorname{Hom}_k(X, k^d), c)$ of *c*-dimensional subspaces of the vector space $\operatorname{Hom}_k(X, k^d)$. We consider the $\operatorname{Gl}_d(k)$ -variety

$$\mathcal{C} = \operatorname{mod}_{A}^{d}(k) \times \operatorname{Grass}(\operatorname{Hom}_{k}(X, k^{d}), c),$$

and its one special $Gl_d(k)$ -orbit

$$\mathcal{O}_{M_X} = \left\{ \left(M', \operatorname{Hom}_A(X, M') \right); \ M' \in \mathcal{O}_M \right\}.$$

Here, $\operatorname{Hom}_A(X, M')$ is a subspace of $\operatorname{Hom}_k(X, k^d)$ consisting of the maps f such that f(ax) = M'(a)f(x) for any $a \in A$ and $x \in X$. We first study properties of the restriction $p_{M,X} : \overline{\mathcal{O}}_{M_X} \to \overline{\mathcal{O}}_M$ of the canonical projection $\mathcal{C} \to \operatorname{mod}_A^d(k)$.

THEOREM 1.1. – The morphism $p_{M,X}$ is projective and birational, and $\overline{\mathcal{O}}_{M_X}$ consists of the points $(N,V) \in \mathcal{C}$ such that there is an exact sequence

$$0 \to Z \to Z \oplus M \xrightarrow{\beta} N \to 0$$

in mod A with $V = \operatorname{im} \operatorname{Hom}_A(X, \beta)$. Moreover, if $Z \oplus M \in \operatorname{add}(X)$ then (N, V) is a smooth point of $\overline{\mathcal{O}}_{M_X}$.

Let ind A be a complete set of pairwise nonisomorphic indecomposable modules in mod A. We denote by $\mathcal{I}(M)$ the set of $Y \in \text{ind } A$ such that there is an injective A-homomorphism $Y \to M^i$ for some $i \ge 1$. Our main result is as follows.

THEOREM 1.2. – Let M be a module in mod A such that $\mathcal{I}(M)$ is a finite set. Then: (1) $p_{M,X}: \overline{\mathcal{O}}_{M_X} \to \overline{\mathcal{O}}_M$ is a resolution of singularities if $\mathcal{I}(M) \subseteq \operatorname{add}(X)$; (2) the fibres of $p_{M,X}$ are connected; (3) $\overline{\mathcal{O}}_M$ is a unibranch variety; (4) $\overline{\mathcal{O}}_M = \{N \in \operatorname{mod}_A^d(k); [Y, N]_A \ge [Y, M]_A, Y \in \mathcal{I}(M)\}.$

Recall that a variety \mathcal{X} is said to be unibranch if it is irreducible and the normalization map $\widetilde{\mathcal{X}} \to \mathcal{X}$ is bijective. Since any normalization map is closed, the above implies that $\widetilde{\mathcal{X}} \to \mathcal{X}$ is a homeomorphism. Hence unibranch varieties are topologically like normal varieties. An interesting question is whether $\overline{\mathcal{O}}_M$ is a normal variety if $\mathcal{I}(M)$ is a finite set.

The algebra A is called representation finite if the set $\operatorname{ind} A$ is finite. Then the set $\mathcal{I}(M)$ is finite as well, for any $M \in \operatorname{mod} A$. Hence we derive the following consequence.

COROLLARY 1.3. – Let A be a representation finite algebra. Then the closure of any $\operatorname{Gl}_d(k)$ -orbit in $\operatorname{mod}_A^d(k)$ is a unibranch variety for any $d \ge 1$.

As another application of Theorem 1.2 we get the following result.

THEOREM 1.4. – If M is a preprojective module in mod A then $\mathcal{I}(M)$ is a finite set and hence the variety $\overline{\mathcal{O}}_M$ is unibranch.

We refer to Section 5 for the definition of preprojective modules.

The paper is organized as follows. In Section 2 we show a criterion for smooth points of the orbit closures in module varieties. Sections 3, 4 and 5 are devoted to the proofs of Theorems 1.1, 1.2 and 1.4, respectively. In Section 6 we present an example of an orbit closure in a module variety which is not unibranch.

2. Smooth points of orbit closures

Let M and N be two modules in mod A. We shall use several times the characterization proved in [7] that the orbit \mathcal{O}_N is contained in the closure $\overline{\mathcal{O}}_M$ of the orbit \mathcal{O}_M if and only if there is an exact sequence in mod A of the form

$$0 \to Z \to Z \oplus M \to N \to 0$$

for some module Z. Applying the left exact functor $\text{Hom}_A(Y, -)$ to the above exact sequence we obtain the following well known fact.

LEMMA 2.1. – Let $M, N, Y \in \text{mod } A$ and assume that $\mathcal{O}_N \subseteq \overline{\mathcal{O}}_M$. Then $[Y, M]_A \leq [Y, N]_A$.

The remainder of this section will be devoted to the proof of the following result.

PROPOSITION 2.2. – Let $0 \to Z \to Z \oplus M \to N \to 0$ be an exact sequence in mod A such that $[Z \oplus M, M]_A = [Z \oplus M, N]_A$. Then the variety $\overline{\mathcal{O}}_M$ is smooth at any point of the orbit \mathcal{O}_N .

Proof. – Let $\Omega: 0 \to Z \to Z \oplus M \xrightarrow{g} N \to 0$ be an exact sequence in mod A such that

$$[Z \oplus M, M]_A = [Z \oplus M, N]_A.$$

We may assume that $N \in \text{mod}_A^d(k)$, where $d = \dim_k M = \dim_k N$. It suffices to show that the dimension of the tangent space $\mathcal{T}_{\overline{\mathcal{O}}_M,N}$ of $\overline{\mathcal{O}}_M$ at N is not greater than $\dim \overline{\mathcal{O}}_M$. We have to recall some notation and results of Section 3 in [8]. Let

$$\operatorname{mod}_{A \ Z \oplus M \ t}^{d}$$
: (Commutative k-algebras) \rightarrow (Sets)

be the functor defined in [8], Section 3.3, where $t = [Z \oplus M, M]_A$. This functor is represented by an algebraic k-scheme \mathcal{X} such that the underlying variety is given by

$$\mathcal{X}_{red} = \left\{ L \in \operatorname{mod}_A^d(k); \ [Z \oplus M, M]_A = [Z \oplus M, L]_A \right\}.$$

In particular the orbits \mathcal{O}_M and \mathcal{O}_N are included in \mathcal{X}_{red} . Hence $\dim_k \mathcal{T}_{\overline{\mathcal{O}}_M,N} \leq \dim_k \mathcal{T}_{\mathcal{X},N}$. On the other hand, the tangent space $\mathcal{T}_{\mathcal{X},N}$ corresponds to the preimage of N via the canonical map

$$\operatorname{mod}_{A,Z\oplus M,t}^{d}(k[\varepsilon]/(\varepsilon^{2})) \to \operatorname{mod}_{A,Z\oplus M,t}^{d}(k).$$

Let $U, V \in \text{mod } A$. The group of extensions $\text{Ext}_A^1(V, U)$ may be interpreted as the quotient $\mathbb{Z}_A^1(V, U)/\mathbb{B}_A^1(V, U)$, where $\mathbb{Z}_A^1(V, U)$ is the group of cocycles, that is the k-linear maps $Z: A \to \text{Hom}_k(V, U)$ satisfying

$$Z(aa') = Z(a)V(a') + U(a)Z(a'), \quad \text{for all } a, a' \in A,$$

and $\mathbb{B}^1_A(V,U) = \{hV - Uh; h \in \operatorname{Hom}_k(V,U)\}\$ is the group of coboundaries. Any cocycle Z in $\mathbb{Z}^1_A(V,U)$, where $U, V \in \operatorname{mod} A$, induces an extension W_Z of the A-module V by the A-module U, which has the following block form

$$W_Z = \begin{bmatrix} V & 0 \\ Z & U \end{bmatrix}.$$

Moreover, $W_Z \simeq V \oplus U$ if and only if $Z \in \mathbb{B}^1_A(V, U)$. Let $\mathbb{Z}^1_{A,L}(V, U)$ be the subset of $\mathbb{Z}^1_A(V, U)$ consisting of the cocycles Z such that $[L, W_Z]_A = [L, U]_A + [L, V]_A$. Then $\mathbb{Z}^1_{A,L}(V, U)$ is a vector space containing $\mathbb{B}^1_A(V, U)$. Let $\operatorname{Ext}^1_{A,L}(V, U) = \mathbb{Z}^1_{A,L}(V, U)/\mathbb{B}^1_A(V, U)$ for any $U, V \in \operatorname{mod} A$.

