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## Group Theory

# A Wilson group of non-uniformly exponential growth

## Un groupe de Wilson de croissance exponentielle non-uniforme

Laurent Bartholdi

*Department of Mathematics, Evans Hall, U.C. Berkeley, USA*

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### Abstract

This Note constructs a finitely generated group  $W$  whose word-growth is exponential, but for which the infimum of the growth rates over all finite generating sets is 1 – in other words, of non-uniformly exponential growth.

This answers a question by Mikhael Gromov (*Structures métriques pour les variétés riemanniennes*, in: J. Lafontaine, P. Pansu (Eds.), CEDIC, Paris, 1981).

The construction also yields a group of intermediate growth  $V$  that locally resembles  $W$  in that (by changing the generating set of  $W$ ) there are isomorphic balls of arbitrarily large radius in  $V$  and  $W$ 's Cayley graphs. *To cite this article: L. Bartholdi, C. R. Acad. Sci. Paris, Ser. I 336 (2003).*

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### Résumé

Cette Note construit un groupe  $W$  de type fini dont la croissance des boules est exponentielle, mais pour laquelle l'infimum des taux de croissance vaut 1 – en d'autres termes,  $W$  est de croissance exponentielle non-uniforme.

Ceci répond à une question de Mikhael Gromov (*Structures métriques pour les variétés riemanniennes*, in : J. Lafontaine, P. Pansu (Eds.), CEDIC, Paris, 1981).

Cette construction donne aussi un groupe de croissance intermédiaire  $V$  ressemblant localement à  $W$  dans le sens que (en changeant le système génératrice de  $W$ ) des boules de rayon arbitrairement grand coïncident dans les graphes de Cayley de  $V$  et  $W$ . *Pour citer cet article : L. Bartholdi, C. R. Acad. Sci. Paris, Ser. I 336 (2003).*

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### Version française abrégée

Considérons le groupe  $A = \mathrm{PSL}(3, 2)$  agissant sur le plan projectif à sept points  $P$ . Ce groupe est engendré par trois involutions  $x = (1, 5)(3, 7)$ ,  $y = (2, 3)(6, 7)$ ,  $z = (4, 6)(5, 7)$ . On fait agir à droite deux copies  $A$ ,  $\bar{A}$  de  $A$  sur l'ensemble  $P^*$  des suites finies d'éléments de  $P$  de la façon suivante :

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E-mail address: laurent@math.berkeley.edu (L. Bartholdi).

$$(p_1 p_2 \dots p_n)a = (p_1 a)p_2 \dots p_n,$$

$$(p_1 \dots p_m p_{m+1} \dots p_n)\bar{a} = \begin{cases} p_1 \dots p_m (p_{m+1} a) p_{m+2} \dots p_n, & \text{si } p_1 = \dots = p_{m-1} = 1, p_m = 2, \\ p_1 \dots p_m p_{m+1} \dots p_n, & \text{sinon.} \end{cases}$$

On note  $W$  le groupe de permutations de  $P^*$  engendré par  $A$  et  $\bar{A}$ .

Par ailleurs, on considère les involutions  $\tilde{x}, \tilde{y}, \tilde{z}$  de  $P^*$  définies par

$$(4 \dots 4 p_m \dots p_n)\tilde{x} = 4 \dots 4(p_m x) p_{m+1} \dots p_n, \quad (\mathbb{1} \dots \mathbb{1} p_m \dots p_n)\tilde{y} = \mathbb{1} \dots \mathbb{1}(p_m y) p_{m+1} \dots p_n,$$

$$(2 \dots 2 p_m \dots p_n)\tilde{z} = 2 \dots 2(p_m z) p_{m+1} \dots p_n,$$

où  $m$  est choisi maximal, de sorte que  $p_m \neq p_{m-1}$ . Elles engendent le groupe  $V = \langle \tilde{x}, \tilde{y}, \tilde{z} \rangle$ .

