



## Algebraic Geometry

## Arcs and wedges on rational surface singularities

*Arcs et coins sur une singularité rationnelle de surface*

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## ARTICLE INFO

## Article history:

Received 17 May 2011

Accepted after revision 30 August 2011

Available online 28 September 2011

Presented by Claire Voisin

## ABSTRACT

Let  $(S, P_0)$  be a rational surface singularity over an algebraically closed field  $k$  of characteristic 0, let  $v_\alpha$  be an essential divisorial valuation over  $(S, P_0)$ , and  $P_\alpha$  the stable point of the space of arcs  $S_\infty$  corresponding to  $v_\alpha$ . We prove that any wedge centered at  $P_\alpha$  lifts to the minimal desingularization. This proves the Nash problem for rational surface singularities, and reduces the Nash problem for surfaces to quasirational normal singularities which are not rational. In positive characteristic, we give a counterexample to the  $k$ -wedge lifting problem for a surface for which the Nash map is bijective.

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## RÉSUMÉ

Soit  $(S, P_0)$  une singularité rationnelle de surface sur un corps algébriquement clos  $k$  de caractéristique 0, soit  $v_\alpha$  une valuation divisorielle essentielle sur  $(S, P_0)$ , et  $P_\alpha$  le point stable de l'espace des arcs  $S_\infty$  qui correspond à  $v_\alpha$ . On démontre que tout coin centré en  $P_\alpha$  se relève à la désingularisation minimale. Cela démontre le problème de Nash pour les singularités rationnelles de surface, et réduit le problème de Nash pour les surfaces aux singularités quasi-rationnelles qui ne sont pas rationnelles. En caractéristique positive, on donne un contre-exemple au problème de relèvement de  $k$ -coins pour une surface dont l'application de Nash est bijective.

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## Version française abrégée

Soit  $k$  un corps algébriquement clos. Soit  $X$  une surface sur  $k$  et  $X_\infty$  le  $k$ -schéma des arcs sur  $X$ . Soit  $\pi : Y \rightarrow X$  la désingularisation minimale de  $X$ ,  $\{E_\alpha\}_{\alpha \in \Lambda}$  les courbes exceptionnelles pour  $\pi$  et  $\{v_\alpha\}_{\alpha \in \Lambda}$  les valuations divisorielles correspondantes, qu'on appelle *diviseurs essentiels*.

Pour chaque  $\alpha \in \Lambda$ , soit  $N_\alpha$  l'adhérence de Zariski de l'image par  $\pi_\infty : Y_\infty \rightarrow X_\infty$  de l'ensemble des arcs sur  $Y$  centrés en un point de  $E_\alpha$ . L'ensemble  $N_\alpha$  est un fermé irréductible de  $X_\infty$ , son point générique  $P_\alpha$  est appelé *point stable de  $X_\infty$*  défini par  $v_\alpha$ . Étant donnée  $Q_0 \in E_\alpha$ , on denote  $N^\dagger(Q_0)$  l'image par  $\pi_\infty$  de l'ensemble des points  $Q$  de  $Y_\infty$  dont l'arc correspondant intersecte transversalement  $E_\alpha$  en  $Q_0$ . On dit que  $P \in X_\infty$  est un *élément général de  $N_\alpha$*  s'il existe  $Q_0 \in E_\alpha \setminus \bigcup_{\alpha' \neq \alpha} E_{\alpha'}$  tel que  $P \in N^\dagger(Q_0)$ . Par exemple,  $P_\alpha$  est un élément général de  $E_\alpha$  car  $P_\alpha \in N^\dagger(Q_0)$  où  $Q_0$  est le point générique de  $E_\alpha$ .

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Étant donnée une extension de corps  $K$  de  $k$ , un  $K$ -coin sur  $X$  est un  $k$ -morphisme  $\Phi : \text{Spec } K[[\xi, t]] \rightarrow X$ . Il détermine un  $k$ -morphisme  $\varphi : \text{Spec } K[[\xi]] \rightarrow X_\infty$ . L'image par  $\varphi$  du point fermé (resp. générique) est appellée *l'arc spécial* (resp. *générique*) de  $\Phi$ . On dit que  $\Phi$  est centré en son arc spécial.

On dit que  $\pi$  satisfait la propriété de relèvement de  $k$ -coins par rapport à  $E_\alpha$  si l'ensemble des points  $Q_0 \in E_\alpha$  tels que : “tout  $k$ -coin centré en un arc de  $N^\dagger(Q_0)$  se relève à  $Y$ ” est très dense. On dit que  $\pi$  satisfait la propriété de relèvement de  $K$ -coins centrés en  $P_\alpha$  si tout  $K$ -coin centré en  $P_\alpha$  se relève à  $Y$ . Si  $k$  est non dénombrable, alors la propriété de relèvement de  $k$ -coins par rapport à  $E_\alpha$  entraîne la propriété de relèvement de  $K$ -coins centrés en  $P_\alpha$  [6, prop. 2.9].

