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## EQUATIONS AUX DERIVEES PARTIELLES

### **DIRECT IMAGES OF ELLIPTIC PAIRS AND MICROLOCALIZATION**

**P. SCHAPIRA et J.-P. SCHNEIDERS**



# Direct images of elliptic pairs and microlocalization

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## 1 Introduction

Let  $f : X \longrightarrow Y$  be a morphism of complex analytic manifolds. In [7], we introduced the notion of a proper  $f$ -elliptic pair  $(\mathcal{M}, F)$  on  $X$ , and proved that the direct image of such a pair is an object of  $\mathbf{D}^b(\mathcal{D}_Y)$  with coherent cohomology. When  $f$  is projective and  $F = \mathbb{C}_X$ , one recovers the classical direct image theorem of Kashiwara [3] (as well as its generalization to the non proper case of [2]). When  $Y = \{\text{pt}\}$ ,  $M$  is a compact real analytic manifold,  $X$  a complexification of  $M$ ,  $F = \mathbb{C}_M$  and  $\mathcal{M}$  is elliptic on  $M$  in the classical sense, one recovers the classical finiteness theorem for solutions of elliptic systems.

In this paper, we shall prove that direct image commutes with microlocalization. More precisely, denote by  $\mathcal{E}_X$  the sheaf of (finite order) microdifferential operators on  $T^*X$  ([5] or see [6] for a detailed exposition), and still denote by  $\underline{f}_!$  the direct image for  $\mathcal{E}$ -modules (see below). Then, we prove that

$$\pi_Y^{-1}[\underline{f}_!(\mathcal{M} \otimes F)] \otimes_{\pi_Y^{-1}\mathcal{D}_Y} \mathcal{E}_Y \simeq \underline{f}_![\pi_X^{-1}(\mathcal{M} \otimes F) \otimes_{\pi_X^{-1}\mathcal{D}_X} \mathcal{E}_X].$$

This result was established by Kashiwara [3] when  $F = \mathbb{C}_X$  and  $f$  is projective. It was also announced in a non proper case in [2].

In the last section, we show that this result has interesting applications in the study of correspondences for  $\mathcal{D}$ -modules, as for example, in the case of the Penrose transform considered by [1].

## 2 Direct image of $\mathcal{D}$ and $\mathcal{E}$ modules

Let  $f : X \longrightarrow Y$  be a morphism of complex analytic manifolds.

Recall that the proper direct image of a right  $\mathcal{D}_X$ -module  $\mathcal{M}$  is defined through the formula

$$\underline{f}_!(\mathcal{M}) = Rf_!(\mathcal{M} \otimes_{\mathcal{D}_X}^L \mathcal{D}_{X \rightarrow Y})$$

where  $\mathcal{D}_{X \rightarrow Y}$  denotes the differential transfer module associated to  $f$ .

At the microlocal level, we consider the following diagram:

$$T^*X \xleftarrow{t f'} X \times_Y T^*Y \xrightarrow{f_\pi} T^*Y$$

and recall that the microlocal proper direct image of a right  $\mathcal{E}_X$ -module  $\mathcal{M}$  is defined through the formula

$$\underline{f}_1(\mathcal{M}) = Rf_{\pi!}(t f'^{-1}\mathcal{M} \otimes_{t f'^{-1}\mathcal{E}_X}^L \mathcal{E}_{X \rightarrow Y}),$$

where  $\mathcal{E}_{X \rightarrow Y}$  denotes the micro-differential transfer module associated to  $f$ .

The microlocalization of a right  $\mathcal{D}_X$ -module  $\mathcal{M}$  is the right  $\mathcal{E}_X$ -module  $\mathcal{M}\mathcal{E}$  defined on  $T^*X$  by setting

$$\mathcal{M}\mathcal{E} = \pi_X^{-1}\mathcal{M} \otimes_{\pi_X^{-1}\mathcal{D}_X} \mathcal{E}_X.$$