Les résultats principaux de cette note sont les suivants :

- $W$  est un groupe à croissance exponentielle non-uniforme.
- $V$  est un groupe à croissance intermédiaire.
- Pour tout  $N$  il existe un système générateur  $\{x_N, y_N, z_N\}$  de  $W$  tel que les boules de centre 1 et de rayon  $N$  dans  $V$  et  $W$  coïncident, si l'on identifie  $\tilde{x}, \tilde{y}, \tilde{z}$  à  $x_N, y_N, z_N$  respectivement.

La construction de  $W$  est essentiellement semblable à celle annoncée par John Wilson [8].

## 1. Introduction

The purpose of this Note is to construct in an as short and elementary way as possible a group of non-uniformly exponential growth, i.e., a group of exponential growth with a family of generating sets for which the growth rate tends to 1. The “limit” of these generating sets generates a group of intermediate growth.

This construction is an adaptation of [1], which describes a family of groups of intermediate growth. I completed it after John Wilson announced that he had produced a group of non-uniformly exponential growth; my method is similar to his, and indeed only claims to be somewhat shorter and more explicit than that of his recent preprint [8]. The first appearance of these groups seems to be in [6].

The reader is directed to [5] for a survey on uniform growth of groups.

## 2. A group of non-uniformly exponential growth

First consider the group  $A = \mathrm{PSL}(3, 2)$  acting on the seven-points projective plane  $P$  over  $\mathbb{F}_2$ . The group  $A$  is generated by three reflections  $x, y, z$  in  $P$ , as in Fig. 1. We have  $x = (\mathbb{1}, \mathbb{5})(\mathbb{3}, \mathbb{7})$ ,  $y = (\mathbb{2}, \mathbb{3})(\mathbb{6}, \mathbb{7})$ ,  $z = (\mathbb{4}, \mathbb{6})(\mathbb{5}, \mathbb{7})$ .

We let two copies  $A, \bar{A}$  of  $A$  act on the right on the set  $P^*$  of finite sequences in  $P$  by

$$(p_1 p_2 \dots p_n)a = (p_1 a)p_2 \dots p_n,$$

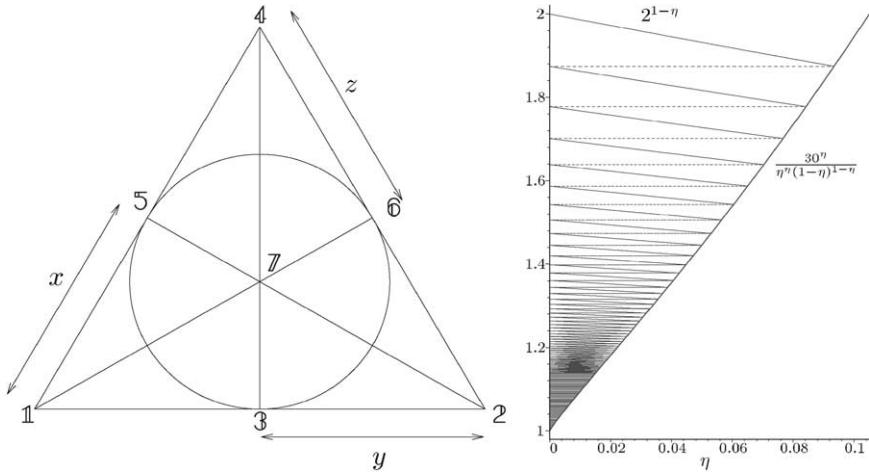
$$(p_1 \dots p_m p_{m+1} \dots p_n)\bar{a} = \begin{cases} p_1 \dots p_m (p_{m+1} a) p_{m+2} \dots p_n, & \text{if } p_1 = \dots = p_{m-1} = 1, p_m = 2, \\ p_1 \dots p_m p_{m+1} p_{m+2} \dots p_n, & \text{otherwise.} \end{cases}$$

We then define  $W$  as the group of permutations of  $P^*$  generated by  $A$  and  $\bar{A}$ .

