

## On the Occurrence of the Complete Graph $K_5$ in the Hasse Graph of a Finite Group.

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*Dedicated to Professor Guido Zappa  
on the occasion of his 90th birthday*

### 1. Introduction.

In [7] we determined all finite groups with planar subgroup lattices and also those with planar Hasse graphs. Here a finite lattice  $L$  is called planar (see [4]) if it is possible to draw its Hasse diagram in the plane in such a way that no two line segments intersect; the Hasse graph  $L^*$  of  $L$  is its Hasse diagram considered as an undirected graph in the usual way (see §2) and for a finite group  $G$ , the Hasse graph  $L(G)^*$  of its subgroup lattice  $L(G)$  is also called the Hasse graph of  $G$ .

By Kuratowski's theorem, a finite graph is planar if and only if it does not contain a subdivision of the complete graph  $K_5$  or the complete bipartite graph  $K_{3,3}$  as a subgraph (see [2, p. 24]). Figure 1 shows the graphs  $K_5$  and  $K_{3,3}$ ; a subdivision is obtained from a graph by subdividing some of the edges,

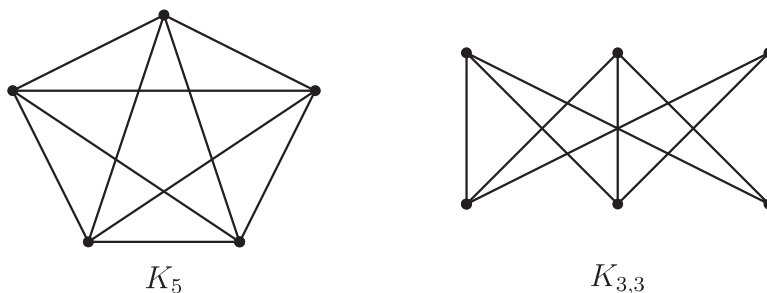


Figure 1

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that is, by replacing the edges by paths having at most their endvertices in common. We were able to show in [7] that the Hasse graph of a finite group is planar if and only if it contains no subdivision of  $K_{3,3}$  as a subgraph.

The aim of the present paper is to determine all finite groups whose Hasse graph contains no subdivision of  $K_5$  as a subgraph; we shall call such a graph  $K_5$ -free, for short. For  $p$ -groups, we obtain the following results.

**THEOREM A.** *Let  $|G| = p^n$  where  $2 < p \in \mathbb{P}$  and  $5 \leq n \in \mathbb{N}$ . Then  $L(G)^*$  is  $K_5$ -free if and only if  $G$  is metacyclic of exponent at least  $p^{n-2}$ .*

**THEOREM B.** *Let  $|G| = 2^n$  where  $6 \leq n \in \mathbb{N}$ . Then  $L(G)^*$  is  $K_5$ -free if and only if  $G$  is lattice-isomorphic to an abelian group of type  $(2^n)$ ,  $(2^{n-1}, 2)$ , or  $(2^{n-2}, 4)$ , or  $G$  is one of the following two groups*

$$\begin{aligned} G &= \langle a, b \mid a^4 = b^{2^{n-2}} = 1, a^b = a^{-1} \rangle, \\ G &= \langle a, b \mid a^8 = 1, b^{2^{n-3}} = a^4, a^b = a^{-1} \rangle. \end{aligned}$$

The assumption  $n \geq 5$  is needed in Theorem A since for every odd prime  $p$ , there are two groups of order  $p^4$  with  $K_5$ -free Hasse graph which are not metacyclic. Theorem B holds for  $n \leq 5$  if one includes in the list the quaternion group  $Q_{32}$  of order  $2^5$  and all metacyclic groups of order at most  $2^4$  (see Remarks 3.8 and 3.11).

It might be interesting to note that only rather few of these  $K_5$ -free  $p$ -groups have planar (or  $K_{3,3}$ -free) Hasse graphs. In fact, we showed in [7] that for  $p > 2$ , a group of order  $p^n$  has this property if and only if it is either cyclic or lattice-isomorphic to an abelian group of type  $(p^{n-1}, p)$ . For  $p = 2$ , the same groups and, in addition, only the dihedral group of order 8, the quaternion groups  $Q_8$  and  $Q_{16}$ , and the semidihedral group of order 16 have planar Hasse graphs. This latter group is the unique  $p$ -group with planar Hasse graph and non-planar subgroup lattice.

In [7, Lemma 3.2] we showed that the Hasse graph of a cyclic group  $C_k$  of order  $k$  is  $K_5$ -free if and only if it is planar, and that  $C_k$  has this property if and only if  $k$  is of the form  $p^n, p^n q^m$ , or  $p^n q r$  where  $n, m \in \mathbb{N}$  and  $p, q, r$  are primes; here the groups  $C_{p^n}$  and  $C_{p^n q^m}$  even have planar subgroup lattices whereas  $L(C_{pqr})$  is non-planar. Therefore the following two theorems complete the determination of all finite groups with  $K_5$ -free Hasse graph.

**THEOREM C.** *Let  $G$  be a finite nilpotent group which is not cyclic and not of prime power order. Then  $L(G)^*$  is  $K_5$ -free if and only if  $G \simeq C_p \times Q_8$  where  $2 < p \in \mathbb{P}$  or  $G \simeq C_p \times C_q \times C_q$  with different primes  $p$  and  $q$ .*

**THEOREM D.** *Let  $G$  be a finite group which is not nilpotent. Then  $L(G)^*$  is  $K_5$ -free if and only if  $G = PK$  where  $P \trianglelefteq G$  and  $K$  is cyclic, and one of the following holds (where  $p, q, r$  are pairwise different primes and  $n \in \mathbb{N}$ ).*

- (a)  $|P| = p, |K| = q^n$  and  $|K : C_K(P)| \leq q^3$ .
- (b)  $|P| = p$  and  $|K| = qr$ .
- (c)  $|P| = p^2$ ,  $P$  is cyclic,  $|K| = q^n$  and  $|K : C_K(P)| = q$ .
- (d)  $|P| = p^2$ ,  $P$  is elementary abelian,  $|K| = q^n$ ,  $|K : C_K(P)| \leq q^3$ ,  $|C_K(P)| \leq q$ , and  $\Omega(K/C_K(P))$  operates irreducibly on  $P$ .
- (e)  $|P| = p^2$ ,  $P$  is elementary abelian,  $|K| = qr$  and both minimal subgroups of  $K$  operate irreducibly on  $P$ .
- (f)  $|P| = p^3$ ,  $P$  is nonabelian of exponent  $p$ ,  $|K| = q$  and  $K$  operates irreducibly on  $P/\Phi(P)$ .
- (g)  $P \simeq Q_8$ ,  $|K| = 3$  or  $9$ ,  $G/C_K(P) \simeq SL(2, 3)$ .
- (h)  $|P| = p^4$ ,  $P$  is abelian of type  $(p^2, p^2)$ ,  $|K| = q$  and  $K$  operates irreducibly on  $P/\Phi(P)$ .

Again, by [7], only few of these groups have planar Hasse graphs, namely those in (a) of Theorem D for which  $|K : C_K(P)| = q$  and those in (d) for which  $|K| = q$ . These groups, in fact, also have planar subgroup lattices whereas for all other groups in Theorems C and D, the Hasse graph is non-planar.

## 2. Notation and Preliminary Remarks.

In the whole paper,  $G$  is a finite group and  $p, q$  are primes. We denote by  $L(G)$  the subgroup lattice of  $G$ ; so  $L(G) = \{X \mid X \leq G\}$  with the set-theoretical inclusion as relation and we write  $X \cap Y$  for the intersection and  $X \cup Y$  for the join of the subgroups  $X, Y$  of  $G$ . Further we write  $X \triangleleft Y$  if  $X$  is a maximal subgroup of  $Y$ .

For  $n \in \mathbb{N}$  and a  $p$ -group  $G$ , we let

$$\begin{aligned}\Omega_n(G) &= \langle x \in G \mid x^{p^n} = 1 \rangle \\ \mathcal{O}_n(G) &= \langle x^{p^n} \mid x \in G \rangle\end{aligned}$$

and we denote by

- $C_n$  the cyclic group of order  $n$
- $D_{2^n}$  the dihedral group of order  $2^n$  ( $n \geq 2$ )
- $Q_{2^n}$  the quaternion group of order  $2^n$  ( $n \geq 3$ )
- $S_{2^n}$  the semidihedral group of order  $2^n$  ( $n \geq 4$ ).

Further notation is standard (see [3] and [5]).

All graphs considered are undirected graphs. In particular, we define the *Hasse graph*  $L^*$  of a finite lattice  $L$  to be the (undirected) graph with vertex set  $L$  in which the unordered pair  $\{x, y\}$  of elements of  $L$  is an edge if and only if one of  $x, y$  is a lower neighbour of the other. The degree of a vertex is the number of edges in which it is contained. For a group  $G$ , the Hasse graph  $L(G)^*$  of its subgroup lattice  $L(G)$  is also called the Hasse graph of  $G$ .

A path  $\gamma$  (of length  $n$ ) from  $u$  to  $v$  in  $L^*$  is a sequence of elements  $x_0, x_1, \dots, x_n$  of  $L$  such that  $x_0 = u$ ,  $x_n = v$  and for all  $i$  there is an edge between  $x_i$  and  $x_{i+1}$  in  $L^*$ , that is,  $x_i$  is a lower or upper neighbour of  $x_{i+1}$ . We usually write  $\gamma = (x_0, \dots, x_n)$  for such a path and call the vertices different from  $x_0$  and  $x_n$  the *internal* vertices of  $\gamma$ . Finally, a collection  $\{\gamma_1, \dots, \gamma_m\}$  of paths is called *internally disjoint* if each internal vertex of  $\gamma_i$  ( $i = 1, \dots, m$ ) lies on no  $\gamma_j$  ( $j \neq i$ ).

If  $\Omega$  is a subgraph of  $L^*$  which is a subdivision of  $K_5$ , then there exist 5 points  $x_1, \dots, x_5 \in \Omega$  and an internally disjoint set of 10 paths  $\gamma_{ij}$  from  $x_i$  to  $x_j$  ( $1 \leq i < j \leq 5$ ) in  $\Omega$ , and hence in  $L^*$ . Conversely, every subset  $\Gamma = \{x_1, \dots, x_5\}$  of  $L$  with  $|\Gamma| = 5$  together with an internally disjoint set of paths  $\gamma_{ij}$  from  $x_i$  to  $x_j$  ( $1 \leq i < j \leq 5$ ) in  $L^*$  yields a subgraph  $\Omega$  of  $L^*$  which is a subdivision of  $K_5$ . We shall call such a set  $\Gamma$  a  $K_5$ -set in  $L^*$  and the members of  $\Gamma$  are called the  $K_5$ -points of  $\Omega$ . They are uniquely determined by  $\Omega$  since all the other vertices of  $\Omega$  have degree 2 in  $\Omega$ .

If we want to show, for a certain group  $G$ , that  $L(G)^*$  has such a subgraph  $\Omega$ , we usually only give a  $K_5$ -set  $\Gamma$  and describe the nontrivial paths  $\gamma_{ij}$  between the members of  $\Gamma$ ; the trivial ones being edges or, for example, one of the  $p + 1$  possible paths of length 2 between the bottom and the top of an elementary abelian section of order  $p^2$  in  $G$ .

Finally, as mentioned in the introduction, we call a graph  $K_5$ -free if it contains no subdivision of  $K_5$  as a subgraph.

### 3. $p$ -Groups and Subdivisions of $K_5$ .

In this section we shall determine all finite  $p$ -groups whose Hasse graphs are  $K_5$ -free. We start with the following trivial, but fundamental, result.

**LEMMA 3.1.** *If  $G$  is elementary abelian of order  $p^3$ , then  $L(G)^*$  contains a subdivision of  $K_5$ .*

PROOF. Let  $G = \langle a \rangle \times \langle b \rangle \times \langle c \rangle$  where  $o(a) = o(b) = o(c) = p$  and let  $\Gamma := \{1, \langle b \rangle, \langle a, b \rangle, \langle b, c \rangle, G\}$ . There are five edges between these points in  $L(G)^*$  and the other five paths needed are  $(1, \langle ab \rangle, \langle a, b \rangle)$ ,  $(1, \langle bc \rangle, \langle b, c \rangle)$ ,  $(1, \langle abc \rangle, \langle ab, c \rangle, G)$ ,  $(\langle b \rangle, \langle b, ac \rangle, G)$ , and  $(\langle a, b \rangle, \langle a \rangle, \langle a, c \rangle, \langle c \rangle, \langle b, c \rangle)$ . So  $\Gamma$  together with these paths yields a subdivision of  $K_5$  in  $L(G)^*$ .  $\square$

REMARK 3.2. By [7, Theorem A], all other groups of order  $p^3$  have planar subgroup lattices except the nonabelian group  $H$  of exponent  $p$  ( $p > 2$ ). Since all subgroups of order  $p$  different from  $Z(H)$  have degree 2 in the graph  $L(H)^*$ , it is easy to see that  $L(H)^*$  is  $K_5$ -free. So the elementary abelian group is the unique group of order  $p^3$  whose Hasse graph contains a subdivision of  $K_5$ .  $\square$

Lemma 3.1 shows that if  $G$  is a  $p$ -group with  $K_5$ -free Hasse graph, then every subgroup of  $G$  is generated by two elements. Groups with this property were studied by Blackburn [1]; most of them are metacyclic.

