



## Partial Differential Equations

## Existence of weak solutions to a simplified steady system of turbulence modeling

*Existence des solutions faibles pour un système stationnaire simplifié de turbulence*Joachim Naumann<sup>a</sup>, Joerg Wolf<sup>b</sup><sup>a</sup> Department of Mathematics, Humboldt University Berlin, Unter den Linden 6, 10099 Berlin, Germany<sup>b</sup> Faculty of Mathematics, University of Magdeburg, Universitätsplatz 2, 39106 Magdeburg, Germany

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## ABSTRACT

We consider a coupled system of PDEs for two scalar functions  $u$  and  $k$  in a bounded domain  $\Omega \subset \mathbb{R}^d$  ( $d = 2$  or  $d = 3$ ) of Prandtl's (1945) turbulence model ( $u$  = "one-dimensional" mean velocity,  $k$  = turbulent mean kinetic energy). We prove the existence of weak solutions to the system under consideration with homogeneous Dirichlet conditions on  $u$ , and mixed boundary conditions on  $k$ .

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## RÉSUMÉ

On considère un système couplé d'équations aux dérivées partielles pour des fonctions scalaires  $u$  et  $k$  dans un domaine borné de  $\mathbb{R}^d$  ( $d = 2$  ou  $d = 3$ ). Ce système représente une version simplifiée du modèle stationnaire de turbulence de Prandtl (1945) ( $u$  = vitesse « unidimensionnelle » moyenne,  $k$  = énergie cinétique turbulente moyenne). On établit l'existence des solutions faibles du système envisagé avec des conditions aux limites homogènes de Dirichlet pour  $u$ , et des conditions aux limites mixtes homogènes de Neumann pour  $k$ .

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## Version française abrégée

Dans un domaine borné de Lipschitz  $\Omega \subset \mathbb{R}^d$  ( $d = 2$  ou  $d = 3$ ) on étudie le système

$$-\operatorname{div}((v + \sqrt{k})\nabla u) = f, \quad -\operatorname{div}(\sqrt{k}\nabla k) = \sqrt{k}|\nabla u|^2 - k\sqrt{k}. \quad (1)$$

Ce système représente une version simplifiée du modèle stationnaire de turbulence de Prandtl (voir [4,7,8]). On considère les conditions aux limites suivantes pour  $u$  et  $k$  :

$$u = 0 \quad \text{sur } \partial\Omega, \quad (2a)$$

$$k = k_D \quad \text{sur } \Gamma_D, \quad \sqrt{k} \frac{\partial k}{\partial \mathbf{n}} = 0 \quad \text{sur } \Gamma_N \quad (2b)$$

où  $\partial\Omega = \Gamma_D \cup \Gamma_N$  disjoint, avec  $\Gamma_D \neq \emptyset$  relativement ouverte.

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Le but de cette Note est d'établir l'existence des solutions faibles du problème (1), (2a), (2b) de sorte que  $k \geq \sigma k_D$  ( $0 < \sigma < 1$ ) p.p. dans  $\Omega$  si  $k_D = \text{const} > 0$  sur  $\Gamma_D$ . Si  $k_D = 0$  sur  $\Gamma_D$ , on obtient  $k \geq 0$  p.p. dans  $\Omega$ , et il existe un ensemble  $\Omega^* \subset \Omega$  de mesure positive de sorte que  $k > 0$  p.p. dans  $\Omega^*$ .

