

RENDICONTI *del* SEMINARIO MATEMATICO *della* UNIVERSITÀ DI PADOVA

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Rendiconti del Seminario Matematico della Università di Padova,
tome 89 (1993), p. 37-45

http://www.numdam.org/item?id=RSMUP_1993__89__37_0

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On the Multiplicity of Holomorphic Maps and a Residue Formula.

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ABSTRACT - We obtain integral formulas for the multiplicity of a holomorphic map at an isolated zero of it. The proof is based on Stokes' theorem and a process of passing to a residue.

1. Introduction.

Let $U \subset \mathbb{C}^n$ be an open set, $0 \in U$ and $f = (f_1, \dots, f_n): U \rightarrow \mathbb{C}^n$ a holomorphic map with 0 an isolated zero of f . Then it is defined, by various equivalent ways, the multiplicity $\text{mult}(f, 0)$ of f at 0; see [3, p. 667]. This multiplicity turns out to be the following integral

$$(1) \quad \text{mult}(f, 0) = \int_{S_\epsilon} \frac{\omega'_n(\tilde{f}) \wedge \omega_n(f)}{|f|^{2n}}$$

where

$$\omega'_n(\tilde{f}) = c(n) \det[\tilde{f}_j, \widetilde{\partial \tilde{f}_j}] = c(n)(n-1)! \sum_{j=1}^n (-1)^{j-1} \tilde{f}_j \bigwedge_{k \neq j} \bar{\partial} \tilde{f}_k,$$

$$\omega_n(f) = \det[\widetilde{\partial f_j}] = n! \partial f_1 \wedge \dots \wedge \partial f_n, \quad |f|^2 = \sum_{j=1}^n |f_j|^2,$$

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$$S_\varepsilon = \{z \in \mathbb{C}^n : |z| = \varepsilon\} \quad \text{and} \quad c(n) = \frac{1}{n!} \frac{1}{(2\pi i)^n};$$

see [1, p. 20].

(In the above determinants j runs from $j = 1$ to $j = n$ forming the n rows; the integer above a column means that this column is to be repeated so many times as the integer indicates.)

If $n = 1$ then the above integral is reduced to $(1/2\pi i) \int_{S_\varepsilon} (f'/f) dz$ which by the residue theorem is equal to $\text{Res}(f'/f, 0)$.

In this paper we generalize, in a sense, this situation (to the case $n > 1$) by writing integral (1) which is an integral of a $(2n - 1)$ -form as an integral of a differential form of lower degree, more precisely of $(2n - 2p - 1)$ degree for $1 \leq p \leq n - 1$. In particular (by applying our formula for $p = n - 1$) we express the multiplicity of f at 0 as a line integral. Let us also point out that there are certain choices that can be made in constructing these differential forms which give various formulas.

The $(2n - 2p - 1)$ -dimensional cycles on which the integrals are taken lie on appropriately chosen analytic varieties which pass from 0 and which could be singular at 0.

As for the process of obtaining these formulas it is the classical process of passing to a residue after the use of Stokes' theorem (see [3, chap. 3]).

The arrangement of the paper is as follows: in Section 2 we state the formula, in Section 3 we give the proof of it and in Sections 4 and 5 we obtain some consequences of it in some special cases.

2. Statement of the result.

With notation as above let us consider a holomorphic map $h = (h_1, \dots, h_p): U \rightarrow \mathbb{C}^p$ so that

$$h_i = h_{i1} f_1 + \dots + h_{in} f_n, \quad i = 1, 2, \dots, p$$

for some $h_{ij} \in O(U)$, $1 \leq i \leq p$, $1 \leq j \leq n$.

Let us also assume that $M = \{z \in U: h(z) = 0\}$ is smooth near the points of S_ε and that M meets S_ε transversally so that $T_\varepsilon = M \cap S_\varepsilon$ is a smooth $(2n - 2p - 1)$ -dimensional manifold. Of course $0 \in M$ and 0 could be a singular point of M .

Let us define

$$A(z) = c(n - p) \det [h_{1j}, \dots, h_{pj}, \tilde{f}_j, \overbrace{\partial \tilde{f}_j}^{n-p-1}]$$

and

$$B(z) = \det \left[\frac{\overline{\partial} h_1}{\partial z_j}, \dots, \frac{\overline{\partial} h_p}{\partial z_j}, \overbrace{dz_j}^{n-p} \right] / |\nabla h|^2$$

where

$$|\nabla h|^2 = \sum_{1 \leq j_1 < \dots < j_p \leq n} \left| \frac{\partial(h_1, \dots, h_p)}{\partial(z_{j_1}, \dots, z_{j_p})} \right|^2.$$

With this notation we will prove the following

THEOREM 1. The multiplicity of f at 0 is given by the formula

$$\text{mult}(f, 0) = \int_{z \in T_t} \frac{\partial(f_1, \dots, f_n)}{\partial(z_1, \dots, z_n)} \frac{A(z) \wedge B(z)}{|f(z)|^{2(n-p)}}.$$

3. Proof of Theorem 1.

The idea of this proof is similar to the one in the proof of Theorem 2.1 of [4]; so we will give only the modifications which are needed to carry out the proof in this case and we will refer to [4] where more details can be found about some calculations.

