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BEHAVIOUR AT THE BOUNDARY OF THE

COMPLEX MONGE-AMPERE EQUATION

by J. LEE and R. MELROSE



Let  $\Omega \subset \mathbb{C}^n$  be a smoothly bounded strictly pseudo convex domain. Then,  $\Omega$  always has a strictly plurisubharmonic defining function  $r \in C^\infty(\bar{\Omega})$  such that

$$(1) \quad \begin{cases} r = 0 & \text{on } \partial\Omega \\ r < 0 & \text{on } \overset{\circ}{\Omega} \\ r_{i\bar{j}} = \frac{\partial}{\partial z^i} \frac{\partial}{\partial z^{\bar{j}}} r > 0 & \text{in } \bar{\Omega} \end{cases} .$$

If one takes

$$g = -\log(-r)$$

then the tensor

$$\sum_{i,j=1}^n g_{i\bar{j}} dz^i \cdot d\bar{z}^{\bar{j}}, \quad g_{i\bar{j}} = \frac{\partial}{\partial z^i} \frac{\partial}{\partial z^{\bar{j}}} g$$

is a complete Kähler metric on  $\Omega$ , and it is always equivalent to the Bergman metric. For a Kähler metric the (Hermitian) Ricci tensor is

$$R_{i\bar{j}} = -\partial z^i \partial \bar{z}^{\bar{j}} \log \det g_{i\bar{j}}$$

and Einstein's equation :

$$(2) \quad R_{i\bar{j}} = c g_{i\bar{j}}$$

takes a particularly simple form. In fact, to ensure (2) for the metric derived from  $g$  one demands

$$(3) \quad \det (g_{i\bar{j}}) = e^{(n+1)g} .$$

This is the complex Monge-Ampère equation discussed below. The choice of constant,  $n+1$ , is essentially arbitrary up to sign but is especially convenient (see [1]). If one sets

$$g = G + u$$

where  $G = -\log(-R)$  corresponds to some particular choice of plurisubharmonic defining function then (3) can be rewritten

$$\begin{aligned} M(u) &= \det \left( G_{\bar{i}j} \right)^{-1} \cdot \det \left( G_{\bar{i}j} + u_{\bar{i}j} \right) \cdot e^{-(n+1)n} \\ (4) \qquad &= e^F \end{aligned}$$

where  $F \in C^\infty(\bar{\Omega})$  is given by

$$e^F = e^{(n+1)G} \left( \det G_{\bar{i}j} \right)^{-1}.$$

The form (4) is due to Cheng and Yau [1] who showed that it has a unique solution  $u \in C^2(\Omega)$  such that  $g_{\bar{i}j}$  is again equivalent to the Bergman

metric :

$$(5) \qquad \frac{1}{C} G_{\bar{i}j} \leq g_{\bar{i}j} \leq C G_{\bar{i}j} \qquad C \text{ constant.}$$

Theorem : The solution  $g$  to (3), (5) is a graded conormal distribution associated to the boundary  $\partial\Omega$ . More exactly, there are functions  $\psi_j \in C^\infty(\bar{\Omega})$  such that

$$(6) \qquad u \sim \sum_{j=0}^{\infty} \psi_j (\log(-R))^j \quad R \rightarrow 0$$

where  $\psi_j = O(R^{(n+1)j})$  so that (6) completely determines the singularity of  $u$  (and hence  $g$ ) at  $\partial\Omega$ .

The Kähler-Einstein metric  $g_{\bar{i}j}$  is an important biholomorphic invariant of the domain  $\Omega$  and the Taylor series of the  $\psi_j$  at  $\partial\Omega$  are related to invariants of the CR geometry of the boundary.

The proof of (6), which was in essence conjectured by Fefferman [2], is carried out in [3]. It can be divided into four steps.

- I. The explicit description of the degeneracy of  $M(u)$  at the boundary.
- II. Continuity properties of  $M$ , and its linear part  $\Delta_G + (n+1)$  on natural degenerate Hölder spaces.
- III. Tangential regularity of solutions, obtained by commutator methods, leading to the conormal property.
- IV. The extraction of the "classical" expansion (6), by symbolic methods.

(I) One can arrange that  $u$  in (4) vanishes at the boundary, so it is natural to examine the linearization of  $M(u)$  about  $u = 0$  :

$$M(u) = 1 + \sum_{i,\bar{j}} G^{i\bar{j}} u_{i\bar{j}} - (u+1) u + \text{quadratic terms} .$$

Here  $G^{i\bar{j}}$  is the inverse matrix to  $G_{i\bar{j}}$  and since this is a Kähler metric one has the simple formula for the Laplace-Beltrami operator :

$$\Delta_G u = - \sum_{i,\bar{j}} G^{i\bar{j}} u_{i\bar{j}}$$

and the linear part of  $M(u)$  is just

$$-(\Delta + (n+1)) .$$

If one introduces  $x = -R$  as a first coordinate near a boundary point and then takes local coordinates  $y_1, \dots, y_{2n-1}$  in  $\partial\Omega$  there is a natural way, using the metric  $G_{i\bar{j}}$ , to extend the  $y_j$ 's to give normal coordinates near the boundary,  $x, y_1, \dots, y_{2n-1}$ . With respect to these coordinates

$$(7) \quad \Delta_G = I(x D_x) - x r. (x D_x)^2 + x \square_b + \frac{i}{2}(n-1) x T + x^2 R_2(x, y, x D_x, D_y)$$

where

$$I(x D_x) = (x D_x)^2 + i n x D_x$$

is the indicial operator,  $r \in C^\infty$ ,  $\square_b$  is Kohn's Laplacian for  $\bar{\partial}_b$  with respect to the Levi form induced by  $G_{ij}$  and  $T$  is a  $C^\infty$  vector field.