Applying Lemma 3.11 in [8] we get that

$$\dim_k \mathcal{T}_{\mathcal{X},N} = \dim_k \mathbb{Z}^1_{A,Z \oplus M}(N,N).$$

Thus it remains to show that $\dim_k \mathbb{Z}^1_{A,Z\oplus M}(N,N) \leq \dim \overline{\mathcal{O}}_M$. Let $\operatorname{Aut}_A(M)$ denote the automorphism group of the A-module M. This is a nonempty open subset of the space of A-endomorphisms of M. Using the equalities

$$\dim \overline{\mathcal{O}}_M = \dim \mathcal{O}_M = \dim \operatorname{Gl}_d(k) - \dim \operatorname{Aut}_A(M) = d^2 - [M, M]_A,$$
$$\dim_k \mathbb{Z}^1_{A, Z \oplus M}(N, N) = \dim_k \mathbb{B}^1_A(N, N) + \dim_k \operatorname{Ext}^1_{A, Z \oplus M}(N, N)$$
$$= d^2 - [N, N]_A + \dim_k \operatorname{Ext}^1_{A, Z \oplus M}(N, N),$$

we reduce the problem to the inequality

(2.1)
$$\dim_k \operatorname{Ext}^1_{A,Z \oplus M}(N,N) \leqslant [N,N]_A - [M,M]_A.$$

If a short exact sequence $\Sigma: 0 \to N \to W \xrightarrow{g'} N \to 0$ corresponds to an element ξ of $\operatorname{Ext}^{1}_{A,Z \oplus M}(N,N)$ then the following induced sequence

$$0 \to \operatorname{Hom}_{A}(Z \oplus M, N) \to \operatorname{Hom}_{A}(Z \oplus M, W) \to \operatorname{Hom}_{A}(Z \oplus M, N) \to 0$$

is exact. It implies that $g: Z \oplus M \to N$ factors through $g': W \to N$. Hence, the pullback of Σ via g is a splittable exact sequence and consequently, ξ belongs to the kernel of the last map in the following long exact sequence induced by Ω :

$$0 \to \operatorname{Hom}_{A}(N, N) \to \operatorname{Hom}_{A}(Z \oplus M, N) \to \operatorname{Hom}_{A}(Z, N)$$
$$\to \operatorname{Ext}_{A}^{1}(N, N) \to \operatorname{Ext}_{A}^{1}(Z \oplus M, N).$$

This implies that

$$\dim_k \operatorname{Ext}^{1}_{A,Z \oplus M}(N,N) \leq [N,N]_A - [Z \oplus M,N]_A + [Z,N]_A = [N,N]_A - [M,N]_A.$$

Since $[Z \oplus M, M]_A = [Z \oplus M, N]_A$, then $[M, M]_A = [M, N]_A$, by Lemma 2.1. Hence we obtain the inequality (2.1). \Box

3. Proof of Theorem 1.1

Let $M, X \in \text{mod} A$ and \mathcal{M} be the category of triples (N, V, φ) such that $N \in \text{mod} A$, $V \in \text{mod} k$ and $\varphi : V \to \text{Hom}_A(X, N)$ is a k-linear map. A homomorphism

$$f: (N, V, \varphi) \to (N', V', \varphi')$$

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is a pair $f = (f_1, f_2)$ such that $f_1 \in \text{Hom}_A(N, N')$, $f_2 \in \text{Hom}_k(V, V')$ and the following diagram

is commutative. Let B be the one-point extension of A by X, namely the algebra

$$\begin{bmatrix} A & X \\ 0 & k \end{bmatrix}$$

with the usual addition and multiplication of matrices. If $(N, V, \varphi) \in \mathcal{M}$ then $N \oplus V$ becomes a *B*-module with the multiplication

$$\begin{bmatrix} a & x \\ 0 & \lambda \end{bmatrix} \cdot (n, v) = (a \cdot n + \varphi(v)(x), \lambda v).$$

One easily checks that this leads to an equivalence of categories

$$\Phi: \mathcal{M} \to \operatorname{mod} B$$

(see for example Section 2.5 in [6]). We shall construct affine varieties corresponding to \mathcal{M} like the module varieties $\operatorname{mod}_{B}^{d}(k), d \ge 1$ correspond to $\operatorname{mod} B$. Let $d \ge 1, c \ge 0$ and $\operatorname{Gl}_{(d,c)}(k) = \operatorname{Gl}_{d}(k) \times \operatorname{Gl}_{c}(k)$. Then

$$\mathcal{D} = \operatorname{mod}_{A}^{d}(k) \times \operatorname{Hom}_{k}(k^{c}, \operatorname{Hom}_{k}(X, k^{d}))$$

is an affine $\operatorname{Gl}_{(d,c)}(k)$ -variety, where $(g,h) \star (N,\varphi) = (g \star N,\varphi')$ with $\varphi'(v)(x) = g\varphi(h^{-1}v)(x)$ for any $v \in k^c$ and $x \in X$. We define $\mathcal{M}(d,c)$ as the closed $\operatorname{Gl}_{(d,c)}(k)$ -invariant subset of \mathcal{D} consisting of the pairs (N,φ) such that im $\varphi \subseteq \operatorname{Hom}_A(X,N)$, that is

$$\varphi(v)(ax) = N(a)(\varphi(v)(x))$$

for any $v \in k^c$, $a \in A$ and $x \in X$. Then the $\operatorname{Gl}_{d,c}(k)$ -orbits in $\mathcal{M}(d,c)$ correspond bijectively to the isomorphism classes of triples $\widetilde{N} = (N, V, \varphi)$ in \mathcal{M} with $\dim_k N = d$ and $\dim_k V = c$. We shall denote by $\mathcal{O}_{\widetilde{N}}$ the orbit in $\mathcal{M}(d,c)$ corresponding to \widetilde{N} .

Now we define the regular morphism $\Phi_{(d,c)}: \mathcal{M}(d,c) \to \operatorname{mod}_B^{d+c}(k)$ in similar way as we have defined the equivalence Φ . Let (N,φ) belong to $\mathcal{M}(d,c)$ and ξ denote the composition of the canonical isomorphisms:

$$\operatorname{Hom}_k(k^c, \operatorname{Hom}_k(X, k^d)) \xrightarrow{\sim} \operatorname{Hom}_k(X, \operatorname{Hom}_k(k^c, k^d)) \xrightarrow{\sim} \operatorname{Hom}_k(X, \mathbb{M}_{d \times c}(k))$$

Here and later on, $\mathbb{M}_{d \times c}(k)$ is the vector space of $d \times c$ -matrices with coefficients in k. Then $\Phi_{(d,c)}(N,\varphi): B \to \mathbb{M}_{(d+c) \times (d+c)}(k)$ is the algebra homomorphism given by

$$\Phi_{(d,c)}(N,\varphi) \begin{bmatrix} a & x \\ 0 & \lambda \end{bmatrix} = \begin{bmatrix} N(a) & \xi(\varphi)(x) \\ 0 & \lambda \cdot 1_c \end{bmatrix}, \quad \begin{bmatrix} a & x \\ 0 & \lambda \end{bmatrix} \in B.$$

The morphism $\Phi_{(d,c)}$ induces the bijection between the orbits in $\mathcal{M}(d,c)$ and some orbits in $\mathrm{mod}_B^{d+c}(k)$, preserving and reflecting the closures and their geometric properties. In order to avoid introducing new notions, we formulate this fact in a less general form, which is sufficient for our applications.

PROPOSITION 3.1. – Let (N, φ) and (P, ψ) be points of $\mathcal{M}(d, c)$. Then

$$(N,\varphi) \in \overline{\mathrm{Gl}_{(d,c)}(k) \star (P,\psi)} \quad \Leftrightarrow \quad \Phi_{(d,c)}(N,\varphi) \in \overline{\mathrm{Gl}_{d+c}(k) \star \Phi_{(d,c)}(P,\psi)}.$$

Furthermore, if this is the case, then $\overline{\mathrm{Gl}_{(d,c)}(k) \star (P,\psi)}$ is smooth at (N,φ) if and only if $\overline{\mathrm{Gl}_{d+c}(k) \star \Phi_{(d,c)}(P,\psi)}$ is smooth at $\Phi_{(d,c)}(N,\varphi)$.