By  $G \wr A$  we mean the wreath product  $\{f : P \rightarrow G\} \rtimes A$ . We introduce the notation  $g = \langle\langle g_1, \dots, g_7 \rangle\rangle a$  for the element  $(f, a)$  of  $G \wr A$  defined by  $f(p) = g_p$  for all  $p \in P$ , and we omit the “ $a$ ” from the notation if it is trivial. If  $G$  acts on  $P^*$ , then  $G \wr A$  also acts on  $P^*$  via the formula

$$(p_1 p_2 \dots p_n)g = (p_1 a)((p_2 \dots p_n)g_{p_1}). \tag{1}$$

In this notation, we have  $\bar{a} = \langle\langle \bar{a}, a, 1, 1, 1, 1, 1 \rangle\rangle$ .

Fig. 1. The projective plane over  $\mathbb{F}_2$ , and the functions in the proof of Proposition 2.6.Fig. 1. Le plan projectif sur  $\mathbb{F}_2$ , et les fonctions de la preuve de la Proposition 2.6.

Let  $G$  be a group generated by a finite set  $S$ . Its *growth rate* is, by definition,  $\lambda(G, S) = \lim_{n \rightarrow \infty} \sqrt[n]{\#B_{G,S}(n)}$ , where  $B_{G,S}(n) = \{g \in G \mid g = s_1 \dots s_n \text{ for some } s_i \in S\}$  is the ball of center 1 and radius  $n$  in  $G$ , with the word metric induced by  $S$ . (This limit exists because  $\log \#B_{G,S}(n)$  is subadditive.)

The group  $G$  has *exponential growth* if  $\lambda(G, S) > 1$  for one or, equivalently, for any generating set, and has *subexponential growth* otherwise. If  $\lambda(G, S) = 1$  and  $\#B_{G,S}(n)$  is not bounded by any polynomial function of  $n$ , then  $G$  has *intermediate growth*. It is non-trivial to construct groups of intermediate growth, and the first example was produced by Grigorchuk [3] in 1983.

Note that if  $\lambda_{G,S} > 1$ , then there exist other generating sets  $S'$  for  $G$  with  $\lambda(G, S')$  arbitrarily large – for instance,  $\lambda(G, B_{G,S}(k)) = \lambda(G, S)^k$ . On the other hand, it is not obvious that  $\lambda(G, S)$  can be made arbitrarily close to 1.

The group  $G$  has *uniformly exponential growth* if  $\inf_{\{\text{finite } S\}} \lambda(G, S) > 1$ .

Note that free groups, and more generally hyperbolic groups, have uniformly exponential growth as soon as they have exponential growth. Solvable groups [7], and linear groups [2] in characteristic 0, also have uniformly exponential growth as soon as they have exponential growth.

Mikhail Gromov asked in 1981 whether there exist groups of exponential, but non-uniformly exponential growth [4, Remarque 5.12]. This was answered positively by John Wilson [8] in 2002. The main result of this Note is the following:

**Theorem 2.1.** *The group  $W$  has exponential growth, but does not have uniformly exponential growth.*

The proof relies on the following propositions:

**Proposition 2.2.** *The group  $W$  satisfies the decomposition  $W \cong W \wr A$ ; the isomorphism is given by (1) intertwining their actions on  $P^*$ .*

**Proposition 2.3.** *The group  $W$  contains a free monoid on two generators.*

Given a triple  $\{a, b, c\}$  of involutions acting on  $P^*$ , we define a new triple  $\{a', b', c'\}$  of involutions also acting on  $P^*$  by

$$a' = \langle\langle 1, 1, 1, a, 1, 1, 1 \rangle\rangle x, \quad b' = \langle\langle b, 1, 1, 1, 1, 1, 1 \rangle\rangle y, \quad c' = \langle\langle 1, c, 1, 1, 1, 1, 1 \rangle\rangle z. \quad (2)$$

**Proposition 2.4.** *If  $G$  is a perfect group generated by three involutions  $a, b, c$ , then  $\{a', b', c'\}$  generates  $G \wr A$ .*

