

# RENDICONTI *del* SEMINARIO MATEMATICO *della* UNIVERSITÀ DI PADOVA

E. GREGORIO

## **Generalized Morita equivalence for linearly topologized rings**

*Rendiconti del Seminario Matematico della Università di Padova*,  
tome 79 (1988), p. 221-246

[<http://www.numdam.org/item?id=RSMUP\\_1988\\_\\_79\\_\\_221\\_0>](http://www.numdam.org/item?id=RSMUP_1988__79__221_0)

© Rendiconti del Seminario Matematico della Università di Padova, 1988, tous droits réservés.

L'accès aux archives de la revue « Rendiconti del Seminario Matematico della Università di Padova » (<http://rendiconti.math.unipd.it/>) implique l'accord avec les conditions générales d'utilisation (<http://www.numdam.org/conditions>). Toute utilisation commerciale ou impression systématique est constitutive d'une infraction pénale. Toute copie ou impression de ce fichier doit contenir la présente mention de copyright.

NUMDAM

*Article numérisé dans le cadre du programme  
Numérisation de documents anciens mathématiques*  
<http://www.numdam.org/>

## **Generalized Morita Equivalence for Linearly Topologized Rings.**

E. GREGORIO (\*)

### **0. Introduction.**

Since the appearance of Morita theory on equivalences between categories of modules, many authors have tried to generalize it and to characterize equivalences between subcategories of modules with suitable closure properties. The most important paper on the subject is Fuller's [3] in which importance was given to topological concepts, namely to the connections between the theory of density and that of equivalence.

In this paper we generalize Morita theory to a similar one on equivalences between categories of modules associated to linearly topologized rings by proving results which extend Morita's and Fuller's.

It should be noted that a parallel generalization of Morita duality already exists in the literature.

The most important result, on which are based all the others, is exposed in Section 1: it is a theorem on representation of equivalences between categories of modules; this representation is obtained by a limit process inspired by MacDonald's paper [5].

In section 2 we apply this theorem to the equivalences between the categories of discrete modules over linearly topologized rings, showing that these equivalences are always induced by a suitable bimodule; recall that a right linearly topologized ring  $(R, \tau)$  is a topological ring having a local basis (i.e. a basis of neighborhoods of

(\*) Indirizzo dell'A.: Dipartimento di Matematica Pura e Applicata, Via Belzoni 7 - 35131 Padova, Italy.

zero) consisting of right ideals and that a module  $M \in \text{Mod-}R$  is a discrete module over  $(R, \tau)$  if and only if  $\text{Ann}_R(x) \in \mathcal{F}_\tau$  (the filter of all  $\tau$ -open right ideals of  $R$ ) for all  $x \in M$ . We denote by  $\text{Mod-}R_\tau$  the Grothendieck category of all discrete modules over  $(R, \tau)$ . The definition of linearly topologized module is obvious.

Section 3 is devoted to the introduction of a useful functor between the categories of complete linearly topologized modules over a linearly topologized ring induced by a bimodule, which has properties similar to those of the tensor product.

In Section 4 the modules which induce equivalences between the categories of discrete modules over linearly topologized rings are characterized; these modules are studied more deeply in Section 5, where we prove also a density theorem which generalizes Fuller's density theorem in [3].

In Section 6 we give some examples to prove that we have reached an effective generalization of the previous theories.

Finally, in Section 7 we specialize the results to the case of commutative rings.

If  $(R, \tau)$  is a right linearly topologized ring then denote by  $\text{LTC-}R_\tau$  the category of all complete linearly topologized modules over  $(R, \tau)$ ; if  $\tau$  is the discrete topology, then put  $\text{LTC-}R_\tau = \text{LTC-}R$ . All topological modules and rings considered in this paper are, unless the contrary is stated (e.g. in the definition of tensor product), Hausdorff. Moreover we shall be concerned only with right linearly topologized rings and right modules, with a few exceptions in Section 5. Morphisms of right modules will be written on the left (and morphisms of left modules on the right). Any subcategory of a given category will be closed under isomorphisms. Given two topological modules  $M_R$  and  $N_R$  we denote by  $\text{Chom}_R(M, N)$  the group of all continuous  $R$ -morphisms from  $M$  to  $N$  and by  $\text{Cend}(M_R)$  the ring of all continuous endomorphisms of  $M_R$ .

## 1. Equivalences between finitely closed categories of modules.

1.1. Let  $A$  be a ring and  $\mathcal{D}_A$  a finitely closed subcategory of  $\text{Mod-}A$ , i.e. full and closed with respect to finite direct sums submodules and homomorphic images. If  $\mathcal{F}_A$  is the set of those right ideals of  $A$  such that  $A/I \in \mathcal{D}_A$ , then it is clear that  $\mathcal{F}_A$  is a filter of ideals in  $A$  and defines a linear topology on  $A$  (let us denote it by  $\sigma$ ) which is not,

in general, Hausdorff. It is also clear that  $\mathcal{D}_A$  is a subcategory of  $\text{Mod-}A_\sigma$ . The Hausdorff completion of  $(A, \sigma)$  is  $\hat{A} = \lim_{I \in \mathcal{F}_A} A/I$ .

1.2. Let us fix, for the rest of Section 1, two rings  $A$  and  $R$  and two finitely closed subcategories  $\mathcal{D}_A$  and  $\mathcal{G}_R$  of  $\text{Mod-}A$  and  $\text{Mod-}R$  respectively. We shall also assume that an equivalence

$$(F: \mathcal{D}_A \rightarrow \mathcal{G}_R, G: \mathcal{G}_R \rightarrow \mathcal{D}_A)$$

is given. We denote by  $\mathcal{F}_A$  and  $\mathcal{F}_R$  the filters of (right) ideals (and by  $\sigma$  and  $\tau$  the associated topologies) defined on  $A$  and  $R$  by  $\mathcal{D}_A$  and  $\mathcal{G}_R$ .

LEMMA ([cf. [5, Lemma 1]). *Let  $P_R = \lim_{I \in \mathcal{F}_A} F(A/I)$ , endowed with the limit topology of the discrete topologies on  $F(A/I)$ . Then  $P \in \text{LTC-}R_\tau$  and there exists a canonical ring morphism*

$$\psi: A \rightarrow \text{Chom}_R(P, P).$$

PROOF. For  $I \in \mathcal{F}_A$  and a  $a \in A$  define

$$\lambda_{a,I}: A/(I:a) \rightarrow A/I$$

by  $\lambda_{a,I}(x + (I:a)) = ax + I$  (where  $(I:a) = \{x \in A: ax \in I\}$ );  $\lambda_{a,I}$  is a morphism, hence there exists a unique morphism  $\psi(a): P \rightarrow P$  which makes commutative all the diagrams of the form

$$\begin{array}{ccc} P & \xrightarrow{\psi(a)} & P \\ \downarrow & & \downarrow \\ F(A/(I:a)) & \xrightarrow{F(\lambda_{a,I})} & F(A/I) \end{array}$$

as  $I \in \mathcal{F}_A$  (here the vertical arrows are the canonical projections);  $\psi(a)$  is clearly continuous and one can easily verify that  $\psi$  is a ring morphism.  $\square$

In this way  $P$  becomes on  $A$ - $R$ -bimodule; moreover  $P_R \in \text{LTC-}R_\tau$  and  $A$  acts on  $P_R$  by continuous endomorphisms. If we endow the modules in  $\mathcal{G}_R$  with the discrete topology, then we can consider the functor  $\text{Chom}_R(P, -): \mathcal{G}_R \rightarrow \text{Mod-}A$  defined in the obvious way.

1.3 REPRESENTATION THEOREM (cf. [5, Lemma 2]). *There is a natural isomorphism*

$$\mu: G \rightarrow \text{Chom}_R(P, -).$$

PROOF. If  $M \in \mathcal{G}_R$  we can consider the chain of (group) isomorphisms

$$\begin{aligned} GM &\cong \text{colim Ann}_{GM}(I) \cong \text{colim Hom}_A(A/I, GM) \cong \\ &\cong \text{colim Hom}_R(F(A/I), M) \end{aligned}$$

(here and in all this proof limits and colimits are taken with  $I$  running through  $\mathcal{F}_A$ ); let  $s_M: GM \rightarrow \text{colim Hom}_R(F(A/I), M)$  be their composition; denote by

$$b_I: \text{Hom}_R(F(A/I), M) \rightarrow \text{colim Hom}_R(F(A/I), M), \quad l_I: P \rightarrow F(A/I)$$

the canonical morphisms of colimit and limit respectively. There is a unique (group) morphism

$$t_M: \text{colim Hom}_R(F(A/I), M) \rightarrow \text{Hom}_R(P, M)$$

such that  $t_M b_I = \text{Hom}_R(l_I, M)$ , for any  $I \in \mathcal{F}_A$ . It follows from [2, p. 57] that  $t_M$  is injective: indeed  $l_I$  is surjective so that  $\text{Hom}_R(l_I, M)$  is injective for all  $I \in \mathcal{F}_A$ .