Soit  $P_0$  un point fermé de  $X$  et soit  $(S, P_0)$  le voisinage formel de  $P_0$  sur  $X$ . On identifie arcs sur  $X$  centrés en  $P_0$  avec arcs sur  $(S, P_0)$ .

Le résultat principal de cette Note est :

**Théorème 0.1.** Soit  $v_\alpha$  un diviseur essentiel pour une singularité rationnelle de surface  $(S, P_0)$  sur un corps algébriquement clos de caractéristique 0. Soit  $\Phi : \text{Spec } K[[\xi, t]] \rightarrow S$  un  $K$ -coin centré en un élément général de  $N_\alpha$ . Alors  $\Phi$  se relève à la désingularisation minimale de  $(S, P_0)$ .

Pour la preuve, une idée fondamentale est de généraliser ce qu'on avait fait pour les singularités sandwich des surfaces dans [5]. Pour cela, on définit des vecteurs caractéristiques  $\{\omega_\alpha\}_{\alpha \in \Lambda}$  tels que la donnée du graphe dual  $\Gamma$  de  $\pi$  et des  $\{\omega_\alpha\}_{\alpha \in \Lambda}$  contient la même information que la matrice d'intersection des  $E_\alpha$ . Pour chaque extrémité  $\epsilon_i$  de  $\Gamma$ , on prend  $x_i \in \mathcal{O}_{S, P_0}$  dont la transformée stricte sur  $Y$  intersecte seulement  $E_{\epsilon_i}$ , et on étudie les valeurs  $(v(x_i))_i$  pour toute valuation divisorielle  $v$  sur  $(S, P_0)$ . On en déduit une obstruction combinatoire à l'existence de coins  $\Phi$  centrés en un élément général de  $N_\alpha$  qui ne se relèvent pas à  $Y$ . Si on suppose qu'un tel coin  $\Phi$  existe, en considérant la suite minimale d'éclatements de points fermés  $\widetilde{W} \rightarrow \text{Spec } K[[\xi, t]]$  qui “résolve  $\Phi$ ” (voir (3)), on déduit qu'il existe un noeud  $\beta_j$  de  $\Gamma$ , qui est non minimal et décroissant en  $ch(\beta_j, \alpha)$  (déf. après corol. 2.2), et un  $k$ -morphisme  $\tilde{\Phi} : \mathbb{P}_k^1 \rightarrow \mathbb{P}_k^1 \cong E_{\beta_j}$ . Si  $\text{car } k = 0$ , on obtient une contradiction à l'existence de  $\Phi$  à partir du théorème de Hurwitz et de la forme trinomiale des équations d'Okuma [7] pour le revêtement abélien universel de  $(S, P_0)$ .

Comme conséquence du th. 0.1 et de [8] on obtient que, si  $\text{car } k = 0$ , alors l'application de Nash  $\mathcal{N}_X$  est bijective pour toute surface  $X$  sur  $k$  dont la normalisation n'a que des singularités rationnelles.

Une deuxième conséquence du th. 0.1, en appliquant [2,6] et [8], est : Soit  $\text{car } k = 0$  et  $k$  non dénombrable. Si l'application de Nash  $\mathcal{N}_{\widetilde{X}}$  est surjective pour toute surface normale sur  $k$  qui n'a que des singularités quasi-rationnelles (et non rationnelles), alors l'application de Nash  $\mathcal{N}_X$  est surjective pour toute surface sur  $k$ .

**Exemple 0.2.** Soit  $\text{car } k = 2$  et  $X$  la surface  $x^3 + y^5 + z^2 = 0$  sur  $k$ . Pour  $\lambda \in k \setminus \{0, 1\}$  le  $k$ -coin  $\Phi_\lambda$  donné par  $x(\xi, t) = t^4(\xi + \lambda t)^6$ ,  $y(\xi, t) = t^2(\xi + \lambda t)^4$ ,  $z(\xi, t) = t^5(\xi + \lambda t)^9$  ( $\xi + (1 + \lambda)t$ ) est centré en un élément général de  $N_\alpha$ , où  $v_\alpha$  est essentielle, et ne se relève pas à la désingularisation minimale de  $X$ . Cela donne un contre-exemple à la propriété de relèvement de  $k$ -coins par rapport à  $E_\alpha$ , mais la propriété de relèvement de  $K$ -coins centrés en  $P_\alpha$  est satisfaite. L'application de Nash  $\mathcal{N}_X$  est bijective dans cet exemple.