LEMMA 3.3. *Let  $|G| = p^n$ ,  $n \geq 5$ . If  $L(G)^*$  is  $K_5$ -free, then  $G$  is metacyclic and has exponent at least  $p^{n-2}$ .*

PROOF. We show first that  $G$  is metacyclic. By Lemma 3.1 and [1, Theorems 4.1 and 5.1], this is true except possibly when  $G$  is a 3-group of maximal class. (Blackburn has a weaker assumption in his Theorem 4.1, so he gets a further possibility for  $p > 2$ , namely a group  $G$  of order  $p^5$  in which  $\Omega(G)$  is nonabelian of order  $p^3$  and exponent  $p$  and  $G/\Omega(G)$  is cyclic. However, if we take  $x \in G$  such that  $G = \langle x \rangle \Omega(G)$  and a maximal subgroup  $S$  of  $G$  containing  $x$ , then  $\Phi(S)$  is a cyclic normal subgroup of order  $p^2$  in  $G$  and so  $\Phi(S)\Omega(G)/\Phi(S) \cap \Omega(G)$  is elementary abelian of order  $p^3$ .) So suppose, for a contradiction, that  $G$  is a 3-group of maximal class. Then  $G$  has a factor group of order  $3^5$  which is also of maximal class. We show that the Hasse graph of such a group contains a subdivision of  $K_5$ ; this will be the desired contradiction.

So let  $G_0$  be of order  $3^5$  and class 4. Then it is well-known [3, pp. 370-371] that  $G_0$  has normal subgroups  $G_i$  with  $G_0 > G_1 > G_2 > G_3 > G_4 > 1$  such that  $G_1$  is metacyclic,  $G_3 = \Omega(G_1) = \Phi(G_1)$  and  $G_2 = (G_0)'$  is abelian. Let  $M$  be a maximal subgroup of  $G_0$  such that  $M \neq G_1$ . Then  $M$  has maximal class [3, p. 374] and so  $\Phi(M) = G_3$  since  $G_0$  has only one normal subgroup of order  $3^2$ . Similarly,  $\Phi(G_2) = G_4 = Z(G_0)$ . If  $M/G_4$  would contain a cyclic subgroup  $H/G_4$  of order  $3^2$ , then  $H$  would be abelian and hence  $H \cap G_2 \leq Z(M)$ , a contradiction since  $M$  has maximal class. Thus  $M/G_4$  has exponent 3. Now we claim that  $\Gamma := \{M, G_1, G_2, G_3, G_4\}$  is a  $K_5$ -set in

$L(G_0)^*$ . Here we use 3 nontrivial paths, namely  $(M, G_0, G_1), (M, M_1, M_2, G_4)$  with maximal subgroups  $M_1 \neq G_2$  of  $M$  and  $M_2 \neq G_3$  of  $M_1$  (which exist since  $M/G_4$  has exponent 3), and  $(G_1, S\Phi(G_1), S, \Omega(S), 1, G_4)$  where  $S$  is a cyclic subgroup of order  $3^2$  of  $G_1$  not contained in  $G_2$  and not containing  $G_4$  (which exists since  $\Omega(G_1) = \Phi(G_1)$ ). In addition, 4 paths are edges and in the elementary abelian factors  $M/G_3, G_1/G_3$ , and  $G_2/G_4$  there are intermediate subgroups not yet used. So we get a subdivision of  $K_5$  in  $L(G_0)^*$  and this completes the proof that  $G$  is metacyclic.

It remains to be shown that  $\exp G \geq p^{n-2}$ . So suppose, for a contradiction, that  $\exp G \leq p^{n-3}$ . Since  $G$  is metacyclic,  $G = NX$  where  $N \trianglelefteq G$  and  $N$  and  $X$  are cyclic. Then  $|N : N \cap X| = |G : X| \geq p^3$  and  $|X : N \cap X| = |G : N| \geq p^3$ . So  $G/N \cap X$  contains a subgroup isomorphic to a semidirect product of a cyclic group  $N_1$  of order  $p^3$  by a cyclic group  $X_1$  of order  $p^3$ . For  $p > 2$ , such a group has modular subgroup lattice and is therefore lattice-isomorphic to an abelian group of type  $(p^3, p^3)$  [5, 2.3.1 and 2.5.9]. For  $p = 2$ ,  $\text{Aut } C_8$  is elementary abelian of order 4 and hence  $X_1$  induces an automorphism of order at most 2 in  $N_1$ . So to get a contradiction, we show that the Hasse graph of a semidirect product  $H = \langle a \rangle \langle b \rangle$ , where  $\langle a \rangle \trianglelefteq H$ ,  $o(a) = o(b) = p^3$  and  $b^p \in C_H(a)$ , contains a subdivision of  $K_5$ . For this let  $\Gamma := \{H_1, \dots, H_5\}$  where  $H_1 = \langle a^{p^2} \rangle$ ,  $H_2 = \langle a^{p^2}, b^{p^2} \rangle$ ,  $H_3 = \langle a^p, b^{p^2} \rangle$ ,  $H_4 = \langle a^p, b^p \rangle$  and  $H_5 = \langle a, b^p \rangle$ . Clearly,  $H_5$  is abelian of type  $(p^3, p^2)$  and we have 4 edges  $\{H_i, H_{i+1}\}$ , 2 nondiagonal paths  $(H_1, \langle a^p \rangle, \langle a \rangle, \langle a, b^{p^2} \rangle, H_5)$  and  $(H_2, \langle a^{p^2}, b^p \rangle, H_4)$ , 3 diagonal paths  $(H_1, \langle a^p b^{p^2} \rangle, H_3)$ ,  $(H_3, \langle ab^p \rangle, H_5)$  and  $(H_1, 1, \langle a^{p^2} b^{p^2} \rangle, \langle a^p b^p \rangle, \langle a^{p^2}, a^p b^p \rangle, H_4)$ , and finally the path  $(H_2, \langle b^{p^2} \rangle, \langle b^p \rangle, \langle b \rangle, \langle a^{p^2}, b \rangle, \langle a^p, b \rangle, H, H_5)$ . So  $L(H)^*$  contains a subdivision of  $K_5$ . But  $L(G)^*$  has a subgraph isomorphic to such an  $L(H)^*$ , a contradiction. Thus  $\exp G \geq p^{n-2}$ .  $\square$

For  $p > 2$ , the converse of Lemma 3.3 also holds. To prove this, we need some preliminaries which will also be used in the case  $p = 2$ .

**DEFINITION 3.4.** Let  $G$  be a group.

(a) We denote by  $L_5(G)$  the set of all subgroups  $H$  of  $G$  for which there exists a  $K_5$ -set  $\Gamma$  in  $L(G)^*$  such that  $H \in \Gamma$ .

(b) For every subset  $\mathcal{L}$  of  $L(G)$  containing  $L_5(G)$  we define the graph  $\hat{\mathcal{L}}$  in the following way: the set of vertices of  $\hat{\mathcal{L}}$  is  $\mathcal{L}$  and a two element subset  $\{H, K\}$  of  $\mathcal{L}$  is an edge if and only if there exists a path  $(X_0, \dots, X_r)$  in  $L(G)^*$  such that  $X_0 = H$ ,  $X_r = K$  and  $X_i \in L(G) \setminus \mathcal{L}$  for all  $i = 1, \dots, r-1$ .

Then we have the following trivial result.

LEMMA 3.5. *Let  $L_5(G) \subseteq \mathcal{L} \subseteq L(G)$ . If  $\Gamma$  is a  $K_5$ -set in  $L(G)^*$ , then it is also a  $K_5$ -set in  $\hat{\mathcal{L}}$ .*

PROOF. Let  $\Gamma = \{H_1, \dots, H_5\}$ . Since  $L_5(G) \subseteq \mathcal{L}$ , clearly  $\Gamma \subseteq \mathcal{L}$ . Furthermore there exist internally disjoint paths  $\gamma_{ij}$  from  $H_i$  to  $H_j$  in  $L(G)^*$  ( $1 \leq i < j \leq 5$ ). If we remove in these paths all the elements  $X \in L(G)^* \setminus \mathcal{L}$ , we get internally disjoint paths  $\hat{\gamma}_{ij}$  from  $H_i$  to  $H_j$  in the graph  $\hat{\mathcal{L}}$ . Thus  $\Gamma$  is a  $K_5$ -set in  $\hat{\mathcal{L}}$ .  $\square$

LEMMA 3.6. *Let  $p \in \mathbb{P}$ ,  $2 \leq m \in \mathbb{N}$  and  $G = \langle a \rangle \times \langle b \rangle$  where  $o(a) = p^m$  and  $o(b) = p^2$ . Then  $L(G)^*$  is  $K_5$ -free.*

PROOF. Let  $A := \langle a \rangle, B := \langle b \rangle$  and  $\mathcal{L} := \{A_i \times B_j \mid 0 \leq i \leq m, 0 \leq j \leq 2\}$  where  $A_i := \langle a^{p^{m-i}} \rangle$  is the subgroup of order  $p^i$  of  $A$  and  $B_j := \langle b^{p^{2-j}} \rangle$  is the subgroup of order  $p^j$  of  $B$ . We want to apply Lemma 3.5 with this lattice  $\mathcal{L}$  and therefore have to show that  $L_5(G) \subseteq \mathcal{L}$ .

For this let  $H \in L_5(G)$  and suppose first that  $G/H$  is cyclic. Since every element of  $L_5(G)$  clearly has degree at least 4 in the graph  $L(G)^*$ , it follows that  $H$  is not cyclic. Suppose, for a contradiction, that  $H$  has type  $(p^k, p)$  for some  $k \geq 1$ . If  $k = 1$ , then  $H = \Omega(G)$  and  $G/H$  would not be cyclic. Thus  $k \geq 2$  and  $H$  has exactly one noncyclic and  $p$  cyclic maximal subgroups. There are 4 internally disjoint paths from  $H$  to the other  $K_5$ -points of a  $K_5$ -set in  $L(G)^*$ . Since  $G/H$  is cyclic, at least two of these paths have to use cyclic maximal subgroups of  $H$ , at most one of these can go on to  $\Phi(H)$ . So at least one path has to stop at a maximal cyclic subgroup  $X$  of  $H$  or to go on to a subgroup  $Y \neq H$  covering  $X$ . In the first case,  $X \in L_5(G)$  and again there exists  $Y \neq H$  covering  $X$ . Clearly,  $Y$  is cyclic; otherwise  $\Omega(G) \leq Y \cap H = X$ , a contradiction. Hence  $X = \Phi(Y) \leq \Phi(G)$  (see [3, p. 269]) and so  $H = X\Omega(G) \leq \Phi(G)$ ; but this contradicts the fact that  $G/H$  is cyclic. Thus  $H$  cannot have type  $(p^k, p)$  and since  $G$  is abelian of type  $(p^m, p^2)$ , the type of  $H$  must be  $(p^k, p^2)$  for some  $k \geq 2$ . Therefore  $H$  contains the unique subgroup  $\Omega_2(G)$  of type  $(p^2, p^2)$  of  $G$ . We have shown:

- (1) If  $H \in L_5(G)$  and  $G/H$  is cyclic, then  $\Omega_2(G) \leq H$ .

It follows that  $H = A_i \times B$  for some  $i \geq 2$  and so  $H \in \mathcal{L}$ . Since  $L(G)$  is self-dual [5, p. 454], (1) also implies that a cyclic subgroup  $K$  in  $L_5(G)$  is contained in  $\mathcal{O}_2(G)$  and hence  $K = A_i \in \mathcal{L}$  (where  $i \leq m - 2$ ). Finally, if neither  $H$  nor  $G/H$  is cyclic, then  $\Omega(G) \leq H \leq \Phi(G)$  and hence  $H = A_i \times B_1 \in \mathcal{L}$  (where  $1 \leq i \leq m - 1$ ). So we have shown that

- (2)  $L_5(G) \subseteq \mathcal{L}$ .