It is clear that a morphism  $f: P \rightarrow M$  is continuous if and only if it factors through  $l_I$ , for some  $I$ . So it follows plainly that  $\text{Chom}_R(P, M) \leq \text{Im } t_M$ ; it remains to prove the converse inequality. If  $g \in \text{colim Hom}_R(F(A/I), M)$ , there are  $I \in \mathcal{F}_A$  and  $h \in \text{Hom}_R(F(A/I), M)$  such that  $g = b_I(h)$ ; hence

$$t_M(g) = t_M b_I(h) = \text{Hom}_R(l_I, M)(h) = h l_I.$$

Finally, if we put  $\mu_M = t_M s_M: GM \rightarrow \text{Chom}_R(P, M)$ , we obtain a natural isomorphism  $\mu: G \rightarrow \text{Chom}_R(P, -)$ , since it is easy to see that  $\mu_M$  is a morphism of  $A$ -modules.  $\square$

We can of course work as before on  $F$ , getting  $Q = \lim_{J \in \mathcal{F}_R} G(R/J)$ , a ring morphism  $\varphi: R \rightarrow \text{Chom}_A(Q, Q)$  and a natural isomorphism  $\nu: F \rightarrow \text{Chom}_A(Q, -)$ . Now we look for a relation between  $P_R$  and  $Q_A$ .

Denote by  $(\hat{R}, \hat{\tau})$  and  $(\hat{A}, \hat{\sigma})$  the Hausdorff completions of  $(R, \tau)$  and  $(A, \sigma)$  respectively.

**1.4 PROPOSITION.** *There exist two canonical isomorphisms*

$$Q \cong \text{Chom}_R(P, (\hat{R}, \hat{\tau})) \quad \text{and} \quad P = \text{Chom}_A(Q, (\hat{A}, \hat{\sigma})).$$

**PROOF.** From the definitions and 1.3 we get (limits are taken for  $J \in \mathcal{F}_R$ ):

$$Q \cong \lim G(R/J) \cong \lim \text{Chom}_R(P, R/J).$$

We have the canonical morphisms

$$k_J: \lim \text{Chom}_R(P, R/J) \rightarrow \text{Chom}_R(P, R/J)$$

and  $c_J: \hat{R} \rightarrow R/J$ , as  $J \in \mathcal{F}_R$ . There is a unique morphism

$$u: \text{Chom}_R(P, (\hat{R}, \hat{\tau})) \rightarrow \lim \text{Chom}_R(P, R/J)$$

such that  $k_J u = \text{Chom}_R(P, c_J)$ , for all  $J \in \mathcal{F}_R$ . Let us see that  $u$  is an isomorphism. If  $u(f) = 0$  for  $f \in \text{Chom}_R(P, (\hat{R}, \hat{\tau}))$ , then

$$0 = k_J u(f) = \text{Chom}_R(P, c_J)(f) = c_J f$$

for all  $J \in \mathcal{F}_R$ : hence  $\text{Im } f \leq \bigcap_{J \in \mathcal{F}_R} \text{Ker } c_J = 0$ , so that  $f = 0$ . On the other hand, if  $\eta \in \lim \text{Chom}_R(P, R/J)$ , then by the properties of limit there exists  $g \in \text{Chom}_R(P, \hat{R}, \hat{\tau})$  such that  $c_J g = k_J(\eta)$ , for all  $J \in \mathcal{F}_R$ , and so  $u(g) = \eta$ .  $\square$

## 2. Linearly topologized rings.

**2.1 DEFINITION.** Let  $(A, \sigma)$  and  $(R, \tau)$  be (right) linearly topologized rings. We say that they are *similar* if there exists an equivalence

$$(F: \text{Mod-}A_\sigma \rightarrow \text{Mod-}R_\tau, G: \text{Mod-}R_\tau \rightarrow \text{Mod-}A_\sigma).$$

In this case we say also that the pair  $(F, G)$  is a *similarity* between  $(A, \sigma)$  and  $(R, \tau)$ .

The following fact is well known.

**2.2 PROPOSITION.** *Any linearly topologized ring is similar to its Hausdorff completion.*

An obvious application of 1.3 gives the following

**2.3 THEOREM.** *Let  $(F, G)$  be a similarity between  $(A, \sigma)$  and  $(R, \tau)$ . Then there exist modules  $P_R \in \text{LTC-}R_\tau$  and  $Q_A \in \text{LTC-}A_\sigma$  and morphisms  $\psi: A \rightarrow \text{Chom}_R(P, P)$  and  $\varphi: R \rightarrow \text{Chom}_A(Q, Q)$  in such a way that*

$$F \cong \text{Chom}_A(Q, -), \quad G \cong \text{Chom}_R(P, -).$$

At this moment we have «represented» the similarities: in the sequel we shall characterize all bimodules  ${}_A P_R$  such that the functor  $\text{Chom}_R(P, -)$  defines a similarity between two linearly topologized rings. We need the following

**2.4. DEFINITION.** Let  $(R, \tau)$  be a ring and  $P_R$  a module, both linearly topologized; denote by  $\mathcal{F}(P_R)$  the set of open submodules of  $P$ . We say that  $P$  is:

(i) *topologically finitely generated* (t.f.g.) if, for any  $V \in \mathcal{F}(P_R)$ , the quotient  $P/V$  is finitely generated;

(ii) *topologically quasi-projective* if, given any  $V \in \mathcal{F}(P_R)$  and any continuous morphism  $f: P \rightarrow P/V$  (where  $P/V$  has the discrete topology), there exists a continuous  $R$ -endomorphism  $g: P \rightarrow P$  such that for all  $p \in P$  we have

$$f(p) = g(p) + V;$$

(iii) a *self-generator* if, for any  $V \in \mathcal{F}(P_R)$ , the closure in  $P$  of  $\Sigma(V) = \sum \{\text{Im } f \mid f \in \text{Chom}_R(P, V)\}$  coincides with  $V$ ;

(iv) a  $\tau$ -*generator* if it is a topological module over  $(R, \tau)$  and, for any non-zero morphism  $f: M \rightarrow N$  in  $\text{Mod-}R$ , there is a continuous morphism  $g: P \rightarrow M$  such that  $fg \neq 0$ .

We say that  $P_R$  is

(1) a *quasiprogenerator* if it satisfies (i), (ii), (iii) and is complete;

(2) a  $\tau$ -progenerator if it is a quasiprogenerator and a  $\tau$ -generator.

REMARKS. Let  $P_R$  be a discrete module.

(a)  $P_R$  is a quasiprogenerator if and only if it is a quasiprogenerator in the sense of Fuller [3].

(b) Let  $\tau = d$  be the discrete topology on  $R$ ; we shall prove that  $P_R$  is a  $d$ -progenerator if and only if it is a progenerator in the sense of Morita theory.

### 3. Chom functors and tensor products.

3.1. Let  $P_R$  and  $M_R$  be objects in  $\text{LTC-}R_\tau$ : we can endow the group  $\text{Chom}_R(P, M)$  with the topology of uniform convergence, which has as a local basis the set of subgroups of the form

$$\mathcal{J}(V) = \{f \in \text{Chom}_R(P, M) : f(P) \leq V\}$$

as  $V$  runs through the family  $\mathcal{F}(M)$  of all open submodules of  $M$ . We shall denote by  $\text{Chom}_R^u(P, M)$  the group of continuous  $R$ -morphisms from  $P$  to  $M$  endowed with the topology of uniform convergence. It is almost trivial to see that  $\text{Chom}_R^u(P, M)$  is complete.

Consider now the ring  $A = \text{Chom}_R(P, P)$ , again with the topology  $\sigma$  of uniform convergence: one can easily prove that this is indeed a ring topology and that  $\text{Chom}_R^u(P, M) \in \text{LTC-}A_\sigma$ , for all  $M \in \text{LTC-}R_\tau$ .

We have so defined a functor

$$\text{Chom}_R^u(P, -) : \text{LTC-}R_\tau \rightarrow \text{LTC-}A_\sigma$$

(the action on morphisms being the obvious one), which maps  $\text{Mod-}R_\tau$  into  $\text{Mod-}A_\sigma$ .

3.2. In this Section we shall fix two complete linearly topologized rings  $(A, \sigma)$  and  $(R, \tau)$  and a bimodule  ${}_A P_R$  such that

(1)  $P_R \in \text{LTC-}R_\tau$ ;

(2)  $P$  is faithful on both sides;

(3)  $A$  acts on  $P_R$  by continuous morphisms and the topology induced on  $A$  by the topology of uniform convergence on  $\text{Cend}(P_R) = \text{Chom}_R(P, P)$  is coarser than  $\sigma$ .