We devide the proof into several steps.

STEP 1. Let

$$g_j = \frac{\bar{f}_j}{|f|^2} \quad \text{and} \quad s_j = \frac{1}{|h|^2} \sum_{i=1}^p h_{ij} \bar{h}_i, \quad 1 \leq j \leq n.$$

Then $s_j(z)$ is defined for $z \in U - M$ and

$$(1) \quad \sum_{j=1}^n s_j f_j = 1 \quad \text{and} \quad \sum_{j=1}^n g_j f_j = 1.$$

Let us set

$$\eta = -c(n) \sum_{l=0}^{n-2} \det[g_j, s_j, \overbrace{\partial g_j}^l, \overbrace{\partial s_j}^{n-l-2}].$$

We claim that $\bar{\partial}\eta = \omega'_n(g_j) = \omega'_n(\bar{f}_j)/|f|^{2n}$ on $U - M$.

To prove this it suffices to work close to a point where $f_1 \neq 0$ and to write

$$\eta = -\frac{c(n)}{f_1} \sum_{l=0}^{n-2} \det \begin{bmatrix} g_1 f_1 & s_1 f_1 & \overbrace{\bar{\partial}(g_1 f_1)}^l & \overbrace{\bar{\partial}(s_1 f_1)}^{n-l-2} \\ g_j & s_j & \bar{\partial} g_j & \bar{\partial} s_j \end{bmatrix}_{2 \leq j \leq n},$$

(in the last determinant j runs from $j = 2$ to $j = n$ forming the 2nd to n -th row of it).

Then, in view of (1), η can be written

$$\eta = -\frac{c(n)}{f_1} \sum_{l=0}^{n-2} \det \begin{bmatrix} 1 & 1 & \overbrace{0}^l & \overbrace{0}^{n-l-2} \\ g_j & s_j & \bar{\partial} g_j & \bar{\partial} s_j \end{bmatrix}_{2 \leq j \leq n},$$

which implies that $\bar{\partial}\eta = (1/f_1) \sum_{l=0}^{n-2} (X_{l+1} - X_l)$ where

$$X_l = c(n) \det [\overbrace{\bar{\partial} g_j}^l, \overbrace{\bar{\partial} s_j}^{n-l-1}]_{2 \leq j \leq n}.$$

Therefore $\bar{\partial}\eta = (1/f_1)(X_{n-1} - X_0)$.

But it is easy to calculate and find that $X_{n-1} = f_1 \omega'_n(g_j)$ and $X_0 = 0$, which proves the claim.

STEP 2. Let us start with the integral

$$\int_{S_\varepsilon} \frac{\omega'_n(\bar{f}) \wedge \omega_n(f)}{|f|^{2n}} = \text{mult}(f, 0)$$

and write it as

$$\lim_{\delta \rightarrow 0} \int_{S_{\varepsilon, \delta}} \frac{\omega'_n(\bar{f}) \wedge \omega_n(f)}{|f|^{2n}}$$

where $S_{\varepsilon, \delta} = \{z \in S_\varepsilon : |h(z)| > \delta\}$.

But for $z \in S_{\varepsilon, \delta}$ we have (by Step 1)

$$d[\eta \wedge \omega_n(f)] = \frac{\omega'_n(\bar{f}) \wedge \omega_n(f)}{|f|^{2n}}.$$

Therefore the above limit can be written (also in view of Stokes' theorem) as

$$\lim_{\delta \rightarrow 0} \int_{T_{\varepsilon, \delta}} \eta \wedge \omega_n(f)$$

where $T_{\varepsilon, \delta} = \{z \in S_\varepsilon : |h(z)| = \delta\}$ (here ε and δ are small enough and so that the various sets over which we integrate are smooth).

STEP 3. We can write $\eta = \tilde{\eta} + \sum_{m=0}^{p-2} r_m$ where

$$\tilde{\eta} = -c(n) \det[g_j, s_j, \overbrace{\partial g_j}^{n-p-1}, \overbrace{\partial s_j}^{p-1}]$$

and

$$r_m = -c(n) \det[g_j, s_j, \overbrace{\partial g_j}^{n-m-2}, \overbrace{\partial s_j}^m]$$

provided that differential forms are restricted to $T_{\varepsilon, \delta}$.

This follows from the fact that on $T_{\varepsilon, \delta}$ we have $r_m = 0$ if $m \geq p$; see [4, p. 791].