We now determine  $\hat{\mathcal{L}}$ . By Definition 3.4, every edge in  $L(G)^*$  between two elements of  $\mathcal{L}$  is also an edge in  $\hat{\mathcal{L}}$ . Furthermore, every elementary abelian section  $A_{i+1} \times B_{j+1}/A_i \times B_j$  ( $0 \leq i < m$ ,  $0 \leq j < 2$ ) yields an edge between  $A_i \times B_j$  and  $A_{i+1} \times B_{j+1}$ . Finally, there is an edge between  $A_i$  and  $A_{i+2} \times B$  ( $0 \leq i \leq m-2$ ) since there is a diagonal path in the factor group  $A_{i+2} \times B/A_i \simeq C_{p^2} \times C_{p^2}$  given by

$$(\langle a^{p^{m-i}} \rangle, \langle a^{p^{m-i-1}} b^p \rangle, \langle a^{p^{m-i-2}} b \rangle, \langle a^{p^{m-i-2}} b, b^p \rangle, \langle a^{p^{m-i-2}} \rangle \times \langle b \rangle).$$

We claim that there are no more edges in  $\hat{\mathcal{L}}$ :

(3) The edges  $\{A_i \times B_j, A_{i+1} \times B_j\}$  ( $i < m$ ),  $\{A_i \times B_j, A_i \times B_{j+1}\}$  ( $j < 2$ ),  $\{A_i \times B_j, A_{i+1} \times B_{j+1}\}$  ( $i < m$ ,  $j < 2$ ), and  $\{A_i, A_{i+2} \times B\}$  ( $i \leq m-2$ ) are all the edges of the graph  $\hat{\mathcal{L}}$ .

For this, let  $H, K$  be in  $\mathcal{L}$  with  $H \neq K$  and let  $(H, X_1, \dots, X_r, K)$  be a path in  $L(G)^*$  such that  $X_i \in L(G) \setminus \mathcal{L}$  for all  $i$  (so that  $\{H, K\}$  is an edge in  $\hat{\mathcal{L}}$ ). Clearly, we may assume that  $r \geq 1$  and we suppose first that  $H = A_k$  for some  $k$ . Then  $k \leq m-1$ ; and if  $k = m-1$ , it follows that  $r = 1$  and  $K = A_m \times B_1$ , so that  $\{H, K\}$  is one of the edges in (3). Therefore let  $k \leq m-2$ . Then we prove by induction on  $i$  that for  $i = 1, \dots, r$ ,

- ( $\alpha$ )  $H < X_i < A_{k+2} \times B =: L$ ,
- ( $\beta$ )  $A \cap X_i = H = HB \cap X_i$  if  $X_i$  is cyclic, and
- ( $\gamma$ )  $X_i < L$  if  $X_i$  is not cyclic.

This is clear for  $i = 1$ . So suppose it holds for some  $i < r$  and consider first the case that  $X_i$  is cyclic. If  $X_{i+1} < X_i$ , then by ( $\alpha$ ),  $H \leq X_{i+1}$  and since  $X_{i+1} \notin \mathcal{L}$ , we have that  $H < X_{i+1}$ . Since  $L(X_i)$  is a chain, ( $\beta$ ) holds for  $X_{i+1}$ . If  $X_i < X_{i+1}$  and  $X_{i+1}$  is cyclic, then again  $X_{i+1}$  satisfies ( $\beta$ ) since  $X_i$  does; furthermore,  $X_{i+1} \leq A_{k+2} \times B$  since  $o(b) = p^2$ . Finally, if  $X_i < X_{i+1}$  and  $X_{i+1}$  is not cyclic, then  $X_{i+1} = X_i \Omega(G) \leq L$ . Furthermore, since  $X_{i+1} \neq A_{k+1} \times B_1$ , we have  $|X_i : H| = p^2$  and so  $X_{i+1} < L$ . Now suppose that  $X_i$  is not cyclic. Then  $\Omega(G) \leq X_i$  and since  $X_i \notin \mathcal{L}$ , we have  $\Omega_2(G) \not\leq X_i$  and  $X_i \not\leq \Phi(G)$ ; in particular,  $G/X_i$  is cyclic. So if  $X_i < X_{i+1}$ , it follows that  $X_{i+1} \leq X_i \Omega_2(G) \leq L$ . By ( $\gamma$ ),  $X_i < L$  and so  $X_{i+1} = L$ , a contradiction. Thus  $X_{i+1} < X_i < L$ . Since  $A_{k+2} \times B_1 \neq X_i \neq A_{k+1} \times B$ , every cyclic maximal subgroup of  $X_i$  satisfies ( $\beta$ ) and the only noncyclic maximal subgroup of  $X_i$  is  $\Phi(L) = A_{k+1} \times B_1 \in \mathcal{L}$ . So we have shown that ( $\alpha$ )–( $\gamma$ ) hold. If  $X_r$  is cyclic and  $|X_r : H| = p^2$ , then ( $\beta$ ) shows that neither  $\Phi(X_r)$  nor  $X_r \Omega(G)$  belong to  $\mathcal{L}$ . Thus  $|X_r : H| = p$  and  $K = X_r \Omega(G) = A_{k+1} \times B_1$ . If  $X_r$  is not cyclic, then by ( $\gamma$ ),  $X_r$  is one of the  $p-1$  maximal subgroups



different from  $A_{k+2} \times B_1$  and  $A_{k+1} \times B$  of  $L$ . Then  $G/X_r$  is cyclic and it follows that  $K = L$  or  $K = \Phi(L) = A_{k+1} \times B_1$ . In all cases,  $\{H, K\}$  is one of the edges in (3).

Since  $L(G)$  is self-dual, the above argument also covers the case that  $H = A_k \times B$  for some  $k$ . It remains to consider the case that  $H = A_k \times B_1$  for some  $k$ . Here, if  $k = 0$  or  $k = m$ , then  $r = 1$  and  $K = A_1 \times B$  or  $K = A_{m-1}$ , respectively. So suppose that  $1 \leq k \leq m - 1$ . Again using the duality of  $L(G)$ , we may assume that  $X_1$  is a cyclic maximal subgroup of  $A_k \times B_1$ . Then  $\Phi(X_1) = A_{k-1}$  and so either  $K = A_{k-1}$  or  $(A_{k-1}, X_1, \dots, X_r, K)$  is one of the paths considered above. In the latter case,  $K$  is one of the groups  $A_k$ ,  $A_{k-1} \times B_1$ ,  $A_{k+1} \times B$ , as we have shown; so  $\{H, K\}$  is one of the edges in (3).

We finally show that the graph

(4)  $\hat{\mathcal{L}}$  is planar.

For this we just map the element  $A_i \times B_j$  of  $\mathcal{L}$  to the point  $(j, i)$  in  $\mathbb{R}^2$ . Then we connect pairs of points of the form  $\{(r, s), (r, s + 1)\}$ ,  $\{(r, s), (r + 1, s)\}$  and  $\{(r, s), (r + 1, s + 1)\}$  in the obvious way by straight line segments. Finally we have to connect the points  $(0, s)$  with  $(2, s + 2)$  and we can do this using the straight line segment from  $(0, s)$  to  $(-2s - 2, -s - 2)$  together with the lower half of the circle with center  $(-s, 0)$  and radius  $(s + 2)\sqrt{2}$ . Since these circles do not intersect, this is a planar representation of the graph  $\hat{\mathcal{L}}$ ; a similar one is shown in Figure 2 for  $m = 4$ .

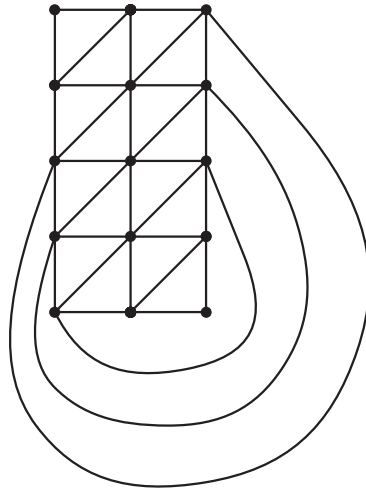


Figure 2

It is obvious that Lemma 3.6 follows from (4) and Lemma 3.5. For, if  $L(G)^*$  would contain a subdivision of  $K_5$ , then so would  $\hat{\mathcal{L}}$ ; but a planar graph contains no subdivision of  $K_5$ .  $\square$

Now we prove Theorem A; we also determine the occurring groups.

**THEOREM 3.7.** *Let  $|G| = p^n$  where  $2 < p \in \mathbb{P}$  and  $5 \leq n \in \mathbb{N}$ . Then the following properties are equivalent.*

- (a)  $L(G)^*$  is  $K_5$ -free.
- (b)  $G$  is metacyclic and  $\exp G \geq p^{n-2}$ .
- (c)  $G$  is either abelian of type  $(p^n)$ ,  $(p^{n-1}, p)$ , or  $(p^{n-2}, p^2)$ , or  $G$  is one of the following nonabelian groups:

- (c4)  $G = \langle a, b \mid a^{p^{n-1}} = b^p = 1, a^b = a^{1+p^{n-2}} \rangle$ ,
- (c5)  $G = \langle a, b \mid a^{p^{n-2}} = b^{p^2} = 1, a^b = a^{1+p^{n-3}} \rangle$ ,
- (c6)  $G = \langle a, b \mid a^{p^{n-2}} = b^{p^2} = 1, a^b = a^{1+p^{n-4}} \rangle$ ,
- (c7)  $G = \langle a, b \mid a^{p^2} = b^{p^{n-2}} = 1, a^b = a^{1+p} \rangle$ ,
- (c8)  $G = \langle a, b \mid a^{p^3} = 1, b^{p^{n-3}} = a^{p^2}, a^b = a^{1+p} \rangle$ .

**PROOF.** By Lemma 3.3, (a) implies (b), and that (c) implies (b) is obvious. So suppose that (b) holds. Then again by Iwasawa's and Baer's theorems [5, 2.3.1 and 2.5.9], since  $p > 2$ ,  $L(G)$  is modular and therefore is isomorphic to the subgroup lattice of an abelian  $p$ -group which clearly also has exponent at least  $p^{n-2}$ . Thus (a) follows from Lemma 3.6 and [7, Theorem A]. Furthermore,  $G$  is one of the groups in (c) if  $\exp G \geq p^{n-1}$ . If  $\exp G = p^{n-2}$  and  $G$  has a cyclic normal subgroup  $\langle a \rangle$  of order  $p^{n-2}$ , then by [5, 2.3.11],  $\langle a \rangle$  has a complement  $\langle b \rangle$  in  $G$  and  $G$  is abelian or the group in (c5) or (c6). Finally, suppose that  $\exp G = p^{n-2}$  and  $G$  has no cyclic normal subgroup of order  $p^{n-2}$ . By [5, 2.3.18] there are an abelian normal subgroup  $A$ , an element  $b$  of order  $p^{n-2}$  in  $G$  and  $s \in \mathbb{N}$  such that  $G = A\langle b \rangle$  and  $a^b = a^{1+p^s}$  for all  $a \in A$ . Then  $|A : A \cap \langle b \rangle| = |G : \langle b \rangle| = p^2$  and since  $G' \not\leq \langle b \rangle$ , it follows that  $s = 1$  and  $A/A \cap \langle b \rangle$  is cyclic. So  $x = x^b = x^{1+p}$  for  $\langle x \rangle = A \cap \langle b \rangle$  and hence  $|A \cap \langle b \rangle| \leq p$ . It follows easily that  $G$  is the group in (c7) or (c8).  $\square$

For  $p > 2$ , Theorem 3.7 gives the groups of order  $p^n$  with  $K_5$ -free Hasse graphs if  $n \geq 5$ . Of course, it is not difficult to determine also the groups of order  $p^4$  with this property. We give the result without proof.

**REMARK 3.8.** Let  $|G| = p^4$ ,  $p > 2$ . Then  $L(G)^*$  is  $K_5$ -free if and only if  $G$  is metacyclic or one of the following groups:

- (a)  $G = \langle x, y, z \mid x^p = y^p = z^{p^2} = 1 = [y, z], y^x = yz^p, z^x = zy \rangle$ ,  
 (b)  $G = \langle x, y, z \mid x^p = y^p = z^{p^2} = 1 = [y, z], y^x = yz^{sp}, z^x = zy \rangle$   
 where  $p \geq 5$  and  $s$  is a quadratic nonresidue modulo  $p$ ,  
 (c)  $G = \langle x, y, z \mid y^3 = z^9 = 1 = [y, z], x^3 = z^3, y^x = yz^{-3}, z^x = zy \rangle$ .