Proof. – Let $C = ke_1 \times ke_2$ be the semisimple subalgebra of B, where $e_1 = 1_A$ and $e_2 = 1_k$ are orthogonal idempotents with $e_1 + e_2 = 1_B$. The inclusion $C \subseteq B$ induces the $Gl_{d+c}(k)$ -equivariant regular morphism

$$p: \operatorname{mod}_B^{d+c}(k) \to \operatorname{mod}_C^{d+c}(k)$$

sending an algebra homomorphism $L: B \to \mathbb{M}_{d+c}(k)$ to its restriction $L|_C: C \to \mathbb{M}_{d+c}(k)$. We denote by E the element of $\operatorname{mod}_C^{d+c}(k)$ given by

$$E(\lambda_1 \cdot e_1 + \lambda_2 \cdot e_2) = \begin{bmatrix} \lambda_1 \cdot 1_d & 0\\ 0 & \lambda_2 \cdot 1_c \end{bmatrix}, \quad \lambda_1, \lambda_2 \in k.$$

The isotropy group of E equals

$$\left\{ \begin{bmatrix} g & 0\\ 0 & h \end{bmatrix} \in \operatorname{Gl}_{d+c}(k); \ g \in \operatorname{Gl}_d(k), \ h \in \operatorname{Gl}_c(k) \right\}$$

and hence $p^{-1}(E)$ is a $\operatorname{Gl}_{(d,c)}(k)$ -variety. By Proposition 2 in [3], it suffices to show that $\operatorname{im} \Phi_{(d,c)} = p^{-1}(E)$ and the map $\Phi_{(d,c)} : \mathcal{M}(d,c) \to p^{-1}(E)$ is a $\operatorname{Gl}_{(d,c)}(k)$ -equivariant isomorphism of varieties.

We claim that $p^{-1}(E)$ consists of the algebra homomorphisms $L: B \to \mathbb{M}_{d+c}(k)$ of the form

(3.1)
$$L\begin{bmatrix} a & x \\ 0 & \lambda \end{bmatrix} = \begin{bmatrix} N(a) & \psi(x) \\ 0 & \lambda \cdot 1_c \end{bmatrix}, \quad \begin{bmatrix} a & x \\ 0 & \lambda \end{bmatrix} \in B,$$

for some maps $N: A \to \mathbb{M}_d(k)$ and $\psi: X \to \mathbb{M}_{d \times c}(k)$. Since *L* is an algebra homomorphism then $N \in \text{mod}_A^d(k)$ and ψ is a *k*-linear map satisfying $\psi(ax) = N(a)\psi(x)$ for any $a \in A$ and $x \in X$. Consequently, if *L* is an algebra homomorphism of the form (3.1) then

$$L(\lambda_1 \cdot e_1 + \lambda_2 \cdot e_2) = \begin{bmatrix} N(\lambda_1 \cdot 1_A) & \psi(0) \\ 0 & \lambda_2 \cdot 1_c \end{bmatrix} = \begin{bmatrix} \lambda_1 \cdot 1_d & 0 \\ 0 & \lambda_2 \cdot 1_c \end{bmatrix},$$

for any $\lambda_1, \lambda_2 \in k$. This implies that p(L) = E.

Assume now that $L \in p^{-1}(E)$. Then

$$E(e_1) = \begin{bmatrix} 1_d & 0\\ 0 & 0 \end{bmatrix}$$
 and $E(e_2) = \begin{bmatrix} 0 & 0\\ 0 & 1_c \end{bmatrix}$.

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Let $b = \begin{bmatrix} a & x \\ 0 & \lambda \end{bmatrix}$ be an element of *B*. Applying the algebra homomorphism *L* to the equalities

$$e_1 \cdot b = \begin{bmatrix} a & x \\ 0 & 0 \end{bmatrix}, \quad e_2 \cdot b = \begin{bmatrix} 0 & 0 \\ 0 & \lambda \end{bmatrix}, \quad b \cdot e_1 = \begin{bmatrix} a & 0 \\ 0 & 0 \end{bmatrix} \text{ and } b \cdot e_2 = \begin{bmatrix} 0 & x \\ 0 & \lambda \end{bmatrix}$$

we get that L is of the form (3.1), which proves the claim.

From the above description of $p^{-1}(E)$ and the definition of $\Phi_{(d,c)}$ we obtain that $\operatorname{im} \Phi_{(d,c)} \subseteq p^{-1}(E)$. Let $(g,h) \in \operatorname{Gl}_{d,c}(k)$, $(N,\varphi) \in \mathcal{M}(d,c)$, $(N',\varphi') = (g,h) \star (N,\varphi)$, $a \in A$, $x \in X$ and $\lambda \in k$. Then

$$\begin{split} \Phi_{(d,c)}\big((g,h)\star(N,\varphi)\big) \begin{bmatrix} a & x \\ 0 & \lambda \end{bmatrix} &= \Phi_{(d,c)}(N',\varphi') \begin{bmatrix} a & x \\ 0 & \lambda \end{bmatrix} = \begin{bmatrix} N'(a) & \xi(\varphi')(x) \\ 0 & \lambda \cdot 1_c \end{bmatrix} \\ &= \begin{bmatrix} gN(a)g^{-1} & \xi(\varphi')(x) \\ 0 & \lambda \cdot 1_c \end{bmatrix}, \\ \big((g,h)\star\Phi_{(d,c)}(N,\varphi)\big) \begin{bmatrix} a & x \\ 0 & \lambda \end{bmatrix} &= \begin{bmatrix} g & 0 \\ 0 & h \end{bmatrix} \cdot \begin{bmatrix} N(a) & \xi(\varphi)(x) \\ 0 & \lambda \cdot 1_c \end{bmatrix} \cdot \begin{bmatrix} g & 0 \\ 0 & h \end{bmatrix}^{-1} \\ &= \begin{bmatrix} gN(a)g^{-1} & g(\xi(\varphi)(x))h^{-1} \\ 0 & \lambda \cdot 1_c \end{bmatrix}. \end{split}$$

From the definition of ξ and φ' we conclude that

$$\xi(\varphi')(x)v = \varphi'(v)(x) = g\varphi(h^{-1}v)(x) = g\xi(\varphi)(x)(h^{-1}v) = (g\xi(\varphi)(x)h^{-1})v$$

for any $v \in k^c$. This implies that $\xi(\varphi')(x) = g\xi(\varphi)(x)h^{-1}$ and consequently, $\Phi_{(d,c)}$ is a $\operatorname{Gl}_{(d,c)}(k)$ -equivariant morphism.

It suffices to show that there is a regular morphism $\eta: p^{-1}(E) \to \mathcal{M}(d,c)$ such that

(3.2)
$$\eta \Phi_{(d,c)}(N,\varphi) = (N,\varphi) \text{ and } \Phi_{(d,c)}\eta(L) = L$$

for any $(N, \varphi) \in \mathcal{M}(d, c)$ and $L \in p^{-1}(E)$. We define η as follows. If L is an algebra homomorphism of the form (3.1) then $\eta(L) = (N, \xi^{-1}(\psi))$. Since

$$\xi^{-1}(\psi)(v)(ax) = \psi(ax)v = N(a)\psi(x)v = N(a)\big(\xi^{-1}(\psi)(v)(x)\big)$$

for any $v \in k^c$, $a \in A$ and $x \in X$, the morphism η is well defined. The proof of the equalities (3.2) is straightforward. \Box

PROPOSITION 3.2. – Let $\widetilde{N}, \widetilde{P} \in \mathcal{M}$ and $\Phi: \mathcal{M} \to \mod B$ be the equivalence defined above. Then $\mathcal{O}_{\widetilde{N}} \subseteq \overline{\mathcal{O}}_{\widetilde{P}}$ if and only if $\mathcal{O}_{\Phi\widetilde{N}} \subseteq \overline{\mathcal{O}}_{\Phi\widetilde{P}}$. Furthermore, if this is the case, then $\overline{\mathcal{O}}_{\widetilde{P}}$ is smooth at any point of $\mathcal{O}_{\widetilde{N}}$ if and only if $\overline{\mathcal{O}}_{\Phi\widetilde{P}}$ is smooth at any point of $\mathcal{O}_{\Phi\widetilde{N}}$.