STEP 4. With differential forms restricted to $T_{\varepsilon, \delta}$, $\tilde{\eta}$ can be written (up to a sign) as follows:

$$\tilde{\eta} = A \wedge \omega_p' \left(\frac{\bar{h}}{|h|^2} \right).$$

The proof of this is similar to the proof of Lemma 3 of [4].

STEP 5. $\lim_{\delta \rightarrow 0} \int_{T_{\varepsilon, \delta}} r_m \wedge \omega_n(f) = 0$ for $0 \leq m \leq p-2$.

This follows from an analogous estimate to the one in Lemma 4 of [4].

STEP 6. By Steps 2, 3 and 5 we obtain

$$\text{mult}(f, 0) = \lim_{\delta \rightarrow 0} \int_{T_{\varepsilon, \delta}} \tilde{\eta} \wedge \omega_n(f).$$

But

$$\omega_n(f) = \frac{\partial(f_1, \dots, f_n)}{\partial(z_1, \dots, z_n)} \omega_n(z) = \frac{\partial(f_1, \dots, f_n)}{\partial(z_1, \dots, z_n)} B(z) \wedge \omega_p(h)$$

(up to a sign); this follows from Lemma 1 of [4].

Therefore (also by Step 4)

$$\begin{aligned} \lim_{\delta \rightarrow 0} \int_{T_{\varepsilon, \delta}} \tilde{\gamma} \wedge \omega_n(f) &= \lim_{\delta \rightarrow 0} \int_{T_{\varepsilon, \delta}} \frac{\partial(f_1, \dots, f_n)}{\partial(z_1, \dots, z_n)} \frac{A \wedge B}{|f|^{2(n-p)}} \wedge \omega'_p \left(\frac{\bar{h}}{|h|^2} \right) \wedge \omega_p(h) = \\ &= \int_{T_\varepsilon} \frac{\partial(f_1, \dots, f_n)}{\partial(z_1, \dots, z_n)} \frac{A \wedge B}{|f|^{2(n-p)}} \end{aligned}$$

which completes the proof.

4. Parametrizing T_ε .

With the notation of Theorem 1 let us consider the case $p = n - 1$ in which case the integrand θ in the integral of Theorem 1 is a differential form of type $(1, 0)$.

Now suppose that ζ is a holomorphic map from a neighborhood $V \subset \mathbb{C}$ of 0 to U so that the map $\lambda \mapsto \zeta(\lambda) = (\zeta_1(\lambda), \dots, \zeta_n(\lambda))$ is a parametrization of $M = \{z \in U: h_1(z) = \dots = h_{n-1}(z) = 0\}$ with $\zeta(0) = 0$.

Let $\tau_\varepsilon = \left\{ \lambda \in V: \sum_{j=1}^n |\zeta_j(\lambda)|^2 = \varepsilon^2 \right\}$. Then $\zeta: \tau_\varepsilon \rightarrow T_\varepsilon$ and let $\deg \zeta$ denote the degree of ζ .

With this notation we have the following.

THEOREM 2. The multiplicity of f at 0 is given by the formula

$$\text{mult}(f, 0) = 2\pi i (\deg \zeta) \text{Res} \left(\frac{\zeta^*(\theta)}{d\lambda}, \lambda = 0 \right)$$

where $\zeta^*(\theta)$ is the pull-back of θ via ζ .

PROOF. First let us mention that $\bar{\partial}\theta = 0$ (as a computation shows) whence $\bar{\partial}(\zeta^*(\theta)) = 0$; from this it follows that there is a holomorphic function in $V - \{0\}$, denoted by $\zeta^*(\theta)/d\lambda$ which has a pole at 0 so that $\zeta^*(\theta) = (\zeta^*(\theta)/d\lambda) d\lambda$.

Now, by Theorem 1, $\text{mult}(f, 0) = \int_{T_\epsilon} \theta$. But by [2, p.253] we have:

$$\int_{T_\epsilon} \theta = (\deg \zeta) \int_{\tau_\epsilon} \zeta^*(\theta).$$

Also, by the residue theorem,

$$\int_{\tau_\epsilon} \zeta^*(\theta) = 2\pi i \text{Res} \left(\frac{\zeta^*(\theta)}{d\lambda}, \lambda = 0 \right)$$

and the formula of the theorem follows.

REMARK. Of course an analogue of Theorem 2 can be proved in the case $1 \leq p \leq n - 2$ too.

5. A special case.

We will prove the following.

THEOREM 3. Let $U \subset \mathbb{C}^n$ be an open neighborhood of 0 and $f = (f_1, \dots, f_n): U \rightarrow \mathbb{C}^n$ a holomorphic map with 0 isolated zero of f . Suppose that 0 is an isolated singular point of $M = \{z \in U: f_1 = \dots = f_p = 0\}$.