Les démonstrations procèdent en trois étapes. Si  $k_D = \text{const} > 0$  sur  $\Gamma_D$  on prouve l'existence d'une solution faible du système régularisé

$$-\operatorname{div}((v + [h]_\varepsilon^{1/3})\nabla u) = f \quad \text{dans } \Omega, \quad -\frac{2}{3}\Delta h + h = [h]_\varepsilon^{1/3} \frac{|\nabla u|^2}{1 + \varepsilon |\nabla u|^2} \quad \text{dans } \Omega$$

avec les conditions aux limites (2a), et  $h = k_D^{3/2}$  sur  $\Gamma_D$ ,  $\frac{\partial h}{\partial n} = 0$  sur  $\Gamma_N$ , où  $[\xi]_\varepsilon := \min\{\frac{1}{\varepsilon}, \xi\}$  ( $0 \leq \xi < +\infty, \varepsilon > 0$ ). Ensuite on établit des estimations a-priori pour la solution faible  $(u_\varepsilon, h_\varepsilon)$  du problème ci-dessus (par ex.,  $h_\varepsilon \geq (\sigma k_D)^{3/2}$  p.p. dans  $\Omega$  à l'aide du principe du maximum pour la fonction  $(-h_\varepsilon)$  avec  $0 < \sigma < 1$  convenable) et on effectue le passage à la limite  $\varepsilon \rightarrow 0$  pour  $(u_\varepsilon, k_\varepsilon)$  où  $k_\varepsilon = h_\varepsilon^{2/3}$ . Au cas où  $k_D = 0$  sur  $\Gamma_D$  on considère une modification du système régularisé ci-dessus.

Dans un travail à venir nous étudierons le cas instationnaire de (1), (2a), (2b).

## 1. Statement of the problem

Let  $\Omega \subset \mathbb{R}^d$  ( $d = 2$  or  $d = 3$ ) be a bounded domain with Lipschitz boundary  $\partial\Omega$ . We consider the following system of PDEs:

$$-\operatorname{div}((v + \sqrt{k})\nabla u) = f \quad \text{in } \Omega, \tag{1a}$$

$$-\operatorname{div}(\sqrt{k}\nabla k) = \sqrt{k}|\nabla u|^2 - k\sqrt{k} \quad \text{in } \Omega. \tag{1b}$$

This system can be regarded as a simplified stationary version of Prandtl's one equation model of turbulence [8] (see, e.g., [4,7] for more details). Here,  $u$  can be viewed as the “one-dimensional” mean velocity of the flow, and  $k$  as the turbulent mean kinetic energy ( $v = \text{const} > 0$ ,  $\sqrt{k}$  = eddy viscosity).

Let be  $\partial\Omega = \Gamma_D \cup \Gamma_N$  disjoint, where  $\Gamma_D \neq \emptyset$  and relatively open. Let  $\mathbf{n}$  denote the unit outward normal along  $\partial\Omega$ . We consider system (1a), (1b) with the following boundary conditions:

$$u = 0 \quad \text{on } \partial\Omega, \tag{2a}$$

$$k = k_D \quad \text{on } \Gamma_D, \quad \sqrt{k} \frac{\partial k}{\partial \mathbf{n}} = 0 \quad \text{on } \Gamma_N, \tag{2b}$$

where  $k_D \geq 0$  is a given function.

System (1a), (1b) [without the term  $k\sqrt{k}$ ] and with general unbounded coefficients in place of  $\sqrt{k}$ , has been studied in [3]. However, the conditions on the coefficients considered in this paper, exclude the physically important case  $\sqrt{k}$ . In [1], the authors investigated a similar system with unbounded coefficients. The full RANS model (with mean velocity  $\mathbf{u} = (u_1, \dots, u_d)$ ,  $\operatorname{div} \mathbf{u} = 0$ ) with certain restrictions on the coefficients (eddy viscosities) is studied in [2] and [5].