Under these hypotheses, the functor  $\text{Chom}_R^u(P, -)$  is again a functor  $\text{LTC-}R_\tau \rightarrow \text{LTC-}A_\sigma$ , which maps  $\text{Mod-}R_\tau$  into  $\text{Mod-}A_\sigma$ .

3.3. Let  $N \in \text{LTC-}A_\sigma$ : we can endow  $N \otimes_A P$  with the greatest linear topology that makes continuous all morphisms  $P \rightarrow N \otimes_A P$  of the form

$$n \otimes: p \mapsto n \otimes p$$

for  $n \in N$ . In this way  $N \otimes_A P$  becomes a linearly topologized module over  $(R, \tau)$ , though in general it is not Hausdorff; a local basis for it is the set of  $R$ -submodules  $U$  of  $N \otimes_A P$  such that

$$n \otimes^{-1}(U) = \{p \in P: n \otimes p \in U\}$$

is open for all  $n \in N$ .

DEFINITION.  $N \hat{\otimes}_A P$  is the Hausdorff completion of  $N \otimes_A P$ . We shall denote by  $c_N$  the canonical morphism  $N \otimes_A P \rightarrow N \hat{\otimes}_A P$ .

PROPOSITION. —  $\hat{\otimes}_A P$  is a functor  $\text{LTC-}A_\sigma \rightarrow \text{LTC-}R_\tau$ .

PROOF. It suffices to define the action of morphism  $f: M \rightarrow N$ .

Consider  $f \otimes_A P: M \otimes_A P \rightarrow N \otimes_A P$ : this is continuous and so there exists a unique continuous morphism  $g$  which makes the diagram

$$\begin{array}{ccc} M \otimes_A P & \xrightarrow{f} & N \otimes_A P \\ c_M \downarrow & & \downarrow c_N \\ M \hat{\otimes}_A P & \xrightarrow{g} & N \hat{\otimes}_A P \end{array}$$

commute. Since this is clearly functorial, we get the desired morphism by putting  $f \hat{\otimes}_A P = g$ .  $\square$

3.4. Let  $N \in \text{LTC-}A$ ,  $M \in \text{LTC-}R$  and  $f \in \text{Hom}_A(N, \text{Chom}_R(P, M))$ : then

$$f'(n \otimes p) = f(n)(p) \quad (n \in N, p \in P)$$

defines a continuous morphism  $f': N \otimes_A P \rightarrow M$ : indeed, if  $V$  is an open submodule of  $M$  and  $n \in N$ , then  $n \otimes^{-1}(f'^{-1}(V)) = f(n)^{-1}(V)$ ,

and this is open in  $P$  by assumption. Hence there is a unique morphism  $\zeta(f): N \hat{\otimes}_A P \rightarrow M$  making commutative the diagram

$$\begin{array}{ccc} N \otimes_A P & & \\ \downarrow e_N & \searrow f' & \\ N \hat{\otimes}_A P & \xrightarrow{\zeta(f)} & M \end{array}$$

and we have obtained a group morphism

$$\zeta: \text{Hom}_A(N, \text{Chom}_R(P, M)) \rightarrow \text{Chom}_R(N \hat{\otimes}_A P, M)$$

natural in  $N$  and  $M$ .

3.5. We want to see that the morphism  $\zeta$  of 3.4 is an isomorphism: if  $g \in \text{Chom}_R(N \otimes_A P, M)$ , then we can define  $g': N \rightarrow \text{Hom}_R(P, M)$  by

$$g'(n)(p) = g(e_N(n \otimes p));$$

for all  $n \in N$ , the map  $g'(n)$  is continuous: if  $V \in \mathcal{F}(M)$ , we have

$$g'(n)^{-1}(V) = n \otimes^{-1}((ge_N)^{-1}(V))$$

and this is open by assumption.

We now state a sufficient condition to assure that  $\zeta$  is an isomorphism from  $\text{Chom}_A(N, \text{Chom}_R^u(P, M))$  onto  $\text{Chom}_R(N \hat{\otimes}_A P, M)$ .

DEFINITION. Let  $U$  be an open submodule of  $N \otimes_A P$ : we put

$$N[U] = \{n \in N: \forall p \in P, n \otimes p \in U\}.$$

We denote by  $\mathcal{B}_P$  the class consisting of the modules  $N \in \text{LTC-}A_\sigma$  such that  $N[U]$  is open for any  $U \in \mathcal{F}(N \otimes_A P)$ . We have that  $\text{Mod-}A_\sigma \subseteq \mathcal{B}_P$  and that  $(A, \sigma) \in \mathcal{B}_P$ , since  $A \hat{\otimes}_A P$  is topologically isomorphic to  $P$ .

3.6. Let  $N \in \text{LTC-}A_\sigma$  and  $M \in \text{LTC-}R_\tau$ : consider the two natural morphisms

$$\alpha_N: N \rightarrow \text{Chom}_R^u(P, N \hat{\otimes}_A P)$$

$$\beta_M: \text{Chom}_R^u(P, M) \hat{\otimes}_A P \rightarrow M$$

defined for  $n \in N$ ,  $f \in \text{Chom}_R(P, M) = N'$  and  $p \in P$  by

$$\begin{aligned}\alpha_N(n)(p) &= c_N(n \otimes p) \\ \beta_M(c_{N'}(f \otimes p)) &= f(p).\end{aligned}$$

PROPOSITION. (a)  $\beta_M$  is continuous for all  $M \in \text{LTC-}R_\tau$ ;

(b)  $\alpha_N$  is continuous for all  $N \in \mathcal{B}_P$ ;

(c) if  $N \in \mathcal{B}_P$  and  $M = N \hat{\otimes}_A P$ , then  $\beta_M$  is surjective.

PROOF. (a)  $\beta_M$  is just the unique continuous morphism that makes commutative the diagram

$$\begin{array}{ccc}\text{Chom}_R^u(P, M) \otimes_A P & \xrightarrow{e_M} & M \\ \downarrow & \nearrow \beta_M & \\ \text{Chom}_R^u(P, M) \hat{\otimes}_A P & & \end{array}$$

where  $e_M$  is the valuation (it is easily seen to be continuous).

(b) Trivial.

(c) Let  $N \in \mathcal{B}_P$ ,  $M = N \hat{\otimes}_A P$  and  $f = \beta_M(\alpha_N \hat{\otimes}_A P): M \rightarrow M$ . If  $n \in N$  and  $p \in P$  we have clearly  $f(c_N(n \otimes p)) = c_N(n \otimes p)$ , so that  $f = 1_M$ .  $\square$

3.7. Put  $Q = \text{Chom}_R^u(P, (R, \tau))$ :  $Q_A \in \text{LTC-}A_\sigma$  and  $R$  acts continuously on  $Q$ . Assume that the bimodule  ${}_R Q_A$  satisfies the same hypotheses as  $P$  with  $A$  and  $R$  interchanged; then we can define the functor

$$- \hat{\otimes}_R Q: \text{LTC-}R_\tau \rightarrow \text{LTC-}A_\sigma.$$

PROPOSITION. There exist two natural morphisms

$$\begin{aligned}\gamma: 1_{\text{LTC-}R_\tau} &\rightarrow \text{Chom}_R^u(Q, \text{Chom}_R^u(P, -)) \\ \chi: - \hat{\otimes}_R Q &\rightarrow \text{Chom}_R^u(P, -).\end{aligned}$$

PROOF. For  $M \in \text{LTC-}R_\tau$ ,  $m \in M$  and  $q \in Q$  we define a continuous morphism  $[m, q]: P \rightarrow M$  by

$$[m, q](p) = mq(p);$$

it is easy to see that the mapping  $m \mapsto [m, -]$  is a continuous  $R$ -morphism from  $M$  to  $\text{Chom}_R^u(Q, \text{Chom}_R^u(P, M))$ : this we take as  $\gamma_M$ . In order to find  $\chi$  we observe that  $f_M: M \otimes_R Q \rightarrow \text{Chom}_R(P, M)$  defined by  $f_M(m \otimes q) = [m, q]$  is a continuous morphism, so that there is a unique  $\chi_M$  such that  $\chi_M c_M = f_M$ .  $\square$

#### 4. Similarities between linearly topologized rings.

We shall divide this Section in two parts: in the first one we shall prove that if  $(F: \text{Mod-}A_\sigma \rightarrow \text{Mod-}R_\tau, G: \text{Mod-}R_\tau \rightarrow \text{Mod-}A_\sigma)$  is a similarity between the two complete linearly topologized rings  $(A, \sigma)$  and  $(R, \tau)$  and  ${}_A P_R$  is the bimodule of 2.3, then  $P_R$  is a  $\tau$ -progenerator and  $(A, \sigma)$  is topologically isomorphic to  $\text{Chom}_R(P, P)$  with the topology of uniform convergence.

