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On the volume of the $Sp(n) \cdot Sp(1)$ shadow of a compact set [☆]*Sur le volume de la $Sp(n) \cdot Sp(1)$ -ombre d'un ensemble compact*Amedeo Altavilla ^a, Lorenzo Nicolodi ^b^a Dipartimento di Ingegneria Industriale e Scienze Matematiche, Università Politecnica delle Marche, Via Brecce Bianche, 60131 Ancona, Italy^b Dipartimento di Matematica e Informatica, Università degli Studi di Parma, Parco Area delle Scienze 53/A, 43124 Parma, Italy

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ABSTRACT

Let $F : \mathbb{R}^{4n} \rightarrow \mathbb{R}^{4n}$ be an element of the quaternionic unitary group $Sp(n) \cdot Sp(1)$, let K be a compact subset of \mathbb{R}^{4n} , and let V be a $4k$ -dimensional quaternionic subspace of $\mathbb{R}^{4n} \cong \mathbb{H}^n$. The $4k$ -dimensional *shadow* of the image under F of K is its orthogonal projection $P(F(K))$ onto V . We show that there exists a $4k$ -dimensional quaternionic subspace W of \mathbb{R}^{4n} such that the volume of the shadow $P(F(K))$ is the same as the volume of the section $K \cap W$. This is a quaternionic analogue of the symplectic linear non-squeezing result recently obtained by Abbondandolo and Matveyev.

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R É S U M É

Soit $F : \mathbb{R}^{4n} \rightarrow \mathbb{R}^{4n}$ un élément du groupe unitaire quaternionien $Sp(n) \cdot Sp(1)$, soit K un ensemble compact dans \mathbb{R}^{4n} , et soit V un sous-espace vectoriel quaternionien de dimension $4k$ dans $\mathbb{R}^{4n} \cong \mathbb{H}^n$. L'ombre $4k$ -dimensionnelle de l'image par F de K est sa projection orthogonale $P(F(K))$ sur V . Nous montrons qu'il existe un sous-espace vectoriel quaternionien $W \subset \mathbb{R}^{4n}$ de dimension $4k$ tel que le volume de l'ombre $P(F(K))$ est égal au volume de la section $K \cap W$. Ceci est un analogue quaternionien du résultat de *non-squeezing* linéaire symplectique obtenu récemment par Abbondandolo et Matveyev.

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1. Introduction

Consider \mathbb{R}^{2n} with the canonical symplectic form Ω . Let $B_R \subset \mathbb{R}^{2n}$ be the $2n$ -dimensional ball of radius R , and let ω_{2k} denote the volume of the unit $2k$ -dimensional ball, $1 \leq k \leq n$. Recently, Abbondandolo and Matveyev [1] have proved the following.

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Theorem 1 (Linear non-squeezing). (See [1].) Let F be a linear symplectic automorphism of $\mathbb{R}^{2n} \cong \mathbb{C}^n$, and let $P : \mathbb{R}^{2n} \rightarrow \mathbb{R}^{2n}$ be the orthogonal projection onto a complex linear subspace $V \subset \mathbb{R}^{2n}$ of real dimension $2k$, $1 \leq k \leq n$. Then

$$\text{vol}_{2k} P(F(B_R)) \geq \omega_{2k} R^{2k},$$

and equality holds if and only if the image of V under the adjoint of F is a complex linear subspace.

For $k = 1$, this result is a reformulation of Gromov’s non-squeezing theorem for linear symplectic maps [8]. The proof relies essentially on Wirtinger’s inequality for the k th powers $\Omega^k = \Omega \wedge \dots \wedge \Omega$, $1 \leq k \leq n$, or equivalently on the fact that the $2k$ -forms $\Omega^k/k!$ give rise to calibrations in the sense of Harvey–Lawson [10].

The purpose of this note is to address a quaternionic analogue of this result. In the quaternionic case, the symplectic 2-form Ω is replaced by the standard quaternionic Kähler 4-form

$$\Phi = \omega_i \wedge \omega_i + \omega_j \wedge \omega_j + \omega_k \wedge \omega_k$$

on $\mathbb{R}^{4n} \cong \mathbb{H}^n$, identified by

$$(x_0^1, x_1^1, x_2^1, x_3^1, \dots, x_0^n, x_1^n, x_2^n, x_3^n) \mapsto (x_0^1 + x_1^1 i + x_2^1 j + x_3^1 k, \dots, x_0^n + x_1^n i + x_2^n j + x_3^n k), \tag{1}$$

where

$$\begin{cases} \omega_i = \sum_{\alpha=1}^n (dx_0^\alpha \wedge dx_1^\alpha - dx_2^\alpha \wedge dx_3^\alpha), \\ \omega_j = \sum_{\alpha=1}^n (dx_0^\alpha \wedge dx_2^\alpha - dx_3^\alpha \wedge dx_1^\alpha), \\ \omega_k = \sum_{\alpha=1}^n (dx_0^\alpha \wedge dx_3^\alpha - dx_1^\alpha \wedge dx_2^\alpha). \end{cases} \tag{2}$$