The remainder terms in  $R_2$  have the important property that at the boundary  $R_2$  is elliptic, in the totally characteristic sense of [4], where  $x D_x$  and  $\square_B$  are both characteristic.

The structure of (7) is of paramount importance in the analysis. If one recalls that, at least as far as the principal part is concerned,  $\square_b$  is made up of vector fields in the maximal complex subspace of  $T\partial\Omega$  :

$$H(\partial\Omega) = T\partial\Omega \cap i T\partial\Omega \subset T\partial\Omega .$$

Intuitively one has :

$$\Delta = (x D_x)^2 + (x^{1/2} V)^2 + (x W)^2$$

where the middle terms are in  $H(\partial\Omega)$  over the boundary. Not only is this decomposition meaningful but the whole of the non-linear operator  $M(u)$  has a similar structure.

(II) The degenerate Hölder spaces that are used in the estimation of  $M$  and  $\Delta$  are based on this decomposition. For each integer  $k$  and  $\varepsilon$  with  $0 < \varepsilon < 1$  one can define spaces  $\Lambda^{k,\varepsilon}(\Omega) \subset L^\infty(\Omega)$  such that

$$x D_x, x^{1/2} V, x W : \Lambda^{k+1,\varepsilon}(\Omega) \rightarrow \Lambda^{k,\varepsilon}(\Omega) ,$$

if  $v \in C^\infty(\bar{\Omega}, T\bar{\Omega})$  has  $v|_{\partial\Omega} \in C^\infty(\partial\Omega; H(\partial\Omega))$ . Following the estimates of Cheng and Yau one then has

$$(8) \quad \Delta + (n+1) : x^t \Lambda^{k+2,\varepsilon}(\Omega) \rightarrow x^t \Lambda^{k,\varepsilon}(\Omega)$$

an isomorphism whenever  $0 \leq t < n+1$ .

The upper restriction on the range is optimal since the indicial operator satisfies :

$$(9) \quad [I(x D_x) + (n+1)] (x^{-1}, x^{n+1}) = 0 .$$

By the method of continuity (see [1]) these estimates can be applied to the non-linear equation too.

(III) As a result of (8) one finds that a distribution  $u$  satisfying

$$(10) \quad (\Delta + n+1) u = f \in C^\infty(\bar{\Omega}), \quad u \in L^\infty(\Omega)$$

actually lies in the space

$$(11) \quad u \in \Lambda^\infty = \bigcap_k \Lambda^{k,\varepsilon}(\Omega) .$$

These estimates are not very strong however; for example the best isotropic estimates on tangential derivatives implied by (11) are

$$|D_y^\alpha u| \leq C |x|^{-|\alpha|} .$$

However, a more systematic study of the filtration associated to  $\Delta$  - for example it is easy to see that if  $v \in C^\infty(\bar{\Omega}, T\bar{\Omega})$  has  $v|_{\partial\Omega} \in C^\infty(\partial\Omega, H\partial\Omega)$  then

$$[\Delta, v] : x^t \Lambda^{k+2,\varepsilon}(\Omega) \rightarrow x^t \Lambda^{k,\varepsilon}(\Omega) \quad \forall k, t \quad -$$

allows one to improve (11) to

$$(12) \quad (x D_x)^k D_y^\alpha u \in L^\infty(\bar{\Omega}) \quad \forall k, \alpha .$$

(IV) In [4] it is shown that the estimates (12) are essentially equivalent, apart from questions of order, to the statement that  $u$  is a Lagrangian (Fourier integral) distribution associated to the conormal bundle to the boundary, i.e. a conormal distribution. Thus, one can apply symbolic methods, using (7). In the recursive description obtained in this way  $u$  is found, modulo lower order terms, by solving the equation

$$[I(x D_x) + (n+1)] u' = f'$$



where  $f'$  is  $C^\infty$  in  $x$  and  $y$ , except for terms which arise from earlier approximations to  $u$ . The only non smooth terms which can arise in this way come from the kernel  $x^{n+1}$ . Thus

Proposition : The solution  $u$  to (10) is of the form

$$u = u_1 + u_2 \log(-R)$$

where  $u_1, u_2 \in C^\infty(\bar{\Omega})$  and  $u_2 = O(R^{n+1})$ .

Once again similar considerations apply to the non-linear problem, yielding the theorem as announced.

### References

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