The second part will be concerned with the inverse of the above result, namely that if we are given a complete linearly topologized ring  $(R, \tau)$  and a  $\tau$ -progenerator  $P_R$ , then the functor  $\text{Chom}_R(P, -)$  defines an equivalence between  $\text{Mod-}R_\tau$  and  $\text{Mod-}A_\sigma$ , where  $(A, \sigma)$  is the ring  $\text{Chom}_R(P, P)$  with the topology of uniform convergence.

##### Part I.

In this part we assume that we are given two complete linearly topologized rings  $(A, \sigma)$  and  $(R, \tau)$  and a similarity between them,  $(F: \text{Mod-}A_\sigma \rightarrow \text{Mod-}R_\tau, G: \text{Mod-}R_\tau \rightarrow \text{Mod-}A_\sigma)$ . With the notations of 2.3 we have

$$G \cong \text{Chom}_R(P, -), \quad F \cong \text{Chom}_A(Q, -)$$

where  $Q = \text{Chom}_R(P, (R, \tau))$  and  $P = \text{Chom}_A(Q, (A, \sigma))$  algebraically (1.4).

**4.1 LEMMA.** *If the notations are as before, then  $Q \cong \text{Chom}_R^u(P, (R, \tau))$  and  $P \cong \text{Chom}_A^u(Q, (A, \sigma))$ .*

**PROOF.** Obviously we can prove this lemma only for  $Q$ .

All the limits in this proof will be taken for  $J \in \mathcal{F}_\tau$ .

By the completeness of  $(R, \tau)$  we have that  $R \cong \lim R/J$ : if  $\lim R/J$  is identified as usual with a submodule of the direct product of the

$R/J$ , then, if  $I$  is an open right ideal of  $(R, \tau)$ ,  $I$  is identified with  $\{(r_J): r_I = 0\} = \{f \in \lim R/J: \pi_I(f) = 0\}$ , where  $\pi_I$  is the canonical projection from the direct product.

A local basis for  $\text{Chom}_R^u(P, (R, \tau))$  is the set of submodules of the form  $\mathfrak{J}(I)$  as  $I \in \mathcal{F}_\tau$ .

Identify  $Q$ , as usual, with a submodule of the direct product  $\prod_{J \in \mathcal{F}_\tau} \text{Chom}_R(P, R/J)$ ; then an element of  $Q$  is a family  $f = (f_J: P \rightarrow R/J)$  of morphisms; if  $h_I$  denotes the projection from the product,  $h_I(f) = f_I$  and a local basis for  $Q$  is the set of submodules of the form  $Q \cap \ker h_I$ .

If  $\varphi: Q \rightarrow \text{Chom}_R(P, \lim R/J)$  is the algebraic isomorphism given in 1.4, then, for  $g: P \rightarrow R$ ,  $\varphi^{-1}(g) = (\pi_J g)$ ; if  $g \in \mathfrak{J}(I)$ , then  $\varphi^{-1}(g)$  is in  $\ker h_I$ . Conversely, if  $f = (f_J) \in \ker h_I$  and  $p \in P$ , then  $\varphi(f)(p) = (f_J(p))$  and this belongs to  $I$  since  $f_I(p) = 0$ .  $\square$

**4.2 LEMMA.**  *$A$  is algebraically isomorphic to  $\text{Chom}_R(P, P)$  and  $\sigma$  is finer than the topology of uniform convergence.*

**PROOF.** Recalling that  $A$  is complete, we get the isomorphisms

$$A \cong \lim A/I \cong \lim GF(A/I) \cong \lim \text{Chom}_R(P, F(A/I))$$

and we get the conclusion by using arguments similar to those of 1.4 (limits are taken for  $I \in \mathcal{F}_\sigma$ ).

Recall that  $P$  is defined as  $\lim F(A/I)$ ; denote by  $l_I: P \rightarrow F(A/I)$  the canonical map of the limit. If  $V \in \mathcal{F}(P)$  then there is  $I \in \mathcal{F}_\sigma$  such that  $V \supseteq \text{Ker } l_I$  and  $\mathfrak{J}(V) \supseteq \mathfrak{J}(\text{Ker } l_I)$ . On the other hand it is plain that  $I \leq \mathfrak{J}(\text{Ker } l_I)$ , since, if  $i \in I$  and  $p \in P$ , then  $(I:i) = A$  and  $l_I(ip) = F(\lambda_{I,i})l_{(I:i)}(p) = 0$  ( $\lambda_{I,i}: A/(I:i) \rightarrow A/I$  is defined in 1.2). Hence  $\mathfrak{J}(V) \supseteq I$  and is open with respect to  $\sigma$ .  $\square$

**4.3.** Since  $P \cong \text{Chom}_A^u(Q, (A, \sigma))$ , there exists by 3.7 a natural morphism  $\chi: - \hat{\otimes}_A P \rightarrow \text{Chom}_A^u(Q, -)$ . For  $N \in \mathcal{B}_P$  put  $M = N \hat{\otimes}_A P$  and  $(\alpha_N)_* = \text{Chom}_A^u(Q, \alpha_N)$ ; then

$$(\alpha_N)_* \circ \chi_N: N \hat{\otimes}_A P \rightarrow \text{Chom}_A^u(Q, \text{Chom}_R^u(P, M));$$

if  $n \in N$ ,  $p \in P$  and  $t = c_N(n \otimes p)$  we have

$$(\alpha_N)_* \circ \chi_N(t) = (\alpha_N)_*([n, p]) = \alpha_N \circ [n, p]$$

and, for  $q \in Q$ ,  $x \in P$ ,

$$(\alpha_N \circ [n, p])(q)(x) = \alpha_N(np(q))(x) = c_N(np(q) \otimes x) = c_N(n \otimes p(q)x).$$

If we identify  $Q_A$  with  $\text{Chom}_R^u(P, (R, \tau))$  then

$$\gamma_M(t)(q)(x) = [t, q](x) = tq(x) = c_N(n \otimes pq(x)).$$

But the elements  $pq(x) \in P$  and  $p(q)x \in P = \text{Chom}_A^u(Q, A)$  are the same with respect to the identifications we made: indeed the isomorphism  $P \rightarrow \text{Chom}_A^u(Q, A)$  is defined by  $p \mapsto \tilde{p}$ , where  $p(q)$  is the only element in  $A$  such that for all  $x \in P$

$$(\tilde{p}(q))x = pq(x).$$

Hence  $(\alpha_N)_* \circ \chi_N$  is an isomorphism and  $\chi_N$  is injective.

From the above it follows immediately that if  $N$  is discrete, then also  $N \hat{\otimes}_A P$  is. Indeed  $\chi_N$  is a continuous injective morphism from  $N \hat{\otimes}_A P$  into  $\text{Chom}_A^u(Q, N)$  which is discrete.

4.4 THEOREM.  $F$  is naturally isomorphic to  $-\hat{\otimes}_A P$ .

PROOF. Since  $\text{Mod-}A_\sigma$  is contained in  $\mathfrak{B}_p$ , it follows from 3.4 and 3.5 that  $-\hat{\otimes}_A P$  is a left adjoint of  $\text{Chom}_R(P, -): \text{Mod-}R_\tau \rightarrow \text{Mod-}A_\sigma$ ; by the uniqueness of adjoints we get the conclusion.  $\square$

4.5 COROLLARY. If  $I \in \mathcal{F}_\sigma$ , then

$$F(A/I) = P/\overline{IP}$$

where  $\overline{IP}$  is the closure of  $IP$  in  $P$ .

PROOF. It is well known that  $A/I \otimes_A P \cong P/IP$ , by means of  $f: (a + I) \otimes p \mapsto ap + IP$  ( $a \in A, p \in P$ ). Let us verify that  $f$  is a homeomorphism, if we endow  $P/IP$  with the quotient topology.

(i) If  $V \in \mathcal{F}(P)$  and  $V \supseteq IP$  then, for any  $a \in A$  we have

$$(a + I) \otimes^{-1}(f^{-1}(V/IP)) = \{p \in P: ap \in V\}.$$

(ii) Let  $U \in \mathcal{F}(A/I \otimes_A P)$ : then  $V = (1 + I) \otimes^{-1}(U) \in \mathcal{F}(P)$ ; but  $p \in V$  implies  $p \in f(U)$ , hence  $V \geq f(U)$ , so that  $f$  is open.

The Hausdorff space associated to  $P/IP$  is  $P/\overline{IP}$ ; moreover  $A/I \hat{\otimes}_A P$  is the Hausdorff completion of  $P/\overline{IP}$  and so there exists a topological imbedding  $P/IP \rightarrow A/I \otimes_A P$ . By 4.4,  $A/I \hat{\otimes}_A P$  is discrete, so that the domain is discrete too, hence complete.  $\square$

4.6 THEOREM.  $P_R$  is a  $\tau$ -progenerator and  $(A, \sigma) = \text{Chom}_R^u(P, P)$ .

PROOF. Let  $V \in \mathcal{F}(P)$ : then  $V \geq \text{Ker } l_I$  for some open right ideal  $I$  of  $A$  (where  $P = \lim_{I \in \mathcal{F}_\sigma} F(A/I)$  and, for  $I \in \mathcal{F}_\sigma$ ,  $l_I$  is the canonical map of the limit). Then there is an epimorphism  $F(A/I) \rightarrow P/V$  and, by applying  $G$ , we get an epimorphism  $A/I \rightarrow G(P/V)$ ; hence  $V$  is of the form  $\text{Ker } l_J$ , for some open ideal  $J$  of  $(A, \sigma)$ .