For $n \geq 2$, it is known that the subgroup of $\text{GL}(4n, \mathbb{R})$ that fixes Φ is the quaternionic unitary group $\text{Sp}(n) \cdot \text{Sp}(1) \subset \text{SO}(4n)$ (cf. [4,9,12,13]). We will prove the following.

Theorem 2. Let $F \in \text{Sp}(n) \cdot \text{Sp}(1)$ be a linear quaternionic unitary automorphism of $\mathbb{R}^{4n} \cong \mathbb{H}^n$, and let $P : \mathbb{R}^{4n} \rightarrow \mathbb{R}^{4n}$ be the orthogonal projection onto a quaternionic linear subspace $V \subset \mathbb{R}^{4n}$ of real dimension $4k$, $k = 1, \dots, n$. Then, for every compact set $K \subset \mathbb{R}^{4n}$, there exists a $4k$ -dimensional quaternionic linear subspace $W \subset \mathbb{R}^{4n}$ such that

$$\text{vol}_{4k} P(F(K)) = \text{vol}_{4k}(K \cap W).$$

2. Preliminaries

Let \mathbb{H} be the real noncommutative algebra of quaternions, with the standard basis $\{1, i, j, k\}$. Multiplication is determined by the rules

$$i^2 = j^2 = k^2 = ijk = -1, \tag{3}$$

which imply $ij = -ji = k$, $jk = -kj = i$, $ki = -ik = j$. If $q \in \mathbb{H}$, we write

$$q = q_0 + q_1 i + q_2 j + q_3 k.$$

The real and imaginary parts of q are $\text{Re } q = q_0$ and $\text{Im } q = q_1 i + q_2 j + q_3 k$, respectively. The conjugate of q is given by $\bar{q} = \text{Re}(q) - \text{Im}(q)$ and the square norm of q is defined by $N(q) = q\bar{q}$. As a vector space, \mathbb{H} identifies with \mathbb{R}^4 under the isomorphism $q_0 + q_1 i + q_2 j + q_3 k \mapsto {}^t(q_0, q_1, q_2, q_3)$, which in turn induces an isomorphism between the subspace of imaginary quaternions $\text{Im } \mathbb{H} = \text{span}\{i, j, k\}$ and \mathbb{R}^3 . In particular, $1, i, j, k$ identify with the elements of the canonical basis e_0, e_1, e_2, e_3 of \mathbb{R}^4 , respectively. For $p, q \in \mathbb{H}$, we have $\bar{p}q = \bar{q}p$ and $\bar{p}q + q\bar{p} = 2\text{Re}(\bar{p}q) = 2\langle p, q \rangle$, where $\langle p, q \rangle$ is the standard Euclidean inner product on $\mathbb{R}^4 \cong \mathbb{H}$, under the above identification.

Let \mathbb{H}^n be the space of column n -tuples of quaternions endowed with its right \mathbb{H} -vector space structure, $x \cdot q = {}^t(x_1, \dots, x_n) \cdot q = {}^t(x_1 q, \dots, x_n q)$, its standard quaternionic Hermitian product

$$h(x, y) = {}^t \bar{x} y = \sum_{i=1}^n \bar{x}_i y_i,$$

and its real scalar product $\langle x, y \rangle := \text{Re } h(x, y)$, which equals the standard Euclidean inner product on $\mathbb{R}^{4n} \cong \mathbb{H}^n$.