Proof. – Let $\tilde{N} = (N, V, φ)$ and $\tilde{P} = (P, W, ψ)$. The orbit $\mathcal{O}_{\widetilde{P}}$ is contained in $\mathcal{M}(d, c)$, where $d = \dim_k P$ and $c = \dim_k W$. Observe that the regular morphism $\Phi_{(d,c)} : \mathcal{M}(d,c) \to \operatorname{mod}_B^{d+c}(k)$ is compatible with the equivalence Φ, that is $\Phi_{(d,c)}(N', φ')$ belongs to the orbit $\mathcal{O}_{\Phi(\widetilde{T})}$ for any point (N', φ') of the orbit $\mathcal{O}_{\widetilde{T}}$, where $\widetilde{T} = (T, U, ζ)$ is an object of \mathcal{M} with $\dim_k T = d$ and $\dim_k U = c$. Therefore the claim follows from Proposition 3.1 provided $\mathcal{O}_{\Phi\widetilde{N}}$ is contained in $\mathcal{M}(d, c)$.

If $\mathcal{O}_{\widetilde{N}} \subseteq \overline{\mathcal{O}}_{\widetilde{P}}$ then $\mathcal{O}_{\widetilde{N}} \subseteq \mathcal{M}(d,c)$. Assume that $\mathcal{O}_{\Phi\widetilde{N}} \subseteq \overline{\mathcal{O}}_{\Phi\widetilde{P}}$. It remains to show that $\dim_k N = d$ and $\dim_k V = c$. By the characterization of the orbit closures in $\operatorname{mod}_B^{d+c}(k)$

mentioned in Section 2, there is an exact sequence in mod B of the form

$$0 \to Z \to Z \oplus \Phi P \to \Phi N \to 0$$

for some B-module Z. Recall that $1_B = e_1 + e_2$, where $e_1 = 1_A$ and $e_2 = 1_k$ are orthogonal idempotents. Then we get the exact sequences

$$0 \to e_i Z \to e_i Z \oplus e_i (\Phi \widetilde{P}) \to e_i (\Phi \widetilde{N}) \to 0$$

in mod k for i = 1, 2. Hence,

$$\dim_k N = \dim_k e_1(\Phi \widetilde{N}) = \dim_k e_1(\Phi \widetilde{P}) = \dim_k P = d,$$
$$\dim_k V = \dim_k e_2(\Phi \widetilde{N}) = \dim_k e_2(\Phi \widetilde{P}) = \dim_k W = c,$$

which finishes the proof. \Box

Using the above proposition we can reformulate the results of Section 2. We abbreviate $\dim_k \operatorname{Hom}_{\mathcal{M}}(\widetilde{Y}, \widetilde{Z})$ by $[\widetilde{Y}, \widetilde{Z}]_{\mathcal{M}}$ for any objects \widetilde{Y} and \widetilde{Z} in \mathcal{M} .

COROLLARY 3.3. – Let $\widetilde{N}, \widetilde{P} \in \mathcal{M}$. Then $\mathcal{O}_{\widetilde{N}} \subseteq \overline{\mathcal{O}}_{\widetilde{P}}$ if and only if there is an exact sequence

$$0 \to \widetilde{Z} \to \widetilde{Z} \oplus \widetilde{P} \to \widetilde{N} \to 0$$

in \mathcal{M} for some \tilde{Z} . Furthermore, if this is the case, then:

(1) $[\widetilde{Y}, \widetilde{P}]_{\mathcal{M}} \leq [\widetilde{Y}, \widetilde{N}]_{\mathcal{M}}$ for any $\widetilde{Y} \in \mathcal{M}$.

(2) $\overline{\mathcal{O}}_{\widetilde{P}}$ is smooth at any point of $\mathcal{O}_{\widetilde{N}}$ provided $[\widetilde{Z} \oplus \widetilde{P}, \widetilde{P}]_{\mathcal{M}} = [\widetilde{Z} \oplus \widetilde{P}, \widetilde{N}]_{\mathcal{M}}$.

Let $\mathcal{F} : \mod A \to \mathcal{M}$ be the full and faithful functor such that

$$\mathcal{F}N = (N, \operatorname{Hom}_A(X, N), 1_{\operatorname{Hom}_A(X, N)})$$

for any module $N \in \text{mod } A$ and $\mathcal{F}\alpha = (\alpha, \text{Hom}_A(X, \alpha))$ for any A-homomorphism $\alpha : N \to N'$.

LEMMA 3.4. – Let $\widetilde{N} = (N, V, f)$ be an object in \mathcal{M} such that $\mathcal{O}_{\widetilde{N}} \subseteq \overline{\mathcal{O}}_{\mathcal{F}M}$ and f is injective. Then $[\mathcal{F}X, \mathcal{F}M]_{\mathcal{M}} = [\mathcal{F}X, \widetilde{N}]_{\mathcal{M}}$.

Proof. – The assumption $\mathcal{O}_{\widetilde{N}} \subseteq \overline{\mathcal{O}}_{\mathcal{F}M}$ implies that the orbits $\mathcal{O}_{\widetilde{N}}$ and $\mathcal{O}_{\mathcal{F}M}$ belong to the same variety $\mathcal{M}(d,c)$, where $d = \dim_k M$ and $c = [X, M]_A$. In particular, $\dim_k V = [X, M]_A$. Let $\alpha = (\alpha_1, \alpha_2)$ be a homomorphism in $\operatorname{Hom}_{\mathcal{M}}(\mathcal{F}X, \widetilde{N})$. Then we get the commutative diagram

Since f is injective, α_2 is uniquely determined by α_1 . Furthermore, the image of $\text{Hom}_A(X, \alpha_1)$ must be included in the image of f. In particular, α_1 belongs to im f. Since the functor \mathcal{F} is full and faithful, then $[\mathcal{F}X, \mathcal{F}M]_{\mathcal{M}} = [X, M]_A$. Thus

$$[\mathcal{F}X, N]_{\mathcal{M}} \leq \dim_k \operatorname{im} f = \dim_k V = [X, M]_A = [\mathcal{F}X, \mathcal{F}M]_{\mathcal{M}}.$$

The reverse inequality $[\mathcal{F}X, \mathcal{F}M]_{\mathcal{M}} \leq [\mathcal{F}X, \widetilde{N}]_{\mathcal{M}}$ follows from Corollary 3.3. \Box

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Applying Corollary 3.3(1), Lemma 3.4 and the additivity of \mathcal{F} , we derive the following consequence.

COROLLARY 3.5. – Let $\widetilde{N} = (N, V, f) \in \mathcal{M}$. Assume that $\mathcal{O}_{\widetilde{N}} \subseteq \overline{\mathcal{O}}_{\mathcal{F}M}$ and f is injective. Then $[\mathcal{F}L, \mathcal{F}M]_{\mathcal{M}} = [\mathcal{F}L, \widetilde{N}]_{\mathcal{M}}$ for any L from $\operatorname{add}(X)$.

LEMMA 3.6. – Let $\widetilde{N} = (N, V, f)$ be an object of \mathcal{M} such that f is injective and $\mathcal{O}_{\widetilde{N}} \subseteq \overline{\mathcal{O}}_{\mathcal{F}M}$. Then there is an exact sequence

$$0 \to Z \to Z \oplus M \xrightarrow{\beta} N \to 0$$

in mod A such that im $f = \operatorname{im} \operatorname{Hom}_A(X, \beta)$.

Proof. – Assume that $\mathcal{O}_{\widetilde{N}} \subseteq \overline{\mathcal{O}}_{\mathcal{F}M}$. Then there is an exact sequence

$$0 \to \widetilde{Z} \xrightarrow{\gamma} \widetilde{Z} \oplus \mathcal{F}M \xrightarrow{\delta} \widetilde{N} \to 0$$

in \mathcal{M} for some $\widetilde{Z} = (Z, U, g)$, $\gamma = (\gamma_1, \gamma_2)$ and $\delta = (\delta_1, \delta_2)$. This means that

$$0 \to Z \xrightarrow{\gamma_1} Z \oplus M \xrightarrow{\delta_1} N \to 0$$

is an exact sequence in mod A and the diagram

is commutative and has exact rows. Observe that im $f \subseteq im(\delta_1)_*$ and

 $\dim_k \operatorname{im} f = \dim_k V = [X, M]_A = \dim_k \operatorname{im}(\delta_1)_*.$

This implies that $\operatorname{im} f = \operatorname{im}(\delta_1)_* = \operatorname{im} \operatorname{Hom}_A(X, \delta_1).$

LEMMA 3.7. - Let $\widetilde{N} = (N, V, f)$ be an object of \mathcal{M} and $0 \to Z \xrightarrow{\alpha} Z \oplus M \xrightarrow{\beta} N \to 0$ an exact sequence in mod A such that im $f = \operatorname{im} \operatorname{Hom}_A(X, \beta)$ and f is injective. Then $\mathcal{O}_{\widetilde{N}} \subseteq \overline{\mathcal{O}}_{\mathcal{F}M}$. Furthermore, $\overline{\mathcal{O}}_{\mathcal{F}M}$ is smooth at any point of $\mathcal{O}_{\widetilde{N}}$ provided $Z \oplus M \in \operatorname{add}(X)$.

