

# SÉMINAIRE ÉQUATIONS AUX DÉRIVÉES PARTIELLES – ÉCOLE POLYTECHNIQUE

S. KWAPIEN

## **Comments to Enflo's construction of Banach space without the approximation property**

*Séminaire Équations aux dérivées partielles (Polytechnique) (1972-1973), exp. n° 9, p. 1-4*

[http://www.numdam.org/item?id=SEDP\\_1972-1973\\_\\_A10\\_0](http://www.numdam.org/item?id=SEDP_1972-1973__A10_0)

© Séminaire Équations aux dérivées partielles (Polytechnique)  
(École Polytechnique), 1972-1973, tous droits réservés.

L'accès aux archives du séminaire Équations aux dérivées partielles (<http://sedp.cedram.org>) implique l'accord avec les conditions générales d'utilisation (<http://www.numdam.org/conditions>). Toute utilisation commerciale ou impression systématique est constitutive d'une infraction pénale. Toute copie ou impression de ce fichier doit contenir la présente mention de copyright.

NUMDAM

Article numérisé dans le cadre du programme  
Numérisation de documents anciens mathématiques  
<http://www.numdam.org/>

ÉCOLE POLYTECHNIQUE

CENTRE DE MATHÉMATIQUES

17, RUE DESCARTES - 75230 PARIS CEDEX 05

Téléphone : 633-25-79

S E M I N A I R E   G O U L A O U I C - S C H W A R T Z   1 9 7 2 - 1 9 7 3

COMMENTS TO ENFLO'S CONSTRUCTION OF BANACH

SPACE WITHOUT THE APPROXIMATION PROPERTY

par S. KWAPIEN

Exposé N<sup>o</sup> IX

29 Novembre 1972



The Enflo's construction of Banach spaces without the approximation property consists of three parts : the criterion for a Banach space to fail to poses the approximation property, decomposition of finite dimensional spaces into two "bad subspaces" and the final construction which is a "convolution" of constructed in the second step finite dimensional subspaces. Each of these steps is interesting i its own. We shall discuss them separately. Also we shall give some other comments and we shall pose some problems.

I - Let  $E$  be a Banach space and  $E'$  its dual. Let  $\{e_i, e'_i\}_{i \in I}$  be a family of elements of  $E \times E'$ . Given a finite subset  $A$  of  $I$  for each  $u \in L(E)$  let us define

$$\text{tr}_A u = \frac{1}{|A|} \sum_{i \in A} \langle u(e_i), e'_i \rangle .$$

**Proposition 1** : Assume that there exists a sequence  $(\alpha_n)$  at positive numbers with  $\sum_{n=1}^{\infty} \alpha_n < \infty$  and a sequence  $(A_n)$  of finite subsets of  $N$  such that

$$|\text{tr}_{A_{n+1}} u - \text{tr}_{A_n} u| \leq \alpha_n \|u\| \quad \text{for each } n \text{ and } u \in L(E)$$

and assume that

$$1^0 \quad \lim_{n \rightarrow \infty} \text{tr}_{A_n} I_d \neq 0$$

$$2^0 \quad \lim_{n \rightarrow \infty} \text{tr}_{A_n} u = 0 \text{ for each } u \in L_0(E) .$$

The  $E$  has not the approximation property.

The condition  $1^0$  is fulfilled if  $\langle e_i, e'_i \rangle = 1$  for each  $i \in I$ , and the condition  $2^0$  is fulfilled if one of the following is true:

a) for some constant  $K$   $\|e_i\|, \|e'_i\| \leq K$  for each  $i \in I$  and  $\lim_{i \rightarrow \infty} e_i = 0$  in  $\sigma(E, E')$  or  $\lim_{i \rightarrow \infty} e'_i = 0$  in  $\sigma(E, E')$ .

b)  $\overline{\text{span} \{e_i \mid i \in I\}} = E$ ,  $\langle e_i, e'_j \rangle = 0$  for  $i \neq j$  of mutaly disjoint subsets of  $I$ .

Proof : It is known that  $E$  has the approximation property if and only if the canonical mapping  $i : E' \hat{\otimes} E \rightarrow L(E)$  is an injection. But  $z_n = \text{tr}_{A_n}(\cdot) \in E' \otimes E$  and by the assumption  $\|z_{n+1} - z_n\| \leq \alpha_n$ . Since  $\sum_{n=1}^{\infty} \alpha_n < \infty$  the sequence  $(z_n)$  is convergent in  $E' \hat{\otimes} E$  to some  $z_0$ .