(i)  $P_R$  is topologically finitely generated. It is sufficient to prove that  $F(A/I)$  is finitely generated for all open right ideals  $I$  of  $(A, \sigma)$ ; but this follows from the fact that  $F$  has a right adjoint, so that it preserves colimits.

(ii)  $P_R$  is topologically quasi-projective. If  $V \in \mathcal{F}(P)$  then we can consider  $P/V = F(A/I)$ ; if  $f: P \rightarrow P/V$  is a continuous morphism then we have  $f_* = \text{Chom}_R^u(P, f): \text{Chom}_R^u(P, P) \rightarrow A/I$ , and  $f_*(1) = a + I$  for some  $a \in A$ .

(iii)  $P_R$  is a self-generator. Let  $V \in \mathcal{F}(P)$ : then  $P/V = F(A/I)$  and  $IP \leq V$ , so that also  $\overline{IP} \leq V$ . Then we have

$$P/V \cong F(A/I) \cong A/I \hat{\otimes}_A P \cong P/\overline{IP} \rightarrow P/V$$

and the composition is the identity, so that  $\overline{IP} = V$ .

(iv)  $P_R$  is a  $\tau$ -generator. Indeed  $P_R$  is clearly a module over  $R_\tau$ ; if  $f$  is a non-zero morphism in  $\text{Mod-}R_\tau$  then  $G(f) \neq 0$  in  $\text{Mod-}A_\sigma$  so that there are an open right ideal  $I$  in  $(A, \sigma)$  and a morphism  $g$  with domain  $A/I$  such that  $G(f)g \neq 0$ .

It remains to see that  $\sigma$  is coarser than the topology of uniform convergence. Let  $I$  be an open ideal in  $(A, \sigma)$ : then  $I \leq \mathfrak{I}(\overline{IP})$  and we have

$$\text{Chom}_R(P, P/\overline{IP}) \cong G(A/I \hat{\otimes}_A P) \cong GF(A/I) \cong A/I$$

and a monomorphism of  $A/\mathfrak{I}(\overline{IP})$  into  $\text{Chom}_R(P, P/\overline{IP})$ . Therefore  $I = \mathfrak{I}(IP)$  is open in the topology of uniform convergence.  $\square$

*Part II.*

In this part of Section 4 we fix a linearly topologized ring  $(R, \tau)$ . First we need a definition and a lemma.

**DEFINITION.** Let  $P_R \in \text{LTC-}R_\tau$  and  $M \in \text{Mod-}R$ : we say that  $P_R$  is (*topologically*) *M-projective* if for all submodules  $L$  of  $M_R$  and all continuous morphisms  $f: P \rightarrow M/L$  there exists a continuous morphism  $g: P \rightarrow M$  such that the following diagram is commutative ( $M$  and  $M/L$  are endowed with the discrete topology; the row is the projection)

$$\begin{array}{ccc} & P & \\ g \swarrow & \downarrow f & \\ M & \rightarrow M/L \rightarrow 0 \end{array}$$

We denote by  $\mathfrak{P}(P_R)$  the class of those  $R$ -modules  $M$  such that  $P$  is  $M$ -projective.

**4.7 LEMMA.**  $\mathfrak{P}(P_R)$  is closed under

- (i) homomorphic images;
- (ii) submodules;
- (iii) finite direct sums.

If  $P_R$  is t.f.g. then  $\mathfrak{P}(P_R)$  is also closed under infinite direct sums.

The proof is the same as the proof of Proposition 16.12 in [1].

**4.8 THEOREM.** If  $P_R$  is a  $\tau$ -progenerator,  $A = \text{Chom}_R(P, P)$  and  $\sigma$  is the topology of uniform convergence on  $A$ , then the pair

$$(\text{Chom}_R(P, -), - \hat{\otimes}_A P)$$

is an equivalence between  $\text{Mod-}R_\tau$  and  $\text{Mod-}A_\sigma$ .

We divide the proof into several steps.

(4.8.1) **DEFINITION.**  $\mathfrak{T}_P$  is the class consisting of those modules  $N$  in  $\text{Mod-}A_\sigma$  such that  $N \hat{\otimes}_A P$  is discrete.

If  $N \in \text{Mod-}A_\sigma$ , then we denote by  $K(N)$  the kernel of the topology of  $N \otimes_A P$ : it is obvious that  $N \in \mathfrak{C}_P$  iff  $K(N)$  is open in  $N \otimes_A P$ .

---

(4.8.2)  $\mathfrak{C}_P$  is closed with respect to direct sums.

Let  $(N_\lambda)_{\lambda \in A}$  be a family in  $\mathfrak{C}_P$  and  $N = \bigoplus_\lambda N_\lambda$ : then  $N \otimes_A P \cong \bigoplus_\lambda (N_\lambda \otimes_A P)$  algebraically, so we can identify them.

Let  $x = (x_\lambda)_{\lambda \in A} \in \bigoplus_\lambda N_\lambda$ : if we take for all  $\lambda \in A$  an open submodule  $U$  of  $N \otimes_A P$ , then

$$x \otimes^{-1} \left( \sum_\lambda U_\lambda \right) = \{p \in P: x_\lambda \otimes p \in U_\lambda, \forall \lambda\} = \bigcap_{\lambda \in A} x_\lambda \otimes^{-1}(U_\lambda)$$

which is open in  $P$ , because  $\mathcal{F}(P)$  is closed under finite intersections and  $x_\lambda \otimes^{-1}(U_\lambda) = P$  for almost all  $\lambda$ . This shows that any submodule of  $N \otimes_A P$  of the form  $\sum_\lambda U_\lambda$  ( $U_\lambda$  open in  $N_\lambda \otimes_A P$ ) is open. Let now  $V$  be an open submodule of  $N \otimes_A P$ : put  $V_\lambda = V \cap (N_\lambda \otimes_A P)$  and take  $n_\lambda \in N_\lambda$ . We have

$$n_\lambda \otimes^{-1}(V_\lambda) = \{p \in P: n_\lambda \otimes p \in V_\lambda\} = \{p \in P: n_\lambda \otimes p \in V\} = n_\lambda \otimes^{-1}(V)$$

( $n_\lambda$  is considered first in  $N_\lambda$  and then in  $N$ ). Hence  $V$  contains a submodule of the form  $\sum_\lambda U_\lambda$ , with each  $U_\lambda$  open in  $N_\lambda \otimes_A P$ . Therefore  $K(N) \supseteq \sum_\lambda K(N_\lambda)$  and  $N$  is in  $\mathfrak{C}_P$ .

---

(4.8.3)  $\mathfrak{C}_P$  is closed with respect to homomorphic images.

Let  $N \in \mathfrak{C}_P$  and  $L$  be a submodule of  $N_A$ . If  $\pi: N \rightarrow N/L$  is the canonical projection and  $f = \pi \otimes_A P$ , one can easily verify that  $f$  is open and surjective and that the open submodules of  $N/L \otimes_A P$  are precisely those of the form  $f(U)$ , with  $U$  open in  $N \otimes_A P$  and  $U \supseteq \text{Ker } f$ . Let  $n \in N$ : then  $(n + L) \otimes^{-1}(K(N/L)) \supseteq n \otimes^{-1}(K(N))$ .

---

(4.8.4)  $\mathfrak{C}_P$  contains a family of generators of  $\text{Mod-}A_\sigma$ .

It suffices to show that if  $V \in \mathcal{F}(P)$  and  $I = \mathfrak{J}(V)$ , then  $A/I \in \mathfrak{C}_P$ : The canonical isomorphism  $A/I \otimes_A P \rightarrow P/IP$  is a homeomorphism and the Hausdorff space associated to  $P/IP$  is  $P/\overline{IP}$  (see 4.5). Since  $P$  is a self-generator,  $\overline{IP} = V$  and so  $P/\overline{IP}$  is discrete.

(4.8.5)  $\mathfrak{C}_P = \text{Mod-}A_\sigma$ .

Follows easily from the arguments above.

(4.8.6) *The functor  $-\hat{\otimes}_A P: \text{Mod-}A_\sigma \rightarrow \text{Mod-}R_\tau$  is left adjoint to the functor  $\text{Chom}_R(P, -): \text{Mod-}R_\tau \rightarrow \text{Mod-}A_\sigma$ . Consequently it is right exact and preserves colimits.*

This comes from 3.3 and 3.4.

