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P-adic weight pairings on pro-Jacobians

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Abstract

Let E denote an elliptic curve defined over the rational numbers. We outline a method of proving the statement

$$L(E, 1) \neq 0 \text{ implies both } \#E(\mathbb{Q}) < \infty \text{ and } \#\text{III}_E^{\text{ord}} < \infty$$

using properties of p -adic modular forms, i.e. no Iwasawa theory whatsoever. The proof employs a version of Kato's zeta-elements with Λ -adic coefficients. **To cite this article:** D. Delbourgo, C. R. Acad. Sci. Paris, Ser. I 346 (2008).

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Résumé

Accouplements de poids P -adiques sur les pro-jacobiniennes. Soit E une courbe elliptique définie sur le corps des nombres rationnels. Nous proposons une méthode pour démontrer l'énoncé

$$L(E, 1) \neq 0 \text{ implique } \#E(\mathbb{Q}) < \infty \text{ et } \#\text{III}_E^{\text{ord}} < \infty$$

en utilisant des formes modulaires p -adiques, c'est-à-dire sans la théorie d'Iwasawa. La démonstration utilise une version des éléments-zéta à coefficients Λ -adiques. **Pour citer cet article :** D. Delbourgo, C. R. Acad. Sci. Paris, Ser. I 346 (2008).

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Depuis le travail fondamental de B. Mazur dans les années 1970, les propriétés arithmétiques des \mathbb{Z}_p -extensions se sont montrées être un outil puissant dans l'étude des courbes elliptiques. D'un point de vue tout à fait naïf, on peut penser que la théorie d'Iwasawa se réduit à une boîte noire donnant des informations sur le groupe de Selmer. Plus précisément, elle produit des données structurales inestimables le long de la ligne horizontale $k = 2$ dans le plan (s, k) , où s désigne la variable cyclotomique et k la variable poids.

Nous nous intéressons à la question de la robustesse de la méthode des systèmes d'Euler afin de démontrer des résultats de finitude, sans recours à la théorie d'Iwasawa. Comme défi, nous reproduisons quelques-uns des résultats de Kato et al., en étudiant le comportement des groupes de Selmer larges le long de la ligne verticale $s = 1$ (éliminant ainsi la partie du raisonnement qui repose sur la théorie d'Iwasawa).

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La démonstration comporte deux volets principaux. La première étape consiste à écrire, de manière formelle, un « accouplement des poids » en utilisant des morphismes de dégénérescence sur les courbes modulaires. L'étape finale évalue le discriminant du groupe de Mordell–Weil relatif au couplage p -adique précédent. Les propriétés algébriques des éléments-zêta à coefficients dans la représentation de Galois ambiante étayent ces idées (nous n'y ferons toutefois aucune référence dans la suite).

1. Introduction

The arithmetic properties of \mathbb{Z}_p -extensions have proven to be a powerful tool in the study of elliptic curves. From a naive perspective, one could interpret Iwasawa theory as a mysterious black-box churning out information about the Selmer group. More accurately, it yields invaluable structural data along the horizontal line $k = 2$ in the (s, k) -plane, where s denotes the cyclotomic variable and k the weight.

We are interested in answering the following:

Question. Is the method of Euler systems now sufficiently robust, so that one can prove finiteness results without relying on Iwasawa's theory at all?

As a fun challenge, we shall reproduce *some* of the results of Kato and Rubin by instead studying the behaviour of big Selmer groups along the vertical line $s = 1$ (thereby jettisoning the Iwasawa theory part of the argument).

The first step is to write down a formal ‘weight pairing’ using the degeneration maps on modular curves. The remaining step is to evaluate the discriminant of the Mordell–Weil group with respect to this p -adic pairing.