## 1. Characteristic vectors of order functions

1.1. Let  $k$  be an algebraically closed field. Let  $(S, P_0)$  be a rational surface singularity over  $k$ , i.e.  $S = \text{Spec } R$  where  $R$  is a Noetherian normal complete two-dimensional local ring whose residue field is  $k$  and such that  $R^1\pi_*'\mathcal{O}_{Y'} = 0$  for every desingularization  $\pi' : Y' \rightarrow S$ . Let  $\pi : Y \rightarrow S$  be the minimal desingularization of  $(S, P_0)$ , let  $\{E_\alpha\}_{\alpha \in \Lambda}$  be the irreducible components of the exceptional locus of  $\pi$ , called *exceptional curves* of  $\pi$ , and  $\{v_\alpha\}_{\alpha \in \Lambda}$  the divisorial valuations defined by these exceptional curves. Let  $\mathbb{E}_Y := \bigoplus_{\alpha \in \Lambda} \mathbb{Z} E_\alpha$  and  $\mathbb{E}_Y^+ := \{D \in \mathbb{E}_Y / D \cdot E_\alpha \leq 0 \ \forall \alpha \in \Lambda\}$ . Since the intersection matrix  $(E_\alpha \cdot E_{\alpha'})_{\alpha, \alpha'}$  is negative definite, for each  $\alpha \in \Lambda$  there exists a unique  $\mathbb{Q}$ -divisor  $\Delta_\alpha \in \mathbb{E}_Y \otimes \mathbb{Q}$  such that  $\Delta_\alpha \cdot E_{\alpha'} = -\delta_{\alpha, \alpha'}$  for any  $\alpha' \in \Lambda$ . Let  $d_\alpha$  be the smallest positive integer such that  $d_\alpha \Delta_\alpha \in \mathbb{E}_Y$ .

Let  $\Gamma$  be the dual graph of the exceptional curves of  $\pi$ . Let  $\{\epsilon_i\}_{i=1}^m \subset \Lambda$  be the ends of  $\Gamma$ , and  $\{\beta_j\}_{j=1}^s \subset \Lambda$  the nodes of  $\Gamma$ . We define the *extended dual graph*  $\Gamma'$  of  $\pi$  to be the graph obtained from  $\Gamma$  by adding vertices  $i$  and edges joining  $i$  with  $\epsilon_i$  for  $1 \leq i \leq m$ , and we denote by  $\mathbf{A}$  the set of vertices of  $\Gamma$ , i.e.  $\mathbf{A} = \Lambda \cup \{1, \dots, m\}$ . Given  $\alpha \in \Lambda$ , let  $\omega_\alpha := (-\Delta_\alpha \cdot \Delta_{\epsilon_1}, \dots, -\Delta_\alpha \cdot \Delta_{\epsilon_m}) \in \mathbb{Q}_{\geq 0}^m$ , and let  $\text{adj}^\Gamma(\alpha)$  (resp.  $\text{adj}^{\Gamma'}(\alpha)$ ) be the set of elements  $\alpha' \in \Lambda$  (resp.  $\gamma' \in \mathbf{A}$ ) which are adjacent to  $\alpha$  in  $\Gamma$  (resp. in  $\Gamma'$ ). For  $1 \leq i \leq m$ , let  $\omega_i := (0, \dots, 1, \dots, 0)$  with the 1 in the  $i$ -th position. Then  $E_\alpha^2 \omega_\alpha + \sum_{\gamma \in \text{adj}^\Gamma(\alpha)} \omega_\gamma = 0$  for every  $\alpha \in \Lambda$ .

For  $1 \leq i \leq m$ , let  $C_i$  be a nonsingular irreducible curve in  $Y$  intersecting transversally the end exceptional curve  $E_{\epsilon_i}$  in a point not belonging to any other exceptional curve. By Artin's property in [1, p. 133], there exists  $x_i \in R$  such that  $\text{div}_Y(x_i) = d_{\epsilon_i}(C_i + \Delta_{\epsilon_i})$ . For  $\alpha \in \Lambda$ , let  $E_\alpha^0$  be the open subset of  $E_\alpha$  consisting of the (scheme theoretic) points of  $E_\alpha$  which are neither in  $E_{\alpha'}$  for  $\alpha' \in \text{adj}^\Gamma(\alpha)$ , nor in  $\bigcup_{i=1}^m C_i$ . For  $1 \leq i \leq m$ , set  $C_i^0 := C_i \setminus (C_i \cap E_{\epsilon_i})$ .

An *order function*  $v$  on  $R$  is a function  $v : R \rightarrow \mathbb{Z}_{\geq 0} \cup \{+\infty\}$  such that  $v(\lambda) = 0$  for  $\lambda \in k \setminus \{0\}$ ,  $v(0) = +\infty$ ,  $v(xy) = v(x) + v(y)$  and  $v(x+y) \geq \min\{v(x), v(y)\}$  for  $x, y \in R$ . It defines a valuation on  $R/\wp_v$  where  $\wp_v = \{x \in R / v(x) = +\infty\}$ ; the center of  $v$  is the center of this valuation. For any order function  $v$  on  $R$ , we define the *characteristic vector* of  $v$  with respect to  $C_1, \dots, C_m$  (for simplicity called characteristic vector of  $v$ ) to be  $\omega_v := (\frac{v(x_1)}{d_{\epsilon_1}}, \dots, \frac{v(x_m)}{d_{\epsilon_m}}) \in (\mathbb{Q}_{\geq 0} \cup \{+\infty\})^m$ . For

$\alpha \in \Lambda$ , the characteristic vector of  $v_\alpha$  is  $\omega_\alpha$ . For  $1 \leq i \leq m$ , let  $v_i$  be discrete valuation of  $K(R)$  whose center on  $Y$  is  $C_i$ , its characteristic vector is  $\omega_i$ .