(4.8.7)  *$\text{Chom}_R(P, -)$  commutes with direct sums in  $\text{Mod-}R_\tau$ .*

Since  $P_R$  is t.f.g. the result is almost obvious.

Consider, for  $N \in \text{Mod-}A_\sigma$  and  $M \in \text{Mod-}R_\tau$ , the morphisms of 3.5

$$\begin{aligned}\alpha_N: N &\rightarrow \text{Chom}_R^u(P, N\hat{\otimes}_A P) \\ \beta_M: \text{Chom}_R^u(P, M)\hat{\otimes}_A P &\rightarrow M\end{aligned}$$

(4.8.8) *If  $(M_\lambda)_{\lambda \in \Lambda}$  is a family in  $\text{Mod-}R_\tau$  such that  $\beta_{M_\lambda}$  is an isomorphism for all  $\lambda$  and  $M = \bigoplus_\lambda M_\lambda$ , then  $\beta_M$  is an isomorphism too.*

It suffices to consider the chain of isomorphisms

$$\begin{aligned}\text{Chom}_R(P, M)\hat{\otimes}_A P &\cong (\bigoplus_\lambda \text{Chom}_R(P, M_\lambda))\hat{\otimes}_A P \cong \\ &\cong \bigoplus_\lambda (\text{Chom}_R(P, M_\lambda)\hat{\otimes}_A P) \cong M\end{aligned}$$

(where the last morphism is  $\bigoplus_\lambda \beta_{M_\lambda}$ ); the resulting morphism is easily seen to be  $\beta_M$ .

(4.8.9) *If  $V \in \mathcal{F}(P)$  then  $\beta_{P/V}$  is an isomorphism. Therefore, if  $\Gamma_R$  denotes the direct sum of all  $P/V$  as  $V$  runs through  $\mathcal{F}(P)$ , then  $\Gamma_R$  is a generator of  $\text{Mod-}R_\tau$  such that, if  $X$  is any set and  $M = \Gamma^{(X)}$ , then  $\beta_M$  is an isomorphism.*

From the fact that  $P_R$  is quasi-projective it follows that  $\text{Chom}_R(P, P/V) \cong A/\mathfrak{J}(V)$ . Hence the first statement follows from the proof of 4.8.4, while the second one is an application of 4.8.8 and the fact that  $P$  is a  $\tau$ -generator.

(4.8.10)  *$\beta_M$  is an isomorphism for all  $M \in \text{Mod-}R_\tau$ .*

Let  $M \in \text{Mod-}R_\tau$ : there exists a  $\Gamma$ -resolution of  $M$ , i.e. an exact sequence of the form

$$\Gamma^{(X)} \rightarrow \Gamma^{(Y)} \rightarrow M \rightarrow 0.$$

From this (and applying 4.7) we get the following commutative dia-

gram with exact rows

$$\begin{array}{ccccccc}
 \text{Chom}_R(P, \Gamma^{(X)}) \hat{\otimes}_A P & \rightarrow & \text{Chom}_R(P, \Gamma^{(Y)}) \hat{\otimes}_A P & \rightarrow & \text{Chom}_R(P, M) \hat{\otimes}_A P & \rightarrow & 0 \\
 \downarrow \beta_{\Gamma(X)} & & \downarrow \beta_{\Gamma(Y)} & & \downarrow \beta_M & & \\
 \Gamma^{(X)} & \longrightarrow & \Gamma^{(Y)} & \longrightarrow & M & \longrightarrow & 0
 \end{array}$$

and  $\beta_M$  is an isomorphism by the Five Lemma.

(4.8.11)  $\alpha_N$  is an isomorphism for all  $N \in \text{Mod-}A_\sigma$ .

Let  $V \in \mathcal{F}(P)$ : from the isomorphisms

$$\text{Chom}_R(P, A/\mathfrak{J}(V) \hat{\otimes}_A P) \cong \text{Chom}_R(P, P/V) \cong A/\mathfrak{J}(V)$$

one deduces easily that  $\alpha_{A/\mathfrak{J}(V)}$  is an isomorphism. Moreover, denoting by  $\Delta_A$  the direct sum of all  $A/\mathfrak{J}(V)$  as  $V$  runs through  $\mathcal{F}(P)$ , it is clear that  $\Delta$  is a generator of  $\text{Mod-}A_\sigma$ , such that, if  $X$  is a set and  $N = \Delta^{(X)}$ ,  $\alpha_N$  is an isomorphism. By reasoning as in 4.8.10 we get the conclusion.  $\square$

We are now in the position to state our main

**4.9 THEOREM.** *Let  $(A, \sigma)$  and  $(R, \tau)$  be complete linearly topologized rings and  $(F: \text{Mod-}A_\sigma \rightarrow \text{Mod-}R_\tau, G: \text{Mod-}R_\tau \rightarrow \text{Mod-}A_\sigma)$  a similarity. Then there exists a  $\tau$ -progenerator  $P_R$  such that:*

(a)  $(A, \sigma)$  is topologically isomorphic to  $\text{Chom}_R(P, P)$  endowed with the topology of uniform convergence;

(b)  $G \cong \text{Chom}_R(P, -)$ ;

(c)  $F \cong - \hat{\otimes}_A P$ .

*Conversely, let  $(R, \tau)$  be a linearly topologized ring,  $P_R$  a  $\tau$ -progenerator and  $(A, \sigma) = \text{Cend}^u(P_R)$ : then we have the similarity*

$$(- \hat{\otimes}_A P: \text{Mod-}A_\sigma \rightarrow \text{Mod-}R_\tau, \text{Chom}_R(P, -): \text{Mod-}R_\tau \rightarrow \text{Mod-}A_\sigma).$$

## 5. Quasiprogenerators.

**5.1 DEFINITION.** Let  $P \in \text{LTC-}R$ : we denote by

(i)  $\text{Gen}(P_R)$  the full subcategory of  $\text{Mod-}R$  generated by the set

$\{P/V: V \in \mathcal{F}(P)\}$ , i.e. the smallest full subcategory of  $\text{Mod-}R$  containing each  $P/V$  ( $V \in \mathcal{F}(P)$ ) and closed under direct sums and homomorphic images;

(ii)  $\overline{\text{Gen}}(P_R)$  the smallest full subcategory of  $\text{Mod-}R$  containing  $\text{Gen}(P_R)$  and closed under submodules.

If  $M \in \text{LTC-}R$  we denote by  $\text{Tr}_M(P)$  the submodule of  $M$  generated by  $\text{Im } f$  as  $f \in \text{Chom}_R(P, M)$ .

The  $P$ -topology  $\tau_P$  on  $R$  is the linear topology having as a local basis the set of right ideals  $J$  of  $R$  such that  $R/J \in \overline{\text{Gen}}(P_R)$ .

5.2 REMARKS. If  $P$  is discrete, then these notations coincide with those of Fuller in [3]. It is clear that  $P$  is a topological module over  $(R, \tau_P)$ .

5.3 LEMMA. *If  $P_R$  is a quasiprogenerator then  $\text{Gen}(P_R) = \overline{\text{Gen}}(P_R)$ .*

PROOF. The proof runs exactly as that of Lemma 2.2 in [3]. The only thing to show is that  $P$  generates all the submodules of  $P/V$ , for  $V \in \mathcal{F}(P)$ . Assume then that  $V \in \mathcal{F}(P)$  and that  $W \geq V$ ; if  $w \in W$  then there exists a net  $(w_\delta)$  in  $\text{Tr}_W(P)$  convergent to  $w$  (recall that  $P$  is a self-generator). But then there exists  $\delta$  such that  $w - w_\delta \in V$ , so, that  $w + V = w_\delta + V \in \text{Tr}_{P/V}(P)$ .  $\square$

5.4 THEOREM. *Let  $P_R \in \text{LTC-}R$  and put  $(A, \sigma) = \text{Cend}^u(P_R)$ . The following conditions are equivalent:*

- (a)  $\text{Chom}_R(P, -)$  is an equivalence between  $\overline{\text{Gen}}(P_R)$  and  $\text{Mod-}A_\sigma$ ;
- (b)  $P_R$  is a quasiprogenerator.

*If these conditions are fulfilled then the inverse equivalence is  $-\hat{\otimes}_A P$  (cf. [3, Theorem 2.6]).*

PROOF. If  $(\hat{R}, \hat{\tau}_P)$  denotes the Hausdorff completion of  $(R, \tau_P)$  then it is clear by 5.3 that  $P_R$  is a quasiprogenerator if and only if it is a  $\hat{\tau}_P$ -progenerator. The second part of the theorem comes then directly from 4.9.  $\square$

In analogy with 3 we want to prove a density theorem for quasiprogenerators. From now on  $P_R$  will be a quasiprogenerator and  $(A, \sigma) = \text{Cend}^u(P_R)$ .