Proof. – Since $f: V \to \text{Hom}_A(X, N)$ is an injective map, there is a unique map

$$\beta' : \operatorname{Hom}_A(X, Z \oplus M) \to V$$

such that $\operatorname{Hom}_A(X,\beta) = f\beta'$. Then we get the following commutative diagram with exact rows

$$0 \longrightarrow \operatorname{Hom}_{A}(X, Z) \xrightarrow{\alpha_{*}} \operatorname{Hom}_{A}(X, Z \oplus M) \xrightarrow{\beta'} V$$

$$\downarrow^{1} \qquad \qquad \downarrow^{1} \qquad \qquad \downarrow^{f}$$

$$0 \longrightarrow \operatorname{Hom}_{A}(X, Z) \xrightarrow{\alpha_{*}} \operatorname{Hom}_{A}(X, Z \oplus M) \xrightarrow{\beta_{*}} \operatorname{Hom}_{A}(X, N).$$

Since $\dim_k V = [X, M]_A$, we deduce that β' is surjective. Hence

$$0 \to \mathcal{F}Z \xrightarrow{\mathcal{F}\alpha} \mathcal{F}(Z \oplus M) \xrightarrow{\widetilde{\beta}} \widetilde{N} \to 0$$

is an exact sequence in \mathcal{M} , where $\widetilde{\beta} = (\beta, \beta')$. Consequently, $\overline{\mathcal{O}}_{\mathcal{F}M}$ contains $\mathcal{O}_{\widetilde{N}}$, by Corollary 3.3 and since $\mathcal{F}(Z \oplus M)$ is isomorphic to $\mathcal{F}Z \oplus \mathcal{F}M$.

Assume that $Z \oplus M \in \operatorname{add}(X)$. Then $\overline{\mathcal{O}}_{\mathcal{F}M}$ is smooth at any point of $\mathcal{O}_{\widetilde{N}}$, by Corollaries 3.3 and 3.5. \Box

Proof of Theorem 1.1. – Since Grassmann varieties are projective and $\overline{\mathcal{O}}_{M_X}$ is a closed subset of $\overline{\mathcal{O}}_M \times \operatorname{Grass}(\operatorname{Hom}_k(X, k^d), c)$, the morphism $p_{M,X} : \overline{\mathcal{O}}_{M_X} \to \overline{\mathcal{O}}_M$ is projective. Obviously $p_{M,X}$ is birational if its restriction $p' : \mathcal{O}_{M_X} \to \mathcal{O}_M$ is an isomorphism. Let \mathcal{H} be the subset of $\mathcal{O}_M \times \operatorname{Hom}_k(X, k^d)$ consisting of the pairs (M', f) such that $f \in \operatorname{Hom}_A(X, M')$. Then the projection $q : \mathcal{H} \to \mathcal{O}_M$ is a vector subbundle of rank c of the trivial bundle

$$\mathcal{O}_M \times \operatorname{Hom}_k(X, k^d) \to \mathcal{O}_M$$

(see Section 2.1 in [3] or Lemma 2.1 in [4]). This implies that p', being induced by q, is an isomorphism.

Recall that $\mathcal{M}(d,c)$ is a closed subset of the $\mathrm{Gl}_{(d,c)}(k)$ -variety

$$\mathcal{D} = \operatorname{mod}_{A}^{d}(k) \times \operatorname{Hom}_{k}(k^{c}, \operatorname{Hom}_{k}(X, k^{d}))$$

and \mathcal{O}_{M_X} is an orbit of the $\mathrm{Gl}_d(k)$ -variety

$$\mathcal{C} = \operatorname{mod}_{A}^{d}(k) \times \operatorname{Grass}(\operatorname{Hom}_{k}(X, k^{d}), c).$$

Let \mathcal{D}' be the $\operatorname{Gl}_{(d,c)}(k)$ -invariant open subset of \mathcal{D} consisting of the pairs (L, f) with f injective. Then the map

$$\pi: \mathcal{D}' \to \mathcal{C}, \qquad (L, f) \mapsto (L, \operatorname{im} f)$$

is a principal $\operatorname{Gl}_c(k)$ -bundle inducing a bijection between the sets of $\operatorname{Gl}_{(d,c)}(k)$ -orbits in \mathcal{D}' and $\operatorname{Gl}_d(k)$ -orbits in \mathcal{C} .

Let C_A be the subset of C consisting of the pairs (L, V) such that $V \subseteq \text{Hom}_A(X, L)$. Since π is an open and surjective morphism and

$$\pi^{-1}(\mathcal{C}_A) = \mathcal{D}' \cap \mathcal{M}(d, c)$$

is a closed subset of \mathcal{D}' , then \mathcal{C}_A is closed in \mathcal{C} . This implies that $\overline{\mathcal{O}}_{M_X}$ is contained in \mathcal{C}_A and the induced map

$$\pi': \mathcal{D}' \cap \mathcal{M}(d, c) \to \mathcal{C}_A$$

is again a principal $\operatorname{Gl}_c(k)$ -bundle. Observe that $\pi'(\mathcal{O}_{\mathcal{F}M}) = \mathcal{O}_{M_X}$. Then

$$(\pi')^{-1}(\overline{\mathcal{O}}_{M_X}) = \overline{\mathcal{O}}_{\mathcal{F}M} \cap \mathcal{D}'.$$

Since π' is a smooth morphism, the claim follows from Lemmas 3.6 and 3.7. \Box

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4. Proof of Theorem 1.2

Throughout this section we assume that $\mathcal{I}(M)$ is a finite set. Denote by $\mathcal{S}(M)$ the full subcategory of mod A consisting of the modules Y such that there is an injective A-homomorphism $Y \to M^i$ for some $i \ge 1$. Obviously the category $\mathcal{S}(M)$ is closed under isomorphisms, submodules and direct sums.

Proof of the part (1). – Let X be a module in mod A such that $\mathcal{I}(M) \subseteq \operatorname{add}(X)$. Then any module from $\mathcal{S}(M)$ belongs to $\operatorname{add}(X)$. By Theorem 1.1, it remains to show that the variety $\overline{\mathcal{O}}_{M_X}$ is smooth. Let (N, V) be a point of $\overline{\mathcal{O}}_{M_X}$. By Theorem 1.1, there is an exact sequence

$$0 \to Z \xrightarrow{\alpha} Z \oplus M \xrightarrow{\beta} N \to 0$$

in mod A with $V = \operatorname{im} \operatorname{Hom}_A(X, \beta)$. The above sequence has the form

$$0 \to Z' \oplus Z'' \xrightarrow{\begin{pmatrix} 1_{Z'} & 0 \\ 0 & \gamma \end{pmatrix}}_{0 & \delta} Z' \oplus Z'' \oplus M \xrightarrow{(0,\varepsilon,\zeta)} N \to 0,$$

where γ is a nilpotent endomorphism. Observe that the sequence

(4.1)
$$0 \to Z'' \xrightarrow{(\gamma, \delta)^T} Z'' \oplus M \xrightarrow{(\varepsilon, \zeta)} N \to 0$$

is exact and $\operatorname{im} \operatorname{Hom}_A(X,\beta) = \operatorname{im} \operatorname{Hom}_A(X,(\varepsilon,\zeta))$. By induction on *i*, we get that the homomorphism

$$\left(\gamma^{i}, \delta\gamma^{i-1}, \delta\gamma^{i-2}, \dots, \delta\right)^{T} : Z'' \to Z'' \oplus M^{i}$$

is injective for any $i \ge 1$. We take i such that $\gamma^i = 0$. Then we obtain a monomorphism $Z'' \to M^i$ which implies that $Z'' \in \mathcal{S}(M)$. Consequently, Z'' belongs to $\operatorname{add}(X)$. Then (N, V) is a smooth point of $\overline{\mathcal{O}}_{M_X}$, by Theorem 1.1 applied to the sequence (4.1). \Box

We shall need the following lemma about unibranch varieties. This result was pointed out to the author by M. Reineke.

LEMMA 4.1. – Let $f: \mathcal{Y} \to \mathcal{X}$ be a proper birational morphism of irreducible varieties.

(1) If \mathcal{X} is a unibranch variety then the fibres of f are connected.

(2) If \mathcal{Y} is a unibranch variety and the fibres of f are connected then \mathcal{X} is a unibranch variety as well.