The aim of our paper is to present some existence results for weak solutions  $(u, k)$  to (1a), (1b), (2a), (2b) such that  $k > 0$  a.e. at least on a set  $\Omega^* \subset \Omega$  with  $\operatorname{mes} \Omega^* > 0$ . More specifically, if  $k_D > 0$  on  $\Gamma_D$ , we show that  $k \geq \text{const} > 0$  a.e. in  $\Omega$  provided  $\operatorname{mes} \Omega$  satisfies a smallness condition. If, however,  $k_D = 0$  on  $\Gamma_D$  then  $k \equiv 0$  (“laminar freestream”, cf. [8, p. 11]) is a solution to (1b) whenever  $|\nabla u| < +\infty$  in  $\Omega$ . To motivate our discussion below, we suppose that there exists a sufficiently regular classical solution  $(u, k)$  to (1a), (1b), (2a), (2b) such that  $k > 0$  in  $\Omega$ . In (1b) we calculate  $\operatorname{div}(\sqrt{k}\nabla k)$ , then divide each term of this equation by  $\sqrt{k}$  and multiply the new equation by  $\psi \in C_c^2(\Omega)$ ,  $\psi \geq 0$  in  $\Omega$ . Integration by parts of the term  $(-\Delta k)\psi$  gives

$$\int_{\Omega} k(-\Delta \psi + \psi) = \int_{\Omega} \left( \frac{|\nabla k|^2}{2k} + |\nabla u|^2 \right) \psi \geq \int_{\Omega} |\nabla u|^2 \psi.$$

Conversely, Theorem 2 states the existence of a weak solution  $(u, k)$  ( $k \geq 0$  a.e. in  $\Omega$ ) to (1a), (1b), (2a), (2b) that satisfies

$$\int_{\Omega} k(-\Delta \psi + \psi) \geq \int_{\Omega} |\nabla u|^2 \psi \quad \forall \psi \in C_c^2(\Omega), \psi \geq 0 \text{ in } \Omega$$

(cf. (9) below). Thus, if  $\int_{\Omega} |\nabla u|^2 > 0$ , then a standard argument shows that there exists a measurable set  $\Omega^* \subset \Omega$  such that  $\operatorname{mes} \Omega^* > 0$  and  $k > 0$  a.e. in  $\Omega^*$ .

## 2. Main results

Let  $W^{m,p}(\Omega)$  ( $m \in \mathbb{N}$ ,  $p \in [1, +\infty]$ ) denote the usual Sobolev space. If  $\Gamma_D \neq \emptyset$  and relatively open, we define

$$W_{\Gamma_D}^{1,p}(\Omega) := \{v \in W^{1,p}(\Omega); v = 0 \text{ a.e. on } \Gamma_D\}.$$

It is well known that there exists  $\gamma_0 = \gamma_0(\Omega) > 0$  such that

$$\|z\|_{L^6(\Omega)} \leq \gamma_0 \|\nabla z\|_{L^2(\Omega)} \quad \forall z \in W_{\Gamma_D}^{1,2}(\Omega) \quad (d=2 \text{ resp. } d=3).$$

If  $\Gamma_D = \partial\Omega$ , we write  $W_0^{1,p}(\Omega)$  in place of  $W_{\partial\Omega}^{1,p}(\Omega)$ .

Without any further reference, throughout we assume that  $f \in L^r(\Omega)$  ( $r > \frac{d}{2}$ ) and  $k_D = \text{const} \geq 0$ .

**Theorem 1.** Let  $k_D > 0$ . Suppose that  $\gamma_0^2 (\text{mes } \Omega)^{2/3} < \frac{1}{3 \cdot 2^{3/2}}$ . Then there exist a pair

$$(u, k) \in (W_0^{1,2}(\Omega) \cap L^\infty(\Omega)) \times \bigcap_{1 \leq p < \frac{d}{d-1}} W^{1,p}(\Omega) \quad \text{and} \quad \sigma \in ]0, 1[$$

such that  $k \geq \sigma k_D$  a.e. in  $\Omega$ ,  $k = k_D$  a.e. on  $\Gamma_D$ ,

$$\nabla(k^{3/2}) \in \bigcap_{1 \leq p < \frac{d}{d-1}} \mathbf{L}^p(\Omega), \quad k^{1/4} \nabla u, \nabla k^{1/4} \in \mathbf{L}^2(\Omega), \quad \int_{\Omega} \frac{|\nabla k|^2}{k^{(1+2\delta)/2}} \leq c(\delta) \quad \forall 0 < \delta < 1, \quad (3)$$