### 3 The topology of the sheaf $\mathcal{C}_{Y|X}(0)$

**Proposition 3.1** *Let  $X$  be a complex analytic manifold. Assume  $Y$  is a complex submanifold of  $X$  and denote by  $\mathcal{C}_{Y|X}(0)$  the sheaf of holomorphic microfunctions of order 0 on  $T_Y^*X$ . Then, for any compact subset  $K \subset T_Y^*X$ , the space*

$$\Gamma(K; \mathcal{C}_{Y|X}(0))$$

*has a canonical DFN topology.*

*Proof:* Working locally, we may use a coordinate system  $(x_1, \dots, x_d, y_1, \dots, y_{n-d})$  where  $Y$  is defined by the equations

$$x_1 = 0; \dots; x_d = 0.$$

Denote by  $(\xi_1, \dots, \xi_d)$  the corresponding coordinates on  $T_Y^*X$ . It follows from [5, Thm 1.4.5] that, for any open subset  $U$  of  $T_Y^*X$ , the formula

$$(3.1) \quad \int \delta(p - \langle x, \xi \rangle) u(x, y) dx = \sum_{j=-\infty}^0 a_j(y, \xi) \delta^{(j)}(p)$$

establishes a one to one correspondence between holomorphic microfunctions

$$u(x, y) \in \Gamma(U; \mathcal{C}_{Y|X}(0))$$

and sequences of homogeneous holomorphic functions

$$a_j(x, \xi) \in \Gamma(U; \mathcal{O}_{T_Y^*X}(j)) \quad (j \leq 0)$$

such that for any compact subset  $K \subset U$

$$\sum_{j=-\infty}^0 |a_j(x, \xi)|_K \frac{\epsilon^{-j}}{(-j)!} < +\infty$$

for some  $\epsilon > 0$ .

Let us first construct the requested DFN topology in two special cases.

*Case a.* Assume  $K$  is a convex compact subset of  $T_Y^*X$  on which  $\xi_k \neq 0$ . Denote by  $p: T_Y^*X \rightarrow P_Y^*X$  the canonical projection. The preceding discussion shows that the map

$$\begin{aligned} \Gamma(K; \mathcal{C}_{Y|X}(0)) &\longrightarrow \Gamma(p(K) \times \{0\}; \mathcal{O}_{P_Y^*X \times \mathbb{C}}) \\ u(x, y) &\mapsto f_k(y, \xi, \tau) = \sum_{j=0}^{+\infty} a_{-j}(y, \xi/\xi_k) \frac{\tau^j}{j!} \end{aligned}$$

is an isomorphism. Using this isomorphism, we endow  $\Gamma(K; \mathcal{C}_{Y|X}(0))$  with the usual DFN topology of  $\Gamma(p(K) \times \{0\}; \mathcal{O}_{P_Y^*X \times \mathbb{C}})$ . If, moreover,  $\xi_\ell \neq 0$  on  $K$ , one has

$$f_k(\xi, \tau) = f_\ell(\xi, \tau \xi_k / \xi_\ell).$$

Hence, the DFN topology of  $\Gamma(K; \mathcal{C}_{Y|X}(0))$  does not depend on  $k$ .

*Case b.* Let  $\pi$  denote the canonical projection of the bundle  $T_Y^*X$  on its base  $Y$  identified to the zero section. Assume  $K$  is a convex compact subset of  $T_Y^*X$  such that  $\pi(K) \subset K$ . It follows from (3.1) that

$$\begin{aligned} \Gamma(K; \mathcal{C}_{Y|X}(0)) &\longrightarrow \Gamma(\pi(K); \mathcal{O}_Y) \\ u(x, y) &\mapsto a_0(y, 0) \end{aligned}$$

is an isomorphism. We use this isomorphism to transport on  $\Gamma(K; \mathcal{C}_{Y|X}(0))$  the usual DFN topology of  $\Gamma(\pi(K); \mathcal{O}_Y)$ .

One checks easily that, if  $K_1 \subset K_2$  are two compact subsets of  $T_Y^*X$  of the kind treated in case (a) or (b) above, then the restriction map

$$\Gamma(K_2; \mathcal{C}_{Y|X}(0)) \longrightarrow \Gamma(K_1; \mathcal{C}_{Y|X}(0))$$

is continuous.