**Theorem 1.1.** Let  $v$  be an order function on  $R$  such that  $\omega_v \in (\mathbb{Q}_{\geq 0})^m \setminus \{\underline{0}\}$ . Then, either there exists  $\gamma_1 \in \Lambda$  such that the center of  $v$  on  $Y$  is contained in  $E_{\gamma_1}^0$  (resp. in  $C_i^0$ ) if  $\gamma_1 \in \Lambda$  (resp. if  $\gamma_1 = i$ ), or there exist  $\gamma_1, \gamma_2 \in \Lambda$ ,  $\gamma_2 \in \text{adj}^\Gamma(\gamma_1)$ , such that the center of  $v$  on  $Y$  is the point  $E_{\gamma_1} \cap E_{\gamma_2}$  (resp.  $C_i \cap E_{\epsilon_i}$ ) if  $\gamma_1, \gamma_2 \in \Lambda$  (resp. if  $\{\gamma_1, \gamma_2\} = \{i, \epsilon_i\}$ ). In the first (resp. second) case, there exists a unique  $n_{\gamma_1} \in \mathbb{N}$  (resp. unique  $n_{\gamma_1}, n_{\gamma_2} \in \mathbb{N}$ ) such that  $\omega_v = n_{\gamma_1} \omega_{\gamma_1}$  (resp.  $\omega_v = n_{\gamma_1} \omega_{\gamma_1} + n_{\gamma_2} \omega_{\gamma_2}$ ).

Conversely, if  $\omega_v = n_{\gamma_1} \omega_{\gamma_1}$  where  $\gamma_1 \in \Lambda$  and  $n_{\gamma_1} \in \mathbb{N}$  (resp.  $\omega_v = n_{\gamma_1} \omega_{\gamma_1} + n_{\gamma_2} \omega_{\gamma_2}$  where  $\gamma_1, \gamma_2 \in \Lambda$ ,  $\gamma_2 \in \text{adj}^\Gamma(\gamma_1)$  and  $n_{\gamma_1}, n_{\gamma_2} \in \mathbb{N}$ ), then the center of  $v$  on  $Y$  is contained in  $E_{\gamma_1}^0$ , or  $C_i^0$  if  $\gamma_1 = i$ , (resp. in  $E_{\gamma_1} \cap E_{\gamma_2}$ , or  $C_i \cap E_{\epsilon_i}$  if  $i \in \{\gamma_1, \gamma_2\}$ ).

**Idea of the proof.** This is a result on values for divisorial valuations  $v$ , it can be proved by induction on the number of point blowing ups  $Y' \rightarrow Y$  such that the center of  $v$  on  $Y'$  is a curve. The fan structure of the data  $\{\omega_\gamma\}_{\gamma \in \Lambda}$  is also used.  $\square$

## 2. Factors of a wedge

2.1. Let  $X$  be a surface over  $k$  (i.e. a reduced separated  $k$ -scheme of finite type which is equidimensional of dimension 2). For any field extension  $k \subseteq K$ , a  $K$ -arc on  $X$  is a  $k$ -morphism  $\text{Spec } K[[t]] \rightarrow X$ . There exists a  $k$ -scheme  $X_\infty$  called the space of arcs of  $X$ , whose  $K$ -rational points are the  $K$ -arcs on  $X$ , for any  $K \supseteq k$ . For  $P \in X_\infty$ , its image by the natural projection  $j_0 : X_\infty \rightarrow X$  is called the center of  $P$ . We define  $X_\infty^{\text{Sing}} := j_0^{-1}(\text{Sing } X)$ . A  $K$ -wedge on  $X$  is a  $k$ -morphism  $\Phi : \text{Spec } K[[\xi, t]] \rightarrow X$ . It determines univocally a  $k$ -morphism  $\varphi : \text{Spec } K[[\xi]] \rightarrow X_\infty$ . The image in  $X_\infty$  of the closed point (resp. generic point) of  $\text{Spec } K[[\xi]]$  by  $\varphi$  is called the special arc (resp. generic arc) of  $\Phi$ . We say that  $\Phi$  is centered at its special arc.

Let  $v_\alpha$  be an essential divisor for  $X$ , i.e. it is the divisorial valuation defined by an exceptional curve  $E_\alpha$  for the minimal desingularization  $\tilde{X} \rightarrow X$  of  $X$ . Let  $N_\alpha$  be the closure of the image by  $\tilde{X}_\infty \rightarrow X_\infty$  of the set of arcs on  $\tilde{X}$  whose center is on  $E_\alpha$ . The set  $N_\alpha$  is an irreducible subset of  $X_\infty^{\text{Sing}}$ , let  $P_\alpha$  be the generic point of  $N_\alpha$ . We call  $P_\alpha$  the stable point of  $X_\infty$  defined by  $v_\alpha$  (see [9, Definition 3.6]).