where  $c(\delta) \rightarrow +\infty$  as  $\delta \rightarrow 0$ , and

$$\int_{\Omega} (v + k^{1/2}) \nabla u \cdot \nabla \zeta = \int_{\Omega} f \zeta \quad \forall \zeta \in C_c^\infty(\Omega), \quad \int_{\Omega} (v + k^{1/2}) |\nabla u|^2 = \int_{\Omega} f u \quad (\text{energy identity}), \quad (4)$$

$$\int_{\Omega} k^{1/2} \nabla k \cdot \nabla \varphi = \int_{\Omega} (k^{1/2} |\nabla u|^2 - k^{3/2}) \varphi \quad \forall \varphi \in \bigcup_{s>d} W_{\Gamma_D}^{1,s}(\Omega). \quad (5)$$

**Theorem 2.** Let  $k_D = 0$ . Then there exists a pair

$$(u, h) \in (W_0^{1,2}(\Omega) \cap L^\infty(\Omega)) \times \bigcap_{1 \leq p < \frac{d}{d-1}} W_{\Gamma_D}^{1,p}(\Omega)$$

such that  $h \geq 0$  a.e. in  $\Omega$ , and

$$h^{1/6} \nabla u \in \mathbf{L}^2(\Omega), \quad \int_{\Omega} \frac{|\nabla h|^2}{(\lambda + h)^{1+\delta}} \leq \frac{c}{\delta \lambda^\delta} \quad \forall \lambda > 0, \forall \delta > 0 \quad (c = \text{const}), \quad (6)$$

$$\frac{2}{3} \int_{\Omega} \nabla h \cdot \nabla \varphi = \int_{\Omega} (h^{1/3} |\nabla u|^2 - h) \varphi \quad \forall \varphi \in \bigcup_{s>d} W_{\Gamma_D}^{1,s}(\Omega). \quad (7)$$

Define  $k := h^{2/3}$ . Then  $k \geq 0$  a.e. in  $\Omega$ ,  $k \in \bigcap_{1 \leq p < p_0} L^p(\Omega)$  ( $p_0 = +\infty$  if  $d=2$ ,  $p_0 = \frac{9}{2}$  if  $d=3$ ), and the pair  $(u, k)$  satisfies

$$\int_{\Omega} (v + k^{1/2}) \nabla u \cdot \nabla \zeta = \int_{\Omega} f \zeta \quad \forall \zeta \in C_c^\infty(\Omega), \quad \int_{\Omega} (v + k^{1/2}) |\nabla u|^2 = \int_{\Omega} f u \quad (\text{energy identity}). \quad (8)$$

In addition,

$$\int_{\Omega} k(-\Delta \psi + \psi) \geq \int_{\Omega} |\nabla u|^2 \psi \quad \forall \psi \in C_c^\infty(\Omega), \quad \psi \geq 0 \text{ in } \Omega. \quad (9)$$

### 3. Proof of Theorem 1

For  $\xi \in [0, +\infty[$ ,  $\varepsilon \in ]0, 1[$ , define  $[\xi]_\varepsilon := \min\{\frac{1}{\varepsilon}, \xi\}$ . We consider the weak formulation of (1a), (1b), (2a), (2b) with the new unknown  $h := k^{3/2}$  instead of  $k \geq 0$  and replace  $h^{1/3}$  by  $[h]_\varepsilon^{1/3}$ . Set  $h_D := k_D^{3/2}$ . For every  $\varepsilon > 0$  there exists  $(u_\varepsilon, h_\varepsilon) \in W_0^{1,2}(\Omega) \times W^{1,2}(\Omega)$  such that

$$h_\varepsilon \geq 0 \quad \text{a.e. in } \Omega, \quad h_\varepsilon = h_D \quad \text{a.e. on } \Gamma_D, \quad \int_{\Omega} (v + [h_\varepsilon]_\varepsilon^{1/3}) \nabla u_\varepsilon \cdot \nabla v = \int_{\Omega} f v \quad \forall v \in W_0^{1,2}(\Omega), \quad (10)$$