The subgroup of $\text{GL}(n, \mathbb{H})$ that fixes h is the symplectic group

$$\text{Sp}(n) = \{A \in \text{GL}(n, \mathbb{H}) \mid h(Ax, Ay) = h(x, y)\}.$$

The group $\text{Sp}(1) = S^3 = \{q \in \mathbb{H} \mid \bar{q}q = 1\}$ of unit quaternions acts on $\mathbb{H}^n \cong \mathbb{R}^{4n}$ by right multiplication and the corresponding \mathbb{R} -linear maps preserve the Euclidean inner product, i.e.,

$$\langle x, y \rangle = \langle x \cdot q, y \cdot q \rangle. \tag{4}$$

In fact, for $x, y \in \mathbb{H}^n$ and $q \in \text{Sp}(1)$,

$$2\langle xq, yq \rangle = h(xq, yq) + h(yq, xq) = \bar{q}h(x, y)q + \bar{q}h(y, x)q = 2\bar{q}\langle x, y \rangle q = 2\langle x, y \rangle.$$

If $S^2 = \{u \in \text{Im } \mathbb{H} \mid \bar{u}u = -u^2 = 1\}$ is the sphere of unit imaginary quaternions, right multiplication by $u \in S^2$, $R_u x := x \cdot u$, defines an orthogonal complex structure on \mathbb{R}^{4n} , i.e., $R_u^2 = -\text{Id}$.

Note that $\text{Sp}(1)$ as a group of right multiplications by unit quaternions is not a subgroup of $\text{Sp}(n)$. However, $\text{Sp}(1)$ and $\text{Sp}(n)$ consist of \mathbb{R} -linear maps of \mathbb{H}^n which preserve the Euclidean inner product of $\mathbb{H}^n \cong \mathbb{R}^{4n}$ and their intersection is $\mathbb{Z}_2 \cong \{\pm \text{Id}\}$. The *quaternionic unitary group* is the enhancement

$$\text{Sp}(n) \cdot \text{Sp}(1) := \text{Sp}(n) \times \text{Sp}(1) / \mathbb{Z}_2$$

of $\text{Sp}(n)$ by $\text{Sp}(1)$. It consists of the \mathbb{R} -linear automorphisms $T_{A,q}$ of \mathbb{H}^n defined by

$$T_{A,q}(x) := Ax \cdot q, \quad x \in \mathbb{H}^n,$$

where $A \in \text{Sp}(n)$ and $q \in \text{Sp}(1)$. The group $\text{Sp}(n) \cdot \text{Sp}(1)$ is a subgroup of $\text{SO}(4n)$. In particular, for each $\lambda \in \mathbb{H}$, $T_{A,q}(x \cdot \lambda) = T_{A,q}(x) \cdot q^{-1}\lambda q$.

Remark 1. If $F \in \text{Sp}(n) \cdot \text{Sp}(1)$, its adjoint F^T with respect to the Euclidean inner product is still an element of $\text{Sp}(n) \cdot \text{Sp}(1)$. In fact, for $F = T_{A,q} \in \text{Sp}(n) \cdot \text{Sp}(1)$ and $x, y \in \mathbb{H}^n$,

$$\langle Fx, y \rangle = \langle Ax \cdot q, y \rangle = \langle x \cdot q, {}^t\bar{A}y \rangle = \langle x, {}^t\bar{A}y \cdot \bar{q} \rangle$$

by (4). Thus, $F^T = T_{A^*,\bar{q}}$, where $A^* = {}^t\bar{A} \in \text{Sp}(n)$ and $\bar{q} \in \text{Sp}(1)$.

Remark 2. If $V \subset \mathbb{R}^{4n}$ is a quaternionic subspace, i.e., a right \mathbb{H} -linear subspace of \mathbb{R}^{4n} , and $F \in \text{Sp}(n) \cdot \text{Sp}(1)$, then the image $F(V)$ is a quaternionic subspace. Now, for each $\lambda \in \mathbb{H}$, $T_{A,q}(x) \cdot \lambda = Axq\lambda = A(xq\lambda q^{-1})q = T_{A,q}(xq\lambda q^{-1})$, where $xq\lambda q^{-1} \in V$, and hence the claim. Alternatively, observe that F is a *quaternionic* \mathbb{R} -linear map (cf. [2], Definition 1.6), i.e., for each $u \in S^2$, $F \circ R_u = R_{u'} \circ F$, for some $u' \in S^2$. As such, it clearly takes quaternionic subspaces to quaternionic subspaces.

Let $\omega_u(x, y) := \text{Re } h(R_u x, y) = \langle R_u x, y \rangle$ be the Kähler 2-form corresponding to the complex structure R_u , for $u \in S^2$. Then, for all $x, y \in \mathbb{H}^n$,

$$h(x, y) = \langle x, y \rangle + i\omega_i(x, y) + j\omega_j(x, y) + k\omega_k(x, y).$$

In fact, $\langle 1, h(x, y) \rangle = \text{Re } h(x, y)$, and for $u \in S^2$,

$$2\langle u, h(x, y) \rangle = \bar{u}h(x, y) + \overline{h(x, y)u} = h(x \cdot u, y) + h(y, x \cdot u) = 2\text{Re } h(R_u x, y).$$

According to the identification (1), the Kähler 2-forms $\omega_i, \omega_j, \omega_k$ are expressed as in (2).