We turn to the case  $|G| = 2^n$ . Here, for simplicity, we shall prove our main result only for  $n \geq 6$ . But for this, we first have to study certain groups of order  $2^5$ .

LEMMA 3.9. *If  $G$  is a dihedral or semidihedral group of order  $2^5$  or if  $G = \langle a, b \mid a^8 = b^4 = 1, a^b = a^{-1} \rangle$ , then  $L(G)^*$  contains a subdivision of  $K_5$ .*

PROOF. Suppose first that  $G \simeq D_{32}$  or  $G \simeq S_{32}$ . Then in both cases,  $G$  has exactly three maximal subgroups  $A, D, M$  such that  $A = \langle a \rangle$  is cyclic,  $D \simeq D_{16}$  and  $M$  is quaternion or dihedral; furthermore  $Z(G) = \langle a^8 \rangle$  and  $G/Z(G) \simeq D_{16}$ . Let  $\Gamma := \{Z(G), \langle a^4 \rangle, U, V, D\}$  where  $U$  and  $V$  are the two dihedral subgroups of order 8 of  $D$ . Since  $U \cap V = \langle a^4 \rangle$ , we see that there are 5 edges in  $L(G)^*$  between members of  $\Gamma$ . Further obvious paths are  $(\langle a^4 \rangle, \langle a^2 \rangle, D)$  and  $(D, G, M, M_1, M_2, Z(G))$  with noncyclic  $M_1 \triangleleft M$  and  $\langle a^4 \rangle \neq M_2 \triangleleft M_1$ . Since  $U/Z(G)$  and  $V/Z(G)$  are elementary abelian of order 4, there are trivial paths  $(Z(G), U_1, U)$  and  $(Z(G), V_1, V)$  with  $U_1 \neq \langle a^4 \rangle \neq V_1$  and there are further noncyclic maximal subgroups  $U_2$  of  $U$  and  $V_2$  of  $V$ . So we finally get a path  $(U, U_2, X, 1, Y, V_2, V)$  with  $|X| = |Y| = 2$  and  $X \neq Z(G) \neq Y$ . All these paths are internally disjoint and so  $\Gamma$  is a  $K_5$ -set in  $L(G)^*$ .

Now let  $G = \langle a, b \mid a^8 = b^4 = 1, a^b = a^{-1} \rangle$ . Then  $Z(G) = \langle a^4, b^2 \rangle$  and  $G/\langle b^2 \rangle \simeq D_{16}$ . Furthermore  $G$  has three maximal subgroups  $M = \langle a \rangle \times \langle b^2 \rangle$  and  $M_1, M_2$  for which  $M_i/\langle b^2 \rangle \simeq D_8$  ( $i = 1, 2$ ). Clearly  $\Phi(G) = M_1 \cap M_2 = \langle a^2, b^2 \rangle$  and we let  $\Gamma := \{M_1, M_2, \Phi(G), Z(G), \langle b^2 \rangle\}$ . This time there are 4 edges between members of  $\Gamma$ , two trivial paths from  $M_i$  to  $Z(G)$  and a third noncyclic maximal subgroup  $H_i$  in  $M_i$  which yields a path from  $M_i$  to  $\langle b^2 \rangle$  for  $i = 1, 2$ . The final two paths are  $(M_1, G, M_2)$  and  $(\langle b^2 \rangle, 1, \langle a^4 \rangle, \langle a^2 \rangle, \Phi(G))$ . So  $\Gamma$  is a  $K_5$ -set in  $L(G)^*$ .  $\square$

LEMMA 3.10. *Let  $6 \leq n \in \mathbb{N}$  and suppose that  $G$  is a nonabelian group of order  $2^n$  such that  $L(G)^*$  is  $K_5$ -free. Then  $G$  is one of the following groups.*

- (a)  $G = \langle a, b \mid a^{2^{n-1}} = b^2 = 1, a^b = a^{1+2^{n-2}} \rangle$   
 (b)  $G = \langle a, b \mid a^{2^{n-2}} = b^4 = 1, a^b = a^{1+2^{n-3}} \rangle$   
 (c)  $G = \langle a, b \mid a^{2^{n-2}} = b^4 = 1, a^b = a^{1+2^{n-4}} \rangle$   
 (d)  $G = \langle a, b \mid a^4 = b^{2^{n-2}} = 1, a^b = a^{-1} \rangle$   
 (e)  $G = \langle a, b \mid a^8 = 1, b^{2^{n-3}} = a^4, a^b = a^{-1} \rangle$

PROOF. By Lemma 3.3,  $G$  is metacyclic and has exponent at least  $2^{n-2}$ . If  $\exp G = 2^{n-1}$ , then either  $G$  is the group with modular subgroup lattice in (a) or  $G$  is dihedral, quaternion, or semidihedral [3, p. 90]. In the latter three cases,  $G/Z(G) \simeq D_{2^{n-1}}$  which is impossible by Lemma 3.9 since  $n \geq 6$ . Thus  $G$  satisfies (a).

So let  $\exp G = 2^{n-2}$ . Since  $G$  is metacyclic, there exists  $N \trianglelefteq G$  such that  $N = \langle a \rangle$  is cyclic and  $G = N\langle x \rangle$  for some  $x \in G$ . Consider first the case that no such  $N$  has order  $2^{n-2}$  and let  $H = N\langle x^2 \rangle$ . Since  $a^{x^2} = a^r$  with  $r \equiv 1 \pmod{4}$ ,  $L(H)$  is modular [5, 2.3.4] and since  $H$  is generated by two elements of order at most  $2^{n-3}$ , it follows from [5, 2.3.5] that  $\exp H \leq 2^{n-3}$ . Hence there exists  $b \in G \setminus H$  such that  $o(b) = 2^{n-2}$ . Then  $G = N\langle b \rangle$  and  $|N : N \cap \langle b \rangle| = |G : \langle b \rangle| = 4$ . Clearly,  $a^b = a^t$  for some  $t \in \mathbb{N}$  and if  $t \equiv 1 \pmod{4}$ , then  $[a, b] \in \langle b \rangle$  and  $\langle b \rangle \trianglelefteq G$  which would contradict our assumption in this case. So  $t \not\equiv 1 \pmod{4}$  and it follows that  $|N \cap \langle b \rangle| \leq 2$ . Thus either  $|N| = 4$  and  $G$  is the group in (d) or  $|N| = 8$ ,  $b^{2^{n-3}} = a^4$  and  $a^b = a^{-1}$  or  $a^b = a^3$ . In the latter case,  $(ab^{2^{n-4}})^b = a^3 b^{2^{n-4}} = (ab^{2^{n-4}})^{-1}$  and we may replace  $a$  by  $ab^{2^{n-4}}$  to obtain the group in (e).

It is left to consider the case that  $G = N\langle x \rangle$  where  $N = \langle a \rangle \trianglelefteq G$  and  $o(a) = 2^{n-2}$ . Again  $a^x = a^t$  for some  $t \in \mathbb{N}$  and if  $t \equiv 1 \pmod{4}$ , then by [5, 2.3.4],  $L(G)$  is modular. Since  $\exp G \geq 2^4$ ,  $G$  is not hamiltonian; then [5, 2.3.11] implies that  $N$  has a complement  $\langle b \rangle$  in  $G$ . Thus  $G$  is one of the groups in (b) or (c).

So let  $t \not\equiv 1 \pmod{4}$ . Then again  $|N \cap \langle x \rangle| \leq 2$  and we show that this case cannot occur. If  $x$  induces an automorphism of order 2 in  $N$ , then  $a^x = a^{-1}$  or  $a^x = a^{-1+2^{n-3}}$  and so  $G/\langle x^2 \rangle$  is a dihedral or semidihedral group of order  $2^{n-1}$  or  $2^{n-2}$ . This contradicts Lemma 3.9 except when  $n = 6$  and  $N \cap \langle x \rangle \neq 1$ . But in this case,  $G/\langle a^8 \rangle$  is the third group of order  $2^5$  in Lemma 3.9, again a contradiction. Thus  $x$  induces an automorphism of order 4 in  $N$ . Since  $\text{Aut } N$  has only two cyclic subgroups of order 4 [3, p. 84] and  $t \not\equiv 1 \pmod{4}$ , it follows that  $a^b = a^{-1+2^{n-4}}$  for  $b = x$  or  $b = x^{-1}$ . Then  $(ab)^4 = a^{2^{n-3}}b^4$ ; so if  $N \cap \langle b \rangle \neq 1$ , we have  $(ab)^4 = 1$  and can replace  $b$  by  $ab$ . Thus we may assume that  $N \cap \langle b \rangle = 1$ . Then  $G/\langle a^{2^{n-3}}, b^2 \rangle$  is semidihedral of order  $2^{n-2}$  and Lemma 3.9 implies that  $n = 6$ . So  $G = \langle a, b \mid a^{16} = b^4 = 1, a^b = a^3 \rangle$  and  $G$  has the following maximal subgroups:  $H := \langle a, b^2 \rangle$  has modular subgroup lattice,  $S := \langle a^2, b \rangle$  and  $T := \langle a^2, ab \rangle$ . Put  $W := \Omega_2(H) = \langle a^4, b^2 \rangle$  and  $Z := Z(G) = \langle a^8 \rangle$  and let  $\Gamma := \{Z, W, \Phi(G), S, T\}$ . There are 3 edges (connecting  $\Phi(G)$  to  $W, S, T$ ) and 4 obvious paths  $(S, G, T), (Z, Z\langle b^2 \rangle, W), (Z, \langle a^4 \rangle, \langle a^2 \rangle, \Phi(G))$  and  $(Z, 1, \langle b^2 \rangle, \langle b \rangle, \langle a^8, b \rangle, \langle a^4, b \rangle, S)$ . Since  $G/W \simeq D_8$ , there are trivial paths  $(S, S_1, W)$  and  $(T, T_1, W)$  with  $T_1 \neq \Phi(G) \neq S_1 \neq \langle a^4, b \rangle$ . Finally,  $(ab)^2 =$

$= a^{12}b^2$  and so if  $X = \langle (ab)^2 \rangle$ , then  $T/X \simeq D_8$  and  $Z < X$ . Thus there exists a noncyclic subgroup  $T_2/X$  of order 4 with  $T_2 \neq T_1$  and a path  $(T, T_2, T_3, X, Z)$  so that all the paths considered are internally disjoint. So  $L(G)^*$  contains a subdivision of  $K_5$ , a contradiction.  $\square$

PROOF OF THEOREM B. Let  $|G| = 2^n$  where  $6 \leq n \in \mathbb{N}$ . Again by [5, 2.3.1 and 2.5.9], the groups in (a) – (c) of Lemma 3.10 have modular subgroup lattice and are therefore lattice-isomorphic to the abelian groups mentioned in Theorem B. So if  $L(G)^*$  is  $K_5$ -free, then by Lemmas 3.3 and 3.10,  $G$  is one of the groups given in this theorem. Conversely, if  $G$  is lattice-isomorphic to an abelian group of type  $(2^n)$ ,  $(2^{n-1}, 2)$ , or  $(2^{n-2}, 4)$ , then by Lemma 3.6 and [7, Theorem A],  $G$  is  $K_5$ -free.

So, finally, let  $G$  be one of the other two groups given in Theorem B. Then in both cases,  $b^2 \in Z(G)$ ; let  $\langle b^2 \rangle =: Z$  and  $H := \langle a, b^2 \rangle$ . Then  $H$  is abelian of exponent  $2^{n-3}$  and hence there exists a complement  $\langle c \rangle$  to  $Z$  in  $H$ ; so  $H = Z \times \langle c \rangle$  with  $o(c) = 4$ .

Clearly,  $G/Z \simeq D_8$ . So every  $x \in G \setminus H$  satisfies  $x^2 \in Z$  and  $\langle a \rangle \langle x \rangle = G$  since  $H$  is the unique maximal subgroup of  $G$  containing  $\langle a \rangle$ . Then  $H = \langle a \rangle (H \cap \langle x \rangle) = \langle a \rangle \langle x^2 \rangle$  and since  $x^2 \in Z$ , it follows that  $\langle x^2 \rangle = Z$ . Since every subgroup of  $G$  not contained in  $H$  contains an element  $x \in G \setminus H$ , it follows that  $L(G)$  consists of the subgroups of  $H$ , four cyclic subgroups  $X_1, \dots, X_4$  containing  $Z$  as a maximal subgroup, two maximal subgroups  $M_1$  and  $M_2$  different from  $H$ , and  $G$ .

