



## Group Theory

# On minimal non-(torsion-by-nilpotent) and non-((locally finite)-by-nilpotent) groups

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### Abstract

Let  $\Omega$  be a class of groups. A group is said to be minimal non- $\Omega$  if it is not an  $\Omega$ -group, while all its proper subgroups belong to  $\Omega$ . In this Note we prove that a minimal non-(torsion-by-nilpotent) (respectively, non-((locally finite)-by-nilpotent)) group  $G$  is a finitely generated perfect group which has no proper subgroup of finite index and such that  $G/\text{Frat}(G)$  is an infinite simple group, where  $\text{Frat}(G)$  stands for the Frattini subgroup of  $G$ . **To cite this article:** *N. Trabelsi, C. R. Acad. Sci. Paris, Ser. I 344 (2007)*.

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### Résumé

**Sur les groupes minimaux non-(périodiques-par-nilpotents) et non-((localement finis)-par-nilpotents).** Soit  $\Omega$  une classe de groupes. Un groupe est dit minimal non- $\Omega$  s'il n'est pas un  $\Omega$ -groupe alors que tous ses sous-groupes propres le sont. Dans cette Note, nous prouvons que si  $G$  est un groupe minimal non-(périodique-par-nilpotent) (respectivement, non-((localement fini)-par-nilpotent)), alors  $G$  est un groupe parfait de type fini qui n'admet pas de sous-groupe propre d'indice fini et tel que  $G/\text{Frat}(G)$  est un groupe simple infini, où  $\text{Frat}(G)$  désigne le sous-groupe de Frattini de  $G$ . **Pour citer cet article :** *N. Trabelsi, C. R. Acad. Sci. Paris, Ser. I 344 (2007)*.

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### Version française abrégée

Soit  $\Omega$  une classe de groupes. Un groupe  $G$  est dit minimal non- $\Omega$  s'il n'est pas un  $\Omega$ -groupe alors que tous ces sous-groupes propres le sont. L'étude des groupes minimaux non- $\Omega$ , pour diverses classes de groupes  $\Omega$ , a fait l'objet de nombreuses publications (voir par exemple, [1–4,6,8] et [10]). En particulier, dans [4] (respectivement, [10]) l'étude des groupes  $G$  minimaux non- $\mathcal{N}$  (respectivement, non- $\mathcal{FN}$ ) est menée et il est prouvé, parmi de nombreux résultats, que si  $G$  est infini et de type fini, alors  $G/\text{Frat}(G)$  est un groupe simple infini, où  $\mathcal{N}$  (respectivement,  $\mathcal{F}$ ) désigne la classe de tous les groupes nilpotents (respectivement, finis) et  $\text{Frat}(G)$  est le sous-groupe de Frattini de  $G$ . Dans ce qui suit, on obtient un résultat analogue sur les groupes minimaux non- $\mathcal{XN}$ , dans les cas où  $\mathcal{X}$  désigne la classe des groupes périodiques ou la classe des groupes localement finis. Plus précisément, on prouvera le résultat suivant :

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**Théorème 0.1.** *Soient  $c \geq 0$  un entier,  $\mathcal{N}_c$  la classe des groupes nilpotents de classe égale au plus à  $c$  et  $\mathcal{X}$  la classe des groupes de torsion ou la classe des groupes localement finis. Si  $G$  est un groupe minimal non- $\mathcal{X}\mathcal{N}$  (respectivement, non- $\mathcal{X}\mathcal{N}_c$ ), alors  $G$  est un groupe parfait de type fini qui n'admet pas de sous-groupe propre d'indice fini et tel que  $G/\text{Frat}(G)$  est un groupe simple infini.*

Signalons que les groupes minimaux non- $\mathcal{X}\mathcal{N}$  (respectivement non- $\mathcal{X}\mathcal{N}_c$ ) existent. En effet Ol'shanskii [5] a construit un groupe simple, de type fini et sans torsion, dont tous les sous-groupes propres sont cycliques.

## 1. Introduction

Let  $\Omega$  be a class of groups. A group is said to be minimal non- $\Omega$  if it is not a  $\Omega$ -group, while all its proper subgroups belong to  $\Omega$ . Many results have been obtained on minimal non- $\Omega$ , for various classes of groups  $\Omega$  (see for example, [1–4,6,8] and [10]). In particular, in [4] (respectively, [10]) it is proved, among many results, that if  $G$  is an infinite finitely generated minimal non- $\mathcal{N}$  (respectively, non- $\mathcal{FN}$ ) group, then  $G/\text{Frat}(G)$  is an infinite simple group, where  $\mathcal{N}$  (respectively,  $\mathcal{F}$ ) denotes the class of nilpotent (respectively, finite) groups and  $\text{Frat}(G)$  is the Frattini subgroup of  $G$ . In this note we obtain a similar result on minimal non- $\mathcal{X}\mathcal{N}$  groups, where  $\mathcal{X}$  stands for the class of torsion groups or the class of locally finite groups. More precisely, we shall prove the following result:

**Theorem 1.1.** *Let  $c \geq 0$  be an integer. Denote by  $\mathcal{N}_c$  the class of nilpotent groups of class at most  $c$  and by  $\mathcal{X}$  the class of torsion groups or the class of locally finite groups. If  $G$  is a minimal non- $\mathcal{X}\mathcal{N}$  (respectively, non- $\mathcal{X}\mathcal{N}_c$ ) group, then  $G$  is a finitely generated perfect group which has no proper subgroup of finite index and such that  $G/\text{Frat}(G)$  is an infinite simple group.*

Note that minimal non- $\mathcal{X}\mathcal{N}$  (respectively, non- $\mathcal{X}\mathcal{N}_c$ ) groups exist. Indeed, the group constructed by Ol'shanskii [5] is an infinite simple torsion-free finitely generated group whose proper subgroups are cyclic.

## 2. Proof of Theorem 1.1

Our first lemma is an immediate consequence of [9, Lemma 2.1] but we give a proof to keep our Note reasonably self contained.

**Lemma 2.1.** *Let  $G$  be a group whose proper subgroups are in the class  $\mathcal{X}\mathcal{N}$ . Then  $G$  belongs to  $\mathcal{X}\mathcal{N}$  if it satisfies one of the following two conditions:*

- (i)  $G$  is finitely generated and has a proper subgroup of finite index,
- (ii)  $G$  is not finitely generated.

**Proof.** (i) Suppose that  $G$  is finitely generated and let  $H$  be a proper normal subgroup of finite index in  $G$ . Then  $H$  belongs to  $\mathcal{X}\mathcal{N}$  and it is finitely generated. It follows that  $\gamma_{k+1}(H)$  is in  $\mathcal{X}$  for some integer  $k \geq 0$ . Since  $H$  is of finite index in  $G$ ,  $G/\gamma_{k+1}(H)$  is a finitely generated group in the class  $\mathcal{NF}$ , so that  $G/\gamma_{k+1}(H)$  satisfies the maximal condition on subgroups. It follows that every  $\mathcal{X}$ -subgroup of  $G/\gamma_{k+1}(H)$  is finite. Consequently, every proper subgroup of  $G/\gamma_{k+1}(H)$  is finite-by-nilpotent. Now Lemma 4 of [2] states that a finitely generated locally graded group with finite-by-nilpotent proper subgroups is itself finite-by-nilpotent. Since  $G/\gamma_{k+1}(H)$  is clearly locally graded, we deduce that  $G/\gamma_{k+1}(H)$  is finite-by-nilpotent, so that  $G$  belongs to  $\mathcal{X}\mathcal{N}$ .

(ii) Suppose now that  $G$  is not finitely generated and let  $x, y$  be two elements of finite order in  $G$ . The subgroup  $\langle x, y \rangle$ , being proper in  $G$ , is in  $\mathcal{X}\mathcal{N}$ . Thus  $xy^{-1}$  is of finite order, so  $G$  has a torsion subgroup  $T$ . As  $G$  is not finitely generated,  $T$  is locally in  $\mathcal{X}\mathcal{N}$ , so that  $T$  belongs to  $\mathcal{X}$ , since it is periodic. If  $G/T$  is not finitely generated, then it is locally in  $\mathcal{X}\mathcal{N}$ ; and since  $G/T$  is torsion-free, it is locally nilpotent and its proper subgroups are nilpotent. Now Theorem 2.1 of [8] states that a torsion-free locally nilpotent group with proper nilpotent subgroups is itself nilpotent. Therefore  $G/T$  is nilpotent, so that  $G$  is an  $\mathcal{X}\mathcal{N}$ -group. Now if  $G/T$  is finitely generated, then there exists a finitely generated subgroup  $X$  such that  $G = XT$ . Since  $G$  is not finitely generated,  $X$  is proper in  $G$ , so that  $X$  belongs

to  $\mathcal{XN}$ . We deduce that  $G/T$  is in  $\mathcal{XN}$ , so that  $G/T$  is nilpotent because it is torsion-free. Therefore,  $G$  belongs to  $\mathcal{XN}$ .  $\square$

Since finitely generated locally graded groups have proper subgroups of finite index, the previous lemma admits the following consequence:

**Corollary 2.2.** *Let  $G$  be a locally graded group whose proper subgroups are in the class  $\mathcal{XN}$ . Then  $G$  belongs to  $\mathcal{XN}$ .*