**5.5 LEMMA.** *Let  $n \in \mathbb{N}$  and give  $P^n$  the product topology. If  $U$  is an open submodule of  $P^n$  and we put  $\mathfrak{J}(U) = \{f \in \text{Chom}_R(P, P^n) : \text{Im } f \leq U\}$ , then  $\overline{\mathfrak{J}(U)P} = U$ ; in other words  $P_R$  generates (topologically) all open submodules of  $P^n$ .*

**PROOF.** It is clear that  $\text{Chom}_R^u(P, P^n) = A^n$  with the product topology and that  $\mathfrak{J}(U)$  is open in  $A^n$ . Consider the canonical morphisms

$$\varphi: A^n/\mathfrak{J}(U) \rightarrow \text{Chom}_R(P, P^n/U)$$

$$\psi: A^n/\mathfrak{J}(U) \otimes_A P \rightarrow P^n/\mathfrak{J}(U)P;$$

the first one is injective, while the second is a topological isomorphism (it is defined like the  $f$  in the proof of 4.5).

Since  $A^n/\mathfrak{J}(U) \in \text{Mod-}A_\sigma$ , the Hausdorff completion of  $P^n/\mathfrak{J}(U)P$  is discrete, so that  $\overline{\mathfrak{J}(U)P}$  is open (and contained in  $U$ ). We have the canonical epimorphism  $h: P^n/\overline{\mathfrak{J}(U)P} \rightarrow P^n/U$ : if we apply  $\text{Chom}_R(P, -)$  and recall that  $P^n/\overline{\mathfrak{J}(U)P} = A^n/\mathfrak{J}(U) \hat{\otimes}_A P$  we get an epimorphism  $A^n/\mathfrak{J}(0) \rightarrow \text{Chom}_R(P, P^n/U)$  which is easily seen to be  $\varphi$ . Thus  $h$  is an isomorphism and  $\overline{\mathfrak{J}(U)P} = U$ .  $\square$

**5.6** Consider the ring  $B = \text{Cend}({}_A P)$  of all continuous  $A$ -endomorphisms of  $P$  and on  $B$  the topology of pointwise convergence, which has as a local basis the family of sets of the form

$$W(x_1, \dots, x_n; V) = \{\xi \in B : (x_i)\xi \in V, 1 \leq i \leq n\}$$

for  $n \in \mathbb{N}$ ,  $x_i \in P$  and  $V \in \mathcal{F}(P)$ . Let  $\psi: R \rightarrow B$  be the canonical morphism: if we give  $R$  the  $P$ -topology  $\tau_P$ , then  $\psi$  is continuous. Indeed if  $x \in P$  and  $V \in \mathcal{F}(P)$  we have that  $\psi^{-1}(W(x; V)) = (V:x)$ , and this is an open ideal of  $(R, \tau_P)$ . It is obvious then that  $\psi(R)$  is dense in  $B$  if and only if  $P$  satisfies the following condition

(D) *for all  $b \in B$ , for each choice of  $x_1, \dots, x_n$  in  $P$  and for all  $V \in \mathcal{F}(P)$ , there exists  $r \in R$  such that, for  $i = 1, \dots, n$ ,  $x_i r \in x_i b + V$ .*

We now see that if  $P_R$  is a quasiprogenerator then it satisfies condition (D).

Let  $U$  be an open submodule of  $P^n$ : by 5.5,  $\overline{\mathfrak{J}(U)P} = U$ . Hence

$$UB = \overline{(\mathfrak{J}(U)P)B} \leq \overline{(\mathfrak{J}(U)P)B} = \overline{\mathfrak{J}(U)(PB)} = \overline{\mathfrak{J}(U)P} = U.$$

Now, if  $(x_1, \dots, x_n) \in P^n$  and  $U = V^n$  (for  $V \in \mathcal{F}(P)$ ), we have

$$((x_1, \dots, x_n) + V^n)B \leq (x_1, \dots, x_n)R + V^n$$

and we are done.

**5.7 DENSITY THEOREM FOR QUASIPROGENERATORS.** *Let  $P_R \in \text{LTC-}R$  be a quasiprogenerator,  $(A, \sigma) = \text{Cend}^u(P_R)$ ,  $B = \text{Cend}({}_A P)$  and give  $B$  the topology  $\beta$  of pointwise convergence. The canonical morphism*

$$\psi: (R, \tau_P) \rightarrow (B, \beta)$$

*is continuous, open on its image and  $\psi(R)$  is dense in  $(B, \beta)$ .*

**PROOF.** We have only to prove that  $\psi$  is open on its image: let  $J$  be an open right ideal of  $R$ . Since  $R/J \in \overline{\text{Gen}}(P_R) = \text{Gen}(P_R)$ , there are an open submodule  $V$  of  $P_R$  and an epimorphism  $f: (P/V)^n \rightarrow R/J$ .

If  $f(x_1 + V, \dots, x_n + V) = 1 + J$ , it is clear that  $J \supseteq \bigcap_{i=1}^n (V : x_i)$ , so that  $\psi(J)$  contains  $W(x_1, \dots, x_n; V) \cap \psi(R)$ .  $\square$

Assume that  $P_R$  is strictly linearly compact (i.e. topologically artinian and complete) and that  $(R, \tau_P)$  is complete: then  $(R, \tau_P)$  is strictly linearly compact too and so  $\psi(R) = B$ . Hence the topology induced on  $B$  by  $R$  is strictly linearly compact and therefore minimal, so that it coincides with the topology of pointwise convergence, which is Hausdorff. (For a detailed treatment of strictly linearly compact modules and rings see e.g. [4]).

**5.8 COROLLARY.** *Let  $P_R$  be a strictly linearly compact quasiprogenerator,  $\tau_P$  the  $P$ -topology on  $R$  and  $A = \text{Cend}(P_R)$ . Then the Hausdorff completion of  $(R, \tau_P)$  is  $B = \text{Chom}_A(P, P)$  with the topology of pointwise convergence.*

## 6. Examples.

The theory developed so far is very similar to that of 3. We shall now see that we have reached an effective generalization by giving some examples.

*Example of a non discrete quasiprogenerator.*

Let  $R$  be a ring,  $(S_\gamma)_{\gamma \in \Gamma}$  a family of pairwise non-isomorphic simple  $R$ -modules and  $P_R$  the product of all  $S_\gamma$ , endowed with the product topology of the discrete topologies. Moreover let  $G = \bigoplus_\gamma S_\gamma$ , with the relative topology:  $G$  is dense in  $P$ . Finally set  $(A, \sigma) = (\text{Chom}_R^u(P, P)$  and, for all  $\gamma \in \Gamma$ ,  $D_\gamma = \text{End}(S_\gamma)$ ; we put on each  $D_\gamma$  the discrete topology.

**6.1 THEOREM.** *With the notations as above,  $P_R$  is a quasiprogenerator, which is not discrete if  $\Gamma$  is infinite.*

**PROOF.** (a)  $(A, \sigma)$  is topologically isomorphic to  $\prod_\gamma D_\gamma$ .

For all  $\gamma \in \Gamma$ , we have that  $\text{Hom}_R(G, S_\gamma) = \text{Chom}_R(G, S_\gamma)$ . Hence we have the algebraic isomorphisms

$$\begin{aligned} A = \text{Chom}_R(P, P) &\cong \prod_\gamma \text{Chom}_R(P, S_\gamma) \cong \prod_\gamma \text{Chom}_R(G, S_\gamma) \cong \\ &\cong \prod_\gamma \text{Hom}_R(G, S_\gamma) = \prod_\gamma \prod_\delta \text{Hom}_R(S_\delta, S_\gamma) \cong \prod_\gamma D_\gamma; \end{aligned}$$

if  $d = (d_\gamma)_{\gamma \in \Gamma} \in \prod_\gamma D_\gamma$ , its action on  $x = (x_\gamma)_{\gamma \in \Gamma} \in P$  is given by  $dx = (d_\gamma x_\gamma)_{\gamma \in \Gamma}$ . A local basis of  $P$  is the family of sets

$$W(F) = \{(x_\gamma)_{\gamma \in \Gamma} \in P : x_\gamma = 0 \text{ if } \gamma \in F\}$$

as  $F$  runs through the finite subsets of  $\Gamma$ . Then, identifying  $A$  with  $\prod_\gamma D_\gamma$ , one has

$$\mathfrak{J}(W(F)) = \{(d_\gamma)_{\gamma \in \Gamma} \in \prod_\gamma D_\gamma : d_\gamma = 0 \text{ if } \gamma \in F\},$$

so that the topology of uniform convergence coincides with the product topology of the discrete topologies.

(b)  $P_R$  is t.f.g.; any open submodule of  $P_R$  is of the form  $W(F)$ , for some finite subset  $F$  of  $\Gamma$ .

Let  $V \in \mathcal{F}(P)$ : there exists  $F \subseteq \Gamma$ , finite, such that  $V \supseteq W(F)$ . Then we have an epimorphism  $P/W(F) \rightarrow P/V$ , which splits, since  $P/W(F) \cong \prod_{\gamma \in F} S_\gamma$ . The conclusion is now obvious.