Now it is easy to see that  $L_5(G) \subseteq L(H)$ . For, these cyclic subgroups  $X_i$  have degree 2 in  $L(G)^*$ ,  $G$  has degree 3, and both  $M_i$  have degree 4; however, also  $M_i$  cannot be a member of a  $K_5$ -set since two of the four paths from  $M_i$  to the other members would have to start with a cyclic maximal subgroup of  $M_i$  and then use  $\Phi(M_i)$ , which is impossible.

Now suppose, for a contradiction, that  $\Gamma$  is a  $K_5$ -set in  $L(G)^*$ . Then by Lemma 3.5, it is also a  $K_5$ -set in  $\hat{\mathcal{L}}$  where  $\mathcal{L} = L(H)$ . Clearly,  $\hat{\mathcal{L}}$  contains  $L(H)^*$  and a further edge can only connect two of the three groups  $Z, Z \times \langle c^2 \rangle, H$  since no other subgroup of  $H$  is covered by an element of  $L(G) \setminus L(H)$ . Since there are already edges from  $Z \times \langle c^2 \rangle$  to  $Z$  and to  $H$  in  $L(H)^*$ , the only additional edge is  $\{Z, H\}$ . Let  $\bar{Z}$  be a cyclic 2-group containing  $Z$  as a maximal subgroup and consider  $H = Z \times \langle c \rangle$  as a subgroup of index 2 in  $\bar{G} := \bar{Z} \times \langle c \rangle$ . In the subdivision of  $K_5$  given by  $\Gamma$  in  $\hat{\mathcal{L}}$ , we replace the edge  $\{Z, H\}$  – if it appears in one of the paths – by the path  $(Z, \bar{Z}, \bar{Z} \times \langle c^2 \rangle, \bar{G}, H)$ . Then we get a subdivision of  $K_5$  in  $L(\bar{G})^*$ ; but this contradicts Lemma 3.6.  $\square$

As in the case  $p > 2$  it is not difficult to determine the 2-groups of order less than  $2^6$  with  $K_5$ -free Hasse graph. Again we give the result without proof.

REMARK 3.11. Let  $|G| = 2^n$ ,  $n \leq 5$ . Then  $L(G)^*$  is  $K_5$ -free if and only if one of the following holds:

- (a)  $n \leq 4$  and  $G$  is metacyclic,
- (b)  $G$  is one of the groups in Theorem B for  $n = 5$ ,
- (c)  $G \simeq Q_{32}$ .

#### 4. Proof of Theorem C.

It remains to determine the nonprimary finite groups with  $K_5$ -free Hasse graphs. For this, we first look at direct products of lattices.

LEMMA 4.1. Let  $L = L_1 \times L_2$  with finite lattices  $L_i$ . Then  $L^*$  contains a subdivision of  $K_5$  if one of the following holds.

- (a)  $L_1$  contains  $L(C_2 \times C_2)$  as a sublattice and  $|L_2| \geq 3$ .
- (b)  $L_1$  contains  $L(C_4 \times C_2)$  as a sublattice and  $|L_2| \geq 2$ .

PROOF. (a) We may assume that  $L_1 = L(H) = \{1, M_1, M_2, M_3, H\}$  where  $|H| = 4$  and  $|M_i| = 2$  for  $i = 1, 2, 3$  and that  $L_2$  is a chain  $A < B < C$  of length 2. Then

$$\Gamma := \{H \times A, 1 \times B, M_1 \times B, M_2 \times B, H \times B\}$$

is a  $K_5$ -set in  $L^*$  since there are 5 trivial paths between members of  $\Gamma$  in the interval  $[H \times B/1 \times B]$ , furthermore 4 obvious paths from  $H \times A$  to  $K \times B$  via  $K \times A$ , and finally the path  $(M_1 \times B, M_1 \times C, H \times C, M_2 \times C, M_2 \times B)$ .

(b) Here we may assume that  $L_1 = L(H)$  where  $H = \langle a \rangle \times \langle b \rangle$  with  $o(a) = 4$ ,  $o(b) = 2$  and that  $L_2 = \{0, I\}$  is a chain of length 1. Then

$$\Gamma := \{1 \times 0, \langle a^2 \rangle \times 0, \langle a^2, b \rangle \times 0, \langle a^2 \rangle \times I, \langle a^2, b \rangle \times I\}$$

is a  $K_5$ -set in  $L^*$  since there are 5 edges between members of  $\Gamma$  and we have the further paths  $(1 \times 0, \langle a^2 b \rangle \times 0, \langle a^2, b \rangle \times 0)$ ,  $(1 \times 0, 1 \times I, \langle a^2 \rangle \times I)$ ,  $(1 \times 0, \langle b \rangle \times 0, \langle b \rangle \times I, \langle a^2, b \rangle \times I)$ ,  $(\langle a^2 \rangle \times 0, \langle ab \rangle \times 0, \langle ab \rangle \times I, H \times I, \langle a^2, b \rangle \times I)$ , and  $(\langle a^2, b \rangle \times 0, H \times 0, \langle a \rangle \times 0, \langle a \rangle \times I, \langle a^2 \rangle \times I)$ .  $\square$

There is a similar result for the Hasse graph of a semidirect product of two groups. This graph contains a subdivision of  $K_5$  if a certain smaller

configuration occurs in the Hasse graph of the complement. We first give this configuration a name.

DEFINITION 4.2. A  $(3,6)$ -gon in a graph is a set of 3 distinct points  $R, S, T$  together with an internally disjoint set of 6 paths between them, one between  $R$  and  $S$ , two between  $S$  and  $T$ , and three between  $R$  and  $T$ .

Since at most one path between two points can be an edge, there are intermediate points in one of the paths between  $S$  and  $T$  and in two of the paths between  $R$  and  $T$  (see Figure 3).

LEMMA 4.3. *Let  $G = NK$  where  $1 \neq N \trianglelefteq G$  and  $N \cap K = 1$ . If  $L(K)^*$  contains a  $(3,6)$ -gon, then  $L(G)^*$  contains a subdivision of  $K_5$ .*

PROOF. Let  $R, S, T$  be the points of a  $(3,6)$ -gon in  $L(K)^*$ , let  $\varepsilon, \delta_1, \delta_2, \rho_1, \rho_2, \rho_3$  be the appropriate paths from  $R$  to  $S$ ,  $S$  to  $T$ , and  $R$  to  $T$  with interior points  $U, V, W$  of  $\delta_2, \rho_2, \rho_3$ , respectively. For every path  $\gamma = (X_0, \dots, X_r)$ , we let  $\gamma^{-1} := (X_r, \dots, X_0)$  be the path with the same end-points in opposite direction. The isomorphism theorem for groups implies that if all the  $X_i$  are subgroups of  $K$ , then  $\hat{\gamma} := (NX_0, \dots, NX_r)$  is a path in  $L(G)^*$  and that the set  $\{\hat{\varepsilon}, \hat{\delta}_1, \hat{\delta}_2, \hat{\rho}_1, \hat{\rho}_2, \hat{\rho}_3\}$  is internally disjoint. Finally, for every subgroup  $X$  of  $K$ , we let  $\gamma(X) = (X_0, X_1, \dots, X_r)$  be a fixed path in  $L(G)^*$  from  $X$  to  $NX$  such that  $X_i \leq X_{i+1}$  for all  $i$ . By Dedekind's law,  $X_i = (N \cap X_i)X$  and hence  $X_i \cap K = X$  for all  $i$ . This shows that for  $X \neq Y \leq K$ , the paths  $\gamma(X)$  and  $\gamma(Y)$  are disjoint.

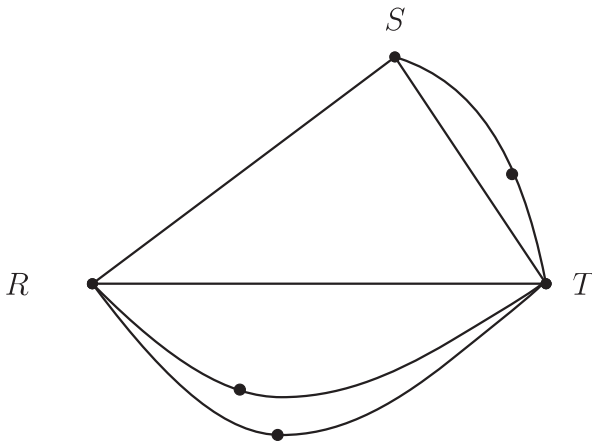


Figure 3

We now let  $\Gamma := \{R, S, T, NR, NT\}$ . There are obvious paths  $\varepsilon, \delta_1, \rho_1$  between  $R, S, T$ ,  $\gamma(R)$  between  $R$  and  $NR$ ,  $\gamma(T)$  between  $T$  and  $NT$ , and  $\hat{\rho}_1$  between  $NR$  and  $NT$ . Furthermore,  $\gamma(S)$  and  $\hat{\varepsilon}^{-1}$  yield a path from  $S$  to  $NR$  (via  $NS$ ), and we get a path from  $S$  to  $NT$  using  $\delta_2$  from  $S$  to  $U$ , then  $\gamma(U)$ , and finally  $\hat{\delta}_2$  from  $NU$  to  $NT$ . Similarly we get paths from  $R$  to  $NT$  via  $\rho_2, \gamma(V), \hat{\rho}_2$  and from  $T$  to  $NR$  via  $\rho_3^{-1}, \gamma(W), \hat{\rho}_3^{-1}$ . Thus  $\Gamma$  is a  $K_5$ -set in  $L(G)^*$ .  $\square$

We shall need the following result on groups without (3,6)-gons.

**PROPOSITION 4.4.** *Let  $K$  be a group such that  $L(K)^*$  contains no (3,6)-gon. Then  $K$  has one of the following properties (where  $p$  and  $q$  are primes and  $2 \leq n \in \mathbb{N}$ ).*

- (a)  $K$  is cyclic.
- (b)  $K$  is abelian of type  $(2^n, 2)$  or lattice-isomorphic to this group.
- (c)  $K$  is elementary abelian of order  $p^2$  or nonabelian of order  $pq$ .
- (d)  $K$  is isomorphic to  $Q_8$ ,  $C_4 \times C_4$ , or  $C_p \times C_2 \times C_2$  where  $p > 2$ .

**PROOF.** Let  $K$  be a minimal counterexample and suppose first that  $K$  is a 2-group. The trivial subgroup  $T$  and the two noncyclic subgroups  $R, S$  of order 4 clearly yield a (3,6)-gon in  $L(D_8)^*$ . So  $D_8$  cannot be involved in  $K$  and [5, 2.3.3] shows that  $L(K)$  is modular. Since  $L(D_8)^*$  is a subgraph of  $L(C_2 \times C_2 \times C_2)^*$ ,  $K$  is generated by two elements and so, again by [5, 2.3.1 and 2.5.9], either  $K \simeq Q_8$  or  $L(K) \simeq L(H)$  where  $H$  is abelian of type  $(2^n)$  or  $(2^n, 2^m)$ . However, if  $C_8 \times C_4 \simeq H_0 \leq H$ , then  $R = \Omega_2(H_0), T = \Omega(H_0), S = \mathcal{O}_2(H_0)$  would yield a (3,6)-gon in  $L(H)^*$ , a contradiction. It follows that  $K \simeq H \simeq C_4 \times C_4$  or that  $m = 1$ . In every case,  $K$  is one of the groups in (a) – (d), a contradiction. So  $K$  is not a 2-group.

Now suppose, for a contradiction, that there are subgroups  $A \triangleleft P \leq K$  such that  $P/A$  is elementary abelian of order  $p^2, p > 2$ , or nonabelian of order  $pq, p > q, p$  and  $q$  primes. Suppose first that  $P = K$ . Then since  $K$  is a counterexample,  $A \neq 1$ . Let  $A < S < K$ . If  $S$  would have a maximal subgroup  $B \neq A$ , then  $R = K, T = A$  and  $S$  would yield a (3,6)-gon in  $L(K)^*$  with 5 paths inside  $[K/A]$  and the sixth connecting  $S$  to  $T$  via  $B$  and  $T \cap B$ . This contradiction shows that all subgroups strictly between  $A$  and  $K$  are cyclic of prime power order; since  $A \neq 1$ , it follows that  $K$  is a  $p$ -group all of whose maximal subgroups are cyclic. Hence  $K \simeq Q_8$  (see [3, p. 311]), a contradiction since  $p > 2$ .