2. The construction of the pairing

Let $p > 3$ be a prime number, and we shall fix some tame level $N \in \mathbb{N}$ coprime to p . For every integer $r \geq 1$ we shall set $J_r := \text{Jac } X_1(Np^r)/\mathbb{Q}$, and write $\text{Ta}_p(J_r)$ for the Tate module $\varprojlim_m J_r[p^m]$ of each Jacobian. By its very construction in [6–8], the universal p -ordinary Galois representation

$$\rho_\infty^{\text{univ}} : \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \longrightarrow \text{Aut}_{\mathcal{R}}(\mathbb{T}_\infty), \quad \text{rank}_{\mathcal{R}}(\mathbb{T}_\infty) = 2$$

is cut out of the big $G_{\mathbb{Q}}$ -lattice $\varprojlim_r \text{Ta}_p(J_r)^{\text{ord}}$ using Hida's N -primitive projector. Here \mathcal{R} denotes the image of the Hecke algebra inside the endomorphisms of \mathbb{T}_∞ , so \mathcal{R} is of finite-type over the weight algebra $\Lambda^{\text{wt}} = \mathbb{Z}_p[[1 + p\mathbb{Z}_p]]$. Under suitable hypotheses (e.g. residual irreducibility of $\rho_\infty^{\text{univ}}$), one may assume that \mathbb{T}_∞ is \mathcal{R} -free.

Remark. In a forthcoming work [2], we consider “refined” Selmer groups

$$\tilde{H}_f^1(\mathbb{Q}, \text{Ta}_p(J_r)^{\text{ord}}) \quad \text{and} \quad \overline{H}_f^1(\mathbb{Q}, \mathbf{e}_{\text{ord}}^* \cdot \text{Ta}_p({}^\mathbf{t} J_r))$$

which have slightly stricter local conditions than their Bloch–Kato cousins. Skipping the precise definitions, we now describe a p -adic pairing linking these two objects.

Suppose that G denotes either $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$, or a decomposition group $\text{Gal}(\overline{\mathbb{Q}_l}/\mathbb{Q}_l)$. Then every one-cocycle $x_r \in H^1(G, \text{Ta}_p(J_r)^{\text{ord}})$ corresponds to an extension class

$$0 \longrightarrow \text{Ta}_p(J_r)^{\text{ord}} \longrightarrow \mathfrak{X}_{x_r} \longrightarrow \mathbb{Z}_p \longrightarrow 0$$

of $\mathbb{Z}_p[G]$ -modules; here the module $\mathfrak{X}_{x_r} = \text{Ta}_p(J_r)^{\text{ord}} \oplus \mathbb{Z}_p$ except that its Galois action is now twisted by

$$\sigma(t, z) := (\sigma(t) + z \times x_r(\sigma), z) \quad \text{for all } \sigma \in G \text{ and } (t, z) \in \mathfrak{X}_{x_r}.$$

Applying the functor $\text{Hom}_{\mathbb{Z}_p}(-, \mathbb{Z}_p(1))$, we obtain a dual short exact sequence

$$0 \longrightarrow \mathbb{Z}_p(1) \longrightarrow \mathfrak{X}_{x_r}^*(1) \longrightarrow \mathbf{e}_{\text{ord}}^* \cdot \text{Ta}_p({}^\mathbf{t} J_r) \longrightarrow 0.$$

In order to construct canonical lifts, we now consider two maps π_1^* and $\frac{1}{p}\pi_{1*}$. The transition $\pi_1^* : J_r[p^\infty] \rightarrow J_{r+1}[p^\infty]$ is itself induced from the dual of the degeneration map $\pi_1 : X_1(Np^{r+1}) \rightarrow X_1(Np^r)$; secondly, Nekovář's [9] divided projections $\frac{1}{p}\pi_{1*} : \text{Ta}_p(J_{r+1})^{\text{ord}} \rightarrow \text{Ta}_p(J_r)^{\text{ord}}$ satisfy $\frac{1}{p}\pi_{1*} \circ \pi_1^* = [\times p]$.