**Lemma 2.3.** *Let  $G$  be a group whose proper subgroups are in the class  $\mathcal{XN}$ . If  $G$  is not perfect, then  $G$  belongs to  $\mathcal{XN}$ .*

**Proof.** Since  $G'$  is a proper subgroup, it is in the class  $\mathcal{XN}$ . So  $G$  belongs to  $\mathcal{X}(\mathcal{NA})$ , where  $\mathcal{A}$  denotes the class of Abelian groups. Therefore there exists a normal  $\mathcal{X}$ -subgroup  $F$  such that  $G/F$  is soluble. By Corollary 2.2,  $G/F$  belongs to  $\mathcal{XN}$ , so that  $G$  is an  $\mathcal{XN}$ -group, as claimed.  $\square$

**Lemma 2.4.** *Let  $G$  be a group whose proper subgroups are in the class  $\mathcal{XN}$  and let  $N$  be a proper normal subgroup of  $G$ . If  $G/N'$  is an  $\mathcal{XN}$  group, then  $G$  belongs to  $\mathcal{XN}$ .*

**Proof.** Since  $G/N'$  belongs to  $\mathcal{XN}$ , there is an integer  $i \geq 0$  such that  $\gamma_{i+1}(G/N')$  is an  $\mathcal{X}$ -group. Clearly from Lemma 2.3, we can assume that  $G' = G$ , so that  $(G/N')' = G/N'$ . Thus  $G/N' = \gamma_{i+1}(G/N')$  and hence  $G/N'$  belongs to  $\mathcal{X}$ . On the other hand  $N$ , being proper, belongs to the class  $\mathcal{XN}$ . Therefore there exists an integer  $k \geq 0$  such that  $\gamma_{k+1}(N)$  is in  $\mathcal{X}$ . If  $k = 0$  then  $N$  is an  $\mathcal{X}$ -group. Since  $G/N'$  belongs to  $\mathcal{X}$ , we deduce that  $G$  is in  $\mathcal{X}$ . Thus we can suppose that  $k > 0$  and hence  $N' \geq \gamma_{k+1}(N)$ . Factoring  $G$  by  $\gamma_{k+1}(N)$ , we may assume that  $N$  is nilpotent. Let  $T$  be the torsion subgroup of  $N$ . Then  $T$  is an  $\mathcal{X}$ -group, so we may further assume without loss of generality that  $N$  is torsion-free. Let  $x$  be an element of  $Z_2(N)$ . By considering the homomorphism  $f : g \mapsto [g, x]$  from  $N$  into  $Z(N)$ , we see that  $N' \leq \ker f$ , thus  $N/\ker f$  is an  $\mathcal{X}$ -group and this implies that  $\text{Im } f = [N, x]$  is in  $\mathcal{X}$ . Hence  $[N, x] = 1$  as  $N$  is torsion-free. This means that  $x$  is an element of  $Z(N)$ , hence  $Z(N) = Z_2(N) = N$ , so that  $N' = 1$ . Since  $G/N'$  belongs to  $\mathcal{X}$ , we obtain that  $G$  is in  $\mathcal{X}$  and, a fortiori,  $G$  is in  $\mathcal{XN}$ , as required.  $\square$

**Lemma 2.5.** *Let  $A$  and  $F$  be two subgroups of a group  $G$  such that  $A$  is normal and Abelian,  $F$  is an  $\mathcal{X}$ -group and  $G = AF$ . If every proper subgroup of  $G$  belongs to  $\mathcal{XN}$ , then  $G$  is in  $\mathcal{XN}$ .*

**Proof.** Let  $H$  be a proper subgroup of  $G$ . Then  $H$  is in the class  $\mathcal{XN}$  and therefore it has a torsion subgroup  $T$  which belongs to  $\mathcal{X}$ . Hence  $H/T$  is a torsion-free nilpotent group belonging to the class  $\mathcal{XA}$ . By Lemma 6.33 of [7], it follows that  $H/T$  is Abelian. So that  $H$  belongs to  $\mathcal{XA}$  and therefore every proper subgroup of  $G$  is in  $\mathcal{XA}$ . Factoring  $G$  by the torsion subgroup of  $A$ , we may assume that  $A$  is torsion-free. Clearly, from Lemma 2.3, we may further assume that  $G$  is perfect. Let  $x$  be an element in  $G$ ; then  $\langle A, x \rangle$  is a proper subgroup of  $G$ . So that  $\langle A, x \rangle$  belongs to  $\mathcal{XA}$ . It follows that  $[A, x]$  is an  $\mathcal{X}$ -group, hence  $[A, x] = 1$  as  $A$  is normal and torsion-free. Therefore  $A$  is central in  $G$  and hence  $G' = F'$  is an  $\mathcal{X}$ -group. This gives that  $G$  belongs to  $\mathcal{XA}$ , and consequently  $G$  is in  $\mathcal{XN}$ , as claimed.  $\square$