Thus  $P < K$  and the minimality of  $K$  implies that  $A = 1$  and that  $P$  is a maximal subgroup of  $K$ . Let  $x \in K \setminus P$ . If  $P^x = P$  and  $x$  normalizes a



minimal subgroup  $M$  of  $P$ , then  $R = 1$ ,  $T = P$  together with  $S = P \cap \langle x \rangle$  if  $P \cap \langle x \rangle \neq 1$  and  $S = M$  if  $P \cap \langle x \rangle = 1$  yield a (3,6)-gon in  $L(K)^*$  with 5 paths inside  $[P/1]$ , the sixth path being  $(S, \langle x \rangle, K, T)$  or  $(S, S\langle x \rangle, K, T)$ , respectively. This is a contradiction; so if  $P^x = P$ , then  $x$  operates irreducibly on  $P$ . In particular,  $\langle x \rangle \cap P = 1$ ; now  $R = P$ ,  $T = 1$  and  $S = K$  yield a (3,6)-gon, again a contradiction. It follows that  $P^x \neq P$ . If  $P \cap P^x \neq 1$ , again  $R = 1$ ,  $T = P$  and  $S = P \cap P^x$  would yield a (3,6)-gon with sixth path  $(S, P^x, K, T)$ . So, finally,  $P \cap P^x = 1$  for all  $x \in K \setminus P$ ; but then again  $R = P$ ,  $T = 1$  and  $S = K$  yield a (3,6)-gon. This is the desired contradiction which shows that for  $p > 2$ , neither  $C_p \times C_p$  nor a nonabelian group of order  $pq$  ( $p > q \in \mathbb{P}$ ) are involved in  $K$ .

It follows that Sylow  $p$ -subgroups are cyclic; moreover, Burnside's theorem [3, p. 419] implies that  $K$  has a normal  $p$ -complement. The intersection of all these normal  $p$ -complements ( $2 < p \in \mathbb{P}$ ) is a normal Sylow 2-subgroup  $H$  of  $K$  with cyclic factor group. The minimality of  $K$  implies that  $H$  is one of the groups in (a) – (d) and  $K = HC$  with cyclic group  $C$  of odd order. If  $C$  would operate nontrivially on  $H$ , then it would also operate nontrivially on  $H/\Phi(H)$  (see [3, p. 275]). So  $|H/\Phi(H)| = 4$  and it would follow that the alternating group  $A_4$  would be involved in  $K$ . But  $L(A_4)^*$  has a (3,6)-gon given by  $R = A_4$ ,  $T = 1$  and the subgroup  $S$  of order 4. This contradiction shows that  $K = H \times C$ .

Since  $K$  is not a 2-group,  $C \neq 1$ ; since  $K$  is a counterexample,  $H$  is not cyclic. So  $|H : \Phi(H)| = 4$  and if  $\Phi(H) \neq 1$ , then  $R = H$ ,  $T = \Phi(H)$  and  $S = T \times C$  would yield a (3,6)-gon in  $L(K)^*$ , a contradiction. Thus  $|H| = 4$ . Since  $K$  is a counterexample,  $C$  contains a subgroup  $D \simeq C_p \times C_q$  or  $E \simeq C_{p^2}$ ,  $p$  and  $q$  primes. However, in  $L(H \times D)^*$  we get a (3,6)-gon  $R = H$ ,  $S = D$  and  $T = 1$  with obvious paths. In  $L(H \times E)^*$  we may take  $R = E$ ,  $S = \Omega(E)$  and  $T = H \times S$ ; here, if  $A, B, C$  are the three subgroups of order 2 of  $H$ , we have the paths  $(S, 1, A, H, T)$ ,  $(S, A \times S, T)$  and  $(R, A \times R, H \times R, T)$ ,  $(R, B \times R, B \times S, T)$ ,  $(R, C \times R, C \times S, T)$  to get a (3,6)-gon. This is a final contradiction.  $\square$

Since one of the three points of a (3,6)-gon has degree at least 5 in the graph, it is easy to see that the Hasse graphs of the groups in (b) – (d) of Proposition 4.4 contain no (3,6)-gon and that the cyclic groups with this property are those with planar subgroup lattice and those whose order is the product of 4 pairwise different primes. We shall not need this.

An immediate consequence of 4.1 – 4.4 is the following result on co-prime direct products which we shall use quite often. First of all, it com-

pletes the determination of nilpotent groups with  $K_5$ -free Hasse graphs, that is, it implies Theorem C.

**LEMMA 4.5.** *Let  $G = A \times B$  where  $A \neq 1 \neq B$  and  $(|A|, |B|) = 1$ . If  $L(G)^*$  is  $K_5$ -free, then either  $G$  is cyclic or  $G = P \times K$  where  $|P| = p$  and  $K$  is isomorphic to  $Q_8$  or elementary abelian of order  $q^2$  or nonabelian of order  $qr$  with pairwise different primes  $p, q, r$ .*

**PROOF.** Suppose that  $G$  is not cyclic. Then one of the two factors  $A$  and  $B$ , say  $B$ , is not cyclic. By [6, Theorem 1.1],  $L(B)$  contains  $L(C_2 \times C_2)$  as a sublattice. By [5, 1.6.4],  $L(G) \simeq L(A) \times L(B)$  and so Lemma 4.1, (a) shows that  $|L(A)| \leq 2$ . Thus  $|A| = p$  for some prime  $p$  and now Lemma 4.1, (b) yields that  $L(B)$  does not contain  $L(C_4 \times C_2)$  as a sublattice. By Lemma 4.3,  $L(B)^*$  contains no (3,6)-gon and so Proposition 4.4 implies that  $G$  is one of the groups in Lemma 4.5 or  $B \simeq C_q \times C_2 \times C_2$  with  $q \neq 2 \neq p$ . But in this case,  $G \simeq (C_p \times C_q) \times (C_2 \times C_2)$  which would contradict Lemma 4.1, (a).  $\square$

**PROOF OF THEOREM C.** If  $L(G)^*$  is  $K_5$ -free, then by Lemma 4.5,  $G$  is one of the groups given in the theorem. Conversely, if  $G = P \times Q$  with  $|P| = p$  is one of these groups, then  $L(G)^*$  contains only four points of degree at least 4, namely  $Z(Q)$ ,  $Q$ ,  $P \times Z(Q)$ ,  $G$  if  $Q \simeq Q_8$  and  $1$ ,  $Q$ ,  $P$ ,  $G$  if  $Q \simeq C_q \times C_q$ . Hence there is no  $K_5$ -set in  $L(G)^*$ .  $\square$

## 5. Proof of Theorem D.

**LEMMA 5.1.** *All the groups in (a) – (h) of Theorem D have  $K_5$ -free Hasse graphs.*

**PROOF.** In most cases this is rather obvious; in some cases it even follows already from the fact that there do not exist 5 subgroups of  $G$  with degree at least 4 in  $L(G)^*$ . This, for example, holds if  $G$  satisfies (a). For, then  $C_K(P)$  and the subgroups properly containing  $P \times C_K(P)$  have degree  $p + 1$  or  $p + 2$ , whereas all other subgroups have degree at most 3; since  $|K : C_K(P)| \leq q^3$ , there are at most 4 subgroups of degree at least 4. And if  $G$  satisfies (b), then  $G$  has precisely 4 subgroups of degree at least 4. If  $G$  satisfies (d), then in addition to  $C_K(P)$  and the subgroups properly containing  $P \times C_K(P)$ , also  $1$ ,  $P$ , and  $P \times C_K(P)$  have degree at least 4. But every path between one of the groups  $1$ ,  $P$ , or  $C_K(P)$  and one of the groups containing  $P \times C_K(P)$  has to use  $C_K(P)$  or  $P \times C_K(P)$ . Since we would need

at least 4 paths of this type for a  $K_5$ -set, it follows that  $L(G)^*$  is  $K_5$ -free. Similarly, if  $G$  satisfies (e), then the only subgroups of degree at least 4 are  $1, P, PQ, PR, G$  where  $K = QR$  with  $|Q| = q$  and  $|R| = r$ ; however, there is no path from  $P$  to  $G$  which does not use  $PQ, PR$ , or  $1$ . Finally, if  $G$  satisfies (f), then  $q \nmid p - 1$  since  $K$  is irreducible on  $P/\Phi(P)$ . Hence  $K$  centralizes  $\Phi(P)$  and it follows that  $1, \Phi(P), P, G$  are the only subgroups of degree at least 4 in  $L(G)^*$ .

Now suppose that  $G$  satisfies (c). Then subgroups of order  $q^n$  have degree 2 and subgroups of order  $pq^n$  of  $G$  have degree  $p + 2$  in  $L(G)^*$ ; however, all but 2 of the paths from such a subgroup to the other members of a  $K_5$ -set would have to start with a cyclic subgroup of order  $q^n$  and then use  $C_K(P)$ , which is impossible. So if we let  $H := P \times C_K(P)$ , it follows that  $L_5(G) \subseteq \subseteq L(H) \cup \{G\} =: \mathcal{L}$ . Since  $C_K(P) \leq \langle x \rangle$  for every  $x \in G \setminus H$ , the edges of  $\hat{\mathcal{L}}$  are the edges of  $L(H)^*$  together with  $\{H, C_K(P)\}, \{H, G\}, \{C_K(P), G\}$  and  $\{\Omega(P) \times C_K(P), G\}$ . Thus  $\hat{\mathcal{L}}$  is planar and by Lemma 3.5,  $L(G)^*$  is  $K_5$ -free.

Now let  $G$  be the group in (g) with  $|K| = 9$ . Then there are only 6 subgroups of degree at least 4, namely  $\Phi(P), P, C_K(P), \Phi(P) \times C_K(P), P \times C_K(P)$ , and  $G$ . So  $L_5(G) \subseteq \mathcal{L}$  where  $\mathcal{L}$  is the set of these 6 subgroups. It is easy to see that  $P, C_K(P)$  and  $G$  have degree 3 in  $\hat{\mathcal{L}}$ . By Lemma 3.5,  $L(G)^*$  is  $K_5$ -free. The other group in (g) is isomorphic to  $G/C_K(P)$ .

Finally, suppose that  $G$  satisfies (h). Then every maximal subgroup  $M$  of  $P$  has degree  $p + 2$ ; however, all but 2 of the paths from  $M$  to a member of a  $K_5$ -set would have to start with a cyclic maximal subgroup of  $M$  and then use  $\Phi(M)$ . This is impossible. Since  $K$  is also irreducible on  $\Phi(P) = \Omega(P)$ , a dual argument shows that also  $\Phi(M)$  cannot be a member of a  $K_5$ -set. Furthermore,  $\Phi(P)K$  has degree  $p^2 + 2$ , but again all but 2 paths would start with cyclic subgroups of order  $q$  and contain 1, which is impossible. This leaves only  $1, \Phi(P), P, G$  as possible members of  $L_5(G)$ . So there is no  $K_5$ -set in  $L(G)^*$ .  $\square$

We now prove in a couple of steps that every nonnilpotent finite group with  $K_5$ -free Hasse graph is one of the groups in (a) – (h) of Theorem D. First we study groups with a normal Sylow  $p$ -subgroup. For this we need two simple results on small groups.

**LEMMA 5.2.** *Let  $p, q \in \mathbb{P}$  such that  $p \neq q$  and suppose that  $G = PQ$  where  $P$  is an elementary abelian normal subgroup of order  $p^2$ ,  $|Q| = q$  and  $[P, Q] \neq 1$ . If  $L(G)^*$  is  $K_5$ -free, then  $Q$  operates irreducibly on  $P$ .*

PROOF. If not, then by Maschke's theorem,  $P = P_1 \times P_2$  where  $P_i \trianglelefteq G$  ( $i = 1, 2$ ) and  $P_1Q$ , say, is nonabelian of order  $pq$ . Then  $\Gamma := \{1, P_2, P, P_1Q, G\}$  is a  $K_5$ -set in  $L(G)^*$ ; here 7 paths are trivial in the sense of §2 and since  $p > q$ , there are further paths  $(P, P_1, P_1Q)$ ,  $(P_2, P_2Q, Q, P_1Q)$  and  $(1, Q^x, P_2Q^x, G)$  for some  $x \in P$ . This contradicts our assumption.  $\square$

LEMMA 5.3. *Let  $G = PK$  where  $P \trianglelefteq G$ ,  $|P| = p$  or  $p^2$ ,  $p$  does not divide  $|K|$  and  $C_K(P) = 1$ . If  $L(G)^*$  is  $K_5$ -free, then  $|L(K)| \leq 4$ .*

PROOF. Suppose, for a contradiction, that  $|L(K)| \geq 5$ . If  $P$  is cyclic, we may assume that  $|P| = p$ . Then  $K$  is cyclic, so  $|K| > 8$  and hence  $|P| > 10$ . If  $P$  is not cyclic, then by Lemma 5.2, every nontrivial subgroup of  $K$  operates irreducibly on  $P$ . Hence  $|K|$  is odd and  $|L(K)| \geq 5$  implies that  $|P| > 10$ . In both cases,  $N_G(K) = K$ ; so there are at least 10 complements to  $P$  in  $G$  and any two of them intersect trivially (see [5, 4.1.1]).