**Proposition 2.5.** *The group  $W$  is generated by three involutions.*

**Proposition 2.6.** Let  $G$  be generated by a triple of involutions  $S = \{a, b, c\}$ , and set  $S' = \{a', b', c'\}$  and  $H = \langle S' \rangle$ . Then

$$\lambda(H, S') \leq \inf_{\eta \in (0, 1)} \max \left\{ \lambda(G, S)^{1-\eta}, \frac{30^\eta}{\eta^\eta (1-\eta)^{1-\eta}} \right\}. \quad (3)$$

Fix the following sets of permutations of  $P^*$ : a generating set  $S_0$  of  $W$  given by Proposition 2.5; and for all  $n \geq 1$  a set  $S_n$  obtained from  $S_{n-1}$  by application of (2).

**Proof of Theorem 2.1.**  $W$  has exponential growth by Proposition 2.3.

Since  $S_0$  contains three involutions,  $\lambda(G, S_0) \leq 2$ . By Propositions 2.6 and 2.4, the sets  $S_n$  also generate  $W$  for  $n \geq 1$ . Set  $\Lambda_0 = 2$ , and for all  $n \geq 0$  define  $\eta_n \in (0, \frac{1}{2})$  and  $\Lambda_{n+1} > 1$  by

$$\Lambda_{n+1} = \Lambda_n^{1-\eta_n} = \frac{30^{\eta_n}}{\eta_n^{\eta_n} (1-\eta_n)^{1-\eta_n}}. \quad (4)$$

There is a unique solution  $\eta_n$  because the LHS of (4) is decreasing in  $\eta_n$  while the RHS is increasing. Clearly  $0 < \eta_{n+1} < \eta_n$  and  $1 < \Lambda_{n+1} < \Lambda_n$ , so  $\eta = \lim_{n \rightarrow \infty} \eta_n$  and  $\Lambda = \lim_{n \rightarrow \infty} \Lambda_n$  exist. From (4) we have  $\Lambda = \Lambda^{1-\eta}$ , so either  $\Lambda = 1$  or  $\eta = 0$ , which also implies  $\Lambda = 1$ . Since  $\lambda(W, S_n) \leq \Lambda_n$  for all  $n \in \mathbb{N}$ , we have  $\lim_{n \rightarrow \infty} \lambda(W, S_n) = 1$ .  $\square$

### 3. A group of intermediate growth

Consider next the set  $\tilde{S} = \{\tilde{x}, \tilde{y}, \tilde{z}\}$  of permutations of  $P^*$  defined implicitly by  $\tilde{x} = \langle\langle 1, 1, 1, \tilde{x}, 1, 1, 1 \rangle\rangle x$ ,  $\tilde{y} = \langle\langle \tilde{y}, 1, 1, 1, 1, 1 \rangle\rangle y$ ,  $\tilde{z} = \langle\langle 1, \tilde{z}, 1, 1, 1, 1 \rangle\rangle z$ , and consider the group  $V = \langle \tilde{S} \rangle$ .

**Theorem 3.1.** The group  $V$  has intermediate growth.

The group  $V$  is locally isomorphic to  $W$ , in that for any  $R \in \mathbb{N}$ , there exists  $n \in \mathbb{N}$  such that  $B_{V, \tilde{S}}(R)$  and  $B_{W, S_n}(R)$  are isomorphic graphs, seen as subsets of their respective groups' Cayley graphs, with  $\tilde{x}, \tilde{y}, \tilde{z}$  identified with the elements  $x^{(n)}, y^{(n)}, z^{(n)}$  of  $S_n$  respectively.

(Note that  $V$  is not perfect; indeed  $V/V' \cong (\mathbb{Z}/2)^3$ . Hence  $V$  does not decompose as a wreath product like  $W$ . Note also that  $\tilde{x} = \lim x^{(n)}$  in the compact-open topology on  $\text{aut}(P^*)$ ; and similarly for  $\tilde{y}$  and  $\tilde{z}$ .)

**Proof.** Apply Proposition 2.6 to  $(V, \tilde{S})$ , and note  $\tilde{S}' = \tilde{S}$ ; hence  $\lambda(V, \tilde{S}) = \lambda(V, \tilde{S}')$ , so  $\lambda(V, \tilde{S}) = 1$  by (3).