$$\frac{2}{3} \int_{\Omega} \nabla h_\varepsilon \cdot \nabla \varphi + \int_{\Omega} h_\varepsilon \varphi = \int_{\Omega} [h_\varepsilon]_\varepsilon^{1/3} \frac{|\nabla u_\varepsilon|^2}{1 + \varepsilon |\nabla u_\varepsilon|^2} \varphi \quad \forall \varphi \in W_{\Gamma_D}^{1,2}(\Omega). \quad (11)$$

This can be easily seen when replacing (10), (11) by an equivalent operator equation in the Hilbert space  $W_0^{1,2}(\Omega) \times W_{\Gamma_D}^{1,2}(\Omega)$  and applying the theory of pseudo-monotone operators (see, e.g., [10, Chap. 27.3]; details of this argument are carried out in [6] for a problem which is similar to (1a), (1b), (2a), (2b)). From (10) one easily derives an  $L^1$ -estimate on  $(v + [h_\varepsilon]_\varepsilon)^{1/3} |\nabla u_\varepsilon|^2$  as well as an  $L^\infty$ -estimate on  $u_\varepsilon$  (see [9] for  $L^\infty$ -estimates and maximum principles for a large class of elliptic equations in divergence form).

Define  $w_\varepsilon := -h_\varepsilon$  a.e. in  $\Omega$ . We multiply (11) by  $(-1)$  and take  $\varphi = (w_\varepsilon - \lambda)^+$  ( $\lambda \geq \lambda_0 := -h_D$ ). This gives

$$\int_{\Omega} |\nabla(w_\varepsilon - \lambda)^+|^2 \leq \frac{3}{2} \int_{\{w_\varepsilon > \lambda\}} h_\varepsilon(w_\varepsilon - \lambda) \leq \frac{3}{2} h_D \int_{\Omega} (w_\varepsilon - \lambda)^+.$$

Again appealing to [9], we conclude that

$$w_\varepsilon(x) \leq \lambda_0 + 2^{\tau/(\tau-1)} \gamma_0^2 (\text{mes } \Omega)^{\tau-1} \cdot \frac{3}{2} h_D (\text{mes } \Omega)^{1/q} \quad \text{for a.e. } x \in \Omega,$$

where  $\tau := 2(1 - \frac{1}{2^*}) - \frac{1}{q}$ ,  $2^* = 6$  for both  $d = 2$  and  $d = 3$ , and  $q \in ]1, +\infty[$  if  $d = 2$ ,  $q \in ]\frac{3}{2}, +\infty[$  if  $d = 3$ . Hence

$$h_\varepsilon(x) \geq h_D - 3 \cdot 2^{1/(\tau-1)} \gamma_0^2 (\text{mes } \Omega)^{\tau-1+1/q} h_D \quad \text{for a.e. } x \in \Omega.$$

Observing the smallness condition upon  $\gamma_0^2 (\text{mes } \Omega)^{2/3}$ , we fix a sufficiently large  $q$  such that  $3 \cdot 2^{3q/(2q-3)} \gamma_0^2 (\text{mes } \Omega)^{2/3} < 1$ . Then there exists  $\sigma \in ]0, 1[$  such that  $h_\varepsilon(x) \geq \sigma^{3/2} h_D$  for a.e.  $x \in \Omega$ .