But then  $1^0$  implies that  $z_0 \neq 0$  and  $2^0$  implies that  $i(z_0) = 0$ , and thus  $E$  has not the approximation property.

Remark 1 : Proposition 1 enables us to avoid the use of Grothendieck result that for reflexive spaces the approximation property and the bounded approximation property coincide.

Remark 2 : It is not known yet if there exists a Banach space with the approximation property and which has not the bounded approximation property.

II - Let  $X$  be a finite dimensional Banach space. Let  $\{e_i, e_i'\}_{i \in I}$  be a biorthogonal complete system in  $E$ , and let  $A \subset I$ . Assume that for each  $u \in L(E)$  there holds

$$|\text{tr}_A u - \text{tr}_{I \setminus A} u| \leq \alpha \|u\|$$

then in particular this implies that if  $P$  is any projection of  $X$  onto  $E^A = \text{span}\{e_i \mid i \in A\}$  then

$$1 \leq \alpha \|P\| \text{ and hence } \|P\| \geq \frac{1}{\alpha} .$$

Thus  $X$  may be decomposed into  $X^A \oplus X^B$  in such way that each projection from  $X$  onto  $X^A$  and each projection of  $X$  onto  $X^B$  is of norm greater than  $\frac{1}{\alpha}$ .

If  $X$  and  $Y$  are Banach spaces of the same dimension let us define

$$d(X, Y) = \inf \{ \|T\| \|T^{-1}\| \mid T \text{ is an isomorphisme of } X \text{ onto } Y \}$$

and let  $h(X) = d(X, \dot{H})$  where  $H$  is a Hilbert space of the same dimension as  $X$ .

Conjecture : If  $X$  is a finite dimensional Banach space then there exist a biorthogonal complete system  $\{e_i, e'_i\}_{i \in I}$  in  $X$  and a subset  $A \subset I$  such that

$$|\operatorname{tr}_A u - \operatorname{tr}_{I \setminus A} u| \leq \frac{C}{h(X)} \|u\| \quad \text{for each } u \in L(E)$$

( $C$  is a universal constant).

Let  $2 \leq p < \infty$ . Exactly in the same method as in Lemma 1 and Lemma 2 of the preceding note<sup>♦</sup> we can find a subset  $A \subset [1, n]$  such that for each  $u \in L(L_p^{[1, n]})$

$$|\operatorname{tr}_A u - \operatorname{tr}_{I \setminus A} u| \leq C_p n^{\frac{1}{p} - \frac{1}{2}}.$$

It is known that  $d(L_p^{[1, n]}) \leq C_p$  (cf. [1], chapt. X, Theorem 7.10) and it is easy to see that  $h(l_p^n) = n^{|\frac{1}{p} - \frac{1}{2}|}$  for  $1 < p < \infty$ . Combining all these we arrive at :

Proposition 2 : Let  $2 < p < \infty$ . There exist a constant  $\bar{C}_p$ , a biorthogonal system  $\{e_i, e'_i\}_{i \in I}$  in  $l_p^n$  and a subset  $A \subset I$  such that

$$|\operatorname{tr}_A u - \operatorname{tr}_{I \setminus A} u| \leq \bar{C}_p \frac{\|u\|}{h(l_p^n)}.$$

By duality arguments we can extend this result on  $l_p$   $1 < p < 2$  .

In fact this is true for  $1 \leq p \leq \infty$ . It was observed by A. Pelczynski that the Sobczyk decomposition of  $l_p^n$  gives the desired property. Also we can obtain it in a similar method to the one used in Lemma 1 and Lemma 2 of [4], but instead of the unite circle  $T$  the Cantor group  $K = \{0, 1\}^N$  is taken and the trigonometrical system is replaced by the Walsh system. This approach was developed by Figiel [2] and by Figiel and Pelczynski [3]. The advantage of this approach is that it allows to construct subspaces of  $l_p$ ,  $2 < p \leq \infty$ , without the approximation property.

---

<sup>♦</sup> Ref. [4].

Problem 1 : If  $E$  is not isomorphic with Hilbert space is it true that  $E$  contains a subspace without the approximation property ?

Problem 2 : Let  $1 \leq p < 2$ . Does  $L_p$  contain a subspace without the approximation property ?

BIBLIOGRAPHY

- [1] A. Zygmund : Trigonometrical series.
  - [2] T. Figiel : Further examples of Banach spaces without the approximation property, (preprint).
  - [3] T. Figiel, A. Pelczynski : On Enflo's construction of Banach spaces without the approximation property.
  - [4] S. Kwapien : On Enflo's example of a Banach space without the approximation property, Séminaire Goulaouic-Schwartz 1972-73, exposé No VIII.
-