A group  $G$  acting on  $P^*$  is *contracting* if  $G$  identifies with a subgroup of  $G \wr A$  via the decomposition (1), and there are constants  $\rho < 1$  and  $M$  such that  $B(G, R)$  identifies with a subset of  $B(G, \rho R + M) \wr A$  for all  $R \in \mathbb{N}$ .

This property is independent of the chosen generating set, though the constants  $\rho, R$  do depend on it. For  $(V, \tilde{S})$  and for  $(W, S_n)$  for all  $n \geq 0$  the property holds with  $\rho = M = \frac{1}{2}$ .

Pick now  $R \in \mathbb{N}$ . By contraction there exists  $n \in \mathbb{N}$  such that the  $n$ -fold decomposition of  $B(G, R)$  is a subset of  $(\cdots (B(G, 1) \wr A) \wr A \cdots \wr A)$ . Since the generators  $\tilde{S}$  and  $S_0$  agree on a ball of radius 1, this implies that the generators  $\tilde{S}$  and  $S_n$  agree on a ball of radius  $R$ .  $\square$

### 4. Proof of the propositions

We will use repeatedly the following facts on  $A$ : it has order 168, and is simple, hence perfect. It is generated by  $\{x, y, z\}$ , and also by  $\{xy, yz, zx\}$ .

**Proof of Proposition 2.2.** Since  $A$  acts 2-transitively on  $P$ , there exists  $u \in A$  that fixes  $\mathbf{1}$  and moves  $\mathbf{2}$  to another point, and  $v \in A$  that fixes  $\mathbf{2}$  and moves  $\mathbf{1}$  to another point.

Then  $W$  contains  $[\bar{a}, \bar{b}^u] = \langle\langle [\bar{a}, \bar{b}], 1, \dots, 1 \rangle\rangle$  for any  $a, b \in A$ , and since  $A$  is perfect,  $W$  contains  $\langle\langle \bar{A}, 1, \dots, 1 \rangle\rangle$ . Similarly,  $W$  contains  $[\bar{a}, \bar{b}^v] = \langle\langle 1, [a, b], 1, \dots, 1 \rangle\rangle$  for any  $a, b \in A$ , so  $W$  contains  $\langle\langle 1, A, 1, \dots, 1 \rangle\rangle$ . Combining these,  $W$  contains  $\langle\langle W, \dots, W \rangle\rangle$  and  $A$ , so  $W$  contains  $W \wr A$ . The converse inclusion is obvious.  $\square$

**Proof of Proposition 2.3.** Pick  $u \neq v \in A$  such that  $\mathbb{1}u = \mathbb{1}v = \mathbb{2}$  and  $\mathbb{2}u = \mathbb{2}v = \mathbb{1}$ . Consider the elements  $a = \bar{u}u, b = \bar{u}v, c = \bar{v}u, d = \bar{v}v$ . They admit the decompositions

$$\begin{aligned} a &= \langle\langle \bar{u}, u, 1, \dots, 1 \rangle\rangle(\mathbb{1}, \mathbb{2})\sigma, & b &= \langle\langle \bar{u}, u, 1, \dots, 1 \rangle\rangle(\mathbb{1}, \mathbb{2})\tau, \\ c &= \langle\langle \bar{v}, v, 1, \dots, 1 \rangle\rangle(\mathbb{1}, \mathbb{2})\sigma, & d &= \langle\langle \bar{v}, v, 1, \dots, 1 \rangle\rangle(\mathbb{1}, \mathbb{2})\tau, \end{aligned} \quad (5)$$

for some permutations  $\sigma \neq \tau$  of  $P \setminus \{\mathbb{1}, \mathbb{2}\}$ . We claim that  $\{a, d\}$  generates a free monoid in  $W$ ; actually, we will show slightly more: let  $M = \{a, b, c, d\}^*$  be the submonoid of  $W$  generated by  $\{a, b, c, d\}$ . We claim that  $M/(a = b, c = d)$  is free, freely generated by  $\{a, d\}$ .