Assume we are given a compatible system $(x_r)_{r \geq 1} \in \varprojlim_{\frac{1}{p}\pi_{1*}} H^1(G, \mathrm{Ta}_p(J_r)^{\mathrm{ord}})$. The following statement is shown in [2, §9.3]:

Lemma 1. *For each $n > 0$, there exist lifted cocycles $x'_{r+n} \in H^1(G, \mathrm{Ta}_p(J_{r+n})^{\mathrm{ord}})$ and a commutative diagram*

$$\begin{array}{ccccccc} \cdots & \longrightarrow & H^1(G, \mathbb{Z}_p(1)) & \longrightarrow & H^1(G, \mathfrak{X}_{x'_{r+n}}^*(1)) & \longrightarrow & H^1(G, \mathbf{e}_{\mathrm{ord}}^* \cdot \mathrm{Ta}_p(\mathbf{t} J_{r+n})) \longrightarrow \cdots \\ & & \downarrow \alpha_n & & \downarrow \beta_n & & \downarrow (\widehat{\pi_1^n}) \\ \cdots & \longrightarrow & H^1(G, \mathbb{Z}_p(1)) & \longrightarrow & H^1(G, \mathfrak{X}_{x_r}^*(1)) & \longrightarrow & H^1(G, \mathbf{e}_{\mathrm{ord}}^* \cdot \mathrm{Ta}_p(\mathbf{t} J_r)) \longrightarrow \cdots \end{array}$$

with the property that if $m \in \mathbb{N}$, for sufficiently large $n \gg m$ the image of the left-most arrow α_n lies in $p^m \cdot H^1(G, \mathbb{Z}_p(1))$.

Furthermore, we showed in [2, §9.3] whenever one has compatible families

$$(x_r)_{r \geq 1} \in \varprojlim_{\frac{1}{p}\pi_{1*}} H_f^1(\mathbb{Q}_l, \mathrm{Ta}_p(J_r)^{\mathrm{ord}}) \quad \text{and} \quad (y_r)_{r \geq 1} \in \varprojlim_{\widehat{\pi_1^*}} H_f^1(\mathbb{Q}_l, \mathbf{e}_{\mathrm{ord}}^* \cdot \mathrm{Ta}_p(\mathbf{t} J_r))$$

there exist lifts $\widetilde{y_{r+n}} \in H^1(\mathbb{Q}_l, \mathfrak{X}_{x'_{r+n}}^*(1))$ of the cocycle y_{r+n} for all integers $n > 0$, in other words $\widetilde{y_{r+n}} \mapsto y_{r+n}$ under $H^1(\mathbb{Q}_l, \mathfrak{X}_{x'_{r+n}}^*(1)) \rightarrow H^1(\mathbb{Q}_l, \mathbf{e}_{\mathrm{ord}}^* \cdot \mathrm{Ta}_p(\mathbf{t} J_{r+n}))$.

We now explain how to link together \widetilde{H}_f^1 and \overline{H}_f^1 via some standard local theory. Let $\chi_p : \mathrm{Gal}(\mathbb{Q}(\mu_{p^\infty})/\mathbb{Q}) \xrightarrow{\sim} \mathbb{Z}_p^\times$ be the cyclotomic character. For every prime l , one defines the composition

$$\ell_{\varrho, l} : \mathbb{Q}_l^\times \hookrightarrow \mathbb{A}_\mathbb{Q}^\times \xrightarrow{\text{rec.map}} \mathrm{Gal}(\mathbb{Q}(\mu_{p^\infty})/\mathbb{Q}) \xrightarrow{\log_p \circ \chi_p} \mathbb{Q}_p$$

whose kernel comprises the group of universal norms for the cyclotomic p -extension. Moreover $\ell_{\varrho, l}$ naturally extends to $H^1(\mathbb{Q}_l, \mathbb{Z}_p(1)) \cong \mathbb{Q}_l^\times \widehat{\otimes} \mathbb{Z}_p$ by continuity.

Definition 2. Suppose $x_r \in \widetilde{H}_f^1(\mathbb{Q}, \mathrm{Ta}_p(J_r)^{\mathrm{ord}})$ and $y_r \in \overline{H}_f^1(\mathbb{Q}, \mathbf{e}_{\mathrm{ord}}^* \cdot \mathrm{Ta}_p(\mathbf{t} J_r))$. If $s_{\mathrm{glob}}(y_r)$ denotes any lift of y_r to $H^1(\mathbb{Q}_{\{Np\}}/\mathbb{Q}, \mathfrak{X}_{x_r}^*(1))$, then define

$$\langle x, y \rangle_{\mathbb{Q}, p}^{(r)} := \sum_{\substack{\text{primes } l \\ n \rightarrow \infty}} \ell_{\varrho, l}(\mathrm{res}_l(s_{\mathrm{glob}}(y_r)) - \beta_n(\widetilde{\mathrm{res}_l(y_{r+n})})).$$