Let  $K$  be an arbitrary compact subset of  $T_Y^*X$ . The preceding discussion shows that we can find a finite covering  $(K_i)_{i \in I}$  of  $K$  by compact subsets such that  $\Gamma(K_i; \mathcal{C}_{Y|X}(0))$  and  $\Gamma(K_i \cap K_j; \mathcal{C}_{Y|X}(0))$  are DFN spaces. Thanks to the exact sequence

$$0 \longrightarrow \Gamma(K; \mathcal{C}_{Y|X}(0)) \xrightarrow{\alpha} \prod_{i \in I} \Gamma(K_i; \mathcal{C}_{Y|X}(0)) \xrightarrow{\beta} \prod_{i, j \in I} \Gamma(K_i \cap K_j; \mathcal{C}_{Y|X}(0)),$$

we may use  $\alpha$  to transport on  $\Gamma(K; \mathcal{C}_{Y|X}(0))$  the DFN topology of  $\ker \beta$ . To show that this topology is independent of the chosen covering, it is sufficient to show that it is equivalent to the topology induced by a finer covering. Since such a topology is obviously weaker, the conclusion follows from the closed graph theorem.

Since a direct computation shows that the above defined topology is independent of the chosen coordinate systems, the conclusion follows easily.  $\square$

**Corollary 3.2** *Let  $X$  be a complex analytic manifold. Assume  $K$  is a compact subset of  $T^*X$ . Then*

$$\Gamma(K; \mathcal{E}_X(0))$$

*has a canonical DFN topology.*

*Proof:* Apply the preceding proposition to  $\mathcal{C}_{\Delta_X|X \times X}(0)$ .  $\square$

**Proposition 3.3** *Let  $X, Z$  be complex analytic manifolds and let  $Y$  be a complex submanifold of  $X$ . We identify  $T_{(Z \times Y)}^*(Z \times X)$  and  $Z \times T_Y^*X$ . We denote by  $q : Z \times T_Y^*X \rightarrow T_Y^*X$  the second projection. Then, for any Stein compact subset  $K \subset Z$ , one has*

$$Rq_![(\mathcal{C}_{Z \times Y|Z \times X}(0))_{K \times T_Y^*X}] \simeq \Gamma(K; \mathcal{O}_Z) \hat{\otimes} \mathcal{C}_{Y|X}.$$

*Proof:* Let  $S$  be a complex manifold. Denote by  $p_S : Z \times S \rightarrow S$  the second projection. By classical results of analytic geometry, we know that

$$Rp_{S!}[(\mathcal{O}_{Z \times S})_{K \times S}] \simeq \Gamma(K; \mathcal{O}_Z) \hat{\otimes} \mathcal{O}_S.$$

Using the explicit isomorphisms constructed in the proof of the preceding proposition, the conclusion follows easily.  $\square$

**Corollary 3.4** *Let  $Z, Y$  be complex analytic manifolds and denote by*

$$f : Z \times Y \rightarrow Y$$

*the second projection. Assume  $K$  is a Stein compact subset of  $Z$ . Then*

$$Rf_{\pi!}[(\mathcal{E}_{Z \times Y \rightarrow Y}(0))_{K \times T^*Y}] \simeq \Gamma(K; \mathcal{O}_Z) \hat{\otimes} \mathcal{E}_Y(0).$$

*Proof:* Apply the preceding proposition to  $\mathcal{C}_{Z \times \Delta_Y|Z \times (Y \times Y)}(0)$ .  $\square$

## 4 Main result

**Theorem 4.1** *Assume  $f : X \rightarrow Y$  is a morphism of complex analytic manifolds and  $(\mathcal{M}, F)$  is an  $f$ -elliptic pair on  $X$  with  $f$ -proper support. Then the canonical map*

$$[\underline{f}_! (\mathcal{M} \otimes F)] \mathcal{E} \rightarrow \underline{f}_! ([\mathcal{M} \otimes F] \mathcal{E})$$

is an isomorphism in  $\mathbf{D}_{\text{coh}}^b(\mathcal{E}_Y)$ .