Proof. – We take the normalization maps $g: \widetilde{\mathcal{X}} \to \mathcal{X}$ and $h: \widetilde{\mathcal{Y}} \to \mathcal{Y}$. Then we obtain a commutative diagram



where \tilde{f} is a proper birational morphism. Furthermore, the fibres of \tilde{f} are connected, by the Zariski Main Theorem.

Assume first that \mathcal{X} is a unibranch variety. Then g is a homeomorphism and hence $g\tilde{f}$ has connected fibres. Since $fh = g\tilde{f}$ and h is a surjective map, the fibres of f are connected.

Assume now that \mathcal{Y} is a unibranch variety, which implies that h is a homeomorphism. If the fibres of f are connected then the same holds for $g\tilde{f} = fh$ and consequently for g since \tilde{f} is a surjective map. On the other hand, the map g is finite, which implies that the fibres of g are finite sets. Altogether we get that g is a bijective map and \mathcal{X} is a unibranch variety. \Box

We may reduce the proof of parts (2) and (3) of Theorem 1.2 as follows. Assume that we have shown part (2) for some module $X \in \text{mod } A$ satisfying $\mathcal{I}(M) \subseteq \text{add}(X)$. Then $p_{M,X}$ is a resolution of singularities. In particular, $\overline{\mathcal{O}}_{M_X}$ is a unibranch variety and we get part (3) of Theorem 1.2, by Lemma 4.1. Applying Theorem 1.1 and again Lemma 4.1, we obtain part (2) of Theorem 1.2, this time for arbitrary $X \in \text{mod } A$. Therefore we shall choose some special A-module X.

In the remainder of this section we assume that $X = \bigoplus_{Y \in \mathcal{I}(M)} Y$. Then $\mathcal{S}(M) = \operatorname{add}(X)$. In particular, $\mathcal{I}(M) \subseteq \operatorname{add}(X)$.

Let $Z \in \text{mod } A$ and f_1, \ldots, f_r be a basis of $\text{Hom}_A(Z, M)$. We define the A-homomorphism

$$\varphi_Z = (f_1, \dots, f_r)^T : Z \to M^r.$$

Let $L_Z = \ker \varphi_Z$. Then Z/L_Z belongs to $\mathcal{S}(M)$.

LEMMA 4.2. – Let $Y \in \mathcal{S}(M)$ and $Z \in \text{mod } A$. Then $[Z, Y]_A = [Z/L_Z, Y]_A$.

Proof. – The canonical surjective morphism $Z \to Z/L_Z$ induces an injective map $\operatorname{Hom}_A(Z/L_Z, Y) \to \operatorname{Hom}_A(Z, Y)$. Let $f \in \operatorname{Hom}_A(Z, Y)$. Since $Y \in \mathcal{S}(M)$, there is a monomorphism $g: Y \to M^i$ for some $i \ge 1$. Observe that any A-homomorphism $Z \to M^i$ factors through φ_Z and hence

$$\ker f = \ker gf \subseteq \ker \varphi_Z = L_Z$$

Consequently, f factors through $Z \rightarrow Z/L_Z$ which implies that the map

$$\operatorname{Hom}_A(Z/L_Z, Y) \to \operatorname{Hom}_A(Z, Y)$$

is also surjective.

Observe that the endomorphism algebra $E = \text{End}_A(X)$ is basic, that is $E/\operatorname{rad} E$ is isomorphic to a product of copies of the field k. We have a canonical decomposition of the identity

$$1_E = \sum_{Y \in \mathcal{I}(M)} e_Y$$

into a sum of pairwise orthogonal primitive idempotents. For any module $N \in \text{mod } A$, the space $\text{Hom}_A(X, N)$ has a natural (left) *E*-module structure. Since

$$e_Y \cdot \operatorname{Hom}_A(X, N) = \operatorname{Hom}_A(Y, N)$$

for any $Y \in \mathcal{I}(M)$, we obtain the formula for the dimension vector:

$$\dim_E \operatorname{Hom}_A(X, N) = ([Y, N]_A)_{Y \in \mathcal{I}(M)}.$$

Applying the generalization of the Auslander theorem proved in [2], we obtain the following result.

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COROLLARY 4.3. – Let $U, V \in \mathcal{S}(M)$. Then $U \simeq V$ if and only if

 $\dim_E \operatorname{Hom}_A(X, U) = \dim_E \operatorname{Hom}_A(X, V).$

LEMMA 4.4. – Let U and V be modules in S(M) such that

$$\dim_E \operatorname{Hom}_A(X, U) < \dim_E \operatorname{Hom}_A(X, V).$$

Then $[V, V]_A > [V, U]_A$.

Proof. – From the assumptions we conclude that $[W, U]_A \leq [W, V]_A$ for any $W \in \mathcal{S}(M)$ and $[Y', U]_A < [Y', V]_A$ for some $Y' \in \mathcal{I}(M)$. Let f_1, \ldots, f_r be a basis of $\operatorname{Hom}_A(Y', V)$ and consider the A-homomorphism

$$\psi: (f_1, \ldots, f_r)^T: Y' \to V^r$$

Let $Z = \operatorname{coker} \psi$. Then the exact sequence $Y' \xrightarrow{\psi} V^r \to Z \to 0$ in mod A induces two exact sequences:

$$0 \to \operatorname{Hom}_{A}(Z, V) \to \operatorname{Hom}_{A}(V^{r}, V) \to \operatorname{Hom}_{A}(Y', V) \to 0,$$

$$0 \to \operatorname{Hom}_{A}(Z, U) \to \operatorname{Hom}_{A}(V^{r}, U) \to \operatorname{Hom}_{A}(Y', U).$$

By Lemma 4.2, we get

$$\begin{split} [V^r,V]_A &= [Z,V]_A + [Y',V]_A = [Z/L_Z,V]_A + [Y',V]_A \\ &> [Z/L_Z,U]_A + [Y',U]_A = [Z,U]_A + [Y',U]_A \geqslant \left[V^r,U\right]_A. \end{split}$$

Consequently, $[V, V]_A > [V, U]_A$. \Box

Let $N \in \text{mod } A$. We define the subset \mathcal{C}_N of $\text{Grass}(\text{Hom}_A(X, N), c)$ consisting of the subspaces V of $\text{Hom}_A(X, N)$ which are E-submodules with $\dim_E V = \dim_E \text{Hom}_A(X, M)$. Recall that $c = [X, M]_A$.

LEMMA 4.5. – The set C_N is a (possibly empty) projective variety.

Proof. – Let $c_Y = [Y, M]_A$ for any $Y \in \mathcal{I}(M)$. Since

$$\operatorname{Hom}_{A}(X,N) = \bigoplus_{Y \in \mathcal{I}(M)} \operatorname{Hom}_{A}(Y,N),$$

the set

$$\mathcal{D} = \coprod_{Y \in \mathcal{I}(M)} \operatorname{Grass}(\operatorname{Hom}_A(Y, N), c_Y)$$

is a subvariety of $\operatorname{Grass}(\operatorname{Hom}_A(X, N), c)$. If $V \in \mathcal{C}_N$, then

$$V = \bigoplus_{Y \in \mathcal{I}(M)} e_Y \cdot V,$$

where $e_Y \cdot V \subseteq \text{Hom}_A(Y, N)$. This implies that \mathcal{C}_N is a subset of \mathcal{D} . Furthermore, \mathcal{C}_N is defined by the conditions

$$\operatorname{Hom}_A(f, N)(e_{Y'} \cdot V) \subseteq e_Y \cdot V$$

for any $f \in \text{Hom}_A(Y, Y')$ and $Y, Y' \in \mathcal{I}(M)$. Thus \mathcal{C}_N is a closed subset of the projective variety \mathcal{D} . \Box

We shall need the following facts about projective E-modules. Their proofs are straightforward.

LEMMA 4.6. – Any projective module in mod E is isomorphic to $\text{Hom}_A(X, W)$ for some $W \in \text{add}(X)$. Moreover, the k-linear map

$$\operatorname{Hom}_A(W, N) \to \operatorname{Hom}_E(\operatorname{Hom}_A(X, W), \operatorname{Hom}_A(X, N))$$

sending a homomorphism f to $\operatorname{Hom}_A(X, f)$, is bijective for any $W \in \operatorname{add}(X)$ and $N \in \operatorname{mod} A$.

LEMMA 4.7. – Let $N \in \text{mod } A$, $V \in \mathcal{C}_N$ and assume that $\dim_k N = \dim_k M$. Then there is an exact sequence

$$0 \to Z \to Z \oplus M \xrightarrow{\beta} N \to 0$$

in mod A such that $V = \operatorname{im} \operatorname{Hom}_A(X, \beta)$.