By assumption, there are pairwise different subgroups  $H_i$  satisfying  $P < H_i \leq G$  ( $i = 1, \dots, 4$ ); let  $H_5 = 1$ . We choose 10 pairwise different complements  $K_{ij}$  ( $1 \leq i < j \leq 5$ ) to  $P$  in  $G$  and paths  $\delta_{ij}$  in  $L(K_{ij})^*$  from  $H_i \cap K_{ij}$  to  $H_j \cap K_{ij}$  via the join of the two groups. Then the set of paths  $(H_i, \delta_{ij}, H_j)$  for  $1 \leq i < j \leq 4$  and  $(H_i, \delta_{i5})$  for  $1 \leq i \leq 4$  is internally disjoint. So  $\{H_1, \dots, H_5\}$  is a  $K_5$ -set in  $L(G)^*$ , a contradiction.  $\square$

LEMMA 5.4. *Let  $G = PK$  where  $P$  is a normal  $p$ -subgroup,  $p$  a prime,  $K$  a  $p'$ -group and  $[P, K] \neq 1$ . If  $L(G)^*$  is  $K_5$ -free, then there are primes  $q, r$  such that either*

- (a)  $K$  is a cyclic  $q$ -group and  $|K : C_K(P)| \leq q^3$ , or
- (b)  $K$  is cyclic of order  $qr$ .

PROOF. Let  $G$  be a minimal counterexample. Since then also  $G/\Phi(P)$  is a counterexample [3, p. 275],  $\Phi(P) = 1$  and Lemma 3.1 implies that

- (1)  $|P| = p$  or  $P$  is elementary abelian of order  $p^2$ .

Let  $K_0 := C_K(P)$ . By Lemma 5.3,  $|L(K/K_0)| \leq 4$ . Since the subgroup lattice of every noncyclic group contains  $L(C_2 \times C_2)$  as a sublattice [6, Theorem 1.1], it follows that  $K/K_0$  is cyclic and that there are primes  $q, r$  such that

- (2)  $K/K_0$  is cyclic of order  $qr$  or  $q^k$  where  $1 \leq k \leq 3$ .

Finally, by Lemma 4.3,

(3)  $L(K)^*$  contains no (3,6)-gon.

Now suppose first that  $K/K_0$  is cyclic of order  $qr$ ,  $q \neq r$ . Since  $G$  is a counterexample,  $K_0 \neq 1$ . By Proposition 4.4,  $K$  is cyclic; otherwise  $K \simeq C_q \times C_2 \times C_2$  and  $PH$  with  $C_2 \times C_2 \simeq H \leq K$  would be a counterexample of order less than  $|G|$ . Let  $Q$  and  $R$  be the subgroups between  $K_0$  and  $K$  such that  $|Q : K_0| = q$  and  $|R : K_0| = r$ . The minimality of  $G$  implies that  $Q$  and  $R$  satisfy (a) or (b) of the lemma; since  $K_0 \neq 1$ , it follows that  $|K_0|$  is a prime and  $|K_0| \neq r$ , say. Lemma 4.3, applied with  $N = K_0$ , yields that  $L(PR)^*$  contains no (3,6)-gon; by Proposition 4.4,  $|P| = p$ . We claim that  $\Gamma := \{1, K_0, PQ, PR, G\}$  is a  $K_5$ -set in  $L(G)^*$ . Here only 3 of the required paths are trivial, but, in addition, there are 3 obvious paths  $(1, P, P \times K_0, PQ)$ ,  $(K_0, Q, PQ)$  and  $(K_0, R, PR)$ . Since  $p \geq 5$ , there exist  $a, b, c, d \in P$  such that  $K, K^a, K^b, K^c, K^d$  are pairwise different. So if we let  $S$  be the subgroup of order  $r$  in  $K$ , we have 4 further paths  $(1, S^a, PS, PR)$ ,  $(1, S^b, K_0 S^b, K^b, G)$ ,  $(K_0, Q^c, K^c, G)$  and  $(PQ, PQ \cap K^d, K^d, PR \cap K^d, PR)$ . Since any two different conjugates of  $K$  intersect in  $K_0$ , the set of these paths is internally disjoint. So  $\Gamma$  is a  $K_5$ -set, a contradiction.

It follows that  $K/K_0$  is cyclic of order  $q^k$  where  $1 \leq k \leq 3$ . We claim that  $k = 1$  and

(4)  $K$  is elementary abelian of order  $q^2$  or nonabelian of order  $qt$  with  $q < t \in \mathbb{P}$ .

For this, suppose first that  $K$  is a  $q$ -group. If  $k \geq 2$ , then every maximal subgroup of  $K$  would operate nontrivially on  $P$  and hence would be cyclic by the minimality of  $G$ . So  $K$  would be isomorphic to  $C_q \times C_q$  or  $Q_8$  [3, p. 311], which would be impossible since  $k \geq 2$ . Thus  $k = 1$  and hence  $\Phi(K) \leq K_0$ . So  $\Phi(K) \trianglelefteq G$  and the minimality of  $G$  implies that  $\Phi(K) = 1$ . By Lemma 3.1, (4) holds. – Now suppose that  $K$  is not a  $q$ -group. If  $K = S \times T$  with  $S \simeq C_2 \times C_2$  and  $|T| \in \mathbb{P}$ , then the minimality of  $G$  would imply that  $t = q$ ; so  $S = K_0$  and  $G = PT \times S$ , contradicting Lemma 4.5. Thus Proposition 4.4 implies that (4) holds or that  $K$  is cyclic. In the latter case, let  $Q$  be the Sylow  $q$ -subgroup of  $K$ . Then Lemma 4.3, applied with a  $q$ -complement  $N$  in  $K_0$ , yields that  $L(PQ)^*$  contains no (3,6)-gon; by Proposition 4.4,  $|PQ| = pq$ . It follows that  $k = 1$  and  $G = PQ \times K_0$ . Now Lemma 4.5 implies that  $|K_0|$  is a prime; but this is impossible since  $G$  is a counterexample. Thus (4) holds in all cases.

Let  $Q \leq K$  such that  $|Q| = q$  and  $Q \neq K_0$ . By Lemma 4.3, applied with  $N = K_0$ ,  $L(PQ)^*$  contains no (3,6)-gon and Proposition 4.4 implies

that  $|P| = p$  and  $PQ$  is nonabelian of order  $pq$ . We claim that  $\Gamma := \{1, P, K_0, K, G\}$  is a  $K_5$ -set in  $L(G)^*$ . Since  $G/K_0$ ,  $G/P$  and  $K$  are nonabelian of order  $pq$  or  $qt$  or elementary abelian of order  $q^2$ , 7 of the required 10 paths are trivial. Furthermore we have paths  $(P, P \times K_0, K_0)$ ,  $(P, PQ, Q, K)$  and (even if  $p = 3$  and  $q = 2$ , so that  $G \simeq D_{12}$ ) we may choose a conjugate  $K^x \neq K$  to get the final path  $(1, Q^x, K^x, G)$  internally disjoint to all the trivial paths chosen above. Thus  $\Gamma$  is a  $K_5$ -set, a final contradiction.  $\square$

Now we come to the main step in the proof of Theorem *D*. The following Lemma shows, for example, that every group with  $K_5$ -free Hasse graph has a Sylow tower and hence is soluble.

**LEMMA 5.5.** *Let  $G$  be a finite group such that  $L(G)^*$  is  $K_5$ -free and let  $P \in \text{Syl}_p(G)$ . If  $P$  is not cyclic, then  $P \trianglelefteq G$ .*

**PROOF.** Let  $G$  be a minimal counterexample and let  $p$  be the smallest prime dividing  $|G|$  for which a Sylow  $p$ -subgroup  $P$  of  $G$  is not cyclic and not normal in  $G$ . Clearly, if  $P \leq X < G$ , then  $P \trianglelefteq X$  and hence

(1)  $H := N_G(P)$  is the unique maximal subgroup of  $G$  containing  $P$ .

We show next that

(2)  $G$  has no normal  $r$ -complement ( $r \in \mathbb{P}, r \mid |G|$ ).

This is clear if  $r \neq p$  because  $P \leq N < G$  for such a normal  $r$ -complement  $N$  and this would imply that  $P \trianglelefteq G$ . So suppose that  $N$  is a normal  $p$ -complement. Then for every prime  $q$  dividing  $|N|$ , there would exist a  $P$ -invariant Sylow  $q$ -subgroup  $Q$  of  $N$  [5, 4.1.3(d)]. Since  $P$  is not cyclic, Lemma 5.4 would imply that  $[Q, P] = 1$ . Thus  $[N, P] = 1$  and  $G = N \times P$ , a contradiction.

(3)  $p = 2$ .

To see this, let  $q$  be the smallest prime dividing  $|G|$  and let  $Q \in \text{Syl}_q(G)$ . By (2) and Burnside's theorem [3, p. 420],  $Q$  is not cyclic. So if  $q \neq p$ , then the choice of  $p$  would imply that  $Q \trianglelefteq G$ . But then Lemma 5.4 would yield that  $[Q, P] = 1$  and this would contradict Lemma 4.5. Thus  $p = q$ . Now (2) and Frobenius' theorem [3, p. 436] imply that there exist  $P_0 \leq P$  and  $x \in G$  of prime power order  $r^n$  where  $r \neq p$  such that  $x$  induces a nontrivial automorphism on  $P_0$  and hence also on  $P_0/\Phi(P_0)$ . By Lemma 3.1,  $|P_0/\Phi(P_0)| = p^2$  and so  $r \mid p^2 - 1$ . Since  $p < r$ , it follows that  $r = p + 1$ . Thus  $p = 2$ .

(4)  $O_2(G) = 1$ .

Suppose, for a contradiction, that  $O_2(G) \neq 1$  and let  $N \leq O_2(G)$  be a minimal normal subgroup of  $G$ . By Lemma 3.1,  $|N| \leq 4$ . The minimality of  $G$  implies that  $P/N$  is cyclic; by Burnside's theorem,  $G/N$  has a normal 2-complement  $A/N$ . By Schur-Zassenhaus [3, p. 126], there exists a complement  $K$  to  $N$  in  $A$ . If  $N \leq Z(A)$ , then  $K$  would be a normal 2-complement of  $G$ , contradicting (2). So  $N \not\leq Z(A)$ , hence  $|N| = 4$  and  $|A : C_A(N)| = 3$ . Clearly,  $C_A(N) = N \times C_K(N)$  and  $P$  normalizes  $C_K(N) = O_{2'}(A)$ . So Lemmas 4.5 and 5.4 imply that  $C_K(N) = 1$ . Thus  $A \simeq A_4$  and if  $A < B \leq G$  such that  $|B : A| = 2$ , then  $C_B(A) = 1$ , again by Lemma 3.1. It follows that  $B \simeq S_4$  and the minimality of  $G$  implies that  $B = G$ . We claim that  $\Gamma := \{1, N, P, P^x, G\}$  with  $o(x) = 3$  is a  $K_5$ -set in  $L(G)^*$ . For, we have paths  $(1, Q, A, G)$  with  $Q = \langle x \rangle$ ,  $(1, Z(P), S, P)$  and  $(1, Z(P^x), S^x, P^x)$  with  $S \leq P$  cyclic of order 4, and connect 1 to  $N$  via the third subgroup of order 2 of  $N$ . Furthermore,  $N_G(Q) \simeq S_3$  and therefore  $P \cap N_G(Q) =: T$  has order 2 and is not contained in  $N$ . So we have the path

$$(P, TZ(P), T, N_G(Q), T^x, T^x Z(P^x), P^x)$$

and, in addition, can take 5 trivial paths inside  $[G/N]$  so that all paths are internally disjoint. Thus  $\Gamma$  is a  $K_5$ -set, the desired contradiction which shows that (4) holds.

(5) If  $S, T \in \text{Syl}_2(G)$  such that  $S \neq T$ , then  $S \cap T = 1$ .

Choose  $S$  and  $T$  such that  $S \cap T =: D$  is maximal. We show that  $D \trianglelefteq G$ ; then (4) will imply that  $D = 1$  and (5) holds. So suppose, for a contradiction, that  $N_G(D) < G$ . Since  $\langle N_S(D), N_T(D) \rangle$  is not a 2-group,  $N_G(D)$  contains more than one Sylow 2-subgroup and the minimality of  $G$  implies that the Sylow 2-subgroups of  $N_G(D)$  are cyclic. In particular,  $N_S(D)$  is cyclic; since  $S$  is not cyclic, it follows that  $N_S(D) < S$ . But then  $D \trianglelefteq N_S(N_S(D)) > N_S(D)$ , a contradiction. Thus (5) holds.