2.2. Let  $P_0 \in X$  and suppose that the formal neighborhood of  $P_0$  on  $X$  is a rational surface singularity  $(S, P_0)$ . We identify the arcs on  $X$  centered at  $P_0$  with the arcs  $\text{Spec } K[[t]] \rightarrow S$  on  $(S, P_0)$ , i.e. the elements of  $S_\infty$ . For  $P \in S_\infty$ , defining an arc  $h_P : \text{Spec } K(P)[[t]] \rightarrow S$ , let  $v_P$  be the order function  $\text{ord}_t h_P^\sharp : R \rightarrow \mathbb{N} \cup \{\infty\}$ . Given a  $K$ -wedge  $\Phi : \text{Spec } K[[\xi, t]] \rightarrow S$ , for each irreducible element  $p$  in  $K[[\xi, t]]$ , let  $v_{\Phi, p}$  be the order function  $\text{ord}_p \Phi^\sharp : R \rightarrow \mathbb{N} \cup \{\infty\}$ . If  $\omega_{v_{\Phi, p}} \in (\mathbb{Q}_{\geq 0})^m$ , let  $\omega_{v_{\Phi, p}} = \sum_{\gamma \in \Lambda} n_\gamma(p) \omega_\gamma$  be the decomposition obtained applying Theorem 1.1 to  $v_{\Phi, p}$ . Here  $n_\gamma(p) \in \mathbb{N} \cup \{0\}$  and  $n_\gamma(p) > 0$  if and only if the center on  $Y$  of  $v_{\Phi, p}$  is contained in  $E_\gamma$  (resp. in  $C_i$ ) if  $\gamma \in \Lambda$  (resp. if  $\gamma = i$ ), hence  $n_\gamma(p) > 0$  for at most two elements  $\gamma_1, \gamma_2$  which are adjacent in  $\Gamma$ . If  $\Phi$  is centered at  $P \in S_\infty$  and  $\omega_{v_P} \in (\mathbb{Q}_{\geq 0})^m$ , hence  $\omega_{v_{\Phi, p}} \in (\mathbb{Q}_{\geq 0})^m$  for every  $p$ , we define the factors of  $\Phi$  (with respect to  $C_1, \dots, C_m$ ) to be  $q_\gamma := \prod_p p^{n_\gamma(p)} \in K[[\xi, t]]$  for  $\gamma \in \Lambda$ , where  $p$  runs over the set of irreducible elements of  $K[[\xi, t]]$  modulo product by a unit. There exist units  $o_i$  such that

$$x_i(\xi, t) := \Phi^\sharp(x_i) = o_i \prod_{\gamma \in \Lambda} q_\gamma^{v_\gamma(x_i)} \in K[[\xi, t]] \quad \text{for } 1 \leq i \leq m. \quad (1)$$

2.3. A point  $P$  of  $S_\infty$  is said to be a general element of  $N_\alpha$  (resp. a general element of  $N_\alpha$  with respect to  $C_1, \dots, C_m$ ) if it is the image by  $\pi_\infty$  of a point  $Q \in Y_\infty$  centered at a point in  $E_\alpha \setminus \bigcup_{\alpha' \neq \alpha} E_{\alpha'}$  (resp. in  $E_\alpha^0$ ) and whose induced arc  $h_Q$  intersects  $E_\alpha$  transversally. For instance,  $P_\alpha$  is a general element of  $N_\alpha$ . If  $\Phi$  is centered at a general element of  $N_\alpha$  with respect to  $C_1, \dots, C_m$ , then (1) implies

$$\omega_\alpha = \sum_{\gamma \in \Lambda} \text{ord}_t q_\gamma(0, t) \omega_\gamma. \quad (2)$$

For  $\Delta \in \mathbb{E}_Y \otimes \mathbb{Q}$ , let  $v_{\alpha'}(\Delta) := \text{coeff}_{E_{\alpha'}} \Delta$ ,  $v_i(\Delta) := -\Delta \cdot E_{\epsilon_i}$ , for  $\alpha' \in \Lambda$ ,  $1 \leq i \leq m$ .

**Proposition 2.1.** If  $\Phi : \text{Spec } K[[\xi, t]] \rightarrow S$  is a  $K$ -wedge centered at a general element of  $N_\alpha$  with respect to  $C_1, \dots, C_m$ , then  $\Phi$  lifts to the minimal desingularization  $Y$  if and only if  $q_\gamma$  is a unit for every  $\gamma \in \Lambda \setminus \{\alpha\}$ .