*Proof:* Recall that we have the commutative diagram

$$\begin{array}{ccccc} T^*X & \xleftarrow{t f'} & X \times_Y T^*Y & \xrightarrow{f_\pi} & T^*Y \\ \pi_X \downarrow & & \pi \downarrow & & \pi_Y \downarrow \\ X & \xleftarrow{\sim} & X & \xrightarrow{f} & Y \end{array}$$

Hence, we have successively

$$\begin{aligned} & \pi_Y^{-1} [\underline{f}_! (\mathcal{M} \otimes F)] \otimes_{\pi_Y^{-1} \mathcal{D}_Y} \mathcal{E}_Y \\ &= Rf_{\pi!} [\pi^{-1} (\mathcal{M} \otimes F \otimes_{\mathcal{D}_X}^L \mathcal{D}_{X \rightarrow Y})] \otimes_{\pi_Y^{-1} \mathcal{D}_Y} \mathcal{E}_Y \\ &= Rf_{\pi!} [\pi^{-1} (\mathcal{M} \otimes F \otimes_{\mathcal{D}_X}^L \mathcal{D}_{X \rightarrow Y}) \otimes_{f_\pi^{-1} \pi_Y^{-1} \mathcal{D}_Y} f_\pi^{-1} \mathcal{E}_Y] \\ &= Rf_{\pi!} [\pi^{-1} (\mathcal{M} \otimes F) \otimes_{\pi^{-1} \mathcal{D}_X}^L (\pi^{-1} \mathcal{D}_{X \rightarrow Y} \otimes_{f_\pi^{-1} \pi_Y^{-1} \mathcal{D}_Y} f_\pi^{-1} \mathcal{E}_Y)]. \end{aligned}$$

Note that there is a canonical map

$$(4.1) \quad \pi^{-1} \mathcal{D}_{X \rightarrow Y} \otimes_{f_\pi^{-1} \pi_Y^{-1} \mathcal{D}_Y} f_\pi^{-1} \mathcal{E}_Y \rightarrow \mathcal{E}_{X \rightarrow Y}.$$

Hence, we get a canonical morphism

$$(4.2) \quad \pi_Y^{-1} [\underline{f}_! (\mathcal{M} \otimes F)] \otimes_{\pi_Y^{-1} \mathcal{D}_Y} \mathcal{E}_Y \rightarrow \underline{f}_! [\pi_X^{-1} (\mathcal{M} \otimes F) \otimes_{\pi_X^{-1} \mathcal{D}_X} \mathcal{E}_X].$$

When  $f$  is a closed embedding, (4.1) is an isomorphism. Hence (4.2) is an isomorphism for any  $\mathcal{M} \in \mathbf{D}_{\text{coh}}^b(\mathcal{D}_X)$  and any  $F \in \mathbf{D}_{\mathbb{R}\text{-c}}^b(X)$ .

In the general case, consider the graph embedding

$$i : X \rightarrow X \times Y$$

and the projection

$$p : X \times Y \rightarrow Y.$$

Since  $(\mathcal{M}, F)$  is an  $f$ -elliptic pair, the pair  $(\dot{i}_! \mathcal{M}, F \boxtimes \mathbb{C}_Y)$  is  $p$ -elliptic. Since our result holds for closed embeddings and

$$\dot{i}_! \mathcal{M} \otimes (F \boxtimes \mathbb{C}_Y) \simeq \dot{i}_! (\mathcal{M} \otimes F),$$



we are reduced to prove the theorem for the pair  $(i_! \mathcal{M}, F \boxtimes \mathbb{C}_Y)$  and the map  $p$ .

We may thus assume that  $f$  is the second projection from  $X = Z \times Y$  to  $Y$  and that  $F = G \boxtimes \mathbb{C}_Y$  where  $G$  is an object of  $\mathbf{D}_{\mathbb{R}-c}^b(Z)$ . Moreover, working as in [7], we may also assume that  $\mathcal{M} = \mathcal{N} \otimes_{\mathcal{D}_{X|Y}} \mathcal{D}_X$  where  $\mathcal{N}$  is a coherent  $\mathcal{D}_{X|Y}$ -module. In this case,

$$\begin{aligned} \pi_Y^{-1}[f_!(\mathcal{M} \otimes F)] \otimes_{\pi_Y^{-1}\mathcal{D}_Y} \mathcal{E}_Y \\ &= Rf_{\pi!}[\pi^{-1}(\mathcal{M} \otimes F) \otimes_{\pi^{-1}\mathcal{D}_X}^L (\pi^{-1}\mathcal{D}_{X \rightarrow Y} \otimes_{f_{\pi^{-1}}\pi_Y^{-1}\mathcal{D}_Y} f_{\pi}^{-1}\mathcal{E}_Y)] \\ &= Rf_{\pi!}[\pi^{-1}(\mathcal{N} \otimes (G \boxtimes \mathbb{C}_Y)) \otimes_{\pi^{-1}\mathcal{D}_{X|Y}}^L (\pi^{-1}\mathcal{O}_X \otimes_{f_{\pi^{-1}}\pi_Y^{-1}\mathcal{O}_Y} f_{\pi}^{-1}\mathcal{E}_Y)] \end{aligned}$$