**Lemma 2.6.** *Let  $M$  and  $N$  be two proper subgroups of a group  $G$  such that  $N$  is normal and  $G = MN$ . If every proper subgroup of  $G$  belongs to  $\mathcal{XN}$ , then  $G$  is in  $\mathcal{XN}$ .*

**Proof.** Clearly we can assume from Lemma 2.3 that  $G$  is perfect. Since  $M$  is proper in  $G$ , it belongs to  $\mathcal{XN}$ . Hence  $\gamma_{k+1}(M)$  is an  $\mathcal{X}$ -group for some integer  $k \geq 0$ . By using an induction on  $k$ , we can see that  $\gamma_{k+1}(G) = \gamma_{k+1}(MN) \leq \gamma_{k+1}(M)N$ . For we have that  $\gamma_{k+1}(G) = \gamma_{k+1}(MN)$  is generated by commutators of the form  $w = [h_1, \dots, h_{k+1}]$ , where each  $h_i$  belongs to  $MN$ . Put  $h_{k+1} = xy$  with  $x$  in  $M$  and  $y$  in  $N$ , then  $w = [h_1, \dots, h_k, y][h_1, \dots, h_k, x][h_1, \dots, h_k, x, y]$  is a product of 3 commutators say  $w_1, w_2$  and  $w_3$  respectively. Since  $N$  is normal in  $G$ ,  $w_1$  and  $w_3$  are in  $N$ . Now using the inductive hypothesis we have that  $w_2 = [uz, x]$  for some  $u$  in  $\gamma_k(M)$  and some  $z$  in  $N$ . So that  $w_2 = [u, x][u, x, z][z, x]$  is an element of  $\gamma_{k+1}(M)N$ , hence  $w$  belongs to  $\gamma_{k+1}(M)N$  and therefore  $\gamma_{k+1}(MN) \leq \gamma_{k+1}(M)N$ , as claimed. Now  $\gamma_{k+1}(G) = G$  as  $G = G'$ , so that  $G = \gamma_{k+1}(M)N$ , hence

$G/N' = (N/N')(\gamma_{k+1}(M)N'/N')$ . Since  $\gamma_{k+1}(M)$  is in  $\mathcal{X}$ , we have also that  $(\gamma_{k+1}(M)N'/N')$  is an  $\mathcal{X}$ -group. By Lemma 2.5, it follows that  $G/N'$  is in  $\mathcal{X}\mathcal{N}$  and therefore Lemma 2.4 permits us to conclude that  $G$  belongs to  $\mathcal{X}\mathcal{N}$ , as claimed.  $\square$

From the previous lemma, we can deduce the following result:

**Corollary 2.7.** *If  $G$  is a minimal non- $\mathcal{X}\mathcal{N}$  group, then every pair of proper normal subgroups generates a proper subgroup. Moreover, every proper normal subgroup  $N$  of  $G$  is omissible; that is,  $HN = G$  implies  $H = G$  for every subgroup  $H$  of  $G$ .*

**Proof of Theorem 1.1.** (i) Suppose first that  $G$  is a minimal non- $\mathcal{X}\mathcal{N}$  group. From Lemma 2.1 and Lemma 2.3,  $G$  is a finitely generated perfect group which has no proper subgroup of finite index. So  $G/\text{Frat}(G)$  is infinite. Suppose that  $G/\text{Frat}(G)$  is not simple and let  $N$  be a normal subgroup of  $G$  such that  $\text{Frat}(G) \leq N \leq G$ . Therefore there is a maximal subgroup  $M$  of  $G$  such that  $N \not\leq M$ . It follows that  $G = MN$ . We deduce, by Corollary 2.7, that  $G = M$ , which is a contradiction. Therefore  $G/\text{Frat}(G)$  is simple.

(ii) Suppose now that  $G$  is a minimal non- $\mathcal{X}\mathcal{N}_c$  group. If  $G$  is an  $\mathcal{X}\mathcal{N}$ -group, then its torsion subgroup  $T$  belongs to  $\mathcal{X}$  and  $G/T$  is a torsion-free nilpotent group. But a well known result of Zaicev [11] states that an infinite nilpotent group whose proper subgroups are in  $\mathcal{N}_c$  is itself in the class  $\mathcal{N}_c$ . Thus  $G/T$  is in  $\mathcal{N}_c$  and hence  $G$  belongs to  $\mathcal{X}\mathcal{N}_c$ , which is a contradiction. So that  $G$  is a minimal non- $\mathcal{X}\mathcal{N}$  group. It follows from (i) that  $G$  is a finitely generated perfect group which has no proper subgroup of finite index and such that  $G/\text{Frat}(G)$  is an infinite simple group.  $\square$

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