**Idea of the proof.** This is a result on the graded algebra  $\text{gr}_{v_\alpha} R$ . It is a consequence of the following isomorphisms  $\mathcal{O}_{\alpha, n_\alpha}/\mathcal{O}_{\alpha, n_\alpha}^+ \cong \Gamma(Y, \mathcal{O}_{E_\alpha}(d_\alpha)) \cong k[T_0, T_1]_{d_\alpha}$ , where  $\mathcal{O}_{\alpha, n_\alpha} = \Gamma(Y, \mathcal{O}_Y(-d_\alpha \Delta_\alpha))$ ,  $n_\alpha = d_\alpha v_\alpha(\Delta_\alpha)$  and  $\mathcal{O}_{\alpha, n_\alpha}^+ := \{f \in R/v_\alpha(f) > n_\alpha\}$ .  $\square$

**Corollary 2.2.** Suppose that there exists an end  $\epsilon_i$  of  $\Gamma$  such that  $v_\alpha(\Delta_{\epsilon_i}) < v_\gamma(\Delta_{\epsilon_i})$  for every  $\gamma \in \text{ch}(\alpha, \epsilon_i) \setminus \{\alpha\}$ . Then, every  $K$ -wedge  $\Phi$  centered at a general element of  $N_\alpha$  lifts to the minimal desingularization  $Y$  of  $X$ .

A node  $\beta_j$  is said to be *nonminimal* if  $E_{\beta_j}^2 = -(m_j - 1)$  where  $m_j := \#\text{adj}^\Gamma(\beta_j)$ . The singularity  $(S, P_0)$  is a minimal surface singularity iff  $\Gamma$  does not have nonminimal nodes. We say that  $\beta_j$  is *decreasing* in  $ch(\beta_j, \alpha)$  if  $v_{\alpha_{r-1}}(\Delta_\alpha) > v_{\alpha_r}(\Delta_\alpha)$  for  $1 < r \leq n$ , where  $ch(\beta_j, \alpha) = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$ , being  $\alpha_1 = \beta_j$  and  $\alpha_r \in \text{adj}^\Gamma(\alpha_{r-1})$ .

Let  $\Phi$  be a  $K$ -wedge centered at a general element of  $N_\alpha$  which does not lift to  $Y$ . There exists a finite sequence of blowing-ups of closed points  $\tilde{\rho} : \tilde{W} \rightarrow \text{Spec } K[[\xi, t]]$  and a  $k$ -morphism  $\tilde{\Phi} : \tilde{W} \rightarrow Y$  such that the following diagram is commutative

$$\begin{array}{ccc} \tilde{W} & \xrightarrow{\tilde{\Phi}} & Y \\ \downarrow \tilde{\rho} & & \downarrow \pi \\ W_0 = \text{Spec } K[[\xi, t]] & \xrightarrow{\Phi} & S. \end{array} \quad (3)$$

Let  $\tilde{W}$  be minimal with this property, we have  $\tilde{W} \neq W_0$  since  $\Phi$  does not lift to  $Y$ . Applying the arguments in this section, and since  $Y$  is the minimal desingularization, it can be proved that there exists a nonminimal node  $\beta_j$  which is decreasing in  $ch(\beta_j, \alpha)$  and such that  $E_{\beta_j}$  is the image by  $\tilde{\Phi}$  of an exceptional curve for  $\tilde{\rho}$ .

### 3. The main result

Given a nonminimal node  $\beta_j$  and  $\alpha_{j,l} \in \text{adj}^\Gamma(\beta_j)$ ,  $1 \leq l \leq m_j$ , Theorem 4.2 in [4] implies that  $v_{\beta_j}(\Delta_{\beta_j}) > v_{\alpha_{j,l}}(\Delta_{\beta_j})$ . Let  $\Lambda_{j,l}$  (resp.,  $\Lambda_{j,l} \setminus \{\beta_j\}$ ) be the set of vertices of the connected component of  $\Gamma \setminus \{\beta_j\}$  (resp.,  $\Gamma \setminus \{\beta_j\}$ ) which contains  $\alpha_{j,l}$ . Let  $\Lambda_{j,l}^* = \Lambda_{j,l} \cup \{\beta_j\}$ . From [4] it follows that there exists a divisor  $D_{j,l}$  in  $\mathbb{E}_{\Lambda_{j,l}} := \bigoplus_{\alpha' \in \Lambda_{j,l}} \mathbb{Z} E_{\alpha'}$  such that  $v_{\alpha_{j,l}}(D_{j,l}) = 1$ ,  $D_{j,l} \cdot E_{\alpha'} = 0$  for  $\alpha' \in \Lambda_{j,l} \setminus \{\epsilon_1, \dots, \epsilon_m\}$ , and  $D_{j,l} \cdot E_{\epsilon_i} \leq 0$  for  $\epsilon_i \in \Lambda_{j,l}$ . It also follows that there exists  $D_{j,l}^* \in \mathbb{E}_{\Lambda_{j,l}^*} := \bigoplus_{\alpha' \in \Lambda_{j,l}^*} \mathbb{Z} E_{\alpha'}$  such that  $v_{\beta_j}(D_{j,l}^*) = v_{\alpha_{j,l}}(D_{j,l}^*) = 1$ ,  $D_{j,l}^* \cdot E_{\alpha'} = 0$  for  $\alpha' \in \Lambda_{j,l} \setminus \{\epsilon_1, \dots, \epsilon_m\}$ , and  $D_{j,l}^* \cdot E_{\epsilon_i} \leq 0$  for  $\epsilon_i \in \Lambda_{j,l}$ . If there are no nodes in  $\Lambda_{j,l}$  then there exist unique  $D_{j,l}$  and  $D_{j,l}^*$  with the previous properties, and  $(v_{\beta_j}(\Delta_{\beta_j}) - v_{\alpha_{j,l}}(\Delta_{\beta_j}))D_{j,l} = v_{\beta_j}(\Delta_{\beta_j}) D_{j,l}^* - \Delta_{\beta_j}|_{\Lambda_{j,l}^*}$  where  $\Delta_{\beta_j}|_{\Lambda_{j,l}^*}$  is the restriction of  $\Delta_{\beta_j}$  to  $\mathbb{E}_{\Lambda_{j,l}^*}$ .