and

$$f_![\pi_X^{-1}(\mathcal{M} \otimes F) \otimes_{\pi_X^{-1}\mathcal{D}_X} \mathcal{E}_X] = Rf_{\pi!}[\pi^{-1}(\mathcal{N} \otimes (G \boxtimes \mathbb{C}_Y)) \otimes_{\pi^{-1}\mathcal{D}_{X|Y}} \mathcal{E}_{X \rightarrow Y}].$$

Hence, we are reduced to show that the canonical arrow

$$\pi^{-1}\mathcal{O}_X \otimes_{f_{\pi^{-1}}\pi_Y^{-1}\mathcal{O}_Y} f_{\pi}^{-1}\mathcal{E}_Y(0) \longrightarrow \mathcal{E}_{X \rightarrow Y}(0)$$

induces an isomorphism

$$(4.3) \quad \begin{aligned} Rf_{\pi!}[\pi^{-1}(\mathcal{N} \otimes (G \boxtimes \mathbb{C}_Y)) \otimes_{\pi^{-1}\mathcal{D}_{X|Y}}^L (\pi^{-1}\mathcal{O}_X \otimes_{f_{\pi^{-1}}\pi_Y^{-1}\mathcal{O}_Y} f_{\pi}^{-1}\mathcal{E}_Y(0))] \\ \simeq Rf_{\pi!}[\pi^{-1}(\mathcal{N} \otimes (G \boxtimes \mathbb{C}_Y)) \otimes_{\pi^{-1}\mathcal{D}_{X|Y}} \mathcal{E}_{X \rightarrow Y}(0)] \end{aligned}$$

As a matter of fact,  $\mathcal{E}_{X \rightarrow Y} \simeq \mathcal{E}_{X \rightarrow Y}(0) \otimes_{f_{\pi^{-1}}\mathcal{E}_Y(0)} f_{\pi}^{-1}\mathcal{E}_Y$  as a  $(\mathcal{D}_{X|Y}, \mathcal{E}_Y)$ -bimodule and a scalar extension of 4.3 gives the theorem.

Using the realification process developed in [7], we may assume from the beginning that  $Z$  is a complexification of a real analytic manifold  $M$  and that  $G$  is supported by  $M$ .

Since the result is local on  $T^*Y$  (hence on  $Y$ ), we may assume also that  $\mathcal{N}$  has a projective resolution  $\mathcal{L}$  by finite free  $\mathcal{D}_{X|Y}$ -modules (see [7, Prop. 3.1]).

As for  $G$ , we may assume it is isomorphic to a bounded complex  $T$  of the type

$$0 \longrightarrow \cdots \bigoplus_{i_a \in I_a} \mathbb{C}_{K_{a,i_a}} \longrightarrow \cdots \bigoplus_{i_k \in I_k} \mathbb{C}_{K_{k,i_k}} \longrightarrow \cdots \bigoplus_{i_b \in I_b} \mathbb{C}_{K_{b,i_b}} \longrightarrow 0$$

where the sets  $I_k$  are finite and  $K_{k,i_k}$  is a subanalytic compact subset of  $M$  (see [7, Prop. 3.10]).

Hence,

$$\mathcal{N} \otimes (F \boxtimes \mathbb{C}_Y) \simeq \mathcal{L} \otimes (T \boxtimes \mathbb{C}_Y)$$

and the components of this last complex are finite direct sums of sheaves of the type

$$\mathcal{D}_{X|Y} \otimes \mathbb{C}_{K \times Y}$$

where  $K$  is a subanalytic compact subset of  $M$ .