Proof. – We consider a projective cover of the *E*-module *V*. By Lemma 4.6, we get a module $W \in \operatorname{add}(X)$ and a homomorphism $\gamma \in \operatorname{Hom}_A(W, N)$ such that *V* is the image of the *E*-homomorphism

$$\operatorname{Hom}_A(X,\gamma)$$
: $\operatorname{Hom}_A(X,W) \to \operatorname{Hom}_A(X,N)$.

Let $Z = \ker \gamma$. Since $\operatorname{add}(X) = \mathcal{S}(M)$ and $\mathcal{S}(M)$ is closed under taking submodules, the modules W and Z belong to $\mathcal{S}(M)$. From the exact sequence of E-modules

$$0 \to \operatorname{Hom}_A(X, Z) \to \operatorname{Hom}_A(X, W) \to V \to 0$$

we conclude that

$$\dim_E \operatorname{Hom}_A(X, W) = \dim_E \operatorname{Hom}_A(X, Z) + \dim_E V = \dim_E \operatorname{Hom}_A(X, Z \oplus M).$$

By Corollary 4.3, W is isomorphic to $Z \oplus M$. Replacing W by $Z \oplus M$ we obtain an exact sequence

$$0 \to Z \to Z \oplus M \xrightarrow{\beta} N$$

in mod A with $V = \operatorname{im} \operatorname{Hom}_A(X, \beta)$. Since $\dim_k N = \dim_k M$, the homomorphism β is surjective. \Box

LEMMA 4.8. – Let N be a point of $\overline{\mathcal{O}}_M$. Then $p_{M,X}^{-1}(N) = \{N\} \times \mathcal{C}_N$.

Proof. – Let $(N, V) \in \overline{\mathcal{O}}_{M_X}$. Applying Theorem 1.1 we get an exact sequence

$$0 \to Z \to Z \oplus M \xrightarrow{\beta} N \to 0$$

in mod A with $V = \operatorname{im} \operatorname{Hom}_A(X, \beta)$. In particular, V is an E-submodule of $\operatorname{Hom}_A(X, N)$. Furthermore, from the exact sequence of E-modules

$$0 \to \operatorname{Hom}_A(X, Z) \to \operatorname{Hom}_A(X, Z \oplus M) \to V \to 0$$

we obtain that $\dim_E V = \dim_E \operatorname{Hom}_A(X, M)$. Hence $V \in \mathcal{C}_N$.

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On the other hand, if $V \in C_N$ then $(N, V) \in \overline{\mathcal{O}}_{M_X}$, by Theorem 1.1 and Lemma 4.7. \Box

In order to prove that the variety C_N is connected for $N \in \overline{\mathcal{O}}_M$, we shall show that certain subvarieties of C_N are connected.

PROPOSITION 4.9. – Let N be a module in mod A and U an E-submodule of $\text{Hom}_A(X, N)$ satisfying $\dim_E U \ge \dim_E \text{Hom}_A(X, M)$. Then the subset

$$\mathcal{C}_U = \{ V \in \mathcal{C}_N; \ V \subseteq U \}$$

of C_N is nonempty and connected.

Proof. – Recall that $c = [X, M]_A$. We proceed by induction on $\dim_k U \ge c$. If $\dim_k U = c$ then $\dim_E U = \dim_E \operatorname{Hom}_A(X, M)$ and consequently, the variety $\mathcal{C}_U = \{U\}$ is nonempty and connected.

Assume that $\dim_k U > c$. Then $\dim_E U > \dim_E \operatorname{Hom}_A(X, M)$. As in the proof of Lemma 4.7, we consider a projective cover

$$\pi: \operatorname{Hom}_A(X, W) \to U$$

of the E-module U. This leads to an exact sequence

$$0 \to Z \to W \xrightarrow{\beta} N$$

such that $Z, W \in \mathcal{S}(M)$ and $U = \operatorname{im} \operatorname{Hom}_A(X, \beta)$. From the exact sequence of E-modules

$$(4.2) 0 \to \operatorname{Hom}_A(X, Z) \to \operatorname{Hom}_A(X, W) \to U \to 0$$

we conclude that

$$\dim_E \operatorname{Hom}_A(X, W) = \dim_E \operatorname{Hom}_A(X, Z) + \dim_E U > \dim_E \operatorname{Hom}_A(X, Z \oplus M).$$

Thus $[W, W]_A > [W, Z \oplus M]_A$, by Lemma 4.4. Let s_Y denote the multiplicity of Y as a direct summand of W, for any $Y \in \mathcal{I}(M)$. This means that W is isomorphic to $\bigoplus_{Y \in \mathcal{I}(M)} Y^{s_Y}$. Then the set

$$Q = \{ Y \in \mathcal{I}(M); \ s_Y > 0, \ [Y, W]_A > [Y, Z \oplus M]_A \}$$

is not empty. Since π is a projective cover, it induces an isomorphism of semisimple E-modules

$$\operatorname{Hom}_A(X,W)/\operatorname{rad}_E(\operatorname{Hom}_A(X,W)) \simeq U/\operatorname{rad}_E U.$$

This implies that the *E*-module $e_Y U/e_Y \operatorname{rad}_E U$ is isomorphic to $(S_Y)^{s_Y}$, where $S_Y = \operatorname{Hom}_A(X,Y)/\operatorname{rad}_E \operatorname{Hom}_A(X,Y)$ is a simple *E*-module corresponding to the idempotent e_Y , for any $Y \in \mathcal{I}(M)$. Multiplying the sequence (4.2) by e_Y we get the equality

$$\dim_k e_Y U = [Y, W]_A - [Y, Z]_A$$

for any $Y \in \mathcal{I}(M)$. Hence,

$$Q = \{ Y \in \mathcal{I}(M); e_Y \operatorname{rad}_E U \neq e_Y U, \dim_k e_Y U > [Y, M]_A \}.$$

Since E is a basic algebra, we have that $\dim_k S_Y = 1$ for any $Y \in \mathcal{I}(M)$ and there is a nice description of the maximal E-submodules of U. Namely, any such module is uniquely determined by $Y \in \mathcal{I}(M)$ with $e_Y \operatorname{rad}_E U \neq e_Y U$ and a codimension one k-subspace of $e_Y U$ containing $e_Y \operatorname{rad}_E U$.

Let Y be an element of $\mathcal{I}(M)$ such that $e_Y \operatorname{rad}_E U \neq e_Y U$. Let \mathcal{D}_Y be the subset of $\operatorname{Grass}(U, \dim_k U - 1)$ consisting of the subspaces P which are E-submodules of U with $U/P \simeq S_Y$. Then \mathcal{D}_Y is a projective variety isomorphic to

$$\operatorname{Grass}(e_Y U/e_Y \operatorname{rad}_E U, s_Y - 1) \simeq \mathbb{P}^{s_Y - 1}.$$

In particular, \mathcal{D}_Y is an irreducible variety.

Assume that $V \in C_U$. Then V is a proper E-submodule of U. Hence V is contained in a maximal E-submodule P of U. Moreover, if Y is an element of $\mathcal{I}(M)$ such that $U/P \simeq S_Y$, then

$$\dim_k e_Y U > \dim_k e_Y P \ge \dim_k e_Y V = [Y, M]_A$$

and hence $Y \in Q$. This implies that

$$\mathcal{C}_U = \bigcup_{Y \in Q} \bigcup_{P \in \mathcal{D}_Y} \mathcal{C}_P.$$

Then C_U is nonempty, by the inductive assumptions and since Q is nonempty as well as \mathcal{D}_Y for any $Y \in Q$.

We consider now the closed subvariety

$$\mathcal{E}_Y = \{ (V, P) \in \mathcal{C}_U \times \mathcal{D}_Y; \ V \subseteq P \}$$

of $C_U \times D_Y$, for any $Y \in Q$. Let $q_Y : \mathcal{E}_Y \to D_Y$ be the canonical projection for any $Y \in Q$. Since C_U is a closed subset of the projective variety C_N , the map q_Y is a projective morphism. Furthermore, the fibre $q^{-1}(P) = C_P \times \{P\}$ is nonempty and connected for any $P \in D_Y$, by the inductive assumptions. Since q_Y is a surjective closed map with connected fibres and D_Y is a connected variety then \mathcal{E}_Y is connected as well, for any $Y \in Q$. Let $\pi_Y : \mathcal{E}_Y \to C_U$ denote the canonical projection for any $Y \in Q$. Then the morphism

$$(\pi_Y)_{Y \in Q} : \prod_{Y \in Q} \mathcal{E}_Y \to \mathcal{C}_U$$

is surjective. Obviously im π_Y is connected for any $Y \in Q$.