It follows from (1) and (5) that if  $P = H$ , then  $G$  would be a Frobenius group with Frobenius complement  $P$ . But then the Frobenius kernel would be a normal 2-complement, contradicting (2). Thus  $P < H$  and so  $H = PK$  with  $K \neq 1$  and  $|K|$  odd. If  $[P, K] = 1$ , Lemma 4.5 would imply that  $P$  is isomorphic to  $C_2 \times C_2$  or  $Q_8$ . So proper subgroups of  $P$  would have no automorphisms of odd order and again  $G$  would have a normal 2-complement [3, p. 436]. So  $[P, K] \neq 1$  and hence  $|P/\Phi(P)| = 4$  and  $|K/C_K(P)| = 3$ . Thus

(6)  $H = PK$  where  $|K/C_K(P)| = 3$  and  $|C_K(P)|$  is a prime or 1,

by Lemma 4.5. Finally, we claim that

(7) there exists  $x \in N_G(K)$  such that  $H \cap H^x = K$ .

For this let  $T = K$  if  $K$  is a 3-group and  $T = C_K(P)$  if  $1 \neq |C_K(P)| \neq 3$ . If  $N_G(T) \leq H$ , then  $T$  would be a Sylow subgroup of  $G$  and  $T \leq Z(N_G(T))$ ; by Burnside's theorem,  $T$  would have a normal complement and this would contradict (2). So  $N_G(T) \not\leq H$ ; let  $x \in N_G(T) \setminus H$ . Then  $T \leq H \cap H^x$ ; therefore, if  $T = C_K(P)$ , it would follow that  $T \leq Z(H) \cap Z(H^x)$  and hence  $T \trianglelefteq G$ . But then the minimality of  $G$  would imply that  $PT/T \trianglelefteq G/T$  and so  $P \trianglelefteq G$ , a contradiction. Thus  $T = K$  and  $x \in N_G(K)$ ; by (5),  $|H \cap H^x|$  is odd, so  $H \cap H^x = K$  and (7) holds.

Let  $N := \Phi(P)C_K(P)$ . Then  $H/N \simeq A_4$  and we choose  $y \in P$  such that  $KN \neq K^yN$ . We claim that  $\Gamma := \{1, H, H^x, H^{xy}, G\}$  is a  $K_5$ -set in  $L(G)^*$ . For, we have edges  $(H, G), (H^x, G), (H^{xy}, G)$  and obvious paths from  $H$  to  $H^x$  via  $KN, K$  and  $KN^x$ , from  $H$  to  $H^{xy}$  via  $K^yN, K^y = H \cap H^{xy}$  and  $K^yN^{xy}$  and from  $H$  to 1 via  $PN$  and  $P$ . Since  $H^x \simeq H \simeq H^{xy}$ , there are complements  $K_1$  to  $P^x$  in  $H^x$  and  $K_2$  to  $P^{xy}$  in  $H^{xy}$  such that  $K_1N^x \neq KN^x$  and  $K_2N^{xy} \neq K^yN^{xy}$ ; so we have paths from  $H^x$  to 1 via  $K_1N^x$  and  $K_1$  and from  $H^{xy}$  to 1 via  $K_2N^{xy}$  and  $K_2$ . Since  $|H \cap H^x|$  is odd and 4 divides  $|G|$ ,  $|G : H| > 3$ . Therefore there is a conjugate  $H^z$  different from  $H, H^x$  and  $H^{xy}$  and a path from 1 to  $G$  via  $P^z$  and  $H^z$ . Finally, if  $a$  is an involution in  $P^x$ , then  $\langle a, a^y \rangle$  is a dihedral group of order  $2m$  where  $m$  is odd, by (5). We connect  $H^x$  to  $H^{xy}$  via  $P^x, \langle a \rangle, \langle a, a^y \rangle, \langle a^y \rangle$  and  $P^{xy}$ ; here all groups properly between  $\langle a \rangle$  and  $\langle a^y \rangle$  in this path have nonnormal Sylow 2-subgroups whereas the members of the other paths are  $G$  or are contained in normalizers of Sylow 2-subgroups. Therefore the set of all these paths is internally disjoint and  $\Gamma$  is a  $K_5$ -set. This is a final contradiction proving the lemma.  $\square$

We can now complete the

PROOF OF THEOREM D. It remains to be shown that if  $G$  is a non-nilpotent finite group such that  $L(G)^*$  is  $K_5$ -free, then  $G$  has one of properties (a) – (h) of Theorem D. For this we use induction on  $|G|$ .

We show first that  $G = PK$  with  $[P, K] \neq 1$  for some normal Sylow  $p$ -subgroup  $P$  and  $p$ -complement  $K$  of  $G$ . This follows from Zassenhaus' theorem [3, p. 420] if all Sylow subgroups of  $G$  are cyclic. And if  $P$  is a noncyclic Sylow  $p$ -subgroup of  $G$ , then by Lemma 5.5,  $P \trianglelefteq G$ . By Schur-Zassenhaus [3, p. 126], there exists  $K \leq G$  such that  $G = PK$  and  $P \cap K = 1$ . If  $G = P \times K$ , then Lemma 4.5 would imply that  $|K|$  is a prime and hence  $G$  would be nilpotent, a contradiction. Thus  $[P, K] \neq 1$ .

By Lemma 5.4,  $K$  is a cyclic  $q$ -group and  $|K : C_K(P)| \leq q^3$  for some prime  $q$  or  $K$  is cyclic of order  $qr$  with primes  $q \neq r$ . Therefore if  $|P| = p$ ,



then  $G$  satisfies (a) or (b) of Theorem D. So let  $|P| > p$ . If  $|K| = qr$  with primes  $q \neq r$  and  $C_K(P) \neq 1$ , then  $K = C_K(P) \times R$  with  $|R| = r$ , say, and by Lemma 4.3,  $L(PR)^*$  would contain no  $(3,6)$ -gon; but this would contradict Proposition 4.4. So, in the sequel,  $|P| > p$  and either

- (i)  $K$  is a cyclic  $q$ -group and  $|K : C_K(P)| \leq q^3$ , or
- (ii)  $K = Q \times R$  where  $|Q| = q$ ,  $|R| = r$ ,  $q \neq r$ , and  $C_K(P) = 1$ .

Now assume that  $P$  is cyclic. Then by induction, either  $|P| = p^2$  or  $G/\Omega(P)$  satisfies (c) of Theorem D. In the latter case,  $|P| = p^3$  and, again by induction,  $C_K(P) = 1$ . Thus  $|G| = p^3q$  and there is an obvious  $K_5$ -set  $\Gamma := \{\Omega(P), \Omega_2(P), T_1, T_2, G\}$  in  $L(G)^*$  where  $|T_i| = p^2q$  for  $i = 1, 2$  and  $T_1 \neq T_2$ ; here, since  $G/\Omega_2(P)$  and  $T_i/\Omega(P)$  are nonabelian of order  $pq$ , 8 of the 10 required paths are trivial, there is a further path from  $\Omega(P)$  to  $G$  via a third subgroup of order  $p^2q$  and a final path  $(T_1, X_1, Y_1, 1, Y_2, X_2, T_2)$  where  $|X_i| = pq$  and  $|Y_i| = q$  for  $i = 1, 2$ . So this case cannot occur, that is,  $|P| = p^2$ .

If  $K$  would satisfy (ii), then  $\Gamma := \{1, \Omega(P), PQ, PR, G\}$  would be a  $K_5$ -set in  $L(G)^*$ . For, there are 3 edges between members of  $\Gamma$  and the path  $(PQ, P, PR)$ ; and since  $p \geq 7$ , there are enough conjugates of  $K$  (pairwise intersecting trivially) and of  $\Omega(P)Q$  and  $\Omega(P)R$  to connect 1 and  $\Omega(P)$  to  $PQ, PR, G$ . This contradiction shows that  $K$  is a cyclic  $q$ -group. The induction assumption then yields that  $C_K(P) = 1$  and  $|K| = q^2$  if  $|K : C_K(P)| \neq q$ . But then  $\Gamma := \{1, \Omega(P), \Omega(P)\Omega(K), P\Omega(K), G\}$  is a  $K_5$ -set in  $L(G)^*$ . For, there are 4 edges, 2 further trivial paths and the path  $(\Omega(P)\Omega(K), \Omega(P)K, G)$ ; and since  $p \geq 5$ , there are enough conjugates of  $K$  to connect  $\Omega(P)$  to  $G$  and 1 to  $P\Omega(K)$  and  $G$ . Thus  $|K : C_K(P)| = q$  and  $G$  satisfies (c) of Theorem D.

Assume next that  $P$  is elementary abelian and not cyclic. Then by Lemma 3.1,  $|P| = p^2$ . If (i) holds for  $K$ , then Lemmas 4.5 and 5.2 show that  $G$  satisfies (d) of Theorem D. And if  $K$  satisfies (ii), then (e) holds.

Finally, suppose that  $P$  is neither cyclic nor elementary abelian. Then  $\Phi(P) \neq 1$  and by induction,  $G/\Phi(P)$  satisfies (d) or (e). In both cases,  $K$  is irreducible on  $P/\Phi(P)$ . But if  $|P| = p^n$  and  $n \geq 5$ , then by Lemma 3.3,  $P$  is metacyclic and has exponent  $p^m$  where  $m \geq n - 2$ . So if  $L(P)$  is modular, then  $\Omega_{m-1}(P)$  is a characteristic maximal subgroup of  $P$  [5, 2.3.5]. And if  $L(P)$  is not modular, then  $p = 2$  and  $P = \langle a \rangle \langle b \rangle$  with  $P' = \langle a^2 \rangle$  [5, 2.3.4]. Then  $P/P'$  has a characteristic maximal subgroup or  $|P : \langle a \rangle| = 2$ . In the latter case,  $\langle a \rangle$  is the unique cyclic maximal subgroup of  $P$ . In every case,  $P$  has a characteristic maximal subgroup, a contradiction. It follows that  $|P| \leq p^4$ . If  $|P| = p^3$ , then, clearly,  $P \simeq Q_8$  or  $P$  is nonabelian of exponent  $p$ . Finally, if  $|P| = p^4$ , then  $|\Phi(P)| = p^2$  and so  $|P/C_P(\Phi(P))| \leq p$ . Since  $P$  has

no characteristic maximal subgroup, it follows that  $\Phi(P) \leq Z(P)$ . So if  $P = \langle a, b \rangle$ , then  $P' = \langle [a, b] \rangle$  and  $[a, b]^p = [a^p, b] = 1$ . So  $|P'| \leq p$  and since  $P/P'$  has no characteristic maximal subgroup,  $P' = 1$ . Thus  $P$  is abelian and of type  $(p^2, p^2)$ .

If  $P \simeq Q_8$ , then  $|K/C_K(P)| = 3$  so that  $G/C_K(P) \simeq SL(2, 3)$  and  $K$  does not satisfy (ii). So  $K$  is a 3-group and Lemma 4.5 implies that  $|C_K(P)| \leq 3$ . Thus (g) holds.

In the other two cases, Lemma 4.5 yields that  $C_K(P) = 1$ . Suppose, for a contradiction, that  $|K|$  is not a prime. Then the induction hypotheses implies that  $|K| = q^2$  or  $|K| = qr$ . Let  $Q$  be the subgroup of order  $q$  of  $K$  and take  $x \in P$  such that  $\Phi(P)Q \neq \Phi(P)Q^x$ . Then we claim that  $\Gamma := \{1, \Phi(P), \Phi(P)Q, \Phi(P)Q^x, PQ\}$  is a  $K_5$ -set in  $L(G)^*$ . Since  $PQ = PQ^x$  and  $Q$  is irreducible on  $P/\Phi(P)$ , we have 5 trivial paths and three further ones  $(1, Q, \Phi(P)Q)$ ,  $(1, Q^x, \Phi(P)Q^x)$ ,  $(\Phi(P)Q, \Phi(P)K, G, \Phi(P)K^x, \Phi(P)Q^x)$ . Finally, we connect  $\Phi(P)$  to  $PQ$  via  $\Phi(P)Q^y$  for a suitable  $y \in P$  and 1 to  $PQ$  via  $P$  and suitable subgroups of order  $p^i$  different from  $\Phi(P)$ . Thus  $\Gamma$  is a  $K_5$ -set, the desired contradiction. It follows that  $|K| = q$  and  $G$  satisfies (f) or (h) of Theorem D.  $\square$

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