Consider two words  $X, Y$  over  $a, b, c, d$ , that are inequivalent under  $(a = b, c = d)$ ; we will prove by induction on  $|X| + |Y|$  that they act differently on  $P^*$ . We may assume that  $X$  and  $Y$  are both non-empty, and that  $X$  starts by  $a$  or  $b$ , and  $Y$  starts by  $c$  or  $d$ . If  $|X| = |Y| = 1$  then the decompositions (5) show that  $X$  and  $Y$  act differently on  $P^*$ . Otherwise, we have  $|X| \equiv |Y| \pmod{2}$ , by considering their action on  $\mathbb{1}$ ; and furthermore we may assume  $|X| \equiv |Y| \equiv 0 \pmod{2}$ , if necessary by multiplying both  $X$  and  $Y$  by  $a$  on the right. Consider the decompositions  $X = \langle\langle X_1, \dots, X_7 \rangle\rangle\alpha, Y = \langle\langle Y_1, \dots, Y_7 \rangle\rangle\beta$ . Then  $X_1, Y_1 \in M$ , and  $X_1$  starts by  $a$  or  $b$ , while  $Y_1$  starts by  $c$  or  $d$ ; so  $X_1$  and  $Y_1$  are inequivalent under  $(a = b, c = d)$ . We have  $|X_1| = |X|/2$  and  $|Y_1| = |Y|/2$ , so by induction  $X_1$  and  $Y_1$  act differently on  $P^*$ , and hence so do  $X$  and  $Y$ .  $\square$

**Proof of Proposition 2.4.** Set  $H = \langle a', b', c' \rangle$ . Then  $H$  contains  $(a'b'c'b')^3 = \langle\langle 1, 1, ac, ac, 1, 1, ca \rangle\rangle, (b'c'a'c')^3 = \langle\langle ba, 1, 1, 1, ba, ab \rangle\rangle$  and  $(c'a'b'a')^3 = \langle\langle 1, cb, 1, 1, cb, 1, bc \rangle\rangle$ ; so  $H$  contains  $v = [(a'b'c'b')^3, (b'c'a'c')^3] = \langle\langle 1, \dots, 1, [ca, ab] \rangle\rangle \neq 1$ . Now  $G = \langle ca, ab, bc \rangle = \langle [ca, ab]^G, [ab, bc]^G, [bc, ca]^G \rangle$  because  $G$  is perfect, so conjugating  $v$  by all words in  $(a'b'c'b')^3, (b'c'a'c')^3, (c'a'b'a')^3$  we see that  $H$  contains  $\langle\langle G, \dots, G \rangle\rangle$ .

Finally,  $H$  contains  $x = \langle\langle 1, 1, 1, a, 1, 1, 1 \rangle\rangle a'$ , and similarly  $y$  and  $z$ , so  $H = G \wr A$ .  $\square$

**Proof of Proposition 2.5.** Define  $a, b, c \in W$  by  $a = \langle\langle 1, \bar{x}, 1, x, 1, 1, 1 \rangle\rangle x, b = \langle\langle y, 1, 1, \bar{y}, 1, 1, 1 \rangle\rangle y, c = \langle\langle \bar{z}, z, 1, 1, 1, 1 \rangle\rangle z$ . Then  $(ab)^4 = (\langle\langle 1, \bar{x}, 1, x \bar{y}, y, 1, 1 \rangle\rangle xy)^4 = \langle\langle 1, \bar{x}, \bar{x}, (x \bar{y})^4, 1, \bar{x}, \bar{x} \rangle\rangle = \langle\langle 1, \bar{x}, \bar{x}, 1, 1, \bar{x}, \bar{x} \rangle\rangle$ , and similarly  $(bc)^4 = \langle\langle 1, 1, 1, \bar{y}, \bar{y}, \bar{y}, \bar{y} \rangle\rangle$  and  $(ca)^4 = \langle\langle \bar{z}, 1, \bar{z}, 1, \bar{z}, 1, \bar{z} \rangle\rangle$ .