**Theorem 3.1.** *Let  $v_\alpha$  be an essential divisor for a rational surface singularity  $(S, P_0)$  over an algebraically closed field of characteristic 0. Let  $\Phi : \text{Spec } K[[\xi, t]] \rightarrow S$  be a  $K$ -wedge centered at a general element of  $N_\alpha$ . Then  $\Phi$  lifts to the minimal desingularization  $Y$  of  $(S, P_0)$ .*

**Proof.** Choose  $C_1, \dots, C_m$  not intersecting the strict transform of the special arc of  $\Phi$ . Let  $\{q_\gamma\}_{\gamma \in \Lambda}$  be the factors of  $\Phi$ . After using Puiseux's theorem, we may suppose that  $\text{ord}_t p(0, t) = 1$  for every irreducible  $p$  dividing any of the  $q_\gamma$ 's, and that  $K$  is algebraically closed. We argue by contradiction: suppose that  $\Phi$  does not lift to  $Y$ . Then there exists a nonminimal node  $\beta_j$  which is decreasing in  $ch(\beta_j, \alpha)$  and a point  $O'$  in the tree of points infinitely near  $O$  defining  $\tilde{\rho}$  in (3) such that the strict transform  $C_{O'}$  in  $\tilde{W}$  of the exceptional curve of the blowing up of  $O'$  is sent by  $\tilde{\Phi}$  onto  $E_{\beta_j}$ ; let  $O'$  be maximal with this property in the tree. The point  $O'$  is free by the condition  $\text{ord}_t p(0, t) = 1$ . Let  $u, v$  be a regular system of parameters of the local ring at  $O'$  such that the exceptional locus of  $W_{O'} := \text{Spec } K[[u, v]] \rightarrow W_0$  is contained in  $u = 0$ , and, for each  $\gamma \in \Lambda$ , let  $q'_\gamma \in K[[u, v]]$  defining the strict transform of the curve  $q_\gamma = 0$ . Let  $\Phi_{O'} : W_{O'} \rightarrow S$  be the  $K$ -wedge induced by  $\Phi$ ; its factors are  $q_\gamma(\Phi_{O'}) = u^{n_\gamma(u)} q'_\gamma$ ,  $\gamma \in \Lambda$ , where  $\omega_{\Phi_{O'}, u} = \sum_{\gamma} n_\gamma(u) \omega_\gamma$  is the decomposition obtained applying Theorem 1.1 to  $v_{\Phi_{O'}, u}$ . Hence, there exists  $l_0$ ,  $1 \leq l_0 \leq m_j$ , such that, for  $l \neq l_0$ ,  $n_\gamma(u) = 0$  for every  $\gamma \in \Lambda_{j,l}$ .

From Okuma's equations for the universal abelian covering of  $(S, P_0)$  in [7] it follows that, for each three different  $l_1, l_2, l_3 \in \{1, \dots, m_j\}$ , the following equality holds in  $K[[u, v]]$ :

$$\lambda_1 \text{in}(Q_{j,l_1}(\Phi_{O'})) + \lambda_2 \text{in}(Q_{j,l_2}(\Phi_{O'})) + \lambda_3 \text{in}(Q_{j,l_3}(\Phi_{O'})) = 0$$