Next, inserting  $\varphi = 1 - \frac{h_D^\delta}{h_\varepsilon^\delta}$  ( $0 < \delta < 1$ ) into (11) and observing that

$$\int_{\Omega} h_\varepsilon \left( 1 - \frac{h_D^\delta}{h_\varepsilon^\delta} \right) \geq -c \quad (c = \text{const} > 0 \text{ independent of } \varepsilon),$$

we obtain

$$\int_{\Omega} \frac{|\nabla h_\varepsilon|^2}{h_\varepsilon^{1+\delta}} \leq c(\delta) \quad \forall 0 < \delta < 1 \quad (c(\delta) \rightarrow +\infty \text{ as } \delta \rightarrow 0). \quad (12)$$

We now define  $k_\varepsilon := h_\varepsilon^{2/3}$  a.e. in  $\Omega$  (notice  $[h_\varepsilon]_\varepsilon^{1/3} = [k_\varepsilon]_{\varepsilon^{2/3}}^{1/2}$ ), and rewrite (10), (11) for the pair  $(u_\varepsilon, k_\varepsilon)$ . Then from (12) it follows that, for all  $\varepsilon > 0$  and all  $1 \leq p < \frac{d}{d-1}$ ,

$$\|k_\varepsilon\|_{W^{1,p}(\Omega)}^p + \int_{\Omega} |k_\varepsilon^{1/2} \nabla k_\varepsilon|^p \leq C_1, \quad \int_{\Omega} |\nabla k_\varepsilon^{1/4}|^2 \leq C_2 \quad \left( C_1 \rightarrow +\infty \text{ as } p \rightarrow \frac{d}{d-1} \right).$$

The estimates on  $(u_\varepsilon, k_\varepsilon)$  imply the existence of a subsequence (not relabelled) such that  $(u_\varepsilon, k_\varepsilon) \rightarrow (u, k)$  weakly in  $W_0^{1,2}(\Omega) \times W^{1,p}(\Omega)$  ( $1 < p < \frac{d}{d-1}$ ), a.e. in  $\Omega$  and a.e. on  $\partial\Omega$  as  $\varepsilon \rightarrow 0$ . Clearly,  $k \geq \sigma k_D$  a.e. in  $\Omega$  and  $k = k_D$  a.e. on  $\Gamma_D$ . The passage to the limit  $\varepsilon \rightarrow 0$  in (10) (with  $k_\varepsilon = h_\varepsilon^{2/3}$ ) gives the first integral relation in (4), while the energy identity in (4) can be proved by a straightforward modification of an approximation argument developed in [3]. Finally, with the help of the energy identity in (4) one easily shows that  $[k_\varepsilon]_{\varepsilon^{2/3}}^{1/2} |\nabla u_\varepsilon|^2 (1 + \varepsilon |\nabla u_\varepsilon|^2)^{-1} \rightarrow k^{1/2} |\nabla u|^2$  strongly in  $L^1(\Omega)$  as  $\varepsilon \rightarrow 0$ . Then the passage to the limit  $\varepsilon \rightarrow 0$  in (11) gives (5).

#### 4. Proof of Theorem 2

In contrast to the proof of Theorem 1, we now use a double approximation procedure. This will enable us to prove (9).

For every  $\varepsilon > 0$ ,  $\eta > 0$  there exists  $(u_{\varepsilon,\eta}, h_{\varepsilon,\eta}) \in W_0^{1,2}(\Omega) \times W_{\Gamma_D}^{1,2}(\Omega)$  such that  $h_{\varepsilon,\eta} \geq 0$  a.e. in  $\Omega$ ,  $h_{\varepsilon,\eta} = 0$  a.e. on  $\Gamma_D$  and

$$\int_{\Omega} (v + (\varepsilon + [h_{\varepsilon,\eta}]_\eta)^{1/3}) \nabla u_{\varepsilon,\eta} \cdot \nabla v = \int_{\Omega} f v \quad \forall v \in W_0^{1,2}(\Omega), \quad (13)$$

$$\frac{2}{3} \int_{\Omega} \nabla h_{\varepsilon,\eta} \cdot \nabla \varphi + \int_{\Omega} h_{\varepsilon,\eta} \varphi = \int_{\Omega} (\varepsilon + [h_{\varepsilon,\eta}]_\eta)^{1/3} \frac{|\nabla u_{\varepsilon,\eta}|^2}{1 + \eta |\nabla u_{\varepsilon,\eta}|^2} \varphi \quad \forall \varphi \in W_{\Gamma_D}^{1,2}(\Omega). \quad (14)$$