Note that

$$(4.4) \quad \begin{aligned} \pi^{-1}(\mathcal{D}_{X|Y} \otimes \mathbb{C}_{K \times Y}) \otimes_{\pi^{-1}\mathcal{D}_{X|Y}}^L (\pi^{-1}\mathcal{O}_X \otimes_{f_{\pi}^{-1}\pi_Y^{-1}\mathcal{O}_Y} f_{\pi}^{-1}\mathcal{E}_Y(0)) \\ \xrightarrow{\sim} \pi^{-1}(\mathcal{O}_X)_{K \times Y} \otimes_{f_{\pi}^{-1}\pi_Y^{-1}\mathcal{O}_Y} f_{\pi}^{-1}\mathcal{E}_Y(0) \end{aligned}$$

$$(4.5) \quad \begin{aligned} \pi^{-1}(\mathcal{D}_{X|Y} \otimes \mathbb{C}_{K \times Y}) \otimes_{\pi^{-1}\mathcal{D}_{X|Y}} \mathcal{E}_{X \rightarrow Y}(0) \\ \xrightarrow{\sim} (\mathcal{E}_{X \rightarrow Y}(0))_{K \times T^*Y} \end{aligned}$$

The right hand side of (4.4) is acyclic for  $f_{\pi!}$  thanks to usual properties of Stein compact subsets. Moreover, Corollary 3.4 shows that the right hand side of (4.5) is also acyclic for  $f_{\pi!}$ . Hence, the morphism (4.3) of  $\mathbf{D}^b(\mathcal{E}_Y(0))$  is represented in  $C^b(\mathcal{E}_Y(0))$  by the morphism

$$(4.6) \quad \begin{aligned} f_{\pi!}[\pi^{-1}(\mathcal{L} \otimes (T \boxtimes \mathbb{C}_Y)) \otimes_{\pi^{-1}\mathcal{D}_{X|Y}} (\pi^{-1}\mathcal{O}_X \otimes_{f_{\pi}^{-1}\pi_Y^{-1}\mathcal{O}_Y} f_{\pi}^{-1}\mathcal{E}_Y(0))] \\ \xrightarrow{\sim} f_{\pi!}[\pi^{-1}(\mathcal{L} \otimes (T \boxtimes \mathbb{C}_Y)) \otimes_{\pi^{-1}\mathcal{D}_{X|Y}} \mathcal{E}_{X \rightarrow Y}(0)] \end{aligned}$$

Let us denote by  $R$  the complex

$$f_![\mathcal{L} \otimes (T \otimes \mathbb{C}_Y) \otimes_{\mathcal{D}_{X|Y}} \mathcal{O}_X].$$

Its components are direct sums of sheaves of the type

$$f_![(\mathcal{O}_X)_{K \times Y}] \simeq \Gamma(K; \mathcal{O}_Z) \hat{\otimes} \mathcal{O}_Y$$

which are DFN-free  $\mathcal{O}_Y$ -modules. As in [7], it is easy to check that the  $\mathcal{O}_Y$ -linear differential of  $R$  is continuous with respect to these natural topologies. Hence, we may consider  $R$  as a topological complex of DFN-free  $\mathcal{O}_Y$ -modules. Using Corollary 3.4, we have successively

$$\begin{aligned} Rf_{\pi!}[(\mathcal{E}_{X \rightarrow Y}(0))_{K \times T^*Y}] &\simeq \Gamma(K; \mathcal{O}_Z) \hat{\otimes} \mathcal{E}_Y(0) \\ &\simeq [\Gamma(K; \mathcal{O}_Z) \hat{\otimes} \pi_Y^{-1}\mathcal{O}_Y] \hat{\otimes}_{\pi_Y^{-1}\mathcal{O}_Y} \mathcal{E}_Y(0) \\ &\simeq \pi_Y^{-1}f_![(\mathcal{O}_X)_{K \times Y}] \hat{\otimes}_{\pi_Y^{-1}\mathcal{O}_Y} \mathcal{E}_Y(0) \end{aligned}$$

and (4.6) is represented as the canonical morphism

$$\pi^{-1}R \otimes_{\pi^{-1}\mathcal{O}_Y} \mathcal{E}_Y(0) \longrightarrow \pi^{-1}R \hat{\otimes}_{\pi^{-1}\mathcal{O}_Y} \mathcal{E}_Y(0).$$

Since  $R$  has  $\mathcal{O}_Y$ -coherent cohomology, Lemma 4.2 below allows us to conclude the proof.  $\square$

The following lemma is easily deduced from the results in §1–2 of [4].