3. Extremal properties of Hilbert forms and proof of Theorem 2

On $\mathbb{R}^{4n} \cong \mathbb{H}^n$, consider the quaternionic Kähler 4-form $\Phi = \omega_i \wedge \omega_i + \omega_j \wedge \omega_j + \omega_k \wedge \omega_k$.

Proposition 3. (See Bruni [5].) *The external powers of Φ and the standard powers of the polynomial $x^2 + y^2 + z^2$ have the same formal expression.*

This observation is important in view of the following classical result.

Theorem 4. (See Hilbert [11].) *For every $m \in \mathbb{N}$, the m th power of the sum of squares $x^2 + y^2 + z^2$ is a linear combination with coefficients in \mathbb{Q}^+ of $2m$ th powers of first degree polynomials with integer coefficients, i.e.,*

$$(x^2 + y^2 + z^2)^m = \sum_{t=1}^s p_t (a_t x + b_t y + c_t z)^{2m}, \quad p_t \in \mathbb{Q}^+, \quad a_t, b_t, c_t \in \mathbb{Z}.$$

This theorem suggests the following.

Definition 1. (See Bruni [5].) Let $r_1, \dots, r_s \in \mathbb{R}^+$ and $q_1, \dots, q_s \in S^2 \subset \text{Im } \mathbb{H}$, with $q_t = q_t^1 i + q_t^2 j + q_t^3 k$ ($t = 1, \dots, s$). For $p < n$, a Hilbert form is the $4p$ -forms given by

$$\Psi = \sum_{t=1}^s r_t \left(\bigwedge^{2p} \omega_{q_t} \right) = \sum_{t=1}^s r_t \left(\bigwedge^{2p} (q_t^1 \omega_i + q_t^2 \omega_j + q_t^3 \omega_k) \right).$$

The height of Ψ is the real number $h_\Psi := (\sum_{t=1}^s r_t) (2p)!$.

Remark 3. Examples of Hilbert forms include the exterior powers of the quaternionic Kähler 4-form Φ . For instance,

$$\begin{aligned} \Phi^2 = \frac{9}{12} & \left[\left(\frac{1}{\sqrt{3}} \omega_i + \frac{1}{\sqrt{3}} \omega_j + \frac{1}{\sqrt{3}} \omega_k \right)^4 + \left(\frac{1}{\sqrt{3}} \omega_i + \frac{1}{\sqrt{3}} \omega_j - \frac{1}{\sqrt{3}} \omega_k \right)^4 + \left(\frac{1}{\sqrt{3}} \omega_i - \frac{1}{\sqrt{3}} \omega_j + \frac{1}{\sqrt{3}} \omega_k \right)^4 \right. \\ & \left. + \left(-\frac{1}{\sqrt{3}} \omega_i + \frac{1}{\sqrt{3}} \omega_j + \frac{1}{\sqrt{3}} \omega_k \right)^4 \right] + \frac{2}{3} (\omega_i^4 + \omega_j^4 + \omega_k^4). \end{aligned}$$

Moreover, the heights of Φ , Φ^2 , and Φ^3 are, respectively, $3 \cdot (2)!$, $5 \cdot (4)!$, and $7 \cdot (6)!$ (cf. [5]).

Remark 4. In [6], it is shown that every linear combination of ω_i , ω_j and ω_k is invariant under the action of $\text{Sp}(n)$. This implies that all Hilbert forms are $\text{Sp}(n)$ -invariant. In general, they need not be invariant under $\text{Sp}(n) \cdot \text{Sp}(1)$. However, note that the k th powers Φ^k , $1 \leq k \leq n$, of the quaternionic Kähler form Φ are invariant under $\text{Sp}(n) \cdot \text{Sp}(1)$.

For the Hilbert forms, the following holds.

Theorem 5. (See Bruni [5].) Let ξ be a simple $4p$ -vector and let Ψ be a Hilbert form. Then

$$|\Psi(\xi)| \leq h_\Psi \text{vol}(\xi),$$

and equality holds if and only if the linear subspace corresponding to ξ is quaternionic.

Remark 5. From the previous theorem, it follows that any Hilbert form Ψ defines a calibration Ψ/h_Ψ . In particular, since $h_\Phi = 6$, the 4-form $\frac{1}{6}\Phi$ is a calibration (cf. [3,6,14]).

Following the idea of proof of Theorem 1 (cf. [1]), we now prove Theorem 2.