where  $\lambda_1, \lambda_2, \lambda_3 \in K$ ,  $Q_{j,l}(\Phi_{O'}) = \prod_{\gamma \in \Lambda_{j,l} \setminus \{\beta_j\}} q_\gamma(\Phi_{O'})^{v_\gamma(D_{j,l})}$  for  $1 \leq l \leq m_j$  and  $\text{in}$  denotes the initial form in  $K[[u, v]]$ . Besides, if  $\varphi_{O'} : C_{O'} \rightarrow E_{\beta_j}$  is the restriction of  $\tilde{\Phi}$  and  $d_{O'}$  is the degree of  $\varphi_{O'}^*(\mathcal{O}_{E_{\beta_j}}(1))$ , then  $\text{mult}_{O'} Q_{j,l}(\Phi_{O'}) = d_{O'}$  for  $1 \leq l \leq m_j$ . By [3, Lemma 7.1.2] (it can also be deduced from Hurwitz's theorem), we have  $2d_{O'} - 2 \geq \sum_{1 \leq l \leq m_j} (d_{O'} - n_{j,l})$ , where  $n_{j,l}$  is the number of different linear factors of  $\text{in}(Q_{j,l}(\Phi_{O'}))$ . On the other hand, from (2), and applying that  $\beta_j$  is decreasing in  $ch(\beta_j, \alpha)$ , we conclude that  $v_{\beta_j}(\Delta_{\beta_j}) \geq \sum_{\gamma \in \Lambda \setminus \{\beta_j\}} \text{mult}_{O'} q'_\gamma v_\gamma(\Delta_{\beta_j}) + d_{O'}$ . Finally from the existence of the divisors  $D_{j,l}^*$  and Okuma's equations at the nodes different to  $\beta_j$  we obtain  $(v_{\beta_j}(\Delta_{\beta_j}) - v_{\alpha_{j,l}}(\Delta_{\beta_j})) \sum_{\gamma \in \Lambda_{j,l} \setminus \{\beta_j\}} m_\gamma v_\gamma(D_{j,l}) = v_{\beta_j}(\Delta_{\beta_j}) \sum_{\gamma \in \Lambda_{j,l} \setminus \{\beta_j\}} m_\gamma v_\gamma(D_{j,l}^*) - \sum_{\gamma \in \Lambda_{j,l} \setminus \{\beta_j\}} m_\gamma v_\gamma(\Delta_{\beta_j})$  where  $m_\gamma = \text{mult}_{O'} q'_\gamma = \text{mult}_{O'} q'_\gamma + n_\gamma(u)$ . Set  $e_{l_0} = 1$  if there exists  $\gamma \in \Lambda_{j,l_0}$  such that  $n_\gamma(u) > 0$ , otherwise  $e_{l_0} = 0$ . Then we conclude that

$$v_{\beta_j}(\Delta_{\beta_j}) \geq v_{\beta_j}(\Delta_{\beta_j})((m_j - 3)d_{O'} + 2 - e_{l_0}) + e_{l_0}(v_{\beta_j}(\Delta_{\beta_j}) - v_{\alpha_{j,l_0}}(\Delta_{\beta_j}))$$

and, since  $m_j \geq 3$  and  $v_{\beta_j}(\Delta_{\beta_j}) > v_{\alpha_{j,l_0}}(\Delta_{\beta_j})$ , a contradiction follows.

From Theorem 3.1 and applying [8] Theorem 5.1, [9] Corollaries 5.12, 5.15 and [2] satzs 1.7, 2.8, [6] Proposition 4.2, we conclude Corollaries 3.2 and 3.3. Recall that a normal surface singularity is a *quasirational singularity* if it has a desingularization whose exceptional curves are rational curves. Given a domain  $A$ , we denote by  $\bar{A}$  the integral closure of  $A$  in its quotient field.  $\square$

**Corollary 3.2.** Suppose that  $\text{char } k = 0$ . Let  $X$  be a surface over  $k$  whose normalization has only rational surface singularities. Then, for every essential divisor  $v_\alpha$  over  $X$ , every  $K$ -wedge centered at  $P_\alpha$  lifts to the minimal desingularization of  $X$ . Thus, the Nash map  $\mathcal{N}_X$  is bijective, the rings  $A_\alpha := \mathcal{O}_{X_\infty, P_\alpha}$  have dimension 1, and  $(\widehat{A}_\alpha)_{\text{red}}$  and  $(\overline{A}_\alpha)_{\text{red}}$  are regular local rings.

**Corollary 3.3.** Suppose that  $k$  is uncountable and  $\text{char } k = 0$ . If the Nash map  $\mathcal{N}_{\widetilde{X}}$  is surjective for every irreducible normal surface  $\widetilde{X}$  over  $k$  having quasirational singularities which are not rational singularities, then the Nash map  $\mathcal{N}_X$  is surjective for every surface  $X$  over  $k$ .

**Example 3.4.** Suppose that  $\text{cark} = 2$  and let  $X$  be the surface  $x^3 + y^5 + z^2 = 0$  over  $k$ , which has an  $E_8$  singularity at the origin. Let  $E_\alpha$  be the exceptional curve for the minimal desingularization  $\widetilde{X}$  corresponding to the unique node of its dual graph. For  $\lambda \in k \setminus \{0, 1\}$  the  $k$ -wedge  $\Phi_\lambda : \text{Spec } k[[\xi, t]] \rightarrow (S, P_0)$  given by  $x(\xi, t) := \Phi_\lambda^\sharp(x) = t^4(\xi + \lambda t)^6$ ,  $y(\xi, t) := \Phi_\lambda^\sharp(y) = t^2(\xi + \lambda t)^4$ ,  $z(\xi, t) := \Phi_\lambda^\sharp(z) = t^5(\xi + \lambda t)^9(\xi + (1 + \lambda)t)$  does not lift to  $\widetilde{X}$ . This shows a counterexample to the property of lifting  $k$ -wedges with respect to  $E_\alpha$  to the minimal desingularization [6, Definition 2.10]. The Nash map  $\mathcal{N}_X$  is bijective in this example.

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