With minor notational alterations, this existence result can be proved by the same arguments as in the proof of Theorem 1. As above, from (13) we obtain an  $L^1$ -estimate on  $(v + (\varepsilon + [h_{\varepsilon,\eta}]_\eta)^{1/3}) |\nabla u_{\varepsilon,\eta}|^2$  and an  $L^\infty$ -estimate on  $u_{\varepsilon,\eta}$ . Next, we insert  $\varphi = 1 - \frac{\lambda^\delta}{(\lambda + h_{\varepsilon,\eta})^\delta}$  ( $\lambda > 0$ ,  $\delta > 0$ ) into (14) to obtain

$$\int_{\Omega} \frac{|\nabla h_{\varepsilon,\eta}|^2}{(\lambda + h_{\varepsilon,\eta})^{1+\delta}} \leq \frac{c}{\delta \lambda^\delta} \quad \forall \lambda > 0, \forall \delta > 0 \text{ (} c = \text{const independent of } \varepsilon, \eta \text{).} \quad (15)$$

As above, this estimate implies  $\|h_{\varepsilon,\eta}\|_{W^{1,p}(\Omega)} \leq C_1$  for every  $1 \leq p < \frac{d}{d-1}$ , where the constant  $C_1$  depends neither on  $\varepsilon$  nor on  $\eta$ , but  $C_1 \rightarrow +\infty$  if  $\lambda \rightarrow 0$ ,  $\delta \rightarrow 0$  or  $p \rightarrow \frac{d}{d-1}$ . Finally, given any  $\psi \in C_c^\infty(\Omega)$ ,  $\psi \geq 0$  in  $\Omega$ , we insert  $\varphi = \frac{\psi}{(\varepsilon + [h_{\varepsilon,\eta}]_\eta)^{1/3}}$  into (14) to get the inequality

$$\frac{2}{3} \int_{\Omega} \frac{\nabla h_{\varepsilon,\eta} \cdot \nabla \psi}{(\varepsilon + [h_{\varepsilon,\eta}]_\eta)^{1/3}} + \int_{\Omega} \frac{h_{\varepsilon,\eta}}{(\varepsilon + [h_{\varepsilon,\eta}]_\eta)^{1/3}} \psi \geq \int_{\Omega} \frac{|\nabla u_{\varepsilon,\eta}|^2}{1 + \eta |\nabla u_{\varepsilon,\eta}|^2} \psi. \quad (16)$$

*Passage to the limit  $\eta \rightarrow 0$  ( $\varepsilon > 0$  fixed).* The estimates on  $(u_{\varepsilon,\eta}, h_{\varepsilon,\eta})$  imply the existence of a subsequence (not relabelled) such that  $(u_{\varepsilon,\eta}, h_{\varepsilon,\eta}) \rightarrow (u_\varepsilon, h_\varepsilon)$  weakly in  $W_0^{1,2}(\Omega) \times W_{\Gamma_D}^{1,p}(\Omega)$  ( $1 \leq p < \frac{d}{d-1}$ ) and a.e. in  $\Omega$  as  $\eta \rightarrow 0$ . Clearly,  $h_\varepsilon \geq 0$  a.e. in  $\Omega$ . By a standard reasoning,  $\eta \rightarrow 0$  in (15) yields

$$\int_{\Omega} \frac{|\nabla h_\varepsilon|^2}{(\lambda + h_\varepsilon)^{1+\delta}} \leq \frac{c}{\delta \lambda^\delta} \quad \forall \lambda > 0, \forall \delta > 0. \quad (17)$$