Proof of Theorem 2. For $F \in \text{Sp}(n) \cdot \text{Sp}(1)$, let $A := P \circ F : \mathbb{R}^{4n} \rightarrow V \subset \mathbb{R}^{4n}$ and denote by $A^T : V \rightarrow \mathbb{R}^{4n}$ the adjoint of A with respect to the Euclidean inner products. Then, $A^T A : \mathbb{R}^{4n} \rightarrow \mathbb{R}^{4n}$ is symmetric, positive semidefinite and with kernel of codimension $4k$,

$$\text{Ker}(A^T A) = \text{Ker} A = (\text{Ran} A^T)^\perp.$$

The application $A^T A$ restricts to an automorphism of the $4k$ -dimensional space $(\text{Ker} A)^\perp = \text{Ran} A^T$ and, as such, it is the composition of the two isomorphisms

$$A|_{(\text{Ker} A)^\perp} : (\text{Ker} A)^\perp \rightarrow V, \quad A^T : V \rightarrow (\text{Ker} A)^\perp,$$

which, being one the adjoint of each other, have the same determinant. Thus

$$\det(A^T A|_{(\text{Ker} A)^\perp}) = |\det(A|_{(\text{Ker} A)^\perp})|^2.$$

Let ξ_1, \dots, ξ_{4k} be a basis for $(\text{Ker} A)^\perp = \text{Ran} A^T = F^T V$, such that $|\xi_1 \wedge \dots \wedge \xi_{4k}| = 1$, where $|\xi_1 \wedge \dots \wedge \xi_{4k}|$ denotes the volume of the $4k$ -parallelepiped spanned by ξ_1, \dots, ξ_{4k} . Since $A(K) = A(K \cap (\text{Ker} A)^\perp) = A(K \cap \text{Ran} A^T)$, we have (see, for instance, [1,7])

$$\frac{\text{vol}_{4k}(A(K))}{\text{vol}_{4k}(K \cap (\text{Ker} A)^\perp)} = |A\xi_1 \wedge \dots \wedge A\xi_{4k}| = |\det(A|_{(\text{Ker} A)^\perp})| = \sqrt{\det(A^T A|_{(\text{Ker} A)^\perp})}. \tag{5}$$

From this identity and Theorem 5, it follows that

$$\begin{aligned} \left(\frac{\text{vol}_{4k}(A(K))}{\text{vol}_{4k}(K \cap (\text{Ker} A)^\perp)} \right)^2 &= \det(A^T A|_{(\text{Ker} A)^\perp}) = |A^T A\xi_1 \wedge \dots \wedge A^T A\xi_{4k}| \\ &\geq \frac{1}{h_{\Phi^k}} \left| \Phi^k(A^T A\xi_1 \wedge \dots \wedge A^T A\xi_{4k}) \right| = \frac{1}{h_{\Phi^k}} \left| \Phi^k(F^T A\xi_1 \wedge \dots \wedge F^T A\xi_{4k}) \right|, \end{aligned} \tag{6}$$

and equality holds if and only if the subspace generated by $A^T A\xi_1, \dots, A^T A\xi_{4k}$, i.e. the subspace $F^T V$, is quaternionic.

According to Remark 1, if $F \in \text{Sp}(n) \cdot \text{Sp}(1)$, also $F^T \in \text{Sp}(n) \cdot \text{Sp}(1)$. Hence, since Φ^k is invariant under $\text{Sp}(n) \cdot \text{Sp}(1)$,

$$\Phi^k(F^T A\xi_1 \wedge \dots \wedge F^T A\xi_{4k}) = \Phi^k(A\xi_1 \wedge \dots \wedge A\xi_{4k}). \quad (7)$$

Now, since the restriction of Φ^k to the quaternionic subspace V is h_{Φ^k} -times the standard volume form, by (5), we have

$$\frac{1}{h_{\Phi^k}} \left| \Phi^k(A\xi_1 \wedge \dots \wedge A\xi_{4k}) \right| = |A\xi_1 \wedge \dots \wedge A\xi_{4k}| = \frac{\text{vol}_{4k}(A(K))}{\text{vol}_{4k}(K \cap (\text{Ker} A^\perp))}. \quad (8)$$

Taking into account that, by Remark 2, the subspace $F^T V =: W$ is always a quaternionic subspace, it follows from (6), (7), and (8) that

$$\text{vol}_{4k}(A(K)) = \text{vol}_{4k}(K \cap (\text{Ker} A^\perp)) = \text{vol}_{4k}(K \cap W). \quad \square$$

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