Of course, it is a non-trivial exercise to check that the resultant pairing

$$\langle -, - \rangle_{\mathbb{Q}, p}^{(r)} : \widetilde{H}_f^1(\mathbb{Q}, \mathrm{Ta}_p(J_r)^{\mathrm{ord}}) \times \overline{H}_f^1(\mathbb{Q}, \mathbf{e}_{\mathrm{ord}}^* \cdot \mathrm{Ta}_p(\mathbf{t} J_r)) \longrightarrow \mathbb{Q}_p$$

is well-defined, and independent of the choice of lifts $s_{\mathrm{glob}}(y_r)$ and $\widetilde{\mathrm{res}_l(y_{r+n(m)})}!$

3. Applications to modular elliptic curves

The pairing just described (in Definition 2) has some very nice consequences for the arithmetic of elliptic curves. Let E be an elliptic curve defined over \mathbb{Q} without complex multiplication, which is necessarily modular by the work of Wiles et al. Fix some prime number $p > 3$, and write N for the tame conductor of E over \mathbb{Q} . We now make four assumptions:

- H1** E has either good ordinary or bad multiplicative reduction at p ;
- H2** There are no rational cyclic p -isogenies between E and another elliptic curve;
- H3** The deformation ring \mathcal{R} at level Np^∞ is isomorphic to Λ^{wt} ;
- H4** The Galois representation $\rho_\infty^{\mathrm{univ}}$ is residually absolutely irreducible.

In fact none of hypotheses **H2**, **H3**, **H4** are really necessary, though they simplify the exposition considerably. For example, condition **H2** is true whenever $p > 37$, and **H3** holds if there is a single p -stabilised newform at level Np .

Remark. Let $A_{\mathbb{T}_\infty} = \text{Hom}_{\text{cont}}(\mathbb{T}_\infty, \mu_{p^\infty})$ be the χ_p -twisted discrete dual of \mathbb{T}_∞ . One can then define a Selmer group

$$\text{Sel}_{\mathbb{Q}}(A_{\mathbb{T}_\infty}) \subset H^1(\mathbb{Q}_{\{Np\}}/\mathbb{Q}, A_{\mathbb{T}_\infty})$$

consisting of those cocycles which are unramified away from p , and ‘crystalline’ in the sense of [4] at the prime p (it is easily checked to be of cofinite-type over Λ^{wt}).

Theorem 3. *The big Selmer group $\text{Sel}_{\mathbb{Q}}(A_{\mathbb{T}_\infty})$ is a Λ^{wt} -cotorsion module.*

The proof uses non-vanishing of the L -values $L(f_k, 1)$ for all integers $k \geq 3$, where $f_k \in S_k(\Gamma_0(Np), \omega^{2-k})$ are the members of the ordinary family lifting the newform associated to E/\mathbb{Q} . The finiteness of each of the Bloch–Kato Selmer groups attached to $\{f_k\}_{k \geq 3}$ – in tandem with a weight-control theorem of P. Smith’s – together implies that the Λ^{wt} -corank of big Selmer is zero.

Question. What is the connection between $\text{Sel}_{\mathbb{Q}}(A_{\mathbb{T}_\infty})$ and the pairings $\langle -, - \rangle_{\mathbb{Q}, p}^{(r)}$?

The curve E occurs as a subfactor of $\text{Jac } X_1(Np)$, so there are naturally inclusions

$$\mathbb{Q} \otimes \widetilde{H}_f^1(\mathbb{Q}, \text{Ta}_p(J_1)^{\text{ord}}) \hookrightarrow E(\mathbb{Q}) \widehat{\otimes} \mathbb{Q}_p \hookrightarrow \mathbb{Q} \otimes \overline{H}_f^1(\mathbb{Q}, \mathbf{e}_{\text{ord}}^* \cdot \text{Ta}_p(\mathbf{t} J_1))$$

under the strict proviso that the p -part of the Tate–Shafarevich group III_E is finite. One can then sensibly define

$$\langle -, - \rangle_{\mathbb{Q}, p}^{\text{wt}} : E(\mathbb{Q}) \widehat{\otimes} \mathbb{Q}_p \times E(\mathbb{Q}) \widehat{\otimes} \mathbb{Q}_p \longrightarrow \mathbb{Q}_p$$

by the simple rule $(x, y) \mapsto p^{-n_1-n_2} \times \langle p^{n_1}x, p^{n_2}y \rangle_{\mathbb{Q}, p}^{(r)}|_{r=1}$ for integers $n_1, n_2 \gg 0$.