Then for $\varepsilon > 0$ sufficiently small we have

$$\text{mult}(f, 0) = \int_{M \cap S_\varepsilon} \frac{\omega'_m(\bar{\phi}) \wedge \omega_m(\phi)}{|\phi|^{2m}}$$

where $m = n - p$ and $\phi = (f_{p+1}, \dots, f_n)$.

PROOF. We will apply Theorem 1 with $h_1 = f_1, \dots, h_p = f_p$. Then we may choose $h_{ij} = 1$ if $i = j$ and $h_{ij} = 0$ if $i \neq j$.

Then

$$\begin{aligned}
 (1) \quad A(z) &= c(m) \det \begin{array}{c} \overbrace{\begin{matrix} 1 & 0 & \cdots & 0 \\ 0 & 1 & \cdots & 0 \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \cdots & 1 \end{matrix}}^p \quad \overbrace{\begin{matrix} \bar{f}_1 & \bar{\partial}\bar{f}_1 & \cdots & \bar{\partial}\bar{f}_1 \\ \bar{f}_2 & \bar{\partial}\bar{f}_2 & \cdots & \bar{\partial}\bar{f}_2 \\ \vdots & \vdots & & \vdots \\ \bar{f}_p & \bar{\partial}\bar{f}_p & \cdots & \bar{\partial}\bar{f}_p \end{matrix}}^{m-1} \\ \begin{matrix} \bar{\phi}_1 & \bar{\partial}\bar{\phi}_1 & \cdots & \bar{\partial}\bar{\phi}_1 \\ \vdots & \vdots & & \vdots \\ \bar{\phi}_m & \bar{\partial}\bar{\phi}_m & \cdots & \bar{\partial}\bar{\phi}_m \end{matrix} \end{array} = \\
 &= (-1)^p c(m) \det \begin{array}{c} \overbrace{\begin{matrix} \phi_1 & \bar{\partial}\bar{\phi}_1 & \cdots & \bar{\partial}\bar{\phi}_1 \\ \vdots & \vdots & & \vdots \\ \phi_m & \bar{\partial}\bar{\phi}_m & \cdots & \bar{\partial}\bar{\phi}_m \end{matrix}}^{n-1} \\ \end{array} = (-1)^p \omega'_m(\bar{\phi}).
 \end{aligned}$$

On the other hand

$$(2) \quad \frac{\partial(f_1, \dots, f_n)}{\partial(z_1, \dots, z_n)} B(z) = (-1)^p \omega_m(\phi)$$

provided that differential forms are restricted to M . This follows from [5, p. 483] and the representation of $B(z)$ in terms of local coordinates as is given by [4, Lemma 2]. Now (1) and (2) and Theorem 1 complete the proof.

EXAMPLES. 1) Let $f: \mathbb{C}^3 \rightarrow \mathbb{C}^3$ be the map

$$f(z_1, z_2, z_3) = (z_1^2 - z_2^3, z_2^5 - z_3^7, z_1^2 z_2 z_3 - z_1^3 - z_2 z_3^2).$$

Consider the map $\zeta: \mathbb{C} \rightarrow \mathbb{C}^3$ defined by $\zeta(\lambda) = (\lambda^{21}, \lambda^{14}, \lambda^{10})$. If $T_\epsilon = \{z \in S_\epsilon: z_1^2 = z_2^3, z_2^5 = z_3^7\}$ and $\tau_\epsilon = \{\lambda \in \mathbb{C}: |\lambda|^{42} + |\lambda|^{28} + |\lambda|^{20} = \epsilon^2\}$ then $\zeta: \tau_\epsilon \rightarrow T_\epsilon$ and $\deg \zeta = 1$.

Thus combining Theorems 1 and 2 we obtain that

$$\text{mult}(f, 0) = \text{Res} \left[\frac{1}{d\lambda} \zeta^* \left(\frac{df_3}{f_3} \right), \lambda = 0 \right] = 34.$$

2) Let $g: \mathbb{C}^2 \rightarrow \mathbb{C}$ be the function

$$g(z_1, z_2) = \frac{1}{3}z_1^3 - z_1z_2^3 + z_2^5$$

which defines the hypersurfaces $S = \{(z_1, z_2) \in \mathbb{C}^2: g(z_1, z_2) = 0\}$ whose 0 is an isolated singular point.

Then the Milnor number of S at 0 is the multiplicity of the map $f = (\partial g / \partial z_1, \partial g / \partial z_2)$ at 0 (see [6]).

Now $f(z_1, z_2) = (z_1^2 - z_2^3, -3z_1z_2^2 + 5z_2^4)$. Hence in the setting of Theorem 2, if we set $z_1 = \lambda^3$, $z_2 = \lambda^2$ we compute (using also Theorem 3) that the Milnor number of S at 0 is 7.

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Manoscritto pervenuto in redazione il 19 Novembre 1991.