Therefore the group  $G = \langle a, b, c \rangle$  contains  $u = [(ab)^4, (bc)^4, (ca)^4] = \langle\langle 1, \dots, 1, [[\bar{x}, \bar{y}], \bar{z}] \rangle\rangle \neq 1$ , so  $G$  contains all the conjugates of  $u$  by  $(ab)^4, (bc)^4, (ca)^4$ , and since  $A$  is simple,  $G$  contains  $\langle\langle 1, \dots, 1, A \rangle\rangle$ . Since  $G$  acts transitively on  $P$ , it contains  $\langle\langle \bar{A}, \dots, \bar{A} \rangle\rangle$ .

Next,  $G$  contains  $\langle\langle 1, \bar{x}, 1, 1, 1, 1, 1 \rangle\rangle a = \langle\langle 1, 1, 1, x, 1, 1, 1 \rangle\rangle x$ , and similarly  $\langle\langle y, 1, 1, 1, 1, 1, 1 \rangle\rangle y$  and  $\langle\langle z, 1, 1, 1, 1, 1 \rangle\rangle z$ , so  $G$  contains  $A \wr A$  by Proposition 2.4, and  $G = W$ .  $\square$

**Proof of Proposition 2.6.** Consider a word  $w \in \{a', b', c'\}^*$  representing an element in  $H$ , and its decomposition  $\langle\langle w_1, \dots, w_7 \rangle\rangle\sigma$ . Each of the  $w_i$ 's is a word over  $\{a, b, c\}$ , and the total length of the  $w_i$  is at most the length of  $w$ , since by the definition (2) each  $S'$ -letter in  $w$  contributes a single  $S$ -letter to one of the  $w_i$ 's.

A *reduced* word is a word with no two identical consecutive letters; we shall always assume that the words we consider are reduced. Therefore all  $aa$ -,  $bb$ - and  $cc$ -subwords of the  $w_i$ 's are to be cancelled; and such subwords appear in a  $w_i$  whenever  $w$  has a subword belonging to

$$\Delta = \{a'b'a', b'c'b', c'a'c', a'c'b'a'c'a'b'c'a', b'a'c'b'a'b'c'a'b', c'b'a'c'b'c'a'b'c'\};$$

indeed  $\Delta$ 's elements are, up to the cyclic permutation  $a' \mapsto b' \mapsto c' \mapsto a'$ ,

$$\begin{aligned} a'b'a' &= \langle\langle 1, 1, 1, aa, b, 1, 1 \rangle\rangle xyx = \langle\langle 1, 1, 1, 1, b, 1, 1 \rangle\rangle xxy, \\ a'c'b'a'c'a'b'c'a' &= \langle\langle a, cb, 1, aa, bc, a, c \rangle\rangle yzxzy = \langle\langle a, cb, 1, 1, bc, a, c \rangle\rangle yzxzy. \end{aligned}$$

**Lemma 4.1.** For any  $n \in \mathbb{N}$ , there are at most 30 reduced words  $w \in \{a', b', c'\}^*$  of length  $n$  that contain no subword belonging to  $\Delta$ .

**Proof.** If  $w$  contains  $a'c'a', b'a'b'$  or  $c'b'c'$  as a subword, then this subword occurs either among the first five or the last five letters of  $w$ , and  $w$  is a subword of  $(xyz)^\infty y(zyx)^\infty$ , where  $x, y, z$  is a cyclic permutation of  $a', b', c'$ .

This gives 24 possibilities: 3 for the choice of the cyclic permutation and 8 for the position of the  $zyz$  subword in  $w$ .

If  $w$  does not contain any such subword, then  $w$  must be a subword of  $(xyz)^\infty$  or  $(zyx)^\infty$ , and this gives 6 possibilities: 3 for the choice of the cyclic permutation and 2 for the choice of  $xyz$  or  $zyx$ .  $\square$

Fix now for every  $h \in H$  a word  $w_h$  of minimal length representing  $h$ ; and for all  $n \in \mathbb{N}$  let  $W_n$  denote the set of such words of length  $n$ . We wish to estimate  $\#W_n$ .