Further, let us fix an isomorphism $\sigma : \mathbb{Z}_p[\![\Gamma^{\text{wt}}]\!] \xrightarrow{\sim} \mathbb{Z}_p[\![X]\!]$ sending $\gamma_0 \mapsto 1 + X$. Given that $\mathbb{Z}_p[\![X]\!] \otimes_{\Lambda^{\text{wt}, \sigma}} \text{Hom}_{\text{cont}}(\text{Sel}_{\mathbb{Q}}(A_{\mathbb{T}_\infty}), \mathbb{Q}/\mathbb{Z})$ is a compact $\mathbb{Z}_p[\![X]\!]$ -torsion module, we shall use $\mathbf{L}_p^{\text{alg}}(X)$ to denote its characteristic power series.

Theorem 4. *If $\langle -, - \rangle_{\mathbb{Q}, p}^{\text{wt}}$ is non-degenerate on the product $(E(\mathbb{Q}) \times E(\mathbb{Q})) \otimes \mathbb{Q}_p$ and $\text{III}_E[p^\infty]$ is a finite group, then $\text{order}_{X=0}(\mathbf{L}_p^{\text{alg}}(X)) = \text{corank}_{\mathbb{Z}_p} \text{Sel}_{\mathbb{Q}}(E)[p^\infty]$. Moreover, the quantity*

$$\mathcal{L}_p^{\text{wt}}(E) \times \frac{\#\text{III}_E[p^\infty] \times \prod_{l \leq \infty} [E(\mathbb{Q}_l) : E_0(\mathbb{Q}_l)]}{\#E(\mathbb{Q})_{\text{tors}}^2} \times \det \langle -, - \rangle_{\mathbb{Q}, p}^{\text{wt}}|_{E(\mathbb{Q}) \times E(\mathbb{Q})}$$

divides into the leading term of $\mathbf{L}_p^{\text{alg}}((1+p)^{w-2} - 1)$ at weight $w = 2$, where the \mathcal{L}^{wt} -invariant equals $\#\widetilde{E}(\mathbb{F}_p)[p^\infty]$ (resp. equals 1) if $p \nmid N_E$ (resp. if $p \parallel N_E$).

In fact, the above can be made into an equality after the introduction of certain Λ^{wt} -adic periods, Tamagawa factors, etc. but this takes too long to write out. We refer the reader to the manuscript [2, Thm 9.18] for these details.

The following summarises the main result of the work in progress [3]:

Theorem 5. *There exists a constant $C = C(p, \mathbb{T}_\infty) \in \mathbb{Z}_p - \{0\}$ such that*

$$\mathbb{Z}_p\langle\langle w \rangle\rangle \cdot (\mathbf{L}_p^{\text{alg}}((1+p)^{w-2} - 1)) \quad \text{contains} \quad \mathbb{Z}_p\langle\langle w \rangle\rangle \cdot (C(p, \mathbb{T}_\infty) \times \mathbf{L}_p^{\text{imp}}(w))$$

where $\mathbf{L}_p^{\text{imp}}(-)$ denotes the improved p -adic L -function of Greenberg–Stevens.

One should point out that the improved p -adic L -function in [5] interpolates

$$\mathbf{L}_p^{\text{imp}}(k) = (\Lambda^{\text{wt}}\text{-adic period})_k \times (\text{Euler factor})_k \times \frac{L(f_k, 1)}{\Omega_{f_k}^+} \quad \text{for all } k \geq 2,$$

and belongs to the affinoid algebra $\mathbb{Q}_p\langle\langle w \rangle\rangle$ of the unit disk. The constant $C(p, \mathbb{T}_\infty)$ à priori depends on the (lack of) surjectivity in the p -adic Galois representation, together with the indices of certain zeta-elements inside étale cohomology.