**Lemma 4.2** *Let  $S$  be a complex analytic manifold. Assume  $\mathcal{F}$  is a DFN  $\mathcal{O}_S$ -module and  $\mathcal{M}$  is a complex of DFN-free  $\mathcal{O}_S$ -modules. If  $\mathcal{M}$  is bounded from above and has  $\mathcal{O}_S$ -coherent cohomology then the natural morphism*

$$\mathcal{M} \otimes_{\mathcal{O}_S} \mathcal{F} \longrightarrow \mathcal{M} \hat{\otimes}_{\mathcal{O}_S} \mathcal{F}$$

*is a quasi-isomorphism in  $C^-(\mathcal{O}_S)$ .*

## 5 Applications

Let  $f : X \longrightarrow Y$  be a morphism of complex manifolds.

**Corollary 5.1** *Let  $\mathcal{M}$  be a coherent  $\mathcal{D}_X$ -module endowed with a good filtration. Assume:*

- (i)  *$f$  is proper on  $\text{supp } \mathcal{M}$ ,*
- (ii)  *$f_\pi$  is finite on  ${}^t f'^{-1}(\text{char } \mathcal{M}) \cap X \times_Y T^*Y$ , where  $T^*Y = T^*Y \setminus T_Y^*Y$ .*

*Then, for  $j \neq 0$ ,  $H^j(\underline{f}_! \mathcal{M})$  is a flat connection (i.e. its characteristic variety is contained in the zero section).*

*Proof:* The second hypothesis implies that  $\underline{f}_!(\mathcal{M}\mathcal{E})$  is concentrated in degree zero on  $T^*Y$ . The first hypothesis and Theorem 4.1 imply that

$$(\underline{f}_! \mathcal{M})\mathcal{E} \simeq \underline{f}_!(\mathcal{M}\mathcal{E}).$$

Hence, for  $j \neq 0$ ,  $\text{supp } H^j[(\underline{f}_! \mathcal{M})\mathcal{E}]$  is contained in the zero section. Since  $\mathcal{E}$  is flat over  $\pi^{-1}\mathcal{D}$ , the conclusion follows easily.  $\square$

As we shall see now, we may apply this last result in the study of correspondences

$$\begin{array}{ccc} & Y & \\ g \swarrow & & \searrow f \\ Z & & X \end{array}$$

when assuming

$$(5.1) \quad \begin{cases} g \text{ is smooth,} \\ f \text{ is proper,} \\ (g, f) : Y \longrightarrow Z \times X \text{ is a closed embedding.} \end{cases}$$

Let

$$\Lambda = T_Y^*(Z \times X) \cap (T^*Z \times T^*X)$$

and denote by  $p_2$  the projection  $T^*(Z \times X) \longrightarrow T^*X$ . Assume:

$$(5.2) \quad p_2 \text{ is finite on } \Lambda.$$

**Corollary 5.2** *Assume (5.1) and (5.2) and let  $\mathcal{N}$  be a coherent  $\mathcal{D}_Z$ -module endowed with a good filtration. Then for  $j \neq 0$ ,  $H^j(\underline{f}_! \underline{g}^* \mathcal{N})$  is a flat connection.*

The proof follows immediately from Corollary 5.1, since  $g$  being smooth,  $\underline{g}^* \mathcal{N}$  is concentrated in degree zero and endowed with a good filtration.

**Example 5.3 (Penrose Correspondence (see [1]))** Let  $T$  be a  $\mathbb{C}$ -vector space of dimension 4,  $F(1, 2)$  the flag manifold of type  $(1, 2)$ , i.e. the set of couples of linear subspaces  $l \subset p$  of  $T$  of dimension 1 and 2 respectively. Define similarly  $F(1)$  (the complex projective space of dimension 3) and  $F(2)$  (the Minkowski compactification of  $\mathbb{C}^4$ ). Then, we get a correspondence:

$$\begin{array}{ccc} & Y = F(1, 2) & \\ g \swarrow & & \searrow f \\ Z = F(1) & & X = F(2) \end{array}$$

and one checks easily that hypothesis (5.1) and (5.2) are satisfied. Moreover,  $p_2$  induces an isomorphism  $\Lambda \xrightarrow{\sim} V$ , where  $V$  is a smooth regular involutive submanifold of  $T^*X$ . Note that  $V$  is the characteristic variety of the wave equation. We refer the reader to [1] for a detailed study of the Penrose correspondence in the framework of  $\mathcal{D}$ -modules.

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