For any  $\eta \in (0, 1)$ , define the following sets:

$$W_n^{\geq \eta} = \{w \in W_n \mid w \text{ contains at least } \eta n \text{ subwords belonging to } \Delta\},$$

$$W_n^{\leq \eta} = \{w \in W_n \mid w \text{ contains at most } \eta n \text{ subwords belonging to } \Delta\}.$$

Any  $w \in W_n^{\leq \eta}$  factors as a product of at most  $\eta n$  non-empty pieces  $u_1 \dots u_m$ , where no  $u_i$  contains any subword belonging to  $\Delta$ . We therefore have

$$\#W_n^{\leq \eta} \leq \sum_{m=1}^{\eta n} \binom{n-1}{m-1} 30^m \leq \eta n \binom{n}{\eta n} 30^{\eta n};$$

here  $m$  is the number of pieces  $u_i$ ;  $\binom{n-1}{m-1}$  is the number of possible lengths  $|u_1|, \dots, |u_m|$  summing to  $n$ ; and  $30^m$  is (by Lemma 4.1) the number of possible  $u_1, \dots, u_m$  with prescribed lengths.

Estimating the binomial coefficient  $\binom{n}{\eta n} \approx (\eta^n(1-\eta)^{1-\eta})^{-n}$ , we get  $\lim_{n \rightarrow \infty} \sqrt[n]{\#W_n^{\leq \eta}} \leq \frac{30^\eta}{\eta^\eta(1-\eta)^{1-\eta}}$ .

Consider next  $w \in W_n^{\geq \eta}$ , and decompose it as  $w = \langle\langle w_1, \dots, w_7 \rangle\rangle a$ . The seven words  $w_1, \dots, w_7$  have total length at most  $(1-\eta)n$ , after cancellation of the  $aa$ -,  $bb$ - and  $cc$ -subwords.

For any  $\varepsilon > 0$ , there is a constant  $K$  such that  $\#B_{G,S}(n) \leq K(\lambda(G, S) + \varepsilon)^n$  for all  $n \in \mathbb{N}$ . Therefore

$$\#W_n^{\geq \eta} \leq \#A \sum_{\substack{n_1, \dots, n_7 \geq 0 \\ n_1 + \dots + n_7 \leq (1-\eta)n}} \prod_{p=1}^7 K(\lambda(G, S) + \varepsilon)^{n_p} \leq \#A \binom{n+7}{7} K^7 (\lambda(G, S) + \varepsilon)^{(1-\eta)n};$$

the binomial term counts all possible lengths of the seven words  $w_i$ , and the other terms count the number of possible  $w_i$ 's with prescribed lengths. It follows that  $\lim_{n \rightarrow \infty} \sqrt[n]{\#W_n^{\geq \eta}} \leq (\lambda(G, S) + \varepsilon)^{1-\eta}$  for all  $\varepsilon > 0$ , and therefore  $\lim_{n \rightarrow \infty} \sqrt[n]{\#W_n^{\geq \eta}} \leq \lambda(G, S)^{1-\eta}$ .

Now  $\#B_{H,S'}(n) \leq \sum_{j=0}^n (\#W_j^{\leq \eta} + \#W_j^{\geq \eta})$ , and

$$\begin{aligned} \lambda(H, S') &= \lim_{n \rightarrow \infty} \sqrt[n]{\#B_{H,S'}(n)} \leq \lim_{n \rightarrow \infty} \sqrt[n]{\#W_n^{\leq \eta} + \#W_n^{\geq \eta}} \leq \lim_{n \rightarrow \infty} \max \left\{ \sqrt[n]{\#W_n^{\leq \eta}}, \sqrt[n]{\#W_n^{\geq \eta}} \right\} \\ &\leq \max \left\{ \lambda(G, S)^{1-\eta}, \frac{30^\eta}{\eta^\eta(1-\eta)^{1-\eta}} \right\}. \quad \square \end{aligned}$$

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