We proved in [1,2] the restriction map $\text{Sel}_{\mathbb{Q}}(E)[p^\infty] \xrightarrow{\theta} H^0(\Gamma^{\text{wt}}, \text{Sel}_{\mathbb{Q}}(A_{\mathbb{T}_\infty}))$ has finite kernel and cokernel, in which case both groups share the same \mathbb{Z}_p -corank. Providing our weight pairing $\langle -, - \rangle_{\mathbb{Q}, p}^{\text{wt}}$ is non-degenerate, we deduce

$$\text{corank}_{\mathbb{Z}_p} \text{Sel}_{\mathbb{Q}}(E)[p^\infty] = \text{order}_{w=2}(\mathbf{L}_p^{\text{alg}}((1+p)^{w-2} - 1)) \leq \text{order}_{w=2}(\mathbf{L}_p^{\text{imp}}(w)).$$

On the other hand let's suppose $L(E, 1) \neq 0$, in which case one knows $\mathbf{L}_p^{\text{imp}}(2) \neq 0$. The divisibility in Theorem 5 tells us the constant term of $\mathbf{L}_p^{\text{alg}}(X)$ at $X = 0$ is non-trivial, in which case the Γ^{wt} -invariants of $\text{Sel}_{\mathbb{Q}}(A_{\mathbb{T}_\infty})$ must be a finite p -group. The fact $\theta : \text{Sel}_{\mathbb{Q}}(E)[p^\infty] \rightarrow \text{Sel}_{\mathbb{Q}}(A_{\mathbb{T}_\infty})^{\Gamma^{\text{wt}}}$ is a quasi-isomorphism, then ensures that $E(\mathbb{Q})$ and $\mathbf{III}_E[p^\infty]$ are both finite groups.

We conclude if the analytic rank is zero, then the conditions of Theorem 4 apply. Indeed the weight pairing $\langle -, - \rangle_{\mathbb{Q}, p}^{\text{wt}} : E(\mathbb{Q}) \times E(\mathbb{Q}) \rightarrow \mathbb{Q}_p$ trivialises in this situation, due to the finiteness of the classical p^∞ -Selmer group for E .

Let $\delta_{p, \mathbb{T}_\infty}$ denote the p -adic order of the Λ^{wt} -adic period in $\mathbf{L}_p^{\text{imp}}$ at weight two.

Conjecture 6.

- (a) At all bar finitely many ordinary $p \geq 5$, we have $\delta_{p, \mathbb{T}_\infty} = 0$;
- (b) There exists a constant $C_E^{\text{glob}} \in \mathbb{Z}$ such that $\text{ord}_p(C(p, \mathbb{T}_\infty)) \leq \text{ord}_p(C_E^{\text{glob}})$ for all good ordinary or bad multiplicative primes $p \geq 5$.

The first statement would follow if one could establish the freeness of the universal p -adic modular symbol (at almost all p), since the Λ^{wt} -adic periods would be units. Hopefully the second claim can be proven via a more careful study of the variation in $C(p, \mathbb{T}_\infty)$ over the Hida families occurring at the different primes p (as above).

Taking Theorems 4 and 5 in tandem, if $L(E, 1) \neq 0$ then

$$\text{ord}_p(\mathbf{III}_E[p^\infty]) \leq \text{ord}_p C(p, \mathbb{T}_\infty) + \delta_{p, \mathbb{T}_\infty} + \text{ord}_p \left(\frac{\#E(\mathbb{Q})^2}{\text{Tam}_{\mathbb{Q}}(E)} \times \frac{L(E, 1)}{\Omega_E^+} \right).$$

If Conjecture 6 is to be believed, then for almost all the ordinary primes $p > 3$ the right-hand side of the above inequality is equal to zero; it would therefore follow

$$\#(\mathbf{III}_E^{\text{ord}}) < \infty \quad \text{where } \mathbf{III}_E^{\text{ord}} := \prod_{p > 3 \text{ with } a_p(E) \neq 0} \mathbf{III}_E[p^\infty].$$

N.B. At no point does this argument require the Iwasawa theory of elliptic curves.

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