(c)  $P_R$  is topologically quasi-projective.

Let  $F$  be a finite subset of  $\Gamma$  and  $f: P \rightarrow P/W(F)$  be a continuous morphism. Then by (b) the kernel of  $f$  is of the form  $W(F')$  for some  $F' \subseteq \Gamma$ , finite. Hence we can consider  $f': \prod_{\gamma \in F'} S_\gamma \rightarrow \prod_{\gamma \in F'} S_\gamma$ : extending this by zero, we obtain the desired  $g: P \rightarrow P$ .

(d)  $P_R$  is a self-generator.

Obvious from (a) and (b).

If the set  $\Gamma$  is not finite, then the topology on  $P$  is not discrete.  $\square$

*Example of a non discrete  $\tau$ -progenerator.*

**6.2 THEOREM.** *Let  $(R, \tau)$  be a complete linearly topologized ring and  $X$  a non empty set; consider the direct product  $R^X$  with the product topology. Then  $R^X$  is a  $\tau$ -progenerator.*

**PROOF.** (a)  $R^X$  is topologically finitely generated.

A local basis for  $R^X$  is the set of submodules of the form  $\prod_{x \in X} I_x$  where  $I_x \in \mathcal{F}_\tau$  for all  $x \in X$  and  $I_x = R$  for all but a finite number of  $x$  in  $X$ . Take  $V = \prod_{x \in X} I_x \leq R^X$  to be one of them: if  $F = \{x \in X: I_x \neq R\}$  then  $R^X/V = \bigoplus_{\gamma \in F} R/I_\gamma$ , which is finitely generated.

(b)  $R^X$  is a  $\tau$ -generator.

Let  $f: M \rightarrow N$  be a non zero morphism in  $\text{Mod-}R_\tau$ . Fix  $m \in M$  with  $f(m) \neq 0$  and  $y \in X$ : we can define a continuous morphism  $g: R^X \rightarrow M$  by

$$g((r_x)_{x \in X}) = mr_y$$

and  $fg \neq 0$ .

(c)  $R^X$  is  $\tau$ -projective.

For  $y \in X$ ,  $e_y = (r_x)_{x \in X} \in R^X$ , where  $r_x = 0$  if  $x \neq y$  and  $r_y = 1$ .

Suppose we are given an epimorphism  $f: M \rightarrow N$  in  $\text{Mod-}R_\tau$  and a continuous morphism  $g: R^X \rightarrow N$ . The kernel of  $g$  is open so that it contains a submodule of the form  $V = \prod_{x \in X} I_x$  of the type mentioned above. Let  $F = \{x \in X: I_x \neq R\}$ : then  $F$  is finite and for  $x \notin F$  we have  $e_x \in V$  and  $g(e_x) = 0$ . If we put  $n_x = g(e_x)$ , these are almost all zero.

Take, for  $x \in X$ ,  $m_x \in M$  such that  $f(m_x) = n_x$ , with  $m_x = 0$  if  $x \notin F$ . Now we get a continuous morphism  $h: R^X \rightarrow M$  by putting

$$h((r_x)_{x \in X}) = \sum_{x \in X} m_x r_x$$

(where we sum only the finitely many non zero terms), since

$$\ker h \supseteq \prod_{x \in X} \text{Ann}_R(m_x)$$

which is open in  $R^X$ . It is easy to see that  $fh$  and  $g$  coincide on the direct sum  $R^{(X)}$ , and this one is dense in  $R^X$ .

(d)  $R^X$  is *quasiprojective*.

Let  $V$  be an open submodule of  $R^X$ ,  $\pi: R^X \rightarrow R^X/V$  the projection and  $f: R^X \rightarrow R^X/V$  a continuous morphism; for  $x \in X$  take  $m_x \in R^X$  such that  $\pi(m_x) = f(e_x)$ , with the condition that  $m_x = 0$  whenever  $f(e_x) = 0$ : by reasoning as above we can see that the set  $\{x \in X: m_x \neq 0\}$  is finite.

Define  $g_x: R \rightarrow R^X$  by  $g_x(r) = m_x r$ : thus we have the codiagonal morphism  $g: R^{(X)} \rightarrow R^X$ , which is continuous if we endow  $R^{(X)}$  with the relative topology of  $R^X$ , as it is easily proved by taking into account the fact that almost all the  $g_x$ 's are zero. Hence there is a unique extension of  $g$  to a continuous endomorphism  $g$  of  $R^X$ . It is plain that  $g$  coincides with  $f$  on  $R^{(X)}$  and so  $\pi g = f$ .

(e)  $R^X$  is a *self-generator*.

Let  $V$  be an open submodule of  $R^X$  and let  $\prod_{x \in X} I_x$  be an open basic neighbourhood of zero of the type mentioned above; if  $F = \{x \in X: I_x \neq R\}$  then  $F$  is finite. Let  $X(F)$  denote the directed set of all finite subsets of  $X$  which contain  $F$ .

If  $Y$  is a finite subset of  $X$ , we may define a continuous endomorphism  $f_Y: R^X \rightarrow R^X$  by

$$f_Y((r_x)_{x \in X}) = (s_x)_{x \in X},$$

where  $s_x = r_x$  if  $x \in Y$  and  $s_x = 0$  if  $x \notin Y$ . It is clear that for all  $Y \in X(F)$  we have  $f_Y(V) \subseteq V$ , so that any element  $r$  of  $V$  is the limit in  $V$  of the net  $(f_Y(r))_{Y \in X(F)}$ .

Now we are done, because it is clear that any element of  $V$ , having at most finitely many non zero components, belongs to the image of a continuous morphism  $R^x \rightarrow V$ .  $\square$

## 7. Commutative rings.

DEFINITION. (a) Let  $R$  be a ring and  $\tau, \tau'$  right linear topologies on it: we say that  $\tau$  and  $\tau'$  are *equivalent* if any right ideal of  $R$  closed for one topology is closed also for the other.

(b) If  $(R, \tau)$  and  $(A, \sigma)$  are linearly topologized rings, we say that  $\tau$  and  $\sigma$  are *equivalent* if  $R$  and  $A$  are isomorphic and  $\tau$  and  $\sigma$  become equivalent topologies when we identify  $R$  and  $A$ .

7.1 THEOREM. *Let  $(R, \tau)$  and  $(A, \sigma)$  be linearly topologized rings and assume that they are commutative, complete, and similar: then*

- (i)  *$R$  and  $A$  are (algebraically) isomorphic;*
- (ii)  *$\tau$  and  $\sigma$  are equivalent topologies.*

PROOF. Let  $P_R$  be the  $\tau$ -progenerator which gives the similarity: there exists an injective ring morphism  $\psi: R \rightarrow \text{Cend}(P_R) = A$ . We want to show that for any open ideal  $I$  of  $A$ ,  $\psi^{-1}(I)$  is closed in  $R$ ; assume then that  $I = \mathfrak{J}(V)$ , with  $V \in \mathcal{F}(P)$ :

$$\psi^{-1}(\mathfrak{J}(V)) = \{r \in R: Pr \leq V\} = (V:P) = \bigcap_{p \in P} (V:p)$$

which is closed in  $R$ .

By the commutativity of  $A$  there is an injective ring morphism  $\varphi: A \rightarrow \text{Cend}(Q_A) = R$ , where  $Q = \text{Chom}_R(P, (R, \tau))$ ; it is not difficult to convince oneself that  $\psi\varphi = 1_A$  and  $\varphi\psi = 1_R$ .  $\square$

7.2 COROLLARY. *Let  $(R, \tau)$  and  $(A, \sigma)$  be as in 7.1. Assume also that  $\tau$  and  $\sigma$  are minimal. Then  $(R, \tau)$  and  $(A, \sigma)$  are topologically isomorphic. This is in particular true when they are strictly linearly compact rings.*

## REFERENCES

- [1] F. W. ANDERSON - K. R. FULLER, *Rings and Categories of Modules*, Springer, Berlin, Heidelberg, New York, 1974.
- [2] L. FUCHS, *Infinite Abelian Groups*, Academic Press, New York, 1970.
- [3] K. R. FULLER, *Density and Equivalence*, J. Algebra, **29** (1974), pp. 528-550.
- [4] H. LEPTIN, *Linear Kompakten Moduln und Ringe*, Math. Z., **62** (1955), pp. 241-267.
- [5] R. N. S. MACDONALD, *Representable dualities between finitely closed subcategories of modules*, Can. J. Math., **31** (1979), pp. 465-475.
- [6] K. MORITA, *Duality for modules and its applications to the theory of rings with minimum condition*, Sci. Rep. Tokyo Kyoiku Daigaku Sec. A, **6** (1958), pp. 85-142.

Manoscritto pervenuto in redazione il 13 aprile 1987.