Now, the passage to the limit  $\eta \rightarrow 0$  in (13) gives

$$\int_{\Omega} (\nu + (\varepsilon + h_\varepsilon)^{1/3}) \nabla u_\varepsilon \cdot \nabla \zeta = \int_{\Omega} f \zeta \quad \forall \zeta \in C_c^\infty(\Omega). \quad (18)$$

Taking  $\lambda = \varepsilon$ ,  $\delta = \frac{2}{3}$  in (17) we obtain  $(\varepsilon + h_\varepsilon)^{1/6} \in W^{1,2}(\Omega)$ . Then the approximation procedure in [3] gives the energy identity  $\int_{\Omega} (\nu + (\varepsilon + h_\varepsilon)^{1/3}) |\nabla u_\varepsilon|^2 = \int_{\Omega} f u_\varepsilon$ . By the aid of this identity we can easily carry out the passage to the limit  $\eta \rightarrow 0$  in (14), while  $\eta \rightarrow 0$  in (16) is straightforward. Thus, (14) and (16) imply

$$\frac{2}{3} \int_{\Omega} \nabla h_\varepsilon \cdot \nabla \varphi + \int_{\Omega} h_\varepsilon \varphi = \int_{\Omega} (\varepsilon + h_\varepsilon)^{1/3} |\nabla u_\varepsilon|^2 \varphi \quad \forall \varphi \in \bigcup_{s>d} W_{\Gamma_D}^{1,s}(\Omega), \quad (19)$$

$$-\int_{\Omega} (\varepsilon + h_\varepsilon)^{2/3} \Delta \psi + \int_{\Omega} \frac{h_\varepsilon \psi}{(\varepsilon + h_\varepsilon)^{1/3}} \geq \int_{\Omega} |\nabla u_\varepsilon|^2 \psi \quad \forall \psi \in C_c^\infty(\Omega), \psi \geq 0 \text{ in } \Omega, \quad (20)$$

respectively.

*Passage to the limit  $\varepsilon \rightarrow 0$ .* It is readily seen that all estimates on  $(u_{\varepsilon,\eta}, h_{\varepsilon,\eta})$  continue to hold for  $(u_\varepsilon, h_\varepsilon)$  with the same constants. Again we can find a subsequence of  $(u_\varepsilon, h_\varepsilon)$  (not relabelled) such that  $(u_\varepsilon, h_\varepsilon) \rightarrow (u, h)$  with the same convergence properties as above for  $(u_{\varepsilon,\eta}, h_{\varepsilon,\eta})$ . Clearly,  $h \geq 0$  a.e. in  $\Omega$ . Then  $\varepsilon \rightarrow 0$  in (18) and (20) gives the first integral identity in (8) and the inequality in (9), respectively (set  $k := h^{2/3}$  a.e. in  $\Omega$ ).

It remains to carry out the passage to the limit  $\varepsilon \rightarrow 0$  in (19) (thus proving (7)). As above, this is easily done with the help of the energy identity

$$\int_{\Omega} (\nu + h^{1/3}) |\nabla u|^2 = \int_{\Omega} f u. \quad (21)$$

To prove this identity, we notice that  $\varepsilon \rightarrow 0$  in (17) gives

$$\int_{\Omega} \frac{|\nabla h|^2}{(\lambda + h)^{1+\delta}} \leq \frac{c}{\delta \lambda^\delta} \quad \forall \lambda > 0, \forall \delta > 0.$$

Hence,  $(\lambda + h)^{(1-\delta)/2} \in W^{1,2}(\Omega)$  for all  $0 < \delta < 1$ . We take  $\lambda = (\frac{\nu}{2})^3$ ,  $\delta = \frac{2}{3}$ , and define  $\mu := \nu + h^{1/3} - (\lambda + h)^{1/3}$  a.e. in  $\Omega$ . Then

$$\nu + h^{1/3} = \mu + (\lambda + h)^{1/3}, \quad \frac{\nu}{2} \leq \mu \leq \nu \text{ a.e. in } \Omega.$$

Now, the energy identity (21) can be proved by a slight modification of an approximation argument from [3].

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