Let Y_1 and Y_2 be two different elements of Q. It suffices to show that the set $\operatorname{im} \pi_{Y_1} \cap \operatorname{im} \pi_{Y_2}$ is not empty. We take $P_1 \in \mathcal{D}_{Y_1}$, $P_2 \in \mathcal{D}_{Y_2}$ and $P = P_1 \cap P_2$. Then

$$\dim_E P = \dim_E U - \dim_E S_{Y_1} - \dim_E S_{Y_2} \ge \dim_E \operatorname{Hom}_A(X, M)$$

Consequently, the set C_P is nonempty, by the inductive assumptions. We take $V \in C_P$. Then V belongs to C_{P_i} and hence (V, P_i) belongs to \mathcal{E}_{Y_i} for i = 1, 2. This implies that V belongs to $\operatorname{im} \pi_{Y_i}$ for i = 1, 2. \Box

Let N be a point of $\overline{\mathcal{O}}_M$. Applying Lemma 4.8 and Proposition 4.9 for $U = \operatorname{Hom}_A(X, N)$, we get that the fibre $p_{M,X}^{-1}(N)$ is connected. This finishes the proof of parts (2) and (3) of Theorem 1.2.

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Proof of the part (4). – By Lemma 2.1, the variety $\overline{\mathcal{O}}_M$ is contained in the set

$$R = \left\{ N \in \operatorname{mod}_{A}^{d}(k); \ [Y, N]_{A} \ge [Y, M]_{A}, \ Y \in \mathcal{I}(M) \right\}.$$

Let N be a point of R. Applying Proposition 4.9 for $U = \text{Hom}_A(X, N)$ we get that the set \mathcal{C}_N is nonempty. From Lemma 4.7 we get an exact sequence $0 \to Z \to Z \oplus M \to N \to 0$ in mod A, which implies that $N \in \overline{\mathcal{O}}_M$. \Box

5. Proof of Theorem 1.4

Let (Γ_A, τ) denote the Auslander-Reiten quiver of the algebra A (see [6] for details). We may identify the set of vertices of Γ_A with ind A. A connected component C of Γ_A is called preprojective if it has no cyclic paths and any τ -orbit in C contains a projective module (see [6]). Moreover, a module from mod A is said to be preprojective if it is isomorphic to a direct sum of modules from preprojective components. Dually, one defines preinjective modules, replacing projective modules by injective ones.

Let M be a preprojective module in mod A. Thus we have a decomposition

$$M \simeq \bigoplus_{1 \leqslant i \leqslant n} (M_i)^{b_i}$$

such that M_i is a vertex of a preprojective component C_i of Γ_A , for any $1 \leq i \leq n$. If $[X, M_i]_A > 0$ then X belongs to C_i (see 2.4(11) in [6]) and there is an oriented path in C_i leading from X to M_i , for any $X \in \text{ind } A$ and $1 \leq i \leq n$. The number of such vertices X is finite and hence $\mathcal{I}(M)$ is a finite set. Consequently, the orbit closure $\overline{\mathcal{O}}_M$ is a unibranch variety, by Theorem 1.2. \Box

Remark 5.1. – We would like to mention one more application of Theorem 1.2, which we shall not use. The notions and concepts from representation theory necessary to give a complete proof are quite involved; they can be found in [6]. Assume that A is a tame concealed algebra (see [6] for the definition). Let M be a module in mod A having no nonzero preinjective direct summands. Then the set $\mathcal{I}(M)$ is finite and therefore the orbit closure $\overline{\mathcal{O}}_M$ is a unibranch variety. An interesting question is whether $\overline{\mathcal{O}}_M$ is unibranch for an arbitrary finite dimensional module M over a tame concealed algebra.

6. An example

Let $A = k[X, Y]/(X^2, Y^2)$ and assume that the characteristic of k is not equal to 2. We give an example of an orbit closure in $\operatorname{mod}_A^4(k)$ which is not unibranch. We view $\operatorname{mod}_A^d(k)$ as the set of the pairs $L = (L_X, L_Y)$ of matrices in $\mathbb{M}_d(k)$ subjected to the conditions

$$L_X^2 = L_Y^2 = L_X L_Y - L_Y L_X = 0$$
, for any $d \ge 1$.

Furthermore, the points of $\text{mod}_A^d(k)$ will be identified with the corresponding *d*-dimensional *A*-modules. We define

$$M = \left(\begin{bmatrix} 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix} \right), \qquad U_{\lambda} = \left(\begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 \\ \lambda & 0 \end{bmatrix} \right),$$

for any $\lambda \in k$. Since there is an exact sequence in mod A of the form

$$\Sigma_{\lambda}: 0 \to U_{\lambda} \to M \to U_{-\lambda} \to 0,$$

the point

$$N_{\lambda} = \left(\begin{bmatrix} 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 & 0 \\ \lambda & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & -\lambda & 0 \end{bmatrix} \right) \simeq U_{\lambda} \oplus U_{-\lambda}$$

belongs to $\overline{\mathrm{Gl}_4(k)} \star M$, for any $\lambda \in k$.

Let X be the unique, up to an isomorphism, simple A-module. Assume that $\lambda \neq 0$. We claim first that the fibre $p_{M,X}^{-1}(N_{\lambda})$ contains at least two points. The exact sequences Σ_{λ} and $\Sigma_{-\lambda}$ lead to the exact sequences:

$$0 \to U_{\lambda} \to U_{\lambda} \oplus M \xrightarrow{\alpha} N_{\lambda} \to 0$$
 and $0 \to U_{-\lambda} \to U_{-\lambda} \oplus M \xrightarrow{\beta} N_{\lambda} \to 0.$

According to the equality $N_{\lambda} = U_{\lambda} \oplus U_{-\lambda}$, we have a decomposition

$$\operatorname{Hom}_A(X, N_\lambda) = V \oplus V'$$

of $\operatorname{Hom}_A(X, N_{\lambda})$ into a direct sum of two one-dimensional subspaces $V = \operatorname{Hom}_A(X, U_{\lambda})$ and $V' = \operatorname{Hom}_A(X, N_{\lambda})$. Observe that $\operatorname{im} \operatorname{Hom}_A(X, \alpha) = V$ and $\operatorname{im} \operatorname{Hom}_A(X, \beta) = V'$. Then (N_{λ}, V) and (N_{λ}, V') are different points of $p_{M,X}^{-1}(N_{\lambda})$, by Theorem 1.1.

We claim now that $\overline{\mathcal{O}}_M = \overline{\mathrm{Gl}_4(k) \star M}$ is not a unibranch variety. Suppose that this is not the case. Let \mathcal{U} be the union of orbits $\mathcal{O}_{N_{\lambda}}$ for all $\lambda \neq 0$. The fibres of $p_{M,X} : \overline{\mathcal{O}}_{M_X} \to \overline{\mathcal{O}}_M$ are connected, by Lemma 4.1. This implies that $\dim p_{M,X}^{-1}(N) \ge 1$ for any $N \in \mathcal{U}$. We need the following formula for the dimensions of orbits in module varieties, applied already in the proof of Proposition 2.2:

$$\dim \mathcal{O}_Y = \dim \operatorname{Gl}_d(k) - [Y, Y]_A,$$

for any $Y \in \operatorname{mod}_A^d(k)$ and $d \ge 1$. Then

$$\dim \mathcal{O}_M = 16 - 4 = 12$$
 and $\dim \mathcal{O}_{N_\lambda} = 16 - 6 = 10$,

for any $\lambda \neq 0$. Observe that $\mathcal{O}_{N_{\lambda}} = \mathcal{O}_{N_{\mu}}$ if and only if $\lambda = \mu$ or $\lambda = -\mu$. This implies that $\dim \mathcal{U} = 11$ and $\dim p_{M,X}^{-1}(\mathcal{U}) \ge 12$. Since $p_{M,X}$ is a birational map,

$$\dim \overline{\mathcal{O}}_{M_X} = \dim \overline{\mathcal{O}}_M = 12 \quad \text{and} \quad \dim(\overline{\mathcal{O}}_{M_X} \setminus \mathcal{O}_{M_X}) \leq 11.$$

On the other hand, $p_{M,X}^{-1}(\mathcal{U})$ is contained in $\overline{\mathcal{O}}_{M_X} \setminus \mathcal{O}_{M_